

HYBRID OF ANT COLONY OPTIMIZATION AND FLUX VARIABILITY  
FOR IMPROVING METABOLITES PRODUCTION

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All praises to Allah the Almighty for  
the strength and His blessing in completing this thesis.

Specially dedicated to  
my beloved parents  
my precious siblings

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“In the name of Allah, the most Gracious and the most Merciful”

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## ABSTRACT

Metabolic engineering has been successfully used for the production of a variety of useful compounds such as L-phenylalanine and biohydrogen that received high demand on food, pharmaceutical, fossil fuels, and energy industries. Reaction deletion is one of the strategies of *in silico* metabolic engineering that can alter the metabolism of microbial cells with the objective to get the desired phenotypes. However, due to the size and complexity of metabolic networks, it is difficult to determine the near-optimal set of reactions to be knocked out. The complexity of the metabolic network is also caused by the presence of competing pathway that may interrupt the high production of a desirable metabolite. Consequently, this factor leads to low Biomass-Product Coupled Yield (BPCY), production rate and growth rate. Other than that, inefficiency of existing algorithms in modelling high growth rate and production rate is another problem that should be handled and solved. Therefore, this research proposed a hybrid algorithm comprising Ant Colony Optimization and Flux Variability Analysis (ACOFVA) to identify the best reaction combination to be knocked out to improve the production of desired metabolites in microorganisms. Based on the experimental results, ACOFVA shows an increase in terms of BPCY and production rate of L-Phenylalanine in Yeast and biohydrogen in Cyanobacteria, while maintaining the optimal growth rate for the target organism. Besides, suggested reactions to be knocked out for improving the production yield of L-Phenylalanine and biohydrogen have been identified and validated through the biological database. The algorithm also shows a good performance with better production rate and BPCY of L-Phenylalanine and biohydrogen than existing results.

## ABSTRAK

Kejuruteraan metabolik telah berjaya digunakan untuk pengeluaran pelbagai sebatian berguna seperti *L-Phenylalanine* dan *biohydrogen* yang menerima permintaan yang tinggi dalam industri makanan, farmaseutikal, bahan api fosil, dan tenaga. Teknik penyingkiran reaksi adalah salah satu strategi di dalam kejuruteraan metabolik yang boleh mengubah metabolisme sel-sel mikrob dengan objektif untuk mendapatkan fenotip yang dikehendaki. Walau bagaimanapun, disebabkan oleh saiz dan kerumitan rangkaian metabolik, ia adalah sukar untuk menentukan set reaksi yang hampir optimum untuk disingkirkan. Kerumitan rangkaian metabolik ini disebabkan oleh kehadiran reaksi yang boleh mengganggu pengeluaran tinggi sesuatu metabolit yang diinginkan. Faktor ini menyebabkan nilai hasil bersama biojisim-produk, kadar pengeluaran dan kadar pertumbuhan menjadi rendah. Selain itu, masalah ketidakecekan algoritma sedia ada dalam memodelkan kadar pertumbuhan dan pengeluaran yang tinggi juga perlu ditangani dan diatasi. Oleh itu, kajian ini mencadangkan satu algoritma hibrid iaitu Pengoptimuman Koloni Semut dan Analisis Fluks Kepelbagaian untuk mengenal pasti reaksi dan gen untuk disingkirkan bagi meningkatkan pengeluaran metabolit dikehendaki dalam mikroorganisma. Berdasarkan keputusan eksperimen, ACOFVA menunjukkan peningkatan dari segi BPCY dan kadar pengeluaran *L-Phenylalanine* dalam *Yis* dan *biohydrogen* dalam *Cyanobacteria*, di samping mengekalkan kadar pertumbuhan organisma yang optimum. Selain itu, reaksi dan gen yang dicadangkan untuk disingkirkan bagi meningkatkan hasil pengeluaran *L-Phenylalanine* dan *biohydrogen* juga telah dikenal pasti dan disahkan melalui pangkalan data biologi. Algoritma ini juga menunjukkan prestasi yang baik dengan kadar pengeluaran dan BPCY *L-Phenylalanine* dan *biohydrogen* yang lebih tinggi berbanding keputusan yang sedia ada.

