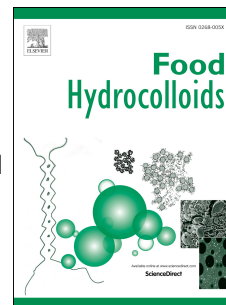


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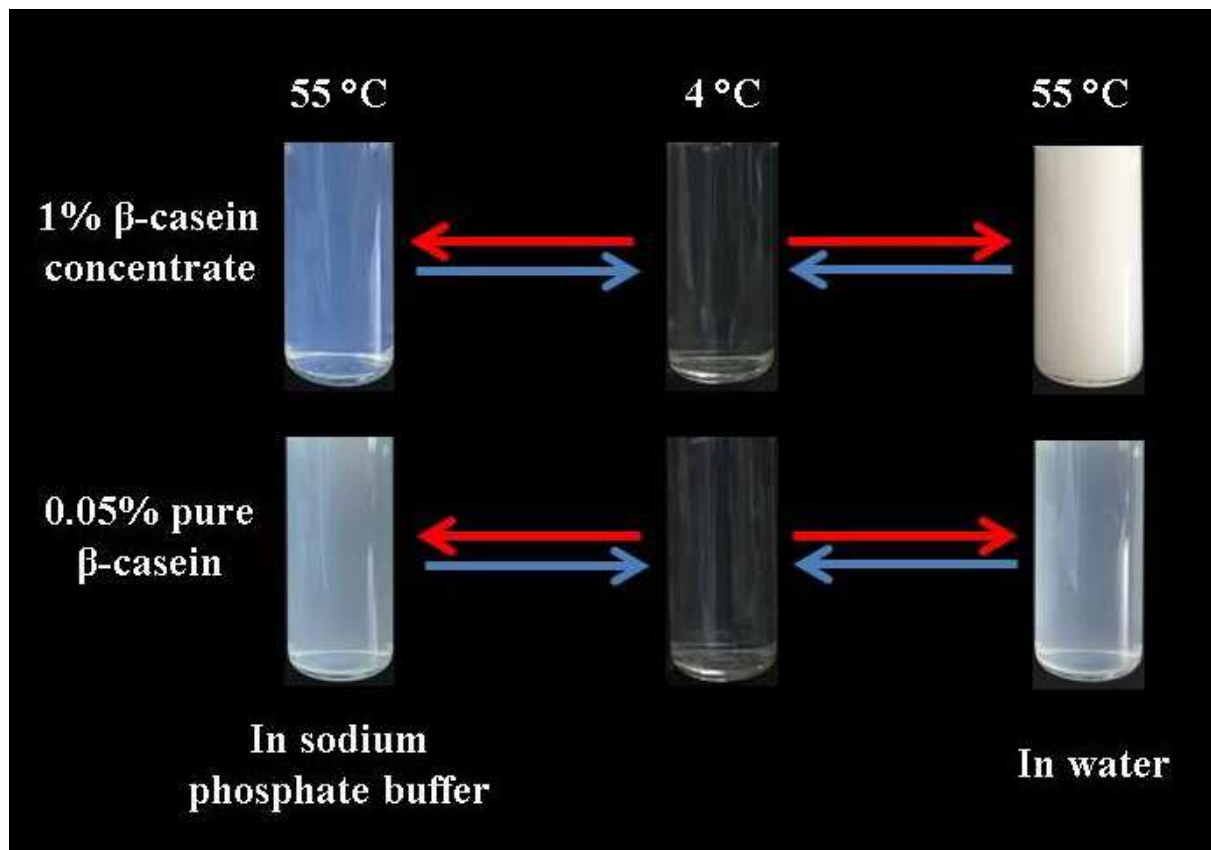
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1 Self-association of bovine β -casein as influenced by calcium chloride, buffer type and temperature

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10

11 Abstract

12 The aim of this study was to investigate the aggregation behaviour of a pure β -casein (β -CN_{pure}) and
13 a β -casein concentrate (β -CN_{conc}) as a function of temperature, presence of CaCl_2 and buffer type
14 (pH 6.8). The particle size distribution and turbidity of β -casein (β -CN) solutions were measured by
15 dynamic light-scattering (DLS) and UV/vis spectroscopy between 4 and 55 °C. Upon heating (4 to
16 55 °C), the particle size of both β -CN solutions increased, indicating self-association *via* hydrophobic
17 interactions. It was shown that the self-association of β -CN increased with increasing β -CN
18 concentration and that β -CN_{pure} self-associated at significantly lower concentration than β -CN_{conc}.
19 Both turbidity and particle size measurements showed that β -CN had similar aggregation behaviour in
20 water and imidazole buffer (pH 6.8) but differed in sodium phosphate buffer (pH 6.8), especially at
21 higher ionic calcium concentrations. In addition, Fourier Transform Infrared (FTIR) spectroscopy
22 revealed very little change in the secondary structure of β -CN during heating (4 to 55°C). The
23 microstructure of β -CN aggregates was monitored during heating from 10 to 55 °C, followed by
24 cooling to 10 °C, using polarised light microscopy. Spherical and heterogeneous aggregates were
25 observed when heated at temperatures above 37 °C, which were reversible upon cooling. This study
26 confirmed that β -CN undergoes self-associates on heating that reverses upon cooling, with the
27 aggregation process being highly dependent on the purity of β -CN, the solvent type and the presence
28 of ionic calcium.

29

30 **Keywords:**31 β -casein; Dairy proteins; Protein aggregation.

