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# 1 Disentangling the roles of late Miocene palaeogeography and

# 2 vegetation – implications for climate sensitivity

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# 7 Abstract

8 The impact of rising CO<sub>2</sub> on future climate remains uncertain but the evidence for high CO<sub>2</sub> 9 in the palaeorecord suggests that past climates could provide a potentially quantifiable 10 indication of climate in a high-CO<sub>2</sub> world. One such past time period is the Late Miocene 11 (11.6-5.3 Ma), for which paleo-CO<sub>2</sub> reconstructions indicate higher levels than those of 12 preindustrial, and similar to the present atmospheric level (~ 400ppm). The Late Miocene 13 palaeorecord suggests a much warmer and wetter Northern Hemisphere than preindustrial. 14 However, vegetation feedbacks are an important component of the climate system and 15 vegetation distributions reconstructions from the palaeorecord have been shown to be very 16 different to the present vegetation distribution. We examine the role that different vegetation 17 and palaeogeography plays in climate sensitivity for the late Miocene and consider the 18 implications for potential future climate change. To do this we use coupled atmosphere-19 ocean-vegetation simulations of late Miocene and potential modern climates forced by three 20 different CO<sub>2</sub> concentrations with vegetation perturbation experiments and make quantitative 21 comparisons to the palaeorecord. Optimal regions to target late Miocene palaeodata

22 acquisition for the purposes of informing about future climate include North America, 23 northern Africa, Australia, Paraguay and southern Brazil, and northeastern Asia. These regions are those which the model results predict to be most sensitive to CO<sub>2</sub> forcing, but 24 where the local temperature response to  $CO_2$  forcing is similar between the simulated 25 26 potential modern and late Miocene climates. The model results suggest that climate 27 sensitivity to CO<sub>2</sub> forcing is directly affected by the palaeogeographic configuration and that 28 the inferred climate sensitivity for doubled CO<sub>2</sub> is 0.5-0.8°C higher for the late Miocene than 29 we might expect for future climate because of differences in synergy. The greater land mass 30 at high northern latitudes during the late Miocene and the differences in vegetation distribution predictions that result, combined with differences in ocean circulation and the 31 32 effect of sea ice, make the late Miocene boundary conditions more sensitive to CO<sub>2</sub> forcing 33 than the modern boundary conditions.

34 Climate modelling; late Miocene; vegetation; CO<sub>2</sub>; palaeogeography; climate sensitivity

#### 35 1 Introduction

36 Reconstructions of late Miocene (11.6-5.3 Ma) CO<sub>2</sub> range from 144 to 1350ppm but most 37 data suggest CO<sub>2</sub> levels were between preindustrial (280ppm) and modern (400ppm) 38 concentrations (Demicco et al., 2003; Freeman and Hayes, 1992; Kurschner et al., 2008; 39 Kurschner et al., 1996; Pagani et al., 1999a; Pagani et al., 1999b; Pagani et al., 2010; Pearson 40 and Palmer, 2000; Tripati et al., 2011; Zhang et al., 2013; and see Figure 1 of Bradshaw et 41 al., 2012). The palaeorecord also suggests that, for regions with abundant late Miocene data 42 (in southern Europe and in central and southern Asia), the climate was generally hotter and/or 43 wetter than today (Bruch et al., 2007; Eronen et al., 2010; Pound et al., 2012; Pound et al., 44 2011; Utescher et al., 2011; and see Figures 7 and 11 of Bradshaw et al., 2012). The fact that

45 the late Miocene climate was warmer and wetter than today is consistent with the fact that our 46 modern climate has not yet reached equilibrium with our present atmospheric CO<sub>2</sub> 47 concentration (Stocker et al., 2013), However, there could also be underlying differences in 48 climate sensitivity between these two time periods due to differences in the continental and 49 orographic configuration.

In order to use past warm climates to infer potential future climate change, it is important to 50 51 establish the dependence of feedbacks (and therefore climate sensitivity) on the background 52 climate state (Rohling et al., 2012). Consistent intercomparisons that separate out 53 understanding of climate dynamics due to CO<sub>2</sub> forcing from other potential contributors such 54 as paleogeography (continental positions, ocean gateways and continental ice extent), and 55 associated feedbacks, are therefore essential. Previous work using extensive model-data 56 comparisons suggests that CO<sub>2</sub> rather than paleogeography was the primary driver of late 57 Miocene warmth (Bradshaw et al., 2012) but did not separate out the effects of vegetation. 58 This study focuses on the role of vegetation in determining late Miocene climate and how 59 palaeogeographic differences might affect the vegetation distribution and the sensitivity to 60 CO<sub>2</sub> forcing. We show that palaeogeography is very important in the determination of 61 temperature because it impacts both sensitivity to CO<sub>2</sub> forcing directly through differences in heat capacity, and indirectly through the distribution of high latitude vegetation and the 62 63 combination of feedback mechanisms.

#### 64 2 Description of the Models and Experiment Design

# 65 2.1 Description of the climate model HadCM3L and the dynamic vegetation model 66 TRIFFID

The general circulation model (GCM) used in this work is HadCM3L (Cox et al., 2000), the low ocean resolution (2.5° latitude by 3.75° longitude) version of the fully coupled atmosphere-ocean model HadCM3 (Gordon et al., 2000; Pope et al., 2000). The atmosphere component has 19 vertical levels and the ocean component has 20 vertical levels and the model is run without the requirement for flux adjustments. Full details of the GCM and comparison to modern observations are given in Appendix B Section 1.1 of Bradshaw et al. (2012).

74 The interactive global vegetation model coupled to HadCM3L is the Top-down Representation of Interactive Foliage and Flora Including Dynamics (TRIFFID) model, a full 75 76 description of which is given in Cox (2001) and Hughes et al. (2004). TRIFFID calculates 77 areal coverage, leaf area index and canopy height for five defined plant functional types (PFTs): broadleaf tree, needleleaf tree, C<sub>3</sub> grass, C<sub>4</sub> grass and shrub, all of which can co-exist 78 79 within the same model grid box. The vegetation model is competitive and hierarchical based 80 on height, so natural vegetation will tend towards trees, if the conditions are suitable. Each PFT responds differently to climate and CO<sub>2</sub> forcing (e.g. C<sub>3</sub> and C<sub>4</sub> grasses use different 81 photosynthetic pathways), and also impact differently on the physical properties of the land 82 83 surface (i.e. possessing different aerodynamic roughness lengths and albedo properties). In 84 using the TRIFFID model in a paleo context it is inherently assumed that modern vegetation characteristics are appropriate for the late Miocene and this of course may not be a good 85 86 assumption. However, allowing vegetation distributions to alter with, and feed back to, the

87 climate is a better test of the dependence of climate sensitivity to vegetation distribution than 88 keeping the vegetation fixed at the modern distribution. More details of the TRIFFID model 89 and comparison to modern observations are given in the Supplementary Information.

# 90 2.2 Experimental Design

91 In this study, simulations have been conducted for late Miocene boundary conditions under 92 different CO<sub>2</sub> concentrations and comparisons are made with potential modern climates for 93 the same  $CO_2$  concentrations. The modern climates are derived using TRIFFID-simulated 94 natural vegetation rather than prescribing the true modern vegetation distribution, in order to 95 exclude anthropogenic land-use changes associated with agriculture and urban areas. The 96 continental positions and orographic boundary conditions for the late Miocene simulations 97 are those from Markwick (2007) and are described in detail in Bradshaw et al. (2012). The 98 boundary conditions for the potential modern simulations are those of the UK Met. Office 99 and also described in Bradshaw et al. (2012). The major differences in the late Miocene 100 boundary conditions as compared to the modern boundary conditions are an open Panama 101 Gateway, a closed Bering Strait, a Barents/Kara Sea landmass, an unrestricted Indonesian 102 Seaway, an unglaciated Greenland and reductions in orography for most of the worlds' 103 highest mountain chains (refer to Figure S1 in the Supplementary Information and also 104 Figure 2 of Bradshaw et al., 2012).

105 Three potential atmospheric CO<sub>2</sub> concentrations are prescribed: 180ppm, 280ppm and 106 400ppm, all of which lie within the range of uncertainty of the palaeo-CO<sub>2</sub> reconstructions 107 for the late Miocene (Bradshaw et al., 2012 and references therein; Zhang et al., 2013). All 108 other atmospheric gas concentrations are kept at preindustrial values, and a modern orbit is 109 prescribed.

110 In addition, vegetation-perturbation experiments were conducted whereby vegetation was 111 fixed at the annual mean equilibrium distribution for each alternative CO<sub>2</sub> concentration, allowing the separation of the contribution made to climate from CO<sub>2</sub> forcing and the 112 113 contribution made from vegetation feedbacks. The experimental design of the model 114 simulations is shown schematically in Figure 1. The GCM was initially run with CO<sub>2</sub> levels 115 set at preindustrial (280ppm) and the TRIFFID model turned on until the model had reached an equilibrium state (~1000 years), then the two additional CO<sub>2</sub> concentration scenarios were 116 117 spun-off from each of the control simulations and all six simulations continued for a further 118 1000 years. The TRIFFID model was turned off and the vegetation fixed at the suggested 119 near-equilibrium distributions and the simulations continued for a further 550 years. In 120 addition, the GCM was run with the vegetation distribution fixed for that predicted with a 121 higher or lower CO<sub>2</sub> concentration than the level prescribed in the simulations, e.g. the vegetation distribution in the 400ppm CO<sub>2</sub> scenario is prescribed with the predicted 122 123 vegetation distribution for the 280ppm equilibrium climate scenario. These additional vegetation-perturbed scenarios were also run for a further 550 years. 124

125 The analysis in this paper is carried out using the climatological means of the last 50 years of 126 each simulation. Analysis is performed from the viewpoint of an increase in  $CO_2$ , with focus 127 given to the results from increasing  $CO_2$  from 280 to 400ppm, as this is most relevant for 128 immediate future climate. The change from 180ppm to 280ppm represents a radiative forcing 129 of 2.36 W/m<sup>2</sup> and the change from 280ppm to 400ppm represents a radiative forcing of 1.91 130 W/m<sup>2</sup>.

One of the uncertainties in the late Miocene configuration is the 10 soils parameters includingsoil moisture criteria, thermal capacities and albedo. For this work, globally homogenous

values derived from average modern soils are used for the Miocene simulations (refer to Table S2 and Figure S2 in the Supplementary Information). An additional sensitivity experiment was performed whereby the same globally homogenous average values were used with the modern boundary conditions in order to identify the magnitude of this uncertainty on the results presented. An example of the implication of using these homogenous parameters is shown in Figure S2 in the Supplementary Information.

139 A spreadsheet containing the model output temperature, precipitation and vegetation

140 distribution for the 280 to 400ppm CO<sub>2</sub> increase are provided as Supplementary Information

141 to this manuscript. The BRIDGE resources webpage provides access to further climate

142 variables and the other simulations from this manuscript that may be of interest

143 (http://www.bridge.bris.ac.uk/resources/simulations); click on "Access simulations".

