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- 3
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28 Abstract

Circulating vitamin C and carotenoids are used as biomarkers of fruit and vegetable intake in 29 research, but their comparative validity has never been meta-analysed. PubMed, EMBASE, 30 CENTRAL, CINAHL and Web of Science were systematically searched to December 2013 for 31 randomised trials of different amounts of fruit and vegetable provision on changes in blood 32 concentrations of carotenoids or vitamin C. Reporting followed PRISMA guidelines. Evidence 33 quality was assessed using the GRADE system. Random effects meta-analysis combined 34 estimates and meta-regression tested for sub-group differences. Nineteen fruit and vegetable 35 trials (n=1382) measured at least one biomarker, of which nine (n=667) included five common 36 37 carotenoids and vitamin C. Evidence quality was low and between-trial heterogeneity (I^2) ranged from 74% for vitamin C to 94% for α -carotene. Groups provided with more fruit and 38 vegetables had increased blood concentrations of vitamin C, α -carotene, β -carotene, β -39 cryptoxanthin, and lutein but not lycopene. However, no clear dose-response effect was 40 observed. Vitamin C showed the largest between group difference in standardised mean 41 change from pre- to post-intervention (0.94, 95% CI 0.66, 1.22), followed by lutein (0.70, 95% 42 CI 0.37, 1.03) and α -carotene (0.63, 95% CI 0.25, 1.01) but all confidence intervals were 43 overlapping suggesting no biomarker responded more than others. Therefore, until further 44 evidence identifies a particular biomarker to be superior, group-level compliance to fruit and 45 vegetable interventions can be indicated equally well by vitamin C or a range of carotenoids. 46 High heterogeneity and a lack of dose-response suggest that individual-level biomarker 47 responses to fruit and vegetables are highly variable. 48

49 **Word count:** 250

50 Introduction

Higher fruit and vegetable intake has been associated with reduced risk of cardiovascular 51 disease (CVD), all-cause mortality and specific types of cancer ^(1; 2; 3; 4). The World Health 52 Organisation (WHO) recommend 400g of fruit or vegetables per day ⁽⁵⁾, equating to five 80g 53 portions, and encourages the evaluation of interventions to increase intake of fruits and 54 vegetables ⁽⁵⁾. Adherence to advice in dietary interventions is frequently assessed by self-55 report tools ⁽⁶⁾, which have known limitations ^(7; 8; 9). Social approval bias specifically occurs in 56 fruit and vegetable interventions resulting in overestimated self-reported intakes ⁽⁹⁾. Objective 57 measures of fruit and vegetable intake are therefore essential to improve confidence in 58 research findings. 59

60

Blood-based biomarkers, resulting from the metabolism of fruits and vegetables in the body, 61 have been proposed as objective indicators of fruit and vegetable intake ⁽¹⁰⁾. Biomarkers 62 correlate weakly with fruit and vegetable intake assessed by a range of self-report tools ^(11, 12). 63 For example, a meta-analysis estimated the correlation between dietary and plasma vitamin 64 C to be just r=0.3⁽¹³⁾. However, comparing biomarkers with self-reported intakes to establish 65 validity is flawed because true intakes are poorly represented by self-report tools. Dietary 66 67 randomised controlled trials (RCTs), with direct observation or provision of different amounts of fruit and vegetables to different groups, provide a more robust way to validate biomarkers 68 of changes in dietary intake. Randomisation may rule out confounding from other lifestyle 69 factors and the direct observation or provision of fruit and vegetables may allow true intakes 70 to be more accurately estimated compared with self-reported intakes from groups randomised 71 72 to different dietary advice (potential for differential priming for social desirability bias).

73

In a systematic review of RCTs published up to April 2009 ⁽¹⁴⁾ the most commonly measured and consistently responsive biomarkers for fruits and vegetables were carotenoids and vitamin C. However, there was no meta-analysis to quantify the responsiveness or examine the consistency of response of carotenoids and vitamin C. Furthermore, there was no comparative analysis of different biomarkers measured within the same set of studies, which would allow the relative validity of different biomarkers to be established. The current systematic review updates the existing review with a specific focus on the effect of changes in

fruit and vegetable intake on blood concentrations of vitamin C and carotenoids in RCTs with 81 food intake directly observed or provided to participants. To provide a direct comparison of 82 different biomarkers, our primary analysis focussed on those trials in which a common set of

- 83
- vitamin C and five carotenoids were measured. 84
- 85

Methods 86

87 The review was reported according to items in the PRISMA statement (Supplementary table 1). 88

89

Trial identification 90

A previous systematic review provided studies prior to 2009 in the current review ⁽¹⁴⁾. Updated 91 92 searches were conducted (by LJ) from April 2009 (last search date of previous systematic review ⁽¹⁴⁾) to December 2013 in PubMed, EMBASE, CENTRAL, CINAHL and Web of 93 Science using terms related to fruits and vegetables, dietary intervention studies and 94 biomarkers (see online supplementary information for detailed search strategy). Any relevant 95 96 systematic reviews were obtained and their reference lists were examined for additional references. Citations were screened by one reviewer (MP or LJ) and hard copies of relevant 97 articles obtained. These were screened by one reviewer (MP) and checked for inclusion by a 98 second reviewer (LJ). 99

