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2012

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Phylogenomic and functional domain analysis of polyketide synthases in *Fusarium*

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ABSTRACT

Fusarium species are ubiquitous in nature, cause a range of plant diseases, and produce a variety of chemicals often referred to as secondary metabolites. Although some fungal secondary metabolites affect plant growth or protect plants from other fungi and bacteria, their presence in grain-based food and feed is more often associated with a variety of diseases in plants and in animals. Many of these structurally diverse metabolites are derived from a family of related enzymes called polyketide synthases (PKSs). A search of genomic sequence of *Fusarium verticillioides*, *Fusarium graminearum*, *Fusarium oxysporum*, and *Fusarium solani* identified a total of 58 PKS genes. To gain insight into how this gene family evolved and to guide future studies, we conducted phylogenomic and functional domain analyses. The resulting geneaology suggested that *Fusarium* PKSs represent 34 different groups responsible for synthesis of different core metabolites. The analyses indicate that variation in the *Fusarium* PKS gene family is due to gene duplication and loss events as well as enzyme gain-of-function due to the acquisition of new domains or of loss-of-function due to nucleotide mutations. Transcriptional analysis indicates that the 16 *F. verticillioides* PKS genes are expressed under a range of conditions, further evidence that they are functional genes that confer the ability to produce secondary metabolites.

Published by Elsevier Ltd on behalf of The British Mycological Society.

Introduction

A hallmark of fungi is their capacity to synthesize a wide variety of structurally diverse natural products (Fig 1) (Cole *et al.* 2003). The frequently associated biological activity of these metabolites has positively impacted the pharmaceutical industry (e.g. the cholesterol-lowering drug lovastatin and the antibiotic cephalosporin) and negatively impacted the agricultural industry (e.g. the mycotoxins fumonisin, zearalenone, and trichothecene). Natural products that are not required for life or for propagation are often called secondary metabolites (SMs) (Bennett & Bentley 1989; Keller *et al.* 2005). Consumption of mycotoxin-contaminated grain or grain-based food and feed

has been associated with a variety of human and animal diseases. Economic losses caused by mycotoxin contamination of grain can be in the 100s of millions of dollars each year due to negative impacts on human and animal health and reduced value of grain (Glenn 2007; Morgavi & Riley 2007; Wu 2007). Ingesting contaminated food may have an immediate (toxic) or delayed impact. For example, fumonisin can cause leukoencephalomalacia in horses and has been epidemiologically associated with neural tube defects in newborns and esophageal cancer in adults (Sydenham *et al.* 1990.; Seefelder *et al.* 2003; Marasas *et al.* 2004; Voss *et al.* 2007).

Many fungal SMs are polyketides, including the mycotoxins fumonisins and zearalenone. Polyketides are typically

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Fig 1 — Diverse chemical structures of fungal polyketide-derived metabolites. The type of core enzyme involved in metabolite synthesis and the fungi associated with their synthesis are indicated. Fv = *F. verticillioides*; Fg = *F. graminearum*; Fo = *F. oxysporum*; Nh = *F. solani*; Asp = *Aspergillus*; Pen = *Penicillium*. NR-PKS–NRPS = NR-PKS with an NRPS module. The metabolites are made by the *Fusarium* species indicated while the two metabolites in the inset are examples of fungal derived pharmaceutical.

synthesized by polyketide synthases (PKSs) from small carboxylic acid derivatives (acetyl-CoA and malonyl-CoA) in a manner similar to the synthesis of fatty acids by a fatty acid synthase (FAS) (Hopwood & Khosla 1992). Fungal PKSs contain five to eight functional domains that are fused into one large protein and are used iteratively (Fig 2). PKSs can be divided into two major groups based on their domain content: non-reducing PKSs (NR-PKSs) synthesize polyketides in which carbonyl groups are not reduced, and reducing PKSs (R-PKS) synthesize polyketides in which the carbonyl groups are partially or fully reduced. Polyketide synthesis is initiated by the transfer of a starter unit (typically acetyl-CoA) onto the phosphopantetheine group bound to the acyl-carrier protein (ACP) domain of the PKS by the acyltransferase (AT) domain of R-PKSs and by the starter unit AT (SAT) domain of NR-PKSs. Next, the ketoacyl synthase (KS) domain catalyzes transfer of the acetyl unit from the ACP to the KS and decarboxylative condensation with an elongation unit (typically malonyl-CoA). Prior to the condensation, an elongation unit is loaded onto the ACP domain by the AT domain of R-PKSs or the malonyltransferase domain (MAT) of NR-PKSs. R-PKSs generally remove the carbonyl group (i.e. β-keto) of the resulting four-carbon molecule *via* a succession of reactions: the keto reductase (KR) domain catalyzes

reduction of the β-keto to a hydroxyl; the dehydratase (DH) domain catalyzes reduction of the hydroxyl to an enoyl (carbon–carbon double bond); and the enoyl reductase (ER) domain catalyzes reduction of the enoyl to an alkane (carbon–carbon single bond). Because they generally lack KR, DH, and ER domains, NR-PKSs usually do not reduce the β-keto group. The cycle is initiated a second time with the condensation of the four-carbon intermediate with another malonyl-CoA. The total number of cycles (generally between two and nine), product cyclization, and release of the polyketide from the PKS are mediated by the KS, the product template (PT), and terminal thioesterase (TE) or reductase (R) domains (Crawford & Townsend 2010; Du & Lou 2010). The presence of carbonyl groups along the carbon backbone of NR-PKSs generally lead to metabolites with one or more aromatic rings, whereas R-PKSs typically give rise to metabolites with a reduced carbon chain. Additional, structural diversity of polyketides can arise from three other sources: (1) incomplete reduction of one or more β-keto groups, (2) additional modifying domains [e.g. methyltransferase (ME) domain or non-ribosomal peptide synthase module (NRPS)] in the PKS, and (3) other enzymes that catalyze structural modifications (e.g. oxygenations, reductions, acylations, or isomerizations) following synthesis of the polyketide.

