1	Chemical Characterization of Gas- and Particle-Phase
2	Products from the Ozonolysis of $\alpha$ -Pinene in the
3	Presence of Dimethylamine

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#### 12 ABSTRACT

Amines are recognized as key compounds in new particle formation (NPF) and secondary organic 13 aerosol (SOA) formation. In addition, ozonolysis of  $\alpha$ -pinene contributes substantially to the 14 formation of biogenic SOAs in the atmosphere. In the present study, ozonolysis of  $\alpha$ -pinene in 15 presence of dimethylamine (DMA) was investigated in a flow tube reactor. Effects of amines on SOA 16 formation and chemical composition were examined. Enhancement of NPF and SOA formation was 17 observed in presence of DMA. Chemical characterization of gas- and particle-phase products by high-18 resolution mass spectrometric techniques revealed the formation of nitrogen containing compounds. 19 Reactions between ozonolysis reaction products of α-pinene, such as pinonaldehyde or pinonic acid, 20 and DMA were observed. Possible reaction pathways are suggested for the formation of the reaction 21 22 products. Some of the compounds identified in the laboratory study were also observed in aerosol samples (PM1) collected at the SMEAR II station (Hyytiälä, Finland) suggesting that DMA might 23 affect the ozonolysis of  $\alpha$ -pinene in ambient conditions. 24

# 25 INTRODUCTION

Atmospheric particles are known to have a significant influence on global climate 26 change, regional air quality and human health.<sup>1-3</sup> They can be directly emitted by anthropogenic or 27 natural sources (primary aerosols), such as wood burning and fossil fuel combustion. However, a 28 significant fraction of atmospheric aerosol is organic in nature and often dominated by secondary 29 organic aerosols (SOAs) formed from the oxidation of volatile organic compounds (VOCs).<sup>4-5</sup> 30 Although SOAs contribute to a major mass fraction of ambient fine particle matter (PM<sub>2.5</sub>), current 31 models continue to under-predict the SOA mass observed during field measurements. In addition, 32 uncertainties remain in the chemical processes governing the SOA formation and aging. 33 Understanding the potential species driving SOA formation and aging is therefore critical for the 34 prediction of aerosol impact on climate change and human health. 35

Amines, ubiquitous in the atmosphere, are emitted into the atmosphere by a large variety 36 of anthropogenic and natural sources. Globally, animal husbandry, combustion processes and 37 industry are the main anthropogenic sources while oceans, vegetation and soils represent the main 38 natural sources.<sup>6</sup> Ge et al.<sup>6</sup> have identified more than 150 atmospheric amines emitted from either 39 anthropogenic or natural sources in the atmosphere. Low-molecular weight aliphatic amines, such as 40 methylamine (MA), dimethylamine (DMA), trimethylamine (TMA) or ethylamine (EA), are the most 41 abundant amines in the atmosphere. For instance, Kieloaho et al.<sup>7</sup> have reported that the combined 42 concentration of the major alkylamines (EA and DMA) in the boreal forest in southern Finland is 43 around 150 pptv. DMA has been also detected as a major alkyl amine species in particles and cloud 44 water in semi-arid and coastal regions.<sup>8</sup> 45

Amines are highly reactive species and they are expected to play a key role in new particle formation (NPF) and SOA formation.<sup>6, 9-12</sup> For instance, they are more likely to enhance NPF than ammonia (NH<sub>3</sub>).<sup>9, 13-14</sup> Therefore, understanding the transformation and fate of amines and/or

NH<sub>3</sub> is currently one of the main challenges in the field of atmospheric chemistry. Other laboratory 49 studies have demonstrated that amines considerably enhance nucleation of the sulfuric acid-water 50 system.<sup>10,15-16</sup> Indeed, Almeida et al.,9 showed that a few ppt of DMA enhance the aerosols formation 51 rates of sulfuric acid by several orders of magnitudes. Evidence for the participation of amines in gas 52 and/or multiphase chemistry has also been demonstrated.<sup>10, 17-19</sup> Recent studies have suggested that 53 carbonyl compounds such as glyoxal,<sup>20</sup> methylglyoxal,<sup>21</sup> glycoaldehyde,<sup>20</sup> acetaldehyde<sup>20</sup> or 54 pinonaldehyde<sup>22</sup> are able to react in aerosol phase or bulk aqueous solution with small amines. The 55 resulting nitrogen (N)-containing compounds could then participate in SOA growth due to their low 56 vapor pressures. In addition, Stropoli and Elrod<sup>23</sup> have reported potential multiphase reactions 57 58 between amines and epoxides, which could further contribute to SOA formation. A previous experimental study has shown that the saturation vapor pressures of alkylaminium carboxylates are 59 lower than those of their organic acid precursors, likely explaining that alkylamine neutralization by 60 carboxylic acid enhances SOA formation.<sup>24</sup> In addition, a recent study has also shown that alkylamine 61 neutralization of carboxylic acids also enhanced the particle hygroscopicity and the cloud 62 condensation nuclei (CCN) activity.<sup>25</sup> It is worth nothing that, Mäkela et al.<sup>17</sup> have observed that 63 DMA concentrations were 30 times higher in aerosol samples collected during NPF events than those 64 in non-event samples in the boreal forest at Hyytiälä Forestry Field Station in Finland. Likewise, 65 Smith et al.,<sup>18</sup> have reported that aliphatic amines contributed to 23 % of the positive ions detected 66 during NPF events at the same boreal forest site, and to 47 % at an urban site in Tecamac, Mexico. 67 Finally, Tao et al.<sup>12</sup> have revealed that the heterogeneous uptake of amines is dominated by the acid-68 base reaction mechanism, which may contribute to particle growth in NPF events. 69

