1 2 3 4 5 6 7	Cyclopalladated and cycloplatinated benzophenone imines: Antitumor, antibacterial and antioxidant activities, DNA interaction and cathepsin B inhibition
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41 ABSTRACT

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43 The antitumor, antibacterial and antioxidant activity, DNA interaction and cathepsin B inhibition of 44 cyclo-orthopalladated and -platinated compounds $[Pd(C,N)]2(\mu-X)2$ [X = OAc (1), X = Cl (2)] and trans-N,P-[M(C,N) X(PPh3)] [M = Pd, X = OAc (3), M = Pd, X = Cl (4), M = Pt, X = Cl (5)] are 45 46 discussed [(C,N)= cyclo-orthometallated benzophenone imine]. The cytotoxicity of compound 5 has 47 been evaluated towards human breast (MDA-MB-231 and MCF-7) and colon (HCT-116) cancer cell lines and that of compounds 1-4 towards the HCT-116 human colon cancer cell line. These 48 49 cytotoxicities have been compared with those previously reported for compounds 1-4 towards MDA-50 MB-231 and MCF-7 cancer cell lines. Compound 3 and 4 were approximately four timesmore active 51 than cisplatin against theMDA-MB-231 andMCF-7 cancer cell lines, and compound 5, was 52 approximately four times more potent than cisplatin against the HCT-116 cancer cell line. The antibacterial activity of compounds 1-5 was in between the ranges of activity of the commercial 53 54 antibiotic compounds cefixime and roxithromycin. Complexes 1-2 and 4-5 presented also antioxidant activity. Compounds 1-5 alter the DNA tertiary structure in a similar way to cisplatin, but at higher 55 56 concentration, and do not present a high efficiency as cathepsin B inhibitors. Compound 5 has not been 57 previously described, and its preparation, characterization, and X-ray crystal structure are reported.

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62 **1. Introduction**

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64 The application of cyclometalated compounds in medicine and bioimaging is a subject of growing 65 interest [1-3]. In this field, our research group has published some studies on the in vitro antitumor activity of cyclopalladated and cycloplatinated compounds [4-7]. The number of studies related to the 66 anticancer activity of cyclopalladated and cycloplatinated compounds is quite significant [8,9] but very 67 little is known about their other chemotherapeutic activities. Antiparasitic activity has been reported for 68 a few cyclopalladated and cycloplatinated compounds [5,10-12]. In addition, in a few cases the 69 70 antibacterial activity of cyclopalladated compounds has also been studied [13-16]. Cycloaurated 71 compounds have also been explored for their antibacterial, antifungal, antiviral and antiparasitic 72 activities [12,17,18].

73 On the other hand, in recent years, it has become increasingly evident that several cytotoxic metallodrugs 74 exert their biological and pharmacological actions through DNA-independent mechanisms. 75 Accordingly, it is important to explore alternativemechanisms and biological targets for 76 anticancermetallodrugs [19]. Cathepsin B is a cysteine metalloprotease highly upregulated in a wide variety of cancers by mechanisms ranging from gene amplification to post-transcriptional modification. 77 78 The exact role of cathepsin B in solid tumors has yet to be defined, but it has been proposed to participate 79 inmetastasis, angiogenesis, and tumor progression [20,21]. Recently, compounds based on palladium, platinum, ruthenium, rhenium, gold and tellurium were shown to be effective inhibitors of cathepsin B 80 [12,20–31]. In addition, an excellent correlation between cathepsin B inhibition and cytotoxicity for 81 82 some dinuclear biphosphane palladacycles [22] and mononuclear platinacycles containing a fluorinated phosphane [28] has been reported. Therefore within this work, we intended to study the capability of 83 84 the cyclopalladated and cycloplatinated benzophenone imines 1–5 given in Scheme 1 to act as cytotoxic 85 agents, by direct damage on DNA, or/and by inhibiting alternative targets such as cathepsin B, which is 86 overexpressed in many cancer cell lines.

87 In a precedent paper [32], we reported the antitumor activity of the cyclopalladated benzophenone imines 1-4 depicted in Scheme 1 against the MDA-MB-231 and MCF-7 human breast cancer cell lines 88 89 and studied their interaction towards DNA by the DNA migration electrophoretic technique. Following 90 this study, here we report: a) the antitumor activity of compounds 1-4 towards the HCT-116 human 91 colon cancer cell line and that of the cycloplatinated compound 5 towards MDA-MB-231 and MCF-7 92 breast and HCT-116 colon human cancer cell lines, b) the antibacterial activity of compounds 1-5, c) 93 the antioxidant activity of compounds 1-5, expressed as their % of DPPH free radical scavenging, d) 94 the interaction of compound 5 with DNA by the DNA migration agarose gel electrophoretic assay, and 95 e) the cathepsin B inhibition test for compounds 1-5.

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99 **2.** Experimental

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101 2.1. Chemistry: instruments and reagents

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103 Elemental analyses of C, H and N were performed with an Eager 1108 microanalyzer. Infrared spectra 104 were recorded on a Nicolet Impact-400 spectrophotometer using pressed disks of dispersed samples of 105 the compounds in KBr. 1H NMR (at 400 MHz), 13C-{1H} (at 101 MHz), 195Pt-{1H} (at 86 MHz), 106 and 31P-{1H} (at 162 MHz) NMR spectra were recorded in CDCl3 at 298 K. Chemical shifts are reported in δ values (ppm) relative to SiMe4 (δ =0.00 ppm) for 1H NMR, to the residual solvent peak 107 for 13C-{1H} ($\delta = 77.00$ ppm), to a solution of K2[PtCl4] in D2O ($\delta = -1617.00$ ppm) as an external 108 reference for 195Pt-{1H} NMR, and relative to an external solution of trimethylphosphite in deuterated 109 acetone ($\delta = 140.18$ ppm relative to 85% orthophosphoric acid) for 31P-{1H} NMR. Coupling constants 110 111 are given in Hz, and multiplicity (splitting) is expressed as s (singlet), d (doublet), m (multiplet), and br (broad signal). Low resolution ESI (+) mass spectrawere acquired on an LC/MSD-TOF instrument, 112 utilizing a mixture of CH3CN:H2O (1:1, v/v) as the eluent. Dry methanol (HPLC grade), 113 114 dichloromethane, hexanes, ethyl acetate, and diethyl ether were used as received. Sodium acetate was 115 oven-dried at 60 °C, prior to use. The complex cis-[PtCl2(DMSO)2] was prepared following a literature method [33]. Compounds 1-4 were prepared as previously reported [32]. ESI and LC/MSD-TOF refer 116 to Electrospray Ionization and Liquid Chromatography/Mass Selective Detector - Time Of Flight, 117 118 respectively.

