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Bogdziewicz, Michał; Fernández-Martínez, Marcos; Espelta Morral, Josep Maria; [et al.]. «Is forest fecundity resistant to drought? Results from an 18-yr rainfall-reduction experiment». New phytologist, Vol. 227, Issue 4 (August 2020), p. 1073-1080. DOI 10.1111/nph.16597

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1 Is forest fecundity resistant to drought? Results from an 18-year rainfall-reduction experiment

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Summary

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- Recruitment is a primary determinant of the long-term dynamics of plant populations in changing environments. However, little is known about the effects of anthropogenic environmental changes on reproductive ecology of trees.
- We evaluated the impact of experimentally induced 18 years of drought on reproduction of three contrasting forest trees: *Quercus ilex*, *Phillyrea latifolia*, and *Arbutus unedo*.
- Rainfall reduction did not decrease tree fecundity. Drought, however, affected the allocation of resources in *Q. ilex* and *A. unedo* but not the more drought-tolerant *P. latifolia*. Larger crop production by both *Q. ilex* and *A. unedo* was associated with a stronger decrease in growth in the rainfall-reduction plots compared to the control plots, suggesting that these species were able to maintain their fecundity by shifting their allocation of resources away from growth.
- Our results indicated resistance to change in tree fecundity in Mediterranean-type
 forest subjected to an average 15% decrease in the amount of soil moisture, suggesting
 that these ecosystems may adapt to a progressive increase in arid conditions.
 However, the species-specific reductions in growth may indirectly affect future
 fecundity and ultimately shift community composition, even without immediate direct
 effects of drought on tree fecundity.

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Keywords: drought, fruit production, global change, mast seeding, rainfall-reduction, reproduction, tree fecundity,

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Introduction

- 37 Anthropogenic environmental changes are exerting increasing pressure on forests worldwide
- 38 (Gauthier et al. 2015, Seidl et al. 2017), and accumulating evidence indicates that climate
- 39 change is causing dramatic forest diebacks (Allen et al. 2010, Seidl et al. 2017, Lloret and
- 40 Kitzberger 2018). The critical question now concerns what ecosystems will follow from these
- 41 profound transformations. Few studies, however, have compared the impacts of
- 42 environmental change on the reproductive ecology of trees to other effects such as growth,
- carbon sequestration, mortality, or phenology (Barbeta et al. 2013, Hacket-Pain et al. 2016,
- Zohner et al. 2018, Luo et al. 2019). Ecosystem services, such as mitigating the risk of
- 45 avalanches, carbon storage, habitat availability, and value for the economy and recreation, can

suffer if reduced reproduction slows forest expansion or limits the recruitment of merchantable tree species and seed producers that support wildlife (McShea 2000, Ostfeld and Keesing 2000, Clark et al. 2007, 2019, Bogdziewicz et al. 2016). The volatility of seed production and our poor understanding of the mechanisms that govern it are challenges for anticipating change in forest reproduction (Bogdziewicz et al. 2020a). Reliable predictive models are consequently not available, and the unpredictable recruitment of trees has become a key obstacle to understanding forest change (Ibáñez et al. 2009, Zhu et al. 2012).

Tree reproduction is sensitive to climate change (Mckone et al. 1998, Pearse et al. 2014, Monks et al. 2016, Vacchiano et al. 2017). Observational studies of long-term trends in fecundity report both increases and decreases in mean reproductive effort in many important forest-forming species (Richardson et al. 2005, Mutke et al. 2005, Redmond et al. 2012, Allen et al. 2014, Buechling et al. 2016, Bogdziewicz et al. 2020b). A wide array of statistical tools used in these studies usually attributes these trends to global warming, but substantial uncertainty remains, as causality remains unestablished. Experiments that simulate environmental conditions projected by models of global change are thus useful for predicting the impacts of environmental global change on the reproductive patterns of forest trees. Such experiments usually report substantial effects. For example, *Pinus taeda* growing in an atmosphere enriched in CO₂ produced three-fold as many cones as trees growing under natural conditions (LaDeau and Clark 2001). Excluding rain reduced the production of seed biomass in *Quercus ilex* by thirty percent (Pérez-Ramos et al. 2010). Such experimental studies are nonetheless almost inevitably limited in time. A meta-analysis of global-change experiments have reported a dampening effect size of treatments (warming, nitrogen fertilization, or drought) over time (Leuzinger et al. 2011). Monitoring experimental systems as long as possible is thus desirable for assessing the long-term impacts of global change on forest fecundity.