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In this context, the aim of this work was to improve our understanding of amine chemistry in the atmosphere and to assess their contribution to NPF and SOA growth. Since ozonolysis of  $\alpha$ -pinene contributes substantially to the formation of biogenic SOAs in the atmosphere, its reaction was investigated in the presence of DMA in a flow tube reactor to evaluate the effect of

amines on SOA formation and chemical composition. Gas-phase products were characterized using 74 75 an Aerodyne high-resolution time-of-flight chemical ionization mass spectrometer (HR-ToF-CIMS) equipped with iodide reagent ion chemistry. Aerosol size distribution was measured with a 76 differential mobility particle sizer (DMPS). In addition, gas- and particle-phase samples collected 77 from flow tube experiments were analyzed by ultra-high-performance liquid chromatography coupled 78 to electrospray ionization orbitrap mass spectrometry (UHPLC-HRMS). To confirm the relevance of 79 the laboratory findings to the ambient atmosphere, aerosol samples (PM<sub>1</sub>) were collected from the 80 SMEAR II boreal forest site at Hyytiälä, Finland, during May-June 2016 and analyzed by the same 81 off-line analytical methodologies. Quantum chemistry calculations were also used for the clarification 82 83 of enamine formation from pinonaldehyde and dimethylamine.

# 84 EXPERIMENTAL SECTION

85 Flow Tube Reactor Experiments. The ozonolysis of  $\alpha$ -pinene in the presence or absence of DMA was carried out in a borosilicate glass flow tube reactor (205 cm long, 4.7 cm i.d.). The experimental 86 set-up is presented in Figure S1 while the initial experimental conditions are detailed in Table 1. The 87 flow tube is operated using purified dry air at atmospheric pressure and room temperature (T = 29388  $\pm$  3 K) under laminar flow conditions.<sup>26-27</sup> The total gas flow was adjusted to 4.5 L/min resulting in a 89 90 residence time of 53 s in the flow tube reactor. The purified air was generated by an air purification system (AADCO, 737 Series) that runs on compressed air and reduces concentrations of O<sub>3</sub>/NO<sub>x</sub> and 91 non-methane hydrocarbons to less than 1 ppb and 5 ppb, respectively. In experiments E1-E3, 100-92 93 120 ppb (Table 1) of O<sub>3</sub> was generated by an ozone generator (Dasibi 1008-PC) and injected into the flow tube. When the ozone concentration, determined by an ozone analyzer (Thermo Scientific model 94 49), was stable, ~ 5 ppm of  $\alpha$ -pinene was introduced into the flow tube through a mobile injector 95 (Figure S1). The concentration of  $\alpha$ -pinene was estimated from the vapor pressure<sup>28</sup> and the measured 96 gas flows. Finally, ~ 500 ppb of DMA was introduced in the flow tube (Experiments E1'-E3') to 97 investigate the impact of amines on the ozonolysis of α-pinene. DMA (Sigma-Aldrich, 40 wt. % in 98

H<sub>2</sub>O) and α-pinene (Sigma-Aldrich, 98 %) were generated by flushing nitrogen (N<sub>2</sub>) through the 99 liquid compounds in glass bubblers. The DMA concentration was determined by GC-MS after solid 100 phase micro extraction (SPME) using a SPME Arrow (Carbon WR, CTC Analytik).<sup>29</sup> Details on 101 DMA calibration can be found elsewhere.<sup>29</sup> Aerosol size distributions were continuously measured 102 using a differential mobility particle sizer (DMPS) in order to monitor aerosol number, surface area, 103 and volume concentration. The different flows were controlled using mass flow controllers (MKS). 104 105 All experiments were performed without an OH radical scavenger. Once aerosol volume concentration stabilized as well as the gas-phase oxidation products, aerosols were collected on filters 106 (47 mm PTFE filters) and gaseous products on solid phase extraction (SPE) cartridges 107 108 (divinylbenzene), for 1 hour at a flow rate of 1 L/min. SPE sampling was used to identify semivolatile organic compounds in gas phase, complementary to on-line analysis by HR-ToF-CIMS. 109 Filters and SPE cartridges were stored in dark in a freezer at  $-18^{\circ}$ C until extraction. It is worth noting, 110 that clear memory effect was observed after switching off the injection of DMA, as it took long time 111 to restore the initial O<sub>3</sub> concentration. Hence, to ensure reproducibility, the flow tube was cleaned 112 between each experiments with high purity methanol (Sigma-Aldrich, HPLC grade) and flushed with 113 clean air overnight. Methanol extracts were kept after the reactions E2' and E3' in order to study the 114 chemical composition of the products adsorbed on the walls of the flow tube. 115

