

Phosphonate-functionalized heteroleptic ruthenium(II) bis(2,2':6',2"-1 2 terpyridine) complexes 3 4 5 6 Edwin C. Constable,* Catherine E. Housecroft,* Markéta Šmídková and 7 Jennifer A. Zampese 8 9 Department of Chemistry, University of Basel, Spitalstrasse 51, CH-4056 10 Basel, Switzerland 11 12 This paper is dedicated to our colleague Barry Lever whose contributions to 13 14 inorganic chemistry have extended over a long and distinguished career. 15 Abstract 16 17 The heteroleptic complexes $[Ru(1)(4)][PF_6]_2, [Ru(2)(4)][PF_6]_2,$ 18 $[Ru(Phtpy)(4)][PF_6]_2$ and $[Ru(pytpy)(4)][PF_6]_2$ (Phtpy = 4'-phenyl-2,2':6',2''-terpyridine, pytpy = 4'-(4-pyridyl)-2,2':6',2''-terpyridine, **1** and **2** = 19 4-methyl ester-substituted derivatives of Phtpy and pytpy, **4** = ethyl 20 21 2,2':6',2''-terpyridine-4'-phosphonate) have been prepared. The single 22 crystal structure of ligand 1 (1 = methyl 4-carboxy-4'-phenyl-2,2':6',2''-23 terpyridine) is reported. The introduction of the 4-methyl ester group 24 causes a small red shift in the MLCT band of the ruthenium(II) complexes, 25 and small shift to more positive potential for the Ru²⁺/Ru³⁺ couple. The new

26 complexes should serve as a useful starting point for development of
27 ruthenium(II) dyes suited for sensitization of p-type semiconductors.
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30 Introduction

31	The $\{Ru(tpy)_2\}$ chromophore (tpy = 2,2':6',2''-terpyridine) is one of the most
32	extensively studied domains 1 within metal oligopyridine coordination
33	chemistry. Tuning the photophysical and electrochemical properties of
34	{Ru(tpy) ₂ }-containing complexes is readily achieved through
35	functionalization of the ligand. In particular, the Kröhnke methodology ² is a
36	facile means of introducing a wide variety of substituents into the 4'-
37	position of tpy. Although at room temperature in solution, $[Ru(tpy)_2]^{2+}$ is
38	essentially non-emissive, ³ judicious choice of electron-donating or accepting
39	substituents can lead to significant enhancement of emission properties. ⁴
40	Among the many areas in which ruthenium(II) complexes containing
41	tpy-derived ligands have found a practical niche is that of the Grätzel solar
42	cell. ⁵ Our own interests in the development of sensitizers for the
43	photoanode in dye-sensitized solar cells (DSCs) have moved in the direction
44	of earth-abundant metals, in particular copper. ⁶ Although photon-to-power
45	conversion efficiencies reaching $3.77\%^7$ have been achieved with a
46	copper(I) sensitizer anchored to the n-type semiconductor (TiO ₂)
47	comprising the photoanode, this is significantly lower than those attained by
48	state-of-the-art ruthenium(II) dyes (>10%). ⁸ One strategy for improving
49	performance is to harvest photons at both electrodes, but this requires
50	different dyes suited for interaction with either the photoanode (n-type

51	semiconductor) or photocathode (p-type) in a so-called tandem cell. ⁹ In a
52	tandem DSC, the photocathode functions in an inverse mode with respect to
53	the photoanode, with excitation of the dye being followed by rapid hole
54	injection into the p-type semiconductor (e.g. NiO). Organic donor-acceptor
55	molecules are popular choices for photocathode sensitizers. ¹⁰ Excitation of
56	the sensitizer leaves a hole in the original HOMO of the dye into which an
57	electron is transferred from the valence band of the p-type semiconductor.
58	Thus, the HOMO/LUMO requirements of a p-type sensitizer are the reverse
59	of those of an n-type dye. It has been demonstrated that $[Ru(bpy)_2(N^N)]^{2+}$
60	(bpy = 2,2'-bipyridine, N^N = bipyridine-based anchoring ligand) complexes
61	sensitize NiO photocathodes and both CO_2H and $PO(OH)_2$ anchors adsorb
62	onto NiO. ¹¹ Ruthenium(II) complexes containing cyclometalated ligands,
63	and related to the archetypal $[Ru(bpy)_2(ppy)]^{+12,13}$ (Hppy = 2-
64	phenylpyridine) are also promising candidates for NiO sensitization. ^{14,15}
65	Low level MO calculations indicate that the HOMO of [Ru(tpy)(4'-
66	$(HO)_2OPtpy)]^{2+}$ type complexes $(4'-(HO)_2OPtpy = 2,2':6',2''-terpyridine-4'-$
67	phosphonic acid) may be localized on the phosphonic acid anchoring unit.
68	We have therefore undertaken a preliminary investigation of several
69	complexes of this type with the aim of provding a starting point for the
70	development of dyes for p-type semiconductors. The ancillary ligands ${f 1}$ and
71	${f 2}$ (Scheme 1) contain an ester functionality which provides a site for
72	variable functionalization, for example, through transesterification.
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Experimental

General: ¹H and ¹³C NMR spectra were recorded at 295 K on Bruker Avance 76 77 III-400 or III-500 NMR spectrometers (chemical shifts with respect to 78 residual solvent peaks and ∂ (TMS) = 0 ppm). Solution electronic absorption 79 and emission spectra were measured, respectively, using an Agilent 8453 80 spectrophotometer and Shimadzu 5301PC spectrofluorophotometer. 81 Solution quantum yields were measured using a Hamamatsu absolute PL 82 quantum yield spectrometer C11347 Quantaurus QY. A Shimadzu 8400S 83 spectrometer was used to record FT-IR spectra (all solid samples using a 84 Golden Gate accessory). Electrospray ionization (ESI) mass spectra and 85 high-resolution ESI mass spectra were recorded on Bruker esquire 3000plus 86 and Bruker maXis 4G mass spectrometers. Electrochemical measurements 87 were carried out using cyclic voltammetry and were recorded using a CH 88 Instruments 900B potentiostat with glassy carbon working and platinum 89 auxiliary electrodes; a silver wire was used as a pseudo-reference electrode. 90 The solvent was HPLC grade MeCN and 0.05 M [^{*n*}Bu₄N][PF₆] was used as 91 supporting electrolyte. All solutions were degassed with argon, and Cp₂Fe 92 was used as internal reference. A Biotage Initiator 8 reactor was used for 93 reactions under microwave conditions. Fluka silica 60 was used for column 94 chromatography. 95 The compounds (*E*)-1-(pyridin-2-yl)-3-(pyridin-4-yl)prop-2-en-1-96 one,¹⁶ (*E*)-3-phenyl-1-(pyridin-2-yl)prop-2-en-1-one,¹⁷ 1-(2-(4-