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120 2.2. Preparation of mixture A

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122 Cis-[PtCl2(DMSO)2] (301 mg, 0.71 mmol) and sodium acetate (61 mg, 0.74 mmol) were brought into a Schlenk flask and evacuated for 10 min, and finally flushed with nitrogen. To this, dry methanol (50 123 124 mL) was added and the reaction mixture was warmed until complete dissolution of the sample. An 125 excess of benzophenone imine (150 μ L, 162 mg, 0.89 mmol) was next added to the yellow solution, and the resultingmixture was refluxed for 1 day. After this time, dichloromethane (approx. 15 mL) was added 126 to the red solution to induce precipitation of the sodium acetate. The suspension was filtered through a 127 pad of celite (5.0 cm × 2.0 cm) and washed through with further dichloromethane until thewashingswent 128 129 colorless. Evaporation of the solvent resulted in the formation of a resin, to which hexanes (ca. 15 mL) were added. The mixture was energetically stirred for 1-2 days, during which time intense scratching 130 on the vessel surface was required to help promoting precipitation. The beige solid was collected by 131 132 filtration and air-dried (305 mg). 1H NMR characterization in CDCl3 revealed that the major 133 components of this solid are trans-N,L-[Pt(C,N)Cl(DMSO)] (A1) and trans-N.L-[Pt(C,N)Cl(Ph2C=NH)] (A2) in a 3:1 molar ratio. 1H NMR (400MHz, CDCl3, 298 K) (selected data): 134 A1: 8.83 (br s, 1 H, NH), 8.27 (d, 3JHH = 7.6, 3JPtH = 48.0, 1H, H2), 3.56 (s, 3JPtH = 21.4, 6H, CH3); 135 A2: 10.0 (br s, 1H, NH), 8.92 (br s, 1H, NH), 8.29 (d, 3JHH = 7.2, partially overlapped with H2 of A1, 136 1H, H2). MSESI (+) (CH3CN:H2O, (1:1)), m/z: A1: 494.1 (calcd. 494.1) [M - Cl + CH3CN]+, 453.1 137 (calcd. 453.1) [M-Cl]+; A2: 597.2 (calcd. 597.2) [M-Cl+CH3CN]+, 556.1 (calcd. 556.1) [M-Cl]+. 138

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The mixture A (297 mg) was dissolved in acetone (50 mL) giving an orange solution, which was 145 subsequently treated with triphenylphosphane (160 mg, 0.61 mmol) at room temperature. After 2 h and 146 30 min of stirring, the resulting mixture was concentrated under vacuum. Addition of diethyl ether 147 148 (approx. 10 mL) produced the formation of a yellow precipitate, which was filtered and air-dried (290 149 mg). An additional crop was obtained from the ether mother liquor, which was concentrated to dryness and afterwards vigorously stirred in hexanes (ca. 25 mL) for one day. The yellow solid formed was 150 151 filtered off and dried in air (40 mg). Both crops were combined, dissolved in ethyl acetate, and subjected to column chromatography (SiO2, 3.0 cm \times 30 cm) using a 100:60 hexanes: ethyl acetate mixture as 152 eluent. The eluted band led to the required product after solvent removal and addition of hexanes (ca. 153 154 10mL). The yellow solid obtained was recovered by filtration and air-dried (128 mg, 27% yield, relative to the starting cis-[PtCl2(DMSO)2]). Yellow crystals of 5 suitable for X-ray analysis were grown at 155 room temperature from a dichloromethane solution layered by hexanes in a 1:1 volume ratio. IR 156 (selected data), v (cm-1): 3315 (NH st), 1583 (C = N st), 1096 (q, X-sensitive PPh3). 1H NMR (400 157 MHz, CDC13, 298 K): 9.34 (br s, 1 H, NH), 7.80–7.75 (m, 6 H, o-PPh3), 7.61–7.52 (m, 5 H, H8 + H9 158 159 + H10), 7.47–7.37 (m, 9 H, p-PPh3 + m-PPh3), 7.16 (d, 1 H, 3JHH = 7.8, H5), 6.92–6.88 (m, 1 H, H4), 6.74–6.58 (m, 2 H, H2 + H3). 13C–{1H} NMR (101 MHz, CDCl3, 298 K): 189.1 (d, 3JCP = 2.6, C7 = 160 NH), 145.9 (d, 3JCP = 1.5, C6), 145.3 (d, 2JCP = 6.7, C1), 137.8 (d, 3JCP = 5.9, C2), 135.4 (d, 2JCP = 161 11.3, o-CPPh3), 134.9 (d, 4JCP = 5.0, C8), 132.7 (d, 4JCP = 2.0, C3), 131.3 (s, C5), 131.2 (s, C11), 162 130.8 (d, 4JCP = 2.5, p-CPPh3), 130.1 (d, 1JCP = 60.2, i-CPPh3), 129.0 (s, C10), 128.0 (d, 3JCP = 11.0, 163 m-CPPh3), 127.8 (s, C9), 122.6 (s, C4). 31P-{1H} NMR (162 MHz, CDCl3, 298 K): 22.64 (s, 1JPtP = 164 4068). 195Pt-{1H} NMR (86 MHz, CDCl3, 298 K): -4206.6 (d, 1JPtP = 4109). MS-ESI (+) 165 166 (CH3CN:H2O, (1:1)), m/z: 1309.2 (calcd. 1309.2) [2M - Cl]+, 678.2 (calcd. 678.2) [M - Cl + CH3CN]+, 637.1 (calcd. 637.1) [M-Cl]+. Anal. Calcd. for C31H25CINPPt: C 55.32%, H 3.74%, N 167

168 2.08%. Found: C 55.16%, H 3.81%, N 1.97%.