Fig 2 – Generalized domain organization of fungal NR-PKSs and R-PKSs. (A) NR-PKS range in size from 2000 to 2300 AAs. All *Fusarium* NR-PKSs have five invariable domains (SAT, KS = ketoacyl synthase, MAT, PT domain and ACP). Some NR-PKSs may have a second ACP domain. In NR-PKSs, the carboxy-terminal domain is typically a TE or R. One predicted NR-PKS (FGSG_04588) has a terminal ME. (B) R-PKS range in size from 2200 to 4000 AAs. All *Fusarium* R-PKSs have five invariable domains (KS, AT, DH, KR, and ACP). Two domains, ME and ER, may or may not be functional. Of the 47 total R-PKSs, 31 have an ME domain located after the DH domain while 36 have an ER domain just prior to the KR domain. Remnant ME and ER domains are present in the remaining R-PKSs respectively. Eight (17 %) R-PKSs include a NRPS domain and four (8.5 %) include a CAT (carnitine AT domain).

Studies examining *Fusarium* focus primarily on their plant pathogenic nature and their ability to contaminate grains and grain products with mycotoxins (Fig 1). *Fusarium* is a diverse group of fungi, and, in addition to causing significant crop disease, may live unnoticed in plants and soils as endophytes and saprophytes. The name *Fusarium* refers to the asexual phase (anamorph) of these fungi. Their sexual phase (teleomorph) is *Gibberella*. *Nectria haematococca* (synonym *Haematonectria haematococca*) is the teleomorphic name for the anamorph *Fusarium solani*, so named because like fusaria with a *Gibberella* teleomorph it produces multi-celled, spindle-shaped asexual spores (macroconidia). Nevertheless, fusaria with *Gibberella* and *Nectria* teleomorphs represent two phylogenetically distinct lineages within the fungal family Nectriaceae (order Hypocreales, phylum Ascomycota) (O'Donnell *et al.* 2008).

A recent comparative genomic study of *Fusarium graminearum*, *Fusarium oxysporum*, and *Fusarium verticillioides* identified 46 potential secondary metabolite biosynthesis gene clusters, of which 87 % include a PKS gene (Ma *et al.* 2010). The number of polyketide-derived metabolites (≥15) potentially synthesized by any one of these species is significantly greater than the number of metabolites currently attributed to them [reviewed in (Desjardins & Proctor 2007)]. For example, to date, the function of five of the 16 *F. verticillioides* PKSs are known. *FUM1* is involved in fumonisin synthesis (Proctor *et al.* 1999), *FUS1* is involved in fusarin synthesis (Song *et al.*

2004), *PGL1* is involved in the synthesis of a violet perithecial pigment (Proctor *et al.* 2007), *BIK1* is involved in bikaverin synthesis (Brown *et al.* 2008) and *FAB1* is involved in fusaric acid synthesis (Brown *et al.* submitted for publication). Phylogenetic analysis resolved the 58 *Fusarium* PKSs into four major clades and revealed a high degree of diversity with few closely related orthologues (Ma *et al.* 2010), results consistent with previous studies examining PKSs from multiple, diverse genera of the Ascomycota (Kroken *et al.* 2003; Baker *et al.* 2006).

In this study, we used previously reported (Ma *et al.* 2010) phylogenetic analysis of 58 *Fusarium* PKSs to: (1) examine the content of functional domains in the PKSs and (2) identify species-specific orthologues and predict chemical products of PKSs based on their relatedness to PKSs for which the corresponding SM has been identified. The identification of species-specific PKSs and orthologous groups of PKSs in two, three or all four *Fusarium* will help direct further studies aimed at characteristics of PKSs that are unique to one or a few or common to many *Fusarium* species (Table 1).

Materials and methods

Search for PKSs

Fusarium verticillioides, *Fusarium graminearum*, and *Fusarium oxysporum* PKS genes were identified by Basic Local Alignment

Table 1 – Types of *Fusarium* PKSs.				
Species	Total PKSs	NR-PKSs	R-PKSs	R-PKS–NRPS	R-PKS–CAT
F. verticillioides	16	2	11	3	—
F. oxysporum	14	2	8	3	1[a]
F. graminearum	15	5	8	1	1
F. solani	13	2	8	1	2
	58				
a Likely present based on phylogenetic analysis of available genomic sequence data.					

Search Tool (BLAST) analysis against all predicted proteins (Altschul *et al.* 1990) at the Broad Institute (http://www.broad institute.org/annotation/genome/fusarium_verticillioides/ MultiHome.html), and *Fusarium solani* PKS genes were identified at the Joint Genome Institute (JGI) (http://genome.jgi-psf.org/) websites as described (Ma *et al.* 2010).

Phylogenetic analysis

The phylogram representing the phylogenetic relationships between *Fusarium* PKSs (Fig 3) was constructed based on an alignment of amino acid (AA) sequences of the KS and AT/MAT domains from all 58 PKSs as described (Ma *et al.* 2010). AA sequence alignments were done with the ClustalW+ program in the Genetics Computer Group (GCG) analysis software package Version 11.1.3-UNIX, Accelrys Inc., San Diego, CA, USA (Devereux *et al.* 1984) using the Blosum multiple sequence alignment scoring matrix. Phylogenetic analyses were done using the GCGs PaupSearch and PAUP* version 4.0b10-Unix [Phylogenetic Analysis Using Parsimony (PAUP)]. Statistical support for branches within the phylogenetic trees was generated by bootstrap analysis with 1000 pseudoreplicates. Two-AA sequence comparisons were carried out using the DNAMAN software (Lynnon BioSoft, Vaudreuil, Quebec, Canada).

