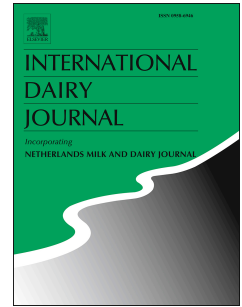


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Occurrence and identification of spore-forming bacteria in skim-milk powders

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1 **Occurrence and identification of spore-forming bacteria in skim-milk powders**

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26

27 ABSTRACT

28

29 The different customer and regulatory specifications for mesophilic and thermophilic aerobic  
30 and anaerobic spore numbers in skim-milk powder, in addition to some specifications on  
31 specific spore-forming bacteria, such as *Bacillus cereus*, can be challenging for the industry  
32 to meet. Twenty-two samples of medium-heat skim-milk spray-dried powder from eight  
33 sources were analysed in triplicate with 16 bacterial and spore enumeration tests to  
34 understand the variety of spore-forming bacteria population. Using 16S rDNA sequencing,  
35 the species were identified for 269 isolates that were representative of the various tests. Of  
36 the isolates identified, 68% were *Bacillus licheniformis*, a facultative anaerobe that can  
37 survive and grow at mesophilic and thermophilic temperatures, making it difficult to  
38 eliminate in manufacturing environments. Using whole genome sequencing, 16 of 23 isolates  
39 identified as *B. licheniformis* by 16S sequencing were confirmed as *B. licheniformis*, four  
40 were identified as *Bacillus paralicheniformis* and three were identified as *Bacillus* sp. H15-1.

41

42

## 43 1. Introduction

44

45 Ireland is one of the leading countries, per capita, in milk and dairy production. From  
46 the Bord Bia (Irish Food Board) annual report of Export Performance and Prospects in 2018,  
47 the value of dairy products and ingredients exports accounted for one third of the all export  
48 categories and were worth more than €4 billion. The safety of dairy products is pivotal in  
49 maintaining the reputation of food and guaranteeing the safety of end users and consumers. In  
50 terms of microbiological hazards, the threat of bacterial spores in dairy powders has been a  
51 cause of concern for many years. Bacterial spores that are generated by some species, known  
52 as spore-forming bacteria, which can in some instances be pathogenic, are multilayer-  
53 structured dormant endospores that can survive extreme environmental stresses such as  
54 desiccation, high pressure, high and low temperatures, UV radiation, and chemical stress  
55 (Setlow & Johnson, 2013).

56 Bacterial spores can survive heating processes, such as most commonly used  
57 pasteurisation during milk powder production, and some can even survive ultra-high  
58 temperature (UHT) processing, which may lead to spoilage and potential food poisoning.  
59 *Bacillus* spp. and *Clostridium* spp. are two of the most often reported spore forming bacteria  
60 found in dairy products, associated with food poisoning (Doyle et al., 2015; Gopal et al.,  
61 2015; Kumari & Sarkar, 2016; Smelt, Stringer, & Brul, 2013). For example, *Bacillus* spp. or  
62 *Clostridium* spp. can produce heat stable protein enterotoxins that lead to diarrhoeal and  
63 emetic syndromes (Freedman, Shrestha, & McClane, 2016; Pellett et al., 2016).

64 Depending on the heat treatment, and incubation temperature applied during the  
65 microbial analysis, spore counting methods can be classified as total spore count (TSC,  
66 spores are heat-treated at 80 °C for 12 min), highly heat resistant (HHR) spore count (spores  
67 are heat-treated at 100 °C for 30 min) and/or as psychrotrophic, mesophilic and thermophilic  
68 spore count, for which spores are incubated (aerobically or anaerobically) at 6 °C for 10 days,

69 30 °C for 48 h and 55 °C for 48 h, respectively (Evelyn & Silva, 2015; Kent, Chauhan, Boor,  
70 Wiedmann, & Martin, 2016; Miller, Kent, Boor, Martin, & Wiedmann, 2015a; Sadiq et al.,  
71 2016). Because of the lack of standardisation of conditions such as temperature, time, media  
72 combinations and atmosphere used in spore tests, there are many different methods that  
73 laboratories use, depending mainly on customer specifications for products. This leads to  
74 complexity in comparison of the scientific results of spore studies published internationally  
75 and in communication of results.

76 Spores and spore-forming bacteria are ubiquitous in the environment and can easily  
77 enter the food chain from farm level. Studies have shown that the occurrence of spores or  
78 spore-forming bacteria in raw milk potentially links to the spore levels and type in finished  
79 products (Burgess, Lindsay, & Flint, 2010; Doyle et al., 2015; Gupta & Brightwell, 2017;  
80 Masiello et al., 2017). Spore forming bacteria have been reported in bulk-tank raw milk and  
81 in dairy powders. *Bacillus licheniformis* and *Bacillus pumilus* have been reported as the two  
82 most commonly identified species in the bulk-tank raw milk at a combined total of 57% of all  
83 595 spore isolates from 33 farms in the United States (Miller et al., 2015b). In The  
84 Netherlands, spores of *Clostridium tyrobutyricum* and *Clostridium beijerinckii* were  
85 positively identified in 60% of 96 farm tank milk samples and 40% of the samples were  
86 positive for *Paenibacillus* spp. (Driehuis, Hoolwerf, & Rademaker, 2016). In another study  
87 (Kent et al., 2016), a total of 55 raw material samples including raw milk, cheese whey and  
88 condensed milk were positive at a rate of 100, 98, 80 and 84% for mesophilic total spore  
89 count, thermophilic total spore count, mesophilic HHR spore count and thermophilic HHR  
90 spore count, respectively. From the 326 bacteria isolates from that survey work, *Bacillus* spp.  
91 and *Geobacillus* spp. were the two of most frequently identified genera accounting for 81%  
92 and 9% of total isolates collected, respectively.

93 In the processing plant, the spore population can be affected by the raw milk and by  
94 processes applied to the materials including heating, holding-time, and packaging, some of

95 which can facilitate increasing numbers, or biofilm formation. Heating processes such as  
96 pasteurisation, UHT treatment or spray-drying may trigger spore germination (Hanson,  
97 Wendorff, & Houck, 2005; Ranieri, Huck, Sonnen, Barbano, & Boor, 2009; Setlow, 2014),  
98 leading to out-growth and occurrence of spore-forming bacteria in the processing  
99 environment. These spore-forming vegetative cells can be induced into the spore state if the  
100 conditions become unfavourable. Additionally, direct contact of product with processing  
101 surfaces or packaging material may further contaminate the products with spore reservoirs  
102 (Kumari & Sarkar, 2014).

103 In dairy powders, *Bacillus* spp. (56.4%), *Geobacillus* spp. (19.8%) and *Anoxybacillus*  
104 spp. (17.3%) were the predominant spore genera (Miller et al. 2015b). In a study of non-fat  
105 dry milk powders (skim milk powder), collected from three processing plants the average  
106 spore count level in all the samples was  $3.24 \pm 0.09 \log \text{cfu g}^{-1}$  (Buehner, Anand, & Djira,  
107 2015). In that study, *B. licheniformis* was the most common species, at 63% of the 60 isolates  
108 identified.