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170 2.4. X-ray crystal structure determination of compound 5

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172 A yellow prism-like specimen of compound 5 (C31H25ClNPPt), approximate dimensions 0.208 mm \times 0.249 mm × 0.554 mm, was used for the X-ray crystallographic analysis. The X-ray intensity data were 173 174 measured on a D8 Venture system equipped with a multilayer monochromator and a Mo microfocus (λ 175 = 0.71073 Å). A total of 2848 frames were collected. The total exposure time was 7.91 h. The frames 176 were integrated with the Bruker SAINT software package [34] using a narrow-frame algorithm. The integration of the data using a triclinic unit cell yielded a total of 91349 reflections to a maximum θ 177 178 angle of 36.42° (0.60 Å resolution), of which 24376 were independent (average redundancy 3.747, completeness = 99.7%, Rint = 4.35%) and 23182 (95.10%) were greater than $2\sigma(F2)$. The final cell 179 180 constants of a = 9.709(1) Å, b = 10.255(1) Å, c = 14.027(2) Å, $\alpha = 74.231(3)^{\circ}$, $\beta = 72.613(4)^{\circ}$, $\gamma = 74.231(3)^{\circ}$ 181 $77.491(4)^{\circ}$, volume = 1268.6(3) Å3, are based upon the refinement of the XYZ-centroids of 195 reflections above 20 σ (I) with 5.583° b 20 b 58.11°. Data were corrected for absorption effects using the 182 183 multi-scan method (SADABS). The ratio of minimum to maximum apparent transmission was 0.727. 184 The structure was solved and refined using the Bruker SHELXTL Software Package [35], with Z = 2for the formula unit, C31H25ClNPPt. The final anisotropic full-matrix least-squares refinement on F2 185 186 with 316 variables converged at R1 = 1.67%, for the observed data and wR2 = 4.29% for all data. The 187 goodness-of-fit was 1.116. The largest peak in the final difference electron density synthesis was 1.100 e-/Å3 and the largest hole was -1.695 e-/Å3 with an RMS (Root Mean Square) deviation of 0.122 188 $e^{-/A3}$. On the basis of the final model, the calculated density was 1.762 g/cm3 and F(000), 656 e - . 189

- 190 2.5. Cell culture
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- Breast cancer [MCF-7 (Michigan Cancer Foundation-7) and MBAMD-231(M. D. Anderson-Metastasic
 Breast-231)] and colon cancer [HCT-116 (Human Colon Tumor-116)] cellswere grown as a monolayer
 culture in minimum essential medium (DMEM with L-glutamine, without glucose and without sodium
 pyruvate) in the presence of 10% heat-inactivated fetal calf serum, 10 mM D-glucose and 0.1%
 streptomycin/penicillin, in standard culture conditions (humidified air with 5% CO2 at 37 °C).
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- 198 2.6. Cell viability assay
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200 A stock solution (50 mM) of each compound was prepared in high purity DMSO. Then, serial dilutions 201 were made with DMSO (1:1) and finally a 1:500 dilution of the diluted solutions of compounds on cell 202 media was prepared. In this way DMSO concentration in cell media was always the same. The assay was performed as described by Givens et al. [36]. HCT-116, MDA-MB-231 and MCF-7 cells were 203 plated at 5000 cells/well, respectively, in 100 mL media in tissue culture 96-well plates (Cultek). After 204 205 24 h, media was replaced by 100 mL/well of drug serial dilutions. Control wells did not contain 206 compounds 1-5. Each point concentration was run in triplicate. Reagent blanks, containing media and colorimetric reagent without cells were run on each plate. Blank values were subtracted from test values 207 208 and were routinely 5-10% of the control values. Plates were incubated 72 h. Hexosaminidase activity 209 was measured according to the following protocol. The media were removed and cells were washed 210 once with PBS (Phosphate Buffered Saline). Then, 60 mL of substrate solution (pnitrophenol-N-acetylβ-D-glucosamide 7.5 mM, sodium citrate 0.1 M at pH5.0, and 0.25% Triton X-100) was added to each 211 212 well and incubated at 37 °C for 1–2 h. After this incubation time, a bright yellow appeared. Then, the plates were developed by adding 90 mL of developer solution (glycine 50 mM, pH 10.4; EDTA 5 mM) 213 214 and the absorbance was recorded at 410 nm.

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- 216 2.7. Antibacterial activity
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218 Test compounds (1-5) were screened to determine their antibacterial activity against six bacterial 219 strains; three gram positive Staphylococcus aureus (ATCC 6538), Micrococcus luteus (ATCC 10240) and Bacillus subtilis (ATCC 6633) and three gram negative Escherichia coli (ATCC 15224), 220 221 Enterobacter aerogenes (ATCC 13048) and Bordetella bronchiseptica (ATCC 4617) by using "Disc 222 diffusion method" [37–39]. The organisms were cultured in nutrient broth at 37 °C for 24 h. One percent 223 broth culture containing approx. 106 colony-forming units (CFU/mL) of test strain were added to 224 nutrient agar medium at 45 °C and poured into sterile petri plates. The mediumwas allowed to solidify. Five microliters of the test compound (40 mg/mL in DMSO) was poured on 4-mm sterile paper disks 225 226 and placed on nutrient agar plates respectively. In each plate DMSO served as negative control and standard antibacterial drugs roxithromycin (1 mg/mL) and cefixime (1 mg/mL) served as a positive 227 control. Triplicate plates of each bacterial strainwere prepared. The plates were incubated at 37 °C for 228 229 24 h. The antibacterial activity was determined by measuring the diameter of zones showing complete 230 inhibition (mm).

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233 2.8. DPPH (1,1-diphenyl-2-picrylhydrazyl) free radical scavenging assay

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The scavenging activity of DPPH free radicals of compounds 1-5 was determined according to the 235 method reported earlier with minor modifications [40,41]. A stock solution (5 mg/mL) of test solution 236 was prepared in DMSO. Serial dilutions were carried out to obtain concentrations of 5, 10, 20, 40, 100, 237 238 200 µg/mL. 15 µL of each test sample or DMSO in case of negative control was mixed with 2985 µL of 0.1 mM methanolic solution of DPPH in glass vials so that the final volume was 3 mL. The vials were 239 capped and reaction mixture was incubated for 30 min at 37 °C in dark. After incubation the change in 240 241 colour (from deep-violet to light-yellow) of DPPH solution was measured by taking absorbance of reaction mixtures at 517 nm on a PDA (photo diode array) spectrophotometer (Agilent 8453). Mixture 242 of 2985 µL of methanol and 15 µL of DMSOwas used as a blank for spectrophotometric measurements. 243 244 Each concentration was assayed in triplicate. Ascorbic acid was used as a reference standard and dissolve in distilled water to make the stock solution with the same concentration (5 mg/mL). Control 245 246 was prepared containing the same volume without any test solution and reference ascorbic acid. The % scavenging of the DPPH free radical was calculated by using the following formula. 247

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249	% ccovonging activity —	absorbance of control-absorbance of test sample	v 100
249	%scavenging activity =	absorbance of control	- x 100

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251 2.9. DNA migration studies

