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# 1 Shedding light on the chromatin changes that modulate shade responses

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16 Perception of vegetation proximity or plant shade informs of potential competition for resources  
17 by the neighboring vegetation. As vegetation proximity impacts on both light quantity and  
18 quality, perception of this cue by plant photoreceptors reprograms development to result in  
19 responses that allow plants to compete with the neighboring vegetation. Developmental  
20 reprogramming involves massive and rapid changes in gene expression, with the concerted  
21 action of photoreceptors and downstream transcription factors. Changes in gene expression can  
22 be modulated by epigenetic processes that alter chromatin compaction, influencing the  
23 accessibility and binding of transcription factors to regulatory elements in the DNA. However,  
24 little is known about the epigenetic regulation of plant responses to the proximity of other  
25 plants. In this manuscript, we review what is known about plant shade effects on chromatin  
26 changes at the cytological level, that is, changes in nuclear morphology and high order  
27 chromatin density. We address which are the specific histone post-transcriptional modifications  
28 that have been associated with changes in shade-regulated gene expression, such as histone  
29 acetylation and histone methylation. Furthermore, we explore the possible mechanisms that  
30 integrate shade signaling components and chromatin remodelers to settle epigenetic marks at  
31 specific loci. This review aims to be a starting point to understand how a specific environmental  
32 cue, plant shade, integrates with chromatin dynamics to implement the proper acclimation  
33 responses.

34  
35 *Abbreviations* – B, blue; COP1, CONSTITUTIVE PHOTOMORPHOGENIC 1; cry2,  
36 cryptochrome 2; DAPI, 4',6-diamidino-2-phenylindole; DET1, DEETIOLATED1; FR, far-red;  
37 GCN5, GENERAL CONTROL NONDEREPRESSIBLE 5; HAT, histone acetyltransferases;

38 HAF2, HISTONE ACETYLTRANSFERASE OF THE TAFII250 FAMILY 2; HAG4,  
39 HISTONE ACETYLTRANSFERASE OF THE GNAT FAMILY 4; HAM1, HISTONE  
40 ACETYLTRANSFERASE OF THE MYST FAMILY 1; HDAC, histone deacetylase; HDA6,  
41 histone deacetylase 6; HDA15, histone deacetylase 15; HDA19, histone deacetylase 19; HDAC,  
42 histone deacetylases; HY5, ELONGATED HYPOCOTYL 5; IAA3, INDOLE-3-ACETIC  
43 ACID INDUCIBLE 3; MRG2, MORF RELATED GENE 2; PAR, photosynthetic active  
44 radiation; PHYB, PHYTOCHROME B; PIF, PHYTOCHROME INTERACTING FACTOR; R,  
45 red; PRE1, PACLOBUTRAZOL RESISTANCE 1; RBCS-1A, RIBULOSE BISPHOSPHATE  
46 CARBOXYLASE SMALL CHAIN 1A; SDG8, SET DOMAIN GROUP 8; YUC8, YUCCA8.  
47  
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49

50 Light not only provides energy for photosynthesis but also information to adapt plant growth  
51 and development to a variable environment. In the high planting densities found in natural and  
52 agronomic settings, light availability to drive photosynthesis can be strongly reduced and  
53 compromised by the close proximity of other plants. Indeed, photosynthetic tissues absorb  
54 specifically blue (B, 400-500 nm) and red light (R, around 660-670 nm), thus photosynthetic  
55 active radiation (PAR, from 400 to 700 nm) of sunlight found below a plant canopy (i.e., plant  
56 shade) is strongly impoverished in these colors of the spectrum, while most far-red light (FR,  
57 around 720-730 nm) is transmitted or reflected. Consequently, the resulting R to FR ratio  
58 (R:FR) that impacts plants growing underneath a vegetation canopy is also strongly reduced. In  
59 contrast with plant or canopy shade, proximity of vegetation does not decrease the amount of  
60 light but specifically reflects FR, hence also lowers the R:FR that impacts in a non-shaded  
61 neighboring plant. Therefore, while both conditions result in a decrease in the R:FR, only  
62 canopy shade decreases the amount of light. In this review we will refer to both low light and  
63 low R:FR as components of plant shade.

64

65 Reduction of the R:FR that accompanies the proximity of vegetation in nature acts as an early  
66 and reliable cue of the presence of vegetation that potentially might compete for light and other  
67 resources. Early detection of this signal is key to plant survival and triggers acclimation  
68 responses to optimize plant development. Among these responses were found the promotion of  
69 stem or petiole elongation and hyponastic growth to outgrow neighboring plants. The low R:FR  
70 ratio and the reduction of PAR light (low light) mediates the activity (amount, conformation and  
71 localization) of the phytochromes, the photoreceptors able to sense R and FR wavelengths.  
72 Under low light, the B-sensing cryptochromes also participate in the perception. Both types of  
73 photoreceptors mediate rapid changes in gene expression and developmental processes (Yu et  
74 al. 2010, Casal 2012, Roig-Villanova and Martinez-Garcia 2016).

