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Optical, structural, mechanical and thermal characterization of antioxidant ethylene vinyl alcohol copolymer films containing betalain-rich beetroot

This is the Accepted version of the following publication

Cejudo-Bastante, María Jesús, Cejudo-Bastante, Cristina, Cran, Marlene, Heredia, Francisco J and Bigger, Stephen W (2020) Optical, structural, mechanical and thermal characterization of antioxidant ethylene vinyl alcohol copolymer films containing betalain-rich beetroot. *Food Packaging and Shelf Life*, 24. ISSN 2214-2894

The publisher's official version can be found at
<https://www.sciencedirect.com/science/article/abs/pii/S2214289419306660>
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1 **Optical, structural, mechanical and thermal characterization of antioxidant**
2 **ethylene vinyl alcohol copolymer films containing betalain-rich beetroot**

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26 **Abstract**

27 New antioxidant films based on ethylene vinyl alcohol (EVOH) copolymer containing
28 betalain-rich red beet were successfully manufactured and characterized to develop
29 bioactive packaging for food products. Two types of red beet (powder and extract) at
30 different proportions (0.1, 0.5, 1.0, 1.5, 2.0 and 2.5% (w/w)) were incorporated into
31 EVOH films, with attention focused on the optical, chemical, thermal, structural, and
32 mechanical and antioxidant properties. The incorporation of any red beet type into EVOH
33 resulted in purple-colored and semi-crystalline thin films, without modifying their
34 thermal stability and mechanical properties. The addition of the beet extract led to a film
35 with higher color intensity, antioxidant activity and lower water loss rate, whereas the
36 addition of powdered beet resulted in an improved UV barrier compared to the extract.
37 The results showed that new antioxidant food packaging films based on EVOH could be
38 realized by utilizing beetroot extract or powder obtained from natural resources.

39 **Keywords:** Red beet; ethylene vinyl alcohol copolymer; film properties; antioxidant
40 packaging; betalains.

41

42 **1. Introduction**

43 In recent years, demand for minimally-processed, preservative-free foods with longer
44 shelf-lives and oxidation prevention has increased significantly. In this regard, the food
45 industry is focusing on the modification of food packaging as one possible solution to
46 meet this need. The development of new packaging films using bioactive substances
47 obtained from natural resources, which are typically related to the enhancement of food
48 safety and quality, is currently growing (Tawakkal, Cran, & Bigger, 2014). However, it
49 must be taken into consideration that the addition of bioactive compounds to the
50 packaging must not compromise the integrity of the film and therefore its optimal
51 physical, mechanical and thermal properties must be maintained.

52 Red beet (*Beta vulgaris* L. sp) belongs to the *Chenopodiaceae* family and is a red taproot
53 rich in polyphenols and water-soluble pigments called betalains. These pigments
54 (classified as red-violet betacyanins and yellow-orange betaxanthins) are claimed to have
55 beneficial properties for human health such as antioxidant activity, antiviral, anti-
56 inflammatory and anticarcinogenic effects (Moreno, García-Viguera, Gil, & Gil-
57 Izquierdo, 2008; Strack, Vogt, & Schliemann, 2003; Vinson, Hao, Su, & Zubik, 1998).
58 Moreover, betanin, the major betalain present in *Beta vulgaris*, is also a natural colorant
59 approved by the United States and the European Union as a food additive (E-162), and
60 has been related to the inhibition of lipid peroxidation and tumor cell proliferation
61 (Reddy, Alexander-Lindo, & Nair, 2005).

62 A broad range of polymeric materials and different types of bioactive compounds have
63 been studied in order to develop new food packaging materials. For example, Cejudo
64 Bastante, Casas Cardoso, Mantell Serrano, and Martínez de la Ossa (2017) recently
65 developed a novel antioxidant food packaging film using supercritical impregnation of
66 multilayer PET/PP films with olive leaf extract by-product. The resulting film was

67 deemed to be suitable as an active food packaging material with acceptable thermal,
68 structural and mechanical properties depending on the impregnation conditions. Other
69 bioactive compounds used in active packaging include green tea extract and oregano
70 essential oil, which were added to ethylene-vinyl alcohol copolymer (EVOH) (V. Muriel-
71 Galet, Cerisuelo, López-Carballo, Aucejo, Gavara, & Hernández-Muñoz, 2013; V.
72 Muriel-Galet, Cran, Bigger, Hernández-Muñoz, & Gavara, 2015). However, although the
73 extracts provided antioxidant activity, the crystallinity of the EVOH film was affected. A
74 minor impact on water permeability and thermal stability, and an enhancement of tensile
75 properties of EVOH films was observed after the addition of cinnamaldehyde in the film-
76 formulation compared to the control film with no additives (Ma, Li, & Wang, 2017).

77 Recent studies have reported the use of betalain-rich raw materials as additives for various
78 biopolymers and edible films have been reported in order to develop active film systems
79 (Aparicio-Fernández, Vega-Ahuatzin, Ochoa-Velasco, Cid-Pérez, Hernández-Carranza,
80 & Ávila-Sosa, 2018; Gutiérrez, Suniaga, Monsalve, & García, 2016; Iahnke, Costa, De
81 Oliveira Rios, & Flôres, 2016; Lozano-Navarro, Díaz-Zavala, Velasco-Santos, Martínez-
82 Hernández, Tijerina-Ramos, García-Hernández, et al., 2017; Tran, Athanassiou, Basit, &
83 Bayer, 2017). However, there are limited studies reporting the incorporation of red beet
84 as bioactive ingredient and source of betalains into packaging. In one example, a recent
85 study reported the use of red beetroot powder as an antioxidant agent in a packaging film
86 formulation (Tran, Athanassiou, Basit, & Bayer, 2017), achieving an improvement of
87 Young's modulus of starch-based bioelastomers. In another example, biocomposite films
88 were manufactured by embedding different concentrations of beetroot residue powder
89 into films based on residues of gelatin capsules (Iahnke, Costa, De Oliveira Rios, &
90 Flôres, 2016), resulting in a promising antioxidant material with good thermal properties.

91 However, to the best of our knowledge, there are no reports of the incorporation of
92 beetroot powders in EVOH films for food packaging.

93 The present study was therefore aimed to develop a film based on EVOH combined with
94 betalain-rich beetroot using a casting procedure. Two different preparations of red beet
95 (powder and extract) and a diverse range of concentrations were formulated. Detailed
96 experimental work is presented in order to characterize the physical-mechanical and
97 structural properties as well as the thermal and antioxidant properties of the resulting
98 films.

99 **2. Material and Methods**

100 **2.1. Chemicals and Reagents**

101 Ethylene vinyl alcohol copolymer with a 29% molar content of ethylene (EVOH29
102 Soarnol DT2904RB®) was supplied by the Nippon Synthetic Chemical Company
103 (Osaka, Japan). Chemical reagents 1-propanol, absolute ethanol, 2,2-diphenyl-1-
104 picrylhydrazyl (95% free radical) were purchased from Rowe Scientific (Australia).
105 Sodium ascorbate was purchased from Panreac (Barcelona, Spain) and methanol (AR
106 grade) from J. T. Baker (Baker, Mallinckrodt, Mexico). Water (HPLC grade) was
107 obtained using a Milli-Q plus water purification system (Millipore Corp., Bedford, MA,
108 USA). Methanol (HPLC grade) and formic acid (HPLC grade) were obtained from
109 Fischer Chemical (UK) and Sigma-Aldrich (St. Louis, MO, USA), respectively.

