

## Insulin dysregulation in a population of Finnhorses and associated phenotypic markers of obesity

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### Abbreviations:

AUC, area under the curve; BCS, body condition score; CNS, cresty neck score; EMS, equine metabolic syndrome; ID, insulin dysregulation; IS, insulin sensitivity; OST, oral sugar test

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Authors declare no conflict of interest.

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## Abstract

**Background:** Obesity and insulin dysregulation (ID) predispose horses to laminitis.

Determination of management practices or phenotypic markers associated with ID may benefit animal welfare.

**Objectives:** Determine ID status of a population of Finnhorses using an oral sugar test (OST) and compare phenotypes and management factors between ID and non-ID Finnhorses.

**Animals:** One-hundred twenty-eight purebred Finnhorses  $\geq 3$  years of age.

**Methods:** Owners were recruited using an online questionnaire regarding signalment, history, feeding and exercise of their horses. Selected contributing stables within the pre-defined area (150 km from the institution) were visited. Phenotypic markers of obesity and the weight of each horse were recorded. After an overnight fast, horses received 0.45 ml/kg corn syrup PO. Serum samples before and at 60 and 90 minutes after syrup administration were analyzed for insulin by chemiluminescent assay. Horses met ID criteria if insulin concentrations were  $\geq 33$   $\mu$ IU/ml at T0,  $\geq 66$   $\mu$ IU/ml at T60 or T90 or some combination of these. Associations between phenotypic markers, feeding and exercise variables and ID were examined using mixed effects logistic regression modeling.

**Results:** Several phenotypic markers of obesity were significant on univariable analysis but in the final multivariable model, only obesity (body condition score [BCS]  $\geq 8$ ) was associated with ID ( $p = .043$ ). Over half of the horses (60% [95% confidence interval (CI), 51-68%]) were considered overweight or obese whereas 16 % (95% CI, 10-23%) were classified as having ID.

**Conclusions and Clinical Importance:** Because obesity is associated with ID in cold-blooded type horses, objective monitoring of phenotypic markers by owners may be beneficial for health outcomes.

## 1 **Introduction**

2 Obesity is a major risk factor for insulin dysregulation (ID) and a substantial health problem among  
3 horse populations worldwide<sup>1,2,3</sup>. Insulin dysregulation is defined as any combination of basal  
4 hyperinsulinemia, post-prandial hyperinsulinemia (in response to dynamic testing), or insulin  
5 resistance<sup>4</sup>, and is an important predisposing factor for laminitis, a painful hoof condition in horses  
6 that can lead to loss of use, chronic lameness, and even death<sup>5</sup>. Insulin dysregulation and  
7 generalized or regional adiposity are features of equine metabolic syndrome (EMS) and can be  
8 used as predictors of laminitis<sup>6,7</sup>. Although not all obese animals have ID (and vice versa),  
9 dynamic endocrine testing and appropriate weight management of overweight animals are  
10 recommended to decrease the possible risk for laminitis<sup>6,8</sup>.

11 Dynamic testing is the preferred method of determining ID in horses and is more sensitive than  
12 basal testing alone<sup>6</sup>. The oral sugar test (OST) has been described in several studies<sup>9,10,11</sup> as an  
13 ideal, replicable method for dynamic ID testing at different doses (0.15-0.45 ml/kg). It has been  
14 shown to be repeatable using binary outcomes<sup>10</sup> and is comparable to more invasive tests<sup>9</sup>;  
15 therefore, it is a practical approach for on-farm testing.

16 Prevalence of ID in horses has been shown to vary from 18-27% depending on the specified  
17 population<sup>2,12,13</sup>. Breed differences in ID have been identified. For example, ponies and Andalusian  
18 horses had significantly lower insulin sensitivity (IS) than did Standardbred horses<sup>14</sup>. Additionally,  
19 many of the published cases of EMS have occurred in native British breeds. One study found that  
20 cases of primary endocrinopathic laminitis (induced by ID with or without pituitary pars

21 intermedia dysfunction) were more likely to occur in native British ponies compared to native  
22 Nordic ponies, cold-, warm-, and hot-blooded horses<sup>15</sup>. However, in another study, cold-blooded  
23 type ponies had increased risk of laminitis compared to warm-blooded type ponies<sup>16</sup>. The ID status  
24 of certain cold-blooded horse populations, such as Finnhorses, has not been investigated.