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253 A stock solution (10 mM) of each compound was prepared in high purity DMSO. Then, serial dilutions were made in MilliQ water (1:1). Plasmid pBluescript SK + (Stratagene) was obtained using QIAGEN 254 255 plasmid midi kit as described by the manufacturer. Interaction of drugs with pBluescript SK + plasmid DNA was analyzed by agarose gel electrophoresis following a modification of the method described by 256 257 Abdullah et al. [42]. Plasmid DNA aliquots (40 µg/mL)were incubated in TE buffer (10 mM Tris-HCl, 258 1 mM EDTA, pH 7.5) with different concentrations of compounds 1-5 ranging from 0 µM to 200 µM 259 at 37 °C for 24 h. Final DMSO concentration in the reactions was always lower than 1%. For comparison, cisplatin and ethidium bromide were used as reference controls. Aliquots of 20 µL of the incubated 260 261 solutions of compounds containing 0.8 µg of DNA were subjected to 1% agarose gel electrophoresis in TAE buffer (40 mM Tris-acetate, 2 mM EDTA, pH 8.0). The gelwas stained in TAE buffer containing 262 263 ethidiumbromide (0.5 mg/mL) and visualized and photographed under UV light.

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265 2.10. Cathepsin B inhibition assay

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Aldrich (C6286). The colorimetric cathepsin B assay was performed as described by Casini et al. [27], 267 268 with few modifications. Briefly, the reaction mixture contained 100 mM sodium phosphate (pH 6.0), 1 mM EDTA and 200 µM sodium N-carbobenzoxy-L-lysine p-nitrophenyl ester as substrate. To have the 269 270 enzyme catalytically active before each experiment the active site of the cysteine was reduced by treatment with dithiothreitol (DTT). For this purpose, 5 mM DTT was added to cathepsin B sample, 271 before dilution, and incubated 1 h at 30 °C. To test the inhibitory effect of the compounds 1-5 on 272 cathepsin B, activity measurements were performed in triplicate using fixed concentrations of enzyme 273 274 (500 nM) and substrate (200 μ M). Compounds were used at concentrations ranging from 10 to 100 μ M. 275 Previous to the addition of substrate, cathepsin B was incubated with the different compounds at 25 °C

- for 24 h. The cysteine proteinase inhibitor E-64 was used as a positive control of cathepsin B inhibition.
- at 326 nm on a UV-1603 spectrophotometer (Shimadzu).

281 **3. Results and discussion**

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283 3.1. Synthesis of compounds 1–5

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Scheme 1 shows the methods of preparation of compounds 1–5 and the numbering of the hydrogen and
carbon atoms of the benzophenone imine for the discussion that follows.

287 Cyclopalladated benzophenone imines 1-4 were prepared according to themethods recently reported by our research group [32]. Mixture A was prepared by a modification of the reported procedure for the 288 cycloplatination of benzophenone imine [43,44]. Cis-[PtCl2(DMSO)2] and NaOAc inmolar ratio 1:1 289 were treated with an excess of benzophenone imine in dry methanol under nitrogen and at reflux for 24 290 291 h. In these conditions, we were able to isolate mixture A in a moderate amount, which contained as 292 major components the cycloplatinated compounds [Pt(C,N)Cl(L)] A1 (L= DMSO) and A2 (L= 293 benzophenone imine). Other minor compounds present in mixture A could not be characterized. Mixture A was studied by 1H NMR and ESI mass spectrometry. Analysis of the integrals of the 1H NMR 294 295 indicates that compounds A1 and A2 were the major components of mixture A and that the molar ratio 296 between them was $A1/A2 \approx 3/1$. Compound 5 of formula trans-N,P-[Pt(C,N)Cl(PPh3)]was prepared by reaction between mixture A and PPh3 via a substitution reaction of the L ligand in compounds A1 and 297 A2 for the PPh3 ligand. Compound 5 was obtained in 27% yield relative to the initial cis-298 299 [PtCl2(DMSO)2] and was fully characterized by elemental analysis, IR, NMR and mass spectrometry. 300 In addition, its crystal structure was determined by X-ray diffraction.

301 In the IR spectrum, compound 5 presented the N\H and C N stretchings and the q X sensitive band of 302 the coordinated PPh3molecule at 3315, 1583 and 1096 cm-1, respectively [32]. In the ESI mass 303 spectrometry, compound 5 produced intense signals for the cations [M - Cl + CH3CN]+ and [M - Cl]+, 304 in accordance with the labile nature of Pt(II)\Cl σ bond and the coordinative nature of themolecules of acetonitrile, which was used as a solvent in this technique [45]. The most interesting features of the 1H 305 306 NMR spectrum of compound 5 were: i) the lack of the signal due to theH1 proton, which demonstrated 307 its ortho-metallated nature, ii) the chemical shift of its NH proton (9.34 ppm) relative to free 308 benzophenone imine (8.40 ppm), which was consistent with the coordination of the iminic nitrogen to 309 the platinum(II) center, and iii) the chemical shift of the H2-H5 protons of the ortho-platinated phenyl ring in the interval between 7.16 and 6.58 ppm, which was consistent with the trans-N,P configuration 310 of compound 5 [32,45–48]. Protons H2–H5 present these low chemical shifts because they are located 311 in the shielding zone of the PPh3 aromatic rings of compound 5 [32,35–38]. The kC1, kN chelate 312 313 coordinationmode of the benzophenone imine in compound 5 could also be determined by 13C-{1H} NMR since, in this experiment, the 13C (C1 and C7) atoms produced doublets due to the coupling of 314 315 these 13C nuclei with the 31P nucleus [45].

316 31P-{1H} NMR of compound 5 produced a singlet at 22.64 ppmwith the expected satellites for 195Pt 317 with a coupling constant between 31P and 195Pt of 4068 Hz, and the 195Pt-{1H} NMR produced a 318 doublet at -4206.6 ppm with a coupling constant between 31P and 195Pt of 4068 Hz. These chemical 319 shifts for 31P and 195Pt and coupling constant between 31P and 195Pt were quite similar to those 320 previously reported for compounds of formula trans-N,P-[Pt(C,N)Cl(PPh3)], being (C,N) an ortho-321 cycloplatinated benzalimine or cycloplatinated ferrocenylimine with the iminic bond included in the 322 metalacycle [49,50].

