

Studia Anglica Posnaniensia 42, 2006

THOU AND YE: A COLLOCATIONAL-PHRASEOLOGICAL APPROACH TO PRONOUN CHANGE IN CHAUCER'S CANTERBURY TALES

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ABSTRACT

Chaucer's use of the singular or plural form of the second person pronoun to address a single person in his *Canterbury Tales* usually follows the established standards of his time. However, some ninety instances of pronoun switching do occur, and explanations drawing on pragmatic parameters, rhyme and textual corruption have not been able to explain all of these deviations. Complementary to these approaches, this paper offers a novel explanatory hypothesis. The "collocational-phraseological hypothesis" suggested here takes into account the force of the syntagmatic relationship of words. On the basis of an original electronic compilation of *all* instances of pronoun switches in the *Canterbury Tales* and a classification according to three main types, we argue that frequently and/or habitually used lexical combinations (collocations, formulae, quotations) can account for a significant number of the cases in question.

1. Introduction

Towards the end of the fourteenth century, the use of the second person plural pronoun to address a single person was generally determined by sociopragmatic conditions such as status and social distance (cf. Finkenstaedt 1963: 73-74; Burnley 2003). Chaucer's literary use of the personal pronouns *thou* and *ye* (henceforth: T and Y; including inflected forms) usually accords well with the practices of the time. Skeat's (1894: 175) assessment of that general practice has basically remained unchallenged: Y was reserved for the address of a servant to the lord, for compliment, to express honour, submission or entreaty. However, it has also been noted for a long time that sudden changes of the pronouns in the conversation of the same pair of speaker and listener do indeed occur in Chaucer's works. The *Canterbury Tales*, on which this paper concen-

trates, show a considerable number of such cases. The following example from a speech by the yeoman to the summoner in *Friar's Tale* (1397-1402) is an illustration of the change in question. It shows a single deviation from the singular (*thyn* etc.) to the plural (*yow*) in verse (1399):

I am unknowen as in this contree;
Of thyn aqueyntance I wolde praye thee,
And eek of bretherhede, if that yow leste.
I have gold and silver in my cheste;
If that thee happe to comen in oure shire,
Al shal be thyn, right as thou wolt desire.
[I am not known in this country;
I wish to ask you of your acquaintance
and also of sworn brotherhood, if you wish.
I have gold and silver in my chest;
If you happen to come to our shire,
all shall be yours, just as you wish.]

Striking deviations from the norm such as this one seem at first sight to be rather irregular and arbitrary. What complicates the picture is that next to single deviations such as in (1), complete changes of the paradigm or utterly irregular switchings in both directions can be found. Scholarship has so far mainly concentrated on affective-situational or other pragmatic explanations to account for all these changes. In particular, developments in the attitude of the speaker towards the addressee in the course of the text have repeatedly been claimed as the motivating factor for the changes. Demands of rhyme or textual corruption can be held responsible in a few cases; and from time to time reference to "formulaic phrases" has been made. Section 2 of our paper is a brief review of earlier approaches which shows that these explanations fall short of accounting for all of the instances of pronoun change.

We suggest that the syntagmatic relationship of words had an impact on the choice of the pronouns of address. In particular, we argue that frequently and/or habitually used lexical combinations could influence the choice regardless of micro- or macropragmatic considerations. It will be shown that this line of investigation, which we call the "collocational-phraseological hypothesis" and

¹ For pronoun shifts in *Troilus and Criseyde*, cf. Walcutt (1935); Johnston (1962); Finkenstaedt (1963: 77-84); Shimonomoto (2001: 7-8).

All quotations in this paper are taken from Benson (1988); emphases added.

which will be more fully introduced in section 3, can usefully complement pragmatic explanations. Our investigation rests on a consideration of *all* changes of pronoun of address in Chaucer's *Canterbury Tales*, which are systematicized and catalogued in section 4.³ Cases where the collocational-phraseological force was paramount in the choice of the pronoun of address are discussed in section 5. In the concluding part we give a general account of the explanatory power of our hypothesis for the cases studied and discuss some implications of our results.

2. Earlier approaches to pronoun change in Chaucer

The striking switching between formal and informal pronouns of address for a single person has become something of a brain-teaser for linguistic Chaucer research. Of course, scholars working on this topic assume that there is indeed a proper solution to the problem and that Chaucer did not alternate between the forms at random. Agreeing with Blake who states that "we cannot be certain that all these switches are significant" (1992: 539) at all would mean to admit to the inadequacy of the explanatory power of linguistics. More than a dozen studies on the pronoun changes in the *Canterbury Tales* show that the question is not deemed to be settled. In the recent past some extensive studies have been presented which put forward new and interesting suggestions.⁴ The following explanation patterns have been brought forward.

First, a rather technical matter which is pointed out in Nathan (1956: 41-42) must not be ignored (cf. also Koziol 1943: 174; Kerkhof 1982: 228). Our text, that is the electronic Robinson (1957) and the printed Benson (1988) version, may contain *scribal errors*. Nathan has suggested this explanation for example (1), where Benson's reading draws on Ms. Hengwrt, while other manuscripts – as well as the second edition of the Caxton print, which is believed to be based on a good manuscript – stick to T. Some of the supposed changes may in fact be due to scribal variation; these are questions for textual critics. But Nathan's plea seems to be inspired by the attempt to vindicate Chaucer and to discuss away supposed "errors" (1956: 42: "a slip of Chaucer's pen"; but see Jucker – Taavitsainen 2003: 12; Jucker 2006: 58).

In other cases, a less controversial explanation presents itself. Where a pronoun stands at the end of a line the *demands of rhyme* can account for a pronoun

³ We concentrate on changes in the pronoun use in the speech of the same communication partners. Hence we do not take account of (supposedly) "incorrect" but consistent pronoun choice. For our purposes, we consider the *Canterbury Tales* as a homogeneous piece of art despite its complicated genesis and related problems (cf., e.g., Benson 1988: xxv).

⁴ Cf. Shimonomoto (2001), based on her 1986 Sheffield M.A. thesis; Honegger (2003); Mazzon (2000); Jucker (2006).

change (cf. Shimonomoto 2001: 44-45; Karpf 1930: 43, 45). In cases such as *Nun's Priest's Tale* (3351) metrical demands seem to be a fairly plausible explanation for the change (3350-3352):

Why ne hadde I now thy sentence and thy loore, The Friday for to chide, as diden ye? For on a Friday, soothly, slayn was he. [Why did I then not have your judgement and your learning to scold the Friday, as you did? For on a Friday, it is true, he was murdered.]

