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New organizational forms in emerging economies:

Bridging the gap across agribusiness management and international development

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Abstract

This editorial article introduces and analyses a variety of new organizational forms that rapidly emerged in Africa, Asia, Latin America and Eastern Europe in the latest two decades. Among the others, these include: business model partnerships, business platforms, incubators and hubs, publicprivate partnerships, agribusiness companies' foundations and spin-offs, short supply chains, community-supported agriculture and other community self-organizing experiences. Building upon the recent literature and the five selected papers in this special issue, we discuss what is novel in these organizations, and why, when and how they emerge and evolve over time. We identify three elements that, when considered together, explain and predict their emergence and change over time: institutions, strategies and learning processes. We demonstrate that societal actors seeking to (re)design these new organizational forms need to consider these three elements to combine the pursuit of their interests of their own constituencies with the Sustainable Development Goals (SDGs). Taking stock from the literature, we invite future research on new organizational forms to take explicitly the pursuit of the SDGs into consideration; to build upon a process ontology; and to deeply reflect on our positionality of scientists studying and sometimes engaging in these organizations.

Keywords: Organizational change; organizational innovation; partnerships; value chains; Sustainable Development Goals.

New organizational forms in emerging economies: Bridging the gap across agribusiness management and international development

1. New organizational forms: What are they? Why should we study them?

Several novel organizational forms have been emerging in Africa, Asia, Latin America and Eastern Europe – what we will loosely refer in this Special Issue as emerging economies – along agricultural and food value chains since the early 2000s. These organizational forms involve, among the others: business model partnerships seeking to establish economically viable collaborations while involving also actors outside value chains (e.g., non-governmental organizations, extension agents, community leaders); business platforms, incubators and hubs aiming to foster entrepreneurial and innovative agri-food ecosystems; a new generation of cooperatives and producers' organizations fostering their market orientation; *public-private partnerships* involving stakeholders across government, business and civil society sectors to develop and regulate private standards and/or promoting and assessing sustainability practices; agribusiness companies' foundations and spin-offs investing, for example, in new technologies for climate change mitigation or social impact innovations in collaboration with other public or civil society stakeholders; short supply chains, community supported agriculture or *community self-organizing experiences* seeking to establish long-term economic sustainability. While organizational forms have always evolved from their predecessors, we seek to understand what leads to novelty in them. Rather than the actors involved, the novelty lays in the way these actors partner or collaborate with each other, making their natural, financial, physical, human, technological and social resources complementary in more complex ways than in traditional agri-food value chain with its linear buyer-supplier or supplier-supplier collaborations.

By bringing new organizational forms in emerging economies to the fore of the debate, this Special Issue speaks to policy-makers, agribusiness managers and civil society leaders – as well as researchers in agri-food value chains engaging with them – that design, organize or reshape partnerships to address the urgent, complex problems of climate change, poverty, food insecurity and malnutrition, and even conflicts related to access to natural resources. These stakeholders realize that the state, private actors or civil society cannot tackle societal and environmental problems alone, nor can they seize growth opportunities typical of emerging economies, without new and more collaborative forms of organizing. On the one hand, changes in development cooperation policies among Western countries, notably 'from aid to trade', give increasing priority to private economic development, with substantial amounts of subsidies now going into intervention programs that are directly linked to the business interests of domestic and foreign (i.e., from the donor country) private companies. On the other hand, the financial crisis of 2007/2008, the price volatility of staple grains and the increasing commercial pressure on land and other natural resources suggest that unrestrained free-market approaches are a risk rather than a solution for food security and poverty reduction. On the basis of this realization, stakeholders have been building new collaborative partnerships that conjugate the pursuit of social, environmental and commercial goals to more fundamentally address the Sustainable Development Goals (SDGs), both at local and global scales.