109 The quality of milk and dairy products are monitored by many microbiological  
110 criteria related to spores or spore-forming bacteria. At a European level the Commission  
111 Regulation No 2073/2005 (EC, 2005) states that the presumptive identification of *Bacillus*  
112 *cereus* should be in the range of  $50 \text{cfu g}^{-1}$  to  $500 \text{cfu g}^{-1}$  in dried infant formulae and dried  
113 dietary foods for special medical purposes intended for infants. The United States Dairy  
114 Export Council has defined rules, linked to international customer specifications on  
115 mesophilic and thermophilic spore counts of less than  $1000 \text{cfu g}^{-1}$  and less than  $500 \text{cfu g}^{-1}$   
116 in dairy powders respectively, that are destined for use in infant milk formulae (Watterson,  
117 Kent, Boor, Wiedmann, & Martin, 2014). For anaerobic spores, the International  
118 Commission on Microbiological Specifications for Foods (ICMSF) concluded that sulphite-  
119 reducing clostridia (SRC) should be limited to under  $100 \text{cfu g}^{-1}$  in dried dairy ingredients

120 used in powdered infant formula, indicating adequate microbiological hurdles have been  
121 applied in the process and good hygiene practice observed (ICMSF, 2013).

122 The objective of this study was to characterise spores and spore-forming bacteria  
123 population in Irish skim-milk powder (SMP) and to identify the different species of spores  
124 and heat tolerant bacteria using 16S sequencing and whole genome sequencing (WGS).

125

## 126 **2. Materials and methods**

127

### 128 *2.1. Samples*

129

130 Twenty-two medium heat skim milk powder samples were obtained from 8 different  
131 sources in Ireland. Samples that were outside bacterial specifications had not entered the  
132 commercial market. All samples were manufactured in autumn 2016, and obtained from  
133 October 2016 to January 2017 for testing. The samples were stored at ambient temperature  
134 for 6 to 9 months, away from light, in air-tight packaging before testing.

135

### 136 *2.2. Sample preparation for analysis*

137

138 Independent triplicate 25 g sub-samples of each powder sample were aseptically  
139 weighed and transferred to sterile bags. Following that, 225 g of sterile distilled water was  
140 added and the bag was left for 20 min at 18–22 °C. The bag was then gently mixed by hand  
141 to obtain a homogeneous solution.

142

### 143 *2.3. Methods for microbial enumeration*

144

145 The samples were tested by sixteen microbial methods, as detailed in Table 1. As  
146 required by the individual tests, various heat treatments of different combinations of time and  
147 temperature were applied to the prepared sample solutions. For thermoduric bacteria, the  
148 samples were heated at 63.5 °C for 35 min. For spore count and highly heat resistant (HHR)  
149 spore count, the samples were heat-treated at 80 °C for 10 min and at 100 °C for 30 min,  
150 respectively. From each duplicate sample, 1 mL of sample or an appropriate serial dilution  
151 was pour-plated in duplicate on the appropriate agar for the test, except for presumptive  
152 *Bacillus cereus* group bacteria using BACARA™ agar (Biomerieux, Marcy-l'Étoile, France),  
153 for which 3 × 0.33 mL of each duplicate sample was spread on the plates. Tryptic soy agar  
154 (TSA; Becton Dickinson, New Jersey, US) was used for all bacterial analyses, except that  
155 plate count skimmed milk agar (PCSMA; Merck, New Jersey, US) was used for aerobic and  
156 non-specific anaerobic spore tests and iron sulphite agar (ISA; Thermo Fisher Scientific,  
157 Massachusetts, US) was used to detect SRCs. The agar plates were incubated at the required  
158 time, temperature and atmosphere conditions, whereby; anaerobic incubation was achieved  
159 using anaerobic jars with Anaerocult (Merck). After incubation, all the colonies on a plate  
160 were counted; when there were no colonies present the result was reported as < 1 log cfu mL<sup>-1</sup>.  
161 The results were expressed as cfu mL<sup>-1</sup> of reconstituted skim milk powder. Spreading  
162 colonies were counted as single colonies if less than one quarter of the agar surface was  
163 covered; if more than one quarter of the agar surface was covered, the result was discarded.

164

#### 165 2.4. Isolation and purification of colonies

166

167 Three colonies of variable morphology, where possible, from each microbial test,  
168 were isolated and purified. For purification, bacterial isolates were aseptically streaked onto  
169 TSA plates which were incubated for 18 h at the temperature of isolation. A single colony  
170 was aseptically transferred, using a 10 µL loop, into 10 mL of tryptic soy broth (TSB; Becton



171 Dickinson) which was incubated for 18 h at the temperature of isolation. Two mL of the  
172 growth culture were centrifuged using a benchtop centrifuge at 14,000 rpm for 1 min and the  
173 supernatants were discarded. The pellet was resuspended in cryovial solution using  
174 Cryoinstant tubes 822075ZA (VWR, Pennsylvania, US) and cryovials were frozen at  $-20^{\circ}\text{C}$ .

175

#### 176 2.5. *DNA extraction*

177

178 For each of the 285 isolates selected, a cryobead was added aseptically to 10 mL of  
179 brain heart infusion (BHI) broth and incubated for 18 h at the temperature of isolation. DNA  
180 was extracted from 2 mL of bacterial culture using the Qiagen DNeasy UltraClean Microbial  
181 Kit (Qiagen, Venlo, Netherlands), as per manufacturer's instructions. DNA purity and  
182 concentration were measured using a Biodrop  $\mu\text{LITE}^{\text{TM}}$  (Novex Electrophoresis GmbH,  
183 Heidelberg, Germany). The extracted DNA from each isolate was stored in 1.5 mL micro  
184 tubes (SARSTEDT, Nümbrecht, Germany) at  $-20^{\circ}\text{C}$  and analysed within 3 months.

185

#### 186 2.6. *16S rDNA sequencing*

187

188 Based on representing an even distribution of the source and test method, 285  
189 bacterial isolates were selected for 16S sequencing. 16S rDNA sequencing was used for the  
190 primary species identification. The National Institutes of Health (Maryland, US) primer set  
191 357F/926R (357F - CCTACGGGAGGCAGCAG, 926R - CCGTCAATTCMTTTRAGT)  
192 was used to amplify the bacterial 16S rRNA V3-V5 region (Sim et al., 2012). The amplicon  
193 size was 570 kb. Partial 16S rDNA sequences were determined by Sanger sequencing  
194 (Fellner & Sanger, 1968). Genetic database searching was performed using BLAST  
195 (<https://blast.ncbi.nlm.nih.gov/Blast.cgi>). Where the species is given, the top three 'hits' for  
196 species identification were the same. BioNumerics software version 7.6 (Applied-Maths,

197 BioMérieux Marcy-l'Étoile, France) was used to study the relationship between the closest  
198 known species from the BLAST search.

199

## 200 2.7. Whole genome sequencing

201

202 From the 16S results, 24 *B. licheniformis* strains were selected for whole genome  
203 sequencing (WGS) as this was the predominant species identified and to confirm the  
204 identification. The 24 strains selected represented an even distribution of the source and test  
205 method.

206 For WGS, quantification of genomic DNA was performed using a Qubit 2.0  
207 fluorometer (Invitrogen, CA, USA), with the Qubit dsDNA HS assay (ThermoFisher  
208 Scientific) according to the supplier's instructions. A 0.2 ng  $\mu\text{L}^{-1}$  DNA solution was prepared  
209 using molecular grade water. Library preparation tagmentation, library amplification and  
210 clean-up were performed with the Nextera® XT DNA sample preparation kit (Illumina, CA,  
211 USA). Subsequent quantification of the library was done by means of the Qubit dsDNA HS  
212 assay on the Qubit 2.0 fluorometer. Library size distribution and quality were assessed with  
213 the 2200 TapeStation (Agilent Technologies, CA, USA). A manual library normalisation was  
214 performed and pooling of the libraries was done with 5  $\mu\text{L}$  of each 2.0 nM normalised library.  
215 Subsequently, 600  $\mu\text{L}$  of a 12 pM library was made with a 1% PhiX control spike-in.  
216 Sequencing was done on an Illumina MiSeq with the v3 chemistry using 2 $\times$  300 bp paired-  
217 end reads.