25 The Finnhorse is a cold-blooded horse originating from Northern European domestic horses. They  
26 are the only horse breed native to Finland and have been bred as a pure breed since 1907, when  
27 the studbook was founded. The registry recognizes 4 types of Finnhorse: racing trotter, riding and  
28 pleasure, working, and pony-sized ([www.hippos.fi](http://www.hippos.fi)).

29 Factors associated with increased obesity risk include management and exercise, primarily  
30 resulting from decreased physical activity and excess energy intake, although genetic and  
31 epigenetic factors also may play a role<sup>17,18</sup>. In humans, physical activity has been shown to improve  
32 IS, even in the absence of apparent weight loss<sup>19</sup>. In studies of horses, exercise has been shown to  
33 decrease serum concentrations of inflammatory markers (serum amyloid A and haptoglobin)<sup>20</sup> and  
34 improve ID, particularly when exercise was of moderate intensity<sup>21,22</sup>.

35 In this study, we evaluated the ID status of a population of Finnhorses in southern Finland by  
36 determining insulin response to corn syrup OST. Our aim was to compare phenotypic markers of  
37 obesity and management factors between ID and non-ID Finnhorses.

## 38 **Materials and methods**

### 39 *Animals*

40 The study protocol was approved by the National Animal Experimentation Board of Finland  
41 (ESAVI/6728/04.10.07/2017). Horses met study inclusion criteria if they were located within 150  
42 km of Helsinki, were  $\geq 3$  years old, and had no clinical evidence or history of systemic  
43 inflammatory disease. A physical examination was performed on all horses by a veterinarian and

44 any animals with fever ( $\geq 38.5$  C), tachycardia, tachypnea, signs of systemic inflammatory disease,  
45 or any other potentially painful condition were excluded. An initial serum biochemistry profile and  
46 a CBC were performed on each horse to evaluate health status. Biochemical results were  
47 determined using a commercial biochemistry analyzer (Konelab 30 Clinical Chemistry Analyzer,  
48 ThermoFisher Scientific, Vantaa, Finland). The CBC was analyzed with an ADVIA 212io  
49 hematology analyzer (Siemens, Tarrytown, NY), and plasma fibrinogen concentration was  
50 determined using a heat precipitation method<sup>23</sup>. Any animal with abnormal biochemical or CBC  
51 findings was excluded. Animals with previously diagnosed pituitary pars intermedia dysfunction  
52 (PPID) also were excluded.

### 53 *Questionnaire*

54 A link to a web-based questionnaire was advertised from September to December in 2017 in the  
55 University of Helsinki Faculty of Veterinary Medicine webpages seeking study enrollment by  
56 owners of purebred Finnhorses  $\geq 3$  years of age living within approximately 150 km of Helsinki.  
57 The Veterinary Medicine webpages provided owner resources and information about the hospital  
58 that were regularly accessed by horse owners. The questionnaire requested information about the  
59 signalment, history, feeding, exercise and previous and current diseases of each horse.  
60 Additionally, owners were asked to estimate their horse's body condition score (BCS, Henneke 1-  
61 9 scoring system)<sup>24</sup> and cresty neck score (CNS, Carter 0-5 scoring system)<sup>25</sup> with the help of  
62 illustrative figures. With regard to exercise, owners were asked to report their horse's main use  
63 (racing, draft, riding competition, pleasure riding, pet, breeding), estimate how many days per  
64 week on average they exercised their horse, how many days per week the horse was sweating  
65 during exercise, and how many hours per week the horse was exercised at walk, trot, and canter.  
66 Horses were grouped into either intense use (racing, draft, competition) or non-intense use

67 (pleasure riding, pet, breeding). The hours per week spent trotting and cantering (trot + canter)  
68 were added together as a single analysis value. Cumulative exercise was calculated by adding  
69 walk, trot, and canter hours per week. Finally, the owners were asked to report the amount of  
70 roughage (kg) and concentrate (kg) their horse received each day. Concentrate was defined as any  
71 feed (commercially prepared or otherwise) given to the horse that was not a vitamin or mineral  
72 supplement or both or type of roughage. If owners reported a range, the upper limit value was used  
73 for analysis.

