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Photoinduced PCET in Ruthenium – Phenol Systems: Thermodynamic Equivalence of Uni- and Bidirectional Reactions

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ABSTRACT

Six termolecular reaction systems comprised of Ru(4,4'-bis(trifluoromethyl)-2,2'-bipyridine)₃²⁺, phenols with different *para*-substituents, and pyridine in acetonitrile undergo proton-coupled electron transfer (PCET) upon photoexcitation of the metal complex. Five of these six phenols are found to release in concerted fashion an electron to the ruthenium photooxidant and a proton to the pyridine base. The kinetics for this concerted bidirectional PCET process and its relationship to the reaction free energy were compared to the driving-force dependence of reaction kinetics for unidirectional concerted proton-electron transfer (CPET) between the same phenols and Ru(2,2'-bipyrazine)₃²⁺, a combined electron/proton acceptor. The results strongly support the concept of thermodynamic equivalence between separated electron/proton acceptors

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3 and single-reagent hydrogen-atom acceptors. A key feature of the explored systems is the
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5 similarity between molecules employed for bi- and unidirectional CPET.
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10 11 INTRODUCTION 12

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15 Proton-coupled electron transfer (PCET) can occur either in consecutive electron transfer (ET)
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17 and proton transfer (PT) steps (in whatever sequence) or in concerted fashion, i. e., via a so-
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19 called concerted proton-electron transfer (CPET) mechanism.¹ A hallmark of the CPET
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21 mechanism is the avoidance of charged reaction intermediates, and therefore this process is often
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23 associated with significantly lower activation barriers than consecutive ET-PT or PT-ET
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25 mechanisms.²
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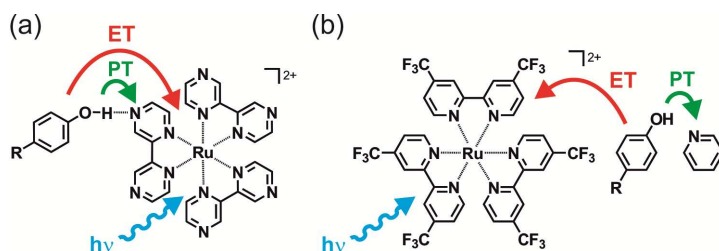
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29 So-called unidirectional PCET resembles hydrogen-atom transfer (HAT) in that the direction
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31 of electron and proton transfer is the same, i. e., a combined electron/proton donor reacts with a
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33 combined electron/proton acceptor. In bidirectional PCET, also called multi-site electron-proton
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35 transfer, more than two reaction partners are involved. A typical scenario is that a combined
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37 electron/proton donor releases its electron to an oxidant while its proton is transferred to a
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39 (separate) base. Phenols are a frequent choice as combined electron/proton donors because the
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41 phenolic proton becomes acidic upon oxidation.³ Neutral phenols are not acidic in the solvents
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43 typically used for such studies, and consequently PT-ET mechanisms are less important.
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45 Moreover, O-H donors react at inherently faster rate than C-H donors.⁴
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50 In recent years, there have been numerous mechanistic studies of uni- and bidirectional CPET,⁵
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52 and it has become clear that similar thermochemical considerations are useful for both reaction
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54 types.⁶ However, the sets of reactants which are employed for experimental studies of
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unidirectional CPET are often very different from those used for investigations of bidirectional CPET. Here, we report results which permit a direct comparison of uni- and bidirectional CPET because the employed reactants are very similar in both reaction types.

In a prior study we have found that unidirectional PCET between 6 different phenols and photoexcited $\text{Ru}(\text{bpz})_3^{2+}$ ($\text{bpz} = 2,2'$ -bipyrazine) occurs predominantly via a CPET mechanism (Scheme 1a).⁷ Here, we report on bidirectional CPET involving the same phenols as combined electron/proton donors, photoexcited $\text{Ru}((\text{CF}_3)_2\text{bpy})_3^{2+}$ ($(\text{CF}_3)_2\text{bpy} = 4,4'$ -bis(trifluoromethyl)-2,2'-bipyridine) as an electron acceptor, and pyridine as a base (Scheme 1b). Change of the *para*-substituents of the phenols (R-PhOH) along the series $\text{R} = \text{OCH}_3, \text{CH}_3, \text{H}, \text{Cl}, \text{Br}, \text{CN}$ permits systematic variation of the O-H bond dissociation free energy (BDFE) from 83.0 to 92.6 kcal/mol.^{3, 8}

Scheme 1. (a) Unidirectional CPET between phenols and photoexcited $\text{Ru}(2,2'$ -bipyrazine) $_3^{2+}$ as investigated previously;^{7a} (b) bidirectional CPET between photoexcited $\text{Ru}((\text{CF}_3)_2\text{bpy})_3^{2+}$, phenols, and pyridine as investigated in this work. ET = electron transfer, PT = proton transfer. $\text{R} = \text{OCH}_3, \text{CH}_3, \text{H}, \text{Cl}, \text{Br}, \text{CN}$.



RESULTS AND DISCUSSION

Simple electron transfer. Photoexcited $\text{Ru}((\text{CF}_3)_2\text{bpy})_3^{2+}$ in CH_3CN is a rather strong oxidant with a reduction potential of 0.9 V vs. Fc^+/Fc in its relatively long-lived $^3\text{MLCT}$ excited state.⁹ However, in CH_3CN the 6 phenols from Scheme 1 are all oxidized at even more positive potentials (Table 1).¹⁰

Table 1. Electrochemical potentials (in V vs. Fc^+/Fc) for one-electron oxidation of the individual phenols in CH_3CN , pK_a values of the neutral phenols in CH_3CN , pK_a values of the respective phenoxyl radical cations in CH_3CN , experimental O-H bond dissociation free energies (BDFEs; in kcal/mol) in dimethylsulfoxide, and estimated BDFEs in CH_3CN .