218

## 219 2.8. WGS data analysis

220

221 The species was predicted from raw read data using Kmerfinder  
222 (<http://cge.cbs.dtu.dk/services/KmerFinder>). A dendrogram was constructed in Bionumerics

223 7.6 using standard algorithm with Unweighted Pair Group Method with Arithmetic Mean  
224 (UPGMA).

225 Raw sequencing data was assembled by Bionumerics Power Assembler, and  
226 assemblies were submitted to Nucleotide BLAST  
227 ([https://blast.ncbi.nlm.nih.gov/Blast.cgi?PROGRAM=blastn&PAGE\\_TYPE=BlastSearch&L](https://blast.ncbi.nlm.nih.gov/Blast.cgi?PROGRAM=blastn&PAGE_TYPE=BlastSearch&LINK_LOC=blasthome)  
228 [INK\\_LOC=blasthome](https://blast.ncbi.nlm.nih.gov/Blast.cgi?PROGRAM=blastn&PAGE_TYPE=BlastSearch&LINK_LOC=blasthome)) with toxin genes, including *lchAA*, *lchAB*, *lchAC* from *B.*  
229 *licheniformis*, *lchAA* from *B. licheniformis* DSM 13, *lchAB* from *B. licheniformis* DSM 13,  
230 *lchAC* from *B. licheniformis* DSM 13, *cesA* and *cesB* from *B. cereus*. The toxin gene  
231 sequences were acquired from the European Nucleotide Archive (<https://www.ebi.ac.uk/ena>).  
232 The results of toxin gene identity values were inputted to GraphPad Prism 7.02 to generate a  
233 distribution graph of toxin genes in the sequenced strains.

## 235 2.9. Statistical analysis

237 The spore counts from each sample were converted to log cfu mL<sup>-1</sup> using Excel. The  
238 average and standard deviation of the values at each sampling point were calculated and  
239 graphed using GraphPad Prism. Where the numbers were below the detection limit an  
240 arbitrary value of 0 log cfu mL<sup>-1</sup> was applied.

241 The results of the bacterial and spore numbers were analysed using IBM SPSS  
242 Statistics (New York, NY, USA) to generate a Boxplot for each test method, except for the  
243 presumptive *B. cereus* group on BACARA plates as the numbers were too low for statistical  
244 analysis (see Supplementary material Fig. S1). In the figures, each rectangular box consists of  
245 the median (as a horizontal line), the 25<sup>th</sup> and 75<sup>th</sup> percentile (as the lower and upper lines of  
246 the rectangle, respectively), and the maximum and minimum values as whiskers. The  
247 boxplots give more detail on the variation of the bacterial and spore counts between the  
248 different samples.

249

250 **3. Results**

251

252 *3.1. Microbial enumeration*

253

254 No psychrotrophic spores were detected. From Fig. 1, the range of TBC was from <1  
255 (in samples 12, 21 and 22) to 3.15 log cfu mL<sup>-1</sup> except for samples 17 and 18, which were not  
256 tested. For thermophilic bacteria, the results ranged from <1 (samples 4, 12, 21 and 22) to  
257 2.96 log cfu mL<sup>-1</sup> (sample 6), while for thermophilic bacteria, the range was from <1 (sample  
258 2) to 3.00 log cfu mL<sup>-1</sup> (samples 14 and 16). For values on the x-axis which were below the  
259 detection limit, an arbitrary value of 0 cfu mL<sup>-1</sup> was applied. In sample 13, 14 and 16,  
260 thermophilic bacteria were significantly ( $p < 0.05$ ) higher than the TBC and thermophilic  
261 bacterial numbers. Sample 2 had the highest TBC in all tested samples, exceeding 3 log cfu  
262 mL<sup>-1</sup>.

263 Spores were detected in all the powders, but the spore type and numbers varied (Fig.  
264 2). Samples 13–18, which were all from the same source, were the highest for thermophilic  
265 anaerobic spores, with numbers ranging from 2.5 to 3.0 log cfu mL<sup>-1</sup>. For bacterial spores in  
266 Fig. 2, mesophilic aerobic spores ranged from <1 to 2.47 log cfu mL<sup>-1</sup>, thermophilic aerobic  
267 spores from <1 to 2.91 log cfu mL<sup>-1</sup>, mesophilic anaerobic spores from <1 to 2.31 log cfu  
268 mL<sup>-1</sup> for, and thermophilic anaerobic spores from <1 to 3.00 log cfu mL<sup>-1</sup>. In sample 2 and  
269 samples 13–17, thermophilic aerobic or anaerobic spores were 1.5 to 2 log higher than the  
270 numbers of other bacterial spores for which tests were undertaken. For samples 17–22, not all  
271 bacterial spore and SRC tests were done on the powders due to insufficient sample material  
272 being available for testing. The highest value of mesophilic SRC was 1.19 log cfu mL<sup>-1</sup> in  
273 sample 8, while for thermophilic SRCs, was 1.16 log cfu mL<sup>-1</sup> in both sample 6 and sample 7.  
274 For mesophilic SRC spores, all the results were below the detection limit except for sample 3,

275 8, 11, 12 and 13. For thermophilic SRC, spores were detected in sample 5, 6 and 7, with all  
276 other tested samples <1 cfu. For values on the x-axis which were below the detection limit,  
277 an arbitrary value of  $\log 0 \text{ cfu mL}^{-1}$  was applied.

278 The results of different high heat resistant spore tests are shown in Fig. 3. Samples  
279 13–17 had the highest counts for thermophilic aerobic spores and sample 18 had high  
280 thermophilic anaerobic HHR spores.

281 The minimum and maximum counts observed for HHR spores were <1 to 2.07  $\log \text{ cfu}$   
282  $\text{mL}^{-1}$ , for mesophilic aerobic HHR spores, 0.18 to 2.77  $\log \text{ cfu mL}^{-1}$  for thermophilic aerobic  
283 HHR spores, <1 to 2.13  $\log \text{ cfu mL}^{-1}$  for mesophilic anaerobic HHR spores and 0.22 to 2.90  
284  $\log \text{ cfu mL}^{-1}$  for thermophilic anaerobic HHR spores. For samples 17–22, not all HHR spore  
285 tests were completed on the powders due to insufficient sample being available. For values  
286 on the x-axis which were below the detection limit, an arbitrary value of  $\log 0 \text{ cfu mL}^{-1}$  was  
287 applied.

288 For samples 13–18, all of which were obtained from the same source, the results were  
289 different from other samples. The thermophilic bacteria results were the highest among other  
290 tests in Fig. 1 (some data were missing due to the limited powder availability), where  
291 thermophilic anaerobic bacteria spore results were the highest in Fig. 2 and thermophilic  
292 anaerobic HHR spores in Fig. 3.

293 In this study, BACARA was used as a selective medium to determine presumptive *B.*  
294 *cereus*. From a total of 20 isolates collected from different colony morphologies on  
295 BACARA plates, 5 presumptive *B. cereus* group isolates with typical pink to orange colonies  
296 surrounded by an opaque halo, were identified as *B. cereus* group using 16S rDNA  
297 sequencing, and the remaining 15 isolates with different morphology without a halo growing  
298 on agar surface were identified using 16S rDNA sequencing as *Lysinibacillus* spp.,  
299 *Enterococcus* spp. and *Bacillus coagulans*.