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## LIST OF ABBREVIATION

ABC	-	Artificial Bee Colony
ABCMOMA	-	Artificial Bee Colony and Minimization of Metabolic Adjustment
ACO	-	Ant Colony Optimization
ACOFBA	-	Ant Colony Optimization and Flux Balance Analysis
ACOMOMA	-	Ant Colony Optimization and Minimization of Metabolic Adjustment
BA	-	Bees Algorithm
BAFBA	-	Bees Algorithm and Flux Balance Analysis
BATFBA	-	Bat Algorithm and Flux Balance Analysis
CBA	-	Continuous Bees Algorithm
CBAFBA	-	Continuous Bees Algorithm and Flux Balance Analysis
COBRA	-	Constraint-based Reconstruction and Analysis
dFBA	-	Dynamic Flux Balance Analysis
<i>E.coli</i>	-	<i>Escherichia coli</i>
FBA	-	Flux Balance Analysis
FVA	-	Flux Variability Analysis
GA	-	Genetic Algorithm
GACOFBA	-	Genetic Ant Colony Optimization and Flux Balance Analysis
H <sub>2</sub>	-	Hydrogen
LP	-	Linear Programming
L-Phe	-	L-Phenylalanine
MATLAB	-	Matrix Laboratory
MILP	-	Mixed Integer Linear Programming

MOMA	-	Minimization of Metabolic Adjustment
PSO	-	Particle Swarm Optimization
QP	-	Quadratic Programming
RAM	-	Random Access Memory
ROOM	-	Regulatory On/Off Minimization
SBML	-	System Biology Mark-up Language
<i>S. cerevisiae</i>	-	<i>Saccharomyces cerevisiae</i>
sp.	-	Species

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## CHAPTER 1

### INTRODUCTION

#### 1.1 Overview

This chapter discusses an overview of the introduction part of this study as an important prior step. The basic concept of metabolic engineering applies in biological systems is introduced in general. A brief explanation about some algorithms that exist in metabolic engineering is also presented. It is important to collect and understand metabolic engineering field because this research focuses on improving the production of metabolites such as L-phenylalanine and biohydrogen from microorganisms through *in silico* study. In addition, the objectives, scopes and justifications are also included for a clearer view of this research.

## 1.2 Introduction

In this section, the background of microbial cell factory for metabolites productions is briefly discussed. Besides that, a brief explanation about the constraint-based modeling and optimization algorithms that applied in this research are presented.

### 1.2.1 Microbial cell factory for metabolites productions overview

Nowadays, there is a growing concern about energy generation by fossil fuels to be continued in usage as a source since it is renewable. Biohydrogen or hydrogen ( $H_2$ ) is a promising fuel that has better energy content and even higher than oil (Demirbas, 2002; Islam *et al.*, 2005). Currently, hydrogen shows the tendency to be an alternative to fossil fuels for transportation (Veziroglu & Macario, 2011). Besides, it is also renewable, efficient and clean, showing that hydrogen production by this bacteria can be generated economically and in an environmental-friendly manner. Over the last decade, production of hydrogen of biological microbes gains more attention. Thus, this study investigates how  $H_2$  production as secondary fuel product in Cyanobacteria *Synechocystis* sp. PCC6803 can be increased and improved for industrial purpose.

The market of an aromatic amino acid, L-phenylalanine (L-Phe) has shown great demand for its commercial value in pharmaceutical and food additives (Liu *et al.*, 2013). According to Bongaerts *et al.*, (2001), L-Phenylalanine, is one of the most important commercially produced amino acids. The production of L-phenylalanine is mainly carried out by fermentations. Glucose or sucrose is always used as a carbon source in the process to produce L-Phenylalanine. L-phenylalanine is used as a precursor to vanillin production for food additives (Yin *et al.*, 2013). Besides, L-Phenylalanine has been widely used in pharmaceutical industry as a nutritional

supplement. It has been acknowledged that this supplement acts as a precursor for the production of numerous catecholamines which refer to hormones in human body. Therefore, this study targets to optimize the production of L-Phenylalanine in Yeast *Saccharomyces cerevisiae* to meet the high demand of this strain in industries for use in various applications such as flavoring as well as the pharmaceutical field.

