1 Technical Note

AgroTutor: A Mobile Phone Application Supporting Agricultural Sustainable Intensification

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27 Abstract: Traditional agricultural extension services rely on extension workers, especially in 28 countries with large agricultural areas. In order to increase adoption of sustainable agriculture, the 29 recommendations given by such services must be adapted to local conditions and be provided in a 30 timely manner. The AgroTutor mobile application was built to provide highly specific and timely 31 agricultural recommendations to farmers across Mexico and complement the work of extension 32 agents. At the same time, AgroTutor provides direct contributions to the United Nations Sustainable 33 Development Goals, either by advancing their implementation or providing local data systems to 34 measure and monitor specific indicators such as the proportion of agricultural area under 35 productive and sustainable agriculture. The application is freely available and allows farmers to 36 geo-locate and register plots and the crops grown there, using the phone's in-built GPS, or 37 alternatively, on top of very high-resolution imagery. Once a crop and some basic data such as 38 planting date and cultivar type have been registered, the app provides targeted information such as 39 weather, potential and historical yield, financial benchmarking information, data-driven 40 recommendations as well as commodity price forecasts. Farmers are also encouraged to contribute 41 in-situ information, e.g., soils, management, and yield data. The information can then be used by 42 crop models, which, in turn, would send tailored results back to the farmers. Initial feedback from 43 farmers and extension agents has already improved some of the app's characteristics. More 44 enhancements are planned for inclusion in the future to increase the app's function as a decision 45 support tool.

46 Keywords: volunteered geographic information; agricultural intensification; sustainability; smart 47 farming; citizen science; SDGs; decision support tool

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49 1. Introduction

50 Sustainable agricultural intensification responds to the need for increased food production and 51 improved ecosystem services while land availability is limited [1,2]. For agricultural inputs like 52 fertilizers or pest control methods to be effective, the recommendations for their use need to be 53 targeted to local conditions. Excessive input use due to generalized agricultural recommendations, 54 apart from having damaging spillover effects in surrounding ecosystems [3], also increase the costs 55 incurred by the farmer. Technology and knowledge for making agriculture more effective and 56 environmentally friendly already exist [4]; however, across the world, farmers with low incomes do 57 not tend to benefit from agricultural innovations and techniques [5]. Agricultural extension services 58 are one of the most common responses for promoting and transmitting such knowledge, although in 59 developing countries, agricultural extension services are usually over-stretched. Widespread mobile 60 smartphone technology is one potential vehicle for promoting sustainable agricultural intensification, 61 supporting existing agricultural extension services. The expected expansion of mobile phone use¹, 62 especially smartphones², as well as the rise in crowdsourcing [6,7], have paved the way for involving 63 farmers in a new information-rich economy, providing them data directly, while at the same time 64 asking them to improve this information through locally-sourced knowledge inputs. For the 65 International Maize and Wheat Improvement Center (CIMMYT) in Mexico, monitoring, evaluation 66 and learning efforts are an integral part of innovation systems. Extension agents generate summary 67 diagnostics in the existing data collection system, but farmers have been requesting feedback that is 68 more detailed, benchmarking and more continuous access to recommendations than individual 69 extension agents can provide in the current system. As a result, CIMMYT has identified the need to 70 develop an openly available mobile app that draws upon the vast experience and information 71 collected over the years, but also provides some of the functionality that can be found in proprietary 72 apps. Working with the International Institute for Applied Systems Analysis (IIASA), a prototype 73 smartphone application called AgroTutor was developed. The application is aimed at providing 74 specific and timely agricultural recommendations for farmers across Mexico. It allows farmers to 75 query information for crops at specific locations, providing targeted agricultural and benchmarking 76 information. Here we present the technology behind AgroTutor and the information provided to its 77 users, as well as initial feedback provided by farmers and extension agents on the application. We 78 also briefly discuss how AgroTutor contributes to the implementation and measurement of several 79 of the United Nations Sustainable Development Goals (SDGs).

80 2. The AgroTutor mobile app

Figure 1(a) provides a general overview of the application's current structure including the different components while Figure 1(b) shows a screenshot of the main landing screen of AgroTutor.

¹ <u>https://www.statista.com/statistics/274774/forecast-of-mobile-phone-users-worldwide/</u>

² <u>https://www.statista.com/statistics/203734/global-smartphone-penetration-per-capita-since-2005/</u>





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85 Figure 1. (a) AgroTutor data structure with its components and (b) a display of the main screen of the 86 mobile application. Geo-Wiki is the current server at IIASA where data are stored and processed.