75

76 There is a synergistic interaction between low B and low R:FR, as the combination of both  
77 factors has more severe effects than the single ones (de Wit et al. 2016). Because of the  
78 anticipatory and reliable nature of the low R:FR signal indicating the presence of vegetation  
79 proximity, how phytochrome signaling works has received significant attention. Based mostly  
80 on studies using *Arabidopsis thaliana*, it has been established that low R:FR-triggered  
81 inactivation of phytochromes decreases their interaction with PHYTOCHROME  
82 INTERACTING FACTORS (PIFs), a group of bHLH transcription factors. This results in the  
83 accumulation and/or activation of PIFs to regulate the expression of several light-responsive  
84 genes. Among the eight PIFs identified, the most relevant in regulating shade responses is PIF7,  
85 although PIF4 and PIF5 also participate at a certain extent (Li et al. 2012). Accumulation and/or  
86 activation of these PIFs in low R:FR releases the transcription of hundreds of genes that trigger

87 different aspects of the shade developmental program. The best known of these responses is  
88 hypocotyl elongation, which is promoted by the action of PIFs, and takes place because of rapid  
89 and reversible changes in the expression of dozens of genes.

90

91 Although many of the genetic components that regulate the shade response of the hypocotyl  
92 have been elucidated, little is known about how this process is transcriptionally and  
93 epigenetically orchestrated. To address this point, it is necessary to understand not only how  
94 chromatin organizes the genetic material but also how it is regulated and whether the  
95 environmental cues further modulate this regulation.

96

### 97 **Chromatin is a dynamic structure that responds to environmental changes**

98

99 Chromatin is a nuclear multicomponent complex composed of DNA, RNA and proteins. The  
100 basic unit of chromatin is the nucleosome, a structure conserved in all eukaryotes that is formed  
101 by DNA wrapped around an octamer of histone 3 (H3), H4, H2A and H2B, sealed by the H1  
102 linker histone. Chromatin can have different degrees of compaction that regulates the  
103 accessibility of genes to the transcriptional machinery. How this occurs is basic in order to  
104 understand transcriptional regulation.

105

106 Some of the most important mechanisms that regulate changes in chromatin organization  
107 involve DNA methylation and histone post-translational modifications and variants, which are  
108 highly diverse and dynamic. The various modifications in either DNA or histones are  
109 commonly referred to as epigenetic marks. It is assumed that different combinations of marks,  
110 or epigenetic status, modulate chromatin compaction impacting either positively or negatively  
111 on transcription. When the environment changes, chromatin needs to be modified and  
112 restructured to translate the environmental signals into an acclimation response at the  
113 transcriptional level (Probst and Mittelsten Scheid 2015). Therefore, the ability of rapidly and  
114 reversibly altering the epigenetic status could be a key component to plant plasticity to respond  
115 to the environment.

116

117 Chromatin-level regulation has been reported as an essential component of light signaling  
118 (Barneche et al. 2014, Kim et al. 2015, Perrella and Kaiserli 2016). Little attention has been  
119 given, however, to the chromatin dynamics associated to shade (low light and/or low R:FR)  
120 responses. The purpose of this review is to address the current state of the art regarding  
121 epigenetic regulation in shade response, with specific focus in the model plant *Arabidopsis*  
122 *thaliana*. Two different aspects will be considered: chromatin compaction at the nuclear level  
123 and histone modifications that affect specific gene expression.

124

## 125 **Nucleus structure changes after low light and low R:FR exposure**

126

127 As mentioned, chromatin presents different compaction levels. From this point of view,  
128 chromatin can be classified in two major domains: euchromatin and heterochromatin. Each of  
129 these chromatin states are enriched in specific histone variants and post-translational  
130 modifications to form either transcriptionally active (euchromatin) or silent (heterochromatin)  
131 chromatin domains (Fransz et al. 2003). Heterochromatin can be distinguished from  
132 euchromatin in Arabidopsis nuclei by analyzing the chromatin density in the interphase nuclei  
133 after DNA counterstaining with DAPI (4',6-diamidino-2-phenylindole), reflecting that they  
134 conform large-scale compartments (Fig. 1A). Heterochromatin clusters in highly stained  
135 speckles called chromocenters, which are formed by the centromeric and pericentromeric  
136 regions, satellite repeats, ribosomal DNA genes and nucleolar organizer regions (NORs)  
137 repetitive sequences (Del Prete et al. 2014, Simon et al. 2015). Less condensed loops of active  
138 euchromatin emanate from the chromocenters (Fransz et al. 2002), which are enriched in  
139 transcriptionally active genes. Euchromatic regions are poorly stained with DAPI (Fig. 1A).

140

141 The two contrasting chromatin states are not static but highly dynamic, with their degree of  
142 compaction changing under certain conditions. Quantifying the size and number of  
143 chromocenters allows the assessment of wide-range chromatin density that correlates with  
144 chromatin state (Tessadori et al. 2007). Using this approach, chromatin decondensation has been  
145 detected during developmental switches and also upon environmental changes or stress,  
146 including dark-to-light transitions (Exner and Hennig 2008, Bourbousse et al. 2015, Probst and  
147 Mittelsten Scheid 2015). Regarding the exposure of seedlings to shade, either low light or low  
148 R:FR results in a reduction of chromatin compaction (chromatin decondensation) assessed by a  
149 decrease in the amount and size of chromocenters (Tessadori et al. 2009) (Fig. 1B). Maximum  
150 decondensation in response to low light is reached at 4 days after the treatment. The reversion of  
151 this state once the plant is shifted to normal light levels takes similar times (van Zanten et al.  
152 2010). It is unknown, however, if the rate of chromatin decondensation observed in response to  
153 low R:FR is similar to the one caused after exposure to low light, but the chromocenter kinetics  
154 in other light processes (such as dark-to-light transitions) suggests that they will have a similar  
155 behavior.