The present computational study can identify detailed systems biology and able to simulate metabolisms of bacteria and other microorganisms about their capability in producing metabolites such as H<sub>2</sub> and L-Phe in the mutant strain. This refers to the extensive search for reaction or gene to be added or deleted in order to increase the desired production. The intention of this research is to examine how metabolites production in microorganism can be increased using a systematic *in silico* simulation of metabolic engineering strategy, for instance, constraint-based modeling algorithms. Therefore, to elucidate interesting features of these microorganisms and identify engineering targets to achieve enhanced physiological properties of the strain, metabolic engineering which applies modeling simulation and optimization with the involvement of reaction deletion strategy is applied.

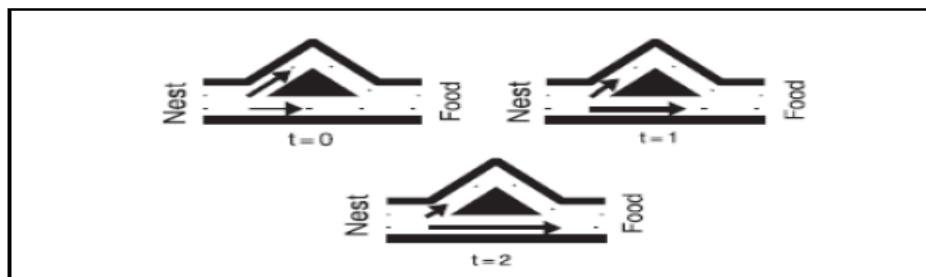
### **1.2.2 Metabolic engineering**

Metabolic engineering has shown big improvement and is becoming more popular in these recent years. Metabolic engineering has been used to study and manipulate the biological microbial cell metabolism by many researchers in this field. An example of a strategy that is introduced by metabolic engineering is to suggest any genes or reactions from its complex metabolic network to be deleted. This theory has shown many achievements towards microbial fuel cell (MFC) in addressing high yield of product or biochemical. The advancement of metabolic engineering has gained more attention as it is able to improve any desired metabolites strain that can further the process to become valuable products for market industries. With such results, more

developments of quantitative models and algorithms using computational simulation are increasing in recent years. In addition, simulation through computational is powerful and able to save costs and time in manipulating phenotypes to optimize the desired strain model. The goal of metabolic engineering is to develop effective algorithms in order to improve the metabolic capabilities in producing desired metabolites in microorganism for industrial purpose (Wiechert, 2002). In metabolic engineering, there are some recognized algorithms available that are widely in use and which can assist in stimulation to improve metabolite productions in genome-scale model, for example, optimization algorithm, modeling algorithm, and modeling framework as discussed in the next section.

#### **1.2.2.1 Ant Colony Optimization Algorithm**

Ant Colony Optimization (ACO) algorithm is a general search technique based on the population size. This algorithm is developed to solve difficult combinatorial problems. ACO is inspired by the behavior of real ants that always tends to find the best shortest path from a food source to the nest. The ant colonies deposited a chemical called pheromone along the trails they used in searching for food from the nest (Lin *et al.*, 2008). The density of pheromone become higher when there is more ants walking through the path, showing that the path is better in the context of distance. This is because ants tend to find the shortest path that leads to a better food source in a short time with promising food quality. The pheromone is used as a guide by other ants. Initially, ants move randomly to the food source while the next ant chooses one path and the higher density of pheromones have a higher probability to be chosen and in a period of time, a common path is finally be developed through positive feedback process. The behavior of this algorithm can be exemplified as illustrated in Figure 1.1.



**Figure 1.1** Basic Ant Colony Optimization Behavior at Different Time Stamps (Tavares *et al.*, 2011)

### 1.2.2.2 Flux Variability Analysis

Flux variability analysis (FVA) is an extension algorithm to further analyze the result obtained by Flux Balance Analysis (FBA) on the genome-scale metabolic network. FVA is a constraint-based algorithm applies for modeling simulation of the metabolic engineering cell. FBA is a linear programming algorithm that searches for the optimal value of the objective function in a genome-scale metabolic network. However, FBA has some limitation on its searching technique. This is caused by the presence of multiple pathways in the solution space which FBA is not set to consider the unique of the solution space. Thus, FVA is used to overcome this constraint. Different from FBA, FVA is able to determine maximum and minimum values of all available fluxes which satisfy the mentioned constraint. Technically, FVA is more advanced than FBA in terms of its modeling simulation process. Moreover, FVA calculates the flux value in a range which reflects to some percentage optimal value restrict. In this research, FVA is used to simulate the growth rate and production rate of microorganisms *Synechocystis* sp. PCC6803 and *Saccharomyces cerevisiae* of both wild-type and modified mutant models.