32

33

34 1. Introduction

35 Caseins and whey proteins are the major proteins in mammalian milk. Bovine milk protein comprises
36 ~80% casein and ~20% whey proteins, whereas the protein in human milk contains ~40% casein and
37 ~60% whey proteins. Caseins consist of four different casein molecules: α_{s1} -, α_{s2} -, β - and κ -casein in
38 the ratio 4:1:4:1 (Fox & McSweeney, 2003). In human milk, β -casein (β -CN) constitutes 65% of total
39 casein, which is almost twice that of bovine milk (McSweeney, O'Regan, & O'Callaghan, 2013). β -
40 CN is the major calcium-binding protein in human milk and can efficiently transport inorganic
41 calcium and phosphate to the neonate (Farrell Jr., 1999). Furthermore, it has been reported that β -CN
42 is a precursor for the production of bioactive peptides that have antihypertensive, opioid, or mineral-
43 binding properties (Meisel, 1997). Therefore, β -CN-enriched ingredients are much sought after in the
44 formulation of infant nutritional formulae. However, infant formulae enriched with β -CN may have
45 different physical and functional properties such as heat stability, viscosity, emulsification, digestion
46 etc. These properties are strongly affected by formulation (e.g., protein profile, protein content,
47 mineral content) and processing parameters.

48 The β -CN molecule is a single polypeptide chain consisting of 209 amino acids with a molecular
49 weight of 24 kDa. β -CN is rich in proline and devoid of cysteine residues, hence there are no intra-
50 molecular disulphide bonds. β -casein is an amphiphilic phosphoprotein with a highly hydrophobic
51 region at the C-terminus and a highly hydrophilic negatively-charged region towards the N-terminus
52 (Rollema, 1992), making it an excellent emulsifier (Dickinson, Rolfe, & Dalgleish, 1988; Parkinson
53 & Dickinson, 2004). In bovine β -CN, five phosphate groups are present as esters of the amino acid
54 serine, with the phosphoserine residues located within the hydrophilic domain (Darewicz, Dziuba,
55 Caessens, & Gruppen, 2000; Huppertz et al., 2006); whereas human β -CN occurs in multi-
56 phosphorylated forms having 0-5 phosphate groups (Greenberg, Groves, & Dower, 1984). The
57 secondary structure of β -casein has been studied, although the exact structure remains elusive. For
58 decades, β -casein was assumed to have a random coil structure, with little or no ordered secondary
59 structure under physiological conditions (Andrews et al., 1979; Noelken & Reibstein, 1968). Holt and
60 Sawyer (1993) put forward the 'rheomorphic' hypothesis, which states that casein has no fixed
61 structure until aggregates are formed in response to calcium-binding by serine phosphate groups.

62 Some convincing evidence has been presented to suggest that there are reasonable amounts of fixed
63 structure in β -casein, such as α -helices, β -turns and β -sheets, probably due to the high proportion of
64 proline residues (Farrell Jr., Wickham, Unruh, Qi, & Hoagland, 2001; Qi, Wickham, & Farrell, 2004).
65 In aqueous solution, β -casein exists as monomers or aggregates, the size and morphology of which are
66 strongly dependent on protein concentration, temperature, calcium content, pH and ionic strength
67 (Dauphas et al., 2005; Moitzi, Portnaya, Glatter, Ramon, & Danino, 2008; O'Connell, Grinberg, & de
68 Kruif, 2003). The critical micellisation concentration (CMC) is defined as the concentration of β -CN
69 above which small aggregates will form. The CMC can vary between 0.05 and 0.2% (w/v) depending
70 on temperature, pH and ionic strength (Portnaya et al., 2006). Monomers of β -CN predominate at low
71 temperatures (< 10 - 15 °C) and self-assemble *via* hydrophobic interactions above the CMC when
72 temperature increases, thereby forming aggregates with a hydrophobic core and a less dense
73 hydrophilic outer layer (Dauphas et al., 2005; O'Connell et al., 2003). This temperature-dependent
74 property has been used for the purification of β -CN from β -CN-enriched whey through membrane
75 filtration (Atamer et al., 2017; O'Mahony, Smith, & Lucey, 2014).

76 Dauphas et al. (2005) suggested there were four different aggregation states of β -CN (0.1%, w/v)
77 based on DLS experiments; a molecular state at 4 °C (7-8 nm), a micellar state at 37 °C (20-25 nm) in
78 the absence of calcium chloride, and a polymeric state at 4 °C (20-25 nm) and an aggregated state at
79 37 °C (> 1 μ m) in the presence of calcium chloride (10 mM). Adding calcium or increasing ionic
80 strength can lead to an increase in aggregate size due to the reduction of electrostatic repulsions,
81 which can lead to precipitation/sedimentation under certain conditions (Dickinson, 2001). In addition,
82 the self-association of β -CN is affected by various dispersant constituents, such as urea, Tris-HCl
83 buffer, sodium phosphate buffer and ethanol (Mikheeva, Grinberg, Grinberg, Khokhlov, & de Kruif,
84 2003; Qi et al., 2004). Micellisation of β -CN is a reversible equilibrium process (Dauphas et al., 2005).
85 Increasing temperature changes the equilibrium towards the micelle, by increasing the monomer
86 density in the micelles, whereas increasing ionic strength shifts the equilibrium position, with only a
87 slight effect on the number of monomers in the micelle (Huppertz, 2013).

88 Over the past two decades, the self-association of β -CN has been studied using a range of analytical
89 approaches, including static and dynamic light-scattering (Dauphas et al., 2005; de Kruif & Grinberg,

90 2002; Ossowski et al., 2012; Panouillé, Durand, & Nicolai, 2005), small-angle X-ray scattering
91 (Kajiwara et al., 1988; Moitzi et al., 2008), and high-sensitivity differential scanning calorimetry
92 (Mikheeva et al., 2003). The association and dissociation behaviour of β -casein concentrate (β -
93 CNconc) produced by membrane filtration, was previously determined using dynamic light-scattering,
94 analytical centrifugation and turbidimetry by Crowley (2016). DLS is the most widely used technique
95 for measuring particle size distribution. Automated temperature trend DLS allows the measurement to
96 be carried out continuously with a temperature ramp at constant heating rate.