How does this Special Issue contribute to informing our targeted audience? Our editorial team composed of ten social scientists with agri-food expertise across five continents (Africa, Latin America, North America, Europe and South-East Asia) argues that the recent scientific literature describing the structure and impacts of new organizational forms in emerging economies is vast, yet polarized. This polarization has a disciplinary and perhaps even a political nature. On the one hand, the field of agribusiness management looks at the dominant role of the private sector in new organizational forms focusing on its potential for reaching the SDGs through partnerships counting on the technological, financial and managerial resources pooled by large private investors (Likoko and Kini 2017). On the other hand, the literature on international development finds little empirical support on the role of these organizational forms in addressing issues like poverty, food insecurity and climate change (Bitzer and Glasbergen 2015). One stream in this literature sees new organizational forms – especially those led by large private companies - as ways of gaining and maintaining control over strategic and increasingly scarce agricultural resources, thus establishing or

maintaining unbalanced power relations in emerging economies (Chamberlain and Anseeuw 2019). These two scientific worlds look at each other with (perhaps justified) reciprocal suspicion, and hardly communicate through scientific debate. Our Special Issue – although based in a journal and personal expertise with a background primarily in agribusiness management – aims to act as a platform for building a stronger interdisciplinary and transdisciplinary debate, thereby bridging the gap between agribusiness management and international development.

Hence, to provide such a platform for interdisciplinary and transdisciplinary research and action, this Special Issue seeks to address the controversies that polarize the debate. While increasingly deemed as necessary, experimenting with new organizational forms in emerging economies involves several controversies that our targeted audience needs to informed about. First of all, there is the question whether these new organizational forms – in their market-driven efforts to rapidly reach the SDGs at scale – are able to support socio-economic inclusion of marginalized actors. In other words, what is the trade-off between aiming for socio-economic inclusion and maintaining competitiveness in the long run (Lutz and Tadesse, 2017)? While sustaining their competitive advantage, are the companies involved in new organizational forms indeed able to prioritize the SDGs? When finding a reasonable balance between financial viability and socioeconomic inclusion, do these partnerships support or hamper climate adaptation and mitigation? To meaningfully address these questions, we argue that our audience needs to zoom into the details of how new organizational forms establish and change over time given the institutional and agroecological conditions of the region in which they are embedded. Building upon another recent special issue of the Journal of Agribusiness in Developing and Emerging Economies, on value chains as complex adaptive systems, we suggest that the functioning and impacts of these new organizational forms can be best assessed in their interplay with the local but changing circumstances (Orr et al. 2018). Hence, the rest of this editorial provides some core elements necessary to understand and explain why, when and how these new organizational forms in emerging economies emerge and K CO evolve over time; and, therefore, how the analysis of these elements is essential to inform the ongoing academic, policy and managerial debates.

2. Why, when and how do new organizational forms emerge and evolve?

Understanding and explaining why, when and how new organizational forms emerge and evolve in emerging economies is essential to be able to design, support or change them in their pursuit to include SDGs. To address this question, we propose a theoretical framework (see Figure 1) that builds upon various strands of literature on new organizational forms, including from our own research experience and from the papers selected for this Special Issue. Overall, this framework suggests that new organizational forms develop and change over time on the basis of 1) the *institutions* in which they are embedded, 2) the *strategies* that their members pursue individually and collectively, and 3) the *learning processes* that members engage in individually and collectively. In the following paragraphs we discuss these three key elements of new organizational forms and their interdependencies in various contexts of emerging economies.

First, the structure and evolution of new organizational forms can be explained through the *institutions* that they are embedded in, that is, the societal 'rules of the game' that organizations are subject to (North 1990; 1991). These rules of the game can be formal (e.g., laws, policies and standards at international, national or sub-national level) or informal (e.g norms, customs, traditions, values and identities that actors bring into these new organizational forms) (Scott 1987; Thornton and Ocasio 2008). Institutions shape new organizational forms in emerging economies in several ways. When formal laws or standards are absent or not well enforced and implemented, thus generating uncertainty in the outcomes of transactions and relationships among partners, partnerships will develop organizational structures that compensate for this uncertainty (Menard 2017). For example, in countries with little or slow enforcement of contract laws, new organizational forms develop internal mechanisms for the resolution of disputes, such as in the Agricultural Commodity Exchange of Malawi (Dentoni and Dries 2015) or in the Roundtable for Sustainable Palm Oil in Indonesia

(Schouten and Glasbergen 2011). Or, when pressures from stakeholders or the values and identities of the members are sensitive to cultural (e.g., ethnic and religious; Abebe et al. 2016), social or ecological issues (Bossle et al. 2016), partnerships develop organizational practices that enact these informal institutions. For example, the same initial design of business model partnership in the Malawian dairy sector evolved differently when applied in two different sub-national local contexts because of their notable socio-cultural differences (Cucchi et al. 2019).