#### 144 **3** Results and Discussion

## 145 **3.1** Response to CO<sub>2</sub> forcing including vegetation feedbacks

The model results suggest that as CO<sub>2</sub> increases, climate will warm regardless of whether late Miocene or modern boundary conditions are used, with the greatest warming expressed at the high latitudes and in particular over the oceans during their respective winter months as shown in Figure 2, panels A-D. In addition to Northern Hemisphere wintertime (DJF) warming, the mid to high northern latitudes also warm considerably during the summer months (Figure 2, panels A and C).

When comparing the late Miocene to the modern, the regions that differ most in their temperature response to  $CO_2$  forcing are typically those that have undergone the most significant change in geography. The high latitude regions that have changed between land

and ocean (as shown in Figure 2, panels E and F), e.g. the Bering Strait, the Barents/Kara Sea landmass and the Hudson Bay region, all have a significantly different response to  $CO_2$ forcing. One notable exception to this is the North Atlantic which is more sensitive to  $CO_2$ forcing during DJF with late Miocene boundary conditions than with modern boundary conditions. Reasons for these differences in sensitivity to  $CO_2$  forcing are discussed later in Section 4.3.

161 Spatially, the distribution of precipitation response to increasing  $CO_2$  is similar between the 162 late Miocene boundary conditions and the modern boundary conditions. Both show an increase in precipitation at the high latitudes, particularly during their respective winter 163 164 months, year-round decreases in precipitation occur over the Amazon and southern Africa 165 and there is an increase in seasonality in Europe; summers becoming drier and winters wetter (Figure 2, panels G-J). However, whilst the magnitude of the high latitude response is similar 166 167 for the two boundary conditions, the magnitude of the low latitude response is greater for the 168 late Miocene boundary conditions than the modern (Figure 2, panels K-L). There is also a 169 difference in the sign of the response over Indonesia, in both seasons, for the two boundary 170 conditions: increasing CO<sub>2</sub> with late Miocene boundary conditions leads to a decrease in 171 precipitation in this region; increasing CO<sub>2</sub> with modern boundary conditions leads to an 172 increase in precipitation.

#### 173 **3.2 Predicted vegetation distributions**

174 3.2.1 Vegetation response to CO<sub>2</sub> forcing

Although the broad changes in vegetation distribution as a result of CO<sub>2</sub> forcing are similar for the two sets of boundary conditions, there are some notable differences. The response of 177 trees to  $CO_2$  forcing is similar under both the late Miocene and modern boundary conditions, 178 experiencing a global poleward shift in distribution from 180 to 280ppm and from 280 to 400ppm (Figure 3, panels A-C and Figure 4, panels A-C). Vegetation changes made by the 179 180 TRIFFID model occur based on climatic thresholds for photosynthesis (temperature and 181 atmospheric CO<sub>2</sub>) and a competition hierarchy (trees-shrubs-grasses), refer to Section S1 in 182 the Supplementary Information for more details. As increasing CO<sub>2</sub> inherently leads to an increase in the rate of photosynthesis directly through stomatal conductance (Ainsworth and 183 184 Rogers, 2007; Farquhar and Sharkey, 1982) and indirectly through temperature increases 185 from the greenhouse gas effect (Berry and Downton, 1982), it is expected that increasing CO<sub>2</sub> 186 will lead to an increase in vegetation cover provided that water is not a limiting factor. Therefore, since trees are at the top of the PFT hierarchy, any increase in temperatures or 187 188 atmospheric  $CO_2$  will lead to an increase in tree coverage if the other climatic conditions permit. Warmer temperatures directly increase the rate of photosynthesis, and the temperature 189 190 thresholds that determine photosynthesis are exceeded for longer durations throughout the 191 year leading to an increase in net primary productivity. As precipitation increases with CO<sub>2</sub> 192 forcing in the higher latitudes during the winter months, the soil is able to store moisture in 193 the deeper layers that the trees are able to access during the summer months, thereby 194 countering the reduction in precipitation that occurs across the 40-60°N latitude band during 195 this season when photosynthesis is at a maximum.

This poleward shift is consistent with other studies of the response of vegetation to CO<sub>2</sub> forcing under modern boundary conditions (e.g. Alo and Wang, 2008; Cramer et al., 2001; Emanuel et al., 1985; Gerber et al., 2004; Joos et al., 2001; Scholze et al., 2006; Solomon, 1986) and there is also some observational evidence which may support this response

(Bogaert et al., 2002; D'Arrigo et al., 1987; Lucht et al., 2002; Myneni et al., 1997). Also
consistent with this poleward shift are palaeo-biome reconstructions that place both boreal
and temperate forest at higher northern latitudes in the late Miocene than is seen today
(Pound et al., 2012; Pound et al., 2011).

204 3.2.2 Regional details

205 An exception to the poleward shift in tree distribution is in the southern part of Africa. As 206 CO<sub>2</sub> increases from 180ppm to 280ppm, an increase in tree cover is seen for both sets of 207 boundary conditions. Accompanying the increase in tree coverage is a decrease in soil 208 moisture in the tree rooting zone of 80kg/m<sup>2</sup> for the late Miocene boundary conditions and 209 more than 250kg/m<sup>2</sup> for the modern boundary conditions. Analysis of the soil sensitivity 210 experiments shows that this difference is as a result of the differences in the specified soil 211 parameters with the modern homogenous soil results extremely similar to the late Miocene 212 results. However, as CO<sub>2</sub> increases further from 280ppm to 400ppm, there is a decrease in 213 tree cover for both sets of boundary conditions. Soil moisture availability in the tree rooting 214 zone is further depleted by  $100 \text{kg/m}^2$  for the late Miocene boundary conditions and by 215  $70 \text{kg/m}^2$  for the modern boundary conditions – for the modern boundary conditions this puts 216 available soil moisture in this region down to around 150kg/m<sup>2</sup>. The soil moisture availability 217 combined with a reduction in wintertime precipitation means that water reserves are not 218 replenished to cover the summertime and so water becomes a limiting factor and the trees 219 cannot compete. Vegetation under this higher  $CO_2$  transition in this part of Africa therefore 220 returns to more of a mix of shrubs and bare soil, although trees remain the dominant 221 vegetation type.

222 The Amazon Rainforest also shrinks under both sets of boundary conditions as CO<sub>2</sub> 223 increases, but a 2% greater reduction occurs for the late Miocene boundary conditions than 224 the modern boundary conditions. Reductions in the size of the Amazon Rainforest are 225 important both in terms of capacity as a major carbon sink and in terms of regional feedbacks 226 to climate (Cox et al., 2000). The reduction of tropical forest with increasing CO<sub>2</sub> was found 227 to be a robust feature of vegetation response across the IPCC AR4 scenarios (Alo and Wang, 228 2008; Salazar et al., 2007; Scholze et al., 2006), although the HadCM3 model resulted in the 229 greatest response of the Amazon Rainforest (Alo and Wang, 2008).

230 Shrub coverage also shifts poleward in the Northern Hemisphere as CO<sub>2</sub> increases from 231 180ppm to 280ppm (Figure 3, panels G and I and Figure 4, panel G and I) but when CO<sub>2</sub> 232 increases from 280ppm to 400ppm an additional northward advance is seen under late Miocene boundary conditions that is does not occur to the same degree under modern 233 234 boundary conditions (Figure 3, panel H compared to Figure 4, panel H) because the shrubs 235 have already colonised the most northerly grid boxes available to them in the lower 280ppm 236 simulation (i.e. the late Miocene configuration allows for further northward expansion into 237 ice-free Greenland and into the Barents/Kara Sea landmasses).

As with the shrubs, grasses also shift poleward in the Northern Hemisphere for late Miocene boundary conditions as CO<sub>2</sub> increases from 180 to 280ppm (Figure 3, panel D and F), but, apart from a few isolated grid boxes in Greenland and the Canadian Archipelago, no northward shift is seen under modern boundary conditions (Figure 4, panel D and F). When the CO<sub>2</sub> increases further, from 280 to 400ppm, an equatorward shift in grass distribution results for both sets of boundary conditions but particularly for late Miocene boundary conditions (Figure 3, panel E compared to Figure 4, panel E). The magnitude of global grass

coverage reduces with increasing CO<sub>2</sub> for both sets of boundary conditions. An 11%
reduction in area occurs as CO<sub>2</sub> increases from 180ppm to 280ppm and a further 8%
reduction in area arises as CO<sub>2</sub> increases from 280 to 400ppm under late Miocene boundary
conditions. For the modern boundary conditions, the equivalent figures are a 12% reduction
as CO<sub>2</sub> increases from 180ppm to 280ppm and a further 5% reduction as CO<sub>2</sub> increases from
280 to 400ppm. Changes to the distribution of bare soil are small for both sets of boundary
conditions (Figure 3, panels J-L and Figure 4, panels J-L).

252 3.2.3 Dominant vegetation types

253 Figure 5 shows the evolution of global vegetation as CO<sub>2</sub> increases. At the global scale, when the CO<sub>2</sub> concentration is 180ppm the dominant vegetation type for the late Miocene boundary 254 255 conditions is grasses (40% of the total vegetated land area) whereas for the modern boundary 256 conditions grasses and trees are approximately equal in areal coverage (32% for grasses, 31% 257 for trees). At 280ppm the dominant vegetation type for both sets of boundary conditions changes to trees and this remains the dominant vegetation type as CO<sub>2</sub> increases to 400ppm. 258 259 Shrubs increase in areal coverage between 180 and 280ppm but then decrease between 280 260 and 400ppm for both sets of boundary conditions. The extent of bare soil (i.e. desert) as the 261 dominant surface cover remains constant at around 20% of the total land area available for 262 vegetation for both the late Miocene and the modern boundary conditions. Although the poleward shift in tree cover was greatest for the late Miocene boundary conditions as 263 264 described in Section 3.2.1, there is a greater magnitude of tree coverage in the Southern 265 Hemisphere, in South America in particular, with the modern boundary conditions and 266 therefore at the global scale the modern boundary conditions yield the greatest areal coverage 267 of trees. Regional differences are now discussed in more detail.

The dominant vegetation predicted under each CO<sub>2</sub> scenario clearly reveals the poleward advance of latitudinal bands of grasses, shrubs and trees in the Northern Hemisphere. As the late Miocene configuration has more land available for vegetation at high northern latitudes than the modern configuration (ice-free Greenland, a closed Bering Strait, the Barents/Kara Sea landmasses, a closed Hudson Bay), the latitudinal bands advance farther northwards than with the modern boundary conditions (Figure 6, panels A-C compared to D-F).

275 For the 180ppm and 280ppm  $CO_2$  levels, the bare soils that dominate the potential modern 276 boundary conditions around Greenland and the Canadian Archipelago are dominated by 277 grasses in the late Miocene (Figure 6, panels A-B compared to D-E). For the increase in CO<sub>2</sub> 278 from 280 to 400ppm, the grasses that dominated the high northern latitudes at the lower  $CO_2$ 279 concentrations are replaced by shrubs and trees suggesting enhanced warming (Figure 6, panels C and F). For all of the CO<sub>2</sub> concentrations, central Asian deserts extend further north 280 281 in the potential modern simulation as compared to the late Miocene simulation and the 282 southwestern North American deserts do not feature in the Miocene. Conversely, the open 283 Panama Gateway in the late Miocene is surrounded by deserts whereas forests dominate in 284 those equivalent grid boxes surrounding Central America for the potential modern.