97 The self-association of β -CN and the stability of β -CN aggregates are mainly attributed to a delicate
98 balance of hydrophobic and electrostatic interactions (Evans, Phillips, & Jones, 1979; Horne, 1998).
99 However, this relationship has not yet been fully understood in the presence of calcium and phosphate.
100 Therefore, a good understanding of the influence of inherent and added salts (calcium and phosphate)
101 on the self-association of β -CN is essential and important for fundamental research but also for the
102 development of β -CN-enriched dairy products.

103 The main objectives of this research were (1) to study the self-association of β -CN under various
104 experimental conditions, in particular: (a) the effect of the purity (protein profile and mineral content)
105 of β -CN on its self-association behaviour; (b) the effect of selected composition and environmental
106 conditions (temperature, buffer type, calcium addition) on aggregation of β -CN as determined by
107 particle size and turbidity; and (2) to visualise the microstructure and thermo-reversibility of β -CN
108 aggregates in select samples using optical microscopy with a temperature-controlled sample stage.

109

110 2. Materials and methods

111 2.1. Materials

112 β -CN from bovine milk (spec sheet: 85 % protein, \geq 98% purity, lots SLBS9719 and SLBK9882V)
113 and $\text{CaCl}_2 \cdot 2\text{H}_2\text{O}$ were purchased from Sigma-Aldrich (St. Louis, MO, USA). In addition, a β -CN
114 concentrate powder (87.1% protein, 80% β -CN purity) was kindly donated by the Center for Dairy
115 Research at the University of Wisconsin-Madison, USA. This spray-dried β -CN concentrate was
116 produced at pilot plant scale using an integrated membrane filtration process based on the method of
117 O'Mahony et al. (2014), with some modifications. The protein and mineral profiles for both protein
118 products are presented in Table 1. To differentiate the samples, the pure β -CN (90% purity) from
119 Sigma-Aldrich is referred to as β -CNpure, whereas the membrane filtration derived β -CN concentrate
120 (80% purity) is referred to as β -CNconc.

121 Both β -CN powders were dissolved, with agitation, in 10 mM sodium phosphate buffer or 10 mM
122 imidazole-HCl buffer, pH 6.8 at different concentrations of β -CN (0.05%, 0.25%, 0.5% and 1% w/v)
123 for a minimum of 20 h at 4 °C. Distilled water (H_2O) was used as control. Samples were incubated at
124 temperatures of 4, 37 and 55 °C for 1 h in order to visually observe their thermal aggregation. β -CN
125 concentrations of 0.05% and 1% were finally selected for β -CNpure and β -CNconc, respectively, for
126 further analysis. The effect of CaCl_2 (0 and 2.5 mM) addition on β -CN aggregation was also
127 examined. The pH of all samples was adjusted to 6.8 ± 0.02 with a small amount of 1M HCl or 1M
128 NaOH, after which they were filtered at 4 °C through syringe filters of pore size 0.45 μm , to remove
129 large protein aggregates before measurement. The aggregation behaviour of β -CN was studied at a
130 range of temperatures from 4 to 55 °C, and samples were incubated at each temperature for 20 min,
131 unless otherwise indicated. All other reagents were purchased from Sigma-Aldrich, unless otherwise
132 specified.

133

134 2.2. SDS-polyacrylamide gel electrophoresis (SDS-PAGE)

135 Protein profile of two β -CN products was determined as described by Yong and Foegeding (2008)
136 using precast NuPAGE 12% Bis-Tris gels (1.0 mm \times 10 well) (Novex[®] by Life Technologies[™],
137 Carlsbad, CA, USA). Samples were dissolved in NuPAGE LDS sample buffer with NuPAGE sample

138 reducing agent to achieve a final protein concentration of 0.5 mg/ml, and 10 μ l of the mixture was
139 loaded in each well. Skim milk was used as standard. Gels were stained and destained using the
140 method described by Li, Auty, O'Mahony, Kelly, and Brodkorb (2016).

141

142 2.3. Reversed-phase high pressure liquid chromatography (RP-HPLC)

143 Characterisation of β -CN samples were also carried out by RP-HPLC (Agilent 1200 series, Agilent
144 Technologies, Santa Clara, CA, USA) as described by McCarthy, Kelly, O'Mahony, and Fenelon
145 (2013) with an Agilent 300 SB-C18 Poroshell column (Agilent Technologies) at 35 °C. β -CN samples
146 were prepared by dilution in 7 M urea/20 mM bis-tris propane buffer, pH 7.5 to obtain a final protein
147 concentration of 2 mg/ml. The sample buffer had β -mercaptoethanol (5 μ l/ml buffer) added
148 immediately prior to use. Running buffer A contained 10% acetonitrile and 0.1% trifluoroacetic acid
149 (TFA) in Milli-Q[®] water. Running buffer B contained 90% acetonitrile, 10% Milli-Q[®] water, and
150 0.09% TFA. The analysis was performed using a linear gradient of Buffer B, from 26 to 100% in 26
151 min. Individual proteins were calculated as percentage (w/w) of total protein by integrating the peak
152 area of the chromatograms.

153

154 2.4. Turbidity measurement

155 The turbidity of β -casein solutions reconstituted in three different buffers, with and without CaCl₂,
156 was expressed as the optical density (OD) at 600 nm using a Cary 100 Bio UV-visible
157 Spectrophotometer (Varian Inc., Palo Alto, California, USA), which was equipped with a temperature
158 control system. Samples were held at 4 °C before transfer to the spectrophotometer and heated in the
159 chamber at 13 temperature points between 4 and 55 °C. Measurements were taken in triplicate and
160 this experiment was repeated three times.

