

1 **ACCEPTED MANUSCRIPT**

2 Human intervertebral disc stiffness correlates better with the Otsu threshold
3 computed from axial T₂ map of its posterior annulus fibrosus than with clinical
4 classifications

5 Ghislain Maquer¹, Vaclav Brandejsky², Lorin M. Benneker³, Atsuya Watanabe⁴,
6 Peter Vermathen² and Philippe K. Zysset^{1*}

7
8 ¹ Institute of Surgical Technology and Biomechanics, University of Berne, Switzerland

9 ² Department of Clinical Research, University of Berne, Switzerland

10 ³ Department of Orthopaedic Surgery, Inselspital, University of Berne, Switzerland

11 ⁴ Department of Orthopaedic Surgery, Teikyo University, Ichihara, Japan

12
13 *Corresponding author: Philippe K. Zysset, Ph.D.

14 Institute of Surgical Technology and Biomechanics, University of Bern

15 Stauffacherstrasse 78, CH-3014 Bern

16 Tel: +41 31 631 59 25

17 Fax: +41 31 631 59 60

18 E-mail: philippe.zysset@istb.unibe.ch

19
20 Keywords: Human intervertebral disc, Experimental stiffness, Degeneration grade, MRI,

21 Axial T₂ maps

22 Number of words: 3138

23 Words abstract: 212

24 Number of figures: 3

25 Number of tables: 2 + 1 in supplementary data

26

27
28
29
30
31
32
33
34
35
36
37
38
39
40
41
42
43
44
45

Abstract

Degeneration of the intervertebral disc, sometimes associated with low back pain and abnormal spinal motions, represents a major health issue with high costs. A non-invasive degeneration assessment via qualitative or quantitative MRI (magnetic resonance imaging) is possible, yet, no relation between mechanical properties and T_2 maps of the intervertebral disc (IVD) has been considered, albeit T_2 relaxation time values quantify the degree of degeneration. Therefore, MRI scans and mechanical tests were performed on 14 human lumbar intervertebral segments freed from posterior elements and all soft tissues excluding the IVD. Degeneration was evaluated in each specimen using morphological criteria, qualitative T_2 weighted images and quantitative axial T_2 map data and stiffness was calculated from the load-deflection curves of *in vitro* compression, torsion, lateral bending and flexion/extension tests. In addition to mean T_2 , the OTSU threshold of T_2 (T_{OTSU}), a robust and automatic histogram-based method that computes the optimal threshold maximizing the distinction of two classes of values, was calculated for anterior, posterior, left and right regions of each annulus fibrosus (AF). While mean T_2 and degeneration schemes were not related to the IVDs' mechanical properties, T_{OTSU} computed in the posterior AF correlated significantly with those classifications as well as with all stiffness values. T_{OTSU} should therefore be included in future degeneration grading schemes.

46 **1. Introduction**

47 Low back pain affects at least half of the western population and is responsible for high
48 health care expenses every year [1]. Its origin is multifactorial. In cases of mechanical failure,
49 degeneration of the intervertebral disc (IVD) is the initiating event and is associated with high
50 risk of prolapse and herniation [2]. The intervertebral disc, composed of the fibrous annulus
51 fibrosus and the gelatinous nucleus pulposus, ensures mobility of the segments and
52 contributes to spinal stability [3, 4]. As degeneration occurs, the pressure in the dehydrated
53 nucleus decreases, the disc height reduces and the collagen structure is modified, eventually
54 leading to initiation of lesions and protrusions in the annulus due to abnormal load
55 distribution on the endplates [5]. The stability of the segment is then affected by consequent
56 alterations of the neutral zone [1], range of motion [6] and stiffness [7, 8].

57 Hence, efforts have been made to develop non-invasive methods for detection and
58 evaluation of degeneration. Considering the influence of water content and collagen structure
59 on T_1 and T_2 relaxation times, an assessment based on qualitative clinical MRI (magnetic
60 resonance imaging) or quantitative MRI is possible [9-11]. Most morphological [12], $T_{1\rho}/T_2$ -
61 weighted [13] or T_2/T_2^* maps [2, 14] -based grading are performed on the sagittal plane of the
62 intervertebral discs. Yet, some authors deem that T_2 maps acquired in the transverse plane are
63 better suited for visualisation of posterolateral protrusions due to a larger field of view [9,
64 15].

65 The standard procedure consists of classifying the degeneration into discrete grades,
66 which is unspecific and dependent on the operator's experience. The mechanical properties of
67 a disc can hardly be related to its degenerative level because of the large standard deviations
68 within each grade [6, 16, 17]. In addition, the impact of disc morphology on the
69 biomechanical measurements is rarely considered [18-21].

70 In knee cartilage, a disorganised collagen structure with high water content is associated
71 with high T_2 values [22] while negative correlations between T_2 value, compressive Young
72 modulus and dynamic modulus were found [23-25]. Yet, no relation between mechanical
73 properties and T_2 maps of the intervertebral disc has been considered despite the fact that T_1 ,
74 T_2 and $T_{1\rho}$ values computed in the nucleus and annulus regions correlate with the degree of
75 degeneration of the IVD [14], its radial and axial strains under compression [26, 27] as well
76 as the compressive modulus and hydraulic permeability of the nucleus [28].

77 Clinicians visually evaluate the hydration of the nucleus based on intensity and
78 homogeneity of the T_2 signal. To achieve equivalent evaluations quantitatively, the measure
79 of T_2 at various locations [15, 14], entropy and geometry-based criteria [29] were recently
80 proposed. Otsu is a robust method that computes the optimal threshold that maximizes the
81 separability of two classes of values [30]. Being histogram-based and automatic, it produces
82 an objective result unbiased by spatial information or by human interaction. Extensively used
83 for the segmentation of the IVD [31-33], it also bears information about homogeneity. The
84 Otsu threshold of a homogenous image is equal to its mean T_2 value but it will be biased by
85 the intensity and frequency of high intensity pixels, which may be linked to the presence of
86 annular tears [2].

87 Relying on the potential relation between quantitative T_2 maps and biochemical
88 properties, the aim of this work is to propose a criterion for disc degeneration related to its
89 mechanics and meeting the objectivity and simplicity requirements. The degeneration grades
90 of 14 human lumbar intervertebral discs evaluated using MRI data and quantitative T_2
91 measures were compared to the specimens' stiffness in compression, torsion, lateral bending
92 and flexion/extension.