156

157 To find regulators of chromatin density in different light environment, 21 Arabidopsis  
158 accessions from different locations and habitats were used. This study showed that compaction  
159 of chromatin appeared to be dependent on light intensity, as the southernmost Arabidopsis  
160 accession Cvi-0 presents the lowest chromatin compaction (Tessadori et al. 2009), a finding that

161 agrees with independent observations indicating that accessions closer to the equator are less  
162 sensitive to light (Malooof et al. 2001). QTL mapping using the Cvi-0 and Ler accessions, which  
163 present different levels of chromatin compaction, identified *PHYTOCHROME B* (*PHYB*) and  
164 *HISTONE DEACETYLASE-6* (*HDA6*) genes as positive regulators of light-dependent chromatin  
165 compaction (Fig. 1B). Accordingly, *phyB* mutants display lower chromatin density than wild-  
166 type plants in similar light conditions (Tessadori et al. 2009). Interestingly, *phyB* reduces  
167 nucleus size (area and perimeter) independent of its positive role in heterochromatin  
168 condensation (chromocenter formation and/or maintenance) (Snoek et al. 2017). Therefore,  
169 *phyB* has a central role in the coregulation of the nucleus phenotype in low light. So far, the  
170 effect of low R:FR on nuclear size has not been tested. Nevertheless, considering the decrease in  
171 chromocenter number induced by low R:FR, and the fact that a clear positive correlation  
172 between nucleus size (area and perimeter) and increased chromocenter values has been  
173 demonstrated (Snoek et al. 2017), it is very likely that nuclear size is also increased under low  
174 R:FR.

175

176 Besides *phyB*, the blue-light receptor cryptochrome 2 (*cry2*) has also been found to participate  
177 in the low-light reduced chromatin compaction (van Zanten et al. 2010). The mechanisms of  
178 action of *phyB* and *cry2* photoreceptors could be direct, as both are nuclear proteins known to  
179 interact (Mas et al. 2000), or indirect, as *phyB* controls *cry2* protein levels (van Zanten et al.  
180 2010). The latter authors propose a model where *phyB* and *cry2* control a chromatin remodeler  
181 complex responsible for chromatin compaction, in which CONSTITUTIVE  
182 PHOTOMORPHOGENIC 1 (*COP1*) might participate (Fig. 1B). *COP1* is a member of the E3  
183 ligase complex that interacts with cryptochromes and phytochromes (Yi and Deng 2005).  
184 *COP1*, together with DE-ETIOLATED 1 (*DET1*), maintain chromatin decondensation in  
185 etiolated cotyledons (Bourbousse et al. 2015); after light exposure chromatin condensates. The  
186 ubiquitination machinery of this protein complex could be important for the cell fate either by  
187 inducing chromatin changes, as *DET1* binds to H2B (Benvenuto et al. 2002), or reducing the  
188 stability of transcription factors. However, there is no information available on whether *cop1* or  
189 *det1* mutants have defective nuclear phenotypes in shade. Another component of this  
190 hypothetical chromatin remodeler complex controlled by photoreceptors can be *HDA6* (Fig.  
191 1B), which was also found in the same QTL analysis as *phyB* (Tessadori et al. 2009). *HDA6* is  
192 involved in histone deacetylation, which is expected to compact chromatin (Fig. 2A).  
193 Consistently, the compaction of heterochromatin in the *hda6* mutant is reduced. Importantly, the  
194 *hda6* mutant phenotype can be partially restored by higher irradiance (Tessadori et al. 2009),  
195 that is sensed by cryptochromes and phytochromes.

196

197 Besides histone acetylation, many other epigenetic processes have been related with large-scale  
198 chromatin relaxation. Recently, the linker histone H1 has been also related to regulation of  
199 several developmental transitions, e.g., from vegetative to flowering development (Rutowicz et  
200 al. 2019). Interestingly, the H1.3 variant is stress inducible. After 3-4 days of low light  
201 exposure, H1.3 protein accumulates, and within 1-2 days after moving plants back to standard  
202 light conditions, *H1.3* expression returns to normal levels, matching the timing with the low-  
203 light induced chromatin decondensation. Moreover, the levels of low light-induced *H1.3*  
204 expression in the *cry2* and *phyB* mutants are slightly enhanced (Rutowicz et al. 2015). These  
205 results make H1.3 one of the possible candidates linking chromatin condensation and  
206 photoreceptor activity (Fig. 1B).

207

208 We still have a lot to understand on chromocenter dynamics and higher order chromatin  
209 compaction. In addition, it is unclear how sensing environmental changes through phyB and  
210 cryptochromes integrate in these processes.

211

## 212 **Histone marks at specific loci as a mean to control shade-regulated gene expression**

213

214 Chromatin changes in specific genes can be studied by analyzing the occurrence of epigenetic  
215 marks in determined regions of the genome, such as those corresponding to genes involved in  
216 the shade-response regulation. Among the most important epigenetic marks are DNA  
217 methylation and histone post-translational modifications. We will discuss in here changes in  
218 histone modifications, as so far, only these marks have been related to shade responses. In  
219 addition to controlling the higher order chromatin structure, as addressed in the previous  
220 section, the presence or absence of specific histone marks at the locus scale can also be directly  
221 linked to gene regulation. These epigenetic modifications play an important role in transcription  
222 factor binding to DNA regulatory sequences and target gene transcription. When understanding  
223 light-regulation of gene expression, several epigenetic marks have been studied (Barneche et al.  
224 2014, Kim et al. 2015, Perrella and Kaiserli 2016) but only a few have been related to shade.  
225 Below we will describe three examples of histone marks over specific loci and the mechanisms  
226 that modulate shade-regulated gene expression.