In the Southern Hemisphere, the principle differences between the late Miocene and the modern simulations are found in the Amazon Rainforest, which turns to grassland and desert for both boundary conditions, but has a greater extent of desert for the late Miocene. In Australia, the late Miocene simulations predict more grass cover than the potential modern simulations, although the vegetation predicted by TRIFFID for Australia with modern

boundary conditions is perhaps questionable given the lack of desert simulated (Figure 6,panels E and F).

292 Soil sensitivity experiments show only minor differences in vegetation predictions resulting 293 from the homogenous soils parameters as compared to the true soils parameters (see 294 discussion and Figure S3 in the Supplementary Information).

295 Analysis

## 296 **3.3** Separation of CO<sub>2</sub> forcing and vegetation feedbacks

The vegetation and  $CO_2$  feedbacks can combine non-linearly; therefore it is useful to disassociate them in order to consider their impacts separately. To evaluate the role of  $CO_2$ forcing in determining climate the simulations that keep vegetation fixed but alter  $CO_2$  are compared. To evaluate the role of vegetation changes in determining climate the simulations that keep  $CO_2$  fixed but alter the vegetation distributions are compared. The factor separation technique of Lunt et al. (2012) is used to assess the two contributions of  $CO_2$  forcing and vegetation as follows:

$$304 \quad f_{CO2} = \frac{1}{2} \left( (C400V280 - C280_{\text{TRIF}}) + (C400_{\text{TRIF}} - C280V400) \right) \tag{1}$$

$$305 \quad f_{VEG} = \frac{1}{2} \left( (C280V400 - C280_{TRIF}) + (C400_{TRIF} - C400V280) \right)$$
(2)

306 where,  $f_{CO2}$  is the contribution from CO<sub>2</sub> forcing and  $f_{VEG}$  is the contribution from the 307 vegetation changes.

308 The contribution to the temperature increase from  $CO_2$  forcing alone occurs with a similar 309 distribution to that described for  $CO_2$  forcing with vegetation changes, suggesting that the 310  $CO_2$  forcing dominates (Figure 7 compared to Figure 2). The greatest warming occurs at high

311 latitudes during winter months with the largest increases over the oceans (Figure 7, panels A-312 B and E-F).

CO<sub>2</sub> forcing alone cannot account for all of the warming; the temperature increases are lower than those predicted with vegetation changes included. Figure 7, panels A-D shows the CO<sub>2</sub> contribution as a result of increasing from 280 to 400ppm, but the results for increasing from 180 to 280ppm are very similar. Globally, the contribution of direct CO<sub>2</sub> forcing accounts for 2.5°C of the annual temperature increase when CO<sub>2</sub> increases from 180ppm to 280ppm but this reduces to 1.9°C of the annual temperature change when CO<sub>2</sub> is increased from 280 to 400ppm.

The distribution of precipitation changes as a result of increasing CO<sub>2</sub> only (Figure 7, panels C-D and G-H) is also extremely similar to that with the vegetation changes included.

322 The contribution to the overall warming that can be assigned to vegetation changes is 1-2°C less globally averaged than that contributed by the CO<sub>2</sub> forcing alone (1.5-2.5°C), but it is by 323 no means insignificant regionally with up to 2.5°C of warming across the mid-high northern 324 325 latitudes and 4°C for some parts of the north Pacific Ocean for the 280 to 400ppm CO<sub>2</sub> vegetation distribution change (Figure 7, panels I-J and M-N). For the simulations which alter 326 327 the vegetation distribution but keep CO<sub>2</sub> fixed (C280V280-C280V180 and C400V400-328 C400V280), the surface albedo changes are associated with the warming seen, for both sets 329 of boundary conditions. For the 180ppm to 280ppm vegetation change, reductions in albedo 330 of up to 20% result in JJA across the mid-high northern latitudes and reductions of up to 40% 331 occur in DJF between 40 and 60°N in North America and Western Europe. The snow cover 332 north of 60°N increases by up to 10% for this vegetation change, consistent with the increase

in winter precipitation. The vegetation changes between 280 and 400ppm yield a similar 333 334 magnitude of surface albedo reduction but this extends over a greater spatial area (40-80°N) 335 and a greater temporal domain (DJF through to MAM), and reductions of up to 20% are also seen in SON and 10% in JJA. The albedo change can be explained by changes in the albedo 336 337 of the vegetation itself. In the model, shrubs and grasses are assigned a snow-free albedo of 338 20% compared to trees at 10%, and a 40-60% albedo when snow covered compared to just 339 15% for trees. Reductions in snow cover, which give even larger albedo changes, are also 340 responsible. For the 280 to 400ppm vegetation change, in DJF snow cover reduces by up to 20% across the 50-60°N latitudinal band and by 40% across the 40-50°N latitudinal band. 341 342 Reductions of up to 30% are also seen in MAM and SON between 40 and 70°N, and above 343 70°N the reductions are 10-20%. Due to the greater extent of land at the high northern 344 latitudes under the late Miocene boundary conditions (Greenland, closed Bering Strait, 345 Barents/Kara Sea landmass), the albedo reductions are greater than occurs with the modern 346 boundary conditions. The DJF albedo changes are expressed most strongly in the oceans rather than on land because of the sensitivity of sea ice to temperature fluctuations. 347 348 Our results contrast with that from other work investigating of the impact of late Miocene 349 vegetation on climate by Knorr et al. (2011), where the warming contributed by the Northern 350 Hemisphere-dominated vegetation changes was translated to the Southern Hemisphere. For 351 the Northern Hemisphere summer months, the warming that the late Miocene boundary 352 conditions yield is widespread but Southern Hemisphere temperature changes are mostly not 353 significant (Figure 7, panels I-J). The average temperature increase in the Southern 354 Hemisphere as a result of vegetation changes is just 0.1 °C. Given the limited vegetation-355 covered land area and the smaller magnitude of the vegetation distribution changes in the

356 Southern Hemisphere as compared to the Northern Hemisphere, it is not surprising that the 357 greatest warming from vegetation feedbacks occurs in the Northern Hemisphere. However, it 358 is notable that the vegetation feedbacks from the Northern Hemisphere are not translated to 359 the Southern Hemisphere for the late Miocene boundary conditions but are for the modern 360 boundary conditions (Figure 7, panels M and N compared to I and J). This is because in all of 361 the late Miocene simulations North Atlantic overturning has virtually shut down completely (<2Sv at 180ppm, <1Sv at 280ppm and 400ppm). The different late Miocene results may be 362 363 due to model or boundary condition differences, as the Knorr et al. (2011) model simulations 364 maintain a North Atlantic overturning circulation. The extent of North Atlantic overturning 365 during the late Miocene remains controversial with some records indicating strong North 366 Atlantic Deep Water (NADW) production during the late Miocene (Blanc et al., 1980; 367 Delaney, 1990; Keller and Barron, 1983; Miller and Fairbanks, 1985; Woodruff and Savin, 1989; Wright et al., 1992) whilst others indicate a significant increase in the early Pliocene 368 implying weaker production during the Miocene (Billups et al., 1999; Haug and Tiedemann, 369 370 1998; Tiedemann and Franz, 1997), consequently we are unable to discount either model 371 result. Modelling studies have also demonstrated a significant impact of vegetation on overturning circulation through changes in the hydrological cycle (Ganopolski et al., 1998; 372 373 Zhou et al., 2012). Therefore, should a stronger North Atlantic overturning be appropriate for 374 the late Miocene than is seen with the model used here, such impacts may alter the results 375 presented: surface salinity increases in the North Atlantic by up to 1PSU year-round when the 376 vegetation is changed from a 280ppm to a 400ppm distribution, and sea surface temperatures 377 in the same location decrease by up to 2°C suggesting that strengthening of an active North 378 Atlantic overturning circulation would result.

379 Changes to the hydrological cycle as a result of the vegetation changes imposed also explain 380 some of the resultant warming, particularly in the tropics. For example, in the Amazon the vegetation changes imposed reflect a desertification process, whereby trees are lost around 381 382 the periphery of the rainforest and replaced with shrubs and grasses as CO<sub>2</sub> increases from 383 180 to 280ppm. As CO<sub>2</sub> increases further to 400ppm, those shrubs and grasses are themselves 384 replaced by bare soil, the periphery of the remaining forest loses trees and the size of the overall deforestation/desertification expands. Both stages of the vegetation change result in 385 386 evapotranspiration reductions of up to 1.5mm/day and associated reductions in latent heat of up to 45W/m<sup>2</sup> with the late Miocene boundary conditions. Associated with this change in 387 388 energy flux are increases in sensible heat of up to 17W/m<sup>2</sup> causing near surface temperature 389 to increase (Seneviratne et al., 2010); temperature increases over the Amazon are up to 3.1°C. 390 It should be noted however, that the Amazon Rainforest simulated by HadCM3L under a 391 modern preindustrial climate covers slightly too large an area and the northeastern Amazon is 392 simulated to be dominated by grasses rather than trees.

393 In contrast, over India the vegetation changes are mainly afforestation - bare soil is replaced 394 with grasses, shrubs and trees as CO<sub>2</sub> increases from 180 to 280ppm and evapotranspiration rates and latent heat flux increases accordingly by up to 0.8mm/day and 24W/m<sup>2</sup> respectively 395 396 with the late Miocene boundary conditions. However, increases in sensible heat (up to 397 17W/m<sup>2</sup>) only occur during DJF when temperature increases of up to 3.8°C are seen. Some 398 of the temperature change in DJF may therefore be related to changes in the hydrologic cycle, 399 but albedo reductions of up to 20% also occur in this season as a result of both direct 400 vegetation changes and reductions in snow cover itself (up to 30% in the Himalayas). In JJA sensible heat decreases by  $9.5 \text{W/m}^2$  and so temperature actually decreases here by  $1.4^{\circ}\text{C}$ 401

402 during the monsoon season due to changes in the hydrologic cycle, countering the JJA albedo 403 reduction of 5% that would otherwise result in warming. However, for most of the grid boxes 404 in this region, the temperature decrease is not significant at the 95% confidence level. 405 Precipitation reduces in JJA over the Amazon Rainforest by 40-50% in response to CO<sub>2</sub>-406 induced vegetation distribution changes (Figure 7, panels K and O). A northward shift of the 407 ITCZ is seen consistent with studies of afforestation in the Northern Hemisphere (e.g. Swann 408 et al., 2012), though the magnitude of change is less than that resulting from the  $CO_2$  increase 409 itself. Many studies, model-based and observational, also link soil moisture and 410 evapotranspiration to precipitation, although the processes involved are complex (Carson and 411 Sangster, 1981; Dirmeyer and Shukla, 1994; Eltahir, 1998; Findell and Eltahir, 1997; Levis et 412 al., 2004; Mintz, 1984; Oglesby and Erickson III, 1989). Over the Amazon region, both 413 reductions in soil moisture and precipitation result from the vegetation changes, and Asian 414 monsoon precipitation doubles for the late Miocene boundary conditions, consistent with 415 these studies.