Expression analysis

Gene expression in wild-type *Fusarium verticillioides* strain FRC M-3125 was analyzed by Expressed Sequence Tags (ESTs) and by microarray under different developmental, nutritional, and pathogenic conditions. ESTs (transcripts) corresponding to the *F. verticillioides* PKS genes were retrieved from the *F. verticillioides* Gene Index database at the Dana-Farber Cancer Institute (http://compbio.dfci.harvard.edu/tgi/ cgi-bin/tgi/gimain.pl?gudb=f_verticill). The Gene Index is composed of 13 350 sequences derived from over 86 908 ESTs collected from ten *F. verticillioides* cDNA libraries (Brown *et al.* 2005). Libraries FvF, FvG, FvM, and FvO were generated from cultures grown on GYAM (0.24 M glucose, 0.05 % yeast extract, 8 mM L-asparagine, 5 mM malic acid, 1.7 mM NaCl, 4.4 mM K_2HPO_4, 2 mM $MgSO_4$, and 8.8 mM $CaCl_2$, pH 3.0) for 24 h (FvF), 48/72 h (FvM), 96 h (FvG) from M-3125 and 90 h (FvO) with the *F. verticillioides* fumonisin non-producing strain 57-7-7 (Desjardins *et al.* 1996). Library FvN was generated from M-3125 grown on corn meal medium for 4 and 6 d, library FvI from M-3125 grown on excised maize seedling root and shoots and library FvH from germinating spores of M-3125 after 10 h in water extracts of maize seedlings (Brown *et al.* 2005).

Microarrays were designed and produced by Roche NimbleGen (Madison, WI, USA). Each sequence is represented on the array by between six and 12 unique 60-mer probes. Total RNA was extracted using the RNeasy Mini kit (Qiagen Inc., Valencia, CA, USA). Microarray hybridization, data acquisition, and initial analysis were conducted by Roche NimbleGen, Iceland. Data were normalized by robust multi-array average (Bolstad *et al.* 2003; Irizarry *et al.* 2003) and were compared using the Acuity 4.0 microarray analysis software package (Molecular Devices Corp, Sunnyvale, USA). A brief description of the ten different microarray experiments referred to in Fig 8 are as follows. In general, samples for RNA extraction were collected after growth on different substrates for different intervals of time, flash frozen by immersion in liquid nitrogen and stored at −80 °C prior to RNA extraction The first two experiments were conducted on liquid media with shaking. In the GYAM experiment, samples were collected after 24, 48, 72, and 96 h of growth (Gene Expression Omnibus (GEO) accession no. GSE16900 (Brown 2011)). In the potato dextrose broth [(PDB), Difco, Detroit, MI, USA] experiment, samples were collected after 24 and 48 h of growth. The next two experiments were conducted on autoclaved maize kernels. In the En/Germ experiment, samples were collected after growth on either excised kernel endosperm or germ tissue (Shim *et al.* 2003). In the CC 48 experiment, samples were collected after growth on cracked corn for 48 h. The next three experiments were conducted on live maize ears. In the Ear 3–7 experiment, infected kernels were collected 3 and 7 d after inoculation. In the Ear Symptomatic experiment, infected kernels displaying significant disease symptoms were collected 21 d after inoculation. In the Live Seeds experiment, live maize seeds were inoculated and collected after 4 and 8 d. The next three experiments were conducted after growth in maize seedlings roots. In the SRIVW experiment, infected maize seedling roots were collected after 2, and 4 weeks of growth; in the SRIIIW experiment, infected maize seedling roots were collected after 5, 8, 14, and 21 d of growth; and in SRIIIWS experiment, infected maize seedling roots were also collected after 5, 8, 14, and 21 d of growth except that in this case, the seedlings were not watered between day 8 and day 13. PKS genes were assigned as 'expressed' if the expression level changed >2-fold over the course of one experiment or if expression changed >4-fold in one experiment relative to another.

Results and discussion

PKS genealogy

Phylogenomic analysis of PKSs can delineate distinct orthologous groups of PKSs shared by one or more species. Such delineation can provide insight into characteristics of PKSs that affect metabolite production and into biosynthetic potential of species. Previously, we surveyed the four Fusarium genome sequence databases and identified 58 PKS genes (Table 1) (Ma *et al.* 2010). Maximum parsimony analysis of alignments of the AA sequences of the combined KS and AT/MAT domains resolved *Fusarium* PKSs into two major clades that correspond to NR-PKSs and R-PKSs based on functional domain content (Fig 3) (Ma *et al.* 2010). The KS-AT/MAT genealogy reported here is consistent with previously reported KS genealogies from multiple fungal genera (Kroken *et al.* 2003; Baker *et al.* 2006). The resolution of NR-PKSs into a single clade in the KS-AT/MAT tree supports the hypothesis that *Fusarium* NR-PKSs are monophyletic; i.e. they share a common ancestor (Fig 3). However, based on previous analyses with multiple fungal genera (Kroken *et al.* 2003; Baker *et al.* 2006), the putative ancestral NR-PKS existed before divergence of *Fusarium* from other fungi. In the Fusarium genealogy, the R-PKSs were resolved into three clades with significant bootstrap support

Fig 3 — Genealogy of the *Fusarium* PKSs inferred by maximum parsimony analysis of deduced AA sequences of the KS and AT/MAT domains. Major clades are demarked by horizontal lines to the right of the tree. Numbers below branches indicate percentage bootstrap support for each branch point based on 1000 pseudoreplicates. The FAS from *Gallus gallus* served as an outgroup. Designations beginning with FGSG, FOXG, and FVEG correspond to the *F. graminearum*, *F. oxysporum*, and *F. verticillioides*, respectively, gene/protein models from the Broad Institute's *Fusarium* Comparative Database. Designations beginning with JGI correspond to *F. solani* gene/protein models from JGI's genome sequence database. The dashes in the Mutant Domain column under ME and ER heading refer to the presence of a non-functional ME or ER domain, respectively, in the predicted PKSs. The carboxy-terminal domains (TE, R, and ACP) are indicated in the Terminal column for the NR-PKS clade. The presence of an additional NRPS or CAT (carnitine acyltransferase) domain in R-PKSs is indicated by a plus sign. When known, the secondary metabolites corresponding to a PKS are listed. Metabolite names that are italicized and in bold text are predicted products.