100

Inclusion and exclusion criteria 101

102 Randomised controlled trials of different amounts of fruit and vegetable intake (where some food intake was observed or provided) with outcomes of plasma or serum vitamin C or 103 carotenoids were included in the review. Interventions of any duration were considered for 104 inclusion. Trials altering other aspects of diet, in addition to fruit and vegetable intake, for 105 example low-fat diets, were excluded to avoid the possibility that changes in blood-based 106 biomarkers were a result of dietary changes other than fruit and vegetables. Intervention 107 studies of a single fruit or vegetable were excluded. Findings from these types of interventions 108 may underestimate the utility of biomarkers for measures of general fruit and vegetable intake 109 as any single food contains a more limited range of nutrients. Trials where fruit and vegetable 110

intake was encouraged through dietary advice were excluded since adherence to the advice
is harder to estimate. Trials in healthy or unhealthy populations were included, including
populations with high CVD risk factors or impaired glucose metabolism. However, trials in
populations with abnormalities in micronutrient metabolism or vitamin deficient populations
were excluded. Trials were included if they reported biomarker measurements, either as
changes from baseline or as baseline and post-intervention values, and if information was
available on the amount of fruit and vegetables consumed in each intervention group.

118

119 Data extraction

Data on trial and population characteristics and outcomes were extracted into an Excel form 120 that was piloted on a sample of trials before use (by MP, MS, LJ, CM). Data extracted on trial 121 characteristics included the type of trial (parallel or crossover), duration of intervention, 122 information on the duration of pre- and within-intervention washout periods, the amount and 123 types of fruits and vegetables consumed and the mode of administration (some meals eaten 124 under supervision vs. all meals at home), smoking status, fasting status at the time of 125 biomarker measurement, the use of dietary supplements, inclusion and exclusion criteria and 126 funding sources. Population characteristics included the sample size, country and type of 127 sample, e.g. clinical or general population, and participant demographics, including age, sex 128 and ethnicity were also extracted. Where available, data on baseline, post-treatment and 129 change in biomarker concentrations were extracted for each trial arm. Where data on the 130 amount of fruit and vegetables provided or biomarker levels was incomplete or lacked 131 estimates of precision, authors were contacted. For four trials ^(15; 16; 17; 18), data were supplied 132 by authors and included in the review. 133

134

135 *Quality assessment*

A risk of bias (ROB) assessment was conducted (by MP) using the Cochrane risk of bias tool (¹⁹⁾. Randomisation, allocation concealment, participant and assessor blinding, missing data, and selective outcome reporting were assessed. Other items hypothesised to potentially introduce risk of bias were also added: the exclusion of participants taking supplements or smoking, participant fasting at the time of blood sampling, diet adherence monitoring and sufficient intervention wash-out periods (for cross-over trials) (≥4 weeks). The ROB for each trial was considered on the basis of whether any of the items, individually or in combination
with others, were likely to have introduced bias and trials were assigned as having no,
possible or high ROB. The overall quality of the evidence for each outcome was assessed
with the GRADE system ⁽²⁰⁾ that considers 1) the ROB across trials contributing to that
outcome, 2) heterogeneity in the meta-analysis, 3) directness, or the generalisability of the
population in the trial, 4) precision of the effect size and 5) risk of publication bias.

148

149 Data analysis

Standardised mean change (SMC) and standard deviation (SD) of biomarker concentrations 150 from pre- to post-intervention were computed using the baseline SD within each trial arm, 151 owing to variation in the units reported across studies (µmol/L; mg/dL; µmol/µmol of 152 cholesterol; µmol/mol of lipid). Effect sizes (standardised mean difference (SMD)) were the 153 difference of the SMC of biomarkers between arms with higher vs. lowest fruit and vegetable 154 intake. The standard error of the SMD was computed from the variance of the SMC and the 155 sample size in each arm. For trials with more than two arms, the arm with the lowest fruit and 156 vegetable intake was compared against all other arms. To account for the use of the lowest 157 intake arm in multiple comparisons, the sample size of that arm was divided by the number of 158 comparison groups within that study ⁽²¹⁾. Fruit and vegetable intake was described in terms of 159 number of portions using standard UK portion sizes i.e. one portion equates to 80g of fruit or 160 vegetables (22). 161