The drought experiment in the Prades Mountains in southern Catalonia runs since 1999, and is one of the longest running forest global-change experiments in the world (Wu et al. 2011, Barbeta et al. 2013, Peñuelas et al. 2018). The experiment is being conducted in a typical holm oak (*Q. ilex*) forest, where the oak is accompanied by other Mediterranean woody species with more (*Phillyrea latifolia*) and less (*Arbutus unedo*) drought tolerance (Peñuelas et al. 2018). Important demographic effects have already been observed, such as a higher mortality of stems and reduced growth, especially in *Q. ilex* and *A. unedo* (Lloret et al. 2004, Ogaya and Peñuelas 2007b). The differences in the rates of growth and mortality between drought and control plots recorded at the beginning of the experiment eventually

decreased after some years (Barbeta et al. 2013, Liu et al. 2015). Decreased competition with high mortality after extreme droughts, and possible morphological and physiological acclimation to drought during the study period, may buffer forests against drier conditions (Barbeta et al. 2013, Liu et al. 2015, Peñuelas et al. 2018).

We evaluated the impact of experimentally induced drought on the fecundity of the dominant forest trees at our experimental site: Q. ilex, P. latifolia, and A. unedo. All three species mast at our sites, i.e. reproduced by the synchronous and temporally variable production of seeds (Kelly 1994). The sensitivity of reproduction of mast-seeding species to global change is predicted to be especially high, due to hypersensitivity of masting plants seed production to variation in the weather (Mckone et al. 1998, Monks et al. 2016, Vacchiano et al. 2017). In addition to the important trends in mean fecundity, changes in the strength of masting (i.e. the interannual variability and synchrony of reproduction) are crucial for tree fitness and forest regeneration, because masting is a life-history trade-off among missed reproductive opportunities in low-seed years, increased pollination efficiency, and decreased seed predation in mast years (Kelly 1994, Pearse et al. 2016, Bogdziewicz et al. 2020b). We thus also tested the effects on coupling between plants and variation among years, in addition to evaluating the effects of drought on mean fruit production. We predicted that drought would reduce mean reproductive output in Q. ilex and A. unedo but not P. latifolia (prediction 1) based on studies reporting that *P. latifolia* was much more drought-tolerant than the other two species (Ogaya and Peñuelas 2007b, Barbeta et al. 2013, Peñuelas et al. 2018). We also predicted that the effect would dampen with time (prediction 2), paralleling the diminishing effects of drought on growth and mortality (Barbeta et al. 2013). The theory of mast seeding predicts that more frequent adverse weather would increase the interannual variability of seed production and strengthen the synchrony of reproductive variation among trees (Rees et al. 2002, Espelta et al. 2008, Bogdziewicz et al. 2018). We thus predicted an increase in annual variability and synchrony in all three species on experimental plots relative to the control, but likely less so in drought-resistant *P. latifolia* than in the other two species (prediction 3). Finally, we expected that the drought experiment would induce variation in the strength of the trade-off between growth and reproduction (prediction 4): the trade-off would be stronger under stressful conditions (drought) (Martín et al. 2015, Berdanier and Clark 2016, Hacket-Pain et al. 2017).