116 Chemical Characterization of Gas- and Particle-Phase Constituents. Real-time measurements of gas-phase oxidation products were performed with an Aerodyne high-resolution time-of-flight 117 chemical ionization mass spectrometer (HR-ToF-CIMS), equipped with iodide (I<sup>-</sup>) reagent ion 118 chemistry. Analyses were restricted to ions containing an iodide adduct, which guarantees detection 119 of the parent organic compound without substantial fragmentation. Iodide-HR-ToF-CIMS has been 120 described previously and demonstrated high sensitivity towards multifunctional oxygenated organic 121 compounds in the gas and particle phases.<sup>30-32</sup> Characterization of N-containing compounds from the 122 flow tube reactor experiments was performed using a Thermo Ultimate 3000 UHPLC coupled with 123

an Orbitrap Fusion TMS (Tribrid mass spectrometer) operated in positive mode. Detailed 124 characterization of analytical procedure has previously been described.<sup>22</sup> Before extraction, an 125 internal standard aliquot (caffeine, Sigma Aldrich, ReagentPlus®), controlled by gravimetry, was 126 added to the samples. Filter samples were extracted in 7.5 mL of acetonitrile (HPLC grade, Sigma 127 Aldrich) during 30 min of sonication at room temperature. Caffeine was used as an internal standard 128 for semi-quantification of N-containing reaction products, due to the lack of commercially available 129 130 authentic standards. Then, extracts were filtered through 0.45 µm PTFE syringe filters (Merck Millipore Ltd.) to remove insoluble particles. The SPE samples were extracted by slowly passing 7.5 131 mL of acetonitrile through the cartridges by vacuum. Finally, the extracts were dried under a gentle 132 133 stream of nitrogen at 30 °C and reconstituted with 100 µL of a 50/50 (v/v) mixture of acetonitrile and water (milli-Q water). Ten µL were injected onto the UPLC column (Phenomenex Luna Omega Polar 134  $C_{18}$  column,  $100 \times 2.1$  mm,  $1.6 \mu$ m) at a flow rate of 0.6 mL/min. The eluent composition was (A) 135 136 0.1 % formic acid in Milli-Q grade water and (B) 0.1 % formic acid (HPLC grade, Sigma Aldrich) in acetonitrile. The mobile phase gradient was initially 95:5 (v/v, A/B), increased to 100 % B along 15 137 min and returned to 95:5 (v/v, A/B) in 1 min and then kept for 4 min to equilibrate the column. 138

Ambient Samples. Boreal forest samples were collected from May 3 to June 26, 2016 at the Station 139 for Measuring Forest Ecosystem-Atmosphere Relations (SMEAR II) at Hyytiälä, in southern Finland 140 (61°50.845' N, 24°17.686' E, 179 m above sea level).<sup>33</sup> The largest nearby city is Tampere, situated 141 60 km southwest from SMEAR II with around 200 000 inhabitants. The most dominant species 142 emitted by the forest, mainly constituted by Scots pine and Norway spruces, are  $\alpha$ -pinene and  $\Delta^3$ -143 carene.<sup>34</sup> Ambient aerosols were collected using a high volume sampler equipped with PM<sub>1</sub> inlet at a 144 flow rate of 30 m<sup>3</sup>/h (Digitel DA-80) on quartz fiber filter (Sigma-Aldrich, Whatman®) with a 145 diameter of 150 mm. Prior to sampling, quartz fiber filters were calcined at 450 °C for 6 hours to 146 remove any possible organic contamination. Sampling was performed over a period of 12 hours (day 147 sample, from 7 am to 7 pm and night sample, from 7 pm to 7 am). Filters were wrapped in aluminum 148

foil and placed in antistatic bags, which were stored at - 18 °C until extraction. In total 107 samples 149 were collected. Filters from the field study were punched  $(31.25 \text{ cm}^2)$  and extracted using the protocol 150 described above. Selected ion monitoring (SIM) method was applied by choosing the reaction product 151 ions identified in the laboratory experiments. Tandem mass spectra (MS<sup>2</sup>) analyses were also 152 performed to compare the MS<sup>2</sup> fragmentation patterns of the product ions detected from ambient and 153 laboratory samples. Field and laboratory blanks were extracted and analyzed following the same 154 155 procedures to determine any potential contamination during the sampling, transportation, storage and/or analysis. The extraction efficiency for all the samples was  $94 \pm 16$  %. 156

## 157 RESULTS AND DISCUSSION

Enhancement of NPF in the Presence of DMA. Aerosol size distributions of SOAs formed from 158 the ozonolysis of  $\alpha$ -pinene were continuously measured using a DMPS. As shown in Table 1, the 159 number of particles in the experiments E1-E3 ranged from 9500 to 26000 particles per cm<sup>-3</sup>. After 160 the injection of DMA (experiment E1'-E3'), all experiments revealed a subsequent increase of the 161 number of particles (70 000-80 000 particles per cm<sup>3</sup>). This clearly indicates a strong influence of 162 DMA on SOA formation from the ozonolysis of a-pinene. Such results are in agreement with 163 observations from other laboratory studies, underlying the enhancement of NPF due to the presence 164 of amines.9, 15, 35-37 A recent theoretical study has revealed that the interaction between amines and 165 dicarboxylic acids likely exerts a synergetic effect on NPF due to the formation of aminium 166 carboxylate ion pairs.<sup>38</sup> As presented in Figure 1, the average median diameter of the SOAs formed 167 168 from the ozonolysis of  $\alpha$ -pinene was  $20 \pm 2$  nm, while being  $27 \pm 2$  nm in the presence of DMA. The increase of 7 nm could be attributed to the potential reactions between organic compounds, such as 169 aldehydes, ketones or carboxylic acids with DMA.<sup>11</sup> These reactions could lead to the formation of 170 semi- and low- volatile organic compounds, which might further participate in the SOA formation. 171 Therefore, to better understand the mechanisms governing the enhancement of NPF and the SOA 172

growth in the presence of DMA, chemical characterization of both gas- and particle-phase reactionproducts was performed.