(Fig 3) (Ma *et al.* 2010). Based on the conserved organization of multiple functional domains, as well as previous phylogenetic analyses (Kroken *et al.* 2003), it is likely that *Fusarium* R-PKSs also evolved from a common ancestor.

Orthologous PKSs

The phylogenetic analysis of KS-AT/MAT domains also indicated the presence of closely related PKS orthologues in two or more of the species examined (Figs 3 and 4). For example, the set of four closely related PKSs (with 100 % bootstrap support and identical functional domains) FGSG_09182, FVEG_03695, FOXG_05816, and JGI_101778 includes two required for synthesis of the violet perithecial pigment in *Fusarium verticillioides* and *Fusarium graminearum* (Gaffoor *et al.* 2005; Proctor *et al.* 2007) and fusarubin in *F. verticillioides*

(Busman and Proctor, unpublished observations). Likewise, the set of three orthologues (FGSG_07798, FVEG_11086, and JGI_70660) include two required for fusarin production in *F. verticillioides* and *F. graminearum* (Gaffoor *et al.* 2005; Brown *et al.* submitted for publication). Although the closely related PKS orthologues are expected to synthesize the same polyketide, the structure and, by extension, ecological function of the SM end-product may differ in each fungus. For example, the putative gene cluster associated with the PKS gene required for the violet perithecial pigment consists of the same six genes in *F. graminearum*, *Fusarium oxysporum*, and *F. verticillioides* (Gaffoor *et al.* 2005; Proctor *et al.* 2007; Brown *et al.* submitted for publication). However, the putative cluster in *Fusarium solani* includes the PKS gene (JGI_101778) and only two of the other five genes present in the *Fusarium* species (Proctor *et al.* 2007). Thus, any putative product of the putative *F. solani* cluster is likely to be different than that of the clusters in the *Fusarium* species. In addition, a red pigment rather than a violet one accumulates in the perithecial walls of *F. solani*, and a different PKS (JGI_33672) is required for its synthesis (Graziani *et al.* 2004).

We identified three PKS genes with closely related orthologues in three of four species examined. The function of only one of these PKSs has been determined; the *F. graminearum* gene FGSG_07798 and its *F. verticillioides* orthologue (FVEG_11086) are required for production of fusarin mycotoxins (Song *et al.* 2004; Gaffoor *et al.* 2005; Brown *et al.* submitted for publication). Again, the genes flanking the fusarin PKS in *F. graminearum* and *F. verticillioides* (Brown *et al.* submitted for publication) do not exactly match the sets of genes flanking the *F. solani* orthologue JGI_70660, suggesting that once the polyketide is synthesized it undergoes different chemical modifications in *F. solani versus F. verticillioides* and *F. graminearum*. Because of the high degree of similarity shared by FOXG_15886 and FOXG_14850 (97 % identity) and the 100 % bootstrap support shared between this set and FVEG_13715 and JGI_106233, these four PKSs are considered an orthologous set of three PKSs (i.e. a pair from *F. oxysporum* and one each from *F. verticillioides* and *F. solani*).

We also identified eight PKS orthologues that occur only in two of the species examined. Six of these orthologous PKSs occur in *F. verticillioides* and *F. oxysporum*, which are the most closely related species included in this analysis (Ma *et al.* 2010). Overall, 12 of the PKSs in these two fungi are closely related orthologues, implying that most of the polyketides produced by these species are structurally very similar. In contrast, almost half of the PKSs from *F. graminearum* and *F. solani* are unique to each fungus (Fig 4D). The last two orthologous pairs are shared by *F. oxysporum* and *F. graminearum* and *F. oxysporum* and *F. solani* (Fig 4C) and presumably reflects a shared function unique to these fungi. In contrast, the lack of a shared pair of orthologous PKSs by *F. verticillioides* and *F. graminearum*, both pathogens of maize, suggests that a common PKS product may not be critical for pathogenesis on maize.

The phylogenetic analysis has resolved *Fusarium* PKSs into 14 clades of two (8), three (3), and four (3) likely orthologous PKSs (adjacent PKSs with branch points of 100 % bootstrap support) (Figs 3 and 4A−C) and 20 PKSs that lack closely related orthologues among the species examined (Fig 4D).

Fig 4 − Groups of orthologous PKSs that share 100 % bootstrap support. Numbers above arrows represent percent AA identity shared between predicted PKSs. When metabolite corresponding to PKS/group is known, the metabolite name is indicated immediately above each group. (A) Orthologous sets of PKSs present in all four *Fusarium* species. (B) Orthologous sets of PKSs present in three *Fusarium*. Since FOXG_15886 and FOXG_14850 share 97 % AA identity and were only present in *F. oxysporum*, they were considered to be paralogous. (C) Orthologous PKSs present in two *Fusarium* species. (D) Number of unique PKSs in each of the four *Fusarium* species and the number of PKSs with known function, in parentheses. Two different PKSs are involved in zearalenone synthesis by *F. graminearum*.

Thus, the analysis suggests that there are 34 distinct groups of PKSs among the four species. Percent identity between putative orthologues ranges from 31 % to 94 %. In some cases, orthologous PKSs required for synthesis of the same metabolites have been characterized in multiple *Fusarium* species (e.g. fusarin PKS; 64–71 %). Based on the percent identity of these characterized putative orthologues, it is likely that the 34 groups of PKSs are responsible for synthesis of at least 34 structurally distinct groups of polyketides, only seven of which would be produced by both *Fusarium* and *Nectria*.