R	$E(\text{R-PhOH}^{+/0})^a$	$\text{pK}_a(\text{R-PhOH})$	$\text{pK}_a(\text{R-PhOH}^+)^b$	$\text{BDFE}_{\text{DMSO}}^d$	$\text{BDFE}_{\text{MeCN}}^e$
CH_3O	1.05	31.0^b	6.8	83.0	82.8
CH_3	1.16	27.5^c	8.4	87.1	86.3
H	1.25	26.6^c	4.8	88.3	88.3
Cl	1.25	25.4^c	1.5	88.7	88.3
Br	1.23	25.5^c	3.8	89.1	89.1
CN	1.40	22.7^c	-0.4	92.6	92.7

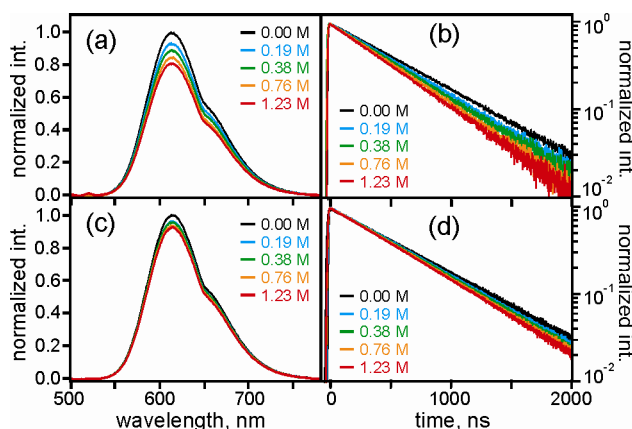
^a From ref. ¹⁰. ^b Calculated on the basis of pK_a values for DMSO reported in refs. ^{3, 8} using the procedure described in ref. ¹¹. ^c From ref. ¹². ^d From refs. ^{3, 8}. ^e Calculated for CH_3CN solution from gas phase bond dissociation enthalpies as described in the text (see Supporting Information for details);^{8, 13} error bars are on the order of ± 1.5 kcal/mol.

Consequently, 5 out of the 6 considered phenols are unable to quench the $^3\text{MLCT}$ luminescence emitted by $\text{Ru}((\text{CF}_3)_2\text{bpy})_3^{2+}$ in pure CH_3CN . Only 4-methoxyphenol ($\text{CH}_3\text{O-PhOH}$) is a sufficiently strong electron donor to induce some detectable luminescence quenching by photoinduced electron transfer (Figure S1). Stern-Volmer analysis of steady-state and time-resolved luminescence data (Figure S2) leads to the conclusion that the rate constant for

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3 bimolecular electron transfer from CH₃O-PhOH to photoexcited Ru((CF₃)₂bpy)₃²⁺ is $k_{ET} =$
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5 (7.0±0.4)·10⁷ M⁻¹ s⁻¹ in CH₃CN at 20 °C. As far as the 5 other phenols are concerned, given a
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8 ³MLCT lifetime of ~580 ns for Ru((CF₃)₂bpy)₃²⁺ in aerated CH₃CN and the lack of any
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10 detectable luminescence quenching for phenol concentrations of up to 0.55 M (in absence of any
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12 base), one can conclude that the upper limit of k_{ET} for the phenols with R = CH₃, H, Cl, Br, CN
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14 is 10⁵ M⁻¹ s⁻¹. In other words, simple (i. e., not proton-coupled) electron transfer is inefficient for
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17 all phenols except 4-methoxyphenol. For the latter it is moderately efficient.
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22 *Photochemistry in presence of pyridine.* When pyridine is present in CH₃CN, phenol
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24 concentrations on the order of 0.3 M lead to readily detectable ³MLCT luminescence quenching
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26 in all 6 cases. This is exemplified by the luminescence data obtained with CH₃-PhOH shown in
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28 Figure 1. Analogous data for the 5 other phenol / ruthenium reaction couples can be found in the
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30 Supporting Information (Figures S3 – S7). Figure 1a shows steady-state luminescence spectra
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32 obtained from aerated CH₃CN solutions containing Ru((CF₃)₂bpy)₃²⁺ (2·10⁻⁵ M) in presence of a
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34 fixed concentration of CH₃-PhOH (42 mM) but variable pyridine concentrations (see inset).
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36 Excitation occurred at 450 nm, i. e., selectively into the ¹MLCT absorption band of
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38 Ru((CF₃)₂bpy)₃²⁺. As the pyridine concentration increases from 0 to 1.23 M, the
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40 Ru((CF₃)₂bpy)₃²⁺ ³MLCT luminescence intensity decreases by roughly 20%. The ³MLCT
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42 luminescence lifetime (measured after pulsed excitation at 532 nm) decreases from 580 ns in
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44 pure aerated CH₃CN to 460 ns in presence of 1.23 M pyridine, i. e., the lifetime shortens by 21%.
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46 In a control experiment with 2·10⁻⁵ M Ru((CF₃)₂bpy)₃²⁺ in CH₃CN and pyridine concentrations
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48 varying between 0 and 1.0 M but containing no phenol, the luminescence intensity does not
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50 decrease and the luminescence lifetimes are unchanged (Figure S8). Thus it is clear that CH₃-
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52 PhOH and pyridine must be simultaneously present in order to quench the emission. The same is
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3 true for the phenols with R = H, Cl, Br, CN. For 4-methoxyphenol (R = OCH₃) there is some
4 emission quenching already in absence of pyridine as discussed above (Figures S1, S2), but
5 emission quenching already in absence of pyridine as discussed above (Figures S1, S2), but
6 pyridine addition at constant CH₃O-PhOH concentration makes emission quenching markedly
7 more efficient (Figure S3). Thus, for all 6 phenols the presence of pyridine is crucial for inducing
8 substantial Ru((CF₃)₂bpy)₃²⁺ luminescence quenching.
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32 **Figure 1.** (a) Steady-state luminescence spectra obtained from aerated CH₃CN solutions
33 containing Ru((CF₃)₂bpy)₃²⁺ (2·10⁻⁵ M) in presence of a fixed concentration of CH₃-PhOH (42
34 mM) but variable pyridine concentrations (see inset); (b) luminescence decays detected at 610
35 nm after excitation of the same solutions at 532 nm with laser pulses of ~10 ns duration. (c)
36 Analogous experiments as in Figure 1a but with CH₃-PhOD; (d) analogous plot as in Figure 1b
37 but for CH₃-PhOD.
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50 Phenols form hydrogen bonds to pyridine in aprotic solution.^{5a, 5j, 14} Hydrogen-bonded phenols
51 in turn are known to have markedly less positive oxidation potentials than non-hydrogen-bonded
52 phenols because electron release is coupled to deprotonation. In other words, phenol oxidation in
53 presence of proton acceptors is a PCET process.^{2a, 5b, 15} It has been demonstrated that the
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lowering of the oxidation potentials is so substantial that this effect can only be satisfactorily explained in terms of a CPET mechanism.¹⁵ The $\text{Ru}((\text{CF}_3)_2\text{bpy})_3^{2+}$ luminescence quenching mentioned above for the 6 phenol / pyridine systems is the consequence of the lowering of the phenol oxidation potentials in presence of the proton acceptor pyridine. In fact, our experimental observations are conceptually analogous to those first made by Linschitz with triplet-excited C_{60} and hydrogen-bonded phenols.^{5a, 14}