Pragmatic explanations have been the focus of attention (cf. Burnley 1983: 19-22; Honegger 2003; Mazzon 2000; Shimonomoto 2001). Adopting from Hope (1993) the distinction between macro- and micropragmatics, we can describe as macropragmatically based those changes where the social relationship between speaker and addressee changes in the course of the text (comparable to the power semantics in Brown – Gilman's seminal article). This may account for the conversion to Y in Wife of Bath's Tale (1088), where the olde wyf addresses her husband after their marriage (cf. Shimonomoto 2001: 36; Kerkhof 1982: 229). The micropragmatic explanation, though, is more relevant. Here the immediate linguistic and non-linguistic factors of a conversation are concerned. Friar's Tale (1584-1623), where a summoner pesters an old widow to give him money, is a good example. She uses the formal Y-pronoun towards him. As the summoner becomes more and more obtrusive and confronts her with outrageous and completely made-up accusations (1616), the widow loses her patience in (1618) (cf. Nathan 1956: 40; Shimonomoto 2001: 11-12; Jucker 2006: 68):

3) "*Thou* lixt!" quod she, "by my savacioun...

["You are lying!" she said, "by my salvation...]

These changes have alternatively been viewed as affective, emotional, emotive, expressive or situational (cf. Becker 2003: 163), and also interactional (cf. Jucker 2006). Virtually all scholars refer to the (micro-)pragmatic level in trying to make changes of the emotional attitude between speaker and addressee plausible.

Given the social dimension of address there is no doubt as to the applicability of pragmatics in dealing with pronoun changes. The explanations given so far are nonetheless not always satisfactory. Pragmatists have not been able to

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⁵ Cf. Brown – Gilman (1964), first published in 1960. Our use of the terms macro- and micro-pragmatics is not congruent with Campbell's (1981: 101).

explain all changes convincingly, as Mazzon concedes: "of course, it is difficult to justify all switches pragmatically in any precise way, especially given the distance in time and *Weltanschauung* that separates us from this work" (2000: 139-140). Shimonomoto concludes her chapter on pronoun changes with the observation that "[w]e should admit there are still a few uncertainties concerning Chaucer's use of *ye* and *thou*" (2001: 45). Where no pragmatic explanation is evident, sometimes complex inferences about the socio-cultural background and the speakers' intentions are made. Honegger (2003), for instance, shows that sometimes many factors have to be taken into consideration in order to suggest an explanation. This is particularly evident in his reconstruction of the reasons for Palamon's "confuse" switching in his address to Venus (cf. Honegger 2003: 68-69, 75-78). However, for the reasons mentioned before we have to be aware at all times of Finkenstaedt's warning of a "Beugung des Textes oder überspitzt raffinierte[n] Deutungen" [distortion of the text or exaggeratedly sophisticated interpretations] (1963: 75; cf. also Burnley 2003: 31).

Lastly, sporadic reference to "formulaic phrases" and the like can be found as early as in Kennedy's 1915 study (1915: 84) on the thirteenth century, and then also in Koziol (1943: 172), Harley (1988: 5), Miller (1992: 152), Shimonomoto (2000: 114; 2001: 13), and recently most explicitly in Mazzon (2000: 155, 158) and Burnley (2003: 30-31, 33). Systematic investigation of this aspect has, however, not yet been attempted.

3. The collocational-phraseological hypothesis

Our collocational-phraseological hypothesis claims that certain lexical cotexts may trigger the choice of the pronoun. This hypothesis is supported by the observation that even in a language with a rigid T/Y-system such as Modern German, particular cotexts in fixed or partly fixed expressions may prefer or even demand one of the pronouns. Examples are: *Wie du mir*, *so ich dir* (saying, T only), *Du ahnst es nicht!* (general T), *Du sollst nicht töten* (maxim, general T), *das kannst du dir abschminken* (T dominant), *wenn Sie gestatten* (Y dominant). The appropriate use of the last two examples in Modern German, however, depends on the register, which is in turn based on extra-linguistic factors such as the power relationship or the degree of familiarity between the speakers. We suggest that in a language with a non fully rigid T/Y-system, such as the Middle English of the later 14th century, lexical co-selection constraints could have

⁶ Wie du mir, so ich dir 'an eye for an eye; tit for tat' (literally: 'As you [do] to me, so I [do] to you'); Du ahnst es nicht! 'Would you believe it?' (literally: 'You do not guess this'); Du sollst nicht töten 'You shall not kill' (cf. Thou shalt not kill); Das kannst du dir abschminken 'You can forget about that' (literally: 'You can remove this [like make-up]'); wenn Sie gestatten 'if you permit'.

exercised a significant impact on the pronoun choice. At least in literary texts such as the *Canterbury Tales*, the effect of this purely syntagmatic force could be restricted to the brief insertion of a deviant pronoun of address, but it could also lead to a general conversion of the paradigm in the conversation between the same pair of speaker and addressee.

In our attempt to pin down what exactly characterizes such combinations of T/Y-pronouns with particular cotexts and how they can be studied, we take recourse to two complex and much-debated lines of scholarship with different, yet interrelated approaches to preferred word combinations. One is the research on collocations in the tradition of British contextualism, the other, which has an equally long history, is phraseology. Needless to say, we do not attempt to discuss a general synthesis of the two approaches with their varied definitions and terminology for our practical purpose. But it does seem feasible to point out some of the postulated core features of collocations and phraseological units in order to explain the effect of the syntagmatic lexical force as it is suggested here.

In his basic definition. Sinclair characterized collocation as "the occurrence of two or more words within a short space of each other in a text" (1991: 170). Typically, these co-occurrences are frequently repeated or statistically relevant in a corpus. 8 In this sense, they may be understood as lexical items habitually used together or in close proximity to one another. In our context, a direct syntactic relationship of the members is given. Collocations with a pronoun are not easily situated in the models that have been suggested for Modern English. The cases under consideration here may best be characterized by the frequent association of a node (e.g. the Middle English word for to tell) with a member of a certain category, that is, one of the two second person pronouns (you). In this description collocations such as tell and you may be compared, for instance, to the lexicogrammatically constrained collocation of the adjective rancid with butter or fat rather than, say, bread (on this case, cf., e.g., Moon 1998: 27), or stamps and collect rather than gather. The difference is, of course, that the choice is limited because the collocate is a pronoun, and as such a member of a closed class. We suggest that the frequent coupling of the T-pronoun with

⁷ In addition, "idioms" in one or the other definition – a concept with a partial overlap with collocation and phraseology – have also been studied in their own right.