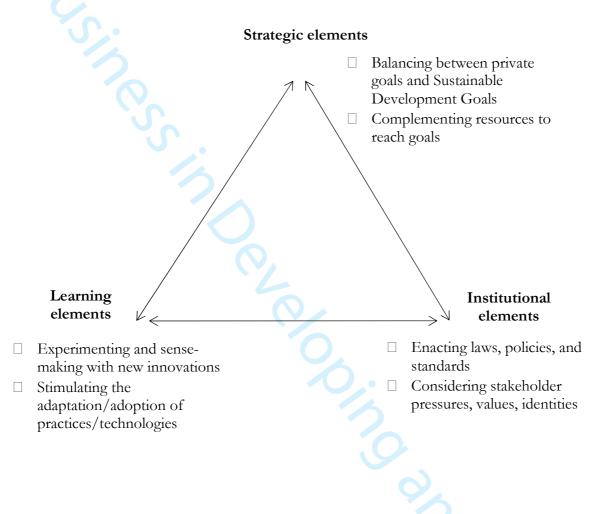
Second, the *strategies* of the actors involved in these new organizational forms influence their structure and evolution. These strategies involve the organizational goals of each partner, the goals that partners share in founding a partnership (Bengtsson and Kock 2000), and the complementarity of the resources that each actor brings to the partnership (Das and Teng 2000; Clarke and MacDonald 2019). In several contexts of emerging economies, agribusiness companies, local governments, international organizations, non-governmental organizations (NGOs) and farmers (including their producer organizations) partner with each other realizing they need each other's resources in the pursuit of goals (Rover et al. 2017). For example, it has been classically observed that agribusiness companies need NGOs to organize the large group of dispersedly living smallholder suppliers. NGOs often need agribusiness companies to establish economically viable ways for farmers to adapt to climate changes, or reduce poverty and food insecurity. Private actors – both profit and non-profit – often need the government to develop an enabling environment for the pursuit of both private goals as well as SDGs. And, last but not least, these actors increasingly need to partner with farmers and their organizations, given the increasingly scarce nature of their resources (Vieira et al. 2016). Or, as an alternative, the influx of private investment in Africa and Asia – either private or publicly supported - has brought changes in the ownership of land, where (new) owners have experimented with new organizational forms, ranging from pure wage labour, share-cropping to outsourcing and joint-ownership (e.g., see Ji et al. 2017 in China). How partners build resource complementarities in these new organizational forms is better understood in relation to their strategic goals (Menard 2004; 2018). In particular, given the increased urgency of addressing sustainability issues, this resource

complementarity can be understood on how partners balance between, or align, the pursuit of collective SDGs and the interests of their own constituencies (Voegtlin and Scherer 2017; Antwi-Agyei et al. 2018).

Third, the structure and evolution of new organizational forms needs to be understood through the *learning processes* occurring within them. Learning in new organizational forms occurs on several levels (including, among others, at a collective and individual level) and in several ways (from planned to serendipitous). At a collective level, the novelty itself of these partnerships implies that partners are called – especially in early-stage of organizational development – to experiment, reflect and adapt how to collaborate to reach mutually agreed goals (Dentoni et al. 2016; 2018). Depending on whether and how these learning cycles are acknowledged and communicated to partners and stakeholders involved, new organizational forms may structure and evolve along different pathways (Bitzer and Bijman 2015. For example, some new organizational forms led by food companies currently 're-inventing the wheel', that is, allocating limited resources to learn from their partners' history in doing business with smallholder supply chains in emerging economies (Bitzer and Bijman 2014). Furthermore, learning in new organizational forms occur at individual level: in particular, learning may be set as an intermediate, necessary target to ultimately fulfil both private goals and the SDGs. For example, innovation platforms – which recently spread across Sub-Saharan Africa and, to a minor extent, Latin America and Asia – provide space for stakeholders in local agri-food systems (i.e., at district or sub-district level). In these platforms, farmers share knowledge from each other in relation to the local challenges (e.g., climate-related pests and diseases, changing agro-ecological conditions, institutional and policy changes, or issues with access to markets and finance). As evidence shows that not all farmers learn equally through their participation to innovation platforms (Barzola et al. 2019), more focused efforts are currently taking place to support the learning process of actors in farming communities that are more at risk of marginalization, such as women and youth (e.g., setting up farmer field schools; see Isubikalu 2007 in Uganda) or, more recently, farmer