162

Mean differences in changes in biomarker between groups allocated different doses of fruits 163 and vegetables across the whole study in crossover designs were assumed to be the same 164 as mean differences between groups in parallel study designs. Where average biomarker 165 concentrations pre- and post-intervention were described using medians or geometric means, 166 these were assumed to approximate the mean; and 95% confidence intervals or interguartile 167 ranges were transformed to approximate the SD assuming a normal distribution. Where data 168 on change was not available, pre- and post-intervention mean (SD) concentrations were 169 170 extracted and mean change was computed by subtracting pre-intervention mean from postintervention mean in each arm. The SD of the standardised mean change was computed 171 using standard equations ⁽²¹⁾ based on the SD at baseline and SD at follow-up within each 172

arm and biomarker-specific correlations (r) based on published associations between

baseline and follow-up concentrations of biomarkers ^(23; 24). Post-hoc sensitivity analyses were

performed to check the influence of all assumptions on the results and the pattern of findings

- was unaltered.
- 177

For each biomarker, SMD (standard error (SE)) was pooled across all trials using random 178 effects meta-analysis with inverse variance weights and heterogeneity was estimated using l^2 179 $^{(25)}$. Heterogeneity of was considered low or high if I² was <25% or >75% respectively. For the 180 primary analysis, data were combined for each biomarker for trials that included vitamin C, 181 and a common set of 5 carotenoids (α -carotene, β -carotene, β -cryptoxanthin, lutein and 182 lycopene). Sub-group analyses planned a-priori were conducted for each biomarker using 183 meta-regression to investigate potential dose-response effect (difference in fruit and 184 vegetables intake between arms in each trial in g/day) and sources of heterogeneity, including 185 differences by intervention duration (0-3 weeks vs. 4+ weeks, categories created based on 186 data available); intervention compliance (meals observed vs. eaten at home); trial design 187 (crossover vs. parallel); health status (healthy vs. unhealthy); location (Europe vs. US vs. 188 Asia-Pacific); type of food provided (fruit and vegetables vs. vegetables only, categories 189 created based on data available); baseline fruit and vegetable intake (<1 portion vs. 2-3 190 portions vs. 4-5 portions, categories created based on data available); fasting status (fasted 191 vs. not); blood sample fraction (plasma vs. serum); risk of bias (low vs. possible vs. high); and 192 193 sex (mixed vs. male vs. female). To check for a possible ceiling effect among participants with elevated biomarker concentrations, we also performed subgroup analyses by baseline 194 biomarker concentrations (low vs. high based on median split, categories created based on 195 data available). For sub-group analyses, all trials with that biomarker measured were used, 196 regardless of the simultaneous measurement of other biomarkers. As substantial $(I^2 > 75\%)$ 197 198 between-trial heterogeneity was observed, a post-hoc sensitivity analysis was conducted to examine the effect of excluding trials with outlying results (more than 2 standard deviations 199 from the SMD) from the analysis. Statistical evidence of association was considered important 200 at p<0.05. Data were analysed in Stata, version 12 (StataCorp, College Station, Texas). 201

202

203 **Results**

205 Trial selection

Of 3,759 unique records, 144 full text articles were assessed for inclusion and nineteen trials 206 were included in the review (Figure 1). Nineteen trials were identified in this review, 10 of 207 which were also included in the previous systematic review ⁽¹⁴⁾. Out of the 19 trials, nine ^{(23; 26;} 208 27; 28; 29; 30; 31; 32; 33) assessed a common set of six biomarkers including five carotenoids and 209 vitamin C (Supplementary table 2) and were included in the comparative (primary) analysis. 210 Of the papers rejected on full text screening, the majority were excluded on the basis of the 211 intervention, often because trials involved only dietary advice, or because the intervention 212 targeted a single fruit or vegetable only. Other common reasons for exclusion were wrong 213 214 study design (not RCT with food provision) or wrong outcomes (no biomarker concentrations).

215

216 Trial characteristics

Trial characteristics for all the included trials are shown in **Table 1**. Twelve trials were 217 conducted in healthy populations ^(15; 17; 23; 27; 28; 31; 32; 34; 35; 36; 37; 38). Two trials were conducted in 218 populations with increased CVD risk ^(29; 33) and single trials were in populations with obesity 219 ⁽³⁹⁾, overweight ⁽¹⁶⁾, hypertension ⁽³⁰⁾, elevated blood pressure ⁽¹⁸⁾ or chronic obstructive 220 pulmonary disease (COPD)⁽²⁶⁾. Within trial differences in intake of fruit and vegetables 221 ranged from 2 to 13 portions /day. The sample sizes ranged from 20 to 246 participants 222 (median 64). For the nine trials included in the comparative analysis, the difference in amount 223 of fruit and vegetables between arms ranged from 2-7 portions/day. 224

225

226 Quality of the evidence

In the GRADE assessment of the quality of each outcome in the meta-analysis, no outcomes were downgraded for imprecision or indirectness. However, most trials were considered to have some ROB (**Figure 2**). Trials did not state that there was allocation concealment and patient blinding was not possible. In a number of studies, there were inadequate pre- and within-intervention washout periods and uncertainties around the true ingested amounts of fruits and vegetables (less adherence monitoring) (**Figure 2**). In the absence of washout periods, there was considered to be risk of pre-intervention or cross-treatment contamination.