⁸ Cf. also Moon (1998: 26) and the minimal consensus that Bartsch found for the conceptions of collocations: "frequently recurrent co-occurrences of lexical items" (2004: 65).

⁹ Other classes of collocations which have been suggested are lexical versus grammatical ("Grammatical collocations consist of a dominant word – noun, adjective/participle, verb – and a preposition or a grammatical construction"; Benson – Benson – Ilson 1997: ix), syntactic (e.g. had been, one of, many – of), or paradigmatically related co-occurrences such as jam and marmalade, apricot (for a short summary, cf. Moon 1998: 26-27).

terms for body parts, for instance, leads to a collocational force and the preferred selection of T by the noun, or the frequent co-occurrence of a form of mowen and Y may render this connection preferable regardless of semantic and pragmatic considerations.¹⁰

One constituent of a collocation may possess a semantic feature different from those actualized in all or most other contexts (such as to jog in to jog one's/somebody's memory 'remind somebody of/about something'). In many studies of collocation, especially in lexicographically oriented ones, this restriction is an obligatory feature.¹² In the terminology of some phraseological studies like for instance those by Gläser (1986, 1998) and Cowie - Mackin - McCaig (1983 [1993]: xiii), these units are called "restricted collocations", ¹³ a sub-type of phraseological units. Phraseological units are here understood as two or more lexical items in syntactic relation with one another. They are lexically and syntactically relatively stable semantic or pragmatic units which are habitually reproduced rather than produced. In a structural perspective, they may allow lexical variation (e.g. clear as crystal/day) and syntactic transformations. Depending on their degree of idiomaticity, which is located along a cline, they may show semantic anomalies (e.g. to kick the bucket 'to die'), lexical (e.g. to peter out 'to dwindle to nothing') or syntactic ones (e.g. to trip the light fantastic). 14 All of these phraseological units are covered in some collocation studies such as Bartsch's (2004). Frequently used formulae such as *Happy birthday!* may also be seen as belonging to this area of overlap between collocation and phraseology.

However, phraseological research differs from collocation studies as outlined above in at least two respects. Firstly, frequency is no defining criterion of phraseological units. 16 Secondly, phraseological units may be pragmatically non-compositional: They may be as long as whole sentences, such as the proverb One swallow does not make a summer. Therefore, in addition to restricted collocations and other phraseological units which may be employed as equiva-

Collocation studies have repeatedly stressed the factor of "automatic" language use which may run counter to rules applying to the free combination of elements.

All definitions of present-day English phraseological units are taken from Cowie – Mackin – McCaig (1983 [1993]) or Cowie - Mackin (1993).

Cf., e.g., the publications by Hausmann, recently the discussion in Hausmann (2004). From his point of view of lexical functions, Mel'čuk (1998: 29-31) deals with these kinds of units under the term "collocation", a subclass of semantic phrasemes.

Cf. the overview in Cowie (1998: 7).

To trip/dance/tread the light fantastic '(facetious) to dance' from Milton's lines Come, and trip it as ye go/ On the light fantastic toe. In this phraseological unit, a limited choice of collocates is possible for the verb.

The notions of semantic unity and idiomaticity are reflected in Bartsch's (2004: 77) inclusion of an obligatory "element of semantic opacity such that the meaning of the collocation cannot be said to be deducible as a function of the meanings of the constituents" in her definition.

Cf., e.g., Moon (1998: 61), Cowie (1998: 14-15) about pure idioms.

lents of single words in a sentence, units of sentence length can also be gathered under the cover term phraseology. The main classes in Gläser's categorization (1986: chs. 5 and 6) are proverbs and fragments of proverbs (e.g. *a new broom [sweeps clean]*), commonplaces (e.g. *We live and learn*), quotations and allusions to well-known texts ("winged words" such as *The time is out of joint*, from *Hamlet*), maxims (e.g. *Do it yourself*), commands (e.g. *Thou shalt not kill*), slogans (e.g. *Safety first*), and habitually used routine formulae (e.g. *How do you do?*). Many of our examples belong to this large subclass of phrase-ological units. ¹⁸

For our study of preferred and fixed lexical co-occurrences with pronouns of address, a combination of the partly overlapping and mutually complementing concepts of collocation and phraseology as briefly outlined above is suggested. In the absence of a more elegant term, we refer to it as "collocational-phraseological". What is important for our approach is that both collocations and phraseological units possess a high degree of syntagmatic force which leads to the co-selection of lexical items.

4. Method of investigation and an inventory of pronoun changes in the *Canterbury Tales*

The first step in our study was a frequency count in the *Canterbury Tales* on the basis of recent corpus-linguistic technology. We searched the electronic version of the text provided by the *Corpus of Middle English verse and prose* for the collocates of the pronouns of address using a concordancer. Oizumi's lexical concordance (2003), which lists all orthographic variants, was indispensable in doing so. Only explicit pronouns were counted, inclusive of 150 clitics. Pronoun changes which are implied in a change of verbal endings (cf. Elliott 1974: 383; Karpf 1930: 45) were not taken into consideration, such as the use of *beth* by Chaucer the Pilgrim to the Host in the Prologue to *Sir Thopas* (707) (and T in 926).

In order to verify the collocational-phraseological hypothesis, a complete list of all pronoun changes in the *Canterbury Tales* was needed. Identifying these changes is not as straightforward as it might appear. The main problems and our solutions will now be briefly considered.

¹⁷ Cf., e.g., Mel'čuk's (1998: 29) notion of "pragmateme", and Makkai's group of "sememic or cultural-pragmemic idioms" (1972: ch. 1.3.5).

Paraphrases of the more idiomatic and perhaps not frequently used of these examples: *One swallow does not make a summer* 'one fortunate incident etc. should not be taken to mean that the general situation has improved or is about to'; *a new broom (sweeps clean)* 'somebody recently appointed to office or a responsible post (starts with an energetic programme of reform and change, sometimes not welcomed by those already there)'.