### 1.3 Background of the Problem

The advance in metabolic engineering strategies in enhancing many cell factories to produce metabolite of interest has been acknowledged in this era. However, there are some limitations of today's technology that have not been addressed yet. The challenges and problems faced in metabolic engineering are predominantly caused by the complexity of the metabolic network. This complexity issue affects the production of desirable metabolite as there are many competing reactions that are present, hence achieving a desired metabolic state through genetic modification remains difficult (Ohno *et al.*, 2013). Apart from that, according to Liu *et al.* (2013) and Hallenbeck and Ghosh (2012) production of metabolites such as L-phenylalanine and biohydrogen from wild-type cell is currently low. This shows the lack of effective genome models which can predict and simulate low production yields as being an important aspect of handling in order to produce higher fitness of the objective function.

The aforementioned issues relate to the algorithmic used by previous researchers to study *in silico* metabolic engineering. With regards to the complexity of the metabolic network, this directly results in low production yield due to the presence of competing for non-desirable compounds. This can relate to the low accuracy of existing algorithms in constructing solutions to acquire the best value. There are numbers of developed algorithms used to simulate flux distribution of particular metabolites from cell factories of the genome-scale model that can directly be applicable for many industrial purposes either by single or hybrid algorithms. Previously, a hybrid of ant colony optimization (ACO) with minimization of metabolic adjustment (MOMA) or flux balance analysis (FBA) have been developed. However existing algorithms constructed are still lacking. This is because MOMA (Raman and Chandra, 2009) and FBA (Lee *et al.*, 2006) have certain limitations in their flux distribution methodology. FBA shows no unique and sometimes unrealistic flux distribution value (Megchelenbrink *et al.*, 2015) and unreliable in predicting the flux value of by-product (Khannapho *et al.*, 2008). On the other hand, MOMA is weak at predicting the final steady state of growth rate and conditionally, unable to represent

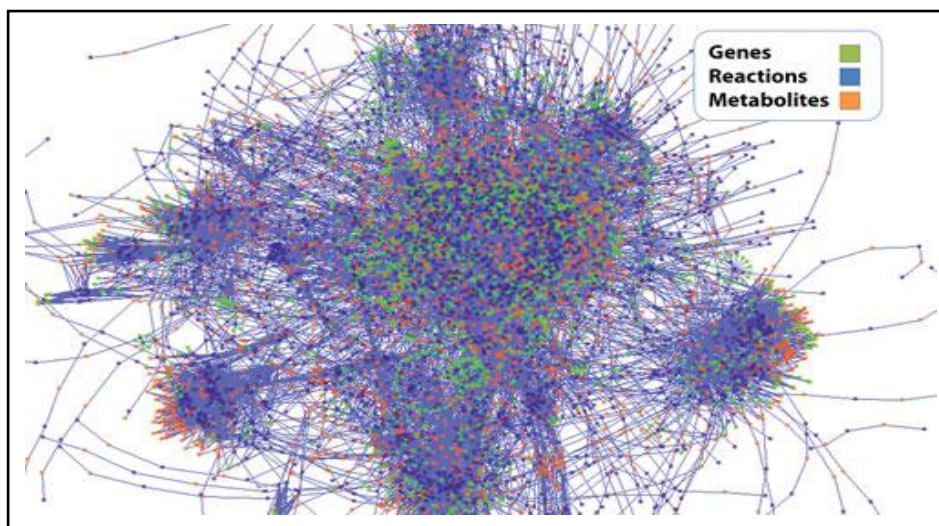
the true metabolic state of the organism compared to FBA (Shlomi *et al.*, 2005). ACO (Colorni *et al.*, 1991) is superior to Continuous Bee Algorithm (CBA) and Artificial Bee Colony (ABC) (Karaboga, 2005) as it has several advantages over other evolutionary algorithms including offering positive feedback resulting in rapid solution finding and having distributed computation which avoids premature convergence (Ab Wahab *et al.*, 2015). Since ABC is a new algorithm, thus it requires new fitness test for the new parameters to improve the performance (Ab Wahab *et al.*, 2015). Bees algorithm also has several parameters that needs to be tuned before it can be used directly (Yuce *et al.*, 2013). These limitations also affect the lack of effective genome model constructed in order to generate better value for the objective function. In addition, wild-type model can only predict low flux value. These issues highlight the need for a new generation of biofuel technology and the strain production of L-phenylalanine (Delucchi, 2010; Melillo *et al.*, 2009; Khamduang *et al.* 2009). Thus, an improved modeling algorithm with new modified genome model is important to highlight. FVA outperform MOMA and FBA as it calculates the full range of flux distribution value while maintaining high growth rate and optimizing the objective function (Mahadevan and Schilling, 2003). In addition, FVA explores alternate solution in the optimal flux space as frequently there is not only one optimal flux distribution can be found (Hay and Schwender, 2014). This indicates that FVA methodology is more detailed and shows realistic flux value in predicting possible by-product production rates under maximal biomass production (Müller and Bockmayr, 2013).