93

94 **2. Materials and methods**

95 ***2.1. Qualitative and quantitative MRI imaging***

96 Fourteen (14) spinal segments (T12-L1, L2-L3, L4-L5) were extracted from 6 human
97 lumbar spines (age 63-89) after approval of the Ethics Committee of the Medical University
98 of Vienna. All specimens were taken from individuals who voluntarily donated their bodies
99 to the Center of Anatomy and Cell Biology of the Medical University of Vienna for
100 postmortem studies by their last will. The posterior elements were sectioned at the pedicles
101 and all soft tissues but the central intervertebral discs were removed. The endplates of the
102 cranial and caudal vertebral bodies were embedded in a 10 mm-thick layer of PMMA
103 (polymethylmethacrylate). The specimens, identified by a number between 1 and 14 (Fig2,
104 Fig3, Table1, TableA1), were stored in sealed polyethylene bags at -20°C. Specimens were
105 thawed at room temperature (20°C) the night before MRI imaging and placed in a custom-
106 built container filled with 0.9% saline water to avoid drying of the specimen and to ensure
107 sufficient loading of the RF coil. MRI scans were performed on a clinical 3T system (Verio,
108 Siemens Healthcare, Germany) with a 15-channel knee coil. Anatomical T₁ (T_R/T_E = 999/13
109 ms) and T₂-weighted images (T_R/T_E = 4990/114 ms) in axial, coronal and sagittal planes were
110 acquired in order to document all pathological conditions. 0.3 mm in-plane resolution was
111 achieved for each of the 0.8 mm thick axial slices (128*256 mm² field of view (FOV),
112 384*768 matrix) and coronal/sagittal slices (3 mm thickness, 140*256 mm² FOV, 240*768
113 matrix).

114 For the axial T₂ mapping, a multi spin-echo sequence with 22 different echoes was
115 chosen for its relatively short acquisition times. The sequence parameters were T_R = 3650
116 ms, first echo 12.5 ms and last echo 275 ms with steps of 12.5 ms, 106*199 mm² FOV and
117 204*384 matrix (0.5 mm resolution). Each T₂ map was calculated by exponential curve

118 fitting using a in-house script from a 3mm thick slice acquired in the centre of the disc using
 119 the anatomical data to position the imaging plane (Matlab, Mathworks, Natick, U.S.A.).

120 Prior to the scanning of the specimens, 14 T_2 maps were taken from a test sample while
 121 the water temperature in the container was increased from 9°C to 20°C to verify the influence
 122 of temperature on T_2 . Then, to assess the stability and repeatability of the procedure, 2 sets of
 123 6 T_2 maps were acquired on the test specimen every 30 minutes on two different days (D1,
 124 D2). Coefficient of variation ($CV = \frac{100 * SD}{Mean}$) and relative comparison of the mean T_2 value
 125 between D1 and D2 ($\Delta_{D1D2} = 100 * \frac{Mean_{D1} - Mean_{D2}}{Mean_{D1}}$) were evaluated for regions of interest
 126 in the nucleus and annulus.

127 Finally, the 14 samples were scanned. A whole imaging session lasted approximately 2.5
 128 hours at controlled temperature (22°C) and the T_2 maps were acquired at the end of each
 129 session to limit the influence of temperature (Fig1.).

130 **2.2. MRI-based morphological parameters**

131 A method was introduced to compute the morphological parameters from the anatomical
 132 MRI images. First, semi-manual segmentation of the IVD was performed using ITKsnap [34]
 133 and the MRI-based morphological data were calculated from the segmented image:

$$\begin{aligned}
 134 \quad CSA &= \sum_i^N A_i & V &= \sum_i^M V_i & H &= \frac{V}{CSA} \\
 135 \quad I_{xx} &= \overset{N}{\underset{i}{\overset{\circ}{\sum}}} (y_i - y_c)^2 & I_{yy} &= \overset{N}{\underset{i}{\overset{\circ}{\sum}}} (x_i - x_c)^2 & J &= I_{xx} + I_{yy} \quad (1)
 \end{aligned}$$

136 The resolution being known, the volume (V) of the disc was calculated by summing the
 137 volume of the segmented voxels V_i (M voxels per disc). A similar approach was performed
 138 on the cross-sectional area A_i of voxels of the cross-section of the disc (N voxels per cross-
 139 section) as well as to compute CSA, J, I_{xx} and I_{yy} using a Python script. To lighten the
 140 calculation of the moments of inertia, an in-plane rotation was applied to the segmented

141 image to fit the disc's lateral and antero-posterior diameters to the x and y-axis of the
142 coordinate system of the image. Special care was also taken to relate the moment of inertia
143 calculation to the centroid (x_c , y_c) of its cross-section. Finally, the average height (H) of the
144 specimen was determined from the ratio of V over CSA.

145 ***2.3. Apparent intervertebral moduli***

146 To measure the stiffness of the samples, non-destructive quasi-static experiments were
147 conducted after the scanning. The specimens were wrapped in 0.9% saline-soaked gauze,
148 aligned along the axis of a servo-hydraulic device (MTS, Bionix, U.S.A.) and compressed 5
149 times up to 1000 N at constant loading rate (2000 N/min). Each compression was followed by
150 a release and the displacement of the superior vertebral body was monitored. Then, axial
151 torsion, bilateral bending and flexion/extension tests were conducted without pre-load by
152 applying 5 cycles of pure moments (-5 to 5 Nm) to the PMMA layer of the superior vertebral
153 body at constant displacement rate (0.8°/s) via a spinal loading simulator [35, 36]. The
154 positions of X-shaped reflective markers (4 LEDs, resolution 0.1 mm) fixed to both PMMA
155 layers were registered via motion capture (Optotrak3020, Northern Digital, Canada). The
156 relative angular displacements of the vertebral bodies were then computed in Matlab
157 (Mathworks, Natick, U.S.A.). Meanwhile, the moments applied on the superior vertebral
158 body were measured with a 6-axis load cell (MC3A, AMTI, U.S.A.). Only the 5th loading-
159 unloading cycle was kept for evaluation. Because of the irregular distribution of the data
160 points, least square minimization of the residuals (Python, [37]) was utilised to fit exponential
161 or double sigmoid functions on the load-deflection curves [38].

162 Stiffness (K, N/mm or Nmm/°) was determined from the fitted load-deflection data for all
163 4 biomechanical tests of each specimen as the ratio of the load over the displacement for the
164 same deformation, a 3° angle or 15% strain, to include even the stiffest discs. Finally,
165 normalisation of the stiffness was necessary to limit the influence of a disc's size on its

166 mechanics and properly relate its stiffness to any degenerative alterations. Therefore, the
 167 apparent modulus (K^N , MPa) was calculated by normalising K by height (H , mm), area
 168 (CSA , mm^2), polar moment of inertia (J , mm^4) or area moment of inertia along the lateral
 169 (I_{xx}) or anteroposterior diameter (I_{yy}) computed from the voxels of the anatomical T_1 -
 170 weighted images (Eq1.):

$$171 \quad K_C^N = \frac{K_C * H}{CSA} \quad K_T^N = \frac{K_T * H}{J} \quad K_B^N = \frac{K_B * H}{I_{xx}} \quad K_{F/E}^N = \frac{K_{F/E} * H}{I_{yy}} \quad (2)$$

172 ***2.4. Link between degeneration grade, quantitative MRI data and apparent modulus***

173 Two clinicians independently evaluated the degeneration of the specimens with the
 174 Thompson [12], Benneker [13] and Watanabe [9] grading systems using the anatomical
 175 images or the axial T_2 maps without any knowledge of their stiffness. The choice of these
 176 grading schemes was motivated by their intrinsic differences. The Pfirrmann system is
 177 probably the most common classification based on qualitative MRI but still highly oriented
 178 on the Thompson grading. Unlike Pfirrmann, the Thompson system is not based on MRI but
 179 on the morphological evaluation of macroscopic mid sagittal slices of the disc specimen. The
 180 Watanabe classification relies only on axial T_2 -maps while the Benneker scheme employs an
 181 additive score based on radiographs, CT or MRI. It is more precise and, unlike Thompson
 182 and Pfirrmann, validated against biochemical parameters of degeneration. Moreover, both
 183 Watanabe and Benneker were compared to Pfirrmann and proved to be better suited to the
 184 detection of the early stages of degeneration. A consensus table was established (Table1).