Gene duplication

Two *Fusarium oxysporum* PKSs, FOXG_14850, and FOXG_15886, appear to have arisen by a gene duplication event. The PKS coding sequences, as well as flanking sequence (2.5 kb upstream and 3.2 kb downstream), share 98 % identity for a total duplicated genomic segment of 14.5 kb. The entire segment may represent a three-gene cluster as the upstream and downstream sequences are each predicted to encode a different methyltransferase (FOXG_14851 and FOXG_15882 downstream and FOXG_14849 and FOXG_15887 upstream from each PKS respectively), enzymes that are often involved in secondary metabolism. A 767-bp insertion just upstream of the predicted ATG of FOXG_14850 suggests that these two PKSs are expressed differently, although we have no evidence supporting this possibility. The lack of apparent PKS gene duplication events in the other three species could be due to repeat-induced point mutation (RIP). RIP occurs during sexual development and has been described in *Fusarium graminearum* and involves the introduction of nucleotide mutations into both copies of duplicated DNA (Cuomo *et al.* 2007). *F. oxysporum* does not have a known sexual phase and thus is unlikely to be exposed to RIP.

Non-functional R-PKS domains

Examination of each domain from each PKS, by BLAST analysis and AA alignments, indicate that all domains present in the 11 NR-PKSs are functional. In contrast, 16 ME domains and 11 ER domains in the 47 R-PKSs are likely to be non-functional. Alignment of all ME domains, spanning approximately 120 AAs, identified missing AAs and AA differences within one, two, three or all four of the AA motifs critical for ME function (Miller *et al.* 2003; Ansari *et al.* 2008) in the 16 PKSs. Figs 5A and B are representative alignments of the putative functional and non-functional ME domains from the *Fusarium verticillioides* NR-PKSs. The functional ME domains contain four well-conserved motifs (Fig 5A) while comparable regions in the putative non-functional ME domains are very poorly conserved (Fig 5B) (Miller *et al.* 2003; Ansari *et al.* 2008). The dramatic differences in AA sequence emphasize the conclusion drawn from the phylogenetic analysis that the loss-of-function occurred on multiple occasions over time. BLASTp analysis of each ME domain provided additional evidence supporting whether or not the domains are functional. The functional ME domains shared significant deduced AA identity (average E-value = 1×10^{-17}) to the conserved ME domain, pfam0842, described in the National Center for Biotechnology Information (NCBI) Conserved Domain Database (CDD) (Marchler-Bauer *et al.* 2005). The putative non-functional or remnant ME domains had no or very limited similarity to pfam08242 (average E-value = 4×10^{-4}). It is important to note that the structure of the four chemical products of the *Fusarium* NR-PKSs match the predicted functionality of their ME domain. The chemical structures of fusaric acid and zearalenone do not include any candidate ME-derived methyl groups, and the ME domains in the fusaric acid and zearalenone R-PKSs are likely non-functional based on the presence of poorly conserved ME motifs. In contrast, the chemical structures of fumonisins and fusarins include methyl groups (Fig 1), and the ME domains in the R-PKSs required for their production are predicted to be functional based on the presence of well-conserved ME motifs. A comparison of the putative non-functional ME domain of the fusaric acid PKS (FVEG_12523) with the functional domain of the fumonisin PKS (FVEG_00316) indicate that the two regions lack any sequence identity, which suggests that the functions of these regions are not the same.

Fig 5 – Alignment of ME domains from *F. verticillioides* R-PKSs. (A) Putative functional ME domains. The four motifs previously identified in small molecule ME sequences are enclosed in boxes. (B) Putative non-functional ME domains. Conserved AAs are in bold text. (C) FVEG_CON list the conserved AA residues where a capital letter indicates complete conservation and a small case letter indicates significant conservation. FGSG_04588 indicates the AAs corresponding to the ME domain present at the carboxy-terminal end of the *F. graminearum* R-PKS FVEG_04588. Conserved AAs are in bold text.

Alignment of all putative ER domains, which span approximately 230 AAs, also identified numerous gaps and differences in AA sequences among PKSs. Fig 6A depicts the AAs critical to ER function associated with binding reduced nicotinamide adenine dinucleotide phosphate (NADPH) (Song et al. 2004). This domain is well-conserved in 36 putative functional ER domains (Fig 6B) and poorly conserved in 11 putative non-functional ER domains (Fig 6C). BLASTp analysis of the putative functional ER domains indicated that they are significant similar (average E-value $= 1 \times 10^{-45}$) to the conserved ER domain, cd05195, described in the NCBI CDD. In contrast, the putative non-functional ER domains were markedly less similar (average E-value $= 4 \times 10^{-4}$) to cd05195. The loss of ER function is also reflected in the percent identity shared between non-functional and functional domains. The putative non-functional ER domain of the fusarin PKS (FVEG_11086) shares 24.6 % identity with the functional ER domain of the fumonisin PKS (FVEG_00316), whereas the fumonisin PKS ER domain shares 45.2 % identity with the functional ER domain of the fusaric acid PKS (FVEG_12523). The functionality of an ER domain cannot be predicted from a visual inspection of the polyketide product (Fig 1). Although the structure of lovastatin would suggest an ER is involved, the NR-PKS ER domain was found to be non-functional (Kennedy et al. 1999). The ER activity involved in lovastatin synthesis is coded for by a different gene located adjacent to the PKS (Kennedy et al. 1999). In contrast, the structure of fusarins suggests that their synthesis does not require ER activity, and this is reflected in the lack of a functional ER domain in the fusarin PKS according to the current analysis. These results are consistent with the findings of Song et al. 2004.