87	The current version of the app is built using Xamarin.Forms®, with Android and iOS versions.
88	For building the architecture of the app, a modularity platform called Prism was chosen with a
89	"Model – View – View – Model" (MVVM) approach [8]. The app is developed in two languages –
90	English and Spanish – and allows for easy translation into other languages.

91 2.1. Information collected from the farmers

92 AgroTutor relies on the use of geo-location, allowing a farmer to register the position of a given 93 plot, although a farmer can tap anywhere on the map display to query information or to register a 94 plot. To store a plot in AgroTutor, a name is required as well as the planting date. If the crop is maize, 95 the cultivar characteristics are also requested from the farmer (Table 1).

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97 Table 1. Maturity classes and climate suitability options available in AgroTutor with their 98 corresponding growing degree days (GDD) / Potential Heat Units (PHU) and base temperature (Tbase) 99 for maize (Adapted from Ruiz et al. (1998) and Capristo et al. (2007)).

Maturity class	PHU/GDD [°C]	Climate suitability	Tbase
Early	1680	Cold	$4 101 \\ 102$
Mid-early	1890	Temperate/subtropical	7 103
Intermediate	2100	Tropical	9 104
Mid-late	2310	Hybrid	10 105
Late	2520		106
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AgroTutor can also store cropping activities for each plot with their specific details, e.g., costs, 109 dosage, price, etc. A list of available activities in AgroTutor can be found in Supplementary Table 1.

110 2.2. Information provided to the farmers

111 When pressing the Advice button (lower right corner, Figure 1(b)) and selecting a location and 112 a crop of interest, a set of benchmarking information is displayed. The location and crop queried can

113 then be stored as an agricultural plot, where the benchmarking information previously seen will also be shown but, additionally, all the stored plot information as well as weather information and a new menu with several actions will become available. Possible actions include adding cropping activities,

adding videos and pictures, visualizing the crop calendar with plot activities and delineating the plot.

117 The latter can be added using high-resolution imagery (from Google Maps) as a backdrop when

- 118 online but also while offline, once a map layer has been downloaded³.
- 119 2.2.1. Weather information

AgroTutor incorporates three types of weather information including: 1) current conditions, 2)
 a 10-day forecast, and 3) historical data. The information is specific to the queried location or field

122 plot. The data are obtained from the API of aWhere⁴. The parameters shown include, amongst others,

123 precipitation (mm), relative humidity (%), temperature (°C), and solar radiation (Wm⁻²).

124 2.2.2. Historic yield potential

125 Based on the geo-location of the field and associated data (i.e., soil⁵, climate [11], topography [12] 126 and growing season [13]), selected representative cultivars based on Ruiz et al (1998), and water 127 management (rain-fed or fully irrigated) farmers are provided with historic, non-nutrient and pest-128 limited yield potential estimates as a benchmark, derived a priori from crop model outputs for the 129 time period 1980-2010. The results then provide information about the maximum yield potentially 130 attained, but also the variability of these results for the selected location. Crop model simulations 131 have been carried out with the well-established field-scale model, Environmental Policy Integrated 132 Climate (EPIC) [14], within a spatial computational framework running the model for specific 133 climate, soil, topography, and growing seasons in each pixel [15]. The yield potential provided is 134 targeted to the farmer's plot locations but is currently limited to maize, although in the future, more 135 crops could be considered if enough information is obtained from the field, e.g., using data entered 136 by farmers into the application.

137 2.2.3. Benchmarking local information

Historical income, costs, profit and yield shown in AgroTutor are based on geo-tagged historical data from 197,714 experimental, demonstrative and pioneer farmers' plots across Mexico. A map of CIMMYT's support infrastructure is shown in Supplementary Figure 1 [16]. Since the historical data are geo-tagged, the information displayed is targeted to registered plots, crops and products, allowing the farmer to compare their own production costs, yields and profit against those from nearby fields to showcase their chances of improvement using real cases.