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Materials and Methods

Rainfall-reduction experiment

We established the experimental site in 1999 on a 25% south-facing slope in the Prades Holm oak forest in southern Catalonia (northeastern Spain) (41°21′N, 1°2′E; 930 m a.s.l.). As a result of former coppicing the forest has a very dense multi-stem canopy layer (15433 stems ha¹) dominated by *Q. ilex* (5258 stems ha¹), *P. latifolia* (7675 stems ha¹), and *A. unedo* (1100 stems ha¹), accompanied by other Mediterranean woody species that usually do not reach the upper canopy (e.g. *Erica arborea* and *Juniperus oxycedrus*) and occasional isolated deciduous trees (e.g. *Sorbus torminalis* and *Acer monspessulanum*). Holm oak forests in the Prades Mountains grow throughout the altitudinal range (400–1200 m), presenting closed canopies 3–10 m in height depending on site quality. This forest has been managed as a coppice for centuries but has not been substantially disturbed for the last 70 years.

The site has a Mediterranean climate with a mean annual temperature of 12.4 °C and a mean annual precipitation of 610 mm during the study period (see Results). Annual and seasonal precipitation are irregularly distributed, with annual precipitation ranging from 355 to 1021 mm in the 19 years of this study. Spring and autumn are the wettest seasons, and summer drought usually lasts three months, during which precipitation is ~10% of the annual total and coincides with the highest temperatures.

The experimental system consisted of eight 150-m² plots delimited at the same altitude along the slope. We randomly selected half of the plots to receive the drought treatment, and the other half had natural conditions. We partially excluded precipitation in the drought treatment using PVC strips suspended 0.5–0.8 m above the soil and covering approximately 30% of the plot surfaces, similarly as other drought experiments in Mediterranean systems (Limousin et al. 2008). We dug a ditch 0.8 m in depth along the top edge of the plots to intercept water runoff.

We installed an automatic meteorological station (Campbell Scientific Inc., Logan, USA) between the plots to monitor temperature, photosynthetic active radiation, air humidity, and precipitation, from which we obtained the Standardized Precipitation Evapotranspiration Index (SPEI) as a measure of atmospheric hydric conditions. SPEI is calculated as the difference between monthly precipitation and potential evapotranspiration (Beguería et al. 2014). High and low SPEI values therefore indicate wet and drought conditions, respectively. We selected timescales of 3 (SPEI-3) and 6 (SPEI-6) months, because they fit our annual data on plant growth and population dynamics analyzed in earlier studies (Barbeta et al. 2013, Liu et al. 2015). The SPEI values are provided for month and timescale of calculation (e.g. SPEI_May3 refers to the water balance for March, April, and May of a given year). We also measured soil moisture each month throughout the experiment by time-domain reflectometry

(Tektronix 1502C, Tektronix, Beaverton, USA), connecting the time-domain reflectometer to the ends of three stainless-steel cylindrical rods, 25 cm long and fully driven into the soil, at four randomly selected locations per plot.

We randomly distributed 20 circular baskets (27 cm in diameter with a 1.5-mm mesh) on the ground in each of the eight plots and collected the fallen litter every two months from 1999 to 2017. Fruits were weighed after drying in an oven at 70 °C to constant weight. We measured all living stems of all species with diameters >2 cm at a height of 50 cm each winter since 1999. We then calculated stem basal area increments (BAIs) and began in winter 2009 to also measure the individuals with diameters <2 cm at the beginning of the study but which then attained or exceeded this size. In total, we measured 735 stems in *Q. ilex*, 842 in *P. latifolia*, 145 in *A. unedo*. Average (SD) number of stems per plot equaled 105 (47) in *Q. ilex*, 128 (90) in *P. latifolia*, and 20 (14) in *A. unedo*.