416 **3.4 Synergy** 

417 Individual contributions to complex processes such as climate dynamics may not add linearly 418 and the extent of that non-linearity is termed the synergy. We use the factor separation 419 technique of Lunt et al. (2012) to assess synergy between the two contributions of CO<sub>2</sub> 420 forcing and vegetation as follows:

421  $f_{SYN} = C400_{TRIF} - C280V400 - C400V280 + C280_{TRIF}$  (3)

422 where,  $f_{SYN}$  is the synergy term defined as going from the lower CO<sub>2</sub> to the higher CO<sub>2</sub>. Table 423 1 presents the factor separation (Equations 1 and 2) and the synergy term calculations.

424 Synergy is a measure of the non-linearity of the combination of individual contributions from 425 CO<sub>2</sub> forcing and vegetation changes, and in some regions quite significant synergy is seen. Mostly the warming contributed by the CO<sub>2</sub> increase and the warming contributed by the 426 427 vegetation changes sum to greater than the warming seen with both mechanisms included 428 simultaneously (Figure 8, panels A-D). In other words, globally, the synergy for temperature 429 between direct CO<sub>2</sub> forcing and vegetation changes is negative annually for the late Miocene 430 boundary conditions, -0.2°C (180ppm to 280ppm) and -0.1°C (280ppm to 400ppm). For the 431 modern boundaries conditions, the negative synergy is of a greater magnitude, -0.3°C 432 (180ppm to 280ppm) and -0.5°C (280ppm to 400ppm). 433 For precipitation, the pattern of synergy is more complex (Figure 8, panels E-H). The synergy

in annual precipitation at the global scale is also negative at -3.3mm/year (180ppm to
280ppm) and -0.9mm/year (280ppm to 400ppm) for late Miocene boundary conditions, and 4.0mm/year (180ppm to 280ppm) and -3.4mm/year (280ppm to 400ppm) for modern

437 boundary conditions (Table 1).

#### 438 **3.5** Climate Sensitivity

The global mean annual 1.5m air temperatures from the simulations have a slightly non-linear relationship with the CO<sub>2</sub> concentration (refer to Figure 9). The difference between the late Miocene and the potential modern climates is small at 180ppm: the modern climate is 0.05 °C warmer and 1.5mm/year wetter annually than the late Miocene at the global scale. However, between 180 and 280ppm CO<sub>2</sub> the late Miocene climate becomes warmer and wetter than the modern climates and the gap between the two widens as CO<sub>2</sub> increases further to 400ppm. At 400ppm, the late Miocene boundary conditions are 0.6 °C warmer and 7mm/year wetter than the modern boundary conditions. The late Miocene boundary conditions result in a  $0.5^{\circ}$ C higher climate sensitivity overall than modern boundary conditions, with little difference occurring whether the CO<sub>2</sub> increase is from the lower baseline of 180ppm or the preindustrial level of 280ppm (Table 2). However, both the modern and the late Miocene sensitivities are high in relation to the estimates from the CMIP5 models (Stocker et al., 2013), but slightly lower than recent estimates for the Eocene using the same model (Loptson et al., 2014).

452 Vegetation changes can alter moisture fluxes and therefore the local hydrological cycle and 453 temperatures. The vegetation changes simulated here make a significant change to the overall 454 sensitivity to CO<sub>2</sub> forcing of the late Miocene simulations, increasing climate sensitivity by 455 1.2°C when the CO<sub>2</sub> increase is from a baseline of 180ppm and 1.4°C when the CO<sub>2</sub> increase 456 is from a baseline of 280ppm (refer to Table 3). The vegetation changes under the modern 457 boundary conditions contribute much less to the overall climate sensitivity, particularly when 458 the CO<sub>2</sub> increase is from 280ppm to 400ppm (only 0.4°C; Table 3), due to differences in 459 synergy as discussed in Section 3.4.

460 The different late Miocene boundary conditions and vegetation distributions make the temperature response to CO<sub>2</sub> forcing more sensitive overall than the modern geography and 461 potential modern vegetation distributions do. We attribute this difference to several 462 463 processes. Firstly, as the late Miocene simulations are warmer than the equivalent modern 464 simulations there is a greater sea ice loss in the late Miocene simulations than the equivalent 465 modern simulations for the same CO<sub>2</sub> change globally and therefore the positive sea ice 466 feedback mechanism is strongest with the late Miocene boundary conditions (Figure 10). In the North Atlantic, although more sea ice is lost in the late Miocene simulations than the 467 468 modern simulations, the initial extent of sea ice is much greater under the late Miocene

469 boundary conditions and therefore the surface albedo remains high in this region despite the 470 CO<sub>2</sub> increases. In the North Atlantic, the shutdown of North Atlantic Deep Water (NADW) production and the associated overturning in the Atlantic for the late Miocene leads to a 471 472 reduced poleward heat transport in the Northern Hemisphere as compared to the modern 473 simulations and the greater sea ice extent in the North Atlantic for the late Miocene, consistent with studies of reductions in NADW production (e.g. Álvarez-Solas et al., 2011). 474 However, we suggest that the high albedo deriving from the extensive sea ice is offset in the 475 476 late Miocene simulations by the albedo reductions deriving from the changes made to the 477 Greenland Ice Sheet and the Barents/Kara Sea landmass. The Greenland Ice Sheet is much 478 reduced in the late Miocene and Greenland is dominated by the shrub PFT at 400ppm (refer to Figure 6, panel C), therefore although the majority of Greenland is snow-covered in 479 480 winter, the albedo is 20% lower than in the modern simulations. Likewise, the Barents/Kara 481 Sea landmass, covered by shrubs and trees in the late Miocene, has a lower albedo than the equivalent ocean grid boxes in the modern simulations which are covered by sea ice. The 482 483 change in sensitivity can vary seasonally. For example, the Hudson Bay and Barents/Kara 484 Sea regions, as land in the late Miocene but ocean today, are more sensitive to CO<sub>2</sub> forcing in JJA but less sensitive in DJF (Figure 2, panels E and F). In JJA, this is because the ocean has 485 486 a higher specific heat capacity than the land surface and so this region will warm faster in the 487 late Miocene as land than it does today as ocean, and additionally, the input of cold water due 488 to sea ice melt will constrain the modern surface waters to near freezing point whereas in the 489 late Miocene no such constraint exists. This region also exhibits 20% less low cloud cover in 490 the late Miocene simulations than in the modern simulations during JJA indicating a role for 491 cloud feedbacks in modulating the modern climate more than the late Miocene climate in this

492 season. In DJF, the modern ocean covered by sea ice is more sensitive to  $CO_2$ -induced 493 warming because of the sea ice-albedo feedback mechanism and changes to the insulation 494 that sea-ice provides between the atmosphere and the underlying warmer ocean. 495 The second mechanism we suggest leads to the differences between the two boundary 496 conditions is ocean ventilation. As NADW production is very weak in the late Miocene 497 simulations, Antarctic Bottom Water production is the primary driver of ocean ventilation 498 under those conditions. The strength of the resultant overturning in the South Atlantic is  $\sim$ 499 8Sv stronger in the late Miocene simulations than the modern simulations and so strong 500 southern-focussed ocean ventilation keeps the Southern Hemisphere warmer in the late 501 Miocene simulations than in the modern simulations (at 280ppm CO<sub>2</sub>, the late Miocene 502 Southern Hemisphere is 1.4°C warmer than the modern Southern Hemisphere). As strong 503 North Atlantic overturning circulation exerts a relative cooling influence on the Southern 504 Hemisphere, this is a negative feedback mechanism for the simulations with modern 505 boundary conditions. Increasing  $CO_2$  acts to dampen overturning in the North Atlantic under 506 both sets of boundary conditions, but amplifies the overturning in the Southern Ocean by 1Sv 507 more under late Miocene boundary conditions than under modern boundary conditions. This 508 increase in southern-focussed overturning, and therefore enhancement of equator-to-pole heat 509 distribution in the Southern Hemisphere, explains the warming seen on Antarctica (Figure 7, 510 panel A compared to E and B compared to F) under late Miocene boundary conditions 511 compared to modern boundary conditions.

512 However, the soil parameters also affect the sensitivity to  $CO_2$  forcing – changing the soils 513 parameters from homogenous values to the true modern soils parameters reduces climate 514 sensitivity under modern boundary conditions by up to 0.25°C (refer to Table 2). When

515 globally homogenous soil parameters are used in the modern simulations the climate becomes 516 globally cooler and drier (refer to Figure 9) and we can hypothesise that the late Miocene 517 climate would respond in the same way: if late Miocene soils were similar to modern then the 518 results documented here represent a minimum (i.e. that the climate would get warmer and 519 wetter and the absolute difference between the late Miocene climate and the potential modern 520 climate would increase), but late Miocene soils sensitivity experiments are needed for 521 confirmation, e.g. setting the late Miocene soils to be the same as modern soils. In addition, 522 many soil properties such as the albedo, soil water storage capacity and soil texture, which 523 remain constant throughout the simulations presented here, would actually vary through time 524 as climate and vegetation change both seasonally and on longer timescales,. A recent study 525 using a model capable of altering these properties throughout the model integration (Stärz et 526 al., 2013) found that the soil changes amplified the climate signal in both warmer (Holocene) 527 and cooler (LGM) conditions and so this could be an important feedback mechanism missing from the work presented here. 528

529

# 3.6 Model-Data Comparison

We compare the late Miocene experiments with the available late Miocene proxy data to help 530 531 establish whether our model can reproduce late Miocene conditions and perhaps constrain the 532 uncertainty in the palaeo-CO<sub>2</sub> record. Comparisons are made with the palaeorecord using the 533 quantitative terrestrial reconstructions and model-data comparison methodology detailed in 534 Bradshaw et al. (2012). This methodology assumes that whilst the model-derived 535 temperatures and precipitations are not necessarily accurate, the relationship between the 536 modern climate and the late Miocene climate is robust and bias corrections using the offset 537 between modern observations and the simulated preindustrial climate (280ppm) are applied

538 to the late Miocene climatologies. The results for the model-data comparison are all provided 539 as Figures S6 to S17 of the Supplementary Information of this paper and detailed in Tables 540 S3-6. The results detailed in this section are for the mean annual temperature and the mean 541 annual precipitation. Additional results for the cold month mean temperature and the warm 542 month mean temperature are provided in the Supplementary Information in Section S3.2 and 543 Figure S4. For the late Miocene simulations, a modern orbit has been prescribed, yet the data 544 from the palaeorecord could record the climate from any of the late Miocene orbits. Future 545 work should include comparing these data to different late Miocene orbital configurations to 546 assess the impact of the modern orbit assumed in the work presented here.