#### 74 *Sample size*

75 A convenience sample of recruited horses that were  $\geq 3$  years old were selected for a stable visit  
76 based on their geographical location (within 150 km of the institution). Before the start of testing,  
77 sample size was calculated using the online Epitools sample size calculator. Given the population  
78 size of approximately 20,000 Finnhorses, an expected incidence of 15-20%, a confidence interval  
79 (CI) of 95%, and a power of 80%, the calculated sample size was 150. Operations housing  $\geq 5$   
80 Finnhorses initially were selected but premises with  $< 5$  horses later were included. All available  
81 horses at each stable that met inclusion criteria had OST performed.

#### 82 *Physical measurements*

83 One of 2 trained veterinarians (JRB NPK) performed the physical measurements, including  
84 phenotypic markers of obesity and hoof wall changes. Phenotypic markers of obesity included  
85 BCS, CNS, and supraorbital fat pads. Assessment of macroscopic hoof wall changes that were  
86 indicative of laminitis included divergent growth rings, white line separation, and dropped soles.  
87 The following physical measurements were obtained using a weight tape designed for horses  
88 (Virbac Animal Health): weight, heart-girth, widest part of the abdomen, and neck circumference  
89 (midpoint of the neck). Additionally, BCS and CNS were assessed<sup>24,25</sup>. Horses with BCS of 7 were

90 considered over-conditioned<sup>3</sup> and classified as obese if their BCS was  $\geq 8$ . Horses were considered  
91 to have a cresty neck if CNS was  $\geq 3$ . Supraorbital fat pads were graded on a scale of 0-3; 0 being  
92 deep/concave and 3 being rounded/convex.

### 93 *Basal blood samples*

94 Basal blood glucose concentrations were determined using lithium-heparin blood (Vacuette LH,  
95 Greiner Bio-One, Kremsmünster, Austria) immediately after sampling using a handheld veterinary  
96 glucometer (AlphaTRAK® II, Zoetis, North Chicago, IL).

97 Blood for adrenocorticotrophic hormone (ACTH) concentration measurement was collected in 6-  
98 mL EDTA tubes (Vacuette K2EDTA, Greiner Bio-One, Kremsmünster, Austria) and kept cool  
99 until centrifugation (within 8 hr). The separated plasma was frozen and stored at -80 °C until  
100 shipment on dry ice to the diagnostic laboratory. Analyses were performed in duplicate using a  
101 chemiluminescent immunoassay (Immulite 2000 XPi, The Philip Leverhulme Equine Hospital,  
102 Liverpool, UK). All animals with seasonally increased basal plasma ACTH concentrations were  
103 excluded from the study. The seasonally adjusted ACTH cut-off concentrations used for the study  
104 were 89.4 pg/ml for horses sampled in October and 35.2 pg/ml for horses sampled in November  
105 and December (Adams A., Abstract, International Equine Endocrinology Summit, 2017).

### 106 *Oral sugar test*

107 Oral sugar tests were performed either at the stables (n=139) or in the University of Helsinki (n=5)  
108 during a period from the last week of October 2017 through the second week of December 2017.  
109 The evening before the test, the horses were stalled and allowed to have a slice of dry hay or  
110 haylage (1-2 kg) no later than 22:00. No grain or additional hay was allowed until after the OST  
111 was completed. All horses had access to water throughout the entire experiment. The OSTs were  
112 performed in the morning between 06:00 and 10:00. Horses were given 0.45 ml/kg body weight