110 **2.2. Red Beet Samples**

111 Red beetroots (*Beta Vulgaris* L., sp. Var. Pablo) were collected in the south-west area of
112 Andalucía (Sevilla, Spain). Samples of mature fruits according to visual characteristics
113 and similar size were collected up to a weight of *ca.* 2 kg. The beets were peeled and cut
114 into small pieces (1 cm²) prior to lyophilization (Labconco, MO, USA) to obtain the red
115 beet powder (RBP), which was stored in darkness until analysis and subsequent use. To

116 obtain the red beet extract (RBE) of the betalains from RBP, 3 mL of methanol and 3 mL
117 of water containing 50 mM sodium ascorbate was added to 0.1 g of lyophilized RBP.
118 After stirring at 200 rpm for 10 min in darkness, samples were centrifuged at 4000 rpm
119 at 10°C for 5 min, according to the method proposed by Cejudo-Bastante, Chaalal,
120 Louaileche, Parrado, and Heredia (2014). The supernatant was separated, and the process
121 was repeated with the same extraction solution. Finally, the procedure was repeated with
122 100% methanol until the complete discoloration of the plant tissue was achieved. The
123 RBE was then lyophilized. All extraction processes were performed in triplicate.

124 **2.3. Evaluation of Red Beet Composition**

125 An Agilent 1200 chromatographic system equipped with a quaternary pump, a UV-visible
126 diode-array detector, an automatic injector, and ChemStation software (Palo Alto, USA)
127 was used for separation, identification, and semi-quantification of the main betalains of
128 the RBP. Prior to direct injection, all the samples were filtered through a 0.45 µm Nylon
129 filter (E0034, Análisis Vínicos, Spain). Analyses were performed using a Zorbax C18
130 column (250 × 4.6 mm, 5 µm particle size), a flow rate of 1 mL min⁻¹ at 25°C, an injection
131 volume of 20 µL, with eluents comprised of 1% (v/v) formic acid in water (eA) and
132 methanol (eB). The separation of betalains was performed using the following elution
133 profile: 100% eA, 0 min; 90% eA, 10% eB, 20 min; 70% eA, 30% eB, 30 min; 100%
134 eB, 35 min; then 100% eA, 40 min, with the purpose of re-establishing the initial
135 conditions (Cejudo-Bastante, Chaalal, Louaileche, Parrado, & Heredia, 2014). The
136 resulting UV-visible spectra of the separated extracts were recorded from 200 to 800 nm
137 with a bandwidth of 1.0 nm and the betacyanins and betaxanthins were monitored at 535
138 and 482 nm, respectively. The identification of each chromatographic peak was
139 tentatively assigned by its visible spectral characteristics in comparison with its retention

140 times and their visible spectral characteristics according to the method proposed by
141 Cejudo-Bastante, Chaalal, Louaileche, Parrado, and Heredia (2014).

142 **2.4. Film Preparation**

143 The film samples were prepared in duplicate according to the method proposed by
144 Virginia Muriel-Galet, Cerisuelo, López-Carballo, Lara, Gavara, and Hernández-Muñoz
145 (2012) with some modifications. Pellets of EVOH (1 g) were dissolved in 10 mL of a 1-
146 propanol/water mixture at a ratio of 1:1 (v/v) at 70°C. When the solution had cooled
147 below 30°C, the betalain samples (RBP and RBE) were added at different proportions of
148 0.1, 0.5, 1.0, 1.5, 2.0 and 2.5% (w/w) with respect to the mass of EVOH in the solution.
149 The casting coater (Porometer MemcastTM, Belgium) was located in a laboratory fume
150 hood with air extraction. The films were prepared, under dark conditions, by spreading
151 the solution with a coating bar on a warm flat stainless steel surface (35°C) that facilitated
152 the evaporation of the solvent and minimized degradation of the betalain compounds
153 (Herbach, Stintzing, & Carle, 2006). Films devoid of RBP or RBE were prepared as
154 controls and all film samples were stored under dry and dark conditions in aluminum foil
155 until testing. All films were manageable and had a homogeneous average thickness of 3-
156 4 µm ($n = 5$) measured using a digital micrometer (Schut IP54, The Netherlands) with
157 0.001 mm precision.

158 **2.5. Structural Analysis**

159 Changes in the chemical composition of the films after the RBP and RBE addition with
160 respect to the EVOH control film were evaluated using Fourier-transform infrared (FTIR)
161 spectroscopic analysis. A Perkin Elmer FrontierTM FTIR spectrophotometer (Waltham,
162 USA) fitted with a diamond crystal attenuated total reflectance (ATR) accessory was used
163 to record the spectra of the samples. An average of 16 scans at a resolution of 4 cm⁻¹ over

164 the frequency range 4000–650 cm^{-1} was obtained for each sample with measurements
165 performed at 25°C and in triplicate.

166 **2.6. Optical Properties**

167 The color of the film was measured using a Konica Minolta CR-400 Chroma Meter
168 (Konica Minolta Sensing, Inc., Osaka, Japan). The films were placed on a white standard
169 tile surface and three measurements were taken from different parts of the film. The
170 parameters of lightness (L^* , where 0 = black through to 100 = white), the hue angle (h_{ab} ,
171 which is the qualitative color attribute defined as red ($0^\circ/360^\circ$), yellow (90°), green (180°)
172 or blue (270°)), and color saturation (C^*_{ab}) were determined for each film sample.

173 The absorption of the films was determined using UV–visible spectroscopy. A piece of
174 film was cut and directly placed in a quartz cell (10 mm path length glass cell) with air as
175 a reference (Kuorwel, Cran, Sonneveld, Miltz, & Bigger, 2014). The transmittance of
176 each sample was scanned over the wavelength range of 200–800 nm using a Varian
177 CARY 300 Scan UV-visible spectrophotometer. The transparency (T) was calculated by
178 measuring the percent transmittance of light at a wavelength of 600 nm ($\%T_{600}$) ($T = -\log$
179 $\%T_{600}/b$) and the opacity (O) was calculated using the average absorbance at 500 nm
180 (A_{500}) ($O = A_{500} \times b$), taking into account the film thickness in mm (b) for all the
181 measurements (Lozano-Navarro, et al., 2017). All measurements were performed in
182 triplicate.