185 The Otsu threshold (T_{OTSU}) was implemented in Python based on Otsu et al. [30]. Mean
 186 T_2 , Δ ($Mean_{nucleus} - Mean_{annulus}$) and T_{OTSU} were computed from each segmented T_2 map for
 187 the nucleus, the annulus and the anterior, posterior, left and right regions of the annulus to
 188 assess whether the regional T_2 values can discriminate the loading direction. Each AF region
 189 was determined by a 90° angle after an ellipse was automatically fitted to the IVD via a

190 Python script and assuming a surface ratio of 43% between nucleus and annulus only if the
191 distinction was not clear [39, 40] (Fig1.). Finally, correlations between age, grading schemes,
192 Mean T_2 , T_{OTSU} of each region and apparent moduli were established for every loading mode.

193 **3. Results**

194 The influence of temperature, the stability and the repeatability of the T_2 maps were
195 checked. Even though the test specimen was scanned for a large span of temperatures (from 9
196 to 20°C), the coefficient of variation (CV) for the T_2 maps of the intervertebral disc was less
197 than 1.7%. At constant temperature, CV dropped to less than 1% and the difference between
198 Day1 and Day2, Δ_{DID2} , was less than 4%.

199 Grading, T_2 maps, Mean T_2 and T_{OTSU} for all disc regions and apparent moduli for the 14
200 specimens can be found in the supplementary data (TableA1). As the data is sorted along
201 increasing Thompson grade, the broad range of apparent moduli associated to each grade is
202 obvious.

203 Coefficients of determination (R^2) between age, grading, apparent moduli and T_2 were
204 computed (Table2). The age of the donor could not be related to any of the grading schemes,
205 apparent moduli or T_2 values. High correlations were found between the 3 grading schemes
206 ($R^2 > 0.73$) but their relation with the mechanical properties was rather poor as only
207 Thompson correlated significantly with K_C ($R^2 = 0.36$), K_T ($R^2 = 0.42$) and K_B ($R^2 = 0.32$).
208 No link with mean T_2 in the nucleus and annulus and the grading parameter "classifications"
209 was found but significant positive correlations were observed between the classifications and
210 T_{OTSU} values computed in the annulus fibrosus and its posterior region (Fig2.).

211 Lateral bending moduli left or right were not linked to T_2 relaxation time computed in the
212 left or right region of the annulus. The same observation was made between flexion/extension
213 and mean T_2 of the anterior region. Interestingly, the highest correlations were established
214 between T_{OTSU} computed in the posterior region and the apparent moduli K_T , K_B , K_E and K_F

215 (Fig3.). Finally, the apparent modulus in compression K_C could not be related to any T_2
216 values.

217 **4. Discussion**

218 The quantification of T_2 relaxation time is related to the biochemical properties of the
219 intervertebral disc. This gives advanced MRI methods the potential to objectively evaluate
220 disc degeneration [29]. Although the compressive Young modulus of the articular cartilage is
221 connected to its mean T_2 value [25], no such connection has been established for human
222 intervertebral discs.

223 Two experts evaluated the degeneration of our samples by using the Thompson, Benneker
224 and Watanabe disc degeneration classifications. Their ratings were performed independently
225 but the evaluations are in good agreement. Although the Thompson scale is solely based on
226 morphology, Watanabe focuses on T_2 map signal while Benneker examines both the T_{2w}
227 signal and morphology, the grading schemes correlated well. These grading schemes are
228 repeatable as they describe degeneration only based on morphology and, to some extent,
229 biochemistry without apparent relation to the mechanical function. Correlation between these
230 grading schemes speaks to their quality, however, lack of a link with the biomechanics of the
231 disc in currently used schemes may affect their relevance. T_{OTSU} does include such a link and
232 should therefore be included in future schemes. Mean T_2 relaxation time in the nucleus and
233 annulus did not correlate with the degeneration grades. Published data [41, 42] corroborates
234 our results regarding the annulus but contradicts those pertaining to the nucleus. Unlike those
235 studies, our T_2 maps were performed on cadaver specimens, as opposed to being performed
236 *in vivo*, and the *in vitro* conditions may have lowered the water and proteoglycan content in
237 the nucleus [11]. This may also explain why the nuclear T_{OTSU} is not related to the
238 degeneration grades. In any case, the nuclear mean T_2 and T_{OTSU} , with only poor connection
239 to the mechanical measurements, are not a satisfactory degeneration criterion.

240 T_2 is inversely sensitive to the collagen content and orientation of these fibres: regions
241 with a denser collagen network, as in the annulus, are associated with lower T_2 relaxation
242 time [43, 11] while annular tears, induced by the degeneration, have higher local T_2 values[2].
243 These High Intensity Zones (HIZ) inevitably increase the value of the annular T_{OTSU}
244 explaining why it correlated positively and significantly with all 3 grading systems.

245 Interestingly, annular T_{OTSU} also correlates significantly with torsional and lateral bending
246 stiffnesses but not with the compressive one. Michalek et al. [8] showed that a loss of
247 pressurization of the nucleus is responsible for alterations in the compressive behaviour of the
248 disc, while the behaviour in torsion is influenced by the presence of annular fibre disruptions.
249 As the collagen fibres also drive the mechanical response of the intervertebral disc in flexion,
250 lateral bending and flexion/extension, any annular disruptions decrease the intervertebral
251 stiffness for those loading modes as well [44]. Those annular conditions, resulting in a higher
252 T_{OTSU} explain the significant negative correlations obtained between annular T_{OTSU} and the
253 bending or torsional stiffnesses. These findings corroborate previous observations suggesting
254 that the presence of HIZ in the intervertebral disc is associated with reduction of the
255 intervertebral stiffness [45].

256 There is no relation between T_{OTSU} in lateral regions of the annulus and lateral loading or
257 between T_{OTSU} in the anterior annulus and flexion/extension. Conversely, T_{OTSU} of the
258 posterior annulus provides interesting results. Not only did it correlate significantly with all
259 the grading schemes but also with all bending stiffnesses, including flexion and extension.
260 This result is coherent with our previous assumption that T_{OTSU} is sensitive to annular
261 disruptions insofar as most HIZ, sometimes associated with low back pain, occur in the
262 posterior annulus [46].