161

162 2.5. Dynamic light-scattering (DLS)

163 The effect of temperature on particle size of β -CN solutions was recorded by DLS using a Zetasizer
164 Nano ZS (Malvern Instruments Ltd, Malvern, Worcestershire, UK), equipped with a temperature
165 controlled cell holder. The instrument was flushed with dry air for measurement below 12 °C to avoid

166 condensation, according to the instructions by Malvern. Samples were held at 4 °C and further filtered
167 through a 0.22 µm filter before transfer to the thermo-equilibrated zetasizer. Temperature was
168 increased stepwise from 4 to 55 °C with a temperature interval of 3 °C and an equilibration time of 20
169 min. Measurements were taken six times at each temperature. Data collection and analyses were
170 performed using the Nano software (version 7.01; Malvern Instruments), with a water RI value of
171 1.33. The temperature-dependence of the solvent viscosity was taken into account for the size
172 calculations.

173

174 2.6. Fourier Transform Infrared Spectroscopy (FTIR)

175 FTIR measurements were carried out using a Bruker Tensor 27 instrument (Bruker Optik, GmbH,
176 Germany), equipped with a thermally controlled BioATR II cell. Spectra were obtained using an
177 average of 128 sample scans and 128 background scans at 4 cm⁻¹ resolution. Samples were filtered
178 through 0.22 µm syringe filters before each measurement at 4, 16, 25, 37, 49 and 55 °C, using fresh
179 dispersions at each temperature. Background readings were taken against distilled water at each
180 measurement temperature. Data analysis was performed as previously described (Kehoe, Remondetto,
181 Subirade, Morris, & Brodkorb, 2008).

182

183 2.7. Microstructure of β-CN aggregates

184 The microstructure of β-CN aggregates was assessed using an Olympus BX51 light microscope fitted
185 with differential interference contrast (DIC) filters (Olympus Optical Co. Ltd., Tokyo, Japan). β-CN
186 solution (10 µl) was deposited on a microscopy slide after which a coverslip was placed on the sample,
187 before observation with a 60X, 1.4NA oil immersion objective. The sample slide was placed on a
188 temperature controlled microscope stage, which was controlled by Linksys 32 Software (PE94,
189 Linkam Scientific, UK). Observations were performed during heating (10-55°C), holding for at least
190 20 min and cooling (55-10 °C) cycles (heating and cooling rates were 3-6°C /min) to evaluate
191 reversibility of β-CN aggregation. Images were acquired using a ProgRes[®] camera system
192 (JENOPTIK I Optical Systems, Jena, Germany) in a DIC mode. The DIC mode highlights phase

193 boundaries of normally transparent objects and facilitates visualization of the shape and dimension of
194 β -CN aggregates.

195

196 2.8. Statistical analysis

197 The preparation of solutions and subsequent analyses were carried out in independent triplicate trials.

198 One-way analysis of variance (ANOVA), followed by Fisher's test, was carried out using the Minitab

199 15 (Minitab Ltd, Coventry, UK, 2007) statistical analysis package. Differences were stated significant

200 at p-value < 0.05.

201

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208 3. Results and discussions

209 3.1. Compositional properties of β -CN products

210 The protein profile and mineral composition of both β -CN samples were analysed using RP-HPLC
211 and ICP-MS, respectively, and the results are shown in Table 1. It is worth noting that the mineral
212 levels in β -CN_{pure} were substantially lower than those of β -CN_{conc}, with the exception of sodium.
213 Most notably, Ca content was approximately two orders of magnitude higher in β -CN_{conc} compared
214 to that in β -CN_{pure}. RP-HPLC profile of β -CN samples are shown in Figure 1A and B, respectively.
215 It was calculated that β -CN_{pure} contained 90% β -CN and 5.5% κ -CN, and trace levels of α -CN and β -
216 lactoglobulin. In contrast, β -CN_{conc} has 80% β -CN and 3.9% other caseins and 16% whey proteins,
217 which is consistent with the results of Crowley (2016). The presence of whey proteins may affect the
218 association behaviour of the β -CN during heating. Reducing SDS-PAGE result (Fig. 1C) showed
219 well-resolved band patterns of β -CNs and other proteins (lane 2 and 3). Moreover, a small amount of
220 high molecular weight (MW) whey proteins (possibly lactoferrin and bovine serum albumin) were
221 detected in β -CN_{conc} sample (lane 3) by SDS-PAGE.

222

223 3.2. Effect of purity and concentration on the thermal aggregation of β -CN

224 The visual appearance of solutions at four β -CN concentrations (0.05, 0.25, 0.5 and 1% w/v), prepared
225 with β -CN_{pure} or β -CN_{conc} in 10 mM sodium phosphate buffer (pH 6.8) and at 4, 37 and 55 °C are
226 presented in Figure 2. It was observed that the turbidity of β -CN_{pure} solutions increased with the
227 temperature, indicating self-association upon heating above 37 °C. In addition, the turbidity of β -
228 CN_{pure} solutions increased with increasing concentration at each temperature. However, only at
229 concentrations higher than 0.5% (w/v), visible self-association could be observed for β -CN_{conc}
230 samples above 37 °C. This concentration-dependent heat-induced association behaviour of β -CN is in
231 agreement with the findings of previous studies where a minimum concentration of β -CN was
232 required for self-association (Dauphas et al., 2005; O'Connell et al., 2003).

233 Compared to β -CN_{pure} solutions, β -CN_{conc} solutions displayed some obvious differences in
234 appearance under the same experimental conditions. For instance, 1% β -CN_{conc} was a clear solution
235 at 4°C, whereas β -CN_{pure} solution had a very turbid, opaque appearance. These results illustrate that

236 β -CNpure self-associates at lower β -CN concentration than β -CNconc, possibly due to the effect of
237 the other proteins and/or minerals. In further experiments, the thermal aggregation of 0.05% β -
238 CNpure and 1% β -CNconc were studied as they were the most suitable concentrations required to
239 observe the self-assembly of β -CN from a monomeric state to an aggregated state.