The first problem is met in passages in which it is uncertain who the actual interlocutors are (who addresses whom?; cf. Burnley 2003: 30), given that tacit switchings to plural reference are possible. An example which may illustrate this problem is the change to Y in the Prologue to the *Wife of Bath's Tale* (188), where she addresses the Pardoner. Does this plural form already refer to *al this compaignye* addressed in (189), and is this the reason why this example has never been mentioned in earlier studies? However, the Wife of Bath definitely refers to the previous utterance by the Pardoner at this place ("sith it may yow like"), and there is a contrast to (189) ("But yet I praye to al this compaignye"). ¹⁹ Therefore (188) is included in our list.

Another critical point is to determine a "basic form" of address between two interlocutors in some passages. Sometimes it is indeed not possible to establish such a normal, basic form, and each change has to be counted individually (cf. Type 3, below).

Furthermore, an individual (directed) and a universal (non-directed) use of the pronoun has to be distinguished. In this context, the pronoun use in Prudence's address of her husband in the *Tale of Melibee* is remarkable, and it is indeed interesting to see how freely she switches back and forth, sometimes within one sentence (1175, 1212, 1220; cf. in more detail Mazzon 2000: 155-160; also Pakkala-Weckström 2004: 164). These are cases of a switching between an individual Y-form and a universal T-form, or also T-forms reminiscent of universal T in the sermon-like tone of the passages. ²⁰ Some of the eleven instances of switching in Prudence's address of Melibee may be considered as triggered by formulae structuring the text, in particular thou shalt (1175, 1210, 1220, or *shaltou* 1212; allusion to maxims)²¹ and "whan ye han examyned youre conseil" (1211, 1222). As no clear case for the collocationalphraseological argument can be made in this particular communication situation, and the universal T is a distinct type of pronoun, we decided to not take these switches into account. Further examples of this transition from individual to universal pronouns (and vice versa) abound in the whole work, and some occur at a point of pronoun change.²² Still, we do not regard them as pronoun

¹⁹ Strangely, in (711) the Wife even uses the T-form to address the pilgrims (cf. Shimonomoto 2001: 44: "demands of rhyme"; see also Nathan 1959: 199).

Difficulties in interpreting these forms occur in passages such as (1002-1003) or (1410-1427), where the "tone of sermon" with universal T is predominant, but where some pronouns unequivocally refer to Melibee: "Thy name is Melibee" (1410) and "thy three enemys been entred into thyn house by the wyndowes/ and han ywounded thy doghter in the forseyde manere" (1425-1427).

²¹ Cf. also the change in *Canon's Yeoman's Tale* (1290). On a brief discussion of the collocation of *shal* with T, cf. section 5.2, below.

Lenvoy de Chaucer at the end of the Clerk's Tale (Chaucer to all women): (1200) Y \rightarrow (1202) T; Merchant's Tale (Justinus to Placebo): (1530) Y \rightarrow (1535) T, Pardoner's Tale (Par-

changes in our sense and thus do not include them in our list.

In order to be able to do justice to these problems, we distinguish between three types of changes which we call "insertion", "conversion" and "switching". In the case of an insertion, there is no change of paradigm of second person pronouns. The term conversion refers to cases which indicate such a change. In switching, no basic form is recognizable according to the micro- and macro-pragmatic analysis; the changes are irregular and insertion may be combined with conversion.

As versified language is denser than prose, each instance of pronoun change was allowed to entend over maximally a rhyming couplet or two consecutive lines. This convention has two entailments. For one thing, an "insertion" may be as long as two lines, and "conversion" refers to changes of more than two lines in extension. Secondly, "regressive" changes were admitted in three cases. Here, the collocational-phraseological trigger appears slightly later than the first change, either in the same line (*Miller's Tale* 3287), in a rhyming couplet (*Knight's Tale* 2249-2250) or in two consecutive lines (*Canon's Yeoman's Tale* 1119-1120), respectively.

Type 1: insertion, i.e. deviation of a single line or rhyming couplet, or, respectively, two consecutive lines

Type 1A: single insertion, e.g. T-T-T-Y-T-T (1 change)

Type 1B: multiple insertion, a block (passage longer than two lines) at the end is possible, e.g. T-T-T-Y-T-T-T-Y-T-T-T...(-Y-Y-Y) (*n* x 1 changes)

Type 2: conversion, i.e. general change of the paradigm Type 2A: single conversion, e.g. T-T-T-Y(-Y-Y) (1 change)

Type 2B: double conversion, e.g. T-T-T-Y-Y-Y-T-(T-T) (2 changes)

Type 3: switching, i.e. irregular alternations, e.g. T-T-T-Y-Y-Y-T-T-T-T-Y-Y-Y-T-T-T-T-T... (*n* changes)

Table 1 is a list of all initial points of pronoun changes between T and Y which we identified in the *Canterbury Tales*. In the last column, the type of change is indicated. Line numbers printed in italics indicate places where we suggest a collocational-phraseological trigger. These cases will be discussed in the following section.

Table 1. Instances of second person pronoun change

Tale	Speaker \rightarrow Addressee: lines T/Y-form	Type
KnT	widow → Theseus: 920 T, 927 Y, 930 T	3
	Palamon \rightarrow goddess Venus: 1105 Y, 2237 Y, 2249-50 Y, 2254 Y	1B
	Emilye \rightarrow goddess Dyane: 2312 Y	1A
MilT	Alison → Nicholas: 3287 Y, 3722 T	2B
	Nicholas → Alison: 3297 Y	2A
	Absolon \rightarrow Alison: 3362 Y, 3726 T	2B
	Gerveys → Absolon: 3781 T	1A
RvT	Aleyn → John: 4044-45 Y (rhyme position)	1A
	John → Symkyn: 4128 T	2A
MLT	Custance \rightarrow her father: 1105 Y (after Custance \rightarrow her mother Y, 276-ff.)	2A
WBTP	Wife of Bath \rightarrow Pardoner: 188 Y	2A
	Wife of Bath \rightarrow husband: 241 Y, 331 Y, 369 Y, 434 Y	1B
	Husband → Wife of Bath: 319 Y	2A
WBT	olde wyf \rightarrow knight: 1009 T, 1012 Y, 1015 T, 1088 Y (rhyme position)	3
	knight → olde wyf: 1100 T	1A
FrT	yeoman (= devil) \rightarrow summoner: 1399 Y, 1567 Y	1B
	summoner → yeoman (= devil): 1444 T, 1526 T	1B
	old widow \rightarrow summoner: 1618 T, 1623 T	1B
SumT	friar \rightarrow Thomas: 1785 T, 1832 Y, 1944 T, 1955 Y, 1970 T, 1974 Y, 1985 T, 1999 Y, 2089 T, 2112 Y (rhyme position), 2154 T	3
	Thomas \rightarrow friar: 2131 T	2A
ClTP	$Host \rightarrow Clerk: 14 T$	1A