business schools with a gender and youth focus; see Kawarazuka and Kharchandy 2019 in India, or Naziri and Enalbes 2019 in The Philippines).

Figure 1: Elements of New Organizational Forms in Emerging Economies



3. Contribution of the papers in this Special Issue

The five selected papers in this Special Issue provide vivid illustrations of how the interplay of institutional, strategic and learning elements shape the structure, functioning and evolution of new organizational forms in emerging economies. Table 1 illustrates that, all together, these papers analyze a variety of new organizational forms, embedded in different geographical contexts and scales, and use a variety of analytical methods. In this Introductory article, we synthesize and interpret the contribution that each of these five papers (presented in alphabetical order) make to the literature on new organizational forms in emerging economies.

The first paper of this Special Issue (Barzola et al. 2020) reports the findings of a systematic literature review on how *multi-stakeholder platforms* influence farmers' innovation. This systematic review synthesizes 44 papers published between 2005 and 2018 and predominantly grounded in Sub-Saharan Africa. Findings illustrate that, first of all, the peculiar feature of multi-stakeholder platforms as new organizational forms in emerging economies lays in the *physical and/or virtual interface set to pool and exchange knowledge multi-laterally among the several stakeholders* involved. Second, depending on their established goals and activities (i.e., capacity-building, network-building, planning, aligning with policies, or facilitating communication), multi-stakeholder platforms may support innovation at different levels (i.e., at farmer household level, farm level, value chain level, local or global institutional level). Through these findings, this paper provides a comprehensive illustration on how the strategies of new organizational forms in emerging economies shape their learning processes (Strategies \rightarrow Learning link in Figure 1).

Grounded on a literature review of and three empirical case study from the state of Rio Grande do Sul (Brazil), the second paper of this special (Guarnieri et al. 2020) analyzes *condominiums of rural warehouses.* These condominiums are relatively new organizational forms because, while first appeared in the 1980s, they thrived across southern Brazil and beyond in the latest two decades. Their peculiar feature involves the development of *small-scale, distributed storage facilities where farmers collectively store their harvest.* Findings reveal that the condominiums thrived by effectively responding to new value chain challenges (e.g., demand quality, regularity of supply, competitive price and logistical complexity) and upgrading their post-harvest process and market power in the value chain. In particular, farmers have formalized associations to govern the collective action for storing their commodities in a common facility nearby their farms. Hence, this paper illustrates how the strategic goals and resources of a new organizational form may drive the establishment of new institutions (**Strategies** \rightarrow **Institutions link** in Figure 1).

The third paper of this special issue (Miranda and Grandori 2020) describes the key elements of farm structures, with a particular focus on what drives the emergence of *agribusiness*

conglomerates. As a conceptual paper, it grounds its analysis in a literature review of the features and drivers of farm structures, supported with empirical illustrations from a variety of emerging economies, predominantly from South America. In this case, the new organization form under analysis includes agribusiness conglomerates, whose distinctive feature is *their vertical or horizontal integration around farm structures*. Building upon institutional economics theories, the authors argue that the reduction of risks associated with law enforcement and uncertainty in securing access to natural resources are fundamental drivers for integration and diversification, thus for the choice of larger organizational boundaries and a shareholder model of managing/owning farms. As such, in different ways relative to Guarnieri et al. (2020), also this paper illustrates how the strategic choices related to risk reduction shape the institutional arrangements that govern farms and their relationships with their value chain partners (**Strategies** \rightarrow **Institutions link** in Figure 1).