97 (methoxycarbonyl)pyridin-2-yl)-2-oxoethyl)pyridin-1-ium iodide,¹⁶ Phtpy¹⁷

98 pytpy¹⁸ and 4'- F_3CSO_3 -2,2':6',2''-terpyridine¹⁹ were prepared according to

99 published methods (Phtpy = 4'-phenyl-2,2':6',2''-terpyridine, pytpy = 4'-(4-

100 pyridyl)-2,2':6',2''-terpyridine). RuCl₃·3H₂O was purchased from OXKEM.

Compound 1

103	Ammonium acetate (9.60 g, 124.68 mmol) was dissolved in MeOH (110 mL).
104	(<i>E</i>)-3-Phenyl-1-(pyridin-2-yl)prop-2-en-1-one (1.00 g, 4.76 mmol) and 1-(2-
105	(4-(methoxycarbonyl)pyridin-2-yl)-2-oxoethyl)pyridin-1-ium iodide (2.21
106	g, 5.71 mmol) were added and the brown solution was heated at reflux for
107	16 h, during which time a brown precipitate formed. The reaction mixture
108	was then cooled to room temperature and left to stand overnight in a
109	freezer. The brown precipitate was collected on a glass frit, washed with
110	cold MeOH and dried in air. Compound ${f 1}$ was isolated as a pale brown
111	powder (0.56 g, 1.53 mmol, 33%). M.Pt. 197-198 °C. ¹ H NMR (500 MHz,
112	CDCl ₃) ∂ /ppm 9.16 (dd, <i>J</i> = 1.7, 0.9 Hz, 1H, H ^{D3}), 8.86 (dd, <i>J</i> = 5.0, 0.9 Hz, 1H,
113	H^{D6}), 8.78 (d, J = 1.7 Hz, 1H, H^{B3}), 8.75 (d, J = 1.7 Hz, 1H, H^{B5}), 8.74 (ddd, J =
114	4.7, 1.9, 1.0 Hz, 1H, H ^{A6}), 8.72 (dt, J = 7.9, 1.1 Hz, 1H, H ^{A3}), 7.90 (m, 4H,
115	$H^{A4+C2+D5}$), 7.52 (m, 2H, H^{C3}), 7.47 (m, 1H, H^{C4}), 7.37 (ddd, <i>J</i> = 7.5, 4.8, 1.2 Hz,
116	1H, H ^{A5}), 4.04 (s, 3H, H ^{OMe}). ¹³ C { ¹ H} NMR (126 MHz, CDCl ₃) ∂ / ppm 166.1
117	(C ^{C=0}), 157.6 (C ^{D2}), 156.2 (C ^{A2}), 156.1 (C ^{B2}), 155.3 (C ^{B6}), 150.6 (C ^{B4}), 150.0
118	(C ^{D6}), 149.2 (C ^{A6}), 138.5 (C ^{C1}), 138.4 (C ^{D4}), 137.2 (C ^{A4}), 129.3 (C ^{C4}), 129.1
119	(C ^{C3}), 127.5 (C ^{C2}), 124.1 (C ^{A5}), 122.9 (C ^{D5}), 121.7 (C ^{A3}), 120.8 (C ^{D3}), 119.5
120	(C ^{B5}), 119.3 (C ^{B3}), 52.9 (C ^{OMe}). ESI-MS (MeOH/CHCl ₃): <i>m/z</i> 390.0 [M+Na] ⁺
121	(calc. 390.1), 368.0 [M+H]+ (base peak, calc. 368.1). IR (solid, v/cm ⁻¹) 3051
122	(w), 2969 (w), 1723 (s), 1583 (m), 1548 (m), 1467 (w), 1432 (m), 1378 (s),
123	1268 (s), 1218 (s), 1132 (w), 1099 (w), 989 (m), 887 (w), 800 (m), 775 (m),
124	764 (s), 754 (s), 731 (s), 707 (s), 694 (s), 681 (s), 662 (s), 620 (s), 517 (s).
125	UV/VIS λ/nm (CH ₃ CN, 4.44 × 10 ⁻⁵ mol dm ⁻³) ($ε$ / dm ³ mol ⁻¹ cm ⁻¹) 253

- 126 (35000), 276 sh (27000), 310 sh (13000). Found C, 74.41; H, 4.67; N, 11.22;
- 127 $C_{23}H_{17}N_3O_2 \cdot 0.25H_2O$ requires C, 74.28; H, 4.74; N, 11.30%.