Model species

Holm oak (*Quercus ilex* L.) is a drought- tolerant tree widely distributed in the Mediterranean basin. *Phillyrea latifolia* L. is a small tree associated with *Q. ilex* forests and more resistant to drought and high temperatures than *Q. ilex* (Ogaya and Peñuelas, 2003; Peñuelas et al. 2018). *Arbutus unedo* L. is another small tree typical of holm oak forests, less resistant to drought than *P. latifolia* (Ogaya and Peñuelas, 2003; Peñuelas et al. 2018). The reproductive phenologies of *Q. ilex* and *P. latifolia* are typical for Mediterranean species. Flowering takes place in spring, fruit development in summer and fruit maturation and seed dispersal in autumn (Ogaya and Peñuelas, 2004). In *A. unedo*, flower bud formation occurs in spring, but flowering takes place in the following autumn, and fruit development continues over a prolonged period until fruit matures in the autumn of the following year (Ogaya and Peñuelas, 2004).

Statistical analysis

We evaluated the impact of excluding rain on soil-water content by building a linear mixed model (LMM), with soil moisture as the response and treatment (control vs drought) as a fixed effect. Month and year were included as random intercepts.

We next evaluated the impact of excluding rain on fruit production (fruit dry mass per plot) in the model species using LMMs that included log-transformed fruit mass as a response, with the interaction between treatment and year as fixed effects (prediction 1 & 2). The interaction was included to test for possible dampening effects of the drought treatment

on fruit dry mass production. We built a separate model for each species. Each model also included plot as a random intercept and SPEI as a covariate. The specific month for each SPEI was pre-identified for each species by fitting a partial least squares regression (PLS) of fruit production vs all possible SPEI values. PLS is designed to analyze a large array of related predictor variables, with insufficient sample sizes relative to the number of independent variables (Carrascal et al. 2009). The number of plot-years for the analysis was 144 for *Q. ilex* and *P. latifolia* and 126 for *A. unedo*, which was absent in one of the plots.

We next evaluated the influence of the experimental drought on the interannual variability and synchrony of fruit dry mass production (prediction 2). We estimated the synchrony of fruit production for each treatment by calculating the mean pairwise cross-correlation of fruit production over all plots of a treatment. The cross-correlations were calculated using the mSynch function in the ncf package (Bjornstad and Falck 2001). We also calculated measures of interannual variability for the treatments using the coefficient of variation (CV) and a proportional variability index (PV) (Heath 2006). We used both indexes because CV can be skewed by its mean-dependency, while PV is not (Fernández-Martínez et al. 2018). Yet, CV is widely used to measure inter-annual variation in seed production, allowing among-studies comparisons. The corresponding 95% confidence intervals (CIs) for the focal values were calculated by bootstrap resampling with 1000 replications.

We built LMMs that included annual stem diameter increment (BAI) as a response to test whether excluding rain changes the trade-off between growth and fruit dry mass production in our model species, with the interaction between log-transformed fruit mass and treatment as a fixed effect (prediction 4). We built a separate model for each species. Each model included plot and tree as random intercepts. We also included SPEI values as covariates, which were similarly pre-selected for each species as in the models testing for the effects of treatment on fruiting. The BAIs were standardized within trees before inclusion in the models (i.e. we extracted the mean of each value and then divided it by the standard error). In each model, we also included a matrix for a natural cubic spline (df = 5) of tree size to account for growth-related trends in BAI, using the ns function from splines package. The sample sizes for these models, i.e. per stem per year observations, were 11 288 for *Q. ilex* (735 stems measured), 15 301 for *P. latifolia* (842 stems), and 2242 for *A. unedo* (145 stems).

We fitted all models using the glmmTMB package (Brooks et al. 2017) in R. Models were validated, including tests for homoscedasticity and normality of residuals and potential outliers, using the DHARMA package (Hartig 2019). We also explored all models with