Note that we would not expect the results of these fixed vegetation simulations to be completely identical to the simulations described in Bradshaw et al. (2012) because the interactive vegetation model alters the vegetation throughout the year whereas in this work the vegetation is held constant at mean annual fractional coverage and leaf area index, and small differences in the simulated climates are found.

552 3.6.1 Mean Annual Temperature (MAT)

553 For the mean annual temperature comparison, it is clear that changing the vegetation and/or 554 the CO<sub>2</sub> concentration has not always resulted in an improvement in the model-data 555 comparison (Figure 11, panel A-D).

North of 45°N for all but 4 sites in Russia the biome reconstructions are forest (Pound et al., 2011) suggesting that the 400ppm vegetation distribution here is accurate. However, for North America, this suggests that either the level of  $CO_2$  was lower than 400ppm, or that the model result is inaccurate for this location because when the vegetation prescribed is that of

the 400ppm distribution the simulations with  $CO_2$  set to both 180ppm and 280ppm perform better in this region than the simulation with  $CO_2$  set to 400ppm (refer to Figure 11, panel C compared to D, and Figure 12, panel C). A Pliocene study using the same GCM as employed in this work has found that small amounts of uplift in the North American Cordillera cause significant cooling in this region (Foster et al., 2010). Late Miocene sensitivity studies of the height of the North American Cordillera and also the configuration of the Bering Strait are therefore recommended as future work.

The fact that south of ~ 35°N changing the vegetation and/or the  $CO_2$  concentration makes 567 568 the model-data comparison deteriorate (Figure 11, panels A-D) suggests that not translating 569 Northern Hemisphere-derived warming to the south through ocean circulation is indeed appropriate for the late Miocene, since the warming that has occurred directly from the 570 571 vegetation changes here alone makes the simulated MATs too warm as compared to the 572 proxy reconstructions. However, the scarcity in the MAT data for South America, Africa and 573 Australia make drawing any firm conclusions about the temperatures in these regions unwise. 574 For Europe and central Asia, where most of the late Miocene MAT data is located, there is a 575 clear indication that the vegetation and the CO<sub>2</sub> changes imposed improve the model-data 576 comparison (Figure 11, panels A-D). Regardless of the vegetation distribution imposed, the best-fit CO<sub>2</sub> scenario in this region is 400ppm; the datapoints for which a 180ppm and/or 577 578 280ppm CO<sub>2</sub> concentration provide a better fit are located in Spain and around the 579 Mediterranean coastline (Figure 12, panels A-C). A similar pattern arises if the best-fit 580 vegetation distribution is considered - if the CO<sub>2</sub> concentration for the late Miocene was 581 between 280 and 400ppm, away from the Mediterranean coast, the best-fit vegetation is 582 predominantly the 400ppm distribution (Figure 12, panels G-I).

583 In South-east Asia, only the 180ppm CO<sub>2</sub> concentration scenarios have notable MAT overlap 584 with the data (Supplementary Figure S6). The vegetation changes predicted by the TRIFFID model as CO<sub>2</sub> rises were to increase tree cover and for all of the CO<sub>2</sub> scenarios the dominant 585 586 PFT in this region is trees; this is in agreement with the biome reconstructions of temperate 587 forest (Pound et al., 2011). Afforestation has been found to have a cooling effect in the 588 tropics (Bonan, 2008) and therefore it might be expected that the vegetation changes imposed should cool the climate, but for this part of South-east Asia this is not the case. It may be that 589 590 the different palaeogeography of the late Miocene causes this region to respond differently to 591 afforestation than modern studies have found and it may be that the model simulations 592 produce MATs that are too warm as compared to the data because of inaccuracies in the local 593 palaeogeography. A study incorporating two uplift scenarios for the late Miocene using the 594 same model as used in this study (Lunt et al., 2010) simulates total Himalayan uplift (4500m 595 higher, their Emod-Eflat) contributing widespread cooling of at least 4°C in this part of 596 South-east Asia (east of the Himalayas themselves, which cool much more) and both partial 597 uplift configurations (1500m higher, their Efrac-Eflat and 4500m higher but for the southern 598 margins only, their ESouth-Eflat) contributing at least 2°C of cooling in this region, with 599 some parts becoming up to 4°C colder. It could also be that the configuration of the 600 Indonesian Seaway is incorrect for this time period. Further model sensitivity studies are 601 required to investigate these uncertainties on the model-data comparison results.

602 3.6.2 Mean Annual Precipitation (MAP)

Most of the modelled MAPs overlap with the Messinian precipitation reconstructions (>91%; Table S4), except for a small pocket in the Eastern Mediterranean and in Spain (refer to Supplementary Figures S4 panel 2A and C, panel 2; Figures S9-11, panels A-C). Therefore,

606 the improvements or deteriorations between the model scenarios is small (Figure 11, panels 607 E-H). For the Tortonian however, there are many datapoints which are wetter than the model simulates, located across Europe and into central Asia (Supplementary Figures S4, panel 2B 608 609 and D; Figures S9-11, panels D-F). In Europe, the sensitivity of precipitation to CO<sub>2</sub> forcing 610 is not even across the region. There is a clear north-south divide in the best-fit CO<sub>2</sub> scenario 611 which is consistent for all of the vegetation distributions, with the north best matching the higher CO<sub>2</sub> scenario and the south the lower CO<sub>2</sub> scenario (Figure 12, panels D-F), and there 612 613 is a clear east-west divide in the best-fit vegetation scenario which is consistent across all of 614 the  $CO_2$  concentrations, with the east best matching the lower  $CO_2$  vegetation distribution and 615 the west the medium and high CO<sub>2</sub> vegetation distributions (Figure 12, panels J-L). The 616 Tortonian biome reconstructions for Europe are predominantly temperate forest but for all of 617 the CO<sub>2</sub> scenarios the dominant PFT on the Eastern side of Europe is shrubs and for Spain 618 shrubs and bare soil. This suggests that perhaps the Tortonian model-data mismatches in this 619 region could be due to wrongly imposed vegetation. The biome reconstructions for Spain 620 change from temperate forest to xerophytic shrublands between the Tortonian and the 621 Messinian (Pound et al., 2012), which could explain the better fit here with the Messinian precipitation reconstructions. 622

There are increases in the overall number of model-data overlaps as either  $CO_2$  increases and/or the vegetation prescribed moves to the higher  $CO_2$  distributions, but 33% of the datapoints are still in disagreement with the model simulations.

626 3.6.3 Targeting palaeodata acquisition

For the purposes of improving our evaluation of the ability of HadCM3L-TRIFFID to
 reproduce late Miocene climate, quantitative data from the whole Southern Hemisphere and

629 North America is lacking. However, for the purpose of using the late Miocene to inform 630 potential future climate changes, the locations that should be targeted for palaeodata 631 acquisition are those which are most sensitive to  $CO_2$  forcing (because a large signal-to-noise 632 ratio is required to overcome the uncertainties in palaeodata reconstructions), but where the 633 difference between the simulated modern climate and the late Miocene climates is smallest 634 because these are the regions which are most insensitive to the geographic configuration. By using the difference between the late Miocene C400<sub>TRIF</sub> and C280<sub>TRIF</sub> simulations and 635 636 overlaying as a mask the 'no difference' between the late Miocene and the potential modern 637 simulations (effectively exposing only Figure 2A through the white areas from Figure 2E, but 638 for the annual mean and the cold month and warm month means), these model results suggest 639 that some of the currently available southern European and central Asian late Miocene data 640 are suitable for this purpose (see Supplementary Figure S18). Other regions, where data are currently lacking, include North America, northern Africa, Australia, Paraguay and southern 641 Brazil, and northeastern Asia. Note however, that for some localities tectonic movement has 642 643 been significant between the late Miocene and today, e.g. in Australia, but that this movement 644 has not been incorporated into the results presented here.

#### 645 **4** Conclusions

A series of  $CO_2$  and vegetation perturbation experiments have been conducted under late Miocene and modern boundary conditions. At the lowest  $CO_2$  (180ppm), the late Miocene palaeogeography and vegetation distributions produce a simulated global mean annual temperature and precipitation which is very similar to the climate simulated with the modern geography and vegetation distribution. The model simulations presented here agree with previous assessments that the late Miocene was globally warmer and wetter for  $CO_2$ 

652 concentrations greater than 180ppm (Bradshaw et al., 2012; Knorr et al., 2011; Micheels et al., 2009; Micheels et al., 2011; Micheels et al., 2007). The magnitude of the difference 654 remains difficult to ascertain due to uncertainty in late Miocene soil parameters, which we 655 suggest might increase the late Miocene global mean annual temperature by up to 0.5°C. 656 Future work should include reconstructing late Miocene soil parameters to enable better 657 model representation of late Miocene conditions, as has recently been done for the Pliocene 658 (Pound et al., 2013) and the middle Miocene (Metzger, 2013).

659 As  $CO_2$  is increased from 180 to 280ppm and 280 to 400ppm, both sets of boundary 660 conditions lead to a poleward shift in shrub and tree cover in the Northern Hemisphere and a 661 reduction in the size of the Amazon Rainforest and southern African forests. Separation of the direct CO<sub>2</sub> forcing and the vegetation changes for the late Miocene reveals that increasing 662 663 CO<sub>2</sub> alone results in the greatest warming being expressed at high latitudes during the 664 respective winter months but  $CO_2$  forcing cannot alone account for all of the warming seen in the control simulations; the contribution of the vegetation change is significant and can be up 665 666 to 7°C across the mid-high northern latitudes (for the 180 to 280ppm CO<sub>2</sub> change; not shown). Our results suggest that vegetation feedbacks are important; climate model 667 simulations that do not include vegetation feedbacks will significantly underestimate 668 669 warming due to increasing CO<sub>2</sub>. Therefore our model results can be considered to lie between 670 the traditional definition of Climate Sensitivity which considers only fast feedbacks, and 671 Earth System Sensitivity which considers fast and slow feedbacks such as vegetation changes 672 (e.g. Lunt et al., 2010), but not a full representation of Earth System Sensitivity because our 673 continental ice sheet configuration is fixed across the CO<sub>2</sub> concentrations simulated. 674 However, since our vegetation model is biophysical only it does not incorporate vegetation

675 CO<sub>2</sub> feedbacks to the atmosphere which are also very important. For example, it is estimated 676 that the European terrestrial biosphere absorbed 7-12% of the 1995 anthropogenic carbon 677 emissions (Janssens et al., 2003) whereas in our study the CO<sub>2</sub> concentration is unaltered by 678 the vegetation distribution changes made; there is no biochemical feedback. Vegetation 679 modelling studies carried out by Francois et al. (2006) find that the total global terrestrial 680 carbon stock of the late Miocene would be 159Gt greater than the present day under 681 preindustrial levels of  $CO_2$  and that the late Miocene would experience an increase of 1727Gt 682 for a CO<sub>2</sub> doubling.