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131 **Compound 2**

- 132 Ammonium acetate (13 g, 160 mmol) was dissolved in MeOH (150 mL). (E)-
- 133 1-(Pyridin-2-yl)-3-(pyridin-4-yl)prop-2-en-1-one (0.92 g, 4.38 mmol) and 1-
- 134 (2-(4-(methoxycarbonyl)pyridin-2-yl)-2-oxoethyl)pyridin-1-ium iodide
- 135 (2.01 g, 5.25 mmol) were added and the brown suspension was heated at
- 136 reflux for 7 h; the solids slowly dissolved. The white precipitate which
- 137 formed was collected on a glass frit, washed with cold MeOH and Et₂O, and
- dried in air. Compound 2 was isolated as a white powder (1.43 g, 3.88 mmol,
- 139 89%). M.Pt. 216-217 °C. ¹H NMR (500 MHz, CDCl₃) ∂ /ppm 9.15 (dd, *J* = 1.6,
- 140 0.9 Hz, 1H, H^{D3}), 8.86 (dd, *J* = 5.0, 0.9 Hz, 1H, H^{D6}), 8.78 (d, *J* = 1.7 Hz, 1H,
- 141 H^{B3}), 8.76 (m, 3H, H^{C2+B5}), 8.72 (m, 2H, H^{A6+A3}), 7.92 (m, 2H, H^{A4+D5}), 7.80 (dd,
- 142 *J* = 4.5, 1.7 Hz, 2H, H^{C3}), 7.39 (ddd, *J* = 7.5, 4.8, 1.3 Hz, 1H, H^{A5}), 4.04 (s, 3H,
- 143 H^{OMe}). ¹³C {¹H} NMR (126 MHz, CDCl₃) ∂/ppm 165.9 (C^{C=0}), 156.9 (C^{D2}),
- 144 156.7 (C^{B2}), 155.6 (C^{B6}), 155.5 (C^{A2}), 150.6 (C^{C2}), 150.0 (C^{D6}), 149.3 (C^{A6}),
- 145 147.6 (C^{B4}), 145.9 (C^{C4}), 138.5 (C^{D4}), 137.2 (C^{A4}), 124.3 (C^{A5}), 123.2 (C^{D5}),
- 146 121.7 (C²³), 121.6 (C^{A3}), 120.7 (C^{D3}), 119.1 (C^{B3}), 118.9 (C^{B5}), 53.0 (C^{OMe}). ESI
- 147 MS (MeOH/CHCl₃): *m*/*z* 391.1 [M+Na]⁺ (base peak, calc. 391.1), 369.2
- 148 [M+H]⁺ (calc. 369.1). IR (solid, v/cm⁻¹) 3020 (w), 2961 (w), 1731 (s), 1583
- 149 (m), 1559 (m), 1538 (m), 1533 (m), 1475 (m), 1436 (m), 1378 (m), 1309

150 (w), 1292 (w), 1270 (m), 1263 (w), 1218 (m), 1211 (m), 1130 (w), 973 (w),

- 151 895 (w), 821 (m), 795 (s), 770 (s), 736 (w), 682 (m), 669 (m), 660 (m), 618
- 152 (m), 533 (m). UV/VIS λ /nm (ε / dm³ mol⁻¹ cm⁻¹) (CH₃CN, 4.22 × 10⁻⁵ mol
- 153 dm⁻³) 242 (33000), 281 (16000), 316 sh (10000). Found C, 70.96; H, 4.44; N,
- 154 15.19; $C_{22}H_{16}N_4O_2 \cdot 0.25H_2O$ requires C, 70.86; H, 4.46; N, 15.02%.
- 155

156 **Compound 3**

- 157 4'-F₃CSO₃-2,2':6',2''-Terpyridine (0.80 g, 2.10 mmol) and [Pd(PPh₃)₄] (0.24
- 158 g, 0.21 mmol) were suspended in MeCN (17 mL) in a microwave vial (20
- mL), and then NEt₃ (0.38 g, 3.78 mmol) and diethyl phosphite (0.49 g, 3.57
- 160 mmol) were added. The brown suspension was heated in a microwave
- 161 reactor (140 °C, 30 min) and then allowed to cool to room temperature. The
- 162 reaction mixture was diluted with toluene and washed with aqueous NH₄OH
- 163 (32%) and H_2O . The organic layer was dried over MgSO₄, filtered and the
- 164 solvent removed in vacuo. The crude brown solid was purified by flash
- 165 column chromatography (SiO₂), first eluting with CH₂Cl₂ to remove Ph₃PO
- and then with $CH_2Cl_2/MeOH$ (98 : 2). Compound **3** was isolated as a pale
- brown solid (0.65 g, 1.76 mmol, 84%). The NMR spectroscopic data matched
 those published.²⁰
- 169

170 [Ru(3)Cl₃]

Compound 3 (0.60 g, 1.63 mmol) and RuCl₃·3H₂O (0.43 g, 1.63 mmol) were
suspended in EtOH (200 mL) and the reaction mixture was heated at reflux
for 3.5 h. The brown solid which formed was separated by filtration, washed
with cold EtOH and Et₂O and dried in air yielding a red-brown powder (0.83

g, 1.44 mmol, 88%). The product was used for the next step without furtherpurification and characterization.

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178 [Ru(Phtpy)(4)][PF₆]₂

179 Phtpy (64 mg, 0.21 mmol) and [Ru(**3**)Cl₃] (119 mg, 0.21 mmol) were

180 suspended in dry EtOH (3.5 mL) in a microwave reactor vial. *N*-

181 Ethylmorpholine (3 drops) was added and the reaction mixture was heated

in a microwave reactor at 140 °C for 15 min. The dark red solution was

183 poured into aqueous NH₄PF₆ (250 mL) yielding a red precipitate which was

184 collected on Celite and washed with cold water (250 mL) and Et₂O (20 mL).

185 The residue was redissolved in CH₃CN and then solvent removed in vacuo to

186 give a dark red solid. This was purified by column chromatography (SiO₂,

eluted with CH_3CN /saturated aqueous KNO_3/H_2O 7 : 1 : 0.5 by vol.). The first

red band was collected, aqueous NH₄PF₆ added and solvent evaporated until

a red precipitate formed. This was collected on Celite and washed

thoroughly with cold H_2O (250 mL), cold EtOH (15 mL) and Et₂O (15 mL).

191 The residue was redissolved in CH₃CN and solvent removed in vacuo.

192 $[Ru(Phtpy)(4)][PF_6]_2$ was isolated as a red powder (200 mg, 0.192 mmol,

193 93%). ¹H NMR (400 MHz, CD₃CN) ∂/ppm 9.06 (d, J_{PH} = 11 Hz, 2H, H^{F3}), 8.99

194 (s, 2H, H^{B3}), 8.68 (m, 4H, H^{A3+E3}), 8.20 (m, 2H, H^{C2}), 7.90 (m, 4H, H^{A4+E4}), 7.76

195 (m, 2H, H^{C3}), 7.68 (m, 1H, H^{C4}), 7.39 (m, 4H, H^{A6+E6}), 7.15 (m, 4H, H^{A5+E5}), 4.05

196 (m, 2H, $H^{CH2(Et)}$), 1.31 (t, J = 7.0 Hz, 3H, $H^{CH3(Et)}$). ¹³C {¹H} NMR (126 MHz,