183 **2.7. Antioxidant Activity**

184 The antioxidant activity of the films was determined using the 2,2-diphenyl-1-
185 picrylhydrazyl (DPPH) free radical assay (Brand-Williams, Cuvelier, & Berset, 1995).
186 Pieces of film measuring *ca.* 2 cm^2 were introduced to 4 mL of 6×10^{-5} mol L^{-1} DPPH in
187 ethanol, according to the method described by (Cejudo Bastante, Casas Cardoso, Mantell
188 Serrano, & Martínez de la Ossa, 2017). The decrease in the UV absorbance was monitored

189 using a Varian CARY 300 Scan UV-visible spectrophotometer at 517 nm in darkness
190 until a steady state had been achieved (60 min). The percentage of inhibition (%*I*)
191 measured as the quantity of DPPH that reacts with a given amount of antioxidant, was
192 calculated by measuring the initial DPPH absorbance (A_0) and final absorbance at 1 h (A_i)
193 where $\%I = 100 \times (A_0 - A_i) / A_0$. Analyses were carried out in duplicate and the results were
194 expressed as $\%I/100$ mg of film.

195 **2.8. Thermal Analysis**

196 The thermal phase transitions were determined by differential scanning calorimetry
197 (DSC) using a Mettler-Toledo Differential Scanning Calorimetry DSC1 instrument.
198 Samples of *ca.* 5 mg were encapsulated in aluminum pans, using an empty aluminum pan
199 (40 μ L) as a reference. Thermograms were obtained by heating samples from 35°C to
200 220°C, with heating and cooling rates of 10°C min⁻¹. The samples were maintained under
201 a 50 mL min⁻¹ nitrogen gas flow during the analysis and single experiments were
202 performed (Wrona, Cran, Nerín, & Bigger, 2017). The melting temperature (T_m) and the
203 melting enthalpy (ΔH_m) were calculated using STAR^e Evaluation Software (Mettler-
204 Toledo, version 11.00) for data acquisition and analysis. The percentage of crystallinity
205 (% X_c) was calculated using $\%X_c = 100 \times \Delta H_m / \Delta H_m^\circ$, where ΔH_m° is the melting enthalpy
206 of 100% pure crystalline EVOH (157.8 J g⁻¹) (Cerrada, Pérez, Pereña, & Benavente,
207 1998).

208 Thermogravimetric (TG) analyses were performed using a Mettler-Toledo TGA/DSC1
209 instrument. Samples of 4 to 5 mg of film were cut into small pieces and heated from 20
210 to 600°C at 10°C min⁻¹ under a nitrogen atmosphere flow rate of 50 mL min⁻¹ in order to
211 prevent any thermo-oxidative degradation. The data collected with the STAR^e Evaluation
212 software were further analyzed to determine the reaction model of thermal decomposition

213 and the apparent activation energy, E_a , using the algorithms described by Bigger, Cran,
214 and Tawakkal (2015).

215 **2.9. Imaging and Morphology**

216 Scanning electron microscopy (SEM) was utilized to investigate the morphology of the
217 films after the addition of RBP and RBE. Micrographs of the surface of the films with the
218 highest percentages of these additives were obtained using a Hitachi TM3030 Plus
219 Tabletop SEM. Samples were mounted on an aluminum sample holder and were coated
220 with iridium for 30 s using a sputter coater (Cressington, UK) prior to obtaining the
221 images at magnifications of 5×, 200× and 500× under high vacuum and an accelerating
222 voltage of 10 kV.

223 **2.10. Water Vapor Transmission Rate**

224 The water vapor transmission rate (WVTR) of the EVOH films and those containing RBP
225 or RBE was determined in accordance with ASTM E96-93 with some modifications.
226 Glass vials of 10 mL capacity were filled with 5 mL of water and 1.3 cm diameter pieces
227 of film were placed over the vials to form a seal. The glass vials were then stored at room
228 temperature in a desiccator containing silica or at 35°C in an air-circulating oven (< 50%
229 of relative humidity, respectively). The vials were weighed daily for up to 20 days using
230 an analytical balance to measure the WVTR which was determined using
231 $WVTR=(\Delta m/\Delta tA)$, where $\Delta m/\Delta t$ is the mass of water lost per time (g/day) and A is the
232 exposed surface area of the film (m^2) (Shahriari, Mohseni, & Yahyaei, 2019).

233 **2.11. Mechanical Properties**

234 The mechanical characteristics of the films were measured with a Model 4301 Instron
235 Universal Testing Machine with a load cell of 5 kN in accordance with ASTM D882. For
236 each set of samples, five tensile specimens with dimensions of 14 cm × 2 cm were
237 prepared. Each tensile test was conducted using a cross-head speed of 10 mm min⁻¹. The

238 Young's modulus (YM), tensile stress at maximum load (or ultimate tensile strength,
239 UTS) and the elongation at break (EAB) were calculated from the stress-strain curves and
240 the data obtained using Instron BlueHill Series IX software.

241 **2.12. Statistical Analysis**

242 Statistica v.8.0 software (Statistica, 2007) was used for performing all statistical analyses.
243 Univariate analyses of variance (Tukey test and ANOVA) ($p < 0.05$) were applied to
244 establish whether the mean values of the sample data differed significantly in each case.

245 **3. Results and Discussion**

246 **3.1. Red Beet Composition**

247 Fig. 1 shows the chromatogram and the identification of the betalain profile (betacyanins
248 and betaxanthins) of the RBP used in this work. Among the betacyanins, betanin and
249 isobetanin are well-known compounds present in red beetroots (Montes-Lora, Rodríguez-
250 Pulido, Cejudo-Bastante, & Heredia, 2018). With regard to betaxanthins, vulgaxanthin I
251 (glutamine-betaxanthin) and other betaxanthins derived from histidine, aminobutyric
252 acid, proline, valine, isoleucine, leucine and phenylalanine, were also identified. Some of
253 these are rarely reported in this raw material. Betanin was clearly the major betalain
254 present in all the RBP samples, followed by isobetanin and vulgaxanthin I, representing
255 around 95% of the total betalains and this is consistent with other reported works
256 (Montes-Lora, Rodríguez-Pulido, Cejudo-Bastante, & Heredia, 2018).

257 **3.2. Structural Analysis of Films**

258 The FTIR spectra of the control EVOH films and those containing RBP or RBE were
259 measured and normalized to an unchanging peak. The peak at 850 cm^{-1} due to -CH
260 rocking vibrations of the EVOH was selected as one appearing in all spectra that was not
261 expected to change with different levels of RBP or RBE (Topolniak, Gardette, & Therias,
262 2015). The incorporation of either RBP or RBE into the EVOH film showed similar trends

263 with regard to the entire FTIR spectra, thus, only the spectra of EVOH control film,
264 EVOH film containing 2.5% (w/w) RBE and pure RBE are presented in Fig. 2. In
265 comparison with the spectrum of the control EVOH film, the intensity of the peaks at
266 3330, 2929 and 2853 cm^{-1} increased when RBE was added to the film. These peaks
267 correspond to hydroxyl vibrations, NH_2 stretching, symmetric and asymmetric CH_2 and
268 CH stretching vibrations, respectively (Abdollahi, Taghizadeh, & Savani, 2018; Zhang,
269 Li, Huang, Ren, & Huang, 2018). This may be due to the presence of the polar betalains
270 in the RBE which contain several -OH and -NH bonds and aromatic groups (Montes-
271 Lora, Rodríguez-Pulido, Cejudo-Bastante, & Heredia, 2018; Saroufim, Celauro, &
272 Mistretta, 2018). The carbonyl vibrations of the -NH amide groups at 1658 cm^{-1} also
273 increase with the presence of RBE which is clearly observed as a broad peak in the pure
274 RBE spectrum (Peter, Kenyo, Renner, Krohnke, & Pukanszky, 2014). Interactions of
275 EVOH with RBE are suggested with the presence of strong -CO stretching vibrations
276 arising from the -COH bonds at 1092 cm^{-1} due to the presence of alcohols in the film-
277 forming solution (Abdollahi, Taghizadeh, & Savani, 2018). When higher quantities of
278 RBE were added, a bathochromic shift was observed with the peak slightly shifting from
279 1092 to 1086 cm^{-1} . Moreover, a general broadening of this peak in the presence of RBE
280 is observed which may be evidence of hydrogen bonding between RBE and EVOH
281 (Arunbabu, Sannigrahi, & Jana, 2010).