234 In trials where consumption of fruit and vegetables was not directly observed, there was considered to be a likely over-estimation of the true ingested amount. A concern in some trials 235 was the inclusion of participants using nutritional supplements, a lack of fasting at the time of 236 outcome measurement and the inclusion of patients who smoked. Funnel plots suggested the 237 possibility of publication bias and heterogeneity for α -carotene, β -carotene, β -cryptoxanthin, 238 and vitamin C (based on the occurrence of studies outside of the triangular region indicating 239 where 95% of studies should be in the absence of bias or heterogeneity) (Figure 3). All 240 outcomes were downgraded for inconsistency as there was substantial heterogeneity in the 241 242 meta-analysis. Overall, evidence for all outcomes was graded as low quality.

243

244 Findings

The primary focus for this review was trials including measures of all six biomarkers so that 245 their comparative utility could be assessed (Figure 4). All biomarker concentrations, except 246 lycopene, increased more from pre- to post-intervention in the arm providing higher amounts 247 of fruit and vegetables compared to the arm providing lower amounts; α -carotene (SMD 0.63, 248 95% CI 0.25, 1.01), β-carotene (SMD 0.27, 95%CI 0.08, 0.45), β-cryptoxanthin (SMD 0.52, 249 95% CI 0.30, 0.74), lutein (SMD 0.70, 95% CI 0.37, 1.03) and vitamin C (SMD 0.94, 95% CI 250 0.66, 1.22). For lycopene there was no evidence of greater change in plasma concentrations 251 (SMD -0.02, 95% CI -0.27, 0.23) in response to higher fruit and vegetable intake. There was 252 substantial between-trial heterogeneity in the pooled effects for all biomarkers (I²=74-94%). In 253 the sensitivity analyses, where trials with extreme outlying results were excluded, seven out of 254 nine trials remained in the analysis (Supplementary figure 1). Effect sizes were smaller for 255 all biomarkers but a similar pattern was observed, where there were significant effects for α -256 carotene, β -carotene, β -cryptoxanthin, lutein and vitamin C but again no evidence of a 257 difference for lycopene. Heterogeneity was reduced for β-crytoxanthin, lutein, lycopene and 258 vitamin C (I²=46-66%), but remained significant (**Supplementary figure 1**). Further sensitivity 259 analyses utilising information for each biomarker from all available studies (indirect 260 261 comparisons) (Supplementary figure 2) and excluding non-normally distributed data (Supplementary figure 3) did not alter the pattern of results. 262

263

Individual meta-analyses for each biomarker including up to nineteen trials are shown in 264 Supplementary figures 4-9. For these indirect comparisons, the same pattern was observed 265 as for direct comparisons, with statistically significant effects for all biomarkers except 266 lycopene. For these indirect analyses we were able to additionally estimate effects for 267 zeaxanthin (Supplementary figure 10) and total carotenoids (Supplementary figure 11), 268 which were available in a smaller number of studies. Both showed increases in response to 269 high compared with low amounts of fruits and vegetables but were also highly heterogeneous 270 $(I^2 = 84 \text{ and } 93\% \text{ respectively}).$ 271

272

All trials providing data on at least one biomarker were included in the investigation of dose 273 274 response and sub-group analyses. In meta-regressions of within-trial difference in amount of fruit and vegetables (grams/day) against SMD of biomarker level, there was no evidence of a 275 dose-response effect (all p>0.05). When the difference in the amount of fruit and vegetables 276 277 consumed in each arm was categorised into portions (2-3 vs. 4-5 vs. >5 portions), a trend towards higher biomarker concentrations among trials where the group difference in fruit and 278 vegetable intake was greater emerged but was only statistically significant for β-carotene 279 (p=0.01, Figure 5). 280

281

Other notable findings from subgroup analyses included stronger effects for α -carotene, β -282 carotene, lutein and vitamin C in trials where participants ate meals under supervision 283 compared to trials where all food was eaten at home, accounting for 12-38% of the 284 heterogeneity (Supplementary figure 12). Shorter interventions (0-3 weeks) were associated 285 with significantly greater effect sizes compared to longer (≥ 4 weeks) interventions for α - and 286 β-carotene. There were non-significant trends for a similar effect for lutein, lycopene and 287 vitamin C, accounting for between 6-20% of the heterogeneity (Supplementary figure 13). 288 Trials in healthy populations tended to show greater effect sizes compared with trials in 289 unhealthy populations (**Supplementary figure 14**) and this was significant for α - and β -290 carotene (accounting for 17-18% of the heterogeneity). In the sensitivity analysis, excluding 291 outlying results, there was still a significant effect of disease status for α - and β -carotene. In 292 the sensitivity meta regressions including intervention delivery, duration and participant health 293 294 status all together associations were unaltered (data not shown).