G. Knappe – M. Schümann

ClT	Walter \rightarrow Grisilde: 483 T, 492 Y, 890 T			
MerT	Januarie → May: 2141 T, 2169 Y, 2367 T	3		
SqT	Franklin → Squire: 686 Y	2A		
FranT	Dorigen → God: 867 Y, 879 T, 881 Y	3		
	Aurelius \rightarrow god Phebus: 1041 Y, 1077 T	3		
	Arveragus → Dorigen: 1482 T	1 A		
ShipT	merchant \rightarrow his wife: 384 Y, 395 T	2B		
PrTP	Prioress \rightarrow Mother of God: 486 Y	2A		
Mel	an advocat → Melibee: 1026 T	2A		
	Melibee → Prudence: 1233 Y, 1262 T, 1427 Y	3		
MkT	son/children → father: 2451 T	2A		
MkTP/				
NPTP	Host \rightarrow Monk: 1932 T, 2788 Y	2B		
NPT	Chauntecleer → Pertelote: 3106 T, 3121 Y	2B		
	narrator → Gaufred (Geoffrey of Vinsauf): 3351 Y (rhyme position)	2A		
	Chauntecleer → fox: 3428 T	2A		
NPTE	Host \rightarrow Nun's Priest: 3460 Y	2A		
SNT	Urban → God (Christ): 199 Y (rhyme position)	2A		
	Cecilie → Almachius: 463 T	2A		
CYT	canon → priest: 1047 Y, 1119-20 T, 1125 Y, 1153-54 T, 1181 Y, 1236 T, 1250 Y, 1290 T, 1327 Y, 1360 T, 1361 Y	3		
MancTP	Manciple \rightarrow Cook: 42 Y	2A		
ParsTP	$Host \rightarrow Parson: 68 Y$	2A		

5. Discussion of the data

As postulated in section 3, above, instances of pronoun change must fulfill the criteria of frequency of co-occurrence and/or semantic/pragmatic unity in order to be identified as influenced by the collocational-phraseological force in language. The 31 passages in the *Canterbury Tales* which meet these criteria fall into three groups. The first is marked by frequency (collocations) but not by semantic/pragmatic unity. The second group in contrast is characterized by fulfilling the requirements of phraseological units (formulae, sterotyped comparison and [fragments of] quotations) but not by frequency. And a third one (routine formulae / collocations) fulfills both criteria of frequency of co-occurrence and semantic unity. This will be discussed first.²³

5.1. Routine formulae / collocations

The routine formula by youre leve 'with your consent, if you please' occurs ten times and exclusively with Y in the Canterbury Tales. The same is true of the formula of/for youre curteisye 'kindly, if you please' (3 instances).²⁴ The change from T to Y in the Wife of Bath's address to her husband in the Prologue to the Wife of Bath's Tale (331), and in the speech of the fictitious friar to Thomas in Summoner's Tale (2112) (in rhyme position) are thus explainable. As noted in section 4, above, Alison's change to Y in her conversation with Nicholas in Miller's Tale (3287) can be explained by a regressive effect of the formula for youre curteisye.

In the formula of request *I* (*we*) *prey thee/yow* 'I beseech you' (in parenthetical phrases expressing deference, earnestness, etc.), Y once more outnumbers T (62 : 14). The formula is not as fixed as *by your leve*, for instance, because the verb is movable and an auxiliary can be inserted.²⁵ The change in Absolon's address to Alison is particularly remarkable (*Miller's Tale* 3361-3362); to Karpf (1930: 44) it seems incomprehensible.

²³ Bibliographical references to pragmatically based suggestions in earlier studies and information from the *Middle English dictionary (MED)* are provided for each case. Entry forms in the *MED* and the selection of the quotations have to be treated with care, however, as the compilers did not always pay heed to the form of the second person pronoun.

²⁴ Burnley (2003: 31) mentions *for your curteisie* as a phrase in Chaucer. Cf. also the attestations in *MED*, s.v. *lēve* (n. (2)) (d): T before Chaucer, Y/T after Chaucer; *MED*, s.v. *cŏurteisīe* (n.) 3. (b.): only Y.

²⁵ Cf. *MED*, s.v. *preien* (v. (1)) 5: T before (1390), then Y/T.

4) "Now, deere lady, if *thy* wille be, *I praye yow* that ye wole rewe on me..." ["Now, dear lady, if it be your will, I beseech you to have mercy on me..."]

Nathan (1959: 195) and Koziol (1943: 172) rather consider the T-form in (3361) as deviant, but this argument is weakened by the fact that Absolon uses T in (3726) and (3794-ff.) as well.

Another good example of the collocational-phraseological force of this formula is the prioress's invocation of the Mother of God in the Prologue to the *Prioress's Tale* (467-487) (cf. Shimonomoto 2001: 43; Kerkhof 1982: 231). Mazzon (2000: 138) sees the co-occurrence with nominal terms of address as crucial at the point of transition – however, the address *O blisful Queene* is a few lines away (481), it is followed by T, and at the place in question there is no such term (481-487):

5) My konnyng is so wayk, O blisful Queene,
For to declare *thy* grete worthynesse
That I ne may the weighte nat susteene;
But as a child of twelf month oold, or lesse,
That kan unnethes any word expresse,
Right so fare I, and therfore *I yow preye*,
Gydeth my song that I shal of *yow* seye.
[My skill is so weak, o blissful queen,
in declaring your great excellence
that I cannot sustain the weight
but I behave just like a child of twelve months, or less,
that can hardly utter any word,
and therefore I beseech you,
guide my song that I will sing of you.]

In *Knight's Tale* (2255) (Palamon to Venus: "Thanne preye I thee") T marks a return after one of the four insertions which we identified. The decisive factor for the insertion of Y in (2254) is arguably the respectful nominal address term *my lady sweete*. The decisive factor for the insertion of Y in (2254) is arguably the respectful nominal address term *my lady sweete*.