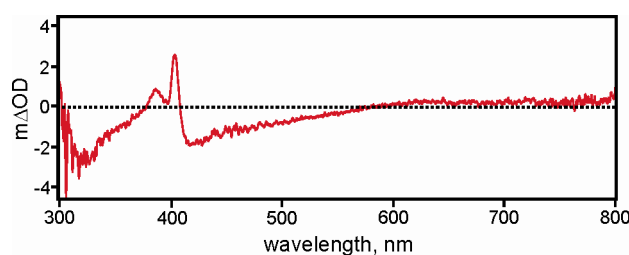


Figure 2. Transient difference spectrum obtained after excitation of an aerated CH_3CN solution containing $7 \cdot 10^{-5}$ M $\text{Ru}((\text{CF}_3)_2\text{bpy})_3^{2+}$, 0.3 mM $\text{CH}_3\text{-PhOH}$, and 1.0 M pyridine at 532 nm with laser pulses of ~ 10 ns duration. Detection occurred by time-integration over an interval of 5 μs starting 2 μs after excitation.

Direct evidence for PCET photoproducts comes from transient absorption spectroscopy measured after selective $\text{Ru}((\text{CF}_3)_2\text{bpy})_3^{2+}$ excitation at 532 nm with laser pulses of ~ 10 ns duration. An exemplary set of data, obtained from an aerated CH_3CN solution containing $7 \cdot 10^{-5}$ M $\text{Ru}((\text{CF}_3)_2\text{bpy})_3^{2+}$, 0.3 M $\text{CH}_3\text{-PhOH}$, and 1.0 M pyridine is shown in Figure 2. The absorption spectrum of 4-methylphenoxy radical ($\text{Me-PhO}\cdot$) is observed with characteristic peaks at 404 and 386 nm;¹⁶ the bleach around 430 nm is caused by disappearance of $\text{Ru}((\text{CF}_3)_2\text{bpy})_3^{2+}$ $^1\text{MLCT}$ absorption. Analogous transient absorption spectra were obtained for the 5 other phenol /

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3 pyridine combinations, in each case providing unambiguous evidence for the formation of
4 charge-neutral phenoxyl radicals (Figure S9).¹⁶⁻¹⁷ The latter are clearly the products of a
5 photoinduced PCET reaction.
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12 *Hydrogen-bonding equilibrium between phenols and pyridine.* In CH₃CN solution, there is
13 chemical equilibrium between free phenol (R-PhOH) and pyridine (py) on the one hand and
14 hydrogen-bonded phenol-pyridine adducts (R-PhOH···py) on the other hand (eq. 1).
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27 Any quantitative analysis of the emission quenching data must take this equilibrium into
28 account because only the hydrogen-bonded R-PhOH···py adducts induce significant
29 photoreaction for most phenols (see above). In a given solvent (CH₃CN) at a given temperature
30 (22 °C), the equilibrium (or association) constant (K_A) is primarily a function of the phenol R-
31 substituent.
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39 One possibility to determine the equilibrium constant for the formation of hydrogen-bonded
40 phenol-pyridine adducts is to make use of steady-state luminescence quenching data.^{5d, 5g}
41 However, this procedure leads to inconsistencies in the cases considered here. A method based
42 on UV-Vis absorption changes upon addition of large quantities of pyridine to dilute solutions of
43 phenols as reported earlier turned out to be impractical in our cases, mainly due to mutual
44 overlap of the absorption bands of phenol and pyridine.^{5a} Consequently, the association constants
45 between the various phenols and pyridine-d₅ were determined by ¹H NMR spectroscopy in
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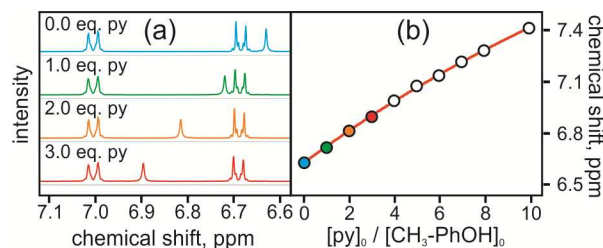


Figure 3. (a) Top: Extract of the ¹H NMR spectrum of a 25 mM solution of CH₃-PhOH in CD₃CN at 22 °C. Spectra of the same solution with 1, 2, and 3 equivalents of pyridine-d₅ present are shown below. (b) Chemical shift of the O-H resonance as a function of pyridine-d₅ concentration in ppm; data extracted from Figure 3a and Figure S11. [py]₀ is the nominal pyridine-d₅ concentration, [CH₃-PhOH]₀ is the nominal 4-methylphenol concentration; the latter was kept constant at 25 mM throughout the NMR titration experiment.

In Figure 3a the ¹H NMR spectrum of 25 mM CH₃-PhOH in CD₃CN at 22 °C is shown. The resonance of the phenolic proton under these conditions is at 6.64 ppm. With 25 mM (= 1 equivalent) pyridine-d₅ present the O-H resonance shifts to 6.72 ppm, and when increasing the pyridine concentration further, the respective signal is shifted further downfield. The chemical shift of the O-H resonance in CD₃CN at 22 °C as a function of pyridine concentration (while keeping the CH₃-PhOH concentration constant) is shown in Figure 3b. The solid line in Figure 3b is the result of a fit to the NMR titration data in the so-called fast-exchange limit, see Supporting Information for further details.¹⁸ An association constant of 1.1±0.1 M⁻¹ is determined for CH₃-PhOH and pyridine-d₅ in CD₃CN at 22 °C (Table 2). Analogous ¹H NMR titrations with the 5 other phenols (Figures S10-S15) produced the K_A values reported in Table 2; the error bars correspond to the standard deviations resulting from the fits to the experimental data.