Chemical Characterization of Gaseous Reaction Products. Gaseous reaction products were 175 characterized by HR-ToF-CIMS. The ions selected were detected as iodide clusters (M + 126.9050 176 Da). The mass spectra of gaseous compounds identified by HR-ToF-CIMS from the ozonolysis of α-177 pinene and the  $\alpha$ -pinene-O<sub>3</sub>-DMA reaction are presented in Figure S2. As can be seen, spectra differ 178 significantly between both set of experiments. The subtracted mass spectrum from the experiments 179 is shown in Figure 2, demonstrating the clear effect of DMA on the ozonolysis of  $\alpha$ -pinene. The 180 181 positive values correspond to the formation of reaction products after the injection of DMA and the negative values to the depletion of products due to the presence of DMA. As shown in Figure 2, a 182 subsequent decrease of the signal of ions attributed to pinonic (m/z 311,  $C_{10}H_{16}O_3I^-$ ), pinic (m/z 313 183 184  $C_9H_{14}O_4I^-$ ) and hydroxy-pinonic acids (m/z 327,  $C_{10}H_{16}O_4I^-$ ) were observed after the injection of DMA into the flow tube. This change can also be seen in Figure 3 especially for pinonic acid 185  $(C_{10}H_{16}O_3I^-)$  whose signal dropped by a factor of ~ 2.5. In addition, depletion of highly oxidized 186 molecules (m/z 340–500 Da) previously identified in laboratory or field studies,<sup>39-41</sup> was also 187 observed after the injection of DMA as shown in Figure 2. 188

A surprising increase of the signals of oxygenated compounds was also observed after 189 the injection of DMA (Figures 2, 3 and S3). Figure S3 presents a mass defect plot of reaction products 190 191 identified by HR-ToF-CIMS. A mass defect plot provides an effective visualization of high-resolution 192 mass spectral data of a complex mixture in a two-dimensional way. More details about this approach can be found elsewhere.<sup>42-43</sup> As displayed in Figure S3, a large amount of gas-phase oxygenated 193 products are formed after the injection of DMA. For example, the signal of the ion at m/z 297, 194 195 attributed to nor-pinonic acid (C<sub>9</sub>H<sub>14</sub>O<sub>3</sub>I<sup>-</sup>), was 5 times higher in the presence of DMA. The experiments were carried out under steady-state conditions; meaning that a constant flow of reactants, 196 oxidants and particles were continuously added to the chamber. Therefore, additional formation of 197

nor-pinonic acid from OH-initiated oxidation of pinonaldehyde would require additional formation 198 of OH radicals in the system. The ozonolysis of unsaturated products arising from aldehyde-199 dimethylamine reaction may lead to OH radicals which could result in the formation of nor-pinonic 200 acid. It is worth noting that the products formed from the reactions of oxygenated species with DMA 201 exhibit a smaller carbon skeleton than the precursors, suggesting that amine chemistry induces the 202 formation of smaller oxygenated products with a larger O/C ratio. As previously reported, 203 pinonaldehyde is one of the major reaction product from the oxidation of  $\alpha$ -pinene.<sup>44</sup> We have 204 recently reported the subsequent reaction of pinonaldehyde with DMA and identified the formation 205 of N-containing compounds in both gas and particulate phases. The main gaseous products observed 206 was an enamine  $(m/z \ 196.1696 \ detected$  in positive mode -  $C_{12}H_{22}NO^+$ ).<sup>22</sup> The presence of such 207 compounds was observed also in this work suggesting that aldehydes can react with DMA and lead 208 to a large variety of oxygenated and/or N-containing species. It is important to note that due to the 209 poor sensitivity of iodide ionization towards aldehydes and/or low oxidized compounds (e.g. 210  $C_{12}H_{21}NO$ ,<sup>30</sup> the direct observation of reactions between aldehydes and DMA was not possible here. 211 All together 45 N-containing compounds were observed in the gas phase from the α-pinene-O<sub>3</sub>-DMA 212 reactions (e.g. C<sub>6</sub>H<sub>9</sub>NO<sub>3</sub> and C<sub>3</sub>H<sub>7</sub>NO<sub>2</sub>, Figure 3) by HR-ToF-CIMS (Table S1). As discussed below, 213 the oxidation of enamine or imine arising from the reactions of carbonyl and/or carboxylic acids with 214 DMA,<sup>11, 45</sup> might explain the large amount of the small oxygenated and N-containing compounds 215 observed in the gas-phase. 216