282 **3.3. Film Optical Properties**

283 Table 1 shows the color parameters of the EVOH control film and those containing RBE
284 and RBP at different proportions. Optical properties are of utmost importance since they
285 reflect the colour and UV barrier properties of the film. Regarding CIELAB parameters
286 (L^* , a^* , b^* , C^*_{ab} , h_{ab}), the control EVOH films were almost colorless ($a^*=-0.41$;
287 $b^*=3.21$), whereas the films containing beetroot showed a significantly ($p < 0.05$) more

288 purplish tonality (h_{ab}) and a reduced lightness (L^*) compared to the control EVOH films
289 as expected. These changes were significant ($p < 0.05$) when concentrations from 1.5%
290 of RBE or RBP were embedded to the film. In the case of EVOH/RBE, a significantly (p
291 < 0.05) greater color intensity (C^*_{ab}) was also observed in comparison to the control
292 films. The reduction of lightness and changes in C^*_{ab} and h_{ab} parameters as a consequence
293 of the incorporation of natural extracts and beetroot powder into the EVOH copolymer
294 and other materials have also been observed by other researchers (V. Muriel-Galet, Cran,
295 Bigger, Hernández-Muñoz, & Gavara, 2015; Wrona, Cran, Nerín, & Bigger, 2017;
296 Zamudio-Flores, Ochoa-Reyes, Ornelas-Paz, Tirado-Gallegos, Bello-Pérez, Rubio-Ríos,
297 et al., 2015).

298 Considering transparency and opacity, the incorporation of RBP or RBE provided a more
299 opaque and less transparent film. This was found to be significant ($p < 0.05$) even with
300 the lowest addition of 0.1% (w/w) of the RBE or RBP (Table 1) and increased
301 substantially when the proportion of RBE or RBP increased. Similar results were reported
302 by Lozano-Navarro, et al. (2017) in chitosan–starch films with different natural extracts.
303 The resulting films had UV-visible light barrier properties that can potentially minimize
304 the accelerated decomposition of some photosensitive food (Iahnke, Costa, De Oliveira
305 Rios, & Flôres, 2016; Ma, Li, & Wang, 2017).

306 A comparison between the two types of beet additive showed that EVOH/RBP films
307 presented statistically ($p < 0.05$) lower chromatic intensity and tonality compared to
308 EVOH/RBE. This could be due to the other residual plant materials present in the RBP
309 samples (Gaikwad, Lee, & Lee, 2016; Iahnke, Costa, De Oliveira Rios, & Flôres, 2016)
310 and, indeed, the lower content of betalains at the same proportion of RB.

311 **3.4. Antioxidant Activity**

312 Fig. 3 shows the antioxidant activity of control films and those containing different
313 quantities of RBE and RBP expressed as the %I of the DPPH radical. The results show
314 that the control EVOH films imparted little or no antioxidant activity but the addition of
315 1% (w/w) and greater of RBP or RBE showed some antioxidant effect (%I around 10%).
316 The %I of EVOH/RBE films was significantly ($p < 0.05$) higher than that of EVOH/RBP
317 films at all concentrations of additives (between 12-25%), suggesting that RBP also
318 contains a considerable number of compounds that are not antioxidants. As such, although
319 both types of films offered antioxidant properties, higher amounts of RBP are needed to
320 offer the same antioxidant capacity as RBE. Although very few studies have reported
321 active films based on EVOH and beetroot, considerable antioxidant activities have also
322 been described in other films due to the presence of betalain-rich materials and/or other
323 copolymers. Examples include starch-based bio-elastomers functionalized with red
324 beetroot (Tran, Athanassiou, Basit, & Bayer, 2017), carboxymethyl cellulose edible films
325 with *Opuntia-ficus indica* peel (Aparicio-Fernández, Vega-Ahuatzin, Ochoa-Velasco,
326 Cid-Pérez, Hernández-Carranza, & Ávila-Sosa, 2018), and films based on gelatin
327 capsules and beetroot residues (Iahnke, Costa, De Oliveira Rios, & Flôres, 2016).

328 **3.5. Film Thermal Properties**

329 Table 2 shows the various thermal parameters obtained from DSC thermograms of
330 EVOH, EVOH/RBP and EVOH/RBE films containing different levels of the additives.
331 The T_m value of the control film was *ca.* 19°C lower than the manufacturer's value for
332 extruded EVOH films and this may be attributed to the casting fabrication process that,
333 in turn, influences the polymer crystallization (Muriel-Galet, Cran, Bigger, Hernández-
334 Muñoz, & Gavara, 2015). The incorporation of either RBP or RBE into the EVOH film
335 did not significantly ($p < 0.05$) affect the T_m values when compared to the pure EVOH

336 film. The values of ΔH_m and $\%X_c$, however, were significantly ($p < 0.05$) increased with
337 the addition of 0.5-1% (w/w) of each additive. This is particularly evident in the case of
338 increasing RBP for both parameters and in the case of RBE for $\%X_c$. The higher energy
339 required to melt the crystalline structures suggests that some structural modifications have
340 taken place that affect the crystallization process (Franco-Urquiza, Santana, Gámez-
341 Pérez, Martínez, & MasPOCH, 2010). This result is in accordance with the results obtained
342 in the FTIR analysis whereby the increase in hydrogen bonding resulting from the
343 presence of betalains that contain a large number of OH groups could limit the chain
344 mobility, favor the intermolecular and intramolecular forces, and thereby increase the
345 cohesive energy (Lagaron, Catalá, & Gavara, 2004). This trend was more noticeable when
346 higher levels of RBP or RBE were added and EVOH/RBP films were generally less rigid
347 and easier to break than EVOH/RBE films indicating a more irregular crystalline structure
348 (Muriel-Galet, Cran, Bigger, Hernández-Muñoz, & Gavara, 2015). The latter may be due
349 to the interruptions to crystallization that are caused by the presence of other non-
350 betalainic compounds (i.e. residual plant materials), or by the lower betalain content in
351 RBP compared to RBE at the same proportion.