240

241 3.3. Effect of buffer type and CaCl_2 addition on the turbidity and particle size of 0.05% β -CNpure 242 solutions

243 Solution turbidity measurement provided a macroscopic overview of the aggregation of β -CN as
244 influenced by concentration, temperature and choice of ingredient. The size of aggregates is one of the
245 most important factors affecting turbidity of aggregated protein. Changes in turbidity and particle size
246 of 0.05% β -CNpure solutions during a temperature ramp (4 to 55 °C), in different buffers containing
247 CaCl_2 at varying concentration are presented in Figures 3 and 4, respectively. It is shown that in the
248 absence of added CaCl_2 , no significant difference in turbidity ($p > 0.05$) was observed at temperatures
249 between 4 and 22 °C, irrespective of buffer type. When the temperature was increased to greater than
250 25 °C, the turbidity of all β -CNpure solutions increased (Fig. 3A). This turbidity development
251 correlated well with the size measurement (Fig. 4A), which showed that β -CNpure solutions mainly
252 contain particles with volume mean particle size of ~8 nm at low temperatures (4 to 10 °C), indicative
253 of the monomeric form of β -CN (Faizullin, Konnova, Haertlé, & Zuev, 2017; O'Connell et al., 2003).
254 Particle size increased with temperature, most likely due to aggregation.

255 The addition of 2.5 mM CaCl_2 caused an increase in particle size of β -CNpure solutions at
256 temperatures from 4 to 16 °C (Fig. 4B). At 4 °C, a mixture of 10 mM NaH_2PO_4 buffer and 2.5 mM
257 CaCl_2 precipitated as calcium phosphate, whereas no precipitation occurred when β -CNpure was
258 present. Crowley (2016) also reported that β -CNconc can prevent calcium phosphate precipitation in
259 simulated milk ultrafiltrate (SMUF) at 37 or 63 °C. It was suggested that ionic calcium induces β -CN
260 aggregation *via* divalent bridges between serine phosphate groups, and thereby preventing calcium
261 phosphate precipitation (Kakalis, Kumosinski, & Farrell, 1990).

262 At temperatures above 37 °C (Fig. 4B), the particle sizes of both β -CNpure/ H_2O and β -
263 CNpure/imidazole solutions with added CaCl_2 displayed a sharp increase and the sizes were over 1

264 μm at 50 °C. This was in agreement with the finding by Dauphas et al. (2005) who found large $\beta\text{-CN}$
265 aggregates with a diameter of greater than 1 μm at 50 °C and after addition of 10 mM CaCl_2 . It was
266 suggested that the affinity of $\beta\text{-CN}$ to Ca^{2+} increases with temperature (Horne & Lucey, 2014).
267 However, under the same circumstances, the size of $\beta\text{-CNpure}/\text{NaH}_2\text{PO}_4$ solution did not change
268 significantly with the addition of CaCl_2 (Fig. 4B). The authors assume that a competition between
269 inorganic phosphate and organic phosphoserine groups of $\beta\text{-CN}$ reacting with Ca^{2+} may affect the
270 bridging effect of ionic calcium. The turbidity results of $\beta\text{-CNpure}$ solutions with added Ca^{2+} showed
271 similar trends in the DLS results (Fig. 3B).

272

273 3.4. Effect of buffer type and CaCl_2 addition on the turbidity and particle size of 1% $\beta\text{-CNconc}$ 274 solutions

275 The turbidity results of 1% $\beta\text{-CNconc}$ solutions were in good agreement with the DLS measurement
276 (Fig. 5 and 6). The monomeric form of $\beta\text{-CN}$ was also detected at 4 °C in the absence of CaCl_2 (Fig.
277 6A). Interestingly, heating only caused a slow and slight increase in both size and turbidity in the
278 temperature range 4 to 49 °C, irrespective of buffer type (Fig. 5A and 6A). At temperature greater
279 than 49 °C, a significant increase in turbidity and size for $\beta\text{-CNconc}/\text{imidazole}$ and $\beta\text{-CNconc}/\text{H}_2\text{O}$
280 solutions were observed whereas $\beta\text{-CNconc}/\text{NaH}_2\text{PO}_4$ solution changed very little with increasing
281 temperature. These results displayed similar trends to those of $\beta\text{-CNpure}$ solutions in the presence of
282 Ca^{2+} (Fig. 3B and 4B). Calculated Ca and P contents of 0.05% $\beta\text{-CNpure}$ solution were 1 μM Ca and
283 0.1 mM P while 1% $\beta\text{-CNconc}$ solution contained 2.5 mM Ca and 2.5 mM P. Therefore in phosphate
284 buffer, 0.05% $\beta\text{-CNpure}$ with added 2.5 mM Ca^{2+} and 1% $\beta\text{-CNconc}$ without added Ca^{2+} have a very
285 similar total calcium and phosphate content. Hence they showed very similar aggregation behaviour.
286 Adding 2.5 mM CaCl_2 to $\beta\text{-CNconc}$ solution resulted in a marked increase in both turbidity and size
287 for $\beta\text{-CNconc}/\text{imidazole}$ and $\beta\text{-CNconc}/\text{H}_2\text{O}$ solutions at all temperatures ($p < 0.05$) (Fig. 5B and 6B).
288 At temperatures greater than 31 °C, the particle size increased significantly with increasing
289 temperature and turbidity remained unchanged at values of 3.5 due to the detection limit of the
290 instrument (Fig. 5B). Visible precipitation was observed for $\beta\text{-CNconc}/\text{NaH}_2\text{PO}_4$ solution at 4 °C. It

291 was assumed that the precipitation is mainly due to the co-precipitated calcium phosphate and casein
292 (Guo, Campbell, Chen, Lenhoff, & Velev, 2003).

