

# A Preliminary Performance Evaluation of K-means, KNN and EM Unsupervised Machine Learning Methods for Network Flow Classification

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## ABSTRACT

Unsupervised learning is a popular method for classify unlabeled dataset i.e. without prior knowledge about data class. Many of unsupervised learning are used to inspect and classify network flow. This paper presents in-deep study for three unsupervised classifiers, namely: K-means, K-nearest neighbor and Expectation maximization. The methodologies and how it's employed to classify network flow are elaborated in details. The three classifiers are evaluated using three significant metrics, which are classification accuracy, classification speed and memory consuming. The K-nearest neighbor introduces better results for accuracy and memory; while K-means announce lowest processing time.

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## 1. INTRODUCTION

Network traffic classification occupied a significant role in several fields, such as network security, network management and surveillance... etc. It is the process of classifying network traffic into the original application that generated this traffic. The challenges that face this process is increased because of emerging new applications that caused redoubled size of data [1]. Port-based is one of the first techniques that used in data classification. However, this technique is no longer used since it's easy to masquerade, by using the well-known ports of some applications by other applications. For example, some VoIP applications use port 23 that allocated by IANA to the Telnet protocol [2], [3]. Payload-based and signature-based [4] are two alternative methods that used in data classification. Unfortunately, the two approaches suffer from consuming space of memory and long processing time. In addition, they fail to classify encrypted packets accurately. Behavior-based [5] is another method that used for data classification. However, it fail in real time and online classification evaluation.

As you can see, the aforementioned methods suffer from many problems; which compelled the researches to suggest new approach for data classification; that is, machine learning. Machine learning [6] populates to be a suitable solution since it's powerful of automation, identification, and predication. Basically, machine learning can be classified into supervised and unsupervised learning [7], [8], [9]. Supervised is classify dataset with a prior knowledge about class result. In contrast unsupervised had the potential to classify dataset without knowledge about the resulting class. In this paper, we will evaluate and compare three popular unsupervised classification methods; namely, k-means, k-nearest neighbor, and expectation

maximization. The three methods are evaluated in term of classification accuracy, classification speed and memory consuming.

This paper is organized as follows. Section 2 introduces the three classification methods and shows how to employ each method in data classification. Section 3 evaluates and compares the result of the three methods and discusses the results. Finally, Section 4 presents the conclusion.

## 2. NETWORK TRAFFIC CLASSIFICATION METHODS

This section reveals the approaches of three unsupervised classification methods and how they employed to classify network flow. The procedure of each one is explained in details to fully understand these methods.

### 2.1. K-means Clustering

Bernaïlle et al. [10] proposed using K-means cluster unsupervised learning method that classify network flow by categorizing a dataset into a definite number of clusters (assume  $k$  clusters) fixed a priori. The key idea is to select  $k$  centroids randomly, one for each cluster. Each input represented as coordinator by considering the features values which is consisted a group of points, each point is allocated to the closest centroid, and each group of points allocated to a centroid is a cluster the distance is measure. The centroid of each cluster is updated later based on the points allocated to the cluster. Network flows are represented by points in a P-dimensional space (dimension refer to the feature such as packet size), where each packet is linked with a dimension; the coordinate on dimension  $p$  is the size of packet  $p$  in the flow. The procedure is repeated with updating the steps until no changes clusters, or equally, until the centroids remain the same. Figure 1 shows simply the steps of K-means idea [11].

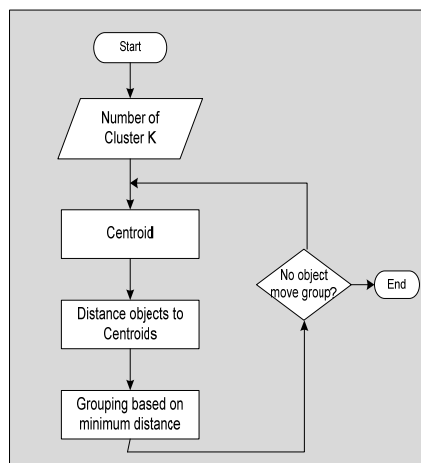


Figure 1. Key steps of K-means method [11]