Shipman's Tale (395) (merchant to his wife) is a counter-example ("I prey thee, wyf, ne do namoore so"). Here and in two further cases of this kind (cf. if

²⁶ See on this passage especially Honegger (2003: 68-69, 75-78); furthermore Shimonomoto (2001: 43); Mazzon (2000: 139); Karpf (1930: 42); Kerkhof (1982: 231).

Lady does co-occur with T, though; cf. Knight's Tale (2260) (as opposed to Shipman's Tale 491), Man of Law's Tale (850-851), Merchant's Tale (2367) and other cases.

thee lest, below), the pragmatic power of the line is particularly intensive – and it might be argued that Chaucer exploited what may be called a "counter-collocational-phraseological" force to heighten this intensity even further.

God thee/yow + verb in the subjunctive is a suitable frame for wish and request formulae. They occur more than twice as often with Y (23) than with T (11). This would explain the change of address of the Host to the Monk in the Prologue to the *Nun's Priest's Tale* (2788).²⁸

In the routine formula (*if/as* etc. *it*) thee/yow like(th) 'if you choose, if you wish' the verb liken predominantly co-occurs with the Y pronoun in the object case (19 Y : 5 T). This can explain the change in the Prologue to the Wife of Bath's Tale (188) discussed in section 4, above.²⁹

Almost synonymous with the phrase *if that yow liketh* is the more frequent (*if/as* etc.) *thee/yow list*, where the predominance of the Y pronoun is equally clear (47 Y : 9 T).³⁰ This formula with predominant Y can account for the changes in *Friar's Tale* (1399), where the yeoman addresses the summoner in example (1), above (cf. Harley 1988: 5; Nathan 1956: 41-42; Shimonomoto 2001: 12-13), and in Aurelius's invocation of Phebus (*Franklin's Tale* 1041), for which Shimonomoto sees "no obvious reason" (2001: 44).

In *Knight's Tale* (2249-2250) (Palamon to Venus), we suggest a regressive change (cf. section 4, above):

6) Youre vertu is so greet in hevene above
That *if yow list*, I shal wel have my love.
[Your power is so great in the high heavens that if you wish I will easily possess my love.]

Two counter-examples are the pronoun changes in *Summoner's Tale* (1985) (friar to Thomas "if thee leste"; cf. Mazzon 2000: 161-163) and in *Canon's Yeoman's Tale* (1360) (canon to priest "if that thee list it have"; cf. Nathan 1959: 197), but they might have been employed for particular pragmatic purposes, as suggested above.

(*I wol/shal* etc.) telle(n) thee/yow is used with diminished force or in emphatic expressions and occurs preferably with the Y pronoun (67 Y : 15 T). Obviously, this is due to the fact that *I wol telle yow* is a frequent metalinguistic

²⁸ Cf. Lumiansky (1955 [1980]: 103). Karpf (1930: 45), Johnson (1977: 74), Shimonomoto (2001: 9) and Kerkhof (1982: 228) comment on the relationship between the Host and the Monk. Kerkhof (1982: 228) wrongly attributes 2768 (speech of the Knight) to the Host. Cf. also *MED*, s.v. *God* (n. (1)) 9. (c): T/Y.

²⁹ Cf. *MED*, s.v. *līken* (v.(1)) 1b.-1c.

Miller (1992: 152, n. 3) has *if that yow leste* as a "standard phrase"; *MED*, s.v. *listen* (v. (1)) 1a-1c. Cf. also Prins (1952: 160) on the influence of French *s'il vous plaît* on *if you please* in Early Modern English.

formula used by the pilgrims addressing the fellow travellers, that is, an illocutionary formula. However, this does not spoil the collocational argumentation insofar as in establishing collocation as used here, it is irrelevant whether a particular pronoun is used to refer to one or more than one person. The changes in *Wife of Bath's Tale* (1012) (old wife to knight) and *Merchant's Tale* (2169) (Januarie to May) fit in well with our explanation. In addition, the French equivalent *je vous dy* in *Summoner's Tale* (1832) (Friar to Thomas) also triggers a change. A similar proportion can be discovered with the semantically related *say* (*to*) *thee/yow* (29 Y : 6 T), which is not relevant for any of the changes, though.

5.2. Further collocations

There seems to be a preference for body part terms to collocate with T.³² This lexical preference may indeed account for some of the identified pronoun changes. To choose an example, *eyen* co-occurs twice as often with *thy* (13) as with *youre* (6). Januarie's move to T towards May in *Merchant's Tale* (2138-2148), taken from the Song of Songs, is a case in point (cf. Shimonomoto 2001: 30; Mazzon 2000: 151-152). Other changes involving the collocation with a body part term are *Friar's Tale* (1623), *Franklin's Tale* (1635), *Summoner's Tale* (2131), *Clerk's Tale* (890), ³³ *Canon's Yeoman's Tale* (1236) (cf. Mazzon 2000: 163-165), and possibly *Canon's Yeoman's Tale* (1119-1120) and (1153-1154) (both regressively).

Mazzon (2000: 155, 158) assumes that there is a tendency for *thou* to co-occur with *shal*, while *ye* takes the allomorph *shullen*. This is certainly true for the *Tale of Melibee*, which she refers to, as well as for the entire *Canterbury Tales*.³⁴ In this case, however, we doubt that the collocational argument is appropriate. Mazzon maintains that *shal* is stronger, *shul(en)* rather tentative. *MED* has nothing about a semantic difference between the forms (cf. *shulen* (v. (1)). The choice of *shal* and *shul* simply seems to be determined morphologically.

The assumption of certain collocations with auxiliaries remains interesting, though. For later centuries Lass (1999: 149) points to this possibility. While no clear picture emerges in the case of *shullen*, the auxiliaries *mowen* and *willen*

On these passages, cf. also Kerkhof (1982: 229); Shimonomoto (2001: 36, 30-31); Mazzon (2000: 151-152). On the collocation in the *MED*, s.v. *tellen* (v.) 4. (e.).

³² Collocates are armes, bak, body, bosom, brestes, cheke, eres, ers, eyen, face, feet, hand, heed, herte, lymes, mouth, tayl, tonge, tooth and visage. Cf. also MED, s.v. thīn (pron.) 1.d., yŏur (pron.) 4a-4b).