352 The TG curves for EVOH and EVOH/RBE 2.5% (Fig. 4(a)) show that the main
353 decomposition step is slightly lower for the sample containing RBE. There is also a small
354 step in the TG curve that occurs between 80 and 100 °C which represents the evaporation
355 of the residual solvent (1-propanol/water). This evaporation at this early heating stage
356 may have influenced the crystallinity observed in the DSC measurements (Khan, Ryan,
357 Blau, & Coleman, 2007). The decomposition of the RB at the lower temperature may
358 have also influenced the measured crystallinity for the samples containing RBP or RBE.
359 The first derivative curves of the TG thermograms (see Fig. 4(b)) revealed that the thermal
360 degradation of the films occurred mainly over a single step, confirmed by a large peak at

361 around 350-400 °C (Ma, Li, & Wang, 2017). Moreover, there is no separate step that can
362 be attributed to the presence of either RBP or RBE in the TG profile of the EVOH/RBP
363 sample.

364 Table 3 shows the values of onset and endset temperatures obtained for the thermal
365 degradation of all films. A discernible mass loss of 75-80% occurred between 363 and
366 395°C in the case of the EVOH control films corresponding to the degradation of the
367 polymer. The incorporation of either RBP or RBE into the film did not result in any
368 significant ($p < 0.05$) changes in onset and endset temperatures, with the exception of
369 EVOH films containing higher quantities of RBE of 2-2.5% (w/w) (Fig. 4). In these cases,
370 the onset and endset temperatures were lower than that of the EVOH control film (Table
371 3), which is in contrast to the results observed by Ma, Li, and Wang (2017) when
372 cinnamaldehyde was incorporated into EVOH films. In the present study, the addition of
373 RBP and low quantities of RBE did not influence the thermal stability of the films,
374 however, 2% (w/w) and greater of RBE slightly lowered the onset of thermal
375 decomposition of the film. Similar thermal trends have also been described by Iahnke,
376 Costa, De Oliveira Rios, and Flôres (2016) when red beet powder was incorporated into
377 a gelatin-based film.

378 Table 3 also shows the values of the apparent activation energy, E_a , for the degradation
379 process of the control film and films containing RBP and RBE at different proportions.
380 The E_a of the main degradation step was extracted by performing kinetic analyses on the
381 TG data in accordance with the method described by Bigger, Cran, and Tawakkal (2015).
382 The method consists of an iterative computerized technique that obtains the best fitting
383 model under the same test conditions by using several and well-established models
384 applied to the mass loss curve. In general, all films were strongly fitted to the contracting
385 volume (R3) model ($R^2 > 0.97$) and the incorporation of either RBP or RBE into the

386 EVOH film did not significantly alter the E_a of any film regardless of the type and
387 proportion of the additive (Table 3). These findings would reinforce the importance of
388 these films within the food packaging field. Moreover, the high thermal stability of these
389 materials would allow their use under typical sterilization procedures, required for food-
390 based applications.

391 **3.6. Film Surface Morphology**

392 The SEM micrographs at different magnification of the EVOH films and those
393 incorporated with the highest content of RBE and RBP are presented in Fig. 5. It is evident
394 that the surfaces of the EVOH and EVOH/RBE films are both particularly homogeneous,
395 with a smooth, continuous and well-connected network (Fig. 5a and 5b, respectively). In
396 contrast, some particles were observed on the surface of the EVOH/RBP films reflecting
397 a heterogeneous texture and the presence of agglomerates (Fig. 5c) which may be due to
398 the presence of insoluble residual plant materials and fibers in the RBP (Iahnke, Costa,
399 De Oliveira Rios, & Flôres, 2016). This is further shown in the SEM micrograph of the
400 pure RBP which revealed irregular inclusions and rough surfaces of the various
401 components (Fig. 5d).

402 **3.7. Film Water Barrier Properties**

403 Fig. 6 shows the WVTR of the EVOH control film and those containing RBP and RBE
404 at different compositions at 25°C and 35°C where the WVTR value of each sample was
405 the average of all the measurements recorded over the twenty days of the experiment. In
406 general, the WVTR was typically lower than 1% per day which might be expected for
407 EVOH film which is known for its barrier properties. However, some significant ($p <$
408 0.05) differences between films were observed at the higher temperature of 35°C whereby
409 levels 1.5% (w/w) and higher of RBP significantly ($p < 0.05$) increased the WVTR
410 compared to films tested at 20°C. This finding is consistent with that reported by Rojas-

411 Graü, Avena-Bustillos, Olsen, Friedman, Henika, Martín-Belloso, et al. (2007) who
412 incorporated 0.1% (w/w) of carvacrol into alginate–apple puree films and found an
413 increased WVTR at higher temperatures. The present results are also consistent with those
414 of Kuorwel, Cran, Sonneveld, Miltz, and Bigger (2014) and Pruneda, Peralta-Hernández,
415 Esquivel, Lee, Godínez, and Mendoza (2008), who added natural agents and Mexican
416 oregano into starch-based and soy protein isolate films, respectively. The slight increase
417 of WVTR may be due to high levels of insoluble plant materials in RBP which are not
418 completely dispersed in the film-forming solution. In addition, the large agglomerates
419 from the RBP could create voids at the EVOH interface that inhibit the development of a
420 continuous layer during film casting, thereby resulting in a more porous structure which
421 could weaken the resultant films (Tran, Athanassiou, Basit, & Bayer, 2017).

422 **3.8. Mechanical Properties**

423 The mechanical properties of films are important to achieve their potential use as food
424 packaging, thus the YM, UTS and EAB parameters were measured. Only EVOH control
425 films and those containing the highest levels of RBP or RBE were taken into account
426 since the YM, UTS and EAB of the films remained relatively unchanged when RBE and
427 RBP were added as shown in Table S1. This may be due to the presence of soluble
428 compounds which do not interrupt the EVOH matrix despite the hydrogen bond
429 interactions (Aparicio-Fernández, Vega-Ahuatzin, Ochoa-Velasco, Cid-Pérez,
430 Hernández-Carranza, & Ávila-Sosa, 2018). These results are similar to those obtained by
431 Iahnke, Costa, De Oliveira Rios, and Flôres (2016) who studied the tensile strength of
432 films based on gelatin and beetroot residues and studies of extruded EVOH films have
433 also reported similar UTS values of 30-40 MPa (Peter, Kenyo, Renner, Krohnke, &
434 Pukanszky, 2014). It would be expected that films obtained by casting, such as those in
435 the present study, would have mechanical properties that are different to those obtained

436 by extrusion or other processes. However, casting is recommended in the case of
437 incorporating heat sensitive compounds such as betalains in order to prevent thermal
438 decomposition which can occur at relatively low temperatures (Herbach, Stintzing, &
439 Carle, 2006; Selig, Celli, Tan, La, Mills, Webley, et al., 2018).