300 The detection of SRCs was carried out on ISA plates under anaerobic conditions. The  
301 growth of both mesophilic and thermophilic SRCs was observed as typical black colonies on  
302 the plates. From all the 22 positive plates, a total of 142 SRC colonies were counted and 8  
303 isolates were obtained from ISA plates identified by 16S rDNA sequencing.

304 The results of bacterial and spore counts for all the powders from 13 tests are shown  
305 as box-plots, giving more detailed information (Supplementary material Fig. S1). The  
306 greatest variation was observed for thermophilic anaerobic bacterial spores, ranging from <1  
307 to 3.00 log cfu mL<sup>-1</sup>, while the highest log median value was for thermophilic bacteria at 2.15  
308 log cfu mL<sup>-1</sup>.

309

### 310 3.2. 16S sequencing results

311

312 Using the 16S sequencing method, the species was identified for 269 of the isolates.  
313 The remaining 16 isolates could not be identified from the sequences obtained. The isolates  
314 identified were predominantly *Bacillus licheniformis* (68% of total identified isolates), 16%  
315 of the isolates were other *Bacillus* species including *B. cereus* group, *B. coagulans* and other  
316 *Bacillus* species, 5% were *Clostridia* spp., 3% were *Geobacillus* spp., 2.6% were  
317 *Lysinibacillus* spp., 1.8% were *Brevibacillus* spp., 1.5% were *Enterococcus* spp., 1.3% were  
318 *Anoxybacillus* spp., and less than 1% were *Aneurinibacillus* species (Fig. 4).

319 A total of 183 *B. licheniformis* isolates were identified using 16S sequencing,  
320 including isolates from most of the methods described previously. Fig. 5 shows a pie-chart of  
321 the % of the 183 *B. licheniformis* 16S-sequenced isolates obtained from the different  
322 microbiological methods used. There were 121 of the *B. licheniformis* isolates from spore  
323 methods, 77 of which were mesophilic spore-formers (38 isolates were from HHR aerobic or  
324 anaerobic spore methods), 44 were thermophilic spore-formers (22 isolates were from the  
325 HHR aerobic spore method). For bacterial methods, 2 *B. licheniformis* (that were not typical

326 colonies) were isolated from BACARA plates, 7 were from TBC, 27 were from thermophilic  
327 bacterial tests, and 22 were from thermoduric bacterial tests.

328 Thirteen isolates of *B. cereus* group were identified by 16S sequencing, 4 from  
329 thermoduric bacterial tests, 4 from mesophilic aerobic spore tests and 5 were from typical  
330 colonies on BACARA agar plates. There were no *B. cereus* group isolates from thermophilic  
331 methods. Six of 11 *Bacillus coagulans* were identified from anaerobic tests. The majority of  
332 these isolates came from spore tests on non-selective media (i.e., TSA and PCSMA), mostly  
333 under thermophilic conditions but one was collected from a BACARA agar plate. Seventeen  
334 isolates from all aerobic tests were identified as *Bacillus* spp.

335 From ISA and other tests, fourteen *Clostridium* spp. isolates were identified. Five  
336 isolates were from mesophilic anaerobic spore tests and eight were from mesophilic SRC  
337 tests. The remaining one was isolated from BACARA plate. Nine *Geobacillus* spp. isolates of  
338 were identified, eight from thermophilic tests (including HHR, aerobic and anaerobic tests).  
339 The seven *Lysinibacillus* spp. isolates were all obtained from BACARA agar plates and the  
340 four *Anoxybacillus* spp. were isolated from thermophilic methods. Four *Enterococcus* spp.  
341 were isolated, two from BACARA agar, one from thermoduric bacteria and the other was  
342 isolated from TBC.

343

### 344 3.3. WGS results

345

346 A set of 24 16S sequencing-identified *B. licheniformis* isolates were selected for WGS  
347 to confirm the 16S sequencing results. One strain was discarded due to the lack of valid  
348 sequencing information. The remaining 23 isolates were identified as *B. licheniformis* (16  
349 isolates), 4 as *Bacillus paralicheniformis* and three as *Bacillus* sp. H15-1 (Fig. 6). This shows  
350 the relationship between the species identified. Green partitions indicate *B. licheniformis*, red  
351 partitions indicate *B. paralicheniformis* and purple partitions are for *Bacillus* sp. H15-1. From

352 the Fig. 6, the relationship between *B. licheniformis* and *B. paralicheniformis* is more distant  
353 than the relationship between *B. licheniformis* and *Bacillus* sp. H15-1.

354 The presence of a total of eight toxin genes, related to lichenysin synthetase in *B.*  
355 *licheniformis* and cereulide synthetase in *B. cereus*, was assessed in the whole genome  
356 sequenced strains. A distribution graph of toxin gene identity against sequenced strains is  
357 presented in Supplementary material Fig. S2. Four *B. paralicheniformis* strains (numbers 2,  
358 14, 15 and 16) were distinguishable from the other isolates. These four stains showed a  
359 higher identity (>95%) in *lchAA*, *lchAB*, *lchAC*, and a lower percentage (<95%) in  
360 *lchAA\_DSM13*, *lchAB\_DSM13* and *lchAC\_DSM13* compared with other isolates. The genes  
361 *cesA* and *cesB* were not detected in any of the isolates.

362

#### 363 4. Discussion

364

365 This study was a screening of Irish skim milk powders for various microorganisms in  
366 using different test methods. *B. licheniformis* was identified by 16S rDNA sequencing as the  
367 most common spore-forming bacteria. If a greater number of colonies were selected from  
368 each agar plate, or if different media or incubation conditions were used, it is possible that the  
369 variety of organisms may have been different. Similar results where *B. licheniformis* was a  
370 commonly isolated spore-forming bacterium from dairy products have been reported  
371 (Buehner, Anand, & Garcia, 2014; Gopal et al., 2015; Reginensi et al., 2011; Rückert,  
372 Ronimus, & Morgan, 2004; Yuan et al., 2012). The high prevalence of *B. licheniformis* in the  
373 dairy industry can be attributed to contamination from external farm sources including soil  
374 and silage as well internal sources during dairy processing that allow growth of the organism.

375 *Bacillus licheniformis* is a facultatively anaerobic mesophilic or thermophilic spore-  
376 forming bacterium that was isolated from a variety of tests used in this study. It is a member  
377 of the *Bacillus subtilis* group and can be difficult to distinguish from other members of the *B.*



378 *subtilis* group. Of the 269 isolates identified by 16S rDNA sequencing in this study, 189 were  
379 identified as *B. licheniformis*. Because of the difficulty in distinguishing *B. licheniformis*  
380 from other members of the *B. subtilis* group, whole genome sequencing confirmed the 16S  
381 sequencing with regard to differentiating *B. licheniformis* and *B. subtilis*. However, it did  
382 show that *B. paralicheniformis* is easily distinguishable using WGS, even though 16S  
383 sequencing showed them to be similar. Three of the 23 isolates were identified as *B.*  
384 *paralicheniformis* using WGS.