227

### 228 ***Case 1: Histone acetylation of specific genes is induced in response to shade***

229 Histone acetylation is a post-translational modification that consists in the transfer of acetyl  
230 groups to Lys residues of histones. The addition of the acetyl group (1) neutralizes the Lys  
231 positive charge that counteracts the negative charged DNA, eventually provoking the relaxation  
232 of the chromatin, and/or (2) provide recognition sites for factors involved in the activation of  
233 gene expression (Carrozza et al. 2003). Acetylation is catalyzed by histone acetyltransferases



234 (HATs), whereas histone deacetylation is catalyzed by histone deacetylases (HDACs) (Fig. 2A).  
235 These enzymes are encoded by multiple genes with functional redundancy, with at least 12  
236 HAT and 18 HDAC genes in Arabidopsis (Pandey et al. 2002).

237

238 In shade, histone acetylation levels play an important role in chromatin compaction at the  
239 chromocenter level, as previously discussed (Fig. 1B). The HDAC activity of HDA6 is required  
240 for the deacetylation that leads to chromatin compaction. Several studies show that histone  
241 acetylation and deacetylation mutants have defects in hypocotyl elongation when grown in  
242 monochromatic FR and R conditions, pointing to the importance of acetylation in the phyA- and  
243 phyB-mediated responses of hypocotyl elongation. One of the HATs that has been found to play  
244 a role in R, FR and B light response pathways is HISTONE ACETYLTRANSFERASE OF  
245 THE TAFII250 FAMILY 2 (HAF2, also known as TAF1) (Bertrand et al. 2005). Although the  
246 *haf2* mutant does not show significant changes in hypocotyl growth in R and FR conditions,  
247 when combined with the *ELONGATED HYPOCOTYL 5 (HY5)* deficient mutant showed an  
248 enhancement of the *hy5* hypocotyl elongation. HY5 is a light-responsive positive transcription  
249 factor that, when mutated, results in hyposensitivity (i.e., long hypocotyls) to several light  
250 conditions (Oyama et al. 1997). This enhancement suggests that the HAF2-mediated acetylation  
251 interacts with the HY5 pathway downstream of the phytochrome signaling. Another HAT that  
252 has a role in the R and FR perception is GENERAL CONTROL NONDEREPRESSIBLE 5  
253 (GCN5, also known as HAG1). In contrast with the *haf2* single mutant, the single *gcn5* mutant  
254 shows a long hypocotyl phenotype under different light conditions. Moreover, *gcn5 haf2* double  
255 mutant shows an additive hypocotyl phenotype. Although both HATs share target genes, the  
256 lack of effect on hypocotyl elongation in the *gcn5 hy5* double mutant, in contrast with the *haf2*  
257 *hy5*, suggests that they still regulate different pathways (Fig. 2B) (Benhamed et al. 2006).

258

259 The role of HATs is counterbalanced by the action of HDACs (Fig. 2A). In addition to HDA6,  
260 two other HDACs have been reported to regulate hypocotyl growth in R and FR conditions,  
261 HDA19 and HDA15. HDA19 (also known as HD1) is a positive regulator of gene expression  
262 and hypocotyl growth in FR (and slightly also in R), showing the opposite role than GCN5, as  
263 can be concluded from the *hda19* mutant short hypocotyl phenotype compared to wild-type  
264 (Benhamed et al. 2006). The GCN5/HDA19 pair might act as an antagonistic system to control  
265 histone acetylation levels to switch gene expression. But this opposite HAT/HDAC activity is  
266 not always as clear. HDA15, which belongs to the same HDAC family than HDA19, plays an  
267 opposite role to HDA19 in the regulation of hypocotyl elongation. Under R and FR, *hda15*  
268 *hda19* double mutant presents an intermediate hypocotyl phenotype compared to the *hda15*  
269 (long hypocotyls) and *hda19* (short hypocotyls) single mutants (Liu et al. 2013). Therefore,  
270 different HDACs may have distinct (even opposite) functions in hypocotyl elongation.