Trials conducted in the USA had significantly greater effect sizes compared with those 296 conducted in Europe for α - and β -carotene (**Supplementary figure 15**), which was robust to 297 adjustment for other factors for α -carotene. The effect size was greater for crossover 298 compared with parallel trials for β -carotene and lutein (**Supplementary figure 16**), which was 299 attenuated after adjustment for other factors (data not shown). For α-carotene and lutein there 300 was a greater effect size for trials where vegetables alone were given compared to trials 301 where both fruit and vegetables were given (Supplementary figure 17), but these findings 302 were not robust to adjustment (data not shown). There was no evidence of differences across 303 sub-groups defined by baseline fruit and vegetable intake, fasting status, blood fraction 304 (plasma or serum) or risk of bias (data not shown). 305

306

307 Discussion

In this systematic review we identified nine additional RCTs compared with a previous
systematic review ⁽¹⁴⁾, providing the largest evidence base to date for meta-analysis of the
validity of carotenoids and vitamin C based on highly controlled validation studies. While
previous reviews have not been able to comment on the comparative validity of different
biomarkers, our results highlight that vitamin C and 4 common carotenoids may all be equally
useful as a biomarker for objectively measuring general fruit and vegetable intake.

314

Similar to a previous systematic review⁽¹⁴⁾, vitamin C and carotenoids were identified as commonly used biomarkers for fruits and vegetables. In the previous systematic review these biomarkers are qualitatively described as consistently responding to increased fruit and vegetable intakes. Our meta-analysis provides quantitative evidence to support that vitamin C, α - and β -carotene, β -cryptoxanthin and lutein all increase in response to a high fruit and vegetable intake but high heterogeneity estimates suggest a lack of consistency in the size of the response observed between studies.

322

Meta-regression of fruit and vegetable dose on changes in biomarker concentration showed no evidence of a dose-response relationship for any biomarkers. While pooled biomarker

325 responses in sub-groups defined by increasing fruit and vegetable dose appeared to be incrementally greater, the differences were not statistically significant. The absence of dose-326 response in our review may be explained by ceiling effects, where plasma biomarker 327 concentrations reach a peak and do not increase further in response to higher fruit and 328 vegetable intakes because excess levels are stored in body tissue or excreted. In the 329 included trials, the difference in fruit and vegetable dose was typically 5-6 portions per day, 330 equivalent in one trial to 194 mg of vitamin C and 4 mg/day of β -carotene ⁽²⁹⁾. Vitamin C 331 saturation can occur at intakes as low as 30-60 mg/day $^{(40)}$ whereas, for β -carotene, doses up 332 to 45mg/day are within a physiologically responsive range ⁽⁴¹⁾. Ceiling effects may affect 333 vitamin C but may have less impact on the plasma response of β -carotene and other 334 carotenoids that have a wider physiologically responsive range. However, our sub-group 335 analyses found no evidence of differences in the pooled effects by baseline fruit and 336 vegetable intake or baseline biomarker, even for vitamin C concentrations, indicating that 337 ceiling effects were unlikely to be affecting dose-responses at the tested levels of intake. 338

339

Alternatively, trial integrity may have had a role masking a dose-response curve. Adherence 340 to the intervention might be anticipated to be lower for people in groups allocated to higher 341 doses of fruits and vegetables e.g. it's harder to comply with eating 8-9 portions per day than 342 4 portions per day and differential compliance by dose may explain the lack of observed dose 343 response. Shorter (0-3 weeks) compared with longer (≥4 weeks) interventions had larger 344 effects, which may be explained by reduced compliance in longer trials owing to intervention 345 fatigue. The half-life of some biomarkers is relatively short, with plasma biomarker 346 concentrations reducing to baseline over 2-3 weeks ⁽⁴¹⁾. However, in this review, shorter trials 347 were also more likely to have supervised meals. Five of eight studies of 0-3 weeks duration 348 (63%) vs. three of eleven (27%) trials of 4+ weeks duration involved supervised meals. We 349 350 found that trials with supervised meals had larger pooled effects compared with trials without supervision, likely reflecting better intervention adherence and more accurately representing 351 the intervention-biomarker relationship. 352

353

The presence of supervised feeding in trials explained only between 12% (for α -carotene) and 355 38% (for lutein) of the between-trial heterogeneity, suggesting that other individual and trial-