263 There are limitations to be aware of. Since the intervertebral compliance is dependent on
264 the loading rate and the hydration of the disc, only quasi-static tests were conducted.

265 Additionally, various loading rates would only offset the stiffness measurements [47].
266 Another limitation was that the posterior elements and surrounding soft tissues, such as
267 muscles and ligaments that are also responsible for the spinal stability were removed. Human
268 material is difficult to obtain, thus the donors were few and the samples old which might
269 explain why the age of the donors was unrelated to T_2 measurements, stiffness or
270 degeneration grade [6, 29]. The specimens were kept frozen, and while a small number of
271 freeze-thaw cycles seem not to affect the flexibility of human spinal segments [48, 49] and
272 the fact that this is the standard storage method, freezing may potentially damage the tissue.
273 Finally, only one axial T_2 map was acquired in the middle of each disc and some out of plane
274 annular features may have been missed.

275 Most of those limitations are inherent to *in vitro* conditions and cadaver testing, but the
276 stiffness measurements must be performed *in vitro* in a controlled environment to be reliable.
277 Moreover, not only do countless *in vivo* MRI studies already exist, but also the link with
278 mechanical properties, fundamental in the understanding of spinal instability, is rarely
279 considered. This is one of the first studies to highlight the relation between quantitative MRI
280 and stiffness of the intervertebral disc. The low but significant correlations between Otsu
281 threshold, classification schemes and mechanical measures might be improved by performing
282 a similar study on fresh animal material; however, this raises the problem of interspecies
283 comparison as no large animal model for disc degeneration exists [50]. One last limitation
284 lies in the fact that, although clinical protocols were performed in this study, a knee coil was
285 used for the imaging to maximise the signal-to-noise ratio.

286 In conclusion, this study shows that the usual classification schemes cannot be related to
287 the stiffness of cadaveric human intervertebral disc, unlike quantitative T_2 measurements
288 (T_{OTSU}) computed in the posterior part of the annulus fibrosus. Although this fully automatic
289 method requires further validation for *in vivo* imaging conditions, its simplicity, minimal

290 human interaction and link with biomechanical properties makes it an attractive candidate for
291 clinical assessment of disc degeneration.

292

293 **Acknowledgments**

294 The authors thank Alexander Bürki and Marc Laurent for their help regarding the
295 mechanical tests, Urs Röhrer for producing the MRI-compatible container (Institute of
296 Surgical Technology and Biomechanics, University of Bern, Switzerland) and Dr. Michael L.
297 Pretterklieber for providing the specimens (Center of Anatomy and Cell Biology, Department
298 of Applied Anatomy, Medical University of Vienna, Austria). Finally, we also would like to
299 acknowledge Prof. Chris Boesch (Department of Clinical Research, Inselspital, University of
300 Berne, Switzerland) for the interesting discussions regarding magnetic resonance imaging
301 and Allison Clouthier for reading this manuscript. The project was made possible through
302 funding obtained from the European Community, Grant Agreement n° PITN-GA-2009-
303 238690-SPINEFX.

304 **Declarations**

305 Competing Interests

306

None declared

307 Please state any sources of funding for your research

308

The project was made possible through funding obtained from the European Community, Grant Agreement n° PITN-GA-2009-238690-SPINEFX.

309 Please state whether Ethical Approval was given, by whom and the relevant Judgement's
310 reference number

We received approval of the Ethics Committee of the Medical University of Vienna. All specimens were taken from individuals who voluntarily donated their bodies to the Center of Anatomy and Cell Biology of the Medical University of