385         Depending on the tests, the microbiological counts varied significantly from  $<1$  to  $10^3$   
386 cfu mL<sup>-1</sup> in reconstituted skim milk powders. From the results for some samples (for example,  
387 sample 14) the counts for some bacterial types are actually higher than the TBC. This is due to  
388 the fact that the TBC, although called a 'total' bacterial count, will only detect a certain  
389 number of bacteria that grow at the incubation temperature (30 °C) of the TBC. Previous  
390 studies of spore counts in dairy powders showed a similar range from below the detection  
391 limit to about  $10^4$  cfu g<sup>-1</sup> in dry powder (Buehner et al., 2014; Kent et al., 2016; Watterson et  
392 al., 2014). In the boxplots, the log mean values in all spore test methods were below 2 log cfu  
393 mL<sup>-1</sup> and the highest was for HHR thermophilic anaerobic spores in this study. It should be  
394 noted that from the boxplot charts, all thermophilic methods used showed higher counts than  
395 mesophilic methods. This may be a result of sequential heating during pasteurisation,  
396 evaporation and spray drying processes which favours growth and survival of thermophiles  
397 (Burgess, Flint, & Lindsay, 2014; Cho et al., 2018; Hill & Smythe, 2012).

398         Whole genome sequencing confirmed that 16 of the 23 isolates obtained by 16S  
399 rDNA sequencing were *B. licheniformis*. Four of the isolates were identified as *B.*  
400 *paralicheniformis* by WGS, but as *B. licheniformis* by 16S sequencing. Although the 16S  
401 gene sequencing showed a high degree of similarity between the strains of *B. licheniformis*,  
402 the strains of *B. paralicheniformis* were distinctly different (Fig. 6). *Bacillus* sp. H15-1, of  
403 which 3 isolates were identified from 23 total isolates, was isolated from rusted steel wire rope

404 in 2017 (Xiao et al., 2017) and is a thermophilic bacterium with the ability to produce two  
405 hydroxy-pentanone metabolites. Although its species has not been confirmed, from this study  
406 *Bacillus* sp. H15-1 is closely related to *B. licheniformis*. Additionally, in another study,  
407 *Bacillus* sp. H15-1 was shown to have a similarity value of greater than 99% to *B.*  
408 *licheniformis* strains (Lee et al., 2017). Two of the 3 *Bacillus* spp. H15-1 strains were isolated  
409 from the same source in this study. Apart from this study, there was no record of *Bacillus* sp.  
410 H15-1 found in dairy industry environment.

411 A recent review (Wells-Bennik, Driehuis, & van Hijum, 2016) had discussed the great  
412 potential of genetic approaches, such as WGS and 16S marker gene sequencing, in  
413 characterising dairy-relevant sporeformers. These molecular tools can provide information on  
414 gene absence/presence, gene regulation on protein expression as well as metabolite  
415 production, which allow the prediction of phenotypes at genus, species and strain level. In  
416 this study, genome analysis was used to contribute to the awareness of bacteria and spores  
417 isolated from specific enumeration methods.

418 Currently, there is no standardisation of spore testing methods accepted worldwide  
419 (Kent et al., 2016) and variation inherent in the methods applied can lead to great differences  
420 in spore counts in milk powders (Wells-Bennik et al., 2019), with an up-to 3 log cfu mL<sup>-1</sup>  
421 difference of spore numbers as determined by different methods. Additionally, many of the  
422 different tests will identify the same organism, as was the case with *B. licheniformis*, which  
423 grows over a wide range of temperatures and atmospheric conditions. This makes it more  
424 difficult to control during processing. It also indicated that using one spore testing method  
425 with a specific heating and incubation temperature combination may not show a complete  
426 view of all spore formers in a given milk powder. To gain a better understanding of spore-  
427 forming bacteria population, several different methods should be applied together.

428 Most food poisoning incidents attributed to *Bacillus* species are associated with  
429 *Bacillus cereus*, but from previous studies, some heat-stable toxin production has been shown

430 in *B. licheniformis* strains (Salkinoja-Salonen et al., 1999; Taylor, Sutherland, Aidoo, &  
431 Logan, 2005). Nieminen et al., (2007) identified toxin-producing *B. licheniformis* from 2 of  
432 23 samples from milk of mastitic cows. The toxin-producing properties of the two *B.*  
433 *licheniformis* isolates were similar to those of *B. licheniformis* strains that produce the  
434 lipopeptide lichenysin, and were toxic at concentrations of 20–30  $\mu\text{g mL}^{-1}$ . Lichenysin  
435 synthesis was found to be universal among the 53 *B. licheniformis* strains examined by  
436 Madslie et al., (2013), although the quantities varied considerably, with more than two  
437 orders of magnitude between strains. Cytotoxicity was evident at lichenysin concentrations  
438 above 10  $\mu\text{g mL}^{-1}$ . Salkinoja-Salonen et al., (1999) isolated toxin-producing isolates of *B.*  
439 *licheniformis* from foods involved in food poisoning incidents, from raw milk, and from  
440 industrially produced milk powder. Those toxins had physicochemical properties similar to  
441 those of cereulide (a toxin of *B. cereus*), but had different biological activity. The toxin was  
442 non-protein in nature, soluble in methanol, and was not sensitive to heat, protease, acid or  
443 alkali. The presence of six lichenysin synthesis related genes and 2 genes responsible for  
444 cereulide production (*cesA* and *cesB*) were assessed in the 23 strains that were whole genome  
445 sequenced. All strains were positive for the lichenysin genes but negative for *cesA* and *cesB*.  
446 However, this study determined gene presence, there are many other factors that could  
447 influence gene expression, which was not studied.

448 Selective media such as BACARA agar and Iron Sulphite Agar can give a good  
449 indication of potential *B. cereus* group and SRC group members (Doyle et al., 2015;  
450 O'Connell, Ruegg, Jordan, O'Brien, & Gleeson, 2016; Tallent, Kotewicz, Strain, & Bennett,  
451 2012). From all pink colonies with an opaque halo collected from BACARA plates in this  
452 study, they were all identified as *B. cereus* group by 16S sequencing. BACARA has been  
453 shown to be more sensitive and selective than the ISO7932 method using MYP agar (Kabir,  
454 Hsieh, Simpson, Kerdahi, & Sulaiman, 2017). Pink or orange colonies surrounded by an  
455 opaque halo, which indicates that lecithinase is produced, should be considered as

456 presumptive *B. cereus* group isolates. The number of presumptive positives was low so the  
457 colony counts were not shown and there was insufficient data for further analysis. In this  
458 study, thermophilic SRC were observed on ISA plates but none were successfully collected  
459 due to their strict anaerobic requirements, which makes them difficult to recover for further  
460 characterisation.

461 It is very important to differentiate between spores and spore-forming bacteria when  
462 carrying out an in-depth microbiological study focused on spores, to ensure that enumeration  
463 techniques applied do not represent innate vegetative cells, which must be eliminated by heat  
464 treatment prior to enumeration to ensure results accurately reflect true spore levels. Only pre-  
465 formed spores are able to survive heat processing, due to the durability and protection  
466 provided by their multilayer-structure. Sporulation and germination are two dynamic  
467 processes, indicating the transformation from vegetative cells to spores and vice versa.  
468 Previous studies reported that the germination time of *Bacillus* spp. spores varied from 5 to  
469 60 min under a variety of conditions (Chen, Huang, & Li, 2006; Santo & Doi, 1974; Zhang et  
470 al., 2010). Additionally, heat activation (thermal shock) may induce germination in some  
471 *Bacillus* spp. spores, which can be explained by the expression of the *ger* gene (Luu et al.,  
472 2015; Soni, Oey, Silcock, Permina, & Bremer, 2018). In the manufacture of dairy powders,  
473 thermal processes can trigger spore germination under favourable conditions, which may  
474 result in toxin production from growth of the vegetative cells and associated presence of the  
475 organisms in finished products.