Table 2. Equilibrium constants for formation of hydrogen-bonded phenol-pyridine-d₅ adducts in CD₃CN at 22 °C (K_A , eq. 1) determined from ¹H NMR titration experiments with pyridine-d₅ (Figures S10-S16). Abraham's hydrogen bonding parameters (α_2^H) for phenols.¹⁹

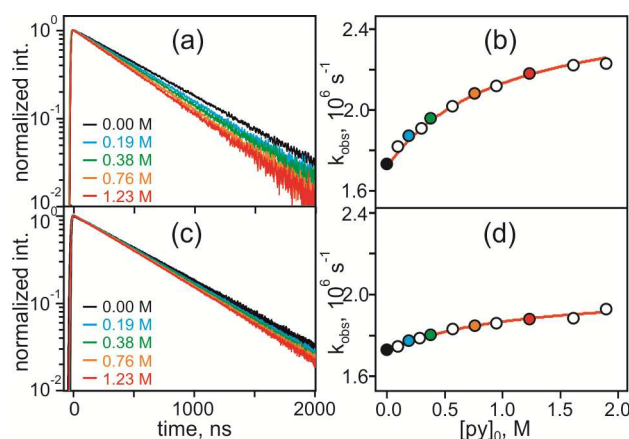
R	K_A [M ⁻¹]	α_2^H
OCH ₃	1.2±0.1	0.550
CH ₃	1.1±0.1	0.571 ^a
H	1.1±0.1	0.596
Cl	1.5±0.1	0.670
Br	1.4±0.1	0.674
CN	2.1±0.1	0.787

^a Value for 4-(*tert.*-butyl)phenol taken as an approximation for the unknown value of CH₃-PhOH.

The association constants in Table 2 are found to increase with increasing hydrogen bond donor ability of the involved phenol. The hydrogen bond donor ability is captured by Abraham's hydrogen bonding parameters (α_2^H) given in the last column of Table 2.¹⁹

Determination of CPET rate constants. The steady-state luminescence quenchings such as that shown in Figure 1a are accompanied by a shortening of the luminescence lifetime. The data in Figure 4a was measured using aerated CH₃CN solutions containing 2·10⁻⁵ M Ru((CF₃)₂bpy)₃²⁺, 42 mM CH₃-PhOH, and pyridine concentrations ranging from 0 to 1.9 M (see inset), i. e., these are the same solutions as those employed for obtaining the data from Figure 1a. Analogous experiments, making use of 532-nm laser excitation pulses of ~10 ns duration, were performed

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3 for the 5 other phenol / pyridine couples, and the respective data are given in the Supporting
4 Information (Figures S17 – S21). All luminescence decays are single exponential over at least
5 two orders of magnitude, and decay rate constants (k_{obs}) were extracted from mono-exponential
6 fits to the experimental decay data. We recall that addition of up to 1 M pyridine to $2 \cdot 10^{-5}$ M
7 solutions of $\text{Ru}((\text{CF}_3)_2\text{bpy})_3^{2+}$ in aerated CH_3CN does not lead to any changes in luminescence
8 lifetime (Figure S8b). Figure 4b contains a plot of k_{obs} versus pyridine concentration for the CH_3 -
9 PhOH / pyridine system. Analogous plots for the 5 other phenol / pyridine couples can be found
10 in the Supporting Information (Figures S17 – S21).



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41 **Figure 4.** (a) $^3\text{MLCT}$ luminescence decays measured after excitation of an aerated CH_3CN
42 solution containing $\text{Ru}((\text{CF}_3)_2\text{bpy})_3^{2+}$ ($2 \cdot 10^{-5}$ M) in presence of a fixed concentration of CH_3 -
43 PhOH (42 mM) and variable pyridine concentrations (see inset); same data as in Figure 1b.
44 Excitation occurred at 532 nm with pulses of ~ 10 ns duration, detection was at 610 nm; (b) plot
45 of excited-state decay rate constants (k_{obs} , extracted from the $^3\text{MLCT}$ luminescence decays in (a)
46 (and from additional decay data not included in (a)) as a function of nominal pyridine
47 concentration; the CH_3 - PhOH concentration was 42 mM; (c) Same experiment as in (a) but with
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3 deuterated 4-methylphenol (CH₃-PhOD); same data as in Figure 1d; (d) analogous plot based on
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5 the lifetime data from (c) for which deuterated 4-methylphenol (CH₃-PhOD) was used.
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11 For all 6 phenol / pyridine combinations, the observed Ru((CF₃)₂bpy)₃²⁺ luminescence decay
12 rate constants (k_{obs}) are a function of the intrinsic ³MLCT excited-state decay (k₀), the excited-
13 state decay caused by simple (i. e., not proton-coupled) electron transfer to the phenols (k_{ET}), and
14 the contribution to excited-state quenching via CPET involving hydrogen-bonded phenol-
15 pyridine adducts (k_{CPET}) as reflected by the three summands appearing in eq. 2.^{5a, 5g, 14}
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$$k_{\text{obs}} = k_0 + k_{\text{ET}}[\text{R-PhOH}] + k_{\text{CPET}}[\text{R-PhOH}\cdots\text{py}] \quad (\text{eq. 2})$$

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30 The intrinsic excited-state decay rate constant (k₀) is readily available from a luminescence
31 lifetime measurement of 2·10⁻⁵ M Ru((CF₃)₂bpy)₃²⁺ in pure aerated CH₃CN. This experiment
32 yields k₀ ≈ 1.72·10⁶ s⁻¹ (Figure S8b). As noted above, for the phenols with R = CH₃, H, Cl, Br,
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The k_{CPET}[R-PhOH⋯py] term of eq. 2 accounts for the dependence of k_{obs} on the pyridine
concentration. As described in detail in the Supporting Information, k_{obs} can be expressed as a
function of the nominal phenol and pyridine concentrations (eq. 3).^{5a, 5d, 5g, 14}

$$k_{obs} \approx k_0 + k_{ET} \cdot [R-PhOH]_0 + (k_{CPET} - k_{ET}) \cdot \frac{K_A \cdot [R-PhOH]_0 \cdot [py]_0}{1 + K_A \cdot [py]_0 + K_A \cdot [R-PhOH]_0} \quad (\text{eq. 3})$$

Note that eq. 3 is only an approximation because a $[R-PhOH \cdots py]^2$ summand has been neglected in the denominator of the last term, see Supporting Information for details.