In order to clarify the potential of metabolites strain production, several computational algorithms have been introduced. This is because *in silico* or computational simulation is preferred as less time is required, no labor involved, less research expenditure, and eventually, cost reduction (Salleh *et al.*, 2015). In this study, a hybrid of ACO and FVA is proposed to overcome the current limitations faced by other algorithms in order to enhance the microbial secondary metabolites production and strain improvement. ACO is used for the optimization process in order to prevent the solution from being trapped in local optima that can cause premature convergence while FVA is applied to calculate precisely the flux distribution value of the compound in a genome model.

## 1.4 Problem Statement

As previously discussed, the production of metabolites from microorganisms is in demand and to fulfill the urge of biohydrogen and L-Phenylalanine production. Briefly, the innate potential for higher production of desired metabolites is obscure and relates to the lack of effective genome models. This is mainly due to the fact that in producing metabolites by microorganisms, the presence of interaction among thousands of reactions in the metabolic network caused complex and higher dimensional data size (Liu *et al.*, 2010; Price *et al.*, 2004; Stephanopoulos and Simpson, 1997; Toya and Shimizu, 2013; Wittmann and Lee, 2012). Complex network is caused by the intracellular relationship between reactions, genes, metabolites. In addition, the presence of competing pathways of the non-desirable product may affect the desired metabolite production. Thus, the best way to enhance the desired production beyond the wild type limit is through the computational simulation implementation. Figure 1.2 shows the complex metabolic network which involves interaction between pathway, genes, and metabolites.

Computational simulation involves modeling, optimization, and simulation are used to perform the modification of the cell network and to obtain an important insight about the metabolic system. Computational time is increasing as the problem size increases, thus some computationally pre-process steps are required, which match the biological theory in having a more suitable and compatible data. Other than that, the models need to undergo an optimization process in order to prevent the solution from being trapped in local optima, causing premature convergence. ACO is used as an optimization algorithm as it is better at exploring and constructing a good solution in a short time. This algorithm is efficient in avoiding premature convergence compared to other existing algorithms. FVA as a modeling simulation algorithm for simulating the entire flux distribution of a cell in the range of maximum to minimum of the solutions constructed by the optimization algorithm of a particular objective function. The hybrid of both algorithms with applying reactions deletion strategy is applied for this research to overcome current limitations in metabolic engineering study and to enhance the metabolites production of a strain model.



**Figure 1.2** Graph theoretical of a complex metabolic network (Genetic Engineering and Biotechnology News, 2016).

### 1.5 Aim

The aim of this research is to propose a hybrid of ACO and FVA to reduce the complexity of metabolic network by identifying a near-optimal combination of reactions to be knocked out in order to improve the production of L-Phenylalanine and biohydrogen.