197 CD₃CN) ∂ /ppm 159.3 (C^{E2}), 158.8 (C^{A2}), 156.2 (C^{B2}), 155.7 (d, J_{PC} = 12 Hz,

198 C^{F2}), 153.7 (C^{A6/E6}), 153.3 (C^{A6/E6}), 149.2 (C^{B4}), 139.0 (C^{A4+E4}), 137.9 (C^{C1}),

199 131.3 (C^{C4}), 130.6 (C^{C3}), 128.7 (C^{C2}), 128.5 (C^{A5/E5}), 128.2 (C^{A5/E5}), 126.4 (d,

- 200 $J_{PC} = 20 \text{ Hz}, C^{F3}$, 125.6 ($C^{A3/E3}$), 125.4 ($C^{A3/E3}$), 122.5 (C^{B3}), 61.8 ($C^{CH2(Et)}$),
- 201 17.5 (C^{CH3(Et)}) (C^{F4} not resolved). IR (solid, v/cm⁻¹) 3315 (br m), 1662 (w),
- 202 1605 (w), 1542 (w), 1473 (w), 1412 (m), 1392 (m), 1345 (m), 1289 (w),
- 203 1209 (m), 1162 (w), 1140 (m), 1078 (m), 1034 (m), 962 (w), 898 (w), 826
- 204 (s), 791 (s), 764 (s), 733 (m), 689 (s), 664 (m), 603 (m). ESI-MS (MeCN): *m/z*
- 205 751.4 [M H 2PF₆]⁺ (100%, calc. 751.1). HR ESI-MS *m*/*z*: 376.0621 [M –
- 206 2PF₆]²⁺ (base peak, calc. 376.0619), 751.1172 [M H 2PF₆]⁺ (calc.
- 207 751.1165). UV/VIS λ / nm (MeCN, 2.88 × 10⁻⁵ mol dm⁻³) (ε / dm³ mol⁻¹ cm⁻
- ¹) 274 (59000), 280 sh (54500), 310 (63000), 330 sh (34000), 485 (23000).
- 209 Emission (MeCN, 3×10^{-5} mol dm⁻³, $\lambda_{ex} = 485$ nm): $\lambda_{em} = 647$ nm.
- 210 Satisfactory elemental analysis could not be obtained (see text).

211

212 [Ru(pytpy)(4)][PF₆]₂

- 213 The method was as for [Ru(Phtpy)(4)][PF₆]₂ starting with pytpy (160 mg,
- 214 0.52 mmol) and [Ru(**3**)Cl₃] (300 mg, 0.52 mmol). [Ru(pytpy)(**4**)][PF₆]₂ was
- 215 isolated as a red powder (130 mg, 0.125 mmol, 24%). ¹H NMR (500 MHz,
- 216 CD₃CN) *∂*/ppm 9.05 (d, J_{PH} = 11 Hz, 2H, H^{F3}), 9.03 (s, 1H, H^{B3}), 8.95 (m, 2H,
- 217 H^{C2}), 8.64 (d, J = 7.9 Hz, 2H, $H^{A3/E3}$), 8.61 (d, J = 8.1 Hz, 2H, $H^{A3/E3}$), 8.12 (m,
- 218 2H, H^{C3}), 7.94 (m, 2H, H^{A4/E4}), 7.88 (m, 2H, H^{A4/E4}), 7.42 (d, *J* = 6.7 Hz, 2H,
- 219 $H^{A6/E6}$), 7.35 (d, J = 6.7 Hz, 2H, H^{E6}), 7.18 (m, 2H, $H^{A5/E5}$), 7.15 (m, 2H, $H^{A5/E5}$),
- 220 4.05 (m, 2H, $H^{CH2(Et)}$), 1.32 (t, J = 6.8 Hz, 3H, $H^{CH3(Et)}$). ¹³C {¹H} NMR (126
- 221 MHz, CD₃CN) *∂*/ppm 158.7 (C^{E2}), 158.5 (C^{A2}), 158.0 (C^{F2}), 157.0 (C^{B2}), 153.8
- 222 (C^{A6/E6}), 153.7 (C^{A6/E6}), 151.5 (C²), 145.3 (C^{B4+C4}), 139.3 (C^{A4+E4}), 128.8
- 223 (C^{A5/E5}), 128.6 (C^{A5/E5}), 126.2 (d, J_{PC} \approx 20 Hz, C^{F3}), 126.1 (C^{A3/E3}), 126.0
- 224 (C^{A3/E3}), 123.2 (C^{B3}), 123.1 (C^{C3}), 63.2 (C^{CH2(Et)}), 17.2 (C^{CH3(Et)}) (C^{F4} not

225 resolved). IR (solid, v/cm⁻¹) 3350 (br s), 1660 (w), 1599 (s), 1532 (w), 1475

226 (m), 1394 (m), 1352 (w), 1291 (w), 1202 (s), 1166 (w), 1075 (m), 1069 (m),

227 1038 (m), 1028 (s), 942 (m), 844 (s), 826 (s), 818 (s), 784 (m), 776 (m), 745

- 228 (m). ESI-MS (CH₃CN): m/z 376.5 [M 2PF₆]²⁺ (calc. 376.6). HR ESI-MS m/z:
- 229 376.5600 $[M 2PF_6]^{2+}$ (base peak, calc. 376.5595), 752.1135 $[M H 2PF_6]^{+}$
- 230 (calc. 752.1117). UV/VIS λ / nm (CH₃CN, 1 × 10⁻⁵ mol dm⁻³) (ε / dm³ mol⁻¹
- 231 cm⁻¹) 273 (54700), 282 sh (42000), 311 (50300), 331 sh (33000), 486
- 232 (21000). Emission (CH₃CN, 3.84×10^{-5} mol dm⁻³, $\lambda_{ex} = 486$ nm): $\lambda_{em} = 704$
- 233 nm. Found: C, 42.94; H, 3.76; N, 10.33; C₃₇H₃₀F₁₂N₇O₃P₃Ru·H₂O·1.5CH₃CN
- 234 (1122.60) requires C, 42.81; H, 3.28; N, 10.16%.
- 235

236 [Ru(1)(4)][PF₆]₂

The method was as for $[Ru(Phtpy)(4)][PF_6]_2$ starting with 1 (71 mg, 0.19

238 mmol) and [Ru(**3**)Cl₃] (112 mg, 0.19 mmol). [Ru(**1**)(**4**)][PF₆]₂ was isolated