293

294 3.5. Secondary structure of β -CN during thermal aggregation

295 The amide I band in FTIR spectra was used to study changes in the secondary structure of proteins, as
296 it represents a C=O stretch frequencies which is sensitive to its folding environment (Farrell Jr. et al.,
297 2001; Kehoe et al., 2008). Figure 7A showed changes in the amide I region of the FTIR spectra of β -
298 CNpure solution at 0.05% prepared in H₂O as a function of temperature. The spectra showed that
299 there was little structural change upon heating (4 to 55 °C), with the maximum absorbance shifting
300 from 1660 and 1650 cm⁻¹. This is similar to the results of Farrell Jr. et al. (2001) who found a red shift
301 in circular dichroism with temperature.

302 The subtraction of the sample spectrum at 4 °C from that of samples at ≥ 16 °C (Fig. 7B) showed an
303 increase in intensity at 1637 cm⁻¹ with increasing temperature. This band has been assigned to the
304 intramolecular β -sheet (Tanaka, Morishima, Akagi, Hashikawa, & Nukina, 2001), suggesting an
305 ordered structure in a monomeric state of β -CN (at 4 and 16 °C). It was also noteworthy that
306 aggregation was not *via* inter-molecular β -sheet (expected around 1620 cm⁻¹) as observed for most
307 other structured dairy proteins (Kehoe et al., 2008; Lefèvre & Subirade, 2003).

308 Hence, the question was whether changes in the secondary structure precede aggregation or the other
309 way around. During heating, β -CNpure showed changes in the band around 1637 cm⁻¹ at temperature
310 as low as 16 °C; changes increased with higher temperatures. However, the particle size in Fig. 5A, at
311 equivalent conditions, only showed changes above 25 °C. This suggests that changes in the secondary
312 structure (increase in intramolecular β -sheets) appeared first, which could induce aggregation of β -CN,
313 rather than the other way around. However, given the small amplitude of changes in the absorption,
314 these conclusions need to be treated with caution. In addition, the above observation could only be
315 made for the higher purity β -CN (β -CNpure) and not for β -CNconc, as discussed.

316 Very little change in the secondary structure was shown in 1% β -CNcon/H₂O solution between 4 and
317 55 °C (Fig. 7), although β -CN self-associated above 49 °C (Fig. 6A). This suggests that the self-

318 association of β -CN_{conc} did not cause any significant conformational change in β -CN, which is also
319 consistent with the results of Farrell Jr. et al. (2001).

320 It was therefore concluded that the self-association of β -CN had little or no effect on the
321 conformational change of β -CN and vice versa. Addition of 2.5 mM CaCl₂ to the protein solutions did
322 not alter their spectra for both β -CN_{pure} and β -CN_{conc} solutions (results not shown).

323

324 3.6. Microstructure of aggregates

325 The association and dissociation of β -CN were characterised by light microscopy using a temperature-
326 controlled sample stage. β -CN_{pure}/H₂O, β -CN_{pure}/imidazole, β -CN_{conc}/H₂O and β -
327 CN_{conc}/imidazole solutions in the presence of CaCl₂ were selected for microscopy analysis because
328 the particle size measurement showed that they were large enough (> 500 nm) at high temperatures
329 for visualisation. Visible aggregates appeared at 37 °C after 20 min in 1% β -CN_{conc} solutions, which
330 confirms that self-association had occurred. However, no particles were observed by light microscopy
331 in 0.05% β -CN_{pure} solutions after incubated at 55 °C for 4 h due to the low protein concentration
332 Therefore, 1% β -CN_{pure} solutions were used to monitor the microstructure of β -CN_{pure} aggregates.

333 Figure 8 (A-H) showed DIC images of temperature-dependent 1% β -CN_{pure}/imidazole solution as a
334 function of temperature and time in the presence of 2.5 mM CaCl₂. No particles were visualized at
335 temperatures of 10, 25 and 37 °C (Fig. 8A, B and C), due to the limitation of the microscope
336 resolution. Aggregates were observed at 55 °C after 20 min (Fig. 8D) and the particles look spherical
337 and seem to be uniform in size, which indicates that self-association had taken place. When the
338 sample was heated at 55 °C for up to 4 h, particle size increased (> 1 μ m), with a rounded shape (Fig.
339 8E).

340 Interestingly, the shape of β -CN_{pure} aggregates changed when the sample was cooled down to 37 °C
341 (Fig. 8E and F). In previous studies, β -CN aggregates changed from an oblate ellipsoid to spheroid
342 with temperature was reported by Kajiwara et al. (1988). Small and oblate micelles (Portnaya et al.,
343 2006) or flat disk-like micelles (Moitzi, Portnaya, Glatter, Ramon, & Danino, 2008) have also been
344 observed using cryogenic transmission electron microscopy. In Figure 8G, temperature was cooled to
345 25 °C, very few β -CN aggregates were visible (Fig. 8G). They completely disappeared at 10 °C after

346 20 min (Fig. 8H), suggesting that thermal aggregation of β -CN for the select samples is reversible but
347 that the rate of dissociation is slower than that of association although the heating and cooling rate are
348 the same.

349 Compared with 1% β -CN_{pure_imidazole} solution, 1% β -CN_{conc_imidazole} solution with 2.5 mM
350 CaCl_2 showed very similar self-association and dissociation behaviour during heating followed by
351 cooling (Fig. 9A-H). However, it was found that 1% β -CN_{conc_imidazole} solution formed larger
352 aggregates than β -CN_{pure} under the same conditions (Fig. 9C-F). Increasing heating time led to an
353 increase in particle size and a few irregularly shaped aggregates were produced (Fig. 9E, white arrow).
354 Slight changes in shape during heating or cooling were probably due to the association or dissociation
355 of β -CN.