The distribution of non-functional ME and ER domains among *Fusarium* R-PKSs is significantly different. Non-functional ME domains are present in all three major clades of R-PKSs (Clades I–III), while non-functional ER domains occur only in R-PKS Clade II. In the case of the non-functional ME domains, their varied location within the tree and the pattern of AA insertions and deletions (indels) suggest that the initial DNA mutation leading to loss of ME function occurred multiple times during the evolution of *Fusarium* R-PKSs. JGI_98127, FGSG_08795, FVEG_01914, and FOXG_03051 are closely related

orthologous R-PKSs that occur in the four species examined here and have putative non-functional ME domains. In the case of the PKSs with non-functional ER domains, all are located in a single clade (Clade II) suggesting that the loss-of-function may have been due to a single event, tracing back to a single PKS progenitor.

Variability in NR-PKS domain organization

The organization of the first five domains (SAT, KS, MAT, PT, and ACP) is the same in all 11 *Fusarium* NR-PKSs, but the type and number of domains near the carboxy-terminal region varies (Fig 3). For example, five of the NR-PKSs include an additional ACP domain; four of these are the PKS orthologues involved in synthesis of fusarubin. An additional ACP domain does not appear to affect product synthesis as site directed mutagenesis of a dual-ACP-containing PKS in the fungus *Aspergillus* revealed that each ACP domain was functional and able to operate independently of the other (Fujii et al. 2001). Here, the tree generated by phylogenetic analysis of *Fusarium* ACP domains from both dual and single-ACP PKSs was similar in topology with the tree generated with KS-AT/MAT from NR-PKSs (data not shown). In addition, the eight ACP domains from the four fusarubin PKS orthologues form two clades; the more carboxy-terminal ACPs form one clade (with 99 % bootstrap support) and the more amino-terminal ACPs form the second clade (with 69 % bootstrap support). The positions of NR-PKSs relative to each other in the ACP-based tree were the same as in the KS-AT-based tree (Fig 3). Results from a previous phylogenomic analysis of PKSs from diverse fungal genera (Kroken et al. 2003; Baker et al. 2006) suggest that the dual-ACP NR-PKSs that currently exist in *Fusarium* and *Aspergillus* species did not arise independently in the two genera but instead are descended from a common ancestor.

The second difference near the carboxy terminus is the last domain. The C-terminal domain in all functionally characterized NR-PKSs is involved in determining chain length, final product cyclization and product release (Bailey et al. 2007; Du & Lou 2010). We found that the terminal domains for the 11 *Fusarium* NR-PKSs to be either a TE (6), an R (4); or an ME (1). TE and R domains are the most commonly described PKS terminal domains and their role in product release have been extensively studied (Du & Lou 2010). TE domains belong to the α/β-hydrolase superfamily and may catalyze the release of a linear product with a terminal carboxylic acid or a cyclized product (Du & Lou 2010). In contrast, R domains may catalyze release of a linear product with a terminal aldehyde or alcohol functionality *via* reduction of the acyl thioester, or the release of a product with one or more aromatic rings *via* a non-redox condensation reaction (Du & Lou 2010). Thus, the presence of either a TE or R terminal domain can provide information about the chemical structure of polyketide synthesized by an NR-PKS. BLASTp analysis of the terminal domain of FGSG_04588 indicated that it shares significant identity (E $= 4.49 \times 10^{-17}$) with the conserved ME domain (pfam08242) described in the NCBI CDD database. In addition to similar BLASTp scores, all four ME motifs are well-conserved (Fig 5C). Although PksCT, involved in citrinin synthesis in *Monascus purpureus* has a similar type ME domain, it also

Fig 6 – Critical motif for ER function. (A) Conserved nicotinamide adenine dinucleotide phosphate (NADPH) binding site of functionally characterized ER domains. Degree of conservation is indicated by the relative height of each letter and the percent identity shared by all 36 putative functional domains is indicated underneath each letter. **(B)** Putative functional NADPH binding domain and two flanking AAs from 36 *Fusarium* PKSs. **(C)** Putative non-functional NADPH binding domain in 11 *Fusarium* PKSs. An 'x' denotes a position where no AA is conserved greater than 50 %.

includes a terminal TE domain (Shimizu *et al.* 2005). To our knowledge, no PKS with a C-terminal ME domain has been described in the literature and how or whether this terminal ME domain is involved in product release is not known.

Acquisition of C-terminal domains

Acquisition of an NRPS module and a putative carnitine/choline AT (CAT) domain at the carboxy-terminal end of R-PKSs appear to have occurred only once each, because NRPS domains occur only in R-PKS Clade II and CAT domains occur only in R-PKS Clade I (Fig 3). The NRPS module is a collection of four domains that span approximately 1400 AAs. The organization and putative functions of the four domains are completely conserved within all NRPS modules of the *Fusarium* R-PKSs. The four domains are responsible for condensation of the polyketide chain and an amino acid and then release of the resulting hybrid polyketide—amine molecule. Three PKSs (FGSG_10464, FVEG_10497, and FOXG_01189) within Clade II (the PKS—NRPS clade) lack an NRPS module. The positions of these three PKSs within Clade II suggest that the absence of the NRPS module can be explained by loss on at least two occasions: once in the branch leading to FGSG_10464 and once in the branch leading to FVEG_10497 and FOXG_01189. Loss of the NRPS module from FGSG_10464 appears to have occurred after divergence from two pairs of PKS—NRPSs in *Fusarium verticillioides* and *Fusarium oxysporum* (81 % bootstrap support) (Fig 3). In contrast, at what point the NRPS module was lost in the branch leading to FVEG_10497 and FOXG_01189 is less clear because of the poor bootstrap support for branches leading to the well supported FVEG_10497/FOXG_01189 branch. A previous analysis also indicates that NRPS modules have been lost repeatedly from fungal R-PKSs (Kroken *et al.* 2003).