144 2.2.4. Windows of opportunity

Windows of opportunity are suggested 'best times' for executing agronomic management activities on the selected plot, based on known responses of a given cultivar type (Table 1). Currently, the system suggests the optimal nitrogen fertilizer split application for maize to the farmer, which allows for more efficient fertilizer use by minimizing losses compared to applying the full rate at planting [17–19]. This approach typically consists of a smaller start-up fertilizer dose applied at planting and a second larger dose once the crop enters a phase of rapid linear biomass accumulation, reached once the crop has accumulated 18-25% of the temperature requirement for maturing or

³ Layer is extracted from the "Mosaico Nacional" service available at http://WMS.SIAP.gob.mx/MosaicoNacional/

⁴ <u>https://developer.awhere.com/</u>

⁵ Soil profiles from the "Información Nacional sobre Perfiles de Suelo v1.2" service, produced by the Mexican National Institute for Statistics and Geography (INEGI), available at:

http://www3.inegi.org.mx/contenidos/temas/mapas/edafologia/metadatos/ok_suelosesp.pdf

growing degree days (GDD) [20]. The windows of opportunity are then shown to the farmer in theAgroTutor calendar.

154 2.2.5. Recommended agricultural practices

155 The recommended practices are derived from analyses done by the International Center for 156 Tropical Agriculture (CIAT-Colombia) on field data already collected by CIMMYT (see 157 Supplementary Figure 1). Using machine learning algorithms, management practices related to 158 higher yields are identified and subsequently shown as recommended practices for the area. The 159 methodology used is based on previous studies on rice [21] and perennial crops [22] where random 160 forest-based algorithms are used to assess the relevance of a set of predictor variables in explaining 161 the output yield variability. The most frequent parameters highlighted for optimization are, e.g., 162 cultivar sown, levels of fertilization, sowing density and some weather parameters. An example for 163 the ranking of variables according to their importance as determined by the random forest model in 164 Guanajuato is shown in Supplementary Figure 2.

165 2.2.6. Commodity price forecasting

166 This module provides farmers with direct information regarding projected prices at trading 167 hubs for commodities such as wheat and maize, with predictions from 1 month to 12 months in 168 advance. The method used to obtain forecasts of agricultural commodities is based on the estimation 169 of an array of multivariate time series models making use of climatic, financial and macroeconomic 170 variables, as well as market fundamentals corresponding to the particular commodity whose price is 171 predicted (Crespo Cuaresmca et al., 2018; Cuaresma Crespo et al., 2017). The estimated models are 172 validated making use of out-of-sample forecasting exercises with respect to historical data and both 173 loss- and profit-based performance measures. Supplementary Figure 3 shows a comparison of actual 174 and predicted corn price with confidence intervals for the year 2016.

175 2.2.7. Communication, data recording, accessibility, and user experience

176 Farmers can receive relevant messages on an ad hoc but targeted basis, e.g., training sessions in 177 the area, or messages linked to motivation to participate, e.g., encouraging farmers to add 178 information to the app. Features that allow farmers to document their plots, crops and practices using 179 photographs and video are also included in the application. Documentation and messaging should 180 also encourage farmer-to-farmer and farmer-to-expert discussions similar to that in the Digital Green 181 project [23]. Since overall user experience and accessibility are crucial for technology uptake, the use 182 of visual language, i.e., icons with few colors, simplified benchmarking information graphics, and 183 direct access to information directly from the main map was emphasized during all stages of 184 development of AgroTutor. Additionally, ensuring that basic smartphones (using the Android 185 operating system) could employ the app was prioritized, since these are most common in the target 186 rural agricultural areas. Based on smartphone penetration in Mexico⁶, it was determined that 187 AgroTutor should be available for Android versions 19 (4.4 KitKat) and later. Finally, since 188 AgroTutor is the result of a non-profit collaboration, all information provided, including 189 benchmarking and geo-located points of service, is free of charge.

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191 3. Preliminary tests and farmers willingness to adopt

192 To promote AgroTutor amongst farmers, CIMMYT is preparing a deployment strategy that will 193 include advertisement strategies as well as incentives for technicians and farmers alike. Nevertheless, 194 initial feedback was already provided by 27 farmers and field technicians (extension agents). Some 195 of the comments were recorded in four interviews and a workshop lead by a co-author (JMM) that

196 took place in the CIMMYT offices in Guanajuato on February (n=10), while others were sent back via

⁶ <u>http://gs.statcounter.com/android-version-market-share/mobile-tablet/mexico/#monthly-201904-201904-bar</u>

email after downloading the application (n=5) in September 2019, and the rest (n=12) were recorded on a two-day visit by CIMMYT personnel to farmers in 2 areas: Moroleón and Purísima del Rincon, in the state of Guanajuato, Mexico in October 2019. The feedback was provided for earlier iterations of the application, so some of the issues mentioned were already incorporated into AgroTutor, e.g., weather inconsistencies, app not responsive, bugs, app crashing, map not loading, etc. IIASA and CIMMYT are currently updating the application and including new features based on the feedback provided. Table 2 summarizes the comments received.