683 The model-derived contribution to the warming from  $CO_2$  forcing alone and the contribution 684 to the warming from vegetation changes do not add linearly. Considering a change from low 685 to high  $CO_2$ , in some regions the synergy is positive and the temperature response to  $CO_2$ forcing is amplified, but mostly the temperature synergy is negative and acts to dampen the 686 687 temperature response to CO<sub>2</sub> forcing. This occurs most strongly in regions where sea ice 688 concentrations reduce and is therefore related to changes in the sea ice-albedo feedback 689 mechanism. Differences in the sign and magnitude of synergy in the Southern Hemisphere 690 between simulations with the late Miocene and the modern boundary conditions are 691 suggested to result from the different ocean circulation that occurs with the late Miocene boundary conditions and the fact that Northern Hemisphere-derived vegetation changes are 692 693 not translated to the Southern Hemisphere. Precipitation synergy is both negative and positive 694 with complex spatial patterns, but global annual synergy is also negative. Regions that are 695 most different in their sensitivity to increasing  $CO_2$  between the late Miocene boundary 696 conditions and the modern boundary conditions are those which undergo the most significant 697 palaeogeographical alteration, e.g. the Bering Strait, the Barents/Kara Sea and the Hudson

Bay region. However, the uncertainties in the palaeogeography itself must also be considered and so future work should investigate the sensitivity of the results presented here to different tectonic configurations for the late Miocene. Additionally, it is stressed that presented here are the results from a single model, and the climate sensitivities derived are towards the upper end of the range of climate sensitivities determined from the CMIP5 models (Stocker et al., 2013), implying that other models may suggested reduced climate changes for the same CO<sub>2</sub> changes made in this study.

705 Comparisons of the model results to the quantitative terrestrial palaeorecord show that for the 706 majority of the data (located in Europe and central Asia), the mean annual temperatures, the 707 cold month mean temperatures and the warm month mean temperatures best fit with the 708 400ppm CO<sub>2</sub> concentration simulation with the 400ppm vegetation distribution. However, the 709 mean annual precipitations best fit with the 180ppm CO<sub>2</sub> concentration simulation with the 710 180ppm vegetation distribution. The reasons for this discrepancy remain open. In order to 711 test the regions of poor model-data comparison, Late Miocene sensitivity studies of the 712 configuration of the North American Cordillera, the Panama Gateway, the Bering Strait, the 713 Barents/Kara Sea, the Himalayas and the Indonesian Seaway are recommended because these 714 are the regions of most palaeogeographic change, as well as fixed late Miocene vegetation simulations using PFT representations of the biome reconstructions of Pound et al. (2011, 715 716 2012).

This work shows that palaeogeography is important for determining the vegetation distribution at high latitudes and this has a significant effect on the climate sensitivity of the late Miocene. The model results suggest that climate sensitivity to CO<sub>2</sub> forcing is directly affected by the palaeogeographic configuration and that globally the late Miocene was 0.5-

721  $0.8^{\circ}$ C more sensitive to CO<sub>2</sub> forcing than we might expect future climate to be because of the 722 greater land mass at high northern latitudes during the late Miocene and the different 723 vegetation distribution predictions that result. At high northern latitudes, the late Miocene 724 palaeogeography consists of a near ice-free Greenland, a closed Bering Strait and a landmass 725 where the Barents and Kara Seas reside today. Whilst future climate may include further 726 reductions in the size of the Greenland Ice Sheet, which could make available additional vegetated land, the Barents/Kara Sea landmass will not be available again and the Bering 727 728 Strait may only close on orbital timescales.

Our modelling suggests that the optimal regions to target late Miocene palaeodata acquisition for the purposes of informing future climate are North America, northern Africa, Australia, Paraguay and southern Brazil, and northeastern Asia. These are the regions that the model results predict to be most sensitive to CO<sub>2</sub> forcing, but where the palaeogeographic differences do not significantly influence the local temperature response.

734 In conclusion, if modern climate were to reach equilibrium with present day CO<sub>2</sub>

concentrations (400ppm) we might expect the climate to become globally warmer and wetter,

however, model results suggest that it is unlikely to be as warm or wet as the late Miocene for

that same CO<sub>2</sub> concentration. This is because the paleogeography of the late Miocene and the

resultant vegetation differences make the climate more sensitive to CO<sub>2</sub> forcing due to

739 differences in the combination of sea ice, cloud, and ocean circulation feedbacks.

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Figure 1. Schematic showing the evolution of the late Miocene and potential modern GCM 943 944 runs used in this study. All of the runs have been conducted with late Miocene boundary 945 conditions; the asterisks indicate which of the run combinations have also been conducted 946 with modern boundary conditions. For clarity the reader is referred to the online version of 947 this paper where a colour version of this figure is provided.

948

949 Figure 2. Temperature and precipitation changes due to CO<sub>2</sub> forcing including vegetation 950 changes. Shown are the results for changing CO<sub>2</sub> from 280ppm to 400ppm. Only significant 951 differences are shown using a 95% confidence interval Student's T-Test; white areas are not significant. For the righthand panels, both land-sea masks are shown; the modern land-sea 952 953 mask is dotted. For clarity the reader is referred to the online version of this paper where a 954 colour version of this figure is provided.

955

956 Figure 3. Fractional changes in vegetation distribution due to CO<sub>2</sub> forcing under late Miocene 957 boundary conditions as predicted by the TRIFFID model. Left hand panels are anomalies of the 280ppm simulations with the 180ppm simulations. Middle panels are anomalies of the 958 959 400ppm simulations with the 280ppm simulations. Right hand panels show the latitudinal

960 distribution in vegetation for each of the three  $CO_2$  concentrations. For clarity the reader is 961 referred to the online version of this paper where a colour version of this figure is provided. 962

Figure 4. Fractional changes in vegetation distribution due to CO<sub>2</sub> forcing under modern boundary conditions as predicted by the TRIFFID model. Left hand panels are anomalies of the 280ppm simulations with the 180ppm simulations. Middle panels are anomalies of the 400ppm simulations with the 280ppm simulations. Right hand panels show the latitudinal distribution in vegetation for each of the three CO<sub>2</sub> concentrations. For clarity the reader is referred to the online version of this paper where a colour version of this figure is provided.

969

970 Figure 5. Global changes in the dominant vegetation distributions as simulated by the 971 TRIFFID vegetation model under the three CO<sub>2</sub> scenarios: 180ppm, 280ppm and 400ppm for 972 the late Miocene (LM) and the modern (PM) boundary conditions. For clarity the reader is 973 referred to the online version of this paper where a colour version of this figure is provided.

974

975 Figure 6. Dominant vegetation distributions as simulated by the TRIFFID vegetation model 976 under the three  $CO_2$  scenarios: 180ppm, 280ppm and 400ppm for the late Miocene and the 977 Modern boundary conditions. For clarity the reader is referred to the online version of this 978 paper where a colour version of this figure is provided.

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Figure 7. Lefthand column: Near-surface air temperature and precipitation changes due to
CO<sub>2</sub> forcing alone (excluding vegetation changes; Equation 1). Righthand side: Near-surface
air temperature and precipitation changes due to vegetation changes alone (excluding direct

CO<sub>2</sub> forcing; Equation 2). Panels A-D and I-L show the late Miocene boundary condition results, panels E-H and M-P show the modern boundary condition results. Shown are the contributions as a result of increasing from 280 to 400ppm, but the results for increasing from 180 to 280ppm are very similar. Only significant differences are shown using a 95% confidence interval Student's T-Test; white areas are not significant. For clarity the reader is referred to the online version of this paper where a colour version of this figure is provided.

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Figure 8. Synergy between the direct  $CO_2$  forcing and the vegetation feedbacks (Equation 3). The top four panels show the synergy for the mean annual temperature and the bottom four panels show the synergy for the mean annual precipitation. Displayed are the results for changing  $CO_2$  from 280ppm to 400ppm whilst the vegetation is fixed at the distribution in equilibrium with the ambient  $CO_2$ . If the contributions from direct  $CO_2$  forcing and from vegetation changes add linearly, the anomalies will be zero. For clarity the reader is referred to the online version of this paper where a colour version of this figure is provided.

997

Figure 9. Global mean annual near-surface air temperature (A) and precipitation (B)sensitivity to CO<sub>2</sub> concentration (including vegetation feedbacks).

1000

Figure 10. Change in sea ice concentration for a  $CO_2$  change from 280ppm to 400ppm with vegetation feedbacks included (C400<sub>TRIF</sub> – C280<sub>TRIF</sub>). For clarity the reader is referred to the online version of this paper where a colour version of this figure is provided.

Figure 11. Improvements in the model-data comparison due to vegetation changes and due to the CO<sub>2</sub> changes. Green circles indicate an improvement, red circles indicate deterioration. The datapoints showing 'no difference' are plotted underneath the other datapoints in order to highlight the differences. For clarity the reader is referred to the online version of this paper where a colour version of this figure is provided.

1010

1011 Figure 12. The best-fit scenarios to the data. For the top row, A and D (G and J) show which 1012 CO<sub>2</sub> (vegetation) scenario is closest to the data reconstructions when the vegetation 1013 distribution (CO<sub>2</sub>) imposed is 180ppm. The middle row, B and E (H and K) show which CO<sub>2</sub> 1014 (vegetation) scenario is closest to the data reconstructions when the vegetation  $(CO_2)$ 1015 imposed is 280ppm. The bottom row C and F (I and L) show which CO<sub>2</sub> (vegetation) 1016 scenario is closest to the data reconstructions when the vegetation (CO<sub>2</sub>) imposed is 400ppm. 1017 The datapoints for which there is no discernible difference in the model-data comparison 1018 between all of the CO<sub>2</sub> scenarios (i.e. they all overlap with the data) are shown in white and 1019 are plotted underneath the other datapoints in order to highlight the differences. For clarity 1020 the reader is referred to the online version of this paper where a colour version of this figure 1021 is provided.

1022

Table 1. Factor separation of CO<sub>2</sub> forcing and vegetation changes and the synergy calculated from non-linearity between the two.  $f_{CO2}$  is the contribution from CO<sub>2</sub> forcing,  $f_{VEG}$  is the contribution from the vegetation changes and  $f_{SYN}$  is the synergy term defined as going from the lower CO<sub>2</sub> to the higher CO<sub>2</sub>.

1027

1028Table 2. Inferred equilibrium climate sensitivity for the late Miocene and modern boundary1029conditions. Numbers are obtained by scaling the output from the simulations presented in this1030work to that for a standard climate sensitivity definition of the global mean near-surface air1031temperature change for a doubling of  $CO_2$  (using scaling factors of 1.57 for the 180 to1032280ppm  $CO_2$  change and 1.94 for the 280ppm to 400ppm  $CO_2$  change (Lunt et al., 2010)).1033For the modern simulations, results from the homogenous soils experiments are shown in1034parentheses.

1035

Table 3. Inferred equilibrium climate sensitivity and the contribution from vegetation changes. Numbers are obtained by scaling the output from the simulations presented in this work to that for a standard climate sensitivity definition of the global mean temperature change for a doubling of  $CO_2$  (using scaling factors of 1.57 for the 180 to 280ppm  $CO_2$ change and 1.94 for the 280ppm to 400ppm  $CO_2$  change (Lunt et al., 2010)).