476

## 477 **5. Conclusions**

478

479 *B. licheniformis* was demonstrated to be the predominant species identified from skim  
480 milk powders collected in this study. Various bacterial and spore tests were used to screen the  
481 microorganism population under different heating, incubation and atmosphere conditions. A

482 large diversity of spore-forming bacteria was identified by 16S sequencing, but most were *B.*  
483 *licheniformis*. Compared with WGS, 16S sequencing was not precise enough to distinguish  
484 *B. licheniformis* and *B. paralicheniformis*. More focus should be given to *B. licheniformis* in  
485 dairy powder production and improvements in processing should be made to reduce spore  
486 counts.

487

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489

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495

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**Figure legends**

**Fig. 1.** Total bacterial counts (TBC), thermoduric bacterial and thermophilic bacterial counts in all skim milk powder samples surveyed:  $\diamond$ , TBC;  $\square$ , thermoduric bacteria;  $\triangle$ , thermophilic bacteria.

**Fig. 2.** Bacterial spores and sulphite reducing clostridia (SRC) counts in all skim milk powder samples surveyed,  $\diamond$ , mesophilic aerobic bacterial spores;  $\square$ , thermophilic aerobic bacterial spores;  $\triangle$ , mesophilic anaerobic bacterial spores;  $\times$ , thermophilic anaerobic bacterial spores;  $*$ , mesophilic SRCs;  $\circ$ , thermophilic SRCs.

**Fig. 3.** Highly heat resistant (HHR) spore counts in all skim milk powder samples surveyed,  $\diamond$ , mesophilic aerobic HHR spores;  $\square$ , thermophilic aerobic HHR spores;  $\triangle$ , mesophilic anaerobic HHR spores;  $\times$ , thermophilic anaerobic HHR spores.

**Fig. 4.** Species distribution of 269 isolates selected for 16S sequencing.

**Fig. 5.** A pie chart showing the % of the 183 *B. licheniformis* 16S-sequenced isolates obtained from the different microbiological methods used.

**Fig. 6.** Whole genome sequence-based dendrogram of 23 isolates that were previously identified as “*B. licheniformis*” using 16S rDNA sequencing:  $\blacksquare$ , *Bacillus licheniformis*;  $\blacksquare$ , *Bacillus* sp. H15-1;  $\blacksquare$ , *Bacillus paralicheniformis*.

**Table 1**Details of the methods used for microbial enumeration. <sup>a</sup>

Test #	Name	Heat treatment		Plate incubation		Incubation time (days)	Agar medium	Reference
		Temperature (°C)	Time (min)	Atmosphere	Temperature (°C)			
1	Total bacterial count (TBC)	none	none	aerobic	30	3	TSA	IDF (1991)
2	Thermoduric bacteria	63.5	35	aerobic	30	2	TSA	Wehr et al. (2004)
3	Thermophilic bacteria	none	none	aerobic	55	2	TSA	ISO/IDF (2009)
4	Presumptive <i>Bacillus cereus</i>	none	none	aerobic	30	2	BACARA	FDA (1998); ISO (2004)
5	Mesophilic sulphur-reducing clostridia spores	80	10	anaerobic	30	2	ISA	ISO (2003)
6	Thermophilic sulphur-reducing clostridia spores	80	10	anaerobic	55	2	ISA	ISO (2003)
7	Mesophilic aerobic bacterial spores	80	10	aerobic	30	3	PCSMA	Wehr et al., (2004)
8	Mesophilic anaerobic bacterial spores	80	10	anaerobic	30	3	PCSMA	Wehr et al., (2004)
9	Thermophilic aerobic bacterial spores	80	10	aerobic	55	2	PCSMA	Wehr et al., (2004)
10	Thermophilic anaerobic bacterial spores	80	10	anaerobic	55	2	PCSMA	Wehr et al., (2004)
11	Mesophilic aerobic highly heat-resistant spores	100	30	aerobic	30	3	PCSMA	ISO (2013)
12	Mesophilic anaerobic highly heat-resistant spores	100	30	anaerobic	30	3	PCSMA	ISO (2013)
13	Thermophilic aerobic highly heat-resistant spores	100	30	aerobic	55	2	PCSMA	Wehr et al. (2004); ISO (2009)
14	Thermophilic anaerobic highly heat-resistant spores	100	30	anaerobic	55	2	PCSMA	Wehr et al. (2004); ISO (2009)
15	Psychrotrophic aerobic bacterial spores	80	10	aerobic	6	10	PCSMA	Wehr et al. (2004); ISO (2003)
16	Psychrotrophic aerobic highly heat-resistant spores	100	30	aerobic	6	10	PCSMA	Wehr et al. (2004); ISO (2003)

<sup>a</sup> When counting plates, all colonies on a plate were counted; TSA, tryptic soy agar; BACARA, BACARA™ proprietary *B. cereus* culture medium; ISA, iron sulphite agar; PCSMA, plate count skim milk agar.

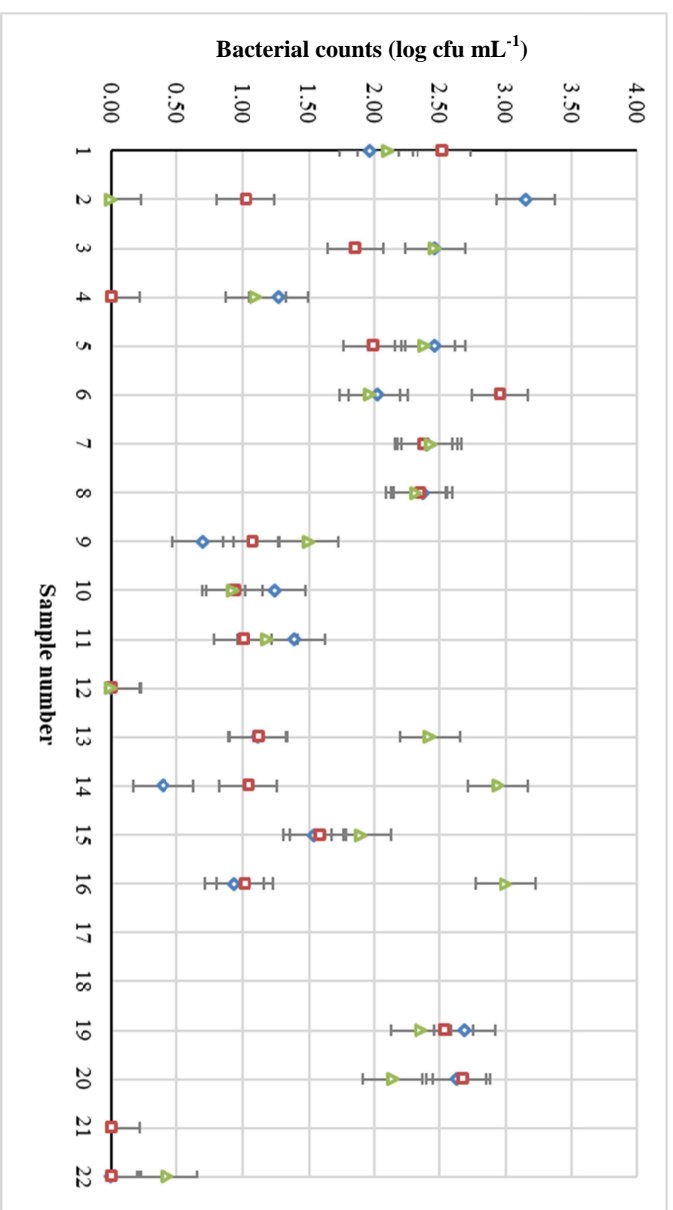


Figure 1.