271

272 The complexity of the regulation by acetylation can be understood not only by the different  
273 HATs or HDACs that participate, but also by (1) the specific genes targeted by the same HAT  
274 or HDAC, (2) which histones (H3 or H4) are modified, (3) the particular Lys residues that are  
275 acetylated, and (4) the position of the acetylation mark within the gene (e.g., promoter vs.  
276 coding region). Some studies have addressed the acetylation levels of specific genes in various  
277 light conditions, such as different light wavelengths, low light and low R:FR. In different  
278 monochromatic lights and in low light, levels of acetylated H3 in Lys 9 (H3K9ac) rise or fall  
279 together with the expression levels of four tested genes in wild-type seedlings: light induces  
280 *PSII LIGHT HARVESTING COMPLEX GENE 1.4 (LHCBI.4)* and *RIBULOSE*  
281 *BISPHOSPHATE CARBOXYLASE SMALL CHAIN 1B (RBCS-1B)* and represses *PEROXIDASE*  
282 *21* and *CITRATE SYNTHASE 3 (CSY3)* (Guo et al. 2008). Interestingly, changes of acetylation  
283 levels were regulated through HY5, which agrees with the long hypocotyl phenotype of *hy5*  
284 *haf2* double mutant. When analyzing specific genes, *haf2* mutant has lower H3 acetylation  
285 levels in the light-induced genes *RBCS-1A*, *CHLOROPHYLL A/B-BINDING PROTEIN 2*  
286 (*CAB2*) although does not affect the acetylation in the *INDOLE-3-ACETIC ACID INDUCIBLE*  
287 *3 (IAA3)* (Bertrand et al. 2005, Benhamed et al. 2006). Acetylation of H3 and H4 in these three  
288 genes is also altered in the *gcn5* (reduced acetylation) and *hda19* (enhanced acetylation) mutants  
289 (Benhamed et al. 2006). The acetylated residues differ among these mutants; both GCN5 and  
290 HAF2 acetylate Lys 9 and Lys 27 in H3 (H3K9 and H3K27, respectively), but these marks are  
291 regulated differently depending on the target gene. Taking this also into consideration, *RBCS-*  
292 *1A* seems to be regulated by GCN5, HAF2 and HDA19, whereas *IAA3* is only dependent on  
293 GCN5 and HDA19 (Fig. 2B). To add more complexity, not only the specific target genes differ  
294 but also the distribution of the acetylation mark along the gene. The location of the reduced  
295 acetylation levels in the *gcn5* mutant do not correspond completely with those hyperacetylated  
296 in the *hda19* mutant. HDA19 is involved the regulation of *RBCS-1A* and *IAA3* with an effect on  
297 histone acetylation that seems to be operating in a large range of promoters and both upstream  
298 and at the core promoter regions (i.e., the one that contains the TATA box) (Benhamed et al.  
299 2006). The specificity of the acetylation location can be explained by the mode of action of the  
300 HATs and by the mechanisms that target them to the specific genes: GCN5 binds directly to the  
301 *RBCS-1A* and *CAB2* promoters and HAF2 may do it through the transcription factor HY5 (Fig.  
302 2B).

303

304 It seems likely that these mechanisms and/or epigenetic components might also have a role in  
305 low R:FR regulated gene expression. Indeed, it has been recently demonstrated that histone  
306 acetylation also changes in low R:FR ratio (Fig. 2C). Histone acetylation is promoted after just  
307 one hour of simulated shade in the auxin biosynthetic gene *YUCCA 8 (YUC8)*. It is reported that

308 shade increases the levels of H3K9ac, H3K27ac and H4K5ac, but not those of H3K14ac or  
309 H3K36ac (Peng et al. 2018). Among the different marks, increase in H4K5 acetylation has been  
310 proposed to happen through the HAT activity encoded by *HISTONE ACETYLTRANSFERASE*  
311 *OF THE MYST FAMILY 1 / HISTONE ACETYLTRANSFERASE OF THE GNAT FAMILY 4*  
312 (*HAM1/HAG4*) and *HAM2/HAG5* (Earley et al. 2007, Xiao et al. 2013), and the other marks,  
313 H3K9ac and H3K27ac, have to be regulated by other HAT, possibly GCN5 and HAF2.

314

315 In summary, the variety of the Lys residue acetylated, the distribution of the mark along the  
316 genome, together with the different photomorphogenic hypocotyl phenotypes, exemplifies the  
317 complexity of how transcriptional control of the different shade-induced genes is exerted by the  
318 epigenetic mark imposed by HATs and HDACs. It seems likely that enhanced acetylation of  
319 histones might have an impact on the expression of the specific locus either directly or  
320 indirectly: if placed in the promoter region, it might facilitate binding of transcription factors to  
321 DNA regulatory sequences; alternatively, if placed in the gene body (the region containing  
322 introns and exons), it might also facilitate transcription by reducing compaction of nucleosomes.

323

#### 324 ***Case 2: Histone methylation is involved in gene regulation in response to low R:FR***

325 Methylation of histones consists in the addition of one, two or three methyl groups to a Lys  
326 residue. Unlike acetylation, the methyl group is neutral and does not affect the positive charge  
327 of the Lys. Methylation marks can be related to transcriptional activation or repression  
328 depending on the modified residue. In general, H3 trimethylation of the Lys 4 (H3K4me3) and  
329 Lys 36 (H3K36me3) are associated with activation and H3 dimethylation of Lys 9 (H3K9me2)  
330 and trimethylation of Lys 27 (H3K27me3) with repression of genes (Pontvianne et al. 2010).