The solid red lines in Figure 4 are the result of fits with eq. 3 to the experimental k_{obs} versus pyridine concentration data using k_{CPET} as the only adjustable parameter. For these fits, k_0 was set to $1.72 \cdot 10^6 \text{ s}^{-1}$, $k_{ET} = 0 \text{ M}^{-1} \text{ s}^{-1}$ (the $k_{ET} \cdot [R-PhOH]_0$ term can be neglected for $\text{CH}_3\text{-PhOH}$ for reasons explained above), $[R-PhOH]_0 = 0.042 \text{ M}$, and $K_A = 1.1 \text{ M}^{-1}$, i. e., the association constant determined above on the basis of the ^1H NMR titration data (second column of Table 2). This procedure yields $k_{CPET} = (1.98 \pm 0.19) \cdot 10^7 \text{ M}^{-1} \text{ s}^{-1}$ for $\text{CH}_3\text{-PhOH}$ and $(0.72 \pm 0.16) \cdot 10^7 \text{ M}^{-1} \text{ s}^{-1}$ for $\text{CH}_3\text{-PhOD}$, respectively; the error bars correspond to standard deviations of the fits with eq. 3; the experimental uncertainty associated with the determination of k_{obs} is 5%. Table 3 lists the k_{CPET} values obtained from analogous one-parameter fits to the experimental data for all 6 phenol / pyridine combinations (Figures S17 – S21), using in each case the K_A values from Table 2 as non-adjustable input parameters as well as $k_0 = 1.72 \cdot 10^6 \text{ s}^{-1}$ and $k_{ET} = 0 \text{ M}^{-1} \text{ s}^{-1}$, except for $\text{CH}_3\text{O-PhOH}$ for which $k_{ET} = 7 \cdot 10^7 \text{ M}^{-1} \text{ s}^{-1}$ was used (see above). The resulting k_{CPET} values range from $(1.25 \pm 0.34) \cdot 10^6 \text{ M}^{-1} \text{ s}^{-1}$ to $(8.21 \pm 0.21) \cdot 10^8 \text{ M}^{-1} \text{ s}^{-1}$ (Table 3). This procedure and similar methods for determining k_{CPET} from time-resolved data have been employed earlier.^{5a, 5d, 5g, h, 14, 20} Since the ^1H NMR titration method from the previous section does not permit determination of association constants between deuterated phenols and pyridine, the K_A values from Table 2 were employed for both ordinary and deuterated phenols.

Table 3. Rate constants for CPET between $^3\text{MLCT}$ -excited $\text{Ru}((\text{CF}_3)_2\text{bpy})_3^{2+}$ and phenol-pyridine adducts (k_{CPET}) in CH_3CN at 20°C , determined as described in the text.

	R-PhOH	R-PhOD
R	$k_{\text{CPET}} [\text{M}^{-1} \text{s}^{-1}]$	$k_{\text{CPET}} [\text{M}^{-1} \text{s}^{-1}]$
OCH_3	$(8.21 \pm 0.21) \cdot 10^8$	$(7.91 \pm 0.20) \cdot 10^8$
CH_3	$(1.98 \pm 0.19) \cdot 10^7$	$(0.72 \pm 0.16) \cdot 10^7$
H	$(4.01 \pm 0.32) \cdot 10^6$	$(2.10 \pm 0.29) \cdot 10^6$
Cl	$(6.32 \pm 0.43) \cdot 10^6$	$(2.40 \pm 0.36) \cdot 10^6$
Br	$(4.99 \pm 0.41) \cdot 10^6$	$(1.25 \pm 0.34) \cdot 10^6$
CN	$(1.54 \pm 0.06) \cdot 10^7$	$(1.20 \pm 0.06) \cdot 10^7$

H/D kinetic isotope effects (KIEs). The occurrence of a significant H/D kinetic isotope effect in the case of 4-methylphenol is evident already from the raw data in Figure 1. For any given pyridine concentration, the luminescence quenching in presence of 42 mM CH_3 -PhOD is weaker than in presence of 42 mM CH_3 -PhOH, and the luminescence lifetime is shortened less in presence of 42 mM CH_3 -PhOD than in presence of 42 mM CH_3 -PhOH. Quantitative analysis produces the H/D KIEs summarized in the upper row of Table 4. For comparison, the lower row of Table 4 summarizes the H/D KIEs determined previously for unidirectional CPET between the same 6 phenols and photoexcited $\text{Ru}(\text{bpz})_3^{2+}$ (Scheme 1a).

Table 4. H/D kinetic isotope effects for PCET reactions in the systems from Scheme 1.

R	OCH_3	CH_3	H	Cl	Br	CN

bidirectional ^{a,b}	1.0±0.1	2.8±0.7	1.9±0.3	2.6±0.4	4.0±1.1	1.3±0.1
unidirectional ^{c,d}	1.0±0.1	1.7±0.2	3.4±0.2	7.8±0.6	2.9±0.2	10.2±0.6

^a For the systems from Scheme 1b; ^b values correspond to the ratio of k_{CPET} values for ordinary and deuterated reactants from Table 3; ^c for the systems from Scheme 1a; ^d from ref. ^{7a}.

In general KIEs depend on many different parameters and the absence of a sizeable H/D KIE is no argument against CPET, for example because proton and deuteron transfer can proceed through different vibrational states.²¹ We recall that the shift of phenol oxidation potentials in presence of hydrogen-bond acceptors can only be satisfactorily explained by invoking CPET.¹⁵ It seems likely that the proton transfer distance has a decisive influence on the magnitude of the KIEs in our systems, as expected by theory and as suspected in other experimental PCET studies.^{2b, 22} The proton transfer distance is certainly not identical for the unidirectional PCET reactions in Scheme 1a and the bidirectional PCET reactions in Scheme 1b. An explanation for the large deviation between H/D KIEs observed with 4-cyanophenol in the two types of settings (1.3±0.1 vs. 10.2±0.6) will be given in the next section.

Driving-force dependence of CPET rate constants. For CPET between the reactants from Scheme 1b, the reaction free energy (ΔG_{CPET}^0) is basically the difference between the energetic cost associated with homolytic cleavage of the phenolic O-H bonds and the energetic gain associated with reduction of photoexcited $\text{Ru}((\text{CF}_3)_2\text{bpy})_3^{2+}$ combined with protonation of pyridine. The O-H bond dissociation free energies (BDFEs) for the 6 phenols are known for dimethylsulfoxide solution (fifth column of Table 1).^{3, 8} Alternatively, the O-H BDFEs in CH_3CN solution can be estimated on the basis of (gas phase) bond dissociation enthalpies (BDEs) and Abraham's hydrogen bonding parameters as described previously.^{8, 13a} Using BDEs

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3 from the literature,^{13b} the α_2^H parameters from Table 2,^{19a} and $\beta_2^H = 0.44$ for CH_3CN ,^{8, 13a} one
4 obtains the BDFEs reported in the last column of Table 1 (see Supporting Information for
5 details). These values are remarkably close to the experimental BDFEs determined for DMSO
6 solution, and this finding strongly supports our use of the latter for the following thermodynamic
7 analyses.
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10 Even though the electron and proton acceptors are separate molecules, a *formal* bond
11 dissociation free energy (*f*BDFE) can be calculated for the $\text{Ru}((\text{CF}_3)_2\text{bpy})_3^{2+}$ / pyridine couple
12 using the standard reduction potential of the oxidant (E_{red}^0) and the pK_a of the conjugate acid of
13 the proton acceptor (eq. 4).⁶
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$$15 \quad f\text{BDFE (X-H) kcal/mol} = 1.37 \cdot \text{pK}_a + 23.06 \cdot E_{\text{red}}^0 + C_{\text{G,CH}_3\text{CN}} \quad (\text{eq. 4})$$