 ³³ Cf. Wilcockson (1980: 40-42; 2003: 310); Kerkhof (1982: 229); Shimonomoto (2001: 33).
 34 The numbers for the *Tale of Melibee* are: *ye shal* (3), *thou shalt/shaltow* (34), *ye shul(en)* (43), *thou shul* (0) and *ye sholde* (7).

are more promising with 75 Y: 49 T (*mowen*) and 74 Y: 46 T (*willen*). The collocation with *mowen* may account for *Knight's Tale* (2312) (Emilye to Diana), and the Prologue to the *Wife of Bath's Tale* (369) (Wife of Bath to husband). Willen occurs with *ye* in *Knight's Tale* (2254) (Palamon to Venus), Prologue to the *Man of Law's Tale* (42) (Manciple to Cook), and in four more cases that have already been or will be explained with reference to the collocational-phraseological hypothesis.

5.3. Further formulae, (fragments of) quotations, and a stereotyped comparison

The cases in this group are marked by phraseological force rather than frequency, as far as the text of the *Canterbury Tales* is concerned.

The rare occurrences of the formula *faire* (*be*)*falle* (*to*) *X* 'may X prosper, good luck to X' with a second person pronoun always feature Y. It might have prompted the pronoun changes in the Epilogue to the *Nun's Priest's Tale* (3460) (Host to Nun's Priest) and the Prologue to the *Parson's Tale* (68) (Host to Parson):³⁸

7) "Sire preest", quod he, "now *faire yow bifalle!*" ["Sir priest", he said, "now good luck to you!"]

Heere may ye se 'By this may you see, i.e. understand' in Friar's Tale (1567) is a formula specific to a particular text type, namely the opening of a sermon, according to Shimonomoto (2001: 13). It is originally addressed to more than one person but seems to be responsible for the insertion of Y in this line, where the yeoman (i.e. the devil) addresses the summoner (1566-1568):³⁹

³⁵ On the first passage, cf. Karpf (1930: 43); Kerkhof (1982: 231); Shimonomoto (2001: 43); Honegger (2003: 70-72). On the second passage, cf. Kerkhof (1982: 230).

³⁶ On the first passage, cf. Karpf (1930: 42); Mazzon (2000: 139); Shimonomoto (2001: 43); Honegger (2003: 77). On the second passage, cf. Kerkhof (1982: 228).

This means we can allocate a double collocational-phraseological motivation to *Miller's Tale* (3362), *Wife of Bath's Tale* (1012), *Friar's Tale* (1567), and *Merchant's Tale* (2169).

³⁸ On these passages, cf. also Kerkhof (1982: 227); Lumiansky (1955 [1980]: 108, 110); Shimonomoto (2001: 9). Cf. *MED*, s.v. *bifallen* (v.) 3. (a): Y/T.

³⁹ For another phraseologically-conditioned change in this pair of interlocutors, cf. example (1) and section 5.1., above. On this passage, cf. also Nathan (1956: 42); Miller (1992: 152).

8) "Lo, brother", quod the feend, "what tolde I thee?

Heere may ye se, myn owene deere brother,

The carl spak oo thing, but he thoghte another."

["Lo, brother", said the devil, "what did I tell you?

By this may you see, my own dear brother,

the fellow spoke one thing, but he thought another."]

In contrast, the line *By swiche ensamples olde maistow leere* 'Through these old exemplary stories can you learn' in *Nun's Priest's Tale* (3106), with which Chauntecleer introduces his explanation of the signification of his examples to Pertelote, should be interpreted as general T.⁴⁰ But since T may introduce the exposition in non-homiletic texts, such as fables, ⁴¹ it may possibly be another text-type specific formula. The courtly animals address each other in the polite form in all other instances.

Quotations or fragments of quotations (allusions) play a great role in medie-val literature. Those quotations which are recognizable by the audience as institutionalized expressions are considered part of phraseology. ⁴² In the *Miller's Tale*, Absolon is not consistent in his choice of pronouns for addressing Alison, as we saw in example (4). After that collocationally-phraseologically triggered change, he uses Y in 3698-3707. In 3726, however, he changes back to T, which is then also continued in the later passage (3794-3797):

9) Lemman, *thy* grace, and sweete bryd, *thyn oore!*" [Darling, your favour, and sweetheart, your mercy!"]

Miller's Tale (3726) reflects the popular poetry of the time and also includes an allusion to *sponsa* [*mea*] in the Song of Songs. ⁴³ Chaucer used *ore* in the sense of 'mercy' only at this place (cf. Donaldson 1963: 48). A corresponding formula (*thyn ore!*) can be found in Harley Lyric no. 32 (verses 16-21; here taken from Brook 1968: 72, emphasis added): ⁴⁴

For a different interpretation, cf. Walcutt (1935: 283-284).

Cf., e.g., Robert Henryson, *The Wolf and the wether* (2595), in: Pearsall (1999: 498).

⁴² Cf. Gläser (1986: ch. 5) and also, for instance, Makkai (1972: 169-172, 177-178), who acknowledges the (pseudo-)idiomatic character of this group of "cultural-pragmemic idioms" in his account of the idiomatic structure of English: "the quotation has to be essentially institutionalized, i.e. familiar enough to be fairly sure of being recognized by most speakers, whether in its original form or in a varied form as an allusion to the original quotation" (Makkai 1972: 177).

⁴³ Cf. Donaldson (1963: 47-48); Gray (1988: 848); Kaske (1962: 482).

⁴⁴ MED, s.v. $\bar{o}r(e \text{ (n. (2)) } 1 \text{ (d)} \text{ suggests that "thyn ore" is always used with T, and also in the address of Jesus. Prins (1952: 280-281) lists$ *Thine ore!*as a formula deriving from French*Vostre mercy!*– apparently with a change from Y to T.

10) Adoun y fel to hire anon ant crie, 'Ledy, *pyn ore!*Ledy, ha mercy of þy mon!
Lef þou no false lore!
Jef þou dost, hit wol me reowe sore.
Loue dreccheþ me þat y ne may lyue namoore!
[Immediately I fell down in front of her and cried, "Lady, your mercy!
Lady, have mercy on your servant!
Do not believe in wrong advice!
If you do, it will grieve me deeply.
Love afflicts me so that I cannot live any more!]