Previous studies have reported the reactions of amines with carboxylic acids and/or carbonyl compounds in either bulk solution,<sup>20-21</sup> or aerosol phase,<sup>46</sup> and identified the formation of N-containing species. In order to investigate whether enamine formation takes place in gas or aerosol phase, we calculated the Gibbs free energies along to the reaction coordinate using the combination of density functional theory and coupled cluster methods. Detailed description of the calculations is given in Supplementary Material (Figure S4-S7, Table S2). The reaction is suggested to begin with

the formation of carbinolamine followed by subsequent dehydration leading to enamine. Calculations 223 224 resulted in so high activitation energy of carbinolamine formation that the bimolecular addition reaction in the atmospheric conditions is unlikely. Based on the computed Gibbs free energies the 225 dehydration of carbinolamine is the rate-limiting step, and even the reaction is thermodynamically 226 favourable under atmospheric conditions, the direct formation of enamine through an addition-227 elimination mechanism is kinetically restricted. In contrast, we investigated the stabilization effect of 228 a single water molecule, and found that the activation energies are reduced by more than 10 kcal/mol 229 for both addition and elimination steps. Therefore, we suggest that the reaction occurs on the 230 molecular cluster surface. Later, enamine, formed in the aerosol phase, can evaporate to the gas phase. 231 Also, facilitation of heterogeneous reactions by aerosol water has been shown elsewhere<sup>47</sup>. 232

Ozone reduction from α-pinene-O<sub>3</sub>-DMA Reaction. As shown in Table 1, the ozone concentration 233 234 dropped significantly after the addition of DMA. On average, the ozonolysis of  $\alpha$ -pinene decreased the ozone concentration from 110 ppb to 42 ppb, while the presence of DMA decreased it down to 8 235 ppb. The large reduction of ozone observed in these experiments cannot be solely explained by the 236 reaction of DMA with O<sub>3</sub> or OH according to the rate constants ( $1.67 \pm 0.20 \times 10^{-18}$  and  $6.27 \pm 0.63 \times$ 237 10<sup>-11</sup> cm<sup>3</sup>/molecule/s, respectively).<sup>48-49</sup> Further decrease is expected due to the products formed from 238 the reaction of oxygenated species with DMA that could undergo further oxidation processes with 239 ozone. Indeed, carbonyl groups, such as aldehydes, can react with DMA to form enamine 240 compounds.<sup>11</sup> For instance, we have previously reported that DMA can react on the aldehyde function 241 242 of pinonaldehyde and lead to carbinolamines, which then dehydrate to generate enamine compounds. In addition, formation of imines from the reaction between amines and carbonyl compounds have 243 been observed.<sup>50</sup> Hence, ozone can react with the double bond of the enamine and/or imines species 244 (Figure S8), leading to a primary ozonide, which decomposes to produce a Criegee biradical 245 intermediate and a carbonyl compound. Formation of carboxylic acids can also be explained by 246 Criegee biradical rearrangement.<sup>51</sup> As an example ozonolysis of C<sub>12</sub>H<sub>21</sub>NO is expected to lead to the 247

formation of nor-pinonaldehyde (C<sub>9</sub>H<sub>14</sub>O<sub>2</sub>), nor-pinonic (C<sub>9</sub>H<sub>14</sub>O<sub>3</sub>) and carbamic acids (C<sub>3</sub>H<sub>7</sub>O<sub>2</sub>N). 248 A tentative reaction pathway is proposed in Figure S8, which is supported by the subsequent 249 formation of nor-pinonic and carbamic acids after the injection of DMA (Figure 3). Other 250 N-containing compounds, such as imines or nitroamines, may also contribute to the ozone 251 consumption. Ge et al.<sup>52</sup> have identified CH<sub>3</sub>N=CH<sub>2</sub>, (CH<sub>3</sub>)<sub>2</sub>NCHO, CH<sub>3</sub>NO<sub>2</sub>, CH<sub>3</sub>N(OH)CHO and 252 CH<sub>3</sub>NHOH as major products from the ozonolysis of alkylamines. Hence, ozonolysis of these 253 N-containing species might explain the large concentration of oxygenated compounds in the gas 254 phase. The formation of the N-containing compounds and the increase of concentration of 255 oxygenated compounds in the gas phase can explain the enhancement of NPF and SOA growth from 256 257 the ozonolysis of α-pinene in the presence of DMA. It should be noted, however, that oligomerization 258 through accretion reactions would lead to the formation of unsaturated compounds in particle phase, which could also participate in the ozone reduction. These observations suggest that amines may play 259 an important role in the gas and heterogeneous chemistry of oxygenated species governing the SOA 260 formation and aging. 261

262 Chemical Characterization of Particulate Reaction Products. Table 2 presents the most abundant N-containing compounds identified from the filter or the flow tube wall extract samples. These 263 compounds were not observed without DMA in either the  $\alpha$ -pinene ozonolysis or in the laboratory 264 blank samples. Differences between theoretical and measured masses obtained by HRMS are small 265 and within commonly acceptable errors (i.e.,  $\pm$  5 ppm). As indicated in Table 2, the major 266 267 N-containing compounds detected in particle phases are C<sub>11</sub>H<sub>19</sub>O<sub>2</sub>N, C<sub>10</sub>H<sub>17</sub>O<sub>3</sub>N, C<sub>12</sub>H<sub>21</sub>O<sub>2</sub>N, C<sub>12</sub>H<sub>19</sub>O<sub>3</sub>N and C<sub>13</sub>H<sub>17</sub>O<sub>4</sub>N<sub>3</sub>. Smaller N-containing compounds from 176 to 230 Da were also 268 identified in the gas phase, by either HR-ToF-CIMS or by analysis of samples collected on SPE 269 270 cartridges, demonstrating the partitioning of these compounds between gas and particle phases. Highmolecular weight N-containing compounds (MW > 300 Da) were also identified. The accurate mass 271 measurements of the corresponding  $[M + H]^+$  ions indicate the formation of C<sub>15</sub>-C<sub>30</sub> carbon 272

compounds, presence of such compounds supports accretion reactions occurring in particle phase. Reaction between oxygenated dimers and DMA, assumed from the HR-ToF-CIMS results (Figure 2, depletion of highly oxidized molecules m/z 340–500 Da) is also another hypothesis. It is worth nothing that molecules with 3 and 5 nitrogen atoms were also identified in the particle phase. They might take part in the formation of imidazole type compounds, previously observed from the reaction of glyoxal or methylglyoxal with amines in particle phase, suggesting that heterogeneous reactions should also be considered here.<sup>21, 50</sup>