113 (BW) corn syrup PO (Karo Light, ACH Food Companies Inc, Cordova, TN) via 100 ml dosing  
114 syringes. Karo Light contains, on average, 158 mg/ml of maltose and 198 mg/ml glucose, so that  
115 horses received a combined maltose and glucose dose of 160.3 mg/kg BW<sup>11</sup>. For insulin  
116 concentration measurement, blood was collected into 6 mL serum tubes (Vacuette, Z serum clot  
117 activator, Greiner Bio-One, Kremsmünster, Austria) before syrup administration and at 60 (T60)  
118 and 90 (T90) minutes thereafter. Blood was allowed to clot at ambient temperature for at least 60  
119 minutes. Subsequently, all samples were centrifuged, serum separated within 8 hr, and stored at -  
120 80 °C until shipment on dry ice to the laboratory for analysis. All samples were measured in  
121 duplicate using a chemiluminescent immunoassay (Immulite 2000 XPi, The Philip Leverhulme  
122 Equine Hospital, Liverpool, UK). The reportable range for the Immulite 2000XPi was 2-300  
123  $\mu$ IU/ml. Our preliminary correlation studies have shown excellent correlation ( $r=.996$ ) between  
124 the Immulite 2000XPi and the more commonly used Immulite 2000 (Carslake, H., unpublished  
125 data), but the 2000XPi reports consistently higher values than the 2000. Therefore, a higher cutoff  
126 concentration of 66  $\mu$ IU/ml was used for this study instead of the suggested 40  $\mu$ IU/ml. Horses  
127 were categorized as having ID based on insulin concentrations  $\geq 33$   $\mu$ IU/ml at T0 or  $\geq 66$   $\mu$ IU/ml  
128 at either T60 or T90 or both.

### 129 **Statistical analysis**

130 The area under the curve (AUC) was calculated for the insulin response (T0-T90) using the  
131 trapezoidal method. The normality of each variable distribution was tested using the Shapiro-Wilk  
132 test. Correlations among BCS, glucose, and insulin were tested using Spearman rank correlation  
133 with Bonferroni correction. Comparison of owner versus investigator BCS and CNS was  
134 performed using related samples Friedman's 2-way analysis of variance by ranks.

135 To assess the effect of different covariates on ID, mixed effects logistic regression models,  
136 modelling the odds for occurrence of ID, were fitted. First, each covariate was separately modelled  
137 with the response, the model including ID status as a response, covariate as a fixed effect and  
138 cluster (stable) as a random effect (univariable analysis). Variables with p-value < .2 were taken  
139 forward to multivariable analyses. Similar mixed effects logistic regression models as for the  
140 individual analyses were fitted. In all models, odds ratios (OR) for comparisons between groups  
141 for categorical covariates or increase of 1 unit in continuous or ordinal covariates with 95% CI and  
142 p-values were estimated using contrasts from the same model. P-values < .05 were considered  
143 statistically significant. Statistical analyses were performed at 4Pharma Ltd using SAS® System  
144 for Windows, version 9.4 (SAS Institute Inc., Cary, NC, USA).

## 145 **Results**

146 Two-hundred and thirty-three owners completed the online questionnaire, representing 291 horses.  
147 One-hundred forty-four horses from 30 premises were selected for detailed examination and  
148 testing. Of the 144 horses sampled, 1 horse was excluded because of increased body temperature  
149 and 15 were excluded because of seasonally increased basal plasma ACTH concentrations. The  
150 remaining 128 horses consisted of 63 geldings (49%), 58 mares (45%), and 7 stallions (5%).  
151 Owners categorized the use of their horses in the following manner: 106 as “pleasure riding”  
152 horses, 7 as “riding competition” horses, 2 as “breeding” horses, 10 as “racehorses”, 2 as a “pet”,  
153 and 1 as a “draft horse”. Therefore, 18 horses were considered as experiencing intense use and 110  
154 non-intense use.

155 The phenotypic marker results of the 128 horses are presented in Table 1. There were 77/128 (60%;  
156 95% CI, 51-68%) over-conditioned or obese horses, of which 35/128 (27%; 95% CI, 20-36%)  
157 were over-conditioned and 42/128 (33%; 95% CI, 25-41%) were obese. The BCS given by the

158 owners were significantly lower than the BCS given by the investigator ( $p < .001$ ). The CNS given  
159 by the owners, however, were significantly higher than those given by the investigator ( $p < .001$ ).  
160 Forty-two horses (33%; 95% CI, 25-41%) were considered to have a cresty neck. Seventy-three  
161 (57%; 95% CI, 48-65%) horses had visible growth rings on  $\geq 1$  hooves, but none of the horses had  
162 divergent hoof rings, white line separation, or dropped soles indicative of a history of laminitis.  
163 Six horses had a history of laminitis diagnosed by a veterinarian and 2 additional horses had  
164 historical owner-suspected but not veterinarian-confirmed laminitis.