Vienna for postmortem studies by their last will. Reference EK Nr: 732/2010

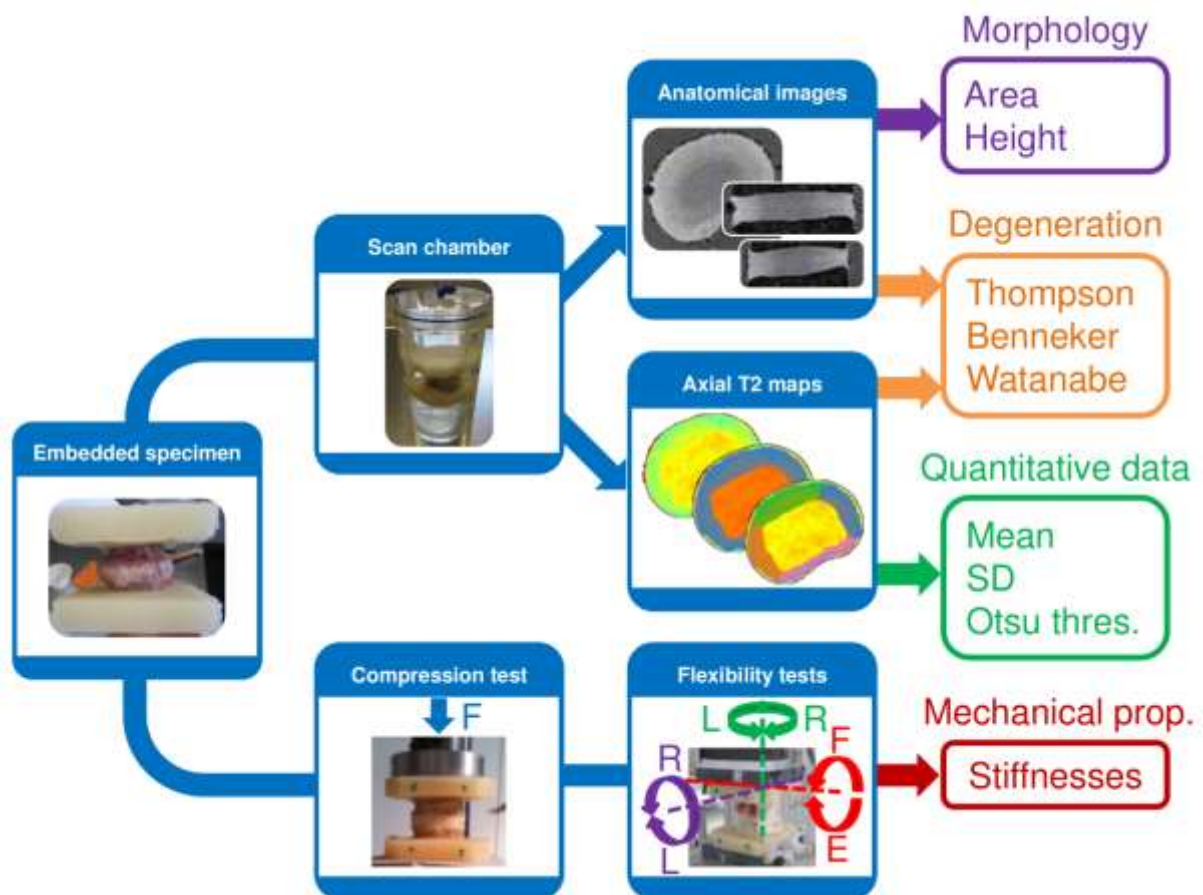
311

- 313 [1] Panjabi M. Clinical spinal instability and low back pain. *Journal of Electromyography and*
314 *Kinesiology* 2003;13:371–379.
315
- 316 [2] Trattinig S, Stelzeneder D, Goed S, Reissegger M, Mamisch TC, Paternostro-Sluga T,
317 Weber M, Szomolanyi P, Welsch GH. Lumbar intervertebral disc abnormalities: comparison
318 of quantitative T2 mapping with conventional MR at 3.0T. *Eur Radiol* 2010;20:2715–2722.
319
- 320 [3] Kirkaldy-Willis WH and Farfan HF. Instability of the lumbar spine. *Clin Orthop Relat*
321 *Res* 1982;165:110–123.
322
- 323 [4] Stokes IA, Frymoyer JW. Segmental motion and instability. *Spine* 1987;12:688–691.
324
- 325 [5] Dolan P and Adams MA. Recent advances in lumbar spinal mechanics and their
326 significance for modelling. *Clin Biomech* 2001;16-Suppl 1:S8-S16.
327
- 328 [6] Tanaka N, An HS, Lim T, Fujiwara A, Jeon C, Haughton VM. The relationship between
329 disc degeneration and flexibility of the lumbar spine. *The Spine Journal* 2001;1:47–56.
330
- 331 [7] Haughton VM, Lim TH. and An HS. Intervertebral disk appearance correlated with
332 stiffness of lumbar spinal motion segments. *AJNR Am J Neuroradiol* 1999;20:1161-1165.
333
- 334 [8] Michalek AJ, Funabashi KL and Iatridis JC. Needle puncture injury of the rat
335 intervertebral disc affects torsional and compressive biomechanics differently. *EurSpineJ*
336 2010;19:2110–2116.
337
- 338 [9] Watanabe A, Benneker LM, Boesch C, Watanabe T, Obata T, Anderson SE.
339 Classification of intervertebral disk degeneration with axial T2 mapping. *AJR* 2007;189:936–
340 942.
341
- 342 [10] Mwale F, Iatridis JC, Antoniou J Quantitative MRI as a diagnostic tool of intervertebral
343 disc matrix composition and integrity. *EurSpineJ* 2008;17(4):S432–S440.
344
- 345 [11] Marinelli NL, Haughton VM, Munoz A and Anderson PA. T2 relaxation times of
346 intervertebral disc tissue correlated with water content and proteoglycan content. *Spine*
347 2009;34(5):520 –524.
348
- 349 [12] Thompson JP, Pearce RH, Schechter MT, Adams ME, Tsang IK, Bishop PB.
350 Preliminary evaluation of a scheme for grading the gross morphology of the human
351 intervertebral disc. *Spine* 1990;15:411–415.
352
- 353 [13] Benneker LM, Heini PF, Anderson SE, Alini M, Ito K. Correlation of radiographic and
354 MRI parameters to morphological and biochemical assessment of intervertebral disc
355 degeneration. *Eur Spine J* 2005;14: 27–35.
356
- 357 [14] Stelzeneder D, Welsch GH, Kovács BK, Goed S, Paternostro-Sluga T, Vlychou M,
358 Friedrich K, Mamisch TC and Trattinig S. Quantitative T2 evaluation at 3.0 T compared to
359 morphological grading of the lumbar intervertebral disc: A standardized evaluation approach

360 in patients with low back pain. *European Journal of Radiology* 2012;81:324-330.
361
362 [15] Hoppe S, Quirbach S, Mamisch TC, Krause FG, Werlen S and Benneker LM. Axial
363 T2* mapping in intervertebral discs: a new technique for assessment of intervertebral disc
364 degeneration. *Eur Radiol* 2012;22:2013–2019.
365
366 [16] Krismer M, Haid C, Behensky H, Kapfinger P, Landauer F and Rachbauer F. Motion in
367 lumbar functional spine units during side bending and axial rotation moments depending on
368 the degree of Degeneration. *Spine* 2000;25:2020-2027.
369
370 [17] Kettler A, Rohlmann F, Ring C, Mack C and Wilke HJ. Do early stages of lumbar
371 intervertebral disc degeneration really cause instability? Evaluation of an in vitro database.
372 *Eur Spine J* 2011;20:578–584.
373
374 [18] Elliott DM and Sarver JJ. Young investigator award winner: validation of the mouse and
375 rat disc as mechanical models of the human lumbar disc. *Spine* 2004;29:713–722.
376
377 [19] Campana S, de Guise JA, Rillardon L, Mitton D, Skalli W. Lumbar intervertebral disc
378 mobility: effect of disc degradation and of geometry. *Eur J Orthop Surg Traumatol*
379 2007;17:533–541.
380
381 [20] Beckstein JC, Sen S, Schaer TP, Vresilovic EJ and Elliott DM. Comparison of animal
382 discs used in disc research to human lumbar disc axial compression mechanics and
383 glycosaminoglycan content. *Spine* 2008;33:E166–E173.
384
385 [21] Showalter BL, Beckstein JC, Martin JT, Beattie EE, Espinoza Orías AA, Schaer TP,
386 Vresilovic EJ and Elliott DM. Comparison of animal discs used in disc research to human
387 lumbar disc. *Spine* 2012;7:E900–E907.
388
389 [22] Nieminen MT, Rieppo J, Toyras J, Hakumaki JM, Silvennoinen J, Hyttinen MM,
390 Helminen HJ and Jurvelin JS. T2 relaxation reveals spatial collagen architecture in articular
391 cartilage: a comparative quantitative mri and polarized light microscopic study. *Magnetic*
392 *Resonance in Medicine* 2001;46:487-493.
393
394 [23] Kurkijarvi JE, Nissi MJ, Kiviranta I, Jurvelin JS and Nieminen MT. Delayed
395 gadolinium-enhanced mri of cartilage (dgemric) and t2 characteristics of human knee
396 articular cartilage: topographical variation and relationships to mechanical properties.
397 *Magnetic Resonance in Medicine* 2004;52:41-46.
398
399 [24] Nissi MJ, Rieppo J, Toyras J, Laasanen MS, Kiviranta I, Nieminen MT and Jurvelin JS.
400 Estimation of mechanical properties of articular cartilage with MRI dGEMRIC, T2 and T1
401 imaging in different species with variable stages of maturation. *OsteoArthritis and Cartilage*
402 2007 15:1141-1148.
403
404 [25] Julkunen P, Korhonen RK, Nissi MJ and Jurvelin JS. Mechanical characterization of
405 articular cartilage by combining magnetic resonance imaging and finite-element analysis-a
406 potential functional imaging technique. *Phys. Med. Biol* 2008;53:2425–2438.
407
408 [26] O'Connell GD, Malhotra NR, Vresilovic EJ, Elliott DM, The effect of nucleotomy and
409 the dependence on degeneration of human intervertebral disc strain in axial compression,