215 temporal autocorrelation structures (ar1) and retained or rejected them based on standard 216 Akaike information criteria. 217 218 **Results** 219 The drought treatment decreased the soil moisture by $\sim 10-30\%$ during the study period (z = 220 8.32, p < 0.001), with larger differences during periods of rain (Fig. 1). The drought treatment decreased the amount of soil moisture by ~20% or more during these periods but by <10% 221 222 during dry periods. Median reduction equaled 13%, while mean 15%. 223 The drought treatment did not decrease fruit dry mass production in any of the species 224 (Fig. 2), contrary to our expectations (prediction 1). The interaction between treatment and 225 year was not significant for any of the species (p > 0.10) (prediction 2). The drought treatment 226 without the interaction term did not significantly affect fruit production by Q. ilex (z = -0.64, p = 0.52), P. latifolia (z = 0.10, p = 0.92), or A. unedo (z = -1.60, p = 0.11). SPEI was 227 positively correlated with crop production for all three species (O. ilex: SPEI March3, z = 228 4.49, p > 0.001, P. latifolia: SPEI March3, z = 5.29, p > 0.001, A. unedo: SPEI December3, 229 230 z = 2.59, p = 0.01). 231 The variability of fruit production among years was high for all species, with positive pairwise cross-correlations in fruiting among plots (mean cross-correlation >0.60 for all 232 233 species, Fig. 3). Both interannual variability, as measured by either PV or CV, and synchrony, 234 were unaffected by excluding rain for all three species (p > 0.05), contrary to our expectations 235 (prediction 3). 236 Excluding rain affected reproductive allocation for *Q. ilex* and *A. unedo* but not *P.* 237 latifolia (Fig. 4) (prediction 4). Q. ilex fruit production was positively correlated with growth 238 in the control plots (β [SE] = 0.06 [0.005]; z = 10.85, p < 0.001), but the slope of the 239 relationship (β [SE] = 0.04 [0.005]; z = 7.50, p < 0.001) was lower in the drought plots

latifolia (Fig. 4) (prediction 4). *Q. ilex* fruit production was positively correlated with growth in the control plots (β [SE] = 0.06 [0.005]; z = 10.85, p < 0.001), but the slope of the relationship (β [SE] = 0.04 [0.005]; z = 7.50, p < 0.001) was lower in the drought plots (interaction term: z = -5.57, p < 0.001). Fruit production by *A. unedo* was similarly positively correlated with growth in the control plots (β [SE] = 0.05 [0.009]; z = 5.86, p < 0.001), but the slope of the relationship (β [SE] = 0.02 [0.01]; z = 2.06, p = 0.04) was lower in the drought plots (interaction term: z = -2.15, p = 0.03). *P. latifolia* growth was positively correlated with reproduction (β [SE] = 0.05 [0.003]; z = 14.78, p < 0.001), and the induced drought did not affect this pattern (interaction term: z = -0.97, p = 0.33). Cubic splines of size were not significant predictions of BAI in all three species (p < 0.10).

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Discussion

The 18-year experimental rainfall reduction did not decrease tree fecundity, contrary to predictions. The year-to-year variability and synchrony of reproduction was also unaffected the levels of drought induced by our experiment. Drought, however, affected the allocation of resources in *Q. ilex* and *A. unedo* but not the more drought-tolerant *P. latifolia*. Production of larger crop size by both *Q. ilex* and *A. unedo* was associated with a stronger decrease in growth in the rainfall-reduction plots compared to the control plots, suggesting that these species were able to maintain their fecundity by shifting their allocation of resources away from growth.

Theory predicts that tree reproduction will be sensitive to climate change, due to strong correlations between seed production and annual variation in the weather (Pearse et al. 2016). Fruit production by the three species studied here was previously correlated with drought severity (Ogaya and Peñuelas 2007a, Espelta et al. 2008, Bogdziewicz et al. 2017b), but fecundity was resistant to the level of drought induced by this experiment. One possibility is that water stress induced by natural drought also includes decrease in atmospheric water availability. While our rainfall reduction treatment cannot affect that, both soil moisture and atmospheric demand for water independently limit and affect vegetation productivity and water use during periods of hydrologic stress (Novick et al. 2016). What is more, the synchrony and interannual variability of reproduction were consequently also unaffected. More frequent adverse weather, such as drought, should increase variability and synchrony among years by decreasing reproduction in some years, thus strengthening the reinforcing effects of stored resources on the synchrony of reproductive variation among trees (Rees et al. 2002, Espelta et al. 2008, Bogdziewicz et al. 2018, 2019). Such effect is, however, only expected in the case of very intense drought episodes when reproductive failures happen more frequently (Espelta et al. 2008), which was not the case in our forest.