440 **4. Conclusions**

441 Films based on EVOH containing red beet powder and extracts at different proportions
442 were successfully manufactured by a casting procedure. The red beet was found to
443 adequately disperse in the copolymer in both the powder and extract forms, without
444 detriment to the thermal stability or mechanical properties. The resulting films were also
445 slightly more crystalline, had significant antioxidant properties, and the modification to
446 the color of the films may potentially contribute to a higher resistance to UV light. The
447 water barrier properties were slightly reduced, particularly in the case of the powder form
448 of the additive, which could potentially be minimized by using these films in multilayer
449 formulations or coatings. Although the powdered form of the red beet contained some
450 insoluble components, there were no significant benefits obtained by incorporating the
451 extract form of the red beet when compared to the powdered form. The results show some
452 promise for food packaging applications where antioxidant activity is required with the
453 added benefit of barrier and UV properties.

454 **Acknowledgments**

455 This work was supported by the Ministerio de Educación, Cultura y Deporte by the
456 concession of a José Castillejo grant. Authors thank the Biology Service of Centro de
457 Investigación Tecnología e Innovación (CITIUS), Universidad de Sevilla, for technical
458 assistance.

459 **Declaration of interest.** None.

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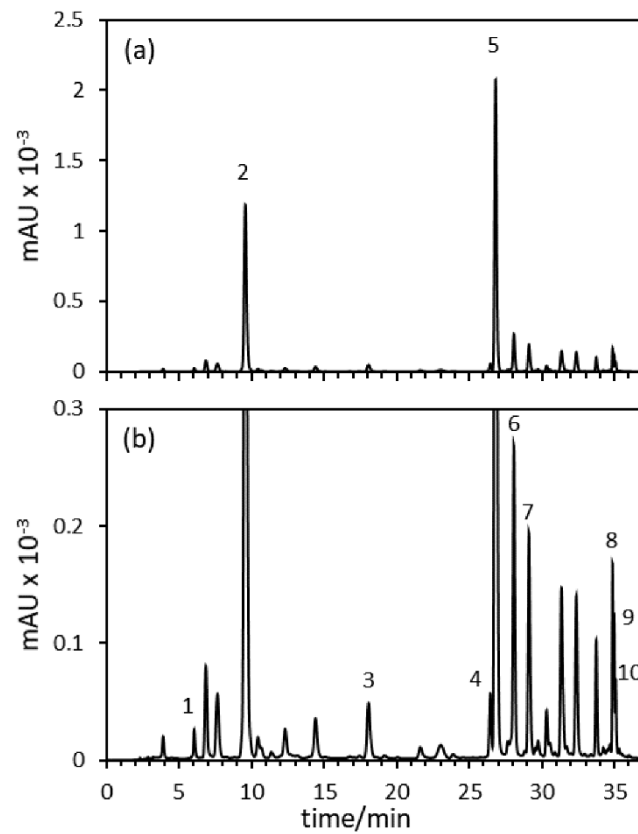
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589
590

591 **FIGURES**

592 **Fig. 1.** Chromatogram of betalains of RBE at 485 nm: betacyanins: betanin (5) and
593 isobetanin (6); betaxanthins derived from: histidine (muscaarin, 1), glutamine
594 (vulgaxanthin I, 2), aminobutyric acid (3), proline (indicaxanthin, 4), valine (7),
595 isoleucine (8), leucine (vulgaxanthin IV, 9), and phenylalanine (10).

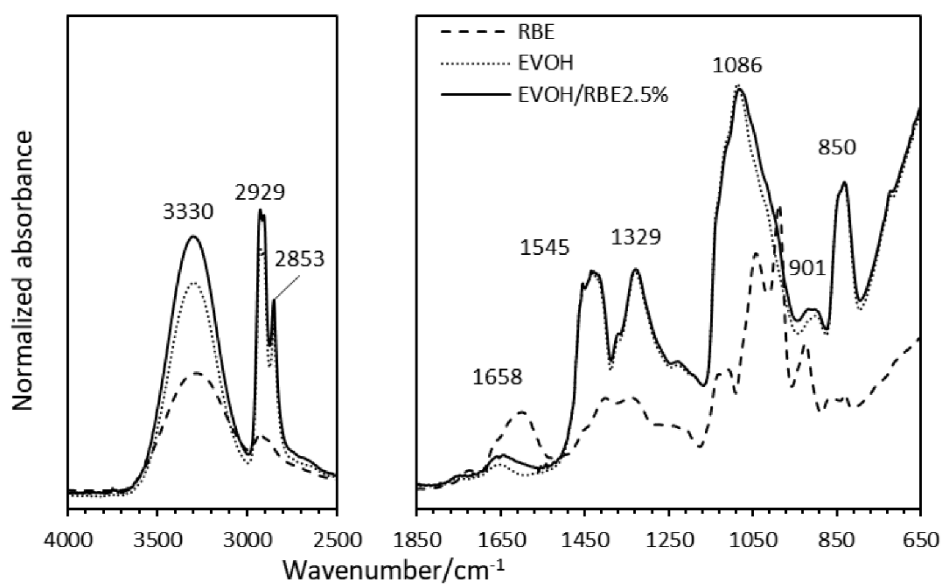
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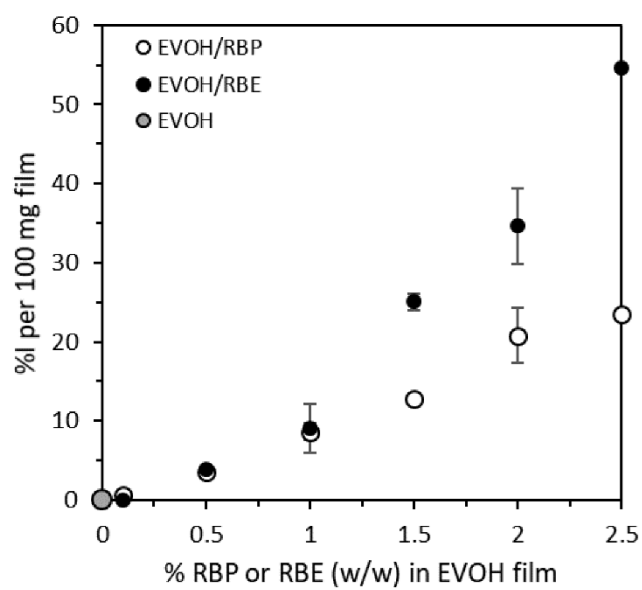
599 **Fig. 2.** FTIR-ATR spectra of EVOH control film, EVOH film containing 2.5% RBE, and
600 pure RBE.