Interestingly, as shown in Table 2, eleven N-containing compounds exhibit an identical 280 fragment ion at m/z 72.044 (C<sub>3</sub>H<sub>6</sub>ON<sup>+</sup>) in their MS<sup>2</sup> spectra as revealed in Figure S9. Ion at m/z 72 is 281 characteristic to a tertiary amide, which is likely produced from the reaction between a carboxylic 282 acid and DMA. This observation suggests that carboxylic acid-amine reaction is an important reaction 283 pathway leading to specific products as discussed above. Bastanti and Pankow<sup>53</sup> have concluded that 284 for all the acids studied (acetic, malic, maleic and pinic acids), amide formation was 285 thermodynamically favored, supporting the hypothesis presented in this work. As for organosulfates, 286 fragment ions at  $m/z \ 80 \ (SO_3^{-/-}), 96 \ (HSO_3^{-})$  and 97  $(HSO_4^{-})$  are characteristic for their detection in 287 negative ion mode,<sup>54</sup> and ion m/z 72 might be a beneficial product ion for the identification of 288 compounds arising from carboxylic acid-secondary amine reaction in positive ion mode. 289

A potential mechanism for the formation of products with a fragment ion at m/z 72 is tentatively 290 proposed in Figure S10. As an example, pinonic acid can react with DMA to form  $C_{12}H_{21}NO_2$  (m/z 291 212.164). Signal of pinonic acid decreased after the DMA addition, while product at m/z 212.164 292 increased. In contrast, nor-pinonic acid, which is tentatively proposed to be formed from the 293 ozonolysis of C<sub>12</sub>H<sub>21</sub>NO (a pinonaldehyde/DMA reaction product), might further react with DMA 294 and lead to the product ion at m/z 198.149 (C<sub>11</sub>H<sub>19</sub>NO<sub>2</sub>). The MS<sup>2</sup> spectra for the C<sub>11</sub>H<sub>19</sub>NO<sub>2</sub> is given 295 in Figure S11, and the identified fragments support the presence of an amide functional group in the 296 structure. Hence, organic acids formed from the ozonolysis of  $\alpha$ -pinene, such as terebic, nor-pinonic, 297

terpenylic, nor-pinic, pinonic, oxopinonic and hydroxyl-pinonic acids (Figure S11), previously observed in laboratory and field studies,<sup>55-58</sup> can further react with DMA and lead to the formation of N-containing reaction products, yielding a fragment ion at m/z 72 in their MS<sup>2</sup> spectra (Table 2). These results are in agreement with the results of the study of Lavi et al.<sup>24</sup> in which they observed that alkylaminium carboxylates can enhance SOA formation. Furthermore, as it has been shown by Gomez-Hernandez et al.<sup>25</sup>, alkylamine neutralization of carboxylic acids also enhances the particle hygroscopicity.

**Reaction Products from**  $\alpha$ **-pinene-O<sub>3</sub>-DMA Reaction in Ambient Aerosol.** Figure 4 presents the extracted ion chromatograms (EICs) of parent ions at m/z 198.149 and 212.164 from  $\alpha$ -pinene + O<sub>3</sub> experiment (E3),  $\alpha$ -pinene + O<sub>3</sub> + DMA experiment (E3'), blank filter and PM<sub>1</sub> samples collected at Hyytiälä Forestry Field Station (23<sup>th</sup> of May, 2016). MS<sup>2</sup> fragmentation patterns of parent ion at m/z198.149 and 212.164 from laboratory and field samples are shown in Figure S12.

Based on the excellent agreement between the retention times, the accurate masses and 310 the MS<sup>2</sup> fragmentation pattern, parent ions at *m/z* 198.149 (RT 4.57 min) and 212.164 (RT 5.07 min) 311 312 found in the boreal forest samples were attributed to N-containing compounds formed from the oxidation of α-pinene in the presence of DMA. Three other reaction products were also identified in 313 PM<sub>1</sub> samples at the SMEAR II Station in Hyytiälä, using accurate masses. The other reaction products 314 identified in laboratory experiments samples were not observed in the boreal forest samples. Semi-315 quantification was performed using caffeine as a surrogate standard, resulting in potential large 316 317 uncertainties for the estimated concentrations of these products, mainly due to different extraction yields and ionization efficiency between caffeine and the analytes. However, such approach allows 318 us to provide information on variations and time-trends that cannot be obtained otherwise. The 319 average concentration of compounds observed at m/z 198.149 was 0.161 ng/m<sup>3</sup> with a maximum 320 concentration of 0.990 ng/m<sup>3</sup>, while it was 0.035 ng/m<sup>3</sup> for the parent ions at m/z 212.164 with a 321 maximum concentration of  $0.161 \text{ ng/m}^3$ . A temporal profile of the concentration of both compounds 322