239 as a red powder (177 mg, 0.161 mmol, 83%). ¹H NMR (400 MHz, CD₃CN)

240 ∂ /ppm 9.15 (d, J = 1.4 Hz, 1H, H^{B3/B5}), 9.12 (d, J_{PH} = 10. Hz, 2H, H^{F3}), 9.08 (d, J

241 = 1.2 Hz, 1H, H^{D3}), 9.05 (d, J = 1.4 Hz, 1H, H^{B3/B5}), 8.72 (d, J = 8.2 Hz, 2H, H^{E3}),

242 8.66 (d, J = 7.9 Hz, 1H, H^{A3}), 8.24 (m, 2H, H^{C2}), 7.94 (td, J = 7.9, 1.5 Hz, 1H,

243 H^{A4}), 7.89 (td, *J* = 7.9, 1.5 Hz, 2H, H^{E4}), 7.77 (m, 2H, H^{C3}), 7.69 (m, 1H, H^{C4}),

244 7.63 (d, J = 5.8 Hz, 1H, H^{D6}), 7.56 (dd, J = 5.8, 1.8 Hz, 1H, H^{D5}), 7.44 (d, J = 5.5

245 Hz, 1H, H^{A6}), 7.39 (dd, *J* = 5.6, 1.4 Hz, 2H, H^{E6}), 7.18 (m, 1H, H^{A5}), 7.13 (ddd, *J*

246 = 7.7, 5.6, 1.3 Hz, 2H, H^{E5}), 4.07 (m, 2H, H^{CH2(Et)}), 3.90 (s, 3H, H^{OMe}), 1.29 (t, J =

247 7.0 Hz, 3H, H^{CH3(Et)}). ¹³C {¹H} NMR (126 MHz, CD₃CN) ∂/ppm 165.0 (C^{C=0}),

248 160.6 (C^{D2}), 159.5 (C^{E2}), 159.0 (C^{A2}), 156.4 (C^{B2}), 156.0 (C^{B6}), 154.7 (C^{D6}),

249 155.6 (d, J_{PC} = 14 Hz, C^{F2}), 153.7 (C^{A6}), 153.3 (C^{E6}), 149.4 (C^{B4}), 139.4 (C^{D4}),

251 (C^{A5+E5}), 128.2 (C^{D5}), 127.6 (d, J_{PC} = 10 Hz, C^{F3}), 126.8 (C^{E3}), 126.5 (C^{A3}),

252 125.1 (C^{D3}), 124.0 (C^{B3/B5}), 123.7 (C^{B3/B5}), 62.1 (C^{CH2(Et)}), 54.3 (C^{OMe}), 17.5

- 253 (C^{CH3(Et)}) (C^{F4} not resolved). IR (solid, v/cm⁻¹) 3347 (br m), 1722 (w), 1605
- 254 (w), 1363 (m), 1268 (w), 1165 (w), 1137 (w), 1075 (w), 1032 (w), 945 (w),
- 255 825 (s), 787 (m), 767 (m), 700 (w), 607 (w). ESI-MS (CH₃CN): *m/z* 809.5 [M-
- 256 H-2PF₆]⁺ (base peak, calc. 809.1). HR ESI-MS *m/z*: 405.0654 [M 2PF₆]²⁺

257 (base peak, calc. 405.0647), 809.1233 [M – H – 2PF₆]⁺ (calc. 809.1220).

- 258 UV/VIS λ / nm (CH₃CN, 3.6 × 10⁻⁵ mol dm⁻³) (ε / dm³ mol⁻¹ cm⁻¹) 274
- 259 (56000), 285 (51500), 309 (57000), 330 sh (41500), 491 (20000).
- 260 Satisfactory elemental analysis was not obtained (see text).

261

262 [Ru(2)(4)][PF₆]₂

- 263 The method was as for $[Ru(Phtpy)(4)][PF_6]_2$ starting with 2 (50 mg, 0.14
- 264 mmol) and $[Ru(3)Cl_3]$ (78 mg, 0.14 mmol). $[Ru(2)(4)][PF_6]_2$ was isolated as

265 a red powder (35 mg, 0.032 mmol, 23%). ¹H NMR (500 MHz, CD₃CN) ∂/ppm

266 9.23 (d, *J*_{PH} = 11.5 Hz, 2H, H^{F3}) overlapping with 9.14 (d, *J* = 1.5 Hz, 1H,

267 $H^{B3/B5}$), 9.12 (d, J = 1.3 Hz, 1H, $H^{B3/B5}$), 9.09 (d, J = 1.4 Hz, 1H, H^{D3}), 8.98 (m,

- 268 2H, H^{C2}), 8.77 (m, 3H, H^{A3+E3}), 8.19 (m, 2H, H^{C3}), 7.98 (td, *J* = 8.1, 1.4 Hz, 1H,
- 269 H^{A4}), 7.92 (td, J = 7.9, 1.5 Hz, 2H, H^{E4}), 7.61 (m, 2H, H^{D5+D6}), 7.46 (d, J = 5.6 Hz,
- 270 1H, H^{A6}), 7.38 (dd, *J* = 5.7, 1.3 Hz, 2H, H^{E6}), 7.21 (m, 1H, H^{A5}), 7.16 (ddd, *J* =
- 271 7.2, 5.6, 1.2 Hz, 2H, H^{E5}), 4.27 (m, 2H, H^{CH2(Et)}), 3.91 (s, 3H, H^{OMe}), 1.41 (t, J =

272 6.9 Hz, 3H, H^{CH3(Et)}). ¹³C {¹H} NMR (126 MHz, CD₃CN) ∂/ppm 164.3 (C^{C=0}),

- 273 160.1 (C^{D2}), 159.5 (C^{F2}), 159.3 (C^{E2}), 158.8 (C^{A2}), 157.1 (C^{B2}), 156.5 (C^{B6}),
- 274 154.8 (C^{D6}), 153.7 (C^{A6}), 153.4 (C^{E6}), 151.7 (C^{C2}), 146.4 (C^{B4}), 145.2 (C^{C4}),