#### Supplementary Information

## S1. Dynamic vegetation model TRIFFID

The interactive global vegetation model coupled to HadCM3L is the Top-down Representation of Interactive Foliage and Flora Including Dynamics (TRIFFID) model, a full description of which is given in Cox, (2001) and Hughes et al. (2004). TRIFFID has been found to be dynamically stable and that the variations in the modelled vegetation distributions are driven by perturbations in the atmosphere (Hughes et al., 2006).

TRIFFID calculates areal coverage, leaf area index and canopy height for five defined plant functional types (PFTs): broadleaf tree, needleleaf tree, C<sub>3</sub> grass, C<sub>4</sub> grass and shrub. These PFTs respond differently to climate and CO<sub>2</sub> forcing (e.g. C<sub>3</sub> and C<sub>4</sub> grasses use different photosynthetic pathways), and also impact differently on the physical properties of the land surface (i.e. possessing different aerodynamic roughness lengths and albedo properties). All PFTs can co-exist within the same gridbox, each possessing a fractional coverage that is equivalent to the population size. The fractional coverage co-existence approach allows smooth transitions to occur when the vegetation distribution changes rather than the sudden discontinuities that would occur in a 'dominant' PFT only approach (Svirezhev, 2000).

The MOSES2 scheme calculates the net primary productivity (NPP) as the difference between photosynthesis and respiration for each PFT, and this quantity is then converted into the biomass for each PFT per unit area together with a component of spreading, which results in changes in the fractional distribution for that PFT. Light competition from other PFTs interferes with the spreading. Tree PFTs compete in relation to their canopy heights, as do grass PFTs and a simple dominance hierarchy between tree-shrub-grass determines the competition between trees, grasses and shrubs (Betts et al., 2004). Disturbance such as that due to disease and fire, can be represented by a single disturbance rate for a given PFT but the effect of these impacts is beyond the scope of this study and so no disturbance to PFTs is assumed here.

The NPP is calculated by a coupled photosynthesis-stomatal conductance model (Cox et al., 1998). Factors affecting the rate of photosynthesis are the humidity deficit, the photochemically active radiation, soil moisture and leaf area index. The maximum rate of photosynthesis is directly related to the leaf temperature and the upper and lower temperatures for photosynthesis (defined individually for each PFT). The PFTs compete horizontally in that grasses replace bare soil, shrubs replace grasses and trees replace shrubs.

The predicted vegetation in each grid box feeds back into the climate system in a number of ways, principally through evapotranspiration from the canopy, alteration of surface albedo, and through alteration of mixing at the boundary layer between the surface and the atmosphere (roughness length), selected parameters used in the model for each PFT are given in Table S1. Evaporation from transpiring vegetation is calculated using a photosynthesis model with climatic variables, soil moisture and the vegetation type (Cox et al. 1998; Cox 2001). The surface albedo is based on the fractions of each PFT, the fraction of snow-cover

and a prescribed albedo for that PFT, both snow-free and snow-covered. The prescribed albedos are based on the canopy radiative transfer model of Sellers (1985). The roughness length is calculated according to the modelled height of the vegetation and a parameter corresponding to the rate of change of vegetation roughness length with height, separately defined for each PFT. The roughness length of vegetation is incorporated into the albedo calculations when snow covered, e.g. a forested grid box is given a lower albedo than a shrub or grass filled grid box with the same depth of snow cover. The roughness length of a grid box will contribute to the determination of the sensible heat and moisture fluxes, and also the near surface wind speed.

Parameter	Broadleaf	Needleleaf	C3 Grass	C4 Grass	Shrub
	Tree	Tree	ee chuss	e i enuss	51140
Initial canopy height	19.0	16.38	0.8	1.26	2.0
Initial leaf area index	5.0	4.0	2.0	4.0	2.5
Initial canopy conductance	0.014	0.014	0.014	0.014	0.014
Temperature below which leaves are dropped	0	-30	-15	-15	-30
Lower temperature for photosynthesis	0.0	-5.0	0.0	13.0	0.0
Upper temperature for photosynthesis	36.0	31.0	36.0	45.0	36.0
Critical humidity deficit	0.09	0.06	0.10	0.075	0.10
Snow-covered albedo for large LAI	0.15	0.15	0.60	0.60	0.40
Snow-covered albedo for zero LAI	0.30	0.30	0.80	0.80	0.80
Snow-free albedo for large LAI	0.10	0.10	0.20	0.20	0.20
Rate of change of roughness length with height	0.05	0.05	0.10	0.10	0.10
Rootdepth (m)	3.00	1.00	0.50	0.50	0.50

Table S1. Selected TRIFFID PFT parameters

Two modes of coupling between the TRIFFID and the GCM are possible: a spinup equilibrium mode in which the fluxes between the land and the atmosphere are calculated by the GCM and averaged over ~5 years, and a more computationally demanding dynamic mode, which is used to incorporate the seasonal cycle with the fluxes calculated on every 30 minute timestep and averaged over 10 days. The averaged fluxes are then passed to TRIFFID which calculates the growth and expansion of the existing vegetation, and updates the land surface parameters based on the new vegetation distribution and structure.

It is important to note that it is not a necessity for the vegetation structure to be in equilibrium with the climate: modelled vegetation may lag the status of the climate and in many cases it has been well documented that vegetation is not sensitive to short timescale variability (Henderson-Sellers, 1993; Woodward et al., 1998), and significant disequilibrium has been observed, e.g. for European tree distributions (Svenning and Skov, 2004). The work of Hughes et al. (2006) suggests that there are substantial lags associated with TRIFFID components, and that simulations should be run for more than 300 years in order to reach vegetative equilibrium.

The TRIFFID model has been compared to IGBP-DIS land cover dataset (Loveland and Belward, 1997), which represents the modern distribution of vegetation as derived from satellite image interpretation (Betts et al., 2004). This suggests that the shrub PFT is overestimated at high latitudes at the expense of forests, perhaps as a result of the high latitude cold bias in the GCM. The broadleaf tree PFT is also overestimated in equatorial regions, with the exception of at the mouth of the River Amazon where model underestimated precipitation leads to TRIFFID modelling the presence of C4 grasses instead of broadleaf trees. Grasses tend to be globally slightly underestimated with the position of vegetation in the Sahara desert and other arid regions well reproduced, but the density is modelled to be too sparse, particularly in south-west Africa, central and south-west Asia, south-west North America and Australia. The discrepancies between the satellite imagery and the TRIFFID model are suggested to be a combination of orographic representation leading to underestimation of precipitation, differences between the anthropogenic masks used in the model and that found on the satellite imagery, and the inadequate treatment of natural disturbance mechanisms such as fire (Betts et al., 2004).

Although there are six vegetation definitions output from TRIFFID (broadleaf trees, needleleaf trees,  $C_3$  grass,  $C_4$  grass, shrubs and bare soil), the PFT albedos used in the model are identical between broadleaf and needleleaf trees and between  $C_3$  and  $C_4$  grasses, and there are no differences in the parameters that determine the roughness length between broadleaf and needleleaf trees, and between  $C_3$  and  $C_4$  grasses. Therefore, although not completely identical, to ease the analysis we consider the results in terms of only four landcover types: trees, grasses, shrubs and bare soil under the general assumption that the potential contribution to the climate from the two tree types are extremely similar and also between the two grass types, but that their actual contribution (the fraction and distribution of each PFT in each gridbox as determined by firstly by climatic thresholds and subsequently by the competition hierarchy between the trees, grasses and shrubs) will be accounted for by their location, i.e. needleleaf trees and  $C_3$  grasses at higher latitudes, broadleaf and  $C_4$  grasses at lower latitudes, and a mix in mid latitudes.

## S2. Experimental Design

#### S2.1 Late Miocene Boundary Conditions

The geological record provides evidence for an palaeogeographic configuration during the late Miocene that was distinctly different to today including an open Panama Gateway (Duque-Caro, 1990; Keigwin, 1982), an unrestricted Indonesian Seaway (Cane and Molnar, 2001; Edwards, 1975; Kennett et al., 1985; van Andel et al., 1975), a closed Bering Strait (Gladenkov et al., 2002; Marincovich Jr and Gladenkov, 2001; Marincovich and Gladenkov, 1999). On land the palaeorecord suggests major uplift of the Himalayas (Fang et al., 2005; Harrison et al., 1992; Molnar et al., 1993; Rowley and Currie, 2006), the Andes (Garzione et al., 2008; Gregory-Wodzicki, 2002), the North American Rockies (Morgan and Swanberg, 1985), the East African Plateaus (Saggerson and Baker, 1965; Yemane et al., 1985), and the Alps (Kuhlemann, 2007; Spiegel et al., 2001) during the late Miocene. Figure S1 details the boundary condition used in our late Miocene simulations and highlights the major differences to the modern geography.



Figure S1. Late Miocene boundary conditions. Bathymetry is unaltered from modern. The colour scale used in this figure groups elevation into bins.

# S2.2 Homogenous soils parameters

For the soils parameters that are unknown for the late Miocene, homogenous values have been used as detailed in Table S2. To provide an example of the implications of using these homogenous parameters, the variables thermal capacity and columetric soil moisture concentration at field capacity are shown in Figure S2.

	TT 1
Parameter	Homogenous value
Volumetric soil moisture concentration at wilting	$0.16 \text{ m}^3/\text{m}^3$
point	
Volumetric soil moisture concentration atCritical	$0.24 \text{ m}^3/\text{m}^3$
point	
Volumetric soil moisture concentration at field	$0.24 \text{ m}^3/\text{m}^3$
capacity	
Volumetric soil moisture concentration at saturation	$0.46 \text{ m}^3/\text{m}^3$
Clapp-Honberger B coefficient	6.6
Thermal conductivity	0.22 J/m/K/s
Saturated soil conductivity	0.005 kg/m <sup>2</sup> /s
Thermal capacity	$1.2 \times 10^6 \text{ J/m}^3/\text{K}$
Saturated soil water suction	0.022 m
Snow-free soil albedo	0.31

Table S2. Homogenous soils parameters



Figure S2. The difference in the parameters for soil thermal capacity and volumetric soil moisture concentration at field capacity used for modern soils and our homogenous assumptions.

## **S3.** Supplementary Results

#### S3.1 Vegetation distribution sensitivity to soil parameters

The soil sensitivity experiments that were performed for modern boundary conditions show only minor change to the high northern latitude vegetation distributions presented in Sections **Error! Reference source not found.** and **Error! Reference source not found.** when the same uniform soil properties as used for the late Miocene are assumed for the present day (refer to Supplementary Figure S3).

The largest differences in the predicted vegetation occur in the desert regions of Africa, central Asia and north America which reduce in size as compared to the true soil type simulations. The extent of tree cover in Australia and Thailand also reduces when the soil properties are homogenised, being replaced by grasses.



Figure S3. Dominant vegetation distributions for the three CO<sub>2</sub> scenarios: 180ppm, 280ppm and 400ppm as simulated by the TRIFFID vegetation model when homogenous soil parameters are used for the potential modern boundary conditions.