The change to T in *Miller's Tale* (3726) may thus be attributed to a quotation with regressive influence on *thy grace* 'your favour' in the same line. Another allusion to the Song of Songs itself (1.15) is *thyn eyen columbyn* 'your dovelike eyes' in Januarie's address to May (*Merchant's Tale* 2141).⁴⁵

And lastly, the expression *Bileveth this as siker as your crede* '(*literally*) believe this as sure as your creed', i.e. 'as guaranteed by divine degree or promise', spoken by the canon to the priest in *Canon's Yeoman's Tale* (1047) and prompting the use of Y for the rest of this conversation, belongs to a group of phraseological units known as institutionalized or stereotyped comparisons. ⁴⁶ According to Whiting – Whiting (1968: C541) it occurs in the forms *As sooth* (*sicker, true*) as the *Creed* from the beginning of the fourteenth century on. But it seems that whenever the second person pronoun is used in these forms (two instances in Whiting – Whiting, none in *MED* apart from the Chaucer quotation), it is Y.⁴⁷

The following cases with potential collocational-phraseological interest were excluded. One of them concerns the phraseological character of a particular line. This is the pronoun change in *Monk's Tale* (2451) from Y to T. Up until this line, Chaucer changed the pronouns from Dante's T to Y in the address of the starving children to their father Ugolino (*Divina commedia*, Inferno 33.1-75; cf. the Italian text with English translation printed in Bestul 2002: 429-432). But when they offer their father their own flesh to eat, Chaucer changes to T: *Oure flessh thou yaf us, take oure flessh us fro* 'You gave us our flesh, take our flesh from us' (cf. Dante's *tu ne vestisti queste misere carni*, *e tu le spoglia* 'you did clothe us with this wretched flesh, and do you strip us of it!', 62-63). At this

⁴⁵ Cf. Shimonomoto (2001: 30); Mazzon (2000: 151-152) for pragmatically-based explanations.

On the multiple switchings between the canon and the priest, cf. Mazzon (2000: 163-165).

⁴⁷ Cf. MED, s.v. crēde (n. (2)) (b), siker (adj.) 4b (b).

point, the children address their desperate father with a devotion usually given to the divine Creator. Although Dante is the immediate source, allusions to the bible, in particular Job 1.21, 8.11 and 19.26, as well as the eucharist (John 17.53-58), might have been responsible for the change. 48 In two further cases it is the first occurrence in a series of pronoun switches which might have been triggered by the collocational-phraseological force. This is *Reeve's Tale* (4033) (John to Symkyn: "I pray yow") and Summoner's Tale (1772) (friar to Thomas: "God yelde yow"). However, as we in general started counting from the first deviation, these cases do not appear in our list. For reasons discussed in sections 4 and 5.2., above, passages with general T, especially in Prudence's speech in the Tale of Melibee, could also be considered as cases in point. In particular, for the allusions to the commandments ("thou shalt") and formulae structuring the text containing a form of conseillen and Y (including the first occurrence Tale of Melibee 1026: advocate to Melibee: "we conseille yow") collocationalphraseological influence could have been postulated. All these cases, however, are excluded from our account.

6. Evaluation of the evidence and outlook

In the discussion of the pronoun changes to which we attribute a collocational-phraseological influence we adopted a careful rather than an overly inclusive strategy. Still, about one third of the changes that we did include (31 of 90) can be explained by our hypothesis. As far as the proportion of insertions (1A, 1B) and conversions (2A, 2B) as the effect of these changes is concerned, there is no discernible tendency. Table 2 summarizes the absolute and relative numbers according to types.

Table 2. Numbers and percentages of second person pronoun changes according to types

	Type 1A	Type 1B	Type 2A	Type 2B	Type 3	Total
number of changes	6	14	17	10	43	90
of these: collocational-phraseological	1	7	6	5	12	31

⁴⁸ Job 8.11 and 19.26 are also part of the Office for the Dead, cf. Littlehales (1895: 60, 69). Mazzon (2000: 141-142) attributes this change to T to an increase in dramaticity and emotional tone alone.

Even if a more inclusive approach had been chosen, the numbers would not allow for an analysis by statistical methods since they derive from a limited textual basis, namely the *Canterbury Tales*. The explanatory power of each single case with its relevance for this text will have to be checked for Middle English in general, as some of the brief references to the entries in the *MED* show. Further studies along the suggested lines based on a wider textual basis are therefore very desirable.

In particular, our results may be considered in the context of historical English phraseology – an area of research which is still in need of extended and systematic investigation. Thus, developments in the collocational-phraseological store of the language are still largely undetected. Regarding the data that we discussed in this paper it is interesting, for instance, that a collocation which was dispreferred in Chaucer, namely to pray + T, but apparently preferred by a majority of speakers, developed the lexicalized form prithee in the sixteenth century. Ulrich Busse has analysed the use of (I) pray you and (I) pray thee/prithee in the Shakespeare Corpus (2002: ch. 7) and found, among other things, that the use of Y with to pray is more frequent than to pray and T (2002: ch. 7.3). Prithee, however, was gaining ground in Shakespeare's time, also in the context of an address with Y (2002: 203-204).⁴⁹ Another question in connection with language change is the influence of French collocations and phraseology on the English language, both on collocations and on the development of the lexicon and the phraseological store of English. 50 These and related questions will be left for future studies to answer.

In conclusion, we hope to have shown that pronoun changes in Chaucer's *Canterbury Tales* can be triggered by the collocational-phraseological force of the language. This force is so strong that it may even override the pragmatically preferred choice of the pronouns of address in the particular situation – after all, this is a rather sensitive area of communicative interaction. We are thus challenging the view that every deviation in the use of second person pronouns has an expressive function. However, our hypothesis does not attempt to exclude the possibility that both pragmatic and collocational-phraseological forces may have an effect at the same time – including the reinforcement of a pragmatic

⁴⁹ Busse regards the development of *prithee* as a case of grammaticalization. Pronoun changes were still rather common in Early Modern English; cf. Busse (2002: ch. 2.7). On *prithee*, cf. also Nevala (2004: 171-172).

The fullest book-length study on French influence in English phraseology is still Prins (1952) with supplements (1959, 1960), who claims French influence for some phraseological units with second person pronoun such as *Thine ore!* and *if you please* (cf. fnn. 44 and 30, above). A detailed study of the exact forms of this influence is still missing, but small-scale comparisons have shown that Chaucer seems to be independent of the pronoun use of his sources (cf. Nathan 1959: 198)

effect through a counter-collocational-phraseological usage. On the contrary, both explanatory paradigms are generally valid and may be employed in a complementary way in many cases. As has become apparent in our discussion of the data, though, pragmatic explanations fail in some cases and leave the collocational-phraseological hypothesis as the only explanation for a significant number of changes which have so far appeared unmotivated and arbitrary.

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