The similarity between flows is represented by measuring distance between each point in cluster and centroid which is calculate using Euclidean distance as formulated in equation 1. The K-means method attempts to find an optimal solution by reducing the square error, which is defined as in equation 2. The square error is calculated with the distance squared between each point (object)  $x$  and the center of its cluster  $c$ .

$$dist(x, y) = \left( \sum_{i=1}^n (x_i - y_i)^2 \right)^{1/2} \quad (1)$$

$$E = \sum_{i=1}^k \sum_{j=1}^n |dist(x_j, y_i)|^2 \quad (2)$$

Where,

$E$ = objective function

$K$ = number of cluster

$n$ = number of cases (points)

$x_i$ = case  $i$

$c_j$ = centroid for cluster  $j$

The results illustration that more than 80% of entire flows are correctly classified for a number of applications. One exceptional case is the POP3 application. The classifier labels 86% of POP3 flows as NNTP and 12.6% as SMTP, because POP3 flows always belong to clusters [12]. However, this method is failed to classify some of application with low accuracy; furthermore, the main weakness is that the initial partitions (clusters) are very important. If the initial clusters are not well selected then the K-Means can converge to a local minimum instead of the global minimum solution. To avoid that, a solution is to run the algorithm several times and preserve the best solution. This was led for emerge two issues computationally expensive and extra time of processing [13].

## 2.2. K-Nearest Neighbor

Roughan et al [14] suggested k-nearest neighbor to classify network traffic. K-nearest neighbor is type of common method called instance-based learning (IBL), which uses specific training instances to make classifications without having to build model from training data. IBL algorithms require a proximity measure to determine the similarity or distance between data inputs (instances) and a classification function that returns the resulted class of a test instance based on its proximity to other instances. A nearest neighbor's classifier represents each instance as a data point in a d-dimensional space, where  $d$  is the number of attributes. For a given test instance, we compute its proximity to the rest of the data points in the training set by measuring distance between the instance and class. The k-nearest neighbors for instance  $r$  denote to the  $k$  points that are closest to  $r$ . For an example figure 2 demonstrates the 1-, 2-, 3- nearest neighbors of a data point located at the center of each circle. The data point is predicated based on the class labels of its neighbors. In the case where the neighbors have more than one class, the data point is assigned based on the majority class of its nearest neighbors. In figure 2a, the 1-nearest neighbor of the data point is a negative instance. Therefore the data point is assigned to the negative class. If the number of nearest neighbors is three, as shown in Figure 2c, then the neighborhood contains two positive samples and one negative sample. Based on the majority voting scheme, the data point is allocated to the positive class. K-nearest neighbor computes the similarity by measuring the distance between each test instance point  $r = (x, y)$  and all the training instances  $(x, y) \in D$  where ( $D$  represent whole dataset) to compute its nearest neighbor list. Commonly, there are different ways to compute the distance between point and neighbor class for continuous features such as Euclidean, Manhattan, Minkowski and formulated in equations 3, 4 and 5 respectively, for discrete features using hamming distance which is implement  $XOR$  between points.

$$\text{Manhattan Dist} = \sum_{i=1}^k |x_i - y_i| \quad (3)$$

$$\text{Minkowski Dist} = \left[ \sum_{i=1}^k (|x_i - y_i|)^q \right]^{1/q} \quad (4)$$

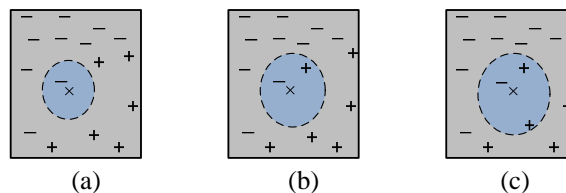


Figure 2. 1-2-3-Nearest Neighbor [15]

Generally KNN possess some limitations. At first, it needs to determine the neighbors list for each instances such computation can be costly if the training dataset is large. In addition,  $k$  value is sensitive for

choosing. In other word, if dataset  $k$  is too small the nearest neighbor classifier may be susceptible to overfitting because of noise in the training data. On the other hand, if  $k$  is too large, the nearest neighbor classifier may misclassify the test instance because listing of nearest neighbors may include points that are located far away from its neighborhood as shown in figure 3.