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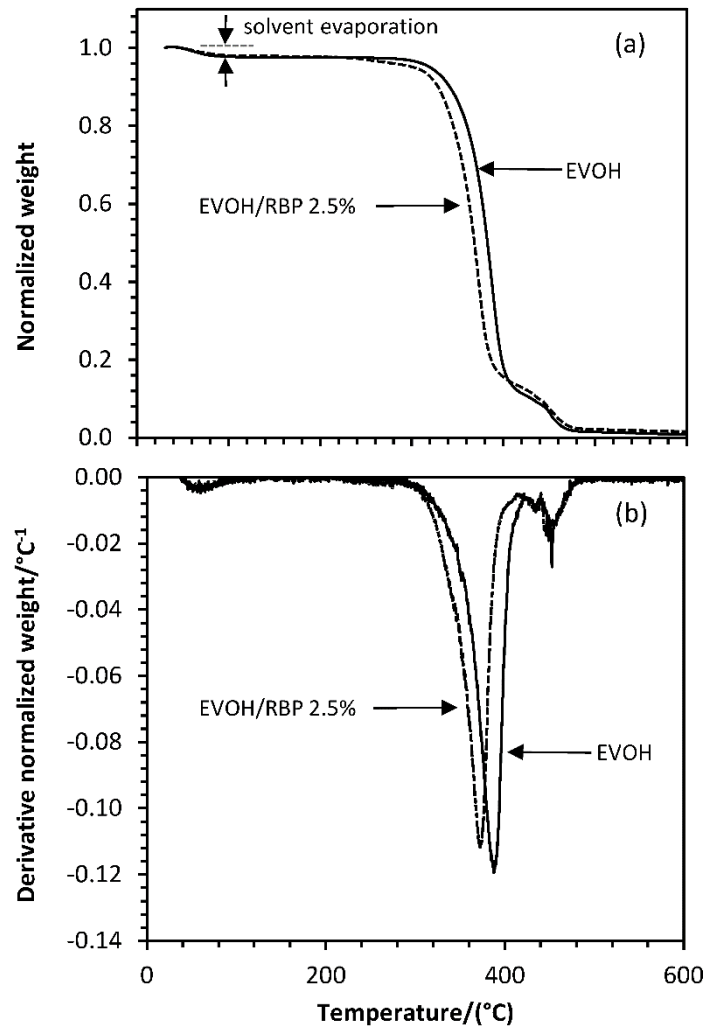
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603 **Fig. 3.** Percentage of AOX inhibition of EVOH control film and those containing different
604 proportions of RBP and RBE.



605

606 **Fig. 4.** TG thermograms of EVOH and EVOH film containing 2.5% (w/w) RBE: (a)
607 normalized mass-loss curves and (b) first derivative of mass-loss curves.

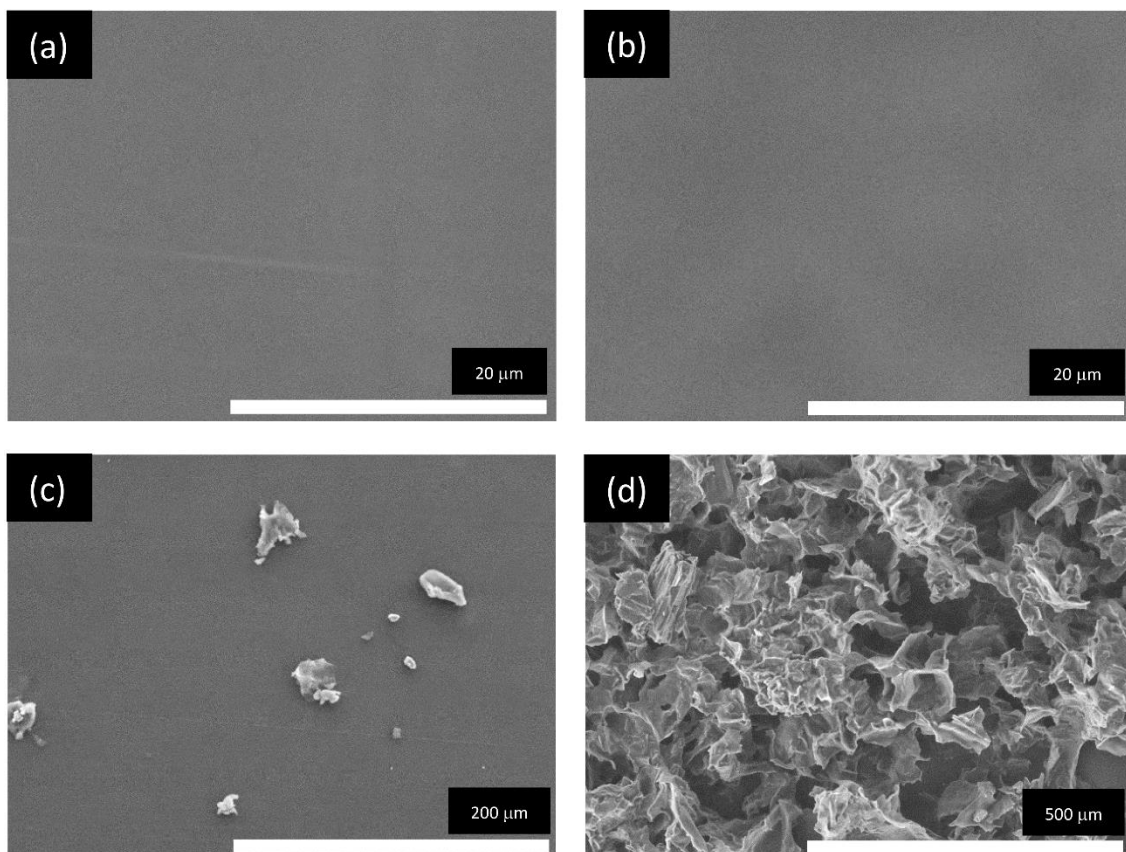


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610 **Fig. 5.** SEM images of the surfaces of: (a) EVOH film; (b) EVOH film containing 2.5%
611 (w/w) RBE; (c) EVOH film containing 2.5% (w/w) RBP; and (d) pure RBP.

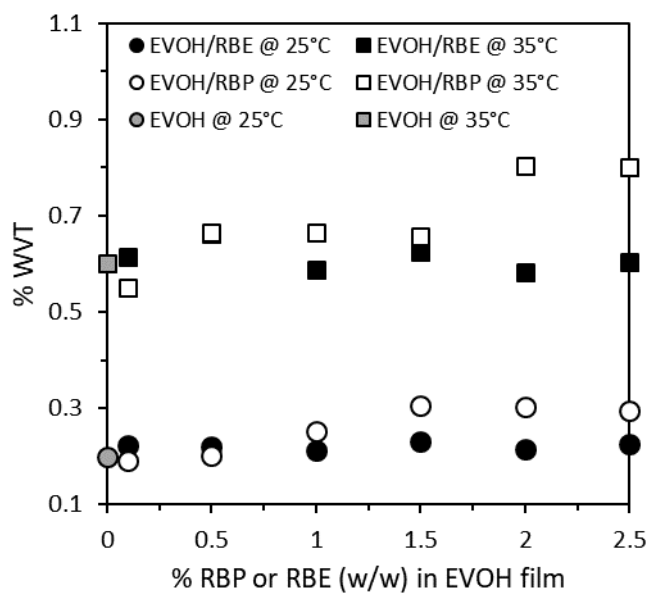
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615 **Fig. 6.** Water vapor transmission rate (WVTR) through EVOH films containing different
616 proportions of RBP and RBE.