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18 The last term in eq. 4 is equivalent to the $\text{H}^+/\text{H}\cdot$ standard reduction potential in CH_3CN , and it
19 includes the free energy for formation of $\text{H}\cdot$ as well as the free energy for solvation of $\text{H}\cdot$.⁸ For
20 CH_3CN at 298 K, $C_{\text{G,CH}_3\text{CN}} = 54.9$ kcal/mol.⁸ The reduction potential of the oxidant (E_{red}^0) is to
21 be used in units of Volts vs. Fc^+/Fc in CH_3CN , and the pK_a value must be for CH_3CN solution.⁶
22 Using $E_{\text{red}}^0 = 0.9$ V vs. Fc^+/Fc ⁹ and $\text{pK}_a = 12.5$ ²³ one obtains $f\text{BDFE} = 93$ kcal/mol. As noted
23 before, the use of formal BDFEs may appear as somewhat peculiar because no X-H bond is
24 formed, but it has been proposed as a useful way to characterize the thermochemistry of a CPET
25 system.⁶ Several prior studies confirmed the usefulness of this concept,^{8, 24} but the systems from
26 Scheme 1 are special in that the reactants used for unidirectional and bidirectional PCET are as
27 similar as possible.
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3 Thus, the CPET driving-force for the systems in Scheme 1b (ΔG_{CPET}^0) is the difference
4 between the experimental O-H BDFEs for the 6 phenols from Table 1 and $f\text{BDFE} = 93$ kcal/mol,
5 resulting in ΔG_{CPET}^0 values ranging from -10 kcal/mol (≈ -0.4 eV) to -0.4 kcal/mol (≈ 0 eV). In
6 our case CPET in CH_3CN produces two monocations ($\text{Ru}((\text{CF}_3)_2\text{bpy})_3^+$ and protonated pyridine)
7 and a neutral species (phenoxyl radicals) out of a dication (photoexcited $\text{Ru}((\text{CF}_3)_2\text{bpy})_3^{2+}$) and
8 two neutral molecules (phenols, pyridine). The solvation of the individual species may therefore
9 well be significantly different before and after CPET.
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13 Figure 5a shows a semilogarithmic plot of k_{CPET} versus ΔG_{CPET}^0 for the 6 termolecular
14 ruthenium / phenol / pyridine reaction systems from Scheme 1b. For the phenols with $\text{R} = \text{OCH}_3$,
15 CH_3 , H, Cl, Br one recognizes a correlation between $\ln(k_{\text{CPET}})$ and ΔG_{CPET}^0 but 4-cyanophenol
16 (yellow data point at $\Delta G_{\text{CPET}}^0 = -0.4$ kcal/mol) stands out. The data in Figure 5a is compatible
17 with CPET as a prevalent PCET reaction mechanism for all phenols except 4-cyanophenol.^{4, 7b, 8}
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21 The fact that CN-PhOH stands out is likely an indication for another PCET mechanism. A
22 sequence of rate-determining electron transfer followed by rapid proton transfer (ET-PT) seems
23 highly unlikely based on the relevant redox potentials; initial electron transfer from CN-PhOH to
24 photoexcited $\text{Ru}((\text{CF}_3)_2\text{bpy})_3^{2+}$ is expected to be endergonic by 0.5 eV (Table 1). The only
25 alternative is then a sequential PT-ET mechanism in which an initial proton transfer step is
26 followed by rapid electron transfer. CN-PhOH is the most acidic of the 6 phenols considered in
27 this work, and based on pK_a values of 22.7 for CN-PhOH and 12.5 for pyridinium in CH_3CN , we
28 estimate that under the experimental conditions used for the luminescence quenching studies up
29 to ~ 4 μM 4-cyanophenolate (CN-PhO^-) are present. The latter is a strong reductant with $E_{\text{ox}} =$
30 0.15 V vs. Fc^+/Fc in DMSO and can therefore be expected to quench the $^3\text{MLCT}$ excited state of
31 $\text{Ru}((\text{CF}_3)_2\text{bpy})_3^{2+}$ with a diffusion-limited rate constant. We have attempted fits to the
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4 experimental data with an extended version of eq. 3 containing an additional summand ($k_{\text{diff}}[\text{R-PhO}^-]$) reflecting diffusion-limited ($k_{\text{diff}} = 1.9 \cdot 10^{10} \text{ M}^{-1} \text{ s}^{-1}$ for CH_3CN)²⁵ reductive excited-state
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8 quenching by 4-cyanophenolate; the concentration of the latter was calculated on the basis of
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fits do not provide satisfactory results, possibly because of uncertainties in the acidity constants of 4-cyanophenol and pyridinium in CH_3CN . Yet it seems plausible that the rate constant given for CN-PhOH in Table 3 does not reflect CPET kinetics but rather reflects reaction of a small fraction of 4-cyanophenolate which is formed in a proton transfer pre-equilibrium. The comparatively small H/D KIE of 1.3 ± 0.1 is in line with this interpretation.

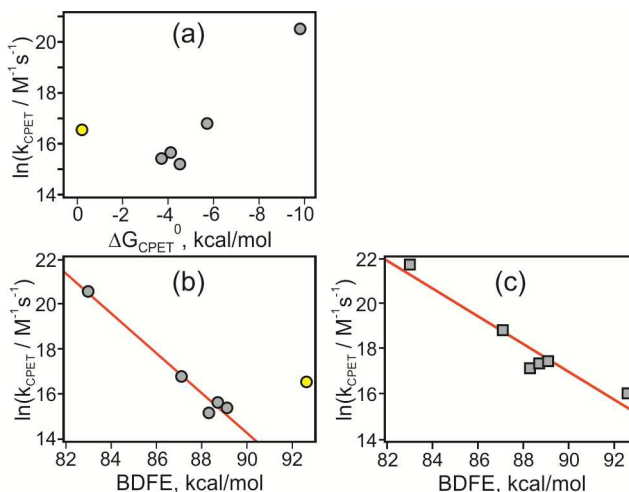


Figure 5. (a) Plot of $\ln(k_{\text{CPET}}/\text{M}^{-1} \text{ s}^{-1})$ versus ΔG_{CPET}^0 (in kcal/mol) for the termolecular reactions illustrated in Scheme 1b; (b) plot of $\ln(k_{\text{CPET}}/\text{M}^{-1} \text{ s}^{-1})$ versus O-H BDFEs for the termolecular reactions illustrated in Scheme 1b; (c) plot of $\ln(k_{\text{CPET}}/\text{M}^{-1} \text{ s}^{-1})$ versus O-H BDFEs for the bimolecular reactions illustrated by Scheme 1a.