#### 165 *Oral Sugar Test*

166 No adverse events were noticed by the investigators during the OST or reported by the owners  
167 after the study. All but 1 of the horses readily accepted the PO dosing of the syrup. The 1 horse  
168 that refused the dosing syringe consumed all of the syrup (within 1-2 minutes) after the entire  
169 volume was ejected into the horse's empty food bucket. In total, 20/128 (16 %; 95% CI, 10-23%)  
170 horses met the criteria for ID (Table 2). Of these, only 1 animal had increased insulin concentration  
171 at T0, 9 at T60 and 19 at T90. Eight horses had increased insulin concentrations at both T60 and  
172 T90. Seven of the 8 horses with owner-reported history of laminitis were categorized in the ID  
173 group.

174 Body condition scores correlated significantly with insulin T0 ( $\rho = .253$ ,  $p=.036$ ), T60 ( $\rho = .309$ ,  
175  $p < .01$ ), T90 ( $\rho=.270$ ,  $p= .018$ ), and AUC ( $\rho = .305$ ,  $p < .01$ ). Basal glucose concentration  
176 correlated significantly with insulin T60 ( $\rho = .267$ ,  $p=.027$ ) and AUC ( $\rho = .261$ ,  $p = .027$ ). No  
177 other significant correlations were detected among BCS, glucose, and insulin.

178 Of the 42 obese horses, only 11 (26%; 95% CI, 15-41%) met ID criteria, and of the 35 over-  
179 conditioned horses, only 4 (11%; 95% CI, 5-26%) met ID criteria. Five (4%; 95% CI, 2-9%) non-  
180 over-conditioned or obese horses were categorized as having ID.

181 Several variables were found to be significant in univariable analysis (Table 3) and these were  
182 moved forward into a multivariable model. However, because several significant obesity-related  
183 variables were found in univariable analysis that correlated with each other, the variables that were  
184 not affected by the horse's height (BCS, CNS and obesity vs. heart-girth, weight, widest part of  
185 abdomen) were selected for multivariable analysis. Each of the obesity variables was analyzed  
186 separately in a multivariate model with the other 4 (age, sex, glucose, combined trot and canter  
187 hours/week) variables (3 separate models). Finally, only obesity ( $BCS \geq 8$ ) was shown to be  
188 associated with ID in multivariable models (OR, 3.29; 95% CI, 1.04-10.37;  $p=.043$ ).

## 189 **Discussion**

190 In this population of Finnhorses in southern Finland tested between October and December in  
191 2017, obesity was the only variable associated with ID in multivariable analysis. The risk for ID  
192 was 3.29 times higher in horses with  $BCS \geq 8$  than in horses with lower BCS. In addition, several  
193 phenotypic markers related to obesity (BCS, CNS, BW, heart-girth, widest part of the abdomen)  
194 were found to be significant on univariable analysis. However, variables associated with feeding  
195 or exercise were not significant risk factors in this Finnhorse population. Small sample size could  
196 be a reason for the lack of association with these variables, with the majority of horses being over  
197 conditioned or obese and not undergoing intense exercise.

198 Not all obese or over-conditioned horses had ID. In fact, most of the obese or over-conditioned  
199 horses (81%) did not have ID. Supraorbital fat pad and neck circumference were not significant  
200 risk factors in univariable analysis. In 2 previous studies, ponies with ID (basal hyperinsulinemia)  
201 did not have significantly higher BCS or CNS than did ponies with normal insulin concentrations,  
202 and therefore the authors suggested that assessment of physical obesity parameters might not be  
203 an accurate predictor for ID in native pony breeds<sup>8,12</sup>. However, the majority of ponies in these

204 studies were over-conditioned or obese, which may have affected the results. In another more  
205 heterogenous group of ponies, CNS was positively associated with postprandial insulin  
206 concentration (oral glucose test), and ponies with a cresty neck had 5 times higher risk of having  
207 ID than did ponies with a normal neck<sup>7</sup>. In addition, in a mixed horse population in the US, over-  
208 conditioned and obese horses had significantly higher basal plasma insulin concentrations  
209 (indicative of ID) compared to optimally conditioned horses<sup>13</sup>. Additionally, that study found  
210 breed differences in IS and insulin concentrations. Therefore, the association between ID and  
211 obesity indeed may be breed-related, and this possibility should be taken into consideration when  
212 evaluating the status of and risks for ID in an individual horse.