410 Spine 2011;36(21):1765-71.
411
412 [27] O'Connell GD, Vresilovic EJ, Elliott DM. Human intervertebral disc internal strain in
413 compression: the effect of disc region, loading position, and degeneration. J Orthop Res.
414 2011;29(4):547-555.
415
416 [28] Périé D, Iatridis JC, Demers CN, Goswami T, Beaudoin G, Mwale F, Antoniou J.
417 Assessment of compressive modulus, hydraulic permeability and matrix content of trypsin-
418 treated nucleus pulposus using quantitative MRI. J Biomech. 2006;39(8):1392-400.
419
420 [29] Mayerhofer ME, Stelzeneder D, Bachbauer W, Welsch GH, Mamisch TC, Szczypinski
421 P, Weber M, Peters N, Fruehwald-Pallamar J, Puchner S and Trattng S. Quantitative analysis
422 of lumbar intervertebral disc abnormalities at 3.0 Tesla: value of T2 texture features and
423 geometric parameters. NMR Biomed 2012;25: 866–872.
424
425 [30] Otsu N. A threshold selection method from gray-level histograms. IEEE Transactions On
426 Systems, Man, And Cybernetics 1979;9(1).
427
428 [31] Michopoulou S, Costaridou L, Panagiotopoulos E, Speller R, Todd-Pokropek A.
429 Segmenting degenerated lumbar intervertebral discs from MR images. IEEE Nuclear Science
430 Symposium Conference Record 2008.
431
432 [32] Michopoulou SK, Costaridou L, Panagiotopoulos E, Speller R, Panayiotakis G, and
433 Todd-Pokropek A. Atlas-based segmentation of degenerated lumbar intervertebral discs from
434 MR images of the spine. IEEE transactions on biomedical engineering 2009;56(9).
435
436 [33] Chevrefils C, Cheriet F, Aubin C, and Grimard G. Texture analysis for automatic
437 segmentation of intervertebral disks of scoliotic spines from MR images. IEEE Transactions
438 On Information Technology In Biomedicine 2009;13(4).
439
440 [34] Yushkevich PA, Piven J, Hazlett HC, Smith RG, Ho S, Gee JC and Gerig G. User-
441 guided 3D active contour segmentation of anatomical structures: significantly improved
442 efficiency and reliability. NeuroImage 2006;31:1116 – 1128.
443
444 [35] Gédet P, Thistlethwaite PA and Ferguson SJ. Minimizing errors during in vitro testing of
445 multisegmental spine specimens: considerations for component selection and kinematic
446 measurement. J Biomech 2007;40:1881-1885.
447
448 [36] Gédet P, Thistlethwaite PA and Ferguson SJ. Comparative biomechanical investigation
449 of a modular dynamic lumbar stabilization system and the Dynesys system. Eur Spine
450 J 2009;18:1504-1511.
451
452 [37] Van Rossum G and de Boer J. Interactively testing remote servers using the python
453 programming language. CWI Q 1991;4:283–303.
454
455 [38] Smit T H, van Tunen MSLM, van der Veen AJ, Kingma I, van Dieën JH. Quantifying
456 intervertebral disc mechanics: a new definition of the neutral zone. BMC Musculoskeletal
457 Disorders 2011;12:38.
458
459 [39] Smit T, Odgaard A, Schneider E. Structure and function of vertebral trabecular bone.

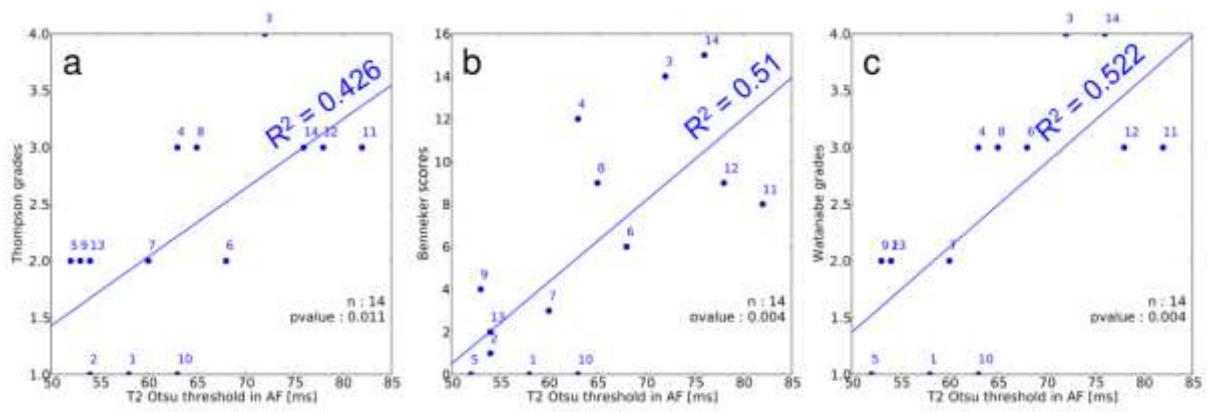
460 Spine 1997;22:2823–2833.
461
462 [40] Polikeit A, Ferguson SJ, Nolte LP, Orr TE. Factors influencing stresses in the lumbar
463 spine after the insertion of intervertebral cages: finite element analysis. *Eur Spine J*
464 2003;12:413–420.
465
466 [41] Welsch GH, Trattng S, Paternostro-Sluga T, Bohndorf K, Goed S, Stelzeneder D,
467 Mamisch TC. Parametric T2 and T2* mapping techniques to visualize intervertebral disc
468 degeneration in patients with low back pain: initial results on the clinical use of 3.0 Tesla
469 MRI. *Skeletal Radiol* 2011;40:543–551.
470
471 [42] Takashima H, Takebayashi T, Yoshimoto M, Terashima Y, Tsuda H, Ida K and
472 Yamashita T. Correlation between T2 relaxation time and intervertebral disk degeneration.
473 *Skeletal Radiol* 2012;41:163–167.
474
475 [43] Perry J, Haughton VM, Anderson PA, Wu Y, Fine J, Mistretta C. The value of T2
476 relaxation times to characterize lumbar intervertebral disks: Preliminary Results. *AJNR Am J*
477 *Neuroradiol* 2006;27:337–342.
478
479 [44] Haughton VM, Schmidt TA, Keele K, An HS and Lim TH. Flexibility of lumbar spinal
480 motion segments correlated to type of tears in the annulus fibrosus. *J Neurosurg* 2000;92:81–
481 86.
482
483 [45] Schmidt TA, An HS, Lim, TH, Nowicki BH and Haughton VM. The stiffness of lumbar
484 spinal motion segments with a high-intensity zone in the annulus fibrosus. *Spine*
485 1998;23:2167–2173.
486
487 [46] Peng B, Hou S, Wu W, Zhang C, Yang Y. The pathogenesis and clinical significance of
488 a high-intensity zone (HIZ) of lumbar intervertebral disc on MR imaging in the patient with
489 discogenic low back pain. *Eur Spine J* 2006;15: 583–587.
490
491 [47] Van Engelen SJPM, Ellenbroek MHM, van Royen BJ, de Boer A, van Dieën JH.
492 Validation of vibration testing for the assessment of the mechanical properties of human
493 lumbar motion segments. *JBiomech* 201;2 45:1753–1758.
494
495 [48] Gleizes V, Viguier E, Feron JM, Canivet S and Lavaste F. Effects of freezing on the
496 biomechanics of the intervertebral disc. *Surg Radiol Anat* 1998;20:403-407.
497
498 [49] Tan JS and Uppuganti S. Cumulative multiple freeze-thaw cycles and testing does not
499 affect subsequent within-day variation in intervertebral flexibility of human cadaveric
500 lumbosacral spine. *Spine* 2012;37:E1238–E1242.
501
502 [50] Alini M, Eisenstein SM, Ito K, Little C, Kettler AA, Masuda K, Melrose J, Ralphs J,
503 Stokes I, Wilke HJ. Are animal models useful for studying human disc disorders /
504 degeneration? *Eur Spine J* 2008;17:2–19.