356 4. Conclusion

357 This study demonstrates that both β -CN products showed self-association at elevated temperature.
358 Different aggregation behaviours were observed, depending on β -CN purity, protein concentration,
359 buffer type and CaCl_2 addition. Generally, adding CaCl_2 promoted thermal aggregation of β -CN and
360 led to larger aggregates (> 500 nm), which were visible using light microscopy. However, in the
361 presence of a certain amount of phosphate and calcium (Ca:P $\sim 1:4$ in this study), the aggregation was
362 inhibited even at high temperatures (55 °C). The new findings of this work are of relevance to end-
363 users of β -casein enriched ingredients in controlling aggregation of β -CN by changing the ratio of
364 calcium:phosphate, temperature and selected ingredients, to optimise the quality and functionality of
365 β -CN-enriched dairy products.

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Figure 1. Reversed-phase high-performance liquid chromatography profiles of proteins in (A) β -CNpure and (B) β -CNconc; (C) Reducing SDS-PAGE of skim milk as a standard (lane 1), β -CNpure (0.05%, w/v of total protein, lane 2), and β -CNconc (0.05%, w/v of total protein, lane 3); Lane M shows the molecular weight markers.

Figure 2. Visual appearance of β -CNpure and β -CNconc in 10 mM sodium phosphate buffer, pH 6.8 at different β -CN concentrations (0.05%-1%) and temperatures (4, 37 and 55 °C).

Figure 3. Temperature-dependent changes in turbidity of 0.05% β -CNpure solutions in the absence (A) or presence of 2.5 mM CaCl_2 (B), at pH 6.8 in different diluting buffers: water (\circ), 10 mM sodium phosphate buffer (X) and 10 mM imidazole buffer (Δ). Error bars indicate standard deviations ($n \geq 3$).

Figure 4. Temperature-dependent changes in the z-average particle size of 0.05% β -CNpure solutions in the absence (A) or presence of 2.5 mM CaCl_2 (B), at pH 6.8 in different diluting buffers: water (\circ), 10 mM sodium phosphate buffer (X) and 10 mM imidazole buffer (Δ).

Figure 5. Temperature-dependent changes in turbidity of 1% β -CNconc solutions in the absence (A) or presence of 2.5 mM CaCl_2 (B), at pH 6.8 in different diluting buffers: water (\circ), 10 mM sodium phosphate buffer (X) and 10 mM imidazole buffer (Δ). Error bars indicate standard deviations ($n \geq 3$).

Figure 6. Temperature-dependent changes in the z-average particle size of 1% β -CNconc solutions in the absence (A) or presence of 2.5 mM CaCl_2 (B), at pH 6.8 in different diluting buffers: water (\circ), 10 mM sodium phosphate buffer (X) and 10 mM imidazole buffer (Δ).

Figure 7. FTIR spectra: vector-normalized amide I bands of 0.05% β -CNpure (A) in water at increasing temperatures from 4 to 55 °C and FTIR spectra with the spectrum of the sample at 4 °C subtracted (B); and vector-normalized amide I bands of 1% β -CNconc (C) in water at increasing temperatures from 4 to 55 °C and FTIR spectra with the spectrum of the sample at 4 °C subtracted (D). The arrow and dashed line indicate an increase in intensity at 1637 cm^{-1} with increasing temperature.

Figure 8. Micrographs of 1% β -CNpure solution in presence of 2.5 mM CaCl_2 during heating (10 to 55 °C, top row panel A to D), followed by cooling (55 to 10 °C, bottom row panel E to H) obtained by light microscope in DIC mode. The black line represents the temperature vs. time curve for the whole measurement. Black arrows indicate the time point at which the images were taken. Before cooling, sample was incubated at 55 °C for 4 h. (F) inset shows oblate spheroid shaped β -CN aggregates. Scale bar: 20 μm .

Figure 9. Micrographs of 1% β -CNconc solution in presence of 2.5 mM CaCl_2 during heating (10 to 55 °C, top row panel A to D), followed by cooling (55 to 10 °C, bottom row panel E to H) obtained by light microscope in DIC mode. The black line represents the temperature vs. time curve for the whole measurement. Black arrows indicate the time point at which the images were taken. Before cooling, sample was incubated at 55 °C for 4 h. (E) inset shows non-spherical shaped aggregates. Scale bar: 20 μm .

Table 1. Compositional data of β -CN ingredients

	β -CNpure*	β -CNconc
Protein content (% , w/w of powder)	85	87.1
Protein purity ** (% , w/w of total protein)		
Total casein	96.6	83.9
α -casein	1.1	1.2
β -casein	90	80
κ -casein	5.5	2.7
Total whey protein	3.4	16.1
α -lactalbumin	-	1.4
β -lactoglobulin	3.4	14.7
Mineral profile *** (mg/100g)		
Na	132.8 \pm 1.0	92.3 \pm 3.8
Mg	0.90 \pm 0.02	98 \pm 6
P	524 \pm 0.5	531.3 \pm 16.9
K	10.6 \pm 0.1	415 \pm 17
Ca	8.1 \pm 0.6	688 \pm 20

*One lot of commercial β -CNpure (lot SLBS9719, C6905, Sigma-Aldrich) was used for protein and mineral analysis