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Table 2. Direct feedback for AgroTutor received from farmers and field technicians in Guanajuato

Positive characteristics	Challenges and suggestions
Nice interface	Loading map problems and storing parcel problems
Cood design	• Location problems, cascading problems - weather,
• Good design	benchmarking
Friendly icons/design	Historical weather problems /high data load requested
• Great characteristics such as windows	
of opportunity, weather, parcel	• Some activity fields are repetitive, some units are not fully
delineation and registration, producer	matching field measurements (e.g., kg/bags)
profile, benchmarking information	
Would highly recommend it	• Too broad commercialization activity concept: Allow
	individual activities, e.g., transport, contract agriculture.
• Good that no individual farmer	• Pest and disease activity: Allow for broader set of options,
characteristics or information are	not only insecticide or fungicide
shown	
• Very useful for programming	Some bugs and crashes happening with benchmarking
fertilization	data. Slow rendering sometimes. Slow loading.
High useful for programming	• General flow and look: to fill in forms use an arrow rather
irrigation	than Enter. Add font size change (older farmers)
	• Share back with testers the changes or modifications made
	• Calendar - synchronize with telephone calendar for alerts
	Allow the possibility of downloading reports
	Employ more customization to engage user - to welcome
	the producer
	Add guides to identify weeds, pests, and nutritional
	deficiencies - pictures/labels
	Add tips section
	Add activity: Soil identification (characteristics)
	Add fruit trees
	Maturity type is confusing. Better explanation needed
	Allow exporting parcel location - map with coordinates
	Add selling points with local prices
	Add a calculator allowing conversion of units and
	transformations

Additionally, to investigate the farmers' willingness to adopt the AgroTutor app, a survey based
 on the Unified Theory of Acceptance and Use of Technology (UTAUT) [24–26] was conducted as part

of a separate study. Although the full set of results is soon to be published, preliminary findings show that intentions to adopt the app were predicted by the app's perceived usefulness, how farmers believe that technical infrastructure exists and by the expectation of the farmers using the app to acquire new knowledge. These findings are useful for providing relevant feedback from the field to the app designers and developers as well as providing additional insights into ways of encouraging adoption.

213 4. AgroTutor and the Sustainable Development Goals: Current and potential contributions

214 AgroTutor was built to promote sustainable intensification of agricultural production, 215 contributing directly to achieve food security, end hunger and to improve the nutritional status of 216 farmers while at the same time increasing productivity of the land. The recommendations provided 217 by the app on the use of pest and disease control, which help to ensure overuse of agrochemicals does 218 not happen, will protect and regenerate the soil, and avoid contamination of the water table, restoring 219 peri-agricultural ecosystems and protecting the surrounding environment. Recently, AgroTutor was 220 mapped as a citizen science project that could potentially contribute to two SDG Tier III indicators 221 [27], i.e., 2.4.1 Proportion of agricultural area under productive and sustainable agriculture, and 12.3.1 222 (b) Food waste index⁷. Recommendations of harvest and post-harvest management, which are being 223 incorporated into the app and which are already accessible via links to CIMMYT's manuals and best 224 practices, will contribute to avoidance of food waste and enhance financial gains for smallholder 225 farmers.

226 Furthermore, AgroTutor has the potential to contribute to other SDGs as the range of services is 227 expanded and refined based on stakeholder feedback in an iterative process. For example, since the 228 app already allows for the delineation of parcels, it can potentially be adapted to contribute to 229 initiatives such as FAO's Voluntary Guidelines for the Responsible Governance of Tenure [28]. This 230 would support a crowdsourcing approach for collecting tenure-related details by farmer 231 communities, thereby contributing to the monitoring of indicator 5.a (b) Share of women among 232 owners or rights-bearers of agricultural land, by type of tenure [27]. Similarly, these features, together 233 with farmer characteristics, can also potentially support the indicator 4.4.1 Proportion of youth and 234 adults with information and communications technology (ICT) skills [27]. The youth participation in 235 agriculture is a pressing challenge worldwide and specifically in Guanajuato, Mexico, where the pilot 236 test of the app showed an average farmer age of 65, whereas close to 40% of producers in Mexico are 237 between 46 and 60 years old [29].