331

332 The H3K4me3 and H3K36me3 activating marks have been described in the 5'-end of the gene  
333 body of *YUC8* and their presence have an important role in recruiting, by direct binding, the  
334 MORF RELATED GENE 2 (MRG2) histone methylation reader (Peng et al. 2018).  
335 Accordingly, the reduced levels of those H3 marks in the H3K4-methyltransferase mutant  
336 *homologue of trithorax 1-2 (atx1-2)* and the H3K36-methyltransferase mutant *set domain group*  
337 *8-2 (sdg8-2)* results in a reduction of MRG2 binding to the modified histones (Peng et al. 2018)  
338 (Fig. 2C). Although histone methylation levels of these marks are not affected by simulated  
339 shade, their reduction in the *atx1-2* and *sdg8-2* mutant results in a slightly shorter hypocotyl.  
340 This supports a basal role of H3K4me3 and H3K36me3 in the response of seedlings to low  
341 R:FR. The methylation reader *mrg2* mutant does not exhibit a phenotype, but when the other  
342 member of the family *MRG1* is also mutated, hypocotyl elongation is drastically reduced in  
343 shade (Peng et al. 2018). Interestingly, binding of MRG2 to *YUC8*, *IAA19* and  
344 *PACLOBUTRAZOL RESISTANCE 1 (PRE1)* is enhanced in shade and dependent on PIF7. Peng

345 and collaborators found that PIF7 mediates MRG2 binding to the genes by direct interaction and  
346 that the recruitment of MRG2 leads to the acetylation of H4K5 probably by the known  
347 interaction of MRG2 with the HAM1/HAM2 HATs (Xu et al. 2014) (Fig. 2C). This case  
348 illustrates how different types of marks, histone methylation and acetylation, crosstalk to  
349 modulate shade-regulated transcription.

350

### 351 ***Case 3. H3K27me3 could modulate gene regulation in response to shade***

352 A histone methylation mark associated with gene repression, H3K27me3, has been also  
353 indirectly linked to the regulation of the seedling response to shade through the role of LIKE  
354 HETEROCHROMATIN PROTEIN 1 (LHP1), also known as TERMINAL FLOWER 2 (TFL2)  
355 (Valdés et al. 2012). LHP1 is a chromatin-associated protein recruited to H3K27me3-rich  
356 regions that is proposed to be responsible for the stabilization of those repressive regions (Exner  
357 et al. 2009). When de-etiolated under R and FR (but not under B), *lhp1* mutant seedlings present  
358 a strong hypersensitive response as hypocotyl elongation is strongly inhibited. The *lhp1* de-  
359 etiolation phenotype is dependent on phyA and phyB, as double mutants with *lhp1* have the  
360 same phenotype of the *phyA* and *phyB* single mutants in FR and R, respectively. This indicates  
361 that LHP1 acts downstream of phytochromes. Importantly, *lhp1* mutant seedlings are almost  
362 unresponsive to low R:FR. Because LHP1 has been related to auxin biosynthesis, which is  
363 reduced in the *lhp1* mutant (Rizzardi et al. 2011), the authors explored the possibility of an  
364 impaired auxin-mediated shade response. The addition of external auxin cannot rescue the *lhp1*  
365 phenotype but potentiates the shade-induced gene expression of the Aux/IAA members *IAA5*  
366 and *IAA19*. As *lhp1* is not responsive to auxin, although the Aux/IAs expression levels are  
367 increased, it is expected to act downstream of Aux/IAA, hypothesis reinforced by the direct  
368 binding of LHP1 with IAA5, IAA6 and IAA19 proteins (Valdés et al. 2012). This case  
369 highlights the role of LHP1 in regulating the seedlings respond to shade probably via the  
370 H3K27me3 mark. How LHP1 is acting at the chromatin level and the role of phytochromes and  
371 Aux/IAs in this process is still unknown.

372

### 373 **Future perspectives and open questions**

374

375 When the environment changes, chromatin is reshaped. Chromatin relaxation likely allows  
376 access to the transcriptional machinery to sustain changes in the expression of a broad range of  
377 genes that are instrumental in plant's acclimation to the new environment. From this  
378 perspective, few works have been conducted till date in the shade response field. What aspects  
379 need further studies?

380

381 (1) Global changes in nucleus structure are clearly detected after a few days of shade exposure  
382 (van Zanten et al. 2010), when changes in gene expression affect a group of late (indirect) target  
383 genes belonging to Gene Ontology (GO) categories related to diverse stresses (Leivar et al.  
384 2012) (Fig. 3). Consistently, a global chromatin decondensation occurs in a wide variety of  
385 abiotic changes and stress situations (Probst and Mittelsten Scheid 2015) and responses to shade  
386 are not an exception. How a wide variety of environmental changes converge in a common  
387 chromatin decondensation process? Shade also provokes early changes in gene expression  
388 within one hour of treatment, which are mostly directed by PIF binding (Kohnen et al. 2016)  
389 (Fig. 3). Are nuclear structure changes required for this early transcriptional reprogramming  
390 induced by shade? To link chromosome structure and gene expression, higher resolution  
391 techniques, like chromosome conformation capture (Kempfer and Pombo 2019), might be  
392 needed.

393

394 (2) Chromatin structural changes induced by an environmental cue may persist to make genes  
395 more responsive to future changes in the same signal. This “memory” can be useful to allow the  
396 plant to give faster acclimation responses (Fig. 3) (Bruce et al. 2007). Addressing the dynamics  
397 of shade-induced histone marks might be required to define their possible role in providing a  
398 memory.

399

400 (3) So far, shade-triggered epigenetic modifications at specific loci have been studied in a few  
401 genes, which makes difficult to propose general mechanisms to regulate shade responses. This  
402 can be addressed by using a genome-wide approach of different epigenetic marks in response to  
403 shade.

404

405 (4) Current studies have focused only in a limited number of epigenetic marks. When searching  
406 for new players in shade-response, we can look into the epigenetic regulation of  
407 thermomorphogenesis, a process known to share many phenotypic and regulatory similarities  
408 with that regulated by shade (Legris et al. 2017). Two components known to mediate the  
409 thermosensory response in plants emerge in this search: the histone variant H2A.Z (Kumar and  
410 Wigge 2010), and the ATP-dependent chromatin remodeling factor PICKLE, also shown to  
411 negatively control photomorphogenesis (Zha et al. 2017).