## 1.6 Objectives

Several objectives have been identified in order to achieve the aim:

1. To model a hybrid algorithm of Ant Colony Optimization and Flux Variability Analysis for metabolites production in a genome-scale metabolic model of microorganisms.
2. To develop a hybrid algorithm of Ant Colony Optimization and Flux Variability Analysis to solve the complex metabolic network issue by identifying a list of reactions to be knocked out for improving the production rate and Biomass-Product Coupled Yield (BPCY) of L-phenylalanine and biohydrogen in microbial strains.
3. To evaluate the experimental results (BPCY, production rate, and growth rate) of each metabolites with previous works and validate the list of reactions and genes deletion through biological database.

## 1.7 Scopes of Study

The scopes of this study are listed as below:

- i. L-Phenylalanine in Yeast and Biohydrogen in Cyanobacteria are the products being focused.
- ii. Two datasets going to be used are:

- a) Model *yeast4.05.xml* downloaded from published literature by *Nogales et al.*, (2010) derived from [http://sourceforge.net/projects/yeast/files/yeast\\_4.05.zip/download](http://sourceforge.net/projects/yeast/files/yeast_4.05.zip/download)
  - b) Model *iJN678.xml* from published literature by *Sohn et al.*, (2010) derived from [bigg.ucsd.edu/models/iJN678](http://bigg.ucsd.edu/models/iJN678)
- iii. The format of the dataset is in System Biology Markup Language (SBML). SBML is representing models of biological processes based on XML, which is a readable language machine. SBML contains metabolic networks, cell-signaling pathways, regulatory networks, and many kinds of systems. It is a standard language for exchanging information, storing and also fitting parameters for any given experimental data. These features play important roles in the modification of accurate genome model.
- iv. The software used in this research is Constraints Based Reconstruction and Analysis (COBRA) Toolbox for constraint-based modeling which is implemented in MATLAB to perform the hybrid algorithm.
- v. A hybrid of Ant Colony Optimization and Flux Variability Analysis (ACOFVA) is used in this research which involves and consider reaction deletion strategy and regulatory networks information.

## 1.8 Significance of study

This research is conducted to simulate and analyze the effect of genome metabolic modification of microorganism in order to improve the production of desired biohydrogen and L-Phenylalanine by implementing a computational simulation. The following points shows the significant of conducting this research:

- i. Investigate the potential improvement of metabolites production in the microbial cell factories.
- ii. Give a clear insight of biohydrogen and L-Phenylalanine production from microbial cell factory by using computational modeling and analysis that can provide a better understanding of cellular level function.
- iii. Developments of *in silico* modeling of microbial cell factory that enable the optimization of interest production yield with better prediction.
- iv. Researchers and biologists can use this information to do laboratory experiment using the constructed metabolic model as references towards a more promising production in time effective manner.

## **1.9 Thesis Organization**

- i) Chapter 1 presents a brief introduction to metabolites production and metabolic engineering included Ant Colony Optimization and Flux Variability Analysis. The background of the problems which refers to the existing issue in the related field and also problem statements of this study are described in detail. Some important points for this study included the aim, objectives, and scopes are also presented precisely.
- ii) Chapter 2 presents some literature reviews retrieved from published journals and other available sources on the existing algorithms that are used in analyzing the genome-scale metabolic model. Besides, some details about metabolic engineering that consist of some different groups related to it such as constraint-based analysis, optimization algorithm, and modeling framework are discussed comprehensively. Reading materials

that relate to this research topic with beneficial and helpful information, such as journals, articles, and conference working papers are listed, too.

- iii) Chapter 3 discusses the research methodology as a planning form used to conduct this research. The detailed descriptions of activities involved are presented and divided by particular phases for easy following. The information about the data set chosen to be used is clarified. Besides, basic requirements of hardware and software and performance measurement that is used for this research are clearly described. The proposed algorithm is presented in this chapter.
- iv) Chapter 4 explains and discusses the flow of implementation of the proposed hybrid algorithm, which is ACOFVA. Pre-processing step and preparation of the two data sets chosen are performed in this chapter. The designed steps involved in ACOFVA is also discussed. The results of the proposed hybrid algorithm with the explanation about the reactions and genes suggested to be deleted in order to increase the production of metabolites are also included in this presentation.
- v) Chapter 5 summarizes the contents of all previously discussed chapters. Conclusion, contributions, and limitations of this research are also being discussed.



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