is proposed in Figure S13. The concentration of these two compounds are fairly correlated ( $r^2 = 0.46$ ), 323 suggesting similar source of emission or formation, except from 15<sup>th</sup> of May 2016 to 20<sup>th</sup> of May 324 2016. Even if relatively low concentration of DMA has been reported for the clean boreal forest 325 atmosphere,<sup>7</sup> the identification of these two compounds in ambient samples suggests that DMA may 326 have an effect on the ozonolysis of α-pinene disproportional to its concentration. However, additional 327 field measurements should be performed in order to estimate the contribution of these compounds to 328 aerosol particles. Because amines are ubiquitous in the atmosphere,<sup>6</sup> similar reactions in ambient 329 conditions could be important for the formation and growth of SOAs in the atmosphere. Finally, more 330 laboratory studies are needed to elucidate the formation pathways and quantify the impact of amine 331 332 reactions on the SOA formation.

## 333 ASSOCIATED CONTENT

#### 334 Supporting Information.

335 Figure S1 presents the scheme of the experimental set-up used in this work. Figure S2 shows the representative high-resolution mass spectra obtained by HR-ToF-CIMS from the laboratory 336 experiments. Figure S3 presents the mass defect plot of reaction products identified by HR-ToF-337 CIMS from the ozonolysis of α-pinene in presence and absence of DMA. Figures S4-S7 describe the 338 results from quantum chemistry calculations. Figure S8 displays the proposed mechanism for the 339 formation of C<sub>9</sub>H<sub>14</sub>O<sub>2</sub>, C<sub>9</sub>H<sub>14</sub>O<sub>3</sub> and C<sub>3</sub>H<sub>7</sub>O<sub>2</sub>N from the ozonolysis of enamine arising from the 340 pinonaldehyde-DMA reaction. Figure S9 presents the MS<sup>2</sup> fragmentation patterns of selected product 341 ions, leading to the formation of a fragment ion at m/z 72.044. Figure S10 gives a proposed 342 mechanism for tertiary amide reaction products obtained from organic acids-DMA reactions. Figure 343 S11 presents the MS<sup>2</sup> fragmentation pathway of the product ion at m/z 198.149. Figure S12 shows 344 the MS<sup>2</sup> fragmentation pattern of product ions at m/z 198.149 and 212.164 from laboratory and field 345 samples. Figure S13 presents the concentration in ng.m<sup>-3</sup> of reaction products identified in Hyytiälä, 346

347	Finland. Table S1 lists the N-containing compounds detected by HR-ToF-CIMS from the ozonolysis
348	of $\alpha$ -pinene in the presence of DMA. Table S2 presents the Gibbs free energies relative to the reactants
349	at 298.15K. This material is available free of charge via the Internet at http://pubs.acs.org.
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Experiments	Initial [α-pinene] (ppm)	Initial [O <sub>3</sub> ] (ppb)	Initial [DMA] (ppb)	Residence time (s)	Final [O <sub>3</sub> ] (ppb)	Number of particles (#.cm <sup>-3</sup> )
E1	5.0	104.4	-	53	38.5	$25800\pm4500$
E1'	5.0	104.4	500	53	8.8	$76300\pm3100$
E2	5.0	113.0	-	53	42.9	$9500\pm2000$
E2'	5.0	113.0	500	53	7.5	$71300\pm3200$
E3	5.0	116.4	-	53	43.5	$15500\pm2500$
Е3'	5.0	116.4	500	53	8.2	$71700\pm1500$
E4	7.0	130.0	700	56	3.1	$93300\pm2500$

## **Table 1.** Summary of the Experimental Conditions

- Table 2. N-containing compounds detected in filter and flow tube wall samples by UHPLC-HRMS in positive 541
- 542 mode from  $\alpha$ -pinene-O<sub>3</sub>-DMA experiment. Am is the difference in ppm between theoretical masses and