412

413 (5) How are the epigenetic and transcriptional mechanisms integrated? We can envisage at least  
414 two non-exclusive possibilities: (a) transcription factor binding capacity can be modulated by  
415 chromatin structure and (b) the transcription factor itself can act as a pioneer attracting  
416 chromatin remodelers to specific loci. Indeed, the latter possibility has been already suggested

417 in a few cases, such as the HDA15-PIF3 (Liu et al. 2013) and the MRG2-PIF7 (Peng et al.  
418 2018).

419

#### 420 **Author contributions**

421 JM-R and JFM-G developed the draft of the review, JM-R wrote the draft of the manuscript,  
422 and JM-R and JFM-G reviewed, edited and approved the final manuscript.

423

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433

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574  
575

#### 576 **Data availability statement**

577 Data sharing is not applicable to this article as no new data were created or analyzed in this  
578 study.

579

580

#### 581 **FIGURE LEGENDS**

582

583 **Fig. 1.** Nuclear structure changes in response to low light and low R:FR. (A) Chromatin is  
584 heterogeneously distributed in the nucleus. This can be observed after DAPI staining, where  
585 nuclei present highly stained speckles called chromocenters (CC). The left panel corresponds to  
586 a hypocotyl stained with DAPI and the magnification of a selected nucleus. On the right, a  
587 simplified outlined version of this nucleus is represented, highlighting the CC and the  
588 surrounding nucleoplasmic area. These CC are formed by densely packed heterochromatin with  
589 very low transcriptional activity. From them, chromatin loops emanate occupying the less  
590 stained regions of the nucleus. This fraction called euchromatin is less packed (more open) and  
591 more accessible to transcriptional machinery to bind, which therefore allows genes to be  
592 expressed. Bar corresponds to 50  $\mu\text{m}$ . (B) Shade (low light and/or low R:FR) promotes  
593 decondensation of the chromatin, reducing the number and size of CC. Low light also increases  
594 nuclear size. So far, it is known that both responses are controlled by the light receptors phyB  
595 and cry2. Shade (low R:FR) and low light inhibits phyB activity, that reduces the promotion of  
596 CC and increases nuclear size. As phyB, cry2 is a regulator of CC formation. In high light, cry2  
597 is degraded and CC is promoted. Low light directly induces cry2 accumulation and indirectly  
598 increases its abundance by phyB activity, that results in less induction of CC formation. Both

599 receptors can modulate chromatin structure either directly or indirectly through a chromatin  
600 remodeler complex, which can include HDA6, H1.3 (that has been related to chromatin  
601 condensation in shade) or members of the ubiquitination machinery (as COP1). \* Changes in  
602 nuclear size have not been reported in low R:FR. \*\* The participation of cry2 in CC formation  
603 has been reported only in low light conditions, not in low R:FR.