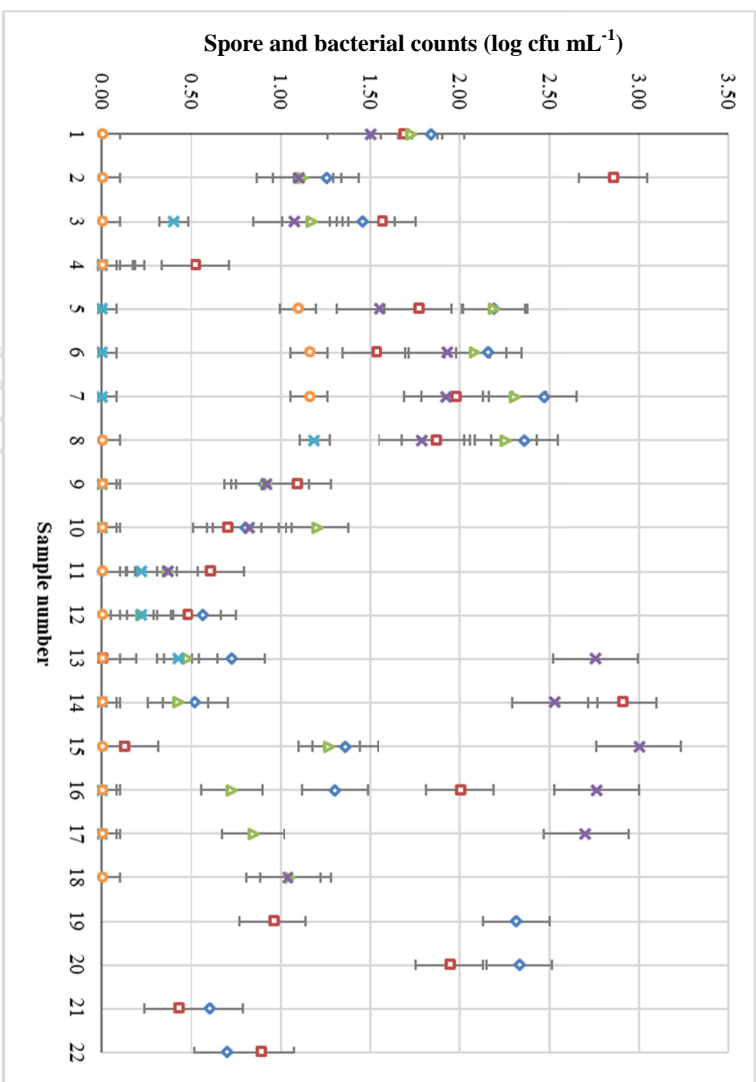


Figure 2.



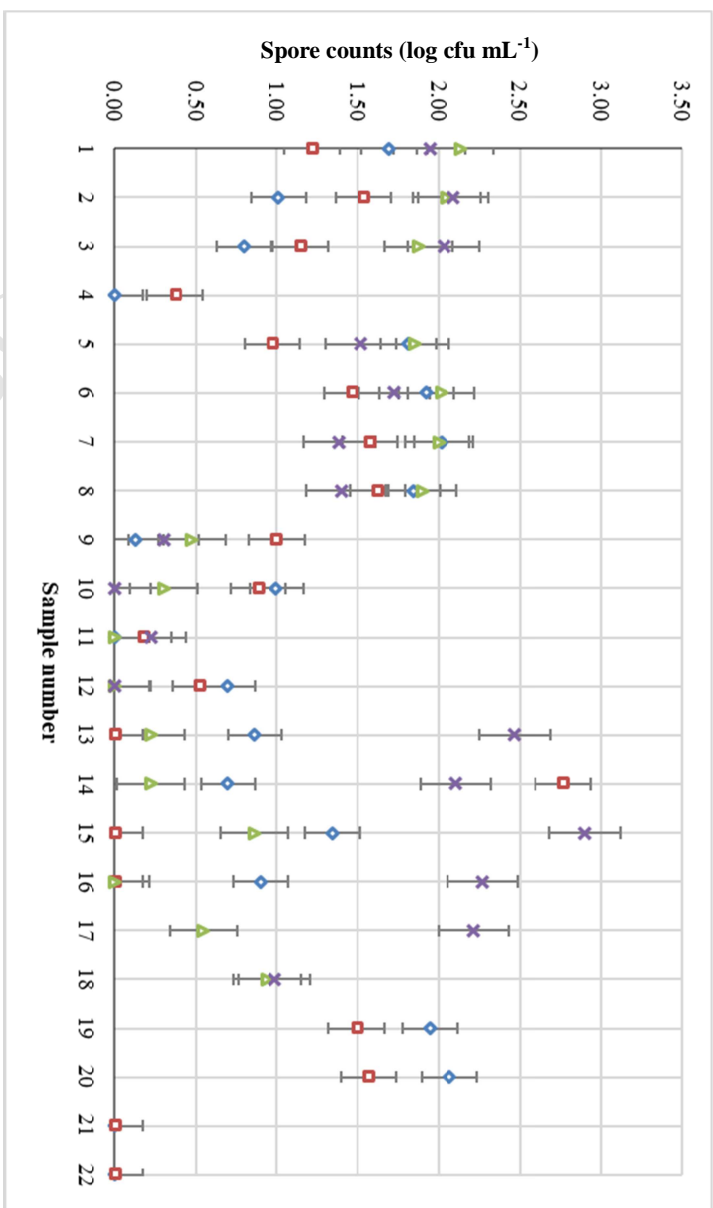


Figure 3.