238 By providing free access to high-quality recommendations to small farmers who cannot afford 239 consultancy services, thus helping them to reduce costs and increase profits, AgroTutor provides a 240 direct contribution to ending poverty (SDG 1). Also, the financial recommendations, such as expected 241 commodity price forecasts, have the potential to empower farmers, especially female farmers, who 242 may exploit this information to achieve higher profits for the sale of their produce and thereby 243 enhance their self-reliance and the budget management of their families. Finally, the provision of 244 environmentally friendly recommendations, e.g., guidance on how to perform conservation 245 agricultural practices that store soil moisture, will help farmers to cope better with impacts from 246 climate change such as recurring droughts, thereby strengthening their resilience and adaptive 247 capacity to climate-related hazards and natural disasters (SDG 13, Target 13.1). Supplementary Table 248 2 provides a summary of the actual and potential contributions of AgroTutor to the SDGs.

249 5. AgroTutor and crowdsourcing with small farmers

This technical note has outlined AgroTutor, a mobile application developed to support sustainable agricultural intensification. The application was built with the understanding that small and medium farmers require decision support tools to strive for sustainable intensification that translates into higher profits for their families while having the lowest possible environmental

⁷ Note that since the publication of [27], Tier III indicators no longer exist and both 2.4.1 and 12.3.1(b) are now Tier II indicators.

254 impact. Deployment of the app is currently under way in Guanajuato, Mexico, but a refinement of 255 features as well as an understanding of how much information farmers can contribute, will depend 256 on the additional feedback elicited by CIMMYT technicians after some seasons of testing in the field. 257 Even though the potential of citizen science data for monitoring the SDG indicators is being 258 recognized [27], potential synergies and trade-offs arise when focusing on small farmers and 259 agriculture [30]. When dealing with smallholders, satisfaction of basic needs is a priority, which may 260 sometimes put them at odds with being promoters of sustainable development [31]. Moreover, it is 261 very important to maintain privacy standards for crowdsourcing applications, especially in 262 agriculture, so as to avoid potential issues or additional barriers that may hinder participation [32] or 263 even set participants at risk by revealing critical information to the public. Hence, citizen science 264 applications should not only focus on obtaining the data but in managing it fairly and not harm nor 265 infringe upon any of the SDGs. In the best case, these applications should support smallholder basic 266 needs and promote inclusiveness. The design of suitable, low-cost and simple technological solutions, 267 which leaves no one behind, should be the core of agricultural citizen science projects and apps such 268 as AgroTutor.

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Supplementary Materials: Table S1: List of agronomic management tasks and activities currently available in AgroTutor, Table S2: Potential and current contributions of AgroTutor to SDGs, Figure S1: CIMMYT support infrastructure across Mexico, showing research platforms, modules, extension and impact areas. More information at <u>http://bem.cimmyt.org/Inicio/Default.aspx</u> (in Spanish), Figure S2: Output ranking of variable importance from the random forest model in Guanajuato - rainfed maize , Figure S3: Actual and forecasted corn prices with 1 and 2 standard deviations upper and lower (UCL, LCL) confidence intervals. Model forecasts shown for the year 2016.

277 Author Contributions: Juan Carlos Laso Bayas, Andrea Gardeazabal, Bram Govaerts, Luis Vargas, Michael 278 Obersteiner and Steffen Fritz conceptualized the research; Mathias Karner, Luis Vargas and Christian Folberth 279 organized the database; Mathias Karner, Moemen Saad and Anto Subash developed the application; Christian 280 Folberth, Rastislav Skalský, Juraj Balkovič, Sylvain Delerce, Jesús Crespo Cuaresma and Jaroslava Hlouskova 281 contributed with data and analysis for the different app modules. Janet Molina-Maturano and Luis Vargas 282 contributed with the field feedback summaries. Janet Molina and Linda See contributed with additional insights 283 into the SDG contributions of AgroTutor. Linda See edited the manuscript. All authors wrote different sections 284 of the manuscript and contributed to manuscript revision. All authors read and approved the submitted version.

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