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326 3.2. Molecular crystal structure of compound 5

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Suitable crystals for the X-raymolecular crystal structure determination of compound 5 were grown by 328 slow evaporation of a solution of compound 5 in CH2Cl2/hexane in a volume ratio 1:1. Compound 5 329 crystallized in the triclinic space group P-1 with Z = 2. Fig. 1 shows the X-ray molecular structure of 5 330 331 and gives selected distances and bond angles. The molecular structure determined by X-ray diffraction 332 confirms the proposed structure for compound 5. The benzophenone imine is coordinated in a chelate form to the platinum(II) through the N1 and C13 atoms, and a chlorido ligand and the phosphorus atom 333 334 of the triphenylphosphane ligand complete the square-planar coordination sphere of the platinum(II) center. The triphenylphosphane ligand is in trans position to the iminic nitrogen atom, in accordance 335 with the trans-N,P configuration proposed for compound 5 by NMR. Distances and angles around the 336 337 platinum(II) center are between the normal intervals [50], being the C(13)-Pt(1)-N(1) [79.80(5)°] and C(13)-Pt(1)-Cl(1) [167.74(4)°] those that deviated most from the ideal angles for a square planar-338 339 geometry (90 and 180°). The atoms coordinated to the platinum(II) center (Cl1, P1, C13 and N1) were almost in a plane and the metalacycle (Pt1, C13, C8, C1 and N1) was practically planar. The N1 atom 340 341 (-0.068 Å) for the coordination plane and the C1 (-0.045 Å) atom for the metalacycle were those that 342 were deviated most from their respective planes. The coordination plane (Cl1, P1, Cl3 and N1) and the ortho-metalated phenyl ring (C13, C12, C11, C10, C9 and C8) were almost coplanar, being the angle 343 between these two planes 4.84°, and the angle between the planes of the non-metalated phenyl ring (C7, 344 345 C6, C5, C4, C3 and C2) and the metalacycle was 45.81°.

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347 3.3. Stability and behavior in solution of compounds 1–5

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Compounds 1-5 were stable for long periods of time in contact with air, both in the solid state and in 349 350 CDCl3 solution. These compoundswere also soluble in DMSO. In this solvent, the dinuclear compounds 1 and 2 should be converted by a splitting reaction into the mononuclear compounds I of formula trans-351 352 N,S-[Pd(C,N)(S-DMSO)X] (X = OAc or Cl) [45,51]. In DMSO as solvent, the exchange of PPh3 for 353 DMSO in compounds 3–5 does not seemlikely because the favorable thermodynamic reaction is the 354 inverse of this reaction [52]. In addition, in the biological media, complexes I, 3, 4 and 5 could be 355 converted into the ionic aqua complexes [Pd(C,N)(H2O)2]X (X=OAc, Cl) (compounds II) and trans-N,P-[M(C,N)(PPh3)(H2O)]X (M = Pd, X = OAc or Cl and M = Pt, X = Cl) (compounds III) by 356 substitution of the chlorido and DMSO ligands of compounds I and the chlorido ligands of compounds 357 358 3-5 for water molecules, respectively. Therefore, we propose that the species responsible for the 359 biological activities studied below are the ionic aqua complexes II and III commented above [32].

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363 3.4. Antiproliferative studies

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Compound 5was evaluated in vitro for inhibition of cell proliferation against MDA-MB-231 and MCF-7 human breast cancer cell lines, using cisplatin as a positive control. All the investigated compounds (1–5) were also evaluated against the cisplatin resistant HCT-116 human colon cancer cell line using cisplatin as a reference. The effects of the assayed palladacycles (1–4) and platinacycle (5) on the growth of the selected cell lines were assessed after 72 h and the IC50 values of compounds 1–5 resulting from an average of two experiments are listed in Table 1. The cytotoxicity of compounds 1–4 towards the
human breast MDA-MB-231 andMCF-7 cancer cell lines has been previously reported and their IC50
values are included in Table 1 for a comparative purpose [32].

The in vitro data for the antiproliferative effect of 1-5 (Table 1) reveals that the different complexes 373 374 inhibit cell proliferation in varying degrees depending on the cell line assayed. Most of the compounds 375 exhibited a remarkable antiproliferative activity with IC50 values lower than those of cisplatin in the 376 three cell lines assayed. Platinacycle 5 showed the highest cytotoxicity towards the HCT-116 cancer 377 cells, while palladacycles 3 and 4 were the most potent against the MDAMB-231 and MCF-7 cancer cells. Interestingly, platinacycle 5 was found to inhibit cell growth proliferation of the HCT-116 colon 378 379 cell line at a concentration approximately four times lower than cisplatin. In addition, we reported 380 recently [32] that compounds 3 and 4 were approximately four times more potent than cisplatin against 381 the MDA-MB231 and MCF-7 human breast cancer cell lines. It should also be noted that free benzophenone iminewas not active against the studied tumor cell lines. 382

383

384 3.5. Antibacterial activity

385

Resistance to chemotherapeutic agents is a common drawback of both anticancer and anti-infectious treatments. Hence, there is a need for new class of chemotherapeutic drugs in treating cancer cells and pathogenic microorganisms.On the other hand, patientswith neoplastic disorderswho are subjected to chemotherapeutic treatment are susceptible to microbial infections due to the subsequent drop of immunity. Therefore, the search of single drugs,whichwould possess dual anticancer and antibacterial activity, might be advantageous both therapeutically and cost-effectively.

Based on the previous assumptions, compounds 1–5 were screened for their antibacterial activity against three Gram-positive (S. aureus, M. luteus and B. subtilis) and three Gram-negative (E. coli, E. aerogenes and B. bronchi) bacterial strains by the disk diffusion method. The commercial antibiotics cefixime (third generation cephalosporin) and roxithromycin (semi-synthetic macrolide) were used as positive controls. The results of antibacterial activities in the form of MIC are summarized in Table 2.

397 Compounds 1-5 showed varying degrees of antibacterial activity with MIC values in the interval 0.18-398 0.34 µM against the studied Gram-positive and Gram-negative bacterial strains (see Table 2). The antibacterial activity of compounds 1-5 is in between the ranges of activity of the commercial antibiotic 399 400 cefixime and roxithromycin. It is interesting to note that all of the compounds showed antibacterial 401 activity against the Gram-negative E. aerogenes strain and that compounds 1-5 were more active against 402 Gram-negative strains than Grampositive strains. This latter result is interesting since Gram-negative 403 bacterial resistance is a burgeoning problem in intensive care units [53]. The best antibacterial activity 404 was provided by platinacycle 5, which exhibit MIC values lower than that of roxithromycin against E. 405 coli (Gram-negative), E. aerogenes (Gram-negative) and B. subtilis (Gram-positive).