CAT enzymes are generally involved in the transport of acetyl-CoA across mitochondria and peroxisome membranes (van der Leij *et al.* 2000; Roze *et al.* 2011). They function by covalently attaching carnitine (L-3-hydroxy-4-aminobutyrobetaine) to the carboxylic acid portion of acetyl-CoA. A carnitine acylcarnitine translocase then moves the carnitine complex across a membrane after which another CAT reforms the two starting molecules (van Roermund *et al.* 1999; Roze *et al.* 2011). *Aspergillus nidulans facC* encodes a cytoplasmic CAT required for acetate utilization and *acuJ* encodes a mitochondrial and peroxisomal CAT required for fatty acid utilization (Hynes *et al.* 2011). BLAST analysis of the four *Fusarium* genomic sequences with *facC* and *acuJ* as query sequences identified two CAT encoding genes in each genome which we designated CFT1 and CFT2 for <u>c</u>arnitine (<u>f</u>atty acid) acyl<u>t</u>ransferase. The deduced AA sequences of these genes are >90 % identical to each other and 68 % identical to FacC and AcuJ respectively (Hynes *et al.* 2011). The deduced AA sequences of CFT1 and CFT2 from the same species share ∼25 % identity.

R-PKS Clade I includes three PKSs with a terminal CAT domain (PKS—CAT): JGI_78513 and JGI_37058 from *Fusarium solani* and FGSG_05794 from *Fusarium graminearum*. Each CAT domain is ∼600 AAs and has a high level of identity to AcuJ by BLASTp analysis (183–243 bits, $E = 9 \times 10^{-48}$ to 2×10^{-69}) and to the conserved choline/carnitine *O*-acyltransferase, pfam00755, ($E = 1 \times 10^{-63}$ to 2×10^{-69}) described in the CDD. FOXG_10805 is likely a forth PKS—CAT in *F. oxysporum*, based on phylogenetic data (100 % bootstrap support) but, the

nucleotide sequence coding for the CAT domain is missing from the genomic sequence data. A search of sequenced fungal genomes (at NCBI and JGI) identified 14 additional putative PKS—CATs from ten other fungi. Fungi containing this PKS group are present in five different classes from across the Ascomycota. It is interesting to note that most (83 %) of the fungi with PKS—CATs are pathogens of either plants (7), humans (1) or other fungi (2). Phylogenetic analysis of the 17 CAT domains from the PKS-CATs, CFT1 and CFT2 from all four fusaria, FacC and AcuJ from *A. nidulans*, two CATs from *Candida glabrata*, and one CAT from *Saccharomyces cerevisiae*, with a human CAT (EAW87874) serving as an outgroup, indicate that the CAT domain from fungal PKSs form a monophyletic group (100 % bootstrap support) (Fig 7). Our analysis also suggests that the ancestral PKS—CAT acquired the CAT domain from a cytoplasmic FacC-like CAT after the latter diverged from the mitochondrial AcuJ-like CAT.

To our knowledge, no polyketide synthesized by a PKS—CAT domain has been described in the literature. Although the function of the CAT domain likely involves the attachment of carnitine or carnitine-like moiety to the polyketide backbone generated by the PKS, the role of the moiety can only be speculated. One possibility is that the presence of the moiety enables the transport of the PKS product into a vesicle *via* a translocase. This possible mechanism to localize a secondary metabolite within an organelle is different than the mechanism described for synthesis of aflatoxin, where biosynthetic proteins are transported to vesicles designated aflatoxisomes (Roze *et al.* 2011). If PKS—CATs do indeed function to attach a carnitine to the nascent polyketide, it will interesting to determine if they are also capable of removing the carnitine, a function that both CFT1 and CFT2 confer.

Transcriptional analysis

Expression of the 16 *Fusarium verticillioides* PKSs was examined by analysis of EST and microarray data. Analysis of ESTs in the *F. verticillioides* Gene Index revealed transcripts for ten of the 16 PKSs in seven of ten cDNA libraries (Fig 8). Previously, we reported the expression of nine PKSs in a single microarray experiment (Ma *et al.* 2010). The corresponding secondary metabolites for five of the 16 PKSs are known. Here, we found evidence for the expression of all 16 *F. verticillioides* PKSs in nine additional microarray studies (Fig 8). Although the pattern of expression of each gene is distinct, in some cases, the results have directed further studies. For example, the only PKS found to be expressed in live, symptomatic maize kernels during an ear rot infection assay was FVEG_13715. Preliminary studies found that FVEG_13715 insertional mutants are not affected in their ability to cause maize ear rot (Proctor, unpublished observations). Taken together, the expression data of the 16 PKSs is consistent with their being functional and involved in synthesis of polyketide product(s). A previous study also provided evidence for expression of 14 of 15 *Fusarium graminearum* PKSs (Gaffoor *et al.* 2005).

Predicting PKS function

Based on results from the phylogenetic analysis, coupled with previous published reports describing *Fusarium* metabolites, we predict the function of two *Fusarium solani* PKSs and

three *Fusarium oxysporum* PKSs (Fig 3). Two PKSs, *F. solani* JGI_101778 and *F. oxysporum* FOXG_05816, belong to a group of four orthologous PKSs where the function of the other two has been determined; *Fusarium verticillioides* FVEG_03695 and

Fusarium graminearum FGSG_09182 are involved in the synthesis of the violet perithecial pigment (Gaffoor *et al.* 2005; Proctor *et al.* 2007). The recent discovery that FVEG_03695 is also required for fusarubin synthesis (Busman and Proctor,

Fig 7 — **Genealogy inferred by maximum parsimony analysis of deduced AA sequences of CAT domains from 17 filamentous fungal PKS—CATs and 13 CATs from *Fusarium*, *Aspergillus nidulans*, *Candida glabrata*, and *Saccharomyces cerevisiae*. The *Fusarium* PKS—CAT domains are indicated by an asterisk.**