Through the use of descriptive statistics and a single case study (i.e., *Plan Intégré du Paysan* in French or, in English, *Integrated Farm Planning*) from Burundi, the fourth paper of this special issue (Mupfasoni et al. 2020) focuses on the drivers of *integrated farmer group* formation. An integrated farmer group involves a *small number of farmers (approximately 3-10 per group) teaming up with the purpose of collectively developing sustainable land management practices*. The findings from this study highlight that, among other drivers, the presence of homogeneous motivations and identities among partnering farmers are vital for the formation of entrepreneurial farmer teams. In other words, to create teams able to recombine resources innovatively to identify and seize opportunities for sustainable land management, the farmers teaming up benefit from a reciprocal selection based on preliminary, profound discussions on who they are and what they aspire to achieve. Thus, this paper illustrates how a specific type of new organization forms develops collective entrepreneurial learning processes on the basis of their common identities (**Institutions + Learning link** in Figure 1).

The fifth and final paper of the specific issue (Zhuo and Ji 2020) focuses on *e-commerce value chain partnerships* that have recently flourished in the Chinese agri-food sector. The distinctive

feature of this new organizational form involves an online platform governing transactions of food products between multiple suppliers and final consumers. E-commerce markets for high-quality agrifood products are rapidly growing in China, yet trust is an issue at the very heart of these initiatives because consumers can hardly verify product quality before purchase. On the basis of three empirical case studies, findings from this paper illustrate a variety of pathways through which trust among value chain partners can be achieved and maintained over time. Specifically, these trust-building pathways have in common three elements: building off-line personal interactions with buyers, establishing of online and off-line community-based events and setting mechanisms for a smooth resolution of disputes. Therefore, in the context of this special issue, the paper by Zhuo and Ji (2020) illustrates how the building of trust represents a powerful informal institutional mechanism to supports the strategic goals of e-commerce value chain partnerships (**Institutions** \rightarrow **Strategies link** in Figure 1).

Table 1: The papers of this special issue in	n the New Organizational Forms framework

Authors	New organizational	Elements of New Organizational	Methods
	form (and context)	Forms analyzed	
Barzola et al.	Multi-stakeholder	Strategies → Learning: The	Systematic
	platforms (Global,	collectively set goals and expected	literature review
	predominantly Sub-	outcomes influence which levels of	
	Saharan Africa).	agricultural innovation are achieved.	
Guarnieri et al.	Condominiums of	Strategies → Institutions: Reducing	Systematic
	rural warehouses	post-harvest losses and gaining	literature review
	(Rio Grande do Sul,	bargaining power in the value chain	and multiple case
	Brazil)	leads farmers to engage in small-	study
		scale, distributed collective action.	
Miranda and	Agribusiness	Strategies → Institutions: Risk-	Literature review
Grandori	conglomerates	reduction strategies leads to	with empirical
	(Global,	integrated and diversified farm	illustrations
	predominantly South	structures.	
	America)		
Mupfasoni et al.	Integrated farmer	Institutions \rightarrow Learning:	Descriptive
	groups (Gitega and	Homogeneity of motivations and	statistics and
	Muyinga provinces,	identities in farmer teams shape their	single case study
	Burundi)	collective entrepreneurial capacity.	
Zhuo and Ji	E-commerce value	Institutions \rightarrow Strategies:	Multiple case
	chain partnerships	Need of trust between consumers and	study
	(Zhejiang province,	e-commerce retailers leads to	
	China)	personal interaction, online/offline	
		the second secon	
		transactions and conflicts resolution as trust-building strategies.	

4. New organizational forms in emerging economies: Taking stock and moving forward This special issue has been instrumental in bridging three different sets of theoretical lenses that may explain why, when and how new organizational forms emerge and evolve over time. These lenses involve institutional, strategy and learning theories. As the five papers in this issue demonstrate, as well as this editorial, the complex interplay among these three lenses has explanatory and perhaps even predictive power. In particular, we assert the actors considering to (re)design new organizational forms need to analyze these three elements together because their organizations, and the people involved in them, need to adapt for changes in uncertain, turbulent and rapidly changing contexts where they are embedded. Hence, *simultaneously considering institutional, strategic and learning as three key elements of analysis* constitutes a remarkable contribution to the literature on new organizational forms, which so far focused on predominantly either on institutions & strategies, but not on learning processes (Grandori 2015; 2017; Menard 2017; 2018); or on learning processes & institutions, yet not on strategies (Vellema and Van Wijk 2015; Schut et al. 2016).