#### experimental masses of the ions. 543

			% of the total	Tertiary	Detected in gas		
$[M+H]^+$			N–containing	amide	phase		Detected
detected	molecular	Δm	compounds	functionality	HR-	SPE	in PM <sub>1</sub>
ions	formula	(ppm)	identified in	MS <sup>2</sup> fragment	CI-	cartridge	ambient
(m/z)			particulate	C <sub>3</sub> H <sub>6</sub> ON <sup>+</sup>	APi-	UHPLC-	samples
			phase <sup>a</sup>	(m/z 72.0444)	TOF	HRMS	
176.09171	$C_7H_{13}O_4N$	- 0.26	< 1 <sup>b</sup>	Х	Х		
186.11244	$C_9H_{15}O_3N$	0.01	< 1 <sup>b</sup>	Х	Х		
196.13366	$C_{11}H_{17}O_2N$	- 0.04	< 1 <sup>b</sup>	Х		Х	Xt
196.16959	$C_{12}H_{21}ON$	- 0.01	< 1°			Х	$X^{i,g}$
198.14880	$C_{11}H_{19}O_2N$	- 0.26	$7 \pm 3^{b}$	Х	Х	Х	X <sup>e</sup>
200.12796	$C_{10}H_{17}O_3N$	- 0.79	$6\pm3^{b}$	Х	Х	Х	$\mathbf{X}^{\mathrm{f}}$
208.10800	$C_{10}H_{13}O_2N_3$	-0.24	$2\pm3^{\circ}$				
210.14890	$C_{12}H_{19}O_2N$	- 0.21	< 1 <sup>b</sup>	Х	Х	Х	
212.16443	$C_{12}H_{21}O_2N$	- 0.36	$35 \pm 2^{b}$	Х	Х	Х	X <sup>e</sup>
214.14368	$C_{11}H_{19}O_3N$	- 0.38	< 1 <sup>b</sup>	Х	Х		
216.12294	$C_{10}H_{17}O_4N$	- 0.45	< 1 <sup>b</sup>		Х		
226.14366	$C_{12}H_{19}O_3N$	- 0.65	$26 \pm 15^{b}$	Х	Х	Х	
228.15930	$C_{12}H_{21}O_3N$	- 0.38	$3 \pm 1^{b}$	Х	Х	Х	
230.13858	$C_{11}H_{19}O_4N$	- 0.38	< 1 <sup>b</sup>	Х	Х		
241.19099	$C_{13}H_{24}O_2N_2$	- 0.23	_ <sup>d</sup>				
244.15422	$C_{12}H_{21}O_4N$	- 0.46	< 1 <sup>b</sup>				
266.11325	$C_{12}H_{15}O_4N_3$	1.07	< 1°				
280.12920	$C_{13}H_{17}O_4N_3$	- 0.42	$15 \pm 14^{\circ}$				
300.15506	$C_{13}H_{21}O_5N_3$	1.13	< 1°				
308.16010	$C_{15}H_{21}O_4N_3$	1.20	< 1 <sup>b</sup>				
334.22200	$C_{16}H_{31}O_6N$	- 0.54	_ <sup>d</sup>				
342.22729	$C_{18}H_{31}O_5N$	- 0.54	< 1 <sup>b</sup>				
358.25861	$C_{19}H_{35}O_5N$	- 0.62	_ <sup>d</sup>				
364.16119	$C_{16}H_{21}O_5N_5$	0.98	< 1 <sup>b</sup>				
364.26923	$C_{18}H_{37}O_6N$	- 0.54	_ <sup>d</sup>				
376.19638	$C_{17}H_{29}O_8N$	- 0.48	_ <sup>d</sup>				
384.17606	$C_{17}H_{25}O_7N_3$	1.22	< 1°				
388.19678	$C_{18}H_{29}O_8N$	- 0.62	_d				
390.28491	$C_{20}H_{39}O_6N$	- 0.34	_d				
394.31610	$C_{20}H_{43}O_6N$	- 0.61	_d				
401.13489	$C_{20}H_{20}O_7N_2$	-1.01	< 1 <sup>b</sup>				
411.17007	$C_{26}H_{22}O_3N_2$	0.61	< 1 <sup>b</sup>				
442.21826	$C_{20}H_{31}O_8N_3$	0.3	< 1 <sup>b</sup>				
444.33182	$C_{24}H_{45}O_6N$	- 0.11	_d				
488.35812	$C_{26}H_{45}O_6N$	0.15	_d				
504.20868	$C_{23}H_{29}O_8N_5$	0.42	< 1°				
532.38452	$C_{28}H_{53}O_8N$	- 0.09	_d				
576.41060	C <sub>30</sub> H <sub>57</sub> O <sub>9</sub> N	- 0.01	_ <sup>d</sup>				

544 <sup>a</sup>Peak area (ion<sub>i</sub>)/ $\Sigma$ Peak area (ions)

545 546 547 548 <sup>b</sup>detected in aerosol samples and in the flow tube wall samples

<sup>c</sup>only detected in aerosol samples

<sup>d</sup>only detected in the flow tube wall samples

econfirmed by retention times, accurate masses and MS-MS fragmentation patterns

549 550 <sup>f</sup>confirmed by accurate masses

<sup>g</sup>previously observed in ambient samples<sup>22</sup>



**Figure 1.** Particle number concentration (left) and particle size distribution (right) from  $\alpha$ -pinene-O<sub>3</sub> experiment (E1) and  $\alpha$ -pinene-O<sub>3</sub>-DMA experiment (E1'). The blue curves represent 3 different times of the particle size distribution from  $\alpha$ -pinene-O<sub>3</sub>-DMA experiment.



Figure 2. Difference in Mass Spectra from α-pinene-O<sub>3</sub>-DMA (experiment E2') and α-pinene-O<sub>3</sub>
experiments (experiment E2). Positive signals correspond to new products formed in the presence of DMA
and negative signals are compounds lost when DMA is introduced.



Figure 3. Temporal profiles of selected ion HR-ToF-CIMS (Experiments E2 and E2'), DMA was injected at
11:45. C<sub>9</sub>H<sub>14</sub>O<sub>4</sub>I ion is attributed to nor-pinonic acid signal, C<sub>10</sub>H<sub>16</sub>O<sub>3</sub>I to pinonic acid signal, and C<sub>9</sub>H<sub>14</sub>O<sub>2</sub>I to
nor-pinonaldehyde signal.



**Figure 4.** Extracted ion chromatograms of m/z 198.1489  $\pm$  0.0015 and 212.1644  $\pm$  0.0015 from (A)  $\alpha$ -pinene + O<sub>3</sub> experiment, (B)  $\alpha$ -pinene + O<sub>3</sub> + DMA experiment, (C) blank filter sample, and (D) PM<sub>1</sub> sample collected in Hyytiälä (23<sup>th</sup> of May, 2016).