### 2.3. Expectation Maximization

Jeffrey Erman *et al.* [16] is employed expectation maximization (EM) unsupervised machine learning method to classify network traffic according to the application. EM is an iterative procedure that converges to a maximum likelihood using posteriori probability function. EM works based on two steps. In first step, EM expects the calculation of the cluster probabilities (i.e. expected class values) therefore, this step described as “expectation”. In second step, EM calculates of the distribution parameters, is “maximization” of the likelihood of the distribution given the data. Figure 4 shows EM iteration alternatives between performing an expectation (E) step, which produces a function for the expectation of the likelihood calculated using the two estimate parameters means  $\mu$  and variance  $\sigma^2$  of points, and a maximization (M) step, which computes the maximum parameters for expected likelihood that found it in the step (E).

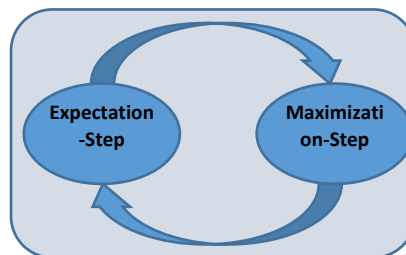


Figure 4. Life cycle of expectation-maximization

To estimate the probability for each class (application type)  $C$  for a given certain features-vector  $x$  using posterior probability function as used in equation 6 for Naïve Bayse method. The maximum likelihood is calculated by re-estimate the value of mean  $\mu$  and variance  $\sigma^2$  continuity then substituted again in the conditional probability function  $P(X|C)$  is calculate using the below formula, where  $i$  number of instance in each feature  $x$ . The authors used 200 iteration as conditional to stop EM loop.

$$P(X|C) = \frac{1}{\sqrt{2\pi\sigma}} e^{-\frac{(x-\mu)^2}{2}} \quad (5)$$

$$\mu = \frac{1}{N} \sum_{i=1}^N x_i \quad (6)$$

$$\sigma^2 = \frac{1}{N} \sum_{i=1}^N (x_i - \mu)^2 \quad (7)$$

Authors used nine different classes in the experiment namely (SMTP, HTTP, DNS, FTP-CONTROL, Socks, IRC, POP3, FTP-DATA and P2P LimeWire). The overall classification accuracy was 91% for the collected dataset. However, the iteration process consume resources (Memory, CPU) and adding extra processing time where repeated the parameters (means and covariance) calculation up to 200 times [16].

### 3. PERFORMANCE EVALUATION

In this section, the performance of the K-means clustering, K-Nearest neighbor and expectation maximization methods is evaluated and compared. We have evaluated and compared the three methods using three factors; namely, classification accuracy, classification speed, and memory consumption. We used these

three factors in the comparison because they are play a significant role in real time and online classification environment.

### 3.1. Testing Environment

The Weka software version 3.7.10 [17] and the Moore dataset [18] are used to evaluate and compare the three classification methods; namely, K-means clustering, K-Nearest neighbor and expectation maximization. The Moore dataset consists of 24863 instances, 248 attributes, and 11 classes, which are WWW, FTP-CONTROL, MAIL, FTP-PASV, P2P, ATTACK, FTP-DATA, DATABASE, SERVICES, MULTIMEDIA, and INTERACTIVE. 14918 out of 24863 records are used as a training dataset while the remaining dataset, 9945 records, are adopted as testing data.