#### S3.2 Model-data comparison

#### S3.2.1 Warm Month Mean Temperature (WMT)

The warm month mean temperature is the only variable for which the vegetation changes imposed does not result in any deterioration in the model-data comparison (Figure S4, panel 3). For most of the mid-high latitude data, the best-fit CO<sub>2</sub> scenario is 400 ppm (Figure S5, panels A-C), but the lower latitudes are equally matched with the 400 ppm and the 280 ppm simulation regardless of the vegetation prescribed. There is a similar latitudinal gradient in the best-fit vegetation with the higher latitude data best matching the 400 ppm CO<sub>2</sub> concentration simulation, the mid latitude data best matching the 400 and the 280 ppm simulations and the lowest latitudes being least sensitive to the choice of vegetation, particularly when the CO<sub>2</sub> concentration is highest (Figure S5, panels G-I). Even for the highest CO<sub>2</sub> concentration scenario with the highest CO<sub>2</sub> vegetation distribution the model cannot replicate WMTs as warm as the data reconstructions; only 29% of the datapoints overlap (see Table S5).

#### S3.2.2 Cold Month Mean Temperature (CMT)

Improvements are seen in the model-data comparison when the vegetation changes from the 180ppm distribution to the 280 ppm distribution (Figure S4, panels A and B) but the number of overlaps between the 400 ppm CO<sub>2</sub> simulations and the data is high for all vegetation distributions (94-95% overlap; Table 6) and so little impact is seen from these vegetation changes (Figure S4, panels C-D). It is notable, given the model-data mismatch for MATs that the southernmost Tortonian datapoints in south-east Asia deteriorate with both vegetation distribution changes. The latitudinal gradient for the best-fit CO<sub>2</sub> concentration is even more defined for the CMT than the WMT; the lower latitudes fit best with the 180ppm simulation and the higher latitudes the 400ppm simulation (Figure S5, panels D-F), and this result is insensitive to the choice of vegetation distribution. However, the best-fit vegetation distribution is very sensitive to the choice of CO<sub>2</sub> concentration - the 180ppm CO<sub>2</sub> concentration requiring the 400ppm vegetation distribution to get close to the temperature reconstructions (Figure S5, panels J-L). The C400V400 simulation results in a model-data overlap of 94% (Table S6).

Stage	Datatype	C180V180	C180V280	C180V400	C280V180	C280V280	C280V400	C400V180	C400V280	C400V400
Messinian	Fauna	3	3	6	6	7	7	7	7	7
	M acroflora	9	10	9	7	7	15	14	26	39
	M icroflora	5	5	10	34	40	65	65	90	101
Tortonian	Fauna	8	11	14	14	14	19	19	19	20
	M acroflora	18	20	20	15	14	25	23	39	60
	M icroflora	20	20	29	26	27	47	45	57	60
	Total	63	69	88	102	109	178	173	238	287
	% overlap	15	16	21	24	25	41	40	55	67

Table S3. Number of overlaps between the model simulations and the data reconstructions of the mean annual temperature

Stage	Datatype	C180V180	C180V280	C180V400	C280V180	C280V280	C280V400	C400V180	C400V280	C400V400
Messinian	Fauna	136	136	136	136	135	135	135	135	135
	M acroflora	51	51	51	50	50	50	49	49	49
	Microflora	148	148	148	148	147	146	148	146	146
Tortonian	Fauna	506	489	487	483	487	480	482	480	478
	M acroflora	76	76	77	75	75	75	76	74	76
	Microflora	75	77	76	75	76	77	75	75	75
	Total	992	977	975	967	970	963	965	959	959
	% overlap	94	93	93	92	92	92	92	91	91

Table S4. Number of overlaps between the model simulations and the data reconstructions of the mean annual precipitation

Stage	Datatype	C180V180	C180V280	C180V400	C280V180	C280V280	C280V400	C400V180	C400V280	C400V400
Messinian	Fauna	0	0	0	0	0	0	0	0	0
	M acroflora	0	0	0	0	1	4	2	11	13
	Microflora	1	1	3	5	8	10	9	14	14
Tortonian	Fauna	0	0	0	0	0	0	0	0	0
	M acroflora	1	2	2	3	5	9	6	18	24
	Microflora	9	12	14	24	30	37	34	40	45
	Total	11	15	19	32	44	60	51	83	96
	% overlap	3	5	6	10	13	18	15	25	29

Table S5. Number of overlaps between the model simulations and the data reconstructions of the warm month mean temperature

Stage	Datatype	C180V180	C180V280	C180V400	C280V180	C280V280	C280V400	C400V180	C400V280	C400V400
Messinian	Fauna	0	0	0	0	0	0	0	0	0
	M acroflora	22	28	30	33	37	40	43	44	42
	M icroflora	103	106	132	134	135	138	142	140	140
Tortonian	Fauna	0	0	0	0	0	0	0	0	0
	M acroflora	36	42	47	51	55	63	68	68	66
	Microflora	42	46	53	56	59	60	64	63	63
	Total	203	222	262	274	286	301	317	315	311
	% overlap	61	67	79	83	86	91	95	95	94

Table S6. Number of overlaps between the model simulations and the data reconstructions of the cold month mean temperature

The individual results of the model-data comparisons for all of the vegetation-CO<sub>2</sub> perturbation experiments now follow



Figure S4. Improvements in the model-data comparison due to vegetation changes Green circles indicate an improvement, red circles indicate a deterioration. The datapoints showing 'no difference' are plotted underneath the other datapoints in order to highlight the differences.



Figure S5. The best-fit scenarios to the data. For the top row, A and D (G and J) show which  $CO_2$  scenario (vegetation) scenario is closest to the data reconstructions when the vegetation distribution (CO<sub>2</sub>) imposed is 180ppm. The middle row, B and E (H and K) show which  $CO_2$  (vegetation) scenario is closest to the data reconstructions when the vegetation (CO<sub>2</sub>) imposed is 280ppm. The bottom row C and F (I and L) show which  $CO_2$  (vegetation) scenario is closest to the data reconstructions when the vegetation (CO<sub>2</sub>) imposed is 400ppm. The datapoints for which there is no discernible difference in the model-data comparison between all of the CO<sub>2</sub> scenarios (i.e. they all overlap with the data) are shown in white and are plotted underneath the other datapoints in order to highlight the differences.



Figure S6. Results from the model-data comparison for mean annual temperature, late Miocene data – 180 ppm CO<sub>2</sub> scenarios.



Figure S7. Results from the model-data comparison for mean annual temperature, late Miocene data – 280 ppm CO<sub>2</sub> scenarios.



3. 400ppm Vegetation Distribution

Е

F

D



Figure S8. Results from the model-data comparison for mean annual temperature, late Miocene data -400ppm CO<sub>2</sub> scenarios.



Figure S9. Results from the model-data comparison for mean annual precipitation, late Miocene data - 180ppm CO<sub>2</sub> scenarios.



3. 400ppm Vegetation Distribution

Е

F

D

Tortonian



Figure S10. Results from the model-data comparison for mean annual precipitation, late Miocene data - 280ppm CO<sub>2</sub> scenarios.



3. 400ppm Vegetation Distribution

D

В

E

F

verlap
 < 250mm wetter</li>
 250-500mm wetter
 >500mm wetter

Tortonian



Figure S11. Results from the model-data comparison for mean annual precipitation, late Miocene data - 400ppm CO<sub>2</sub> scenarios.



Figure S12. Results from the model-data comparison for the coldest month mean temperature, late Miocene data -180 ppm CO<sub>2</sub> scenarios.



Figure S13. Results from the model-data comparison for the coldest month mean temperature, late Miocene data -280 ppm CO<sub>2</sub> scenarios.



Figure S14. Results from the model-data comparison for the coldest month mean temperature, late Miocene data -400 ppm CO<sub>2</sub> scenarios.



Figure S15. Results from the model-data comparison for the warmest month mean temperature, late Miocene data - 180ppm CO<sub>2</sub> scenarios.



Figure S16. Results from the model-data comparison for the warmest month mean temperature, late Miocene data -280 ppm CO<sub>2</sub> scenarios.



Figure S17. Results from the model-data comparison for the warmest month mean temperature, late Miocene data -400 ppm CO<sub>2</sub> scenarios



Figure S18. Regions where late Miocene palaeodata could be used to inform potential future climate changes.

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CO <sub>2</sub> Change	Period	Variable	C180 <sub>TRIF</sub>	C280 <sub>TRIF</sub>	C280V180	C180V280	fco2	fveg	<i>fsyn</i>
	Late Miocene	Temperature (°C)	10.1	13.2	12.6	10.9	2.4	0.7	-0.2
180 to 280ppm	Milocolle	Precipitation (mm/yr)	986	1043	1033	1000	45	12	-3.3
		Temperature	10.1	12.9	12.2	11.1	1.9	0.8	-0.3
	Potential Modern	(°C) Precipitation (mm/yr)	987	1040	1024	1007	35	18	-4.0
CO <sub>2</sub> Change	Period	Variable	C280 <sub>TRIF</sub>	C400 <sub>TRIF</sub>	C400V280	C280V400	fc02	fveg	<i>fsyn</i>
	Late	Temperature (°C)	13.2	15.8	15.0	14.0	1.8	0.8	-0.1
280 to	Miocene	Precipitation (mm/yr)	1043	1082	1072	1055	28	11	-0.9
400ppm		Temperature	12.9	15.2	14.7	13.8	1.6	0.7	-0.5
	Potential Modern	(°C) Precipitation (mm/yr)	1040	1077	1066	1054	25	13	-3.4

		Climate Sensitivity (	°C)
$2 x CO_2$	Late Miocene	Modern	Late Miocene – Modern
from 180ppm	4.85	4.31(4.25)	0.54(0.6)
from 280ppm	5.01	4.43(4.21)	0.55(0.8)

		Climate Sens	sitivity (°C)
	2 x CO <sub>2</sub>	Late Miocene	Potential Modern
Incl. vegetation changes		4.85	4.31
Excl. vegetation changes	from 180nnm	3.61	3.60
Change due to vegetation	100ppm	1.24	0.71
Incl. vegetation changes		5.01	4.43
Excl. vegetation changes	from 280nnm	3.62	4.03
Change due to vegetation	200ppm	1.39	0.40

Abbreviation	Meaning
LM	Late Miocene boundary conditions
PM	Potential Modern boundary conditions
BF	Fractional coverage of broadleaf forest
NF	Fractional coverage of needleleaf forest
C3	Fractional coverage of C3 grasses
C4	Fractional coverage of C4 grasses
SB	Fractional coverage of shrubs
BS	Fractional coverage of bare soil
SAT	Near-surface air temperature (deg C)
PRE	Precipitation (mm/day)
-9999	Missing data

# Run Code
















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## Late Miocene



# 180ppm

# 280ppm



## **Potential Modern**





























## Late Miocene



### **Potential Modern**

#### Mean Annual Temperature (°C) C280V280 - C280V180 C400V400 - C400V280



#### Mean Annual Precipitation (cm/yr) C280V280 - C280V180 C400V400 - C400V280



180ppm

180-280ppm

280ppm

280-400ppm

#### 180-400ppm

**400**ppm