213 Exercise was not shown to be a protective factor for ID in our study. However, none of the animals  
214 registered as trotting racehorses (n=10) met the criteria of ID or had a history of laminitis, nor were  
215 any of them obese. Previously published studies indicate that moderate-intensity, short- (45  
216 minutes per day for 7 days)<sup>21</sup> and long-term (60 minutes per day for 1 month)<sup>27</sup> training have been  
217 shown to improve ID in horses. Additionally, a recent study showed that ID was significantly  
218 improved by diet modification and low-intensity exercise when compared with diet modification  
219 alone<sup>28</sup>. The study also found that low-intensity exercise without diet change was insufficient to  
220 improve IS despite decreases in total body fat mass. Another study demonstrated that even long-  
221 term low-intensity exercise, such as walking 2 hours twice daily for 3 months, did not improve IS  
222 although the animals lost weight during the research period<sup>29</sup>. Therefore, light exercise alone, even  
223 if done regularly several hours per week, may not be sufficient to protect horses from ID. Accuracy  
224 in owner reporting may have been a factor in the non-significance of exercise data in our study.  
225 The questionnaire was designed to be as straightforward as possible, but some owners' perceptions

226 regarding health, nutrition and exercise intensity may not have been realistic, as has been shown  
227 in some previous studies.<sup>30,31</sup>

228 Owners underestimated their horses' BCS by 1 grade compared to the investigators, in agreement  
229 with previous study reports,<sup>2,32,33</sup> which is relevant when assessing weight management of horses.  
230 Because body weight, heart-girth and widest part of the abdomen were taken with a standard,  
231 commercially available weight and measuring tape designed for horses, owners can record and  
232 track measurements of their horses without the need of a veterinarian or expensive equipment. Use  
233 of this tool allows owners of horses with ID to identify horses at risk, or monitor treatment success,  
234 such as diet changes. Weight tapes have been shown to overestimate weight of horses<sup>34,35</sup> and  
235 therefore, the animals in our study may have been marginally lighter, on average, than what is  
236 presented in Table 1. However, change in BW is what often dictates owner management, not actual  
237 weight. Therefore, especially where weighbridge scales are unavailable, use of a weight tape, as  
238 employed in our study, represents a practical monitoring tool compatible with typical field  
239 conditions.

240 The frequency of ID in this population of Finnhorses was 16%, which is close to previously  
241 published reports of 18-27 % in other breeds<sup>12,13</sup>. Despite phenotypic markers of obesity being  
242 significantly higher in the ID group, the frequency of ID was low compared to the percentage of  
243 over-conditioned or obese Finnhorses (60%). This observation supports previous findings of a  
244 lower breed representation of laminitis in this breed<sup>14</sup>. Arbitrarily decreasing the cutoff to 50  
245  $\mu$ IU/ml increased the number of horses with ID to 24 (19%; 95% CI, 13-26%). This possibly could  
246 be a more sensitive cutoff for samples analyzed using the Immulite 2000XPi.

247 Fasting or resting blood glucose measurement is not a useful diagnostic test to determine ID.  
248 Instead, it should be used as part of a comprehensive diagnostic plan<sup>6</sup>. Although fasting blood

249 glucose concentration was a risk factor for ID in univariable analysis, the concentrations of all  
250 horses were within the normal reference range.

251 We used a higher corn syrup dose (0.45 ml/kg), which has been suggested to have higher sensitivity  
252 for ID than the previously used lower doses<sup>11</sup>. However, the data originates from a study in which  
253 the purpose was to differentiate previously laminitic and non-laminitic ponies from each other, not  
254 to find ID animals in a random population<sup>11</sup>. Therefore, this higher dose may be suboptimal, and  
255 more research is warranted in this area.

## 256 **Conclusions**

257 In this sample population of Finnhorses, obesity was shown to be associated with ID. Several  
258 phenotypic indicators of obesity were found to be significantly higher in horses with ID in  
259 univariable analysis, suggesting that generalized obesity is associated with ID in cold-blooded type  
260 horses. The frequency of ID in this population when tested with .45 ml/kg OST was 16 %. Because  
261 owners were found to underestimate the BCS of their horses, they should be encouraged to  
262 regularly measure and record BCS and weight estimates to track changes over time.

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