Along with this theoretical contribution, we acknowledge that the road to make the study of new organizational forms societally relevant is still long to walk through. Only few contributions in this special issue - to some extent, Barzola et al. 2020 and Mufpasoni 2020 - looked at how new organizational forms *take the SDGs into consideration* into their strategic, institutional and learning processes. Since recent history has shown that the pursuit of private interests in partnerships does not lead *per se* to the accomplishment of the SDGs, we argue that organization studies need to take the SDGs into stronger consideration to become more relevant to the urgent societal and environmental issues faced by the agricultural and food sector worldwide. In bringing the SDGs at the core of the study of new organizational forms, further work will be required to bridge the existing gap between agribusiness management and international development studies. Therefore, questions that we would like to see urgently addressed in future studies involve: How these new organizational forms can enhance environmental and/or social innovation to achieve and support SDGs? In doing so, how do

they take into account and address the social and environmental problems at hand? When do they work, and when do they fail to work, in supporting sustainability transitions or enhancing socioecological resilience? What are the key dimensions and indicators of their 'success', effectiveness or impact? How do they navigate the dilemmas arising with seeking to combine private goals and the SDGs? What is the role of non-profit associations and civil society in new organizational forms when combining these goals? Which role could technology, in particular information technology, play in transforming traditional organizations in food and agriculture into novel organizational forms that support the SDGs?

The knowledge gap that we recommend to address in future research is not only theoretical, but also methodological and – more profoundly – ontological. We recognize that most of the agribusiness management literature, including the articles that compose this special issue, has a strong positivist, and reductionist, nature (whereas Orr and Donovan's special issue in 2018 represents a remarkable exception). From a positivist perspective, complex mechanisms taking place within, across and outside organizations are predominantly reduced in sets of falsifiable cause-effect relationships. Yet, because of the complexity of the issues that they face in emerging economies, as well as their inherent novelty, we recognize that new organization forms evolve through a multitude of tensions, struggles and challenges. These tensions push new organizational forms to continually experiment, change and evolve. Hence, we recommend future research on new organizational forms in emerging economies to *build more consciously upon a process ontology* (Tsoukas and Chia 2002; Van de Ven and Poole 2005; Langley et al. 2013). Studying organizations as processes assumes that '(...) process is fundamental: The river is not an object but an ever-changing flow; the sun is not a thing, but a flaming fire. Everything in nature is a matter of process, of activity, of change' (Rescher 1996: 10). From this perspective, it would be insightful to study new organizational forms focusing on the practices that produce or impede change – rather than looking at organizational structures, strategies and knowledge (or capacities). A way to zoom into practices of change would involve, for example, studying the actions undertaken in organizations to navigate the challenges of combining

private goals and the SDG through clashing institutional pressures (see Ferraro et al. 2015 for a brilliant example, yet not in the agricultural and food context).

Finally, moving forward in the study of new organizational forms in emerging economies will require, we argue, a *deeper reflection on our positionality as scientists*. Are we meant to study these novel organizations as 'flies on the wall', i.e., observing and seeking to understand them as they emerge, evolve, thrive or decline? Or are we, or should we, be part of these new organizational forms? For example, we know that universities and knowledge centers play a variety of roles in new organizational forms such as multi-stakeholder partnerships at global and local level (Trencher et al. 2014; Dentoni and Bitzer 2015). This calls for other epistemological questions, such as: can or should we study organizational processes that we are partly involved in, not only as analysts, but also as stakeholders? While organization studies offer a variety of ways to rigorously undertake reflection-in-action (Yanow and Tsoukas 2009) – from engaged scholarship to action research; from autoethnography to even research as activism - we are also aware of the challenges of interpreting organizational processes where we are deeply involved (Yanow and Schwartz-Shea 2015). We recognize that challenging our traditional role of scientists in the study of new organizational forms does not represent an easy task, yet we deem it as necessary to meaningfully combine societal and scientific relevance.

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