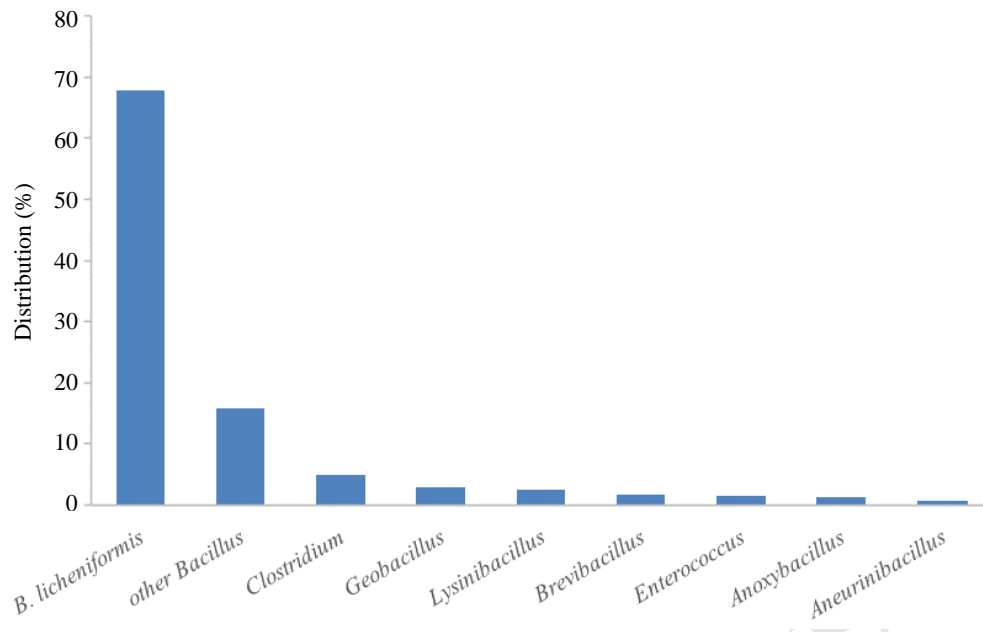


Figure 4

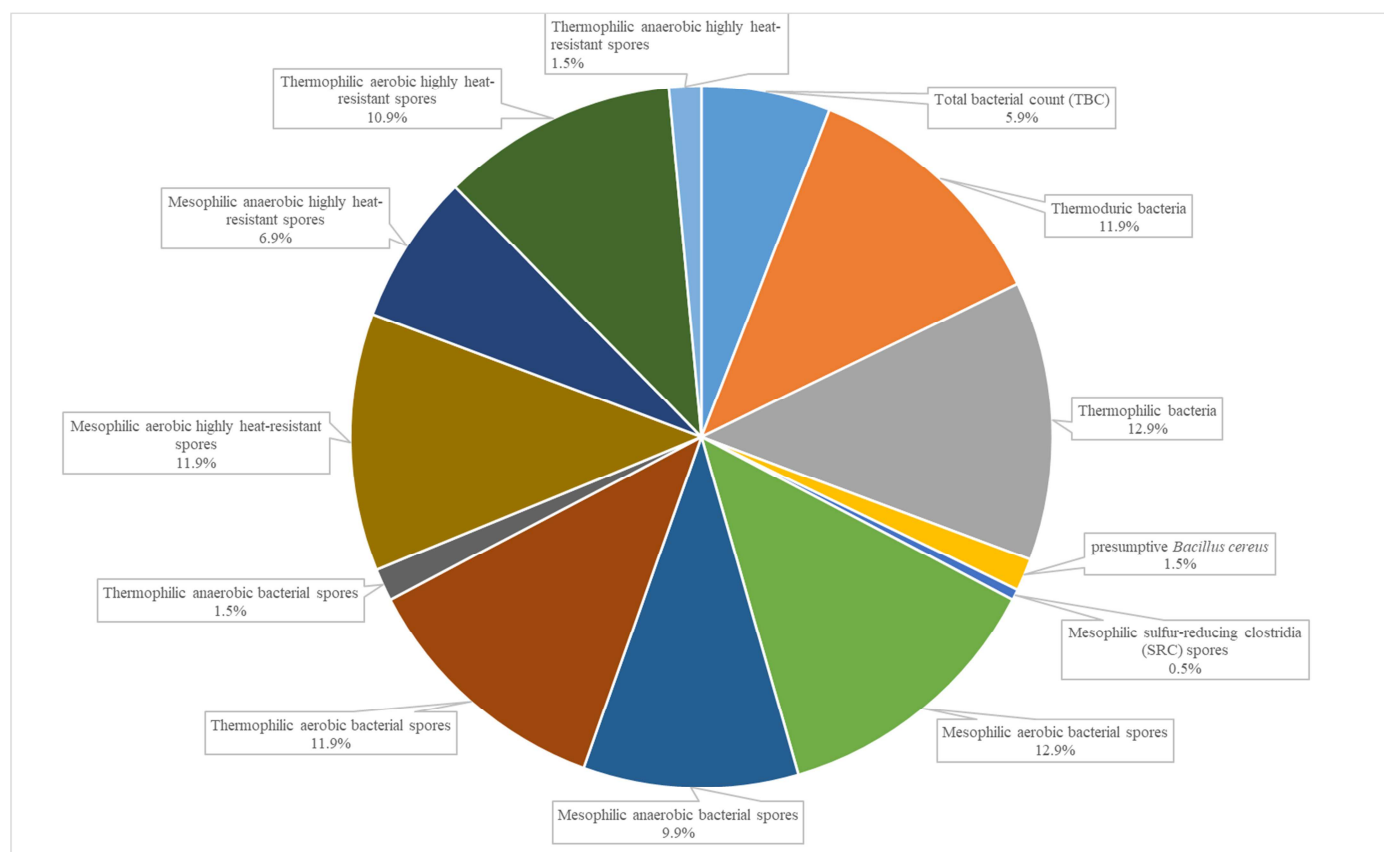


Figure 5

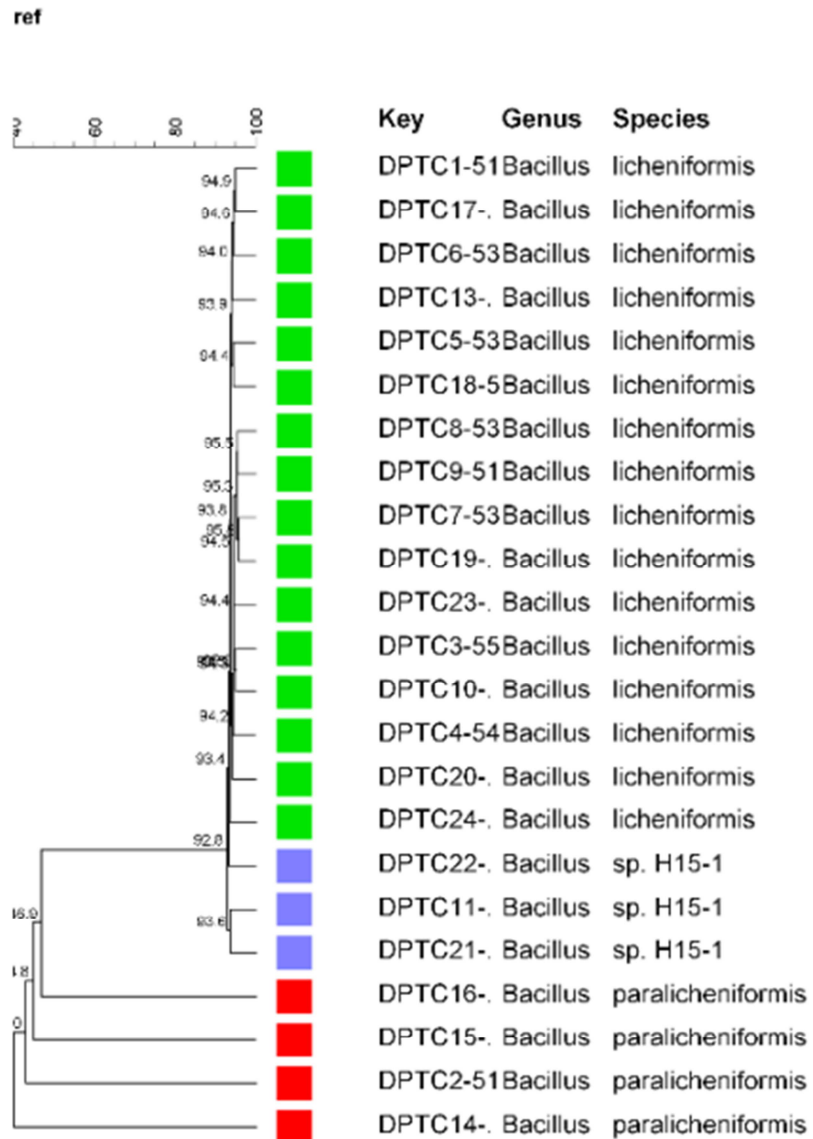


Figure 6.

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