275 139.4 (C^{A4}), 139.3 (C^{E4}), 128.6 (C^{A5}), 128.5 (C^{E5}), 127.3 (C^{D5}), 126.4 (d, *J*_{PC} ≈ 276 10 Hz, CF3), 126.0 (CE3), 125.7 (CA3), 124.4 (CB3/B5), 123.3 (CB3/B5), 123.0 277 (C^{D3}), 123.1 (C^{C3}), 62.6 (C^{CH2(Et)}), 53.8 (C^{OMe}), 16.9 (C^{CH3(Et)}) (C^{F4} and C^{D4} not resolved). IR (solid, v/cm^{-1}) 3211 (br s), 1729 (m), 1635 (w), 1600 (w), 278 279 1475 (w), 1409 (m), 1344 (w), 1313 (m), 1268 (m), 1235 (m), 1165 (m), 280 1138 (m), 1076 (m), 1030 (m), 950 (m), 826 (s), 786 (s), 753 (m), 688 (m), 281 652 (m), 605 (m). ESI-MS (MeCN): *m/z* 405.6 [M – 2PF₆]²⁺ (calc. 405.6). HR 282 ESI-MS *m/z*: 405.5628 [M – 2PF₆]²⁺ (base peak, calc. 405.5623), 810.1187 [M 283 $-H - 2PF_6$]+ (calc. 810.1173). UV/VIS λ / nm (CH₃CN, 3.63 × 10⁻⁵ mol dm⁻³) (ε / dm³ mol⁻¹ cm⁻¹) 274 (51000), 284 sh (43500), 308 (45000), 330 sh 284 285 (37000), 491 (18500). Satisfactory elemental analysis could not be obtained 286 (see text).

287

288 Crystal structure determination of 1

289 Data were collected on a Bruker-Nonius Kappa APEX diffractometer; data

reduction, solution and refinement used APEX2²¹ and SHELX13.²²

Absorption correction was made using the program 'sadabs', as part of the

292 'scale' package in AEPX2 software.²¹ The ORTEP plot was produced with

293 Mercury v. $3.0^{23,24}$ which was also used for structure analysis. $C_{23}H_{17}N_3O_2$, M

= 367.40, colorless plate, crystal dimensions 0.25 × 0.13 × 0.03 mm,

295 monoclinic, space group P2₁/*c*, *a* = 9.9644(9), *b* = 9.0359(8), *c* =

296 20.0424(17) Å, β = 96.975(6)°, U = 1791.2(3) Å³, Z = 4, D_c = 1.362 Mg m⁻³,

297 μ (Cu-K α) = 8.224 mm⁻¹, *T* = 123 K. Total 18887 reflections, 3181 unique,

298 $R_{int} = 0.0428$. Refinement of 2763 reflections (254 parameters) with I

299 >2 σ (*I*) converged at final *R*1 = 0.0378 (*R*1 all data = 0.0439), *wR*2 = 0.1009

- 300 (*wR*2 all data = 0.1048), gof = 1.064. CCDC 983369 contains the
- 301 supplementary crystallographic data for this paper. These data can be

302 obtained, free of charge, via

- 303 http://www.ccdc.cam.ac.uk/products/csd/request/ (or from the Cambridge
- 304 Crystallographic Data Centre, 12 Union Road, Cambridge CB2 1EZ, U.K. (Fax:
- 305 44-1223-336033 or e-mail: deposit@ccdc.cam.ac.uk)).

306



- 307
- 308 Scheme 1. Structures of ligands **1–4** and of Phtpy and pytpy, with atom
- 309 numbering used for NMR spectroscopic assignments; when R = H, ring A =
- 310 ring D.
- 311

312 **Results and discussion**

- 313 Synthesis and characterization of ligands 1 and 2
- 314 Compounds **1** and **2** (Scheme 1) are the 4'-phenyl and 4'-(4-pyridyl)
- analogues of 4'-tolyl-2,2':6',2''-terpyridine, the preparation and homoleptic
- 316 ruthenium(II) complex of which were reported a decade ago by Potvin and

317 coworker.²⁵ Scheme 2 shows the Kröhnke synthesis of **1** and **2** which 318 yielded the compounds in 33 and 89%, respectively, as white solids. In the 319 electrospray mass spectrum of **1**, the base peak (m/z = 338.0) arises from 320 the $[M+H]^+$ ion, and a lower intensity peak at m/z = 390.0 was assigned to 321 $[M+Na]^+$. Corresponding peaks at m/z 369.2 and 391.1 in the mass spectrum 322 of **2** were also observed. The ¹H and ¹³C NMR spectra of **1** and **2** were fully 323 assigned with COSY, HMQC and HMBC techniques and were consistent with 324 the inequivalence of the outer pyridine rings of the tpy domain (Scheme 1) 325 and the presence of the ester group.

326



328

327

329 Scheme 2. Synthetic route to ligands **1** and **2**. Conditions: (i) MeOH, reflux.

330

331 Single crystals of **1** were grown by slow evaporation from a CHCl₃ solution of 332 the compound and the structure (Figure 1) was confirmed by X-ray 333 diffraction. Important bond parameters are given in the figure caption. The 334 tpy unit adopts a *trans,trans*-conformation, which is expected for a non-335 protonated ligand. The tpy domain is essentially planar (the angles between 336 the least squares planes through the rings containing N1/N2 and N2/N3 =337 5.5 and 4.5°); the phenyl ring is twisted 27.6° with respect to the pyridine 338 ring to which it is attached, consistent with minimizing H...H repulsions 339 between the two rings. The dominant packing interactions are (i) face-toface π -stacking of tpy domains across inversion centres, (ii) H_{methyl}...N_{pyridine} contacts (H23A...N1ⁱ = 2.98, H23B...N1ⁱ = 2.81 Å, symmetry code i = 1+*x*, 1+*y*, *z*), and (iii) N_{pyridine}...HC contacts (N3...H3Aⁱⁱ-C3ⁱⁱ = 2.57 Å, symmetry code ii = *x*, 3/2 - y, 1/2 + z).

344



345

Fig. 1. ORTEP representation of the structure of **1** (ellipsoids plotted at 50%)

347 probability level). Selected bond parameters: N1–C1 = 1.342(2), N1–C5 =

348 1.3386(19), N2-C6 = 1.3415(18), N2-C10 = 1.3412(17), N3-C11 =

349 1.3463(17), N3-C15 = 1.3295(19), C13-C22 = 1.4993(19), O1-C22 =

350 1.2052(18), C22–O2 = 1.3309(18), O2–C23 = 1.4524(18) Å; C5–N1–C1 =

351 117.26(13), C6-N2-C10 = 117.72(12), C15-N3-C11 = 117.80(12), O1-C22-

352 02 = 124.63(13), 01-C22-C13 = 124.21(13), 02-C22-C13 = 111.15(12),
353 C22-02-C23 = 117.15(12)°.