406

407 3.6. Antioxidant activity

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The potential preventive anticancer effects of antioxidants, found in high concentrations in many phytochemicals, have become a major focus of research in recent years. These agents block free radical formation as an important mediator of their anticancer effects. Despite accumulating evidence to suggest an important role of antioxidants in cancer prevention, there has been much controversy over their potential therapeutic applications [54–58]. Very recently, antioxidant phytochemicals have found a chemopreventive effect in platins based chemotherapies [59–61]. Furthermore, the biological activity
of specific platinum derivatives, such as the platinum nitrosyl complexes are presumable due in part to
the antioxidant properties of the nitrosyl pharmacophore [62]. Therefore we were interested in
evaluating the antioxidant capability of the studied cyclometalated complexes.

The antioxidant activity of compounds 1–5 was evaluated by their DPPH (1,1-diphenyl-2picrylhydrazyl) free radical scavenging activity. The DPPH monoradical presents a strong absorption at 517 nm, which gives place to its violet colour. DPPH colour changes to light yellow when it accepts an electron or a hydrogen atom from an antioxidant compound. This discoloration can be quantitatively measured from the change in absorbance. Free radical scavenging activity of antioxidant compounds is concentration-dependent. Then, the radical scavenging activity increases as concentration of the antioxidant compound increases, and a low IC50 value reflects a high antioxidant activity [40,41].

425 DPPH free radical scavenging activities of complexes 1–5 were assayed at six concentrations (200, 100, 426 40, 20, 10 and 5 µg/mL) and the results are summarized in Table 3. Ascorbic acid was used as a positive 427 control. As expected, DPPH free radical scavenging activity of tested compounds was concentration dependent. Hence higher radical scavenging was observed at higher concentrations, i.e. 200 µg/mL. 428 429 DPPH scavenging analysis showed that compounds 1-2 and 4-5 are good scavengers of DPPH by 430 scavenging 69-81% of the radicals at 200 µg/mL. This scavenging activity is very close to that of 431 ascorbic acid (87%) at the same final concentration (200 μ g/mL). IC50 values for complexes 1–2 and 4–5 for the DPPH free radical scavenging were in the interval between 0.12 and 0.14 μ M. It should be 432 433 noted that the mononuclear palladium(II) compound 3, with the terminal acetate ligand did not present 434 any significant antioxidant activity. At present, we cannot give a mechanism for the DPPH scavenging 435 activity of these kinds of compounds.

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438

439 3.7. DNA migration studies

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The interaction of compound 5 withDNA was studied by its ability to modify the electrophoretic mobility of the supercoiled closed circular (ccc) and the open circular (oc) forms of pBluescript SK + plasmid DNA (Fig. 2). The ccc formusuallymoves faster due to its compact structure. For comparison purposes cisplatin and ethidium bromide were included in the experiment. When the test compounds were incubated with plasmid DNA at 37 °C, they coordinated to DNA molecule, which in some extentwas cleaved into fragments, and the brightness of the band diminished in gel.

In spite of the high antiproliferative activity of compound 5, it was less efficient than cisplatin for
removing the supercoils frompBluescript SK+ plasmid DNA, suggesting that the unwinding of the DNA
is not the key factor responsible of their cytotoxicity (Fig. 2) [32].

450 An unwinding experiment was performed with increasing concentration of compound 5 ranging from 0 451 to 200 μ M and 40 μ g/mL of pBluescript (Fig. 2). In the presence of platinacycle 5, the rate of migration

452 of the supercoiled band (ccc) decreased and tended to approach that of the nicked relaxed band (oc) at

453 concentration 25 µM. At higher concentration, an unwinding of negative to positive supercoiled DNA

454 was displayed in the electrophoretogram (Fig. 3, compound 5, lanes 6–8). The same effect was observed

455 for cisplatin (Fig. 2, cisplatin, lanes 3 and 5).

456 Compounds 1–4 were less efficient than compound 5 in removing the supercoils of DNA [32]. Up to 50 457 μ M, only a slight decrease in the rate of migration of the supercoiled closed circular form was observed

- for the dinuclear compound 2. At 100 μ M, palladium compounds 1–4 greatly altered the mobility of the plasmid DNA. The unwinding of negative to positive supercoiled DNA was also observed for the Pd(II) complexes 1–4 but at 200 μ M.
- Thus, on the basis of the alteration of the electrophoretic mobility of pBluescript plasmid DNA, it is hypothesized that compounds 1–5 alter the DNA tertiary structure by the same mechanism than cisplatin, but at higher concentrations.
- 464
- 465 3.8. Cathepsin B inhibition
- 466

The cytotoxicity of some dinuclear biphosphane palladacycles (Fig. 3, compounds X) [22] and mononuclear platinacycles with a fluorinated phosphane ligand (Fig. 3, compounds Y) [28] has been related to their cathepsin B inhibitory properties. In addition, several mononuclear cyclopalladated and cycloaurated complexes (Fig. 3, compounds Z) with antiparasitic activity were also excellent inhibitors of cathepsin B [12]. Considering the interaction of cyclopalladated compounds with cathepsin B, it is worthy of mention that their inhibition properties are very dependent on their structure and their IC50 values vary in a very wide range [22].

Based on the precedent results, we were interested in testing the efficiency of compounds 1–5 as inhibitors of cathepsin B. Fig. 4 shows that compounds 1–5 inhibit cathepsin B in a dose dependent manner but none of compounds 1–5 had a high efficiency as cathepsin B inhibitor. Among them, only compound 4 inhibited more than 50% the enzyme activity at 100 μ M concentration. Platinacycle 5 presented a cathepsin B inhibition efficiency lower than that of palladacycles 1–4. This result should be related with the great reactivity of the palladium(II) centers in compounds 1–4 in relation to the platinum(II) center in compound 5 [8].

The low activity of compounds 1–5 for the inhibition of cathepsin B could be related with an insufficient targeting of compounds 1–5 for the active site of cathepsin B orwith a lack of reactivity of their metal center for the active site of cathepsin B [63]. It should be noted that a hydrogen bond between the N–H function of compounds 1–5 and the anionic X ligand trans to the metalated carbon atom could render the metal centers of compounds 1–5 less reactive to substitution reactions than expected.

487 4. Conclusions

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The chemotherapeutic properties of cyclopalladated and cycloplatinated benzophenone imines studied in this work and in a previous one [32] show that these kinds of compounds have potential as anticancer agents, and they also present antibacterial and antioxidant activity. The best results for the three activities reported here (cytotoxicity versus HC-T116 colon cancer cells, antibacterial activity againstGramnegative microorganisms and antioxidant properties) were found for the newly prepared and characterized cycloplatinated complex 5.