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3 *Comparison between bi- and unidirectional CPET.* Figure 5b plots $\ln(k_{\text{CPET}})$ versus O-H
4 BDFEs for the termolecular reactions illustrated in Scheme 1b, and this is merely another
5 representation of the data from Figure 5a. It permits direct comparison with analogous data
6 obtained for the reaction pairs from Scheme 1a in which the same 6 phenols undergo
7 unidirectional CPET with photoexcited $\text{Ru}(\text{bpz})_3^{2+}$, a combined electron-proton acceptor
8 requiring no addition of pyridine base.⁷ For photoexcited $\text{Ru}(\text{bpz})_3^{2+}$ the relevant N-H BDFEs
9 (for hydrogen-atom binding to the N-atoms of the bpz ligand periphery) could not be determined,
10 and therefore in this case k_{CPET} can only be given as a function of phenol O-H BDFEs. The
11 correlations in Figures 5b and 5c (red lines) are typical for hydrogen-atom transfer (HAT)
12 reactions as first discussed by Evans and Polanyi.^{4, 26} Thus, bi- and unidirectional CPET with the
13 same set of phenols exhibit a very similar dependence of reaction rates on free energies. The
14 only exception is 4-cyanophenol in the data set of Figure 5b, for reasons discussed above. In the
15 studies of unidirectional (HAT-like) PCET between phenols and photoexcited $\text{Ru}(\text{bpz})_3^{2+}$, the 4-
16 cyanophenol data point matches the correlation between $\ln(k_{\text{CPET}})$ and O-H BDFE well (Figure
17 5c).⁷ The important difference to the investigations of bidirectional PCET with the termolecular
18 phenol / $\text{Ru}((\text{CF}_3)_2\text{bpy})_3^{2+}$ / pyridine reaction systems is that in the earlier studies of
19 unidirectional PCET with $\text{Ru}(\text{bpz})_3^{2+}$ there is no pyridine which can lead to the formation of a
20 non-negligible amount of 4-cyanophenolate.^{7a}

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46 Using the Eyring equation it is possible to estimate activation free energies ($\Delta G_{\text{CPET}}^\ddagger$) for the
47 CPET processes based on the reaction rate constants (k_{CPET}) from Table 3. Using a prefactor of Z
48 = $10^{11} \text{ M}^{-1} \text{ s}^{-1}$ in the Eyring equation, one obtains $\Delta G_{\text{CPET}}^\ddagger$ values ranging from 2.8 ± 0.3 kcal/mol
49 for $\text{CH}_3\text{O-PhOH}$ to 5.9 ± 0.6 kcal/mol for H-PhOH .²⁷ The respective activation free energies are
50 plotted against the phenol O-H BDFEs in Figure 6a. An analogous plot of $\Delta G_{\text{CPET}}^\ddagger$ values versus
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phenol O-H BDFEs based on data for unidirectional CPET between the reaction pairs from Scheme 1a is shown in Figure 6b.^{7a}

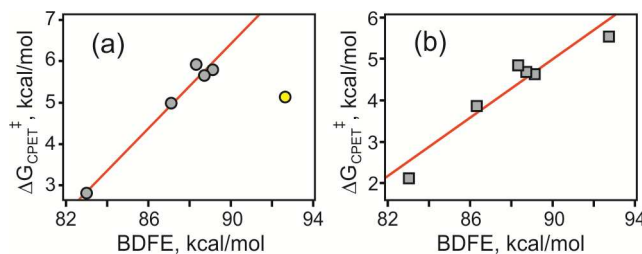


Figure 6. (a) Plot of activation free energies (estimated on the basis of the k_{CPET} values from Table 3 and the Eyring equation with a prefactor of $Z = 10^{11} \text{ M}^{-1} \text{ s}^{-1}$) versus O-H BDFEs for the termolecular reactions systems from Scheme 1b; (b) analogous plot for the bimolecular reactions systems from Scheme 1a.^{7a}

$\Delta G_{\text{CPET}}^{\ddagger}$ is experimentally more accurately accessible by measurement of the temperature-dependence of the termolecular CPET reactions. Figure 7 contains a plot of $\ln(k_{\text{CPET}})$ versus inverse temperature for the reaction with $\text{CH}_3\text{-PhOH}$. For the purpose of acquiring the relevant experimental data, sets of time-resolved luminescence data as illustrated in Figure 4 were acquired at 6 different temperatures, and k_{CPET} was determined for each temperature based on the K_{A} value obtained from ^1H NMR titrations at 22°C . Using the Eyring equation (in combination with the relationship $\Delta G_{\text{CPET}}^{\ddagger} = \Delta H_{\text{CPET}}^{\ddagger} - T \cdot \Delta S_{\text{CPET}}^{\ddagger}$) as a fit function for the experimental data in Figure 7, one extracts $\Delta G_{\text{CPET}}^{\ddagger} = 5.0 \pm 0.6 \text{ kcal/mol}$. The activation enthalpy amounts to $\Delta H_{\text{CPET}}^{\ddagger} = 2.6 \pm 0.4 \text{ kcal/mol}$, the activation entropy is $\Delta S_{\text{CPET}}^{\ddagger} = -8.1 \pm 0.9 \text{ cal}\cdot\text{K}^{-1}\cdot\text{mol}^{-1}$.

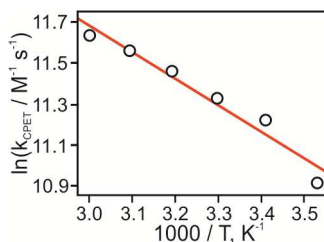


Figure 7. Plot of $\ln(k_{\text{CPET}})$ against inverse temperature for the termolecular reaction between photoexcited $\text{Ru}((\text{CF}_3)_2\text{bpy})_3^{2+}$, $\text{CH}_3\text{-PhOH}$, and pyridine in CH_3CN .