356 level factors also influence the observed biomarker-fruit and vegetable intake relationship. Individual-level factors, such as age, sex and BMI, the efficiency of absorption and excretion, 357 differences in smoking, alcohol, dietary and exercise habits and variation in the presence of 358 underlying disease/metabolic disorders, are suggested influences on the relationship between 359 fruit and vegetable intake and biomarker status ^(10; 41; 42). Several of these moderating factors 360 were explored in sub-group analyses. Health status was identified as a source of 361 heterogeneity; trials that recruited participants who were overweight, hypertensive or at high 362 risk of CVD had lower pooled effect sizes than trials of healthy participants. Factors related to 363 364 CVD, such as chronic low grade inflammation, can affect the absorption, metabolism and storage of biomarkers in the body ⁽¹⁰⁾, which may explain the reduced effect of interventions in 365 populations with disease/metabolic disturbances. One key trial-level difference not captured 366 fully in our sub-group analyses was the variation in the types of fruits and vegetables provided 367 to participants. Diets with fruits and vegetables that were richer in vitamin C and carotenoids 368 369 may have shown a stronger relationship with biomarker levels. However, although the type of fruits and vegetables provided was reported in 11 out of 19 studies, the amount of each type 370 was not consistently described. Without information on both the type and amount of specific 371 372 fruits and vegetables it was not possible to accurately estimate the vitamin C or carotenoid content of diets. We included any studies changing more than one type of fruit or vegetable in 373 order to represent 'general' changes in intake but it is possible that the micronutrient 374 composition of the fruits and vegetables provided could further explain some of the 375 heterogeneity in biomarker responses between studies. 376

377

According to the GRADE assessment, the evidence was low quality therefore "Further 378 research is very likely to have an important impact on our confidence in the estimate of effect 379 and any estimate of effect is very uncertain" ⁽²⁰⁾. The interpretation of results in this review is 380 limited by the high level of heterogeneity observed between trials, which could not be fully 381 explained in sub-group analyses. In assessing fruit and vegetable intake not only is there 382 likely to be large between-population variation, but there is also likely to be large variation in 383 the biomarker response of individuals ^(41; 42; 43). The evidence from this meta-analysis does not 384 385 provide support for the use of biomarkers to estimate absolute levels of fruit and vegetable intake because of a lack of dose-response effect. It also does not provide support for 386 estimating changes in fruit and vegetable intake in individuals because only group-level 387

differences were quantified in the trials. Further studies of the determinants of within and
 between individual variation in vitamin C and carotenoid levels in large-scale studies with
 biomarkers measured at multiple time-points will help to understand the relative importance of
 changes in fruit and vegetable consumption for changes in biomarker concentrations.

392

Strengths of the present systematic review include the identification of nine trials additional to 393 the previous review, thus allowing an in-depth exploration of between-trial heterogeneity and 394 a comparative analysis restricted to nine trials with a common set of biomarkers measured 395 (five were newly identified by our update to the review). However, some uncertainty remains 396 regarding the comparative utility of different biomarkers. Although vitamin C had the greatest 397 398 response, it was not significantly greater from the response of other biomarkers. Therefore, no particular biomarker can be recommended above the others on the basis of our results 399 thus selection may be based on study needs. The review included only randomised controlled 400 trials that directly observed or provided fruit and vegetables. This restriction reduced the 401 number of included trials compared to previous reviews ⁽¹⁴⁾, but is considered a strength 402 because observed effects are less confounded by potential exposure misclassification related 403 to low compliance or other dietary changes associated with dietary interventions. 404

405

The present systematic review and meta-analysis confirm that vitamin C and carotenoids (except lycopene) are responsive to changes in general fruit and vegetable intake at a group level. However, the evidence was of low quality, there was no clear evidence of doseresponse or that any single biomarker was more responsive. Further work is required to understand the determinants of biomarker variation among individuals.

411

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- LJ wrote the article. AB, MS, and CM revised the paper critically for important intellectual
- 422 content; All authors approved the final manuscript.

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Author						Mean			Interventi	Run-	Fruit and	vegetable				
	Year	Population	Location	Design	Ν	age (yrs)	Method	Intervention	on duration (wks)	in (wks)	intake (Po Baseline	Treatment	Blood	Fasted state	excluded?	
Baldrick (26)	2012	COPD	UK	Parallel	81	62	Provided F&V, delivered to	F&V	13	0	GP ₁ 1.4	GP ₁ 1.9	Plasma	Non- fasting	No	
	2010	Elevated blood pressure	Elevated blood UK pressure	Cross			Provided F&V, delivered to homes			3	GP ₁ 3.6	GP ₁ 3.6				
Berry ⁽¹⁸⁾				over	57	45		F&V	6		GP ₂ 3.6 GP ₃ 3.6	GP ₂ 6.7 GP ₃ 8.0	Plasma	Fasted	Yes	
Brevik ⁽³⁴⁾	2004	Healthy - students	Norway	Cross over	39	23	Foods supplied and eaten under	F&V	2	1	$GP_1 NR$ $GP_2 NR$	GP ₁ 3.8 GP ₂ 9.4	Plasma	Fasted	Yes	
		Healthy -					Foods supplied				GP ₁ 2.8	GP1 2.5				
Briviba ⁽²⁷⁾	2008	general	general Germany	Parallel	63	NR	under supervision	F&V	3	1	GP ₂ 3.3 GP ₃ 3.1	GP ₂ 5.8 GP ₃ 9.8	Plasma	NR	Yes	
Broekmans	2000	2000	Healthy - The D Low F&V Netherla	Healthy - The P Low F&V Netherlands	The Parallel 48 herlands	48	49	Foods supplied and dinner eaten	F&V	4	NR	GP ₁ 2.0	GP1 1.3	Plasma	Fasted	No
(28)		Low F&V						under supervision				GP ₂ 2.0	GP ₂ 6.3			
Chong ⁽²⁹⁾	2013	Increased	UK	Parallel	221	51	Provided F&V,	F&V	18	2	GP ₁ 3.9	GP ₁ 4.5	Plasma	Fasted	No	