495 Cyclopalladated compounds 3–4 showed a remarkable antiproliferative activity against MDA-MB-231 496 and MCF-7 breast human cancer cell lines and produced an approximately four-fold increase in potency 497 with regard to cisplatin [32]. In addition, platinacycle 5 also showed an approximately four-fold increase 498 in potency in relation to cisplatin in human HCT-116 colon cancer cells [this work]. These results 499 uncover a greater selectivity of platinacycle 5 against the HCT-116 colon cancer cell line, whereas 500 palladacycles 3 and 4 exhibit a greater selectivity for MDA-MB231 and MCF-7 breast cancer cells.

501 Interestingly, compounds 1–5 also presented antibacterial and antioxidant activity. Compounds 1–5 502 exhibited greater activity against Gram-negative than against Gram-positive bacterial strains, with MIC 503 values between those of the commercial antibiotic cefixime and roxithromycin. The platinumcompound 504 5 turned out to bemore potent in antibacterial activity than palladiumcompounds 1–4. Complexes 1–2 505 and 4–5 presented also antioxidant activity with IC50 values in the interval $0.12-0.14 \mu$ M. The nature 506 of the metal center (Pt vs. Pd) does not discriminate upon the radical scavenging activity of the assayed 507 cyclometalated complexes.

508 With regard to plausible target biomolecules for compounds 1–5, these metalacycles altered the DNA 509 tertiary structure in a similar way as the standard reference cisplatin but at higher concentrations. Thus, 510 retardation of the DNA mobility was observed at 25 μ M for compound 5 and at 100 μ M for compounds 1-4. On the other hand, compounds 1-5 did not present a high activity for the inhibition of cathepsin B, 511 being palladacycles 1-4 more active than platinacycle 5 versus the inhibition of cathepsin B. Then, there 512 513 is no correlation between the cytotoxic activity of the studied compounds 1-5 and their inhibitory activity on this cysteine protease. These results suggest that these classes of compounds (cyclopalladated 514 515 and platinated benzophenone imines), both with good cytotoxic activity, operate via a different 516 pharmacological mechanism in which DNA and cathepsin B are not the primary targets.

517 Work is in progress in our research group with the aims of firstly finding out a plausible primary target 518 biomolecule for these compounds, then to functionalize compounds 1–5 in an adequate form in order to 519 increase their potency as anticancer agents, and also to study the mechanism through that these 520 compounds scavenges the DPPH radicals.

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523

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637 Legends to figures

638

639 Scheme 1. i) Pd(OAc)2 (stoichiometric), HOAc, 60 °C, 24 h; ii) LiCl (excess), acetone, r.t., 2 h; iii)
640 PPh3 (stoichiometric), acetone, r.t., 2 h.; iv) cis-[PtCl2(DMSO)2], NaOAc (stoichiometric),
641 benzophenone imine (excess), methanol, reflux, 24 h, under N2.

642

643Figure 1. Crystal structure of compound 5. Hydrogen atoms have been omitted for clarity. Selected644bond distances (Å) and angles (°): Pt(1)-C(13) = 2.0110(12), Pt(1)-N(1) = 2.0485(10), Pt(1)-P(1) =6452.2347(4), Pt(1)-Cl(1) = 2.3622(4), N(1)-C(1) = 1.3000(16), C(1)-C(8) = 1.4586(17), C(8)-C(13) =6461.4279(17), C(13)-Pt(1)-N(1) = 79.80(5), C(13)-Pt(1)-P(1) = 96.66(4), N(1)-Pt(1)-P(1) = 173.76(3),647C(13)-Pt(1)-Cl(1) = 167.74(4), N(1)-Pt(1)-Cl(1) = 88.09(3), P(1)-Pt(1)-Cl(1) = 95.584(14), C(8)-C(13)-Pt(1) = 113.06(8), C(13)-C(8)-C(1) = 115.17(11), N(1)-C(1)-C(8) = 114.14(10).

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Figure 2. Interaction of pBluescript SK+ plasmidDNA (0.8 μ g)with increasing concentrations of compound 5, cisplatin and ethidiumbromide. Lane 1: DNA only. Lane 2: 2.5 μ M. Lane 3: 5 μ M. Lane 4: 10 μ M. Lane 5: 25 μ M. Lane 6: 50 μ M. Lane 7: 100 μ M. Lane 8: 200 μ M. ccc=supercoiled closed circular DNA. oc = open circular DNA.

654

Figure 3. Structural formula of the compounds under discussion for the cathepsin B inhibition.
Compounds X: Dinuclear biphosphane palladacycles [reference 22]. Compounds Y: Mononuclear
platinacycles with a fluorinated phosphane [reference 28]. Compounds Z: Mononuclear cyclopalladated
and cycloautared compounds [reference 12].

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Figure 4. Effect of compounds 1–5 on cathepsin B activity. The enzyme was preincubated for 24 h with 10 μ M (blue bars), 50 μ M (brown bars) or 100 μ M (green bars) of each compound. The activity is given as a percentage of the enzyme activity determined in the absence of the test compound. Data are shown as the mean values of the experiment performed in triplicate with the corresponding standard deviation.

664

665

Table 1 IC50 (µM) (50% inhibitory concentration) cytotoxicity for benzophenone imine, compounds 1-5 and cisplatin. Data are shown as the mean values of two experiments performed in triplicate with

the corresponding standard deviation.

Test compound	ICto (µM)					
	Cancer cell line					
	MDA-MB-231	MCF-7	HCT-116			
Benzophenone imine	>100	>100	>100			
1	15.0 ± 12^{a}	$14.0 \pm 4.2^{\circ}$	33 ± 3			
2	13 ± 1^{4}	11.0 ± 1.5^{a}	20.0 ± 0.7			
3	1.1 ± 0.3^{a}	$4.0 \pm 0.5^{*}$	18 ± 2			
4	1.1 ± 0.1^{a}	4.1 ± 0.9^{a}	20 ± 5			
5	50 ± 12	13.4 ± 1.5	11.0 ± 0.6			
Cisplatinh	65 ± 2.4	19.0 ± 4.5	40.0 ± 4.4			

^a Data previously reported [32].
 ^b Cis-[PtCl₂(NH₃)₂] is taken as reference compound.