Fig 8 — **Representation of change in expression observed for 16 *F. verticillioides* PKS genes by microarray and ESTs during growth on a variety substrates. The PKSs are labeled with the gene model name assigned at the Broad *Fusarium* Comparative Genomic Database. The PKSs are grouped according to phylogenetic analysis and are labeled at the bottom of the figure. Red represents relative increase in expression, green represents relative decrease in expression. See text for description of the microarray experiments and EST libraries.**

personal communication) coupled with the observation that *F. solani* may produce fusarubin (Ammar *et al.* 1979) suggest that JGI_101778 is involved in fusarubin synthesis. The third PKS, *F. oxysporum* FOXG_04757 is part of a pair of orthologues of which its partner, *F. verticillioides* FVEG_03379 is likely involved in bikaverin synthesis (Brown *et al.* 2008; Brown *et al.* submitted for publication). FVEG_03379 shares 94.4 % identity with the well characterized *Fusarium fujikuroi* bikaverin PKS (Wiemann *et al.* 2009). Coupled with the observation that *F. oxysporum* is reported to synthesize bikaverin (McInnes *et al.* 1976; Bell *et al.* 2003), we predict that FOXG_04757 is involved in bikaverin synthesis in *F. oxysporum*. Likewise, the fourth PKS, *F. oxysporum* FOXG_15248 is a closely related orthologue of *F. verticillioides* FVEG_11086, which is required for production of fusaric acid (Brown *et al.* submitted for publication). This, coupled with the observation that *F. oxysporum* is reported to produce fusaric acid (Bacon *et al.* 1996), we predict that FOXG_15248 is required for fusaric acid synthesis in *F. oxysporum*. The fifth PKS, *F. solani* JGI_70660 is a closely related orthologue of *F. verticillioides* FVEG_11086 and *F. graminearum* FGSG_07798, which are required for fusarin production in these fungi (Gaffoor *et al.* 2005; Brown *et al.* submitted for publication). The conservation of sequences flanking FVEG_11086, FGSG_07798, and JGI_101778 in the respective fungi (Gaffoor *et al.* 2005; Brown *et al.* submitted for publication) further supports the hypothesis that JGI_101778 is involved in synthesis of fusarins or a structurally related metabolite(s) by *F. solani*. Demonstration of the predicted functions of these PKSs will require gene deletion and chemical analysis of the resulting mutants. The significant variability in polyketide structures observed, even for PKSs within the same clade, do not allow any further predictions to be made with confidence.

In a previous analysis of PKSs from a wide range of genera of the Ascomycota, the diversity and distribution of PKSs was attributed to gene duplication, divergence and differential gene loss rather than horizontal gene transfer (HGT) (Kroken

et al. 2003). In contrast, the current analysis of *Fusarium* PKSs suggests that HGT could be responsible for significant PKS diversity in these fungi. If duplication was a major contributor to PKS diversity in *Fusarium*, one could expect to observe multiple examples of paralogous PKS genes in the *Fusarium* species examined. However, we observed evidence for only one pair of paralogous PKSs, FOXG_14850 and FOXG_15886 in *F. oxysporum*. In addition, of the 15 *F. graminearum* PKSs and 16 *F. verticillioides* PKSs, only five are closely related orthologues, and most of the rest are more closely related to PKSs from other fungal genera than they are to one another (Kroken *et al.* 2003; Baker *et al.* 2006). This indicates that most *F. graminearum* and *F. verticillioides* PKS genes began diverging from one another before *Fusarium* began diverging from other fungal genera. BLAST analyses also provide evidence that some *F. oxysporum* and *F. solani* PKSs are also more closely related to PKSs from other fungal genera than they are to other *Fusarium* PKSs. If divergence within *Fusarium* was a major contributor to PKS diversity in these fungi, we would expect that most *Fusarium* PKSs to be more similar to other *Fusarium* PKSs than they are to those in other fungi. However, this is not the case for most PKSs analyzed to date. HGT provides an explanation for the observed relationships of PKS from *Fusarium* and other fungi. An alternative explanation is that most *Fusarium* PKSs were present in the ancestral fusarium. If this were the case, the ancestral *Fusarium* would have had ∼34 different PKSs corresponding to the 34 groups of PKSs presented in Fig 4. However, these species represent only a portion of the genetic diversity within *Fusarium* and, therefore, probably only a portion of the PKS diversity in these fungi. Thus, the ancestral *Fusarium* would have had to have >34 PKSs. Given that the *Fusarium* species examined have only 12–16 PKSs, it seems unlikely that the genome of their common ancestor included at least 34 PKS genes. Although it is a complicated explanation for the diversity of PKSs in *Fusarium*, HGT is consistent with existing phylogenetic data on PKSs and does not require that

the common ancestor was fundamentally different from extant species with respect to the number of PKS genes in its genome. The potential of HGT contributing to diversity of PKS genes in *Fusarium* is also consistent with two other observations: (1) multiple examples of HGT of SM biosynthetic gene clusters that include PKS gene between fungi (Khaldi & Wolfe 2011; Slot & Rokas 2011); and (2) the genomes of *F. oxysporum* and *F. solani* include a significant percentage of DNA that is not closely related to DNA in other *Fusarium* species but instead is more closely related to DNA in more distantly related organisms (Coleman *et al.* 2009; Ma *et al.* 2010). The later observation indicates that *Fusarium* includes species that have the ability to acquire DNA from other organisms, and this in turn could have facilitated HGT of PKS genes into *Fusarium* from other fungi.

Functional characterization of fungal PKSs is challenging and requires significant resources. Despite the considerable increase in *F. graminearum* research efforts since the release of its genome sequence (Trail 2009), the function of only one *F. graminearum* PKS in synthesis of a polyketide has been demonstrated since 2005 (Sørensen *et al.* 2011). We have performed a phylogenetic and domain structure analysis of PKSs encoded by four *Fusarium* genomes with the purpose of laying a foundation on which to design future experiments to elucidate the biological functions of polyketides produced by these fungi.

Acknowledgements

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