541 Table 1: Characteristics of 19 randomised controlled trials of fruit and vegetable intake on biomarker concentrations

		CVD risk					delivered to homes				GP ₂ 3.8 GP ₃ 3.4	GP ₂ 7.6 GP ₃ 8.1			
(16)	0011	Overweight (BMI 25-45),	110.4	Cross	50	50	Provided F&V,		2		GP ₁ NR	GP ₁ 1.6	Discourse	Factor	NL
Grane	2011	post- menopausal women	USA	over	50	59	delivered to	Veg only	3	4	GP₂ NR GP₃ NR	GP ₂ 3.6 GP ₃ 7.7	Plasma	Fasted	NO
Dragsted	2004	Healthy –	Denmark	Parallel	48	26	Foods supplied and lunch eaten	F&V	4	0.4	GP ₁ 3.3	GP ₁ 3.3	Plasma	Fasted	Yes
(17)		general					under supervision				GP ₂ 4.1	GP ₂ 7.5			
Gill ⁽²³⁾ 200	2004	Healthy	UK	Parallel	20	26	Foods provided (NR where	Veg only	2	1	$GP_1 NR$	GP ₁ 0.0	Plasma	Fasted	NR
		volunteers					consumed)				$GP_2 NR$	GP ₂ 1.4			
Howe (39)	2009	Obese	USA	Parallel	37	33	Food provided at breakfast and	F&V	13	NR	GP₁ NR	GP ₁ 1.2	Serum	Fasted	No
							lunch				GP₂ NR	GP ₂ 2.5			
				Greene			Ate on site or				GP₁ NR	GP ₁ 0.0			
Martini ⁽³⁸⁾	1995	Healthy	USA	ver	23	26	picked up to eat at home	Veg only	1	0.7	$GP_2 NR$	GP ₂ 6.8	Plasma	Fasted	Yes
											GP_3NR	GP ₃ 8.6			
		Hypertensio					Food delivered to				GP₁ 0.9	GP ₁ 1.1			
McCall ⁽³⁰⁾	2009	n	UK	Parallel	147	52	home, weekly phone calls	F&V	8	4	GP ₂ 1.1	GP ₂ 3.2	Serum	Fasted	No
											GP ₃ 1.1	GP₃ 5.6			

Moller ⁽³⁵⁾	2003	Healthy - general	Denmark	Parallel	48	26	Foods supplied and lunch eaten under supervision	F&V	4	0.6	GP ₁ 3.3 GP ₂ 4.2	GP ₁ 0.0 GP ₂ 7.5	Plasma	Fasted	Yes						
Neville (31)	2013	Healthy, Older adults	UK	Parallel	83	71	Advice and home deliveries of F&V	F&V	16	0	GP ₁ 1.4	GP ₁ 1.8	Plasma	Fasted	No						
		Healthy		Crosso			Ate on site or				$GP_2 1.4$ $GP_1 NR$	GP ₂ 6.0 GP ₁ 2.9									
Rantala ⁽¹⁵⁾	2002	women	Finland	ver	37	43	picked up to eat at home	F&V	5	2	GP₂ NR	GP ₂ 8.3	Plasma	Fasted	Yes						
Thompson (36)	2005	Healthy - women's health	USA	Parallel	246	48	Cookbook with daily menus and recipes and one-	F&V	4	2	GP ₁ 4.5	GP ₁ 5.4	Plasma	NR	Yes						
		interest group					third of meals supplied				GP ₂ 4.5	GP ₂ 13.8									
Thompson	2005	Healthy - unclear	USA	USA	USA	USA	USA	USA	USA	Parallel	64	49	49 Foods prescribed	F&V	2	0	$GP_1 NR$	GP ₁ 5.4	Plasma	Non-	NR
	D	source					•				$GP_2 NR$	GP ₂ 18.2		fasting							
Van het Hof	1999	Healthy -	The	Parallel	55	22	Foods supplied (90% of energy intake) and	Veg only	4	NR	$GP_1 NR$	GP ₁ 1.6	Plasma	Fasted	Yes						
(32) 1995	1999	general	general	eneral Netherlands	Netherlands	Netherlands	Netherlands	Faranel	00	22	partially eaten under supervision	veg only	4	INFX	$GP_2 NR$	GP ₂ 6.1	r idollid	Fasted	res		