673 Table 2 Antibacterial activity of compounds 1-5. S. aureus: Staphylococcus aureus. M. luteus:

674 Micrococcus luteus. B. subtilis: Bacillus subtilis. E. coli: Escherichia coli. E. aerogenes: Enterobacter

aerogenes. B. bronchi: Bordetella bronchiseptica. Triplicate plates of each bacterial strain were prepared. 675

676

Test compound (MW) ^b	MK ^a [µg × mL ⁻¹ (µM)] Bacterial strain							
	S. aureus ^e	M luteus ^c	R subtilis ^c	E. coll ^d	E ærogenes ⁴	B. bronchi ^d		
1 (691.38)	1	-	-	-	$150 \pm 2(0.22)$	$150 \pm 1(0.22)$		
2 (644.20)	-	$200 \pm 3(0.31)$	-	$200 \pm 2(0.31)$	$150 \pm 2(0.23)$	-		
3 (607.97)	-	-	-	-	$150.0 \pm 2.5 (0.25)$	$150.0 \pm 1.5 (0.25)$		
4 (584.38)	-	-	$200 \pm 2 (0.34)$	-	$200 \pm 1(0.34)$	-		
5 (673.04)	$125 \pm 1 (0.18)$	>200	$180 \pm 3 (0.27)$	$170 \pm 1(0.25)$	$160 \pm 1(0.24)$	$140.0 \pm 0.5 (0.21)$		
cefixime (453.45)	$20.0 \pm 0.5 (0.04)$	70 ± 1 (0.15)	$50 \pm 2(0.11)$	$50 \pm 1(0.11)$	80.0 ± 0.5 (0.18)	$60 \pm 1(0.13)$		
roxithromycin (837,047)	$150 \pm 3 (0.18)$	$700 \pm 3(0.84)$	$600 \pm 1 (0.72)$	$600 \pm 2(0.72)$	$500 \pm 2(0.60)$	$70 \pm 2(0.08)$		

 a MK = minimum inhibitory concentration that will inhibit the visible growth of a microorganism after 24 h of incubation. b MW = molecular weight.

Gram-positive. ^d Gran-negative. ^e - = No activity.

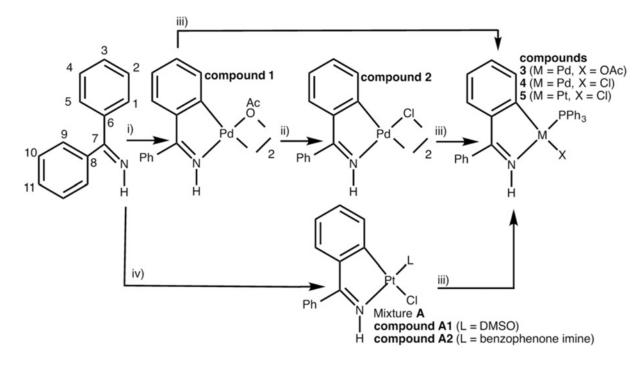
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680	Table 3 Antioxidant activity of compounds 1–5 expressed as the % of DPPH free radical scavenging.
681	Each concentration for the test compounds (200, 100, 40, 20, 10 and 5 μ g/mL) was assayed in triplicate.

Test compound (MW) ^c	x scavenging \pm SD ^a						
	Concentration µg/ml. (test compound)						
	200	100	40	20	10	5	
1 (691.38)	74 ± 1	54 ± 2	27.0 ± 0.3	15 ± 1	8.0 ± 0.5	0	87 ± 1 (0.13)
2 (644.20)	69 ± 2	52 ± 1	34 ± 1	220 ± 0.5	12 ± 1	5 ± 1	90.0 ± 0.5 (0.14
3 (607.97)	46 ± 1	370 ± 0.5	22.0 ± 0.5	160 ± 0.5	3.0 ± 0.5	0	>200
4 (584.38)	72 ± 2	56 ± 2	38 ± 2	21 ± 1	14 ± 1	9±2	74 ± 1 (0.13)
5 (673.04)	81 ± 3	57 ± 0.5	33 ± 1	240 ± 0.5	16 ± 2	1 ± 1	$81 \pm 1 (0.12)$
Asc. ac.d (176.12)	87.0 ± 0.5	84 ± 1	80.0 ± 0.3	700 ± 0.5	56 ± 1	35 ± 1	$8.8 \pm 0.5 (0.05)$

 $\label{eq:standard} \begin{array}{l} ^{a} \mbox{ SD} = \mbox{standard deviation}, \\ ^{b} \mbox{ IC}_{50} = 50\% \mbox{ inhibitory concentration}, \\ ^{c} \mbox{ MW} = \mbox{molecular weight}, \\ ^{d} \mbox{ Asc, ac} = \mbox{ assorbic acid}, \end{array}$



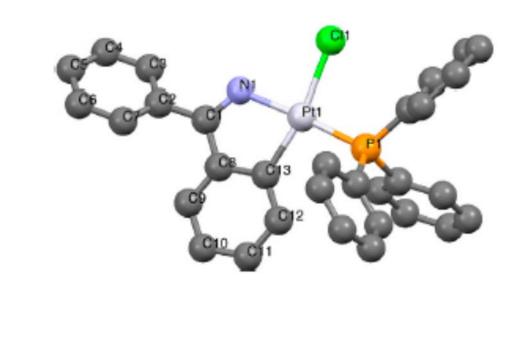
Scheme 1

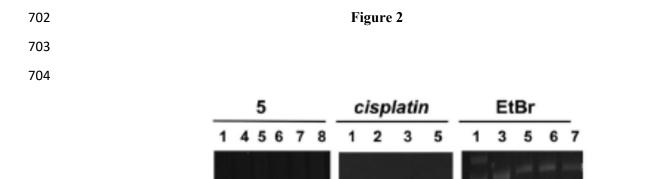






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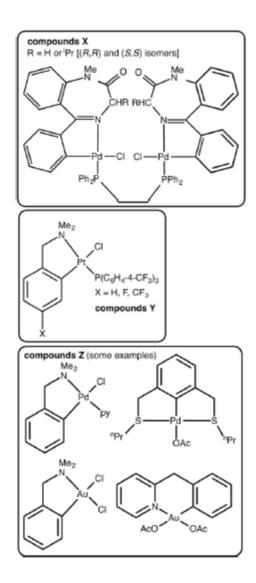




oc►

ccc►

Figure 3



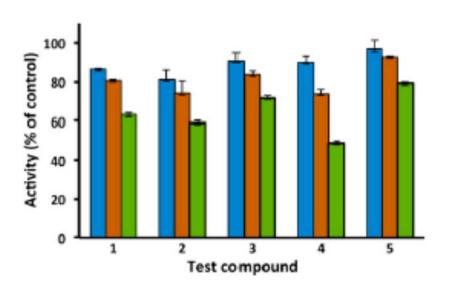


Figure 4