505 Fig. 1. Overview of the study. T₁ and T₂ weighted MRI and axial T₂ maps of 14 intervertebral
 506 segments were performed and morphological, degenerative and quantitative data were
 507 extracted or evaluated. The intervertebral stiffnesses were computed from the load-deflection
 508 curves of the tests in compression, torsion, lateral bending and flexion/extension. The
 509 relations between degenerative, quantitative and mechanical data were established.

511

512



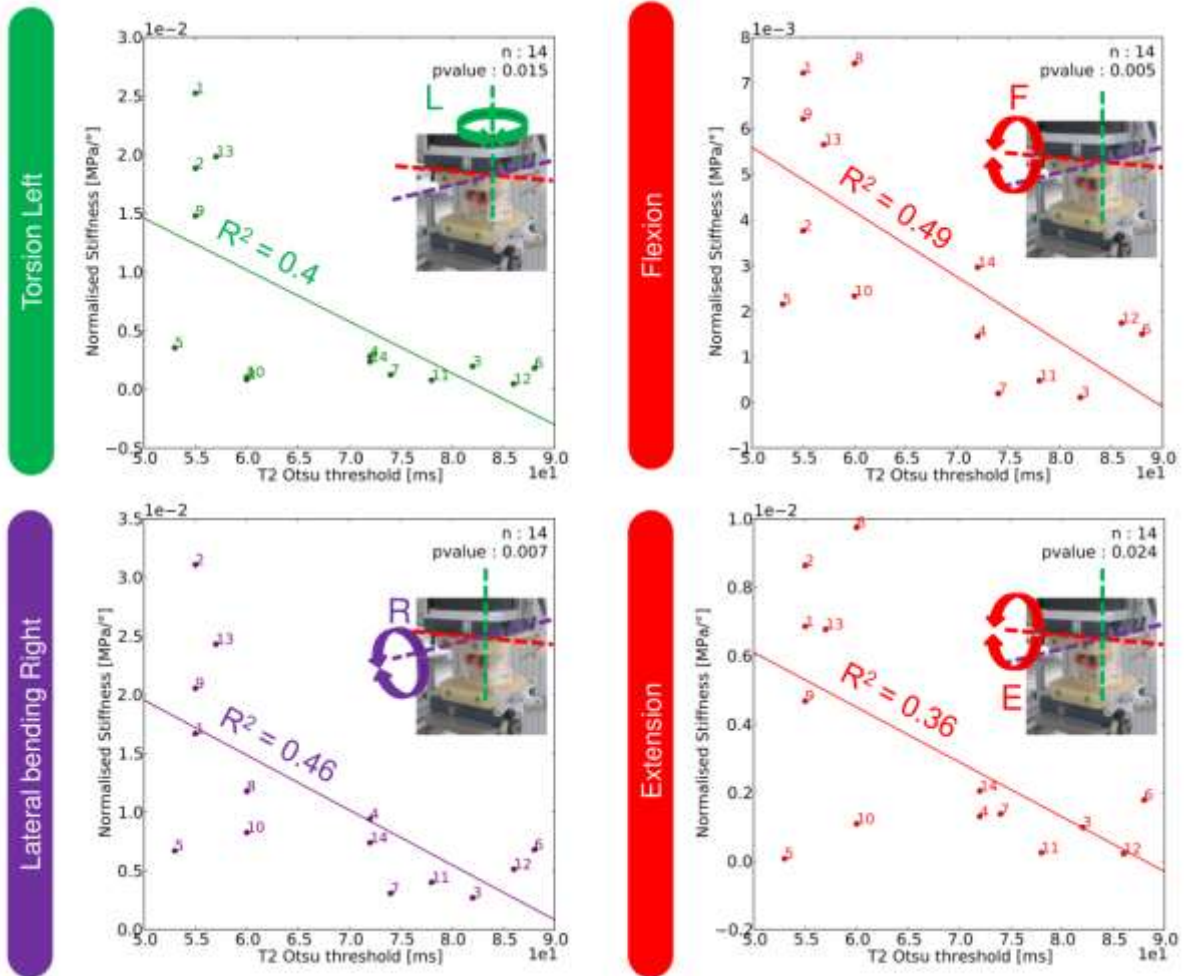
513

514 Fig. 2. Coefficient of determination (R^2) between the T_{OTSU} measured in the annulus fibrosus

515 and Thompson (a), Benneker (b) and Watanabe (c) grading schemes. Each specimen is

516 numbered from 1 to 14.

517



518

519 Fig. 3. Coefficient of determination (R^2) between the T_{OTSU} measured in the posterior annulus
 520 fibrosus and apparent moduli of the rotational and bending tests. Each specimen is numbered
 521 from 1 to 14.

522

Table 1. Consensus classification grades / scores.

523

		Specimen #	1	2	3	4	5	6	7	8	9	10	11	12	13	14
Thompson	Assessor 1	1	1	4	3	2	1	2	3	1	1	3	3	2	3	
	Assessor 2	1	1	4	3	2	2	1	3	2	1	3	4	2	4	
	Consensus	1	1	4	3	2	2	2	3	2	1	3	3	2	3	
Benneker	Assessor 1	0	1	13	12	0	6	3	8	1	1	8	8	2	15	
	Assessor 2	0	1	14	12	0	3	3	10	4	0	9	9	3	16	
	Consensus	0	1	14	12	0	6	3	9	4	0	8	9	2	15	
Watanabe	Assessor 1	1	2	3	3	1	2	2	3	1	1	3	3	2	4	
	Assessor 2	1	2	4	3	1	3	3	4	2	1	4	3	2	4	
	Consensus	1	2	4	3	1	3	2	3	2	1	3	3	2	4	

524
525
526

Table 2. Coefficients of determination (R^2) computed between the degeneration grading systems, apparent moduli and values computed from the T_2 maps (mean T_2 and Otsu threshold) are represented. The significant values are bold ($p < 0.05$). Data of higher interest are highlighted in blue.