### 3.2. Results and Discussion

The classification accuracy is evaluated by testing the overall accuracy through determine correctly and incorrectly classified instances. Figure 5 shows the overall accuracy of the three classification methods. The result showed that the K-Nearest neighbor (KNN) (using three neighbors) achieved the highest accuracy by up to 98%, expectation maximization (EM) achieved the second highest accuracy by up to 91%, and K-means achieved the lowest accuracy by up to 80 %. Figure 6 shows the total processing time of the three classification methods including the buildup time. The result showed that the total processing time of EM, KNN, and K-means is 900, 350, and 60 seconds, respectively. As you can see, K-means achieved the best processing time between the three methods, which make it a suitable solution for online classification. Figure 7 shows the memory consumption of the three classification methods. The result showed that the memory consumption of EM, KNN, and K-means is 223MB, 60MB, and 130MB, respectively.

The results showed KNN with 3-Nearest neighbors is the best in term of accuracy and memory consumption due to the powerful and low complexity of method but the memory and processing time is threaten to increase in case number of neighbors increase. K-means was the best in term of time consumption this aspect make it an appropriate solution for real time classification but still needs enhancement with regard to accuracy and memory consumption where data traffic is pumped in high rate in real time and online environment [19]. Expectation-Maximization ranked in the end due to the cost computation was led to high memory and time consuming.

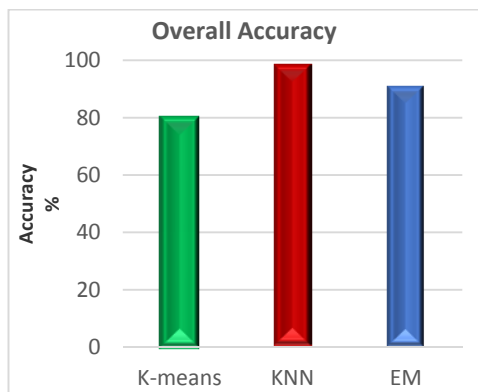


Figure 5. Overall Accuracy of k-means, KNN, and EM

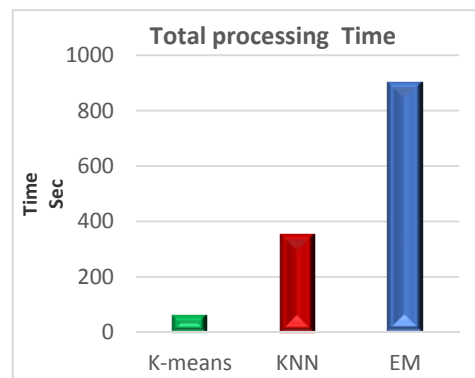


Figure 6. Total processing time of k-means, KNN, and EM

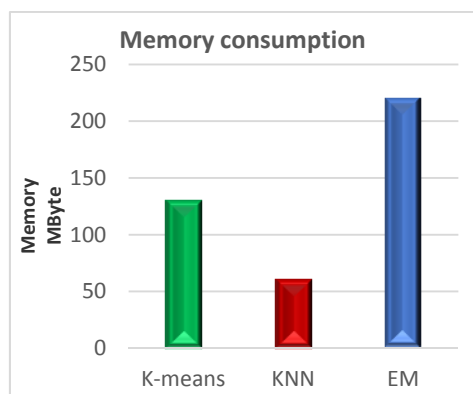


Figure 7. Memory consumption of k-means, KNN, and EM

#### 4. CONCLUSION

Unsupervised learning is known method used widely for identify and classify network traffic. There are several unsupervised classifiers were proposed by researchers to classify network flow. These researchers are competed in term of QoS to test which classifiers are more suitable for real time and online classification. This paper presents a comparative study for three popular unsupervised classifiers namely K-means, K-nearest neighbor (KNN) and Expectation Maximization (EM). These classifiers were studied deeply through explain the methodologies for each and how were employed to classify network flow. The classifiers are evaluated with regard to three significant metrics spatially for real time and online environment. These metrics are classification accuracy, classification speed and memory consumption. As a result KNN was the best in term of accuracy and memory consuming but k-means introduced better performance with regard to total time of processing while expectation maximization was the worst for the three metrics. Based on the generated results we recommend to study the avenues to optimize KNN to reduce time processing to be fit with real time and online environment. Furthermore, we recommend enhancing classification accuracy and decreasing memory consumption for K-means. Thereafter, implement both on huge dataset.

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