Our results imply that the maintenance of fecundity under drought stress was possible by decreasing growth in *Q. ilex* and *A. unedo*, indicated by changes in the trade-off between growth and reproduction with and without stress. The slope of the positive relationship between fruit production with growth was reduced by over 30% in *Q. ilex*, and 60% in *A. unedo* in drought treatment compared to control. *P. latifolia* was in turn able to sustain both growth and reproduction under induced drought. Stem growth in the drought treatment was >60% lower for *A. unedo*, >17% lower for *Q. ilex*, and was unaffected for *P. latifolia* compared to the control plots (Barbeta et al. 2013). Drought reduces transpiration by stomatal closure in *Q. ilex* and *A. unedo*, which decreases the assimilation of carbon (Limousin et al. 2009, Ripullone et al. 2009). Drought also increases litterfall, likely due to xylem cavitation

that accelerates foliar senescence (Choat et al. 2012, Liu et al. 2015). Defoliation in turn decreases the carbon content of plant tissues (Rosas et al. 2013), suggesting that drought stress decreased resource availability in Q. ilex and A. unedo and forced the trees to partition the limited resources to reproduction at the expense of growth, providing experimental evidence for intraspecific variability and phenotypic plasticity in the cost of reproduction due to habitat differences. Alternatively, the positive association between growth and fruit production could follow from both growth and reproduction responding similarly to water availability. If in the drought treatment decreases variability in water availability, it could weaken the correlation between growth and fruiting. However, our data suggest that the variance in soil moisture was similar in both treatments (Levene's Test, F = 0.44, P = 0.51; Fig. 1d). Another important implication of these findings is that Q. ilex has avoided reduced growth associated with reproduction throughout most of its range (Pérez-Ramos et al. 2010, Fernández-Martínez et al. 2015), but our results imply that it may not continue do so in the near future due to the progressive increase in drought frequency predicted by models of global change.

Generally positive relationship between growth and reproduction in all three species, indicate that favorable meteorological conditions could increase the accumulation of resources and their subsequent allocation to both growth and reproduction in certain years (Norton and Kelly 1988, Vergotti et al. 2019). Nonetheless, the maintenance of reproduction at the expense of growth, together with the previously established link between drought, reduced growth, and elevated mortality at our site (Ogaya and Peñuelas 2007b, Barbeta et al. 2013, Liu et al. 2015), well supports the theory of the cost of reproduction in plants, where current reproductive allocation at the expense of growth is predicted to influence the probability of future survival (Obeso 2002). The lack of direct effects of drought on fecundity thus does not preclude indirect costs of fecundity from sustained lower growth rates, which may influence future reproduction.

The results of this study indicated substantial resistance of tree fecundity in a *Q. ilex* dominated forest subjected to an average 15% (median 13%) decrease in the amount of soil moisture. The length of the study provides consistency to these results. Growing evidence indicates that *Q. ilex* dominated forests are resistant to an increase in drought to some extent, suggesting that these ecosystems may adapt to a progressive increase in arid conditions (Peñuelas et al. 2018). Our study, however, comes with an important warning. The species-specific reductions in growth and increased mortality (Ogaya and Peñuelas 2007b, Barbeta et