354

355

356 The diethylphosphonate-functionalized ligand **3** has previously been reported by Grätzel and coworkers.²⁰ The literature synthesis (which gives 357 358 **3** in 72.3% yield) involves the $[Pd(PPh_3)_4]$ catalysed reaction of 4'-bromo-359 2,2':6',2"-terpyridine with diethyl phosphite in NEt₃ (95 °C for 3 h) followed 360 by dissolution of the mixture in MeOH and chromatographic workup. We 361 adopted the more convenient strategy shown in Scheme 2. The 4'-triflate-362 functionalized tpy was readily prepared according to the route described by 363 Potts et al,¹⁹ and diethylphosphonate for triflate substitution occurs under 364 microwave conditions to give 4 in 84% yield. The NMR spectroscopic data for **4** were consistent with those published.²⁰ 365

366



367

368 Scheme 3. Synthesis of phosphonate **4**. Conditions: (i) [Pd(PPh₃)₄], NEt₃,

- 369 HP(0)(OEt)₂, MeCN, 140 °C, 30 min.
- 370

371 Synthesis and characterization of heteroleptic ruthenium(II)

372 complexes

373 The heteroleptic complexes discussed in this section are summarized in Scheme 4. Heteroleptic [Ru(Xtpy)(Ytpy)]²⁺ complexes are typically prepared 374 375 by first preparing an insoluble, paramagnetic ruthenium(III) complex 376 [Ru(Xtpy)Cl₃], and treating this crude material with Ytpy in the presence of *N*-ethylmorpholine which acts as a reducing agent.²⁶ The precursor for the 377 378 formation of the new ruthenium(II) complexes was [Ru(3)Cl₃], prepared by 379 reaction of RuCl₃·3H₂O with compound **3** in MeOH under reflux. [Ru(**3**)Cl₃] 380 was isolated as a brown solid.



382 Scheme 4. Structures of the heteroleptic complex cations prepared as383 hexafluoridophosphate salts.

384

381

385 Model compounds containing Phtpy and pytpy (Scheme 1) were first

prepared by reaction of [Ru(**3**)Cl₃] with Phtpy and pytpy in the presence of

- 387 *N*-ethylmorpholine. After anion exchange and chromatographic workup,
- 388 followed by a second anion exchange (to remove [NO₃]- introduced from
- aqueous KNO₃ in the eluant), the ruthenium(II) salts were isolated as red
- 390 solids. Electrospray mass spectrometic and NMR spectroscopic data were

391	consistent with the isolated products being complexes of the monoester ${f 4}$
392	(Scheme 2) rather than the diester 3 . Partial hydrolysis of 3 during
393	synthesis of ruthenium(II) complexes is known to occur under conditions of
394	high temperature reflux ²⁰ or heating in DMF at 60 °C. ²⁷ The second
395	hydrolysis step to the phosphonic acid needs acidic conditions or treatment
396	with Me ₃ SiBr. The ESI mass spectrum of $[Ru(Phtpy)(4)][PF_6]_2$ showed the
397	base-peak envelope at m/z 751.4 with an appropriate isotope pattern for
398	the ion $[M - H - 2PF_6]^+$. The loss of H ⁺ is consistent with the presence of the
399	acidic P–OH group. The high resolution ESI (HR-ESI) mass spectrum was
400	also recorded and peaks arising from $[M - H - 2PF_6]^+$ and $[M - 2PF_6]^{2+}$
401	confirmed the identity of [Ru(Phtpy)(4)] ²⁺ . The HR-ESI mass spectrum of
402	$[Ru(pytpy)(4)][PF_6]_2$ exhibited peak envelopes arising from the $[M - H - M]$
403	$2PF_6]^+$ and $[M - 2PF_6]^{2+}$ ions, and the latter was also observed in the ESI
404	mass spectrum.
405	The ¹ H and ¹³ C NMR spectra of CD ₃ CN solutions of
406	$[Ru(Phtpy)(4)][PF_6]_2$ and $[Ru(pytpy)(4)][PF_6]_2$ were consistent with the
407	presence of two tpy environments in each complex. A representative
408	spectrum is shown in Figure 2. Spectra were assigned using 2D methods
409	(COSY, HMQC and HMBC); 400 MHz 1 H spectra were routinely recorded for
410	better resolution of signals and 500 MHz $^{1}\mathrm{H}$ for 2D measurements. The most
411	characteristic feature of the spectrum in Figure 2 is the appearance of a
412	singlet for protons H^{B3} (Phtpy ligand) and a doublet for the corresponding
413	protons H^{F3} (ligand 4) arising from ³¹ P- ¹ H coupling (11 Hz). For
414	[Ru(Phtpy)(4)][PF ₆] ₂ , signals at ∂ 4.05 and 1.31 ppm in the ¹ H NMR
415	spectrum and their relative integrals with respect to resonances in the

- 416 aromatic region were consistent with the monoester **4**; in the ¹³C NMR
- 417 spectrum, corresponding signals at ∂ 61.8 and 17.5 ppm were observed.



from H^{B3} and H^{B5}. Pairs of signals for H^{E3}/H^{A3}, H^{E4}/H^{A4}, H^{E5}/H^{A5} and H^{E6}/H^{A6}
with relative integrals 2 : 1 appear for the unsubstituted pyridine rings in
ligand 4 and for ligands 1 or 2, respectively The signal for H^{D3} (*J*_{HH}= 1.4 Hz)
was distinguished from those of H^{B3} and H^{B5} by its COSY signature. The
relative integrals for the signals for the ethyl groups in 4 in both complexes
were consistent with the monoester.





442 $[Ru(2)(4)][PF_6]_2$. See Scheme 1 for ring labelling.