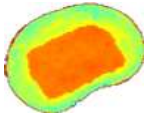
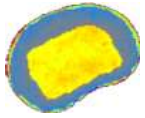
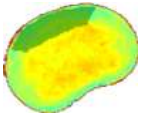
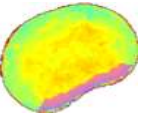
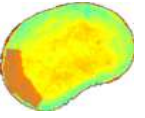
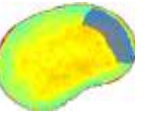














	Age															
		Thompson	Benneker	Watanabe	Mean	Th.	Mean	T_{OTSU}	Mean	Th.	Mean	T_{OTSU}	Mean	T_{OTSU}	Mean	T_{OTSU}
Age	-	0,08	0,12	0,16	0,17	0,16	0,12	0,13	0,07	0,10	0,12	0,06	0,06	0,21	0,19	0,02
Thomp.	0,08	-	0,80	0,73	0,00	0,00	0,19	0,43	0,27	0,00	0,20	0,37	0,03	0,55	0,06	0,09
Benne.	0,12	0,80	-	0,90	0,00	0,02	0,23	0,52	0,27	0,03	0,21	0,42	0,03	0,62	0,14	0,15
Watan.	0,16	0,73	0,90	-	0,01	0,05	0,24	0,52	0,26	0,05	0,24	0,50	0,06	0,52	0,12	0,29
K_C	0,15	0,36	0,16	0,14	0,01	0,00	0,03	0,04	0,04	0,00	0,03	0,01	0,00	0,06	0,01	0,05
K_T^L	0,00	0,35	0,28	0,23	0,04	0,02	0,27	0,38	0,24	0,06	0,38	0,40	0,13	0,22	0,15	0,09
K_T^R	0,00	0,42	0,33	0,24	0,06	0,03	0,33	0,46	0,35	0,10	0,38	0,36	0,16	0,26	0,16	0,07
K_B^L	0,01	0,26	0,16	0,09	0,11	0,13	0,31	0,42	0,37	0,04	0,32	0,37	0,17	0,25	0,09	0,09
K_B^R	0,01	0,32	0,22	0,13	0,10	0,10	0,30	0,42	0,33	0,02	0,36	0,46	0,14	0,26	0,10	0,09
K_F	0,00	0,14	0,10	0,12	0,02	0,01	0,16	0,24	0,14	0,02	0,33	0,49	0,08	0,13	0,01	0,13
K_E	0,00	0,12	0,08	0,03	0,17	0,19	0,19	0,23	0,17	0,01	0,32	0,36	0,07	0,17	0,06	0,02

Table A1. Degeneration grades, T₂ maps, Mean, Standard deviation, Otsu threshold and Δ (Mean_{nucleus} - Mean_{annulus}) computed for the specimens as well as their apparent moduli for each load case. The compressive apparent modulus is in MPa, the apparent modulus in torsion, lateral bending, flexion and extension are expressed in kPa/°. The data is organised by increasing Thompson grade.

#	Scale			Level	Age	Map	Quantitative T ₂ data (ms)															Apparent modulus (Comp.[Mpa], else [kPa])										
	Thom.	Benn.	Wata.				Nucleus			Annulus			Δ	AF Anterior			AF Posterior			AF Left			AF Right			Comp.	Torsion		Bending		Flex.	Ext.
							Mean	SD	Th.	Mean	SD	Th.		Mean	SD	Th.	Mean	SD	Th.	Mean	SD	Th.	Mean	SD	Th.		K _C	K _T ^L	K _T ^R	K _B ^L		
1	1	0	1	L2-L3	63		83.90	6.79	83	59.36	11.59	58	24.54	59.02	11.16	58	57.58	15.15	55	59.21	8.37	59	61.38	15.15	61	4.71	25.3	20.66	13.57	16.68	7.22	6.86
2	1	1	2	T12-L1	70		60.08	4.67	59	53.42	9.81	54	6.66	48.62	11.06	67	56.81	5.58	55	52.65	5.91	52	56.10	5.58	65	3.33	18.9	17.17	33.3	31.08	3.76	8.63
10	1	0	1	L2-L3	71		85.10	9.43	83	64.73	13.62	63	20.37	59.91	12.94	59	69.12	15.64	60	62.57	10.84	61	68.00	15.64	66	2	1.04	3.93	4.79	8.26	2.33	1.1
5	2	0	1	L4-L5	63		79.85	11.70	78	51.54	9.59	52	28.31	51.00	9.93	53	54.34	11.41	53	53.52	8.26	52	47.95	11.41	37	0.43	3.54	4.47	5	6.71	2.15	0.08
6	2	6	3	L4-L5	68		74.52	7.80	74	64.72	15.84	68	9.80	58.09	10.22	57	74.66	23.93	88	64.75	11.47	68	63.76	23.93	82	2.49	1.82	8.21	7.53	6.8	1.5	1.79
7	2	3	2	L2-L3	68		76.35	7.37	76	62.12	9.32	60	14.22	56.36	8.54	56	71.24	8.14	74	62.54	7.00	61	62.56	8.14	60	1.96	1.25	3.18	1.63	3.08	0.2	1.38
9	2	4	2	T12-L1	71		75.34	6.38	74	54.39	10.60	53	20.95	48.53	9.26	45	60.31	10.61	55	56.28	8.42	57	54.00	10.61	50	0.83	14.79	14.71	22.29	20.55	6.21	4.68
13	2	2	2	T12-L1	89		74.08	9.58	78	56.57	8.90	54	17.51	51.11	9.12	50	60.59	6.42	57	60.17	9.05	57	57.47	6.42	57	0.12	19.83	18.5	24.07	24.31	5.65	6.77
4	3	12	3	L4-L5	70		74.42	11.27	73	62.12	11.61	63	12.30	56.74	8.47	53	70.48	7.95	72	66.34	12.87	71	57.21	7.95	55	1.21	2.81	5.34	12.3	9.42	1.45	1.31
8	3	9	3	T12-L1	68		66.76	5.57	66	63.12	9.24	65	3.64	61.86	8.41	63	63.39	10.47	60	64.68	10.88	68	63.22	10.47	64	1.45	0.84	2.92	9.21	11.77	7.43	9.75
11	3	8	3	L4-L5	71		82.69	9.51	82	87.44	19.73	82	-4.75	89.75	29.77	86	89.05	15.67	78	84.09	9.07	82	86.21	15.67	78	0.47	0.79	1.16	1.88	4.03	0.48	0.25
12	3	9	3	L4-L5	89		130.65	45.55	103	75.53	20.60	78	55.12	72.46	26.66	76	84.56	14.53	86	83.53	19.74	82	74.44	14.53	74	1.94	0.46	1.53	3.54	5.13	1.74	0.22
14	3	15	4	L2-L3	87		72.43	9.95	74	72.30	15.33	76	0.13	71.81	13.69	75	71.02	11.76	72	82.63	17.63	81	61.78	11.76	60	0.52	2.35	2.73	5.39	7.4	2.96	2.05
3	4	14	4	L2-L3	70		61.48	5.88	61	60.32	26.38	72	1.16	63.10	26.65	40	64.92	26.62	82	57.11	22.34	39	55.47	26.62	80	0.91	1.97	2.49	1.03	2.7	0.11	0.99