617

Table 1. Colorimetric characteristics of pure EVOH film and EVOH films containing different proportions of RBP and RBE

	% RB	<i>L</i>*	<i>C</i>*_{ab}			<i>h</i>_{ab}		Transparency		Opacity	
EVOH	0.0	95.75 ± 0.09	bA	3.25 ± 0.04	aA	97.24 ± 1.06	aB	1165.8 ± 11.9	aA	0.15 ± 0.01	aA
EVOH/RBP	0.1	95.83 ± 0.09	AB	3.32 ± 0.10	A	98.27 ± 0.07	B	643.67 ± 7.07	BC	0.25 ± 0.01	BC
	0.5	95.44 ± 0.29	B	3.42 ± 0.08	AB	93.40 ± 0.41	B	644.00 ± 3.30	BC	0.25 ± 0.01	BC
	1.0	95.09 ± 0.43	B	3.25 ± 0.01	A	83.89 ± 8.33	B	531.41 ± 2.51	DEFG	0.32 ± 0.01	DEFG
	1.5	94.98 ± 0.17	B	3.13 ± 0.05	A	79.53 ± 3.95	A	544.17 ± 9.05	DEF	0.30 ± 0.01	DEFG
	2.0	95.39 ± 0.11	B	3.20 ± 0.03	A	83.77 ± 1.75	B	549.43 ± 1.21	DEF	0.29 ± 0.00	DEFG
	2.5	94.85 ± 0.25	A	3.16 ± 0.12	A	80.81 ± 5.25	A	517.89 ± 1.58	G	0.29 ± 0.01	DEFG
EVOH/RBE	0.1	95.53 ± 0.25	b	3.29 ± 0.00	a	98.18 ± 1.14	a	961.00 ± 2.83	b	0.17 ± 0.00	b
	0.5	95.42 ± 0.01	b	3.44 ± 0.00	b	95.46 ± 1.35	ab	720.19 ± 1.86	c	0.22 ± 0.00	c
	1.0	95.21 ± 0.13	b	3.53 ± 0.14	b	90.07 ± 2.42	bc	637.17 ± 3.54	def	0.24 ± 0.01	def
	1.5	95.12 ± 0.07	b	3.64 ± 0.05	bc	87.81 ± 1.44	c	630.83 ± 1.18	def	0.23 ± 0.00	cdef
	2.0	95.02 ± 0.20	a	3.71 ± 0.10	bc	84.40 ± 2.20	c	623.48 ± 3.23	def	0.25 ± 0.01	def
	2.5	94.63 ± 0.21	a	3.98 ± 0.10	c	76.67 ± 2.14	d	580.93 ± 9.58	g	0.29 ± 0.00	g

Different upper-case and lower-case letters in the same column denote significant differences according to Tukey test ($p < 0.05$) between EVOH and EVOH/RBP, and EVOH/RBE, respectively.

Table 2. Thermal parameters measured by DSC of pure EVOH film and EVOH films containing different proportions of RBP and RBE.

	% RB	$T_m/^\circ\text{C}$	Endotherm melting widths/ $^\circ\text{C}$	$\Delta H_m/\text{J g}^{-1}$		% X_c	
EVOH	0.0	169.31 ± 8.07	155.50 - 176.18	57.39 ± 11.99	aA	41.90 ± 0.24	aA
EVOH/RBP	0.1	172.61 ± 12.2	153.98 - 180.05	62.92 ± 2.13	A	39.87 ± 1.35	A
	0.5	180.22 ± 7.35	169.26 - 188.16	88.59 ± 7.42	A	56.14 ± 4.70	A
	1.0	176.92 ± 4.90	160.29 - 183.98	76.75 ± 6.96	A	49.86 ± 2.68	A
	1.5	174.85 ± 4.74	159.29 - 181.40	94.53 ± 14.8	B	59.90 ± 9.37	B
	2.0	175.78 ± 3.77	160.97 - 182.61	94.33 ± 4.74	B	59.78 ± 3.01	B
	2.5	172.01 ± 0.86	153.20 - 178.16	93.22 ± 6.65	B	59.07 ± 4.21	B
EVOH/RBE	0.1	173.37 ± 1.77	156.00 - 180.66	92.87 ± 6.26	b	58.85 ± 3.97	a
	0.5	180.10 ± 0.29	172.18 - 184.63	93.47 ± 3.06	B	59.23 ± 1.94	a
	1.0	174.12 ± 2.16	155.78 - 181.36	96.68 ± 0.84	B	61.26 ± 0.53	b
	1.5	168.64 ± 9.18	146.72 - 175.95	109.22 ± 14.6	b	69.21 ± 9.22	b
	2.0	161.96 ± 5.61	143.64 - 172.40	95.36 ± 3.97	b	60.43 ± 2.51	b
	2.5	174.83 ± 1.34	160.09 - 180.31	102.8 ± 10.0	b	65.11 ± 6.34	b

Different upper-case and lower-case letters in the same column denote significant differences according to Tukey test ($p < 0.05$) between EVOH and EVOH/RBP, and EVOH/RBE, respectively.

Table 3. Onset and endset temperatures and apparent activation energy, E_a , obtained using TG analysis of pure EVOH films and EVOH films containing different proportions of RBP and RBE.

	%RB	Onset T^a/°C		Endset T^a/°C		E_a/kJ mol⁻¹	
EVOH	0.0	363.07 ± 0.03	b	395.10 ± 0.18	b	175.00 ± 8.49	bA
EVOH/RBP	0.1	368.50 ± 1.99		404.92 ± 4.53		187.00 ± 4.24	
	0.5	364.81 ± 0.65		395.86 ± 1.99		184.00 ± 15.6	
	1.0	367.62 ± 0.93		397.74 ± 3.12		197.00 ± 9.90	
	1.5	371.06 ± 1.21		391.61 ± 0.40		204.00 ± 20.0	
	2.0	363.93 ± 6.05		391.32 ± 7.67		204.50 ± 12.0	B
	2.5	365.99 ± 0.87		395.75 ± 5.55		191.00 ± 18.4	
EVOH/RBE	0.1	368.28 ± 1.60	b	405.79 ± 0.81	b	186.50 ± 7.78	
	0.5	364.85 ± 1.05	b	401.15 ± 0.51	b	160.50 ± 13.4	
	1.0	362.18 ± 4.44	b	399.73 ± 4.92	b	156.50 ± 9.19	
	1.5	344.18 ± 18.8	b	384.56 ± 13.1	b	155.50 ± 17.7	
	2.0	347.63 ± 0.26	a	384.08 ± 0.52	a	164.00 ± 1.41	
	2.5	346.93 ± 0.37	a	387.70 ± 2.59	a	151.50 ± 7.78	a

Different upper-case and lower-case letters in the same column denote significant differences according to Tukey test ($p < 0.05$) between EVOH and EVOH/RBP, and EVOH/RBE, respectively.

Table S1. Mechanical properties of pure EVOH film and EVOH films containing 2.5% (w/w) RBP and RBE.

	YM/GPa	UTS/MPa	EAB/%
EVOH	1.50 ± 0.16	28.5 ± 1.5	20.9 ± 1.5
EVOH/RBP	1.13 ± 0.21	24.7 ± 1.4	24.0 ± 1.6
EVOH/RBE	1.34 ± 0.19	24.9 ± 6.9	16.2 ± 1.9