604

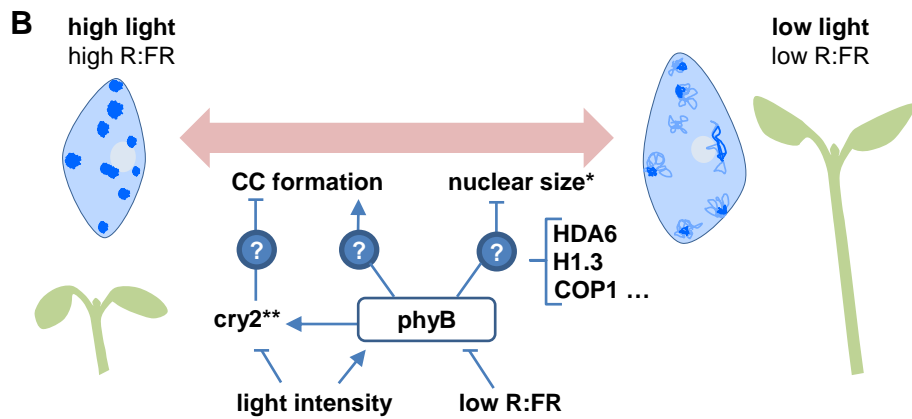
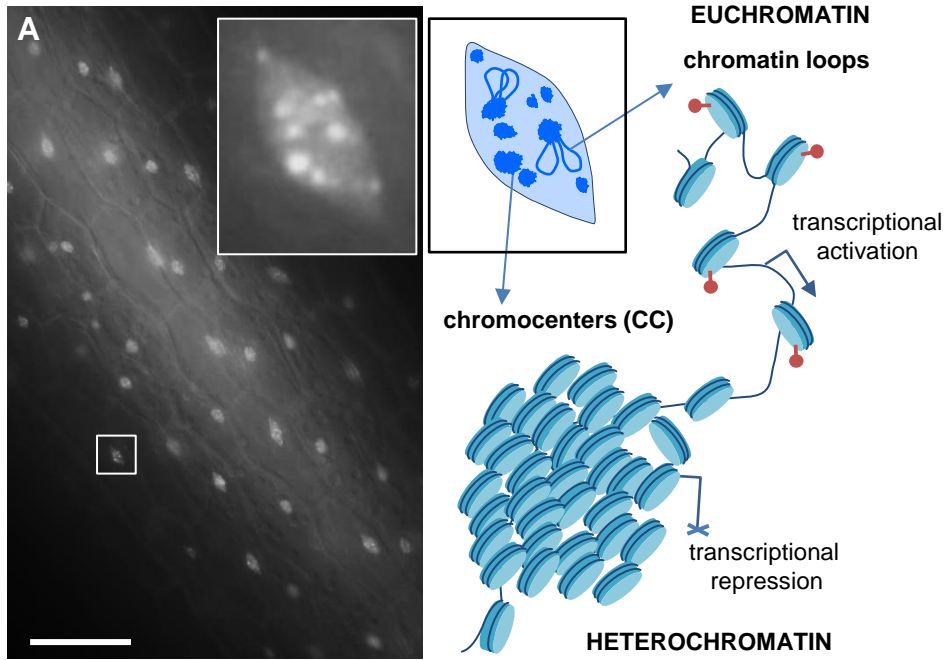
605 **Fig. 2.** Histone acetylation changes in low light and low R:FR. (A) Histone acetylation mediated  
606 by HAT promotes chromatin decondensation and allows gene expression, while deacetylation  
607 by HDAC provokes chromatin compaction that leads to transcriptional repression. (B) HAT  
608 activity targets specific genes. The HAT GCN5 is able to either (1) directly bind to the core  
609 promoter (where the TATA element is located) of some genes like *IAA3* to acetylate histones  
610 (this binding is enhanced when histones are acetylated) and/or (2) indirectly through an  
611 unknown protein like in the *RBCS-1A* gene. This protein could be a transcription factor like in  
612 the case of HAF2 (3) that is indirectly guided by HY5 to the regions in the *RBCS-1A* promoter  
613 to be acetylated. HAF2 and GCN5 can act synergistically to control *RBCS-1A* expression,  
614 whereas GCN5 binds alone to promote *IAA3* expression. The HDAC activity (4) of HDA19  
615 seems to globally de-acetylate many genes. It is still unknown if the binding is direct (for  
616 instance, via a TF) or indirect. The participation of HDA6 at the gene level is unknown but  
617 likely as it affects nuclei chromatin density. (C) Another mechanism to acetylate histones is  
618 linked to the presence of other histone marks, as it occurs in the control of *YUC8* expression.  
619 The H3K4/K36me mark deposited by the action of SDG8/ATX1 is read by MRG1/2 only when  
620 interacts with PIF7. MRG1/2 are responsible to bind the HAT HAM1/2 to acetylate the gene  
621 and promote its expression. Acetylation only occurs when PIF7 is activated by low R:FR.

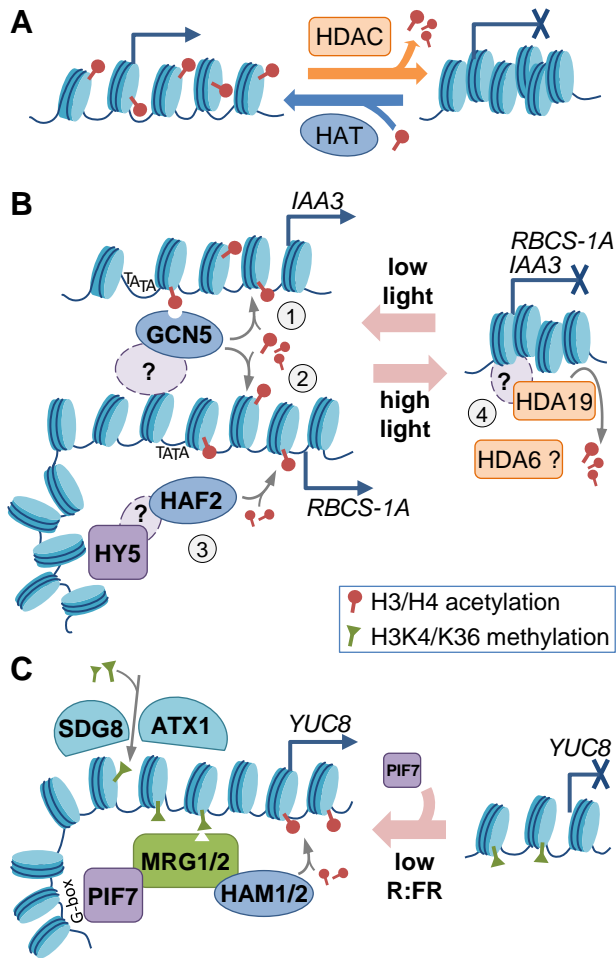
622

623 **Fig. 3.** Changes in gene expression and epigenetic marks in low light and low R:FR take place  
624 at different paces. Gene expression is induced rapidly after low R:FR (within 15 min in some  
625 cases) via PIF activity. Many of these genes belong to the auxin biosynthesis pathway or are  
626 TFs that trigger the expression of other genes. Increased auxin levels and activation of TFs  
627 results in the late activation or repression of PIF-indirect secondary genes. These latter genes  
628 belong to GO categories related to different stresses. In addition to gene expression  
629 reprogramming, epigenetic changes occur. Some are mid-long term (increase of nuclear size,  
630 decrease of chromocenters or the accumulation of the H1.3 variant) and others are faster  
631 (increase of acetylation already after one hour of the signal). It is unknown if these rapid  
632 changes in acetylation are maintained or not in later time points.

633

634





low light and/or low R:FR