In the framework of the adiabatic Marcus equation, barriers and rate constants are governed by only two parameters, namely the reorganization energy (λ_{CPET}) and reaction free energy (ΔG_{CPET}^0). This Marcus treatment predicts that $\Delta\Delta G_{\text{CPET}}^\ddagger / \Delta\Delta G_{\text{CPET}}^0 = 0.5 + \Delta G_{\text{CPET}}^0 / 2 \cdot \lambda_{\text{CPET}}$, where $\Delta G_{\text{CPET}}^\ddagger$ is the activation free energy. Linear regression fits to the data in Figure 6 (excluding the yellow data point for 4-cyanophenol in Figure 6a) yield slopes ($\Delta\Delta G_{\text{CPET}}^\ddagger / \Delta\Delta G_{\text{CPET}}^0$) of 0.52 ± 0.05 for bidirectional and 0.37 ± 0.05 for unidirectional CPET, respectively. The finding that both of these two slopes are close to 0.5 is compatible with CPET reactions that take place with low driving forces, in particular with $-\Delta G_{\text{CPET}}^0 \ll \lambda_{\text{CPET}}/2$.²⁸ Given a maximal free energy of -0.4 eV (see above), it would appear then that $\lambda_{\text{CPET}} > 0.8$ eV (18.5 kcal/mol) in our systems. In the adiabatic version of Marcus theory, when ΔG_{CPET}^0 is close to zero, the reorganization energy (λ_{CPET}) is close to double of $\Delta G_{\text{CPET}}^\ddagger$. The lowest ΔG_{CPET}^0 for the termolecular reactions from Scheme 1b is expected for Br-PhOH (CN-PhOH does not appear to react predominantly via CPET, see above). Based on $k_{\text{CPET}} = (4.99 \pm 0.41) \cdot 10^6 \text{ M}^{-1} \text{ s}^{-1}$ for Br-PhOH (Table 3), one finds $\Delta G_{\text{CPET}}^\ddagger = 5.9 \pm 0.6$ kcal/mol and $\lambda_{\text{CPET}} = 11.8 \pm 1.2$ kcal/mol. Prior studies of bidirectional CPET with hydrogen-bonded phenols reported reorganization energies > 22 kcal/mol.^{15, 29}

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6 SUMMARY AND CONCLUSIONS
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10 Bidirectional and unidirectional CPET involving two chemically very closely related sets of
11 reactants (Scheme 1) exhibit very similar driving-force dependences of reaction rates. This
12 strongly supports the concept of thermodynamic equivalence between separated electron / proton
13 acceptors ($\text{Ru}((\text{CF}_3)_2\text{bpy})_3^{2+}$, pyridine) and single-reagent hydrogen atom acceptors
14 ($\text{Ru}(\text{bpz})_3^{2+}$).⁶ Several prior studies have confirmed the usefulness of this concept,^{8, 24} but the
15 systems from Scheme 1 are special in that the reactants used for unidirectional and bidirectional
16 PCET are as similar as possible. This permits a direct comparison of the two different reaction
17 types.
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29 In principle one might expect bi- and unidirectional CPET to be associated with substantially
30 different reorganization energies, but in the driving-force range considered here such effects do
31 not clearly manifest.
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39 EXPERIMENTAL SECTION
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43 $\text{Ru}((\text{CF}_3)_2\text{bpy})_3^{2+}$ was synthesized following a previously reported method.⁹ The phenols are
44 commercially available. ¹H NMR spectra were measured in CD₃CN at 22 °C using a Bruker
45 Avance 400 MHz spectrometer. For deuteration, the phenols were stirred in CD₃OD (99.8%
46 isotope purity) under N₂ at 25 °C for 1 hour. Then the solvent was evaporated and the whole
47 procedure was repeated once more. For the measurements of the deuterated phenols, acetonitrile
48 and pyridine were pre-dried over 3 Å molecular sieves, and then distilled after heating to reflux
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3 for 15 minutes over CaH₂. Dry pyridine and CH₃CN were stored over 3 Å molecular sieves
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5 under nitrogen atmosphere. Steady-state luminescence spectroscopy occurred on a Fluorolog 3
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7 instrument from Horiba Jobin-Yvon, the excitation wavelength was 450 nm. Time-resolved
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9 luminescence and transient absorption studies were conducted on a LP920KS instrument from
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11 Edinburgh Instruments using the frequency-doubled output (532 nm) of a Quantel Brilliant b
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13 Nd³⁺:YAG laser for excitation. The pulse duration was ~10 ns. Luminescence decays were
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15 detected at 610 nm. The Ru((CF₃)₂bpy)₃²⁺ concentration in the luminescence experiments was
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17 2·10⁻⁵ M, the phenol and pyridine concentrations were as indicated in the individual figure
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19 captions. The transient absorption measurements occurred by time-integration over a period of 5
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21 μs starting 2 μs after excitation. The Ru((CF₃)₂bpy)₃²⁺ concentration in the transient absorption
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23 experiments was 7·10⁻⁵ M, the phenol and pyridine concentrations were 0.1 - 0.5 M and 1.0 M,
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25 respectively.
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35 ASSOCIATED CONTENT

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38 Additional optical spectroscopic data, ¹H NMR titration data, derivation of equation 3,
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40 estimation of BDFEs for CH₃CN solution, error calculation. This material is available free of
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42 charge via the Internet at <http://pubs.acs.org>.
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56 **Author Contributions**

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3 The manuscript was written through contributions of all authors. All authors have given approval
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5 to the final version of the manuscript.
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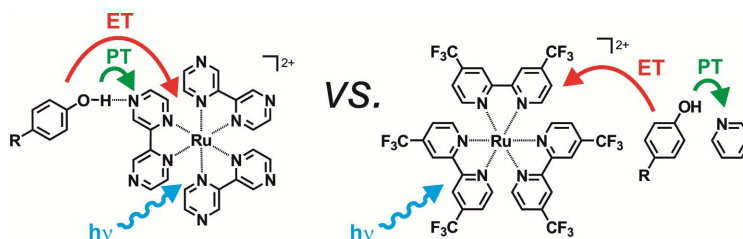
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44 below) and found these estimates to be associated with relative errors of 11%. The errors
45 associated with our activation free energy estimates which are based on k_{CPET} measurements at a
46 single temperature are therefore assumed to be associated with errors on the order of 11% as
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14 SYNOPSIS



27 Unidirectional and bidirectional PCET between photoexcited ruthenium complexes and phenolic
28 reaction partners were explored. The two fundamentally different PCET reaction types show
29 roughly the same dependence of reaction rates on phenol *para*-substituents, thereby supporting
30 the concept of thermodynamic equivalence between hydrogen-atom acceptors and separate
31 electron / proton acceptors.
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