							Advice plus				GP1 1.7	GP1 1.8			
							weekly home								
Wallace (33)	2012	High CVD		Darallal	105	56	deliveries of F&V		10	4	CD 17		Diasma	Factor	No
	2013	risk	UK	Falallel	105	50	telephone call	FQV	12	4	GF ₂ 1.7	GF ₂ 3.0	Flasilla	Fasieu	INU
							from researcher								
							weekly				GP ₃ 1.6	GP ₃ 7.1			

BMI, Body Mass Index; COPD, Chronic Obstructive pulmonary Disease; CVD, Cardiovascular disease; F&V, Fruit and vegetables; GP, Group; NR, Not reported

543 Figure Legends

- 544 Figure 1: PRISMA diagram of search results
- 545 Figure 2: Summary of risk of bias among the 9 studies with 6 biomarkers measured.
- 546 Figure 3: Funnel plots of 9 randomised controlled trials of different doses of fruit and
- 547 vegetable intake on biomarker concentrations
- 548 Figure 4: Summary of pooled difference between arms consuming higher vs. lower
- amounts of fruit and vegetables for standardised mean change (SMC) of biomarkers from
- 550 pre- to post-intervention in trials with all 6 biomarkers measured. SMC represents a
- standard deviation of pre-intervention biomarker levels within each study. I² is an indicator
- of between-trial heterogeneity. Random effects meta-analysis was used to pool mean
- differences. Includes the following studies for ALL biomarkers: Baldrick⁽²⁶⁾; Briviba⁽²⁷⁾;
- 554 Broekmans⁽²⁸⁾; Chong⁽²⁹⁾; Gill⁽²³⁾; McCall⁽³⁰⁾; Neville⁽³¹⁾; Van Het Hof⁽³²⁾; Wallace⁽³³⁾. Total
- number of trials is 9; total number of arms being compared is 22; total number of people included is 667.
- 557 Figure 5: Summary of pooled differences between arms consuming higher vs. lower
- amounts of fruit and vegetables in standardised mean change (SMC) of biomarkers from
- pre- to post-intervention in all trials with available data grouped by amount of fruit and
- vegetables provided during the intervention. SMC represents a standard deviation of pre-
- ⁵⁶¹ intervention biomarker levels within each study. I² is an indicator of between-trial
- 562 heterogeneity. Random effects meta-analysis was used to pool mean differences. P value
- is from meta-regression test for trend across categories. *Includes all studies up to n=19*
- 564 based on availability of biomarker in each study.

Figure 1



Figure 2







Figure 5

		Larger change and vegetable	e in LOW fruit group	Larger change in and vegetable gr	HIGH fruit oup	
			-1	0 1 2 3	4 5	
or portions	2	90.5	90		1.41 (0.35, 2.40)	0.511
4-5 portions	7	86.9 00 5	528		0.95 (0.57, 1.33)	0 5 1 1
2-3 portions	3	60.3	132		0.86 (0.24, 1.48)	
Vitamin C					- · · ·	
5+ portions	5	72.7	580	-	0.35 (0.10, 0.61)	0.506
2-3 portions 4-5 portions	4 7	ŏ∠.o 89.8	180 510		0.19 (-0.04, 0.42) 0.14 (-0.27, 0.55)	
Lycopene	Λ	82.6	100	•	0.10 (0.04 .0.42)	
5+ portions	4	93.5	562		1.22 (0.65, 1.79)	0.263
2-3 portions	3 6	23.2 92.4	132 480		0.26 (-0.08, 0.60) 0.83 (0.36, 1.30)	
Lutein						
5+ portions	5	91.2	580	-	0.40 (-0.02, 0.81́)	0.400
2-3 portions 4-5 portions	4 6	0 84.7	180 480	·	0.68 (0.37, 0.98)	
b-cryptoxanthin	4	0	400	+	0 17 (0 00 0 24)	
5+ portions	6	96.8	583	· ·	1.51 (1.01, 2.01)	0.011
4-5 portions	7	90.9	528	+	0.20 (0.02, 0.38)	0.044
b-carotene 2-3 portions	4	91 9	180		0 29 (-0 31 0 89)	
5+ portions	5	96.8	580		1.54 (0.93, 2.16)	0.223
a-carotene 2-3 portions 4-5 portions	4 7	91.2 93.2	180 528	→	0.30 (-0.39, 1.00) 0.62 (0.20, 1.05)	
	Trials	 ²	n		(95% CI)	р
					Difference in	