**Individual proteins were characterised by RP-HPLC.

***Mineral content was measured by ICP-MS method.

Figure 1

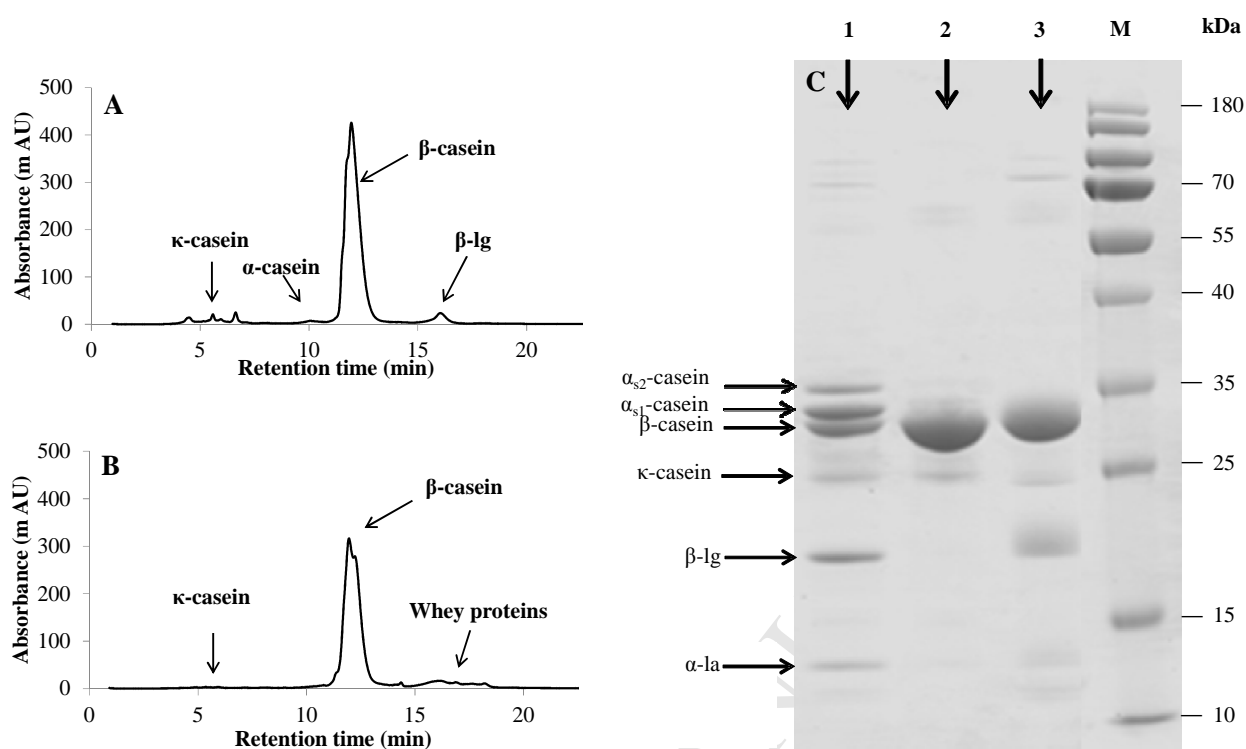


Figure 2

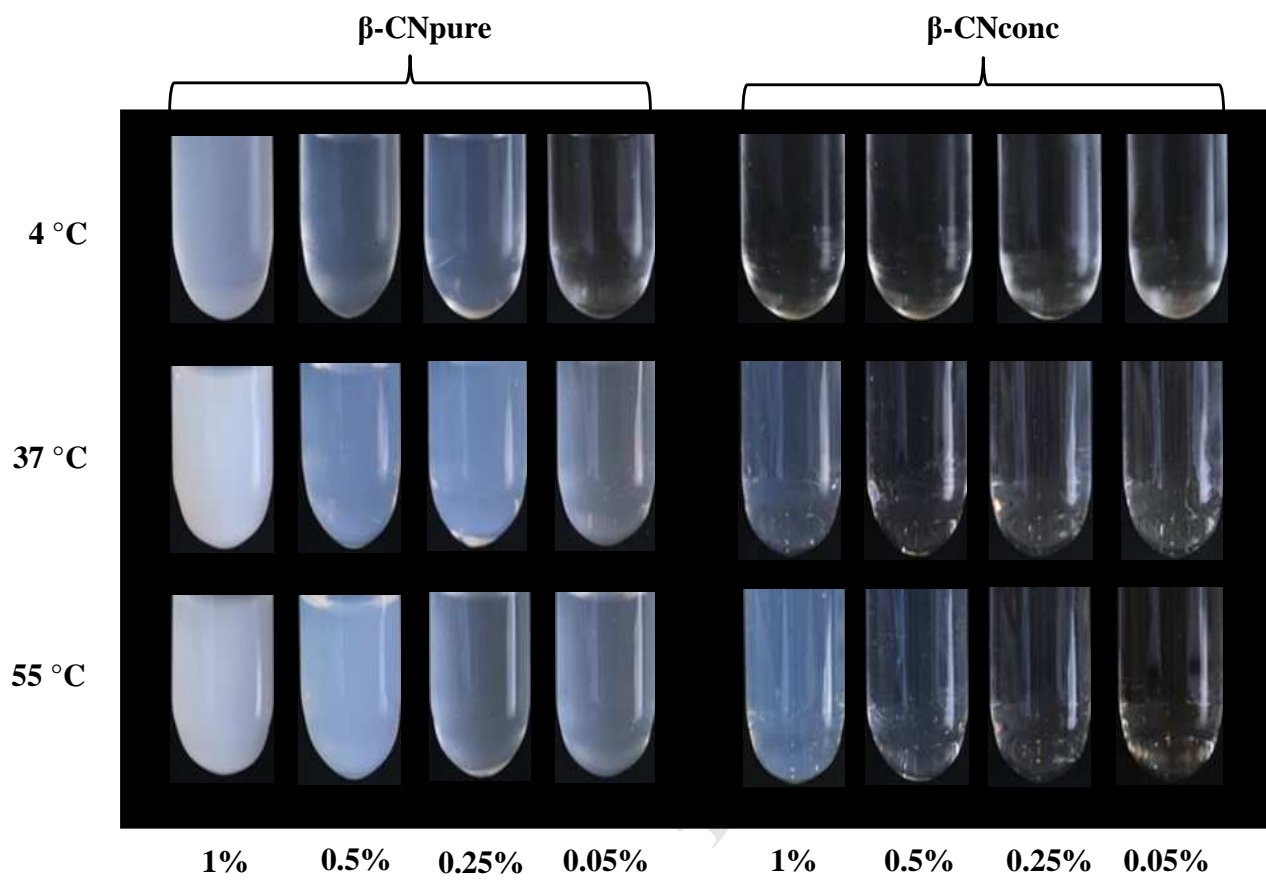


Figure 3