443

444 Yields of $[Ru(Phtpy)(4)][PF_6]_2$ and $[Ru(1)(4)][PF_6]_2$ were >80% 445 yield, but for the complexes containing pytpy, lower yields of ca. 25% were 446 observed, due, in part, to formation of some of the N-protonated species. We 447 noted changes in the ¹H NMR spectra which were consistent with 448 protonation of samples in solution. Satisfactory elemental analysis could not 449 always be obtained for the hexafluoridophosphate salts, probably due to 450 small amounts of residual NH₄PF₆. Traces of [NH₄]⁺ were seen in the ¹H NMR 451 spectra (∂ 6.02, $I(^{14}N^{1}H) = 53$ Hz) of some batches of the complexes. X-ray

quality crystals of solvated [Ru(pytpy)(4)][PF₆]₂ were obtained, but only
preliminary structural data could be obtained because of persistent
twinning problems. However, these data were sufficient to confirm the
presence of the monoester ligand 4 and the octahedral coordination
environment of the ruthenium(II) centre bound by the bis(chelating) donor
sets of pytpy and ligand 4. Despite attempts, X-ray quality single crystals of
the other ruthenium(II) complexes were not obtained.

459

460 Absorption and emission spectroscopic properties

461 The absorption spectra of MeCN solutions of the complexes are shown in 462 Figure 4. Each exhibits a series of high-energy bands arising from ligand-463 based, spin-allowed transitions, and a broad MLCT band in the visible 464 region. The values of λ_{max} for the MLCT absorptions (485–491 nm, see 465 experimental section) compare with 488 nm for both $[Ru(Phtpy)_2][PF_6]_2^{26}$ 466 and $[Ru(pytpy)_2][PF_6]_2$.²⁸ The spectra for $[Ru(Phtpy)(4)][PF_6]_2$ and 467 $[Ru(pytpy)(4)][PF_6]_2$ are similar to one another and to those of the homoleptic complexes [Ru(Phtpy)₂][PF₆]₂²⁶ and [Ru(pytpy)₂][PF₆]₂.²⁸ The 468 469 introduction of the methyl ester substituent leads to a change in the 470 appearance of the absorption maxima (Figure 4), the trend being the same 471 on going from $[Ru(Phtpy)(4)][PF_6]_2$ to $[Ru(1)(4)][PF_6]_2$, and from 472 $[Ru(pytpy)(4)][PF_6]_2$ to $[Ru(2)(4)][PF_6]_2$. The small red-shift in the MLCT 473 band upon introduction of the CO₂Me group is consistent with that observed on going from $[Ru(ttpy)_2]^{2+}$ to $[Ru(4-MeO_2Cttpy)_2]^{2+}$ (ttpy = 4'-tolyl-474 2,2':6',2"-terpyridine; 4-MeO₂Cttpy = 4-carboxymethyl-4'-tolyl-2,2':6',2"-475 terpyridine).²⁵ 476



479 Fig. 4. Absorption spectra of MeCN solutions of [Ru(Phtpy)(4)][PF₆]₂,

480 $[Ru(pytpy)(4)][PF_6]_2, [Ru(1)(4)][PF_6]_2 \text{ and } [Ru(2)(4)][PF_6]_2. \text{ See}$

481 experimental section for concentrations.

482

483 Excitation into the MLCT band of each of $[Ru(Phtpy)(4)][PF_6]_2$ and 484 $[Ru(1)(4)][PF_6]_2$ (in degassed MeCN at room temperature) gives rise to a 485 weak emission at 647 and 665 nm, respectively, with a quantum yield below 486 the detection limit of the instrument (QY <1%).

487

488 Electrochemical properties

The complexes are electrochemically active and cyclic voltammetric data are given in Table 1. The reversible oxidation observed for each complex arises from the Ru^{2+}/Ru^{3+} couple. For the parent $[Ru(tpy)_2]^{2+}$, this process occurs at +0.918 V,²⁶ and introducing electron-donating phenyl groups shifts it to lower potential (+0.895 V in $[Ru(Phtpy)_2][PF_6]_2$).²⁶ Replacing one phenyl substituent by the electron-withdrawing phosphonic ester group shifts the

- 495 oxidation to +0.93 V (Table 1). A similar trend is seen on comparing the
- 496 Ru^{2+}/Ru^{3+} potential in $[Ru(pytpy)_2][PF_6]_2$ (+0.95 V)²⁸ with that in
- 497 [Ru(pytpy)(4)][PF₆]₂ (+1.01 V). Introduction of the methyl ester unit results
- in a 0.03 V shift to more positive potential on going from
- 499 [Ru(Phtpy)(4)][PF₆]₂ to [Ru(1)(4)][PF₆]₂, or from [Ru(pytpy)(4)][PF₆]₂ to
- 500 $[Ru(2)(4)][PF_6]_2$. This is consistent with the trend observed from
- 501 [Ru(ttpy)₂]²⁺ to [Ru(4-MeO₂Cttpy)₂]^{2+.25} A series of ligand-based reduction

502 processes is observed for each complex (Table 1), consistent with

503 expectations based on related compounds.

504

505

Table 1. Cyclic voltammetric data for the ruthenium(II) complexes with

507 respect to Fc/Fc⁺ in MeCN solutions with [^tBu₄N][PF₆] as supporting

electrolyte, and a scan rate of 0.1 V s⁻¹ (ir = irreversible; qr = quasi-

509 reversible).

Complex	$E_{1/2}^{\rm ox}$ / V	$E_{1/2}^{\mathrm{red}}$ / V
$[Ru(Phtpy)(4)][PF_6]_2$	+0.93	-1.68, -1.93 ^{qr}
[Ru(1)(4)][PF ₆] ₂	+0.96	-1.49, -1.90, -2.23 ^{ir}
[Ru(pytpy)(4)][PF ₆] ₂	+1.01	–1.57, –2.00 ^{ir}
[Ru(2)(4)][PF ₆] ₂	+1.04	-1.43, -1.85

510

511

512 **Conclusions**

513 We have prepared and characterized four new heteroleptic complexes

514 containing {Ru(tpy)₂}-cores. One ligand contains a phosphonate ester group

515 designed to act as an anchoring group to metal oxide surfaces. The second

516 ligand is Phtpy or pytpy in the model systems and contains a methyl ester

517 functionality in the second of each pair of complexes. This provides a

- 518 suitable site for variable functionalization, for example, through
- transesterification. We plan to use the heteroleptic complexes as a starting
- 520 point for development of ruthenium(II) dyes suited for sensitization of p-
- 521 type semiconductors.
- 522

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