316	al. 2013) may indirectly affect future fecundity and ultimately shift community composition,
317	even without immediate direct effects of drought on tree fecundity.
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319	Acknowledgements
320	This research was funded by the Spanish government project CGL2016-79835-P, the Catalan
321	government project SGR2014-274, and the European Research Council Synergy grant ERC-
322	2013-SyG-610028 IMBALANCE-P. MB was supported by (Polish) National Science Centre
323	grant no. 2018/28/U/NZ8/00003 (Uwertura) and 2017/24/C/NZ8/00151 (Sonatina). MFM is a
324	postdoctoral fellow of the Research Foundation-Flanders (FWO).
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326	Author Contribution
327	All authors conceived the study, RO and JP collected data, MB and MFM ran the analysis, all
328	authors participated in the evaluation of the results, MB drafted the manuscript, and all
329	authors participated in the editing and approved the final version.
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Figure 1. Abiotic conditions at the experimental site in the Prades Mountains in southern Catalonia. a) SPEI-3, b) temperature, c) precipitation, and d) soil moisture. Data for soil moisture was not collected in 2015 due to equipment malfunction.

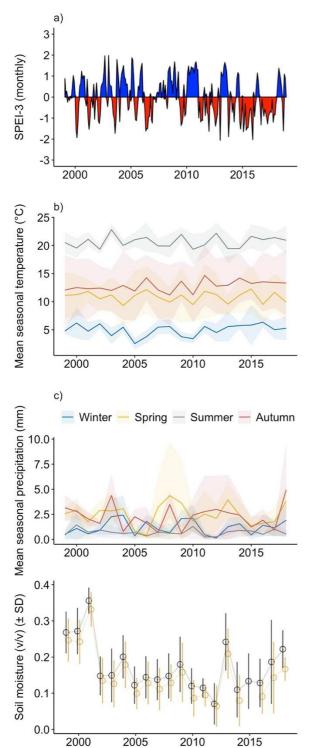


Figure 2. Fruit production by a) *Quercus ilex*, b) *Phillyrea latifolia*, and c) *Arbutus unedo* in the control and drought plots. The solid lines and shaded areas are annual means and associated standard deviations, respectively. The number of observations of reproductive events is 144 (plot-years) for *Q. ilex* and *P. latifolia* and 126 for *A. unedo*, which was absent in one of the plots. *Q. ilex* and *P. latifolia* were observed at 8 plots, while *A. unedo* at 7.

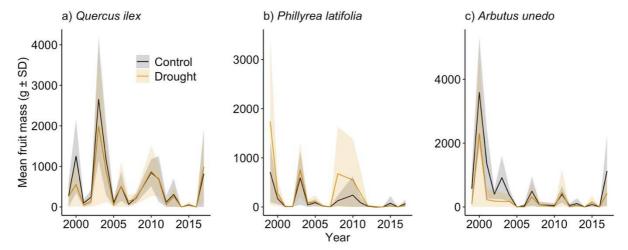


Figure 3. Synchrony and interannual variability (PV) of fruit production by *Quercus ilex*, *Phillyrea latifolia*, and *Arbutus unedo* in the control and drought plots. Synchrony was measured by mean pairwise Pearson correlation among plots. CV not shown.

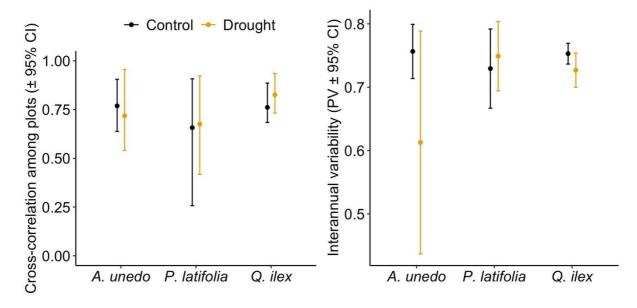


Figure 4. Scatterplots of standardized basal area increment (BAI) and fruit production for a) *Quercus ilex*, b) *Phillyrea latifolia*, and c) *Arbutus unedo* in the control and drought plots. The lines and shaded areas are the linear mixed model predictions and associated 95% confidence intervals, respectively. Points and whiskers are plot-year means and associated standard errors, respectively. Black represents the control, and yellow represents experimental rainfall exclusion. The sample sizes for these models are 11288 for *Q. ilex* (735 stems measured), 15301 for *P. latifolia* (842 stems), and 2242 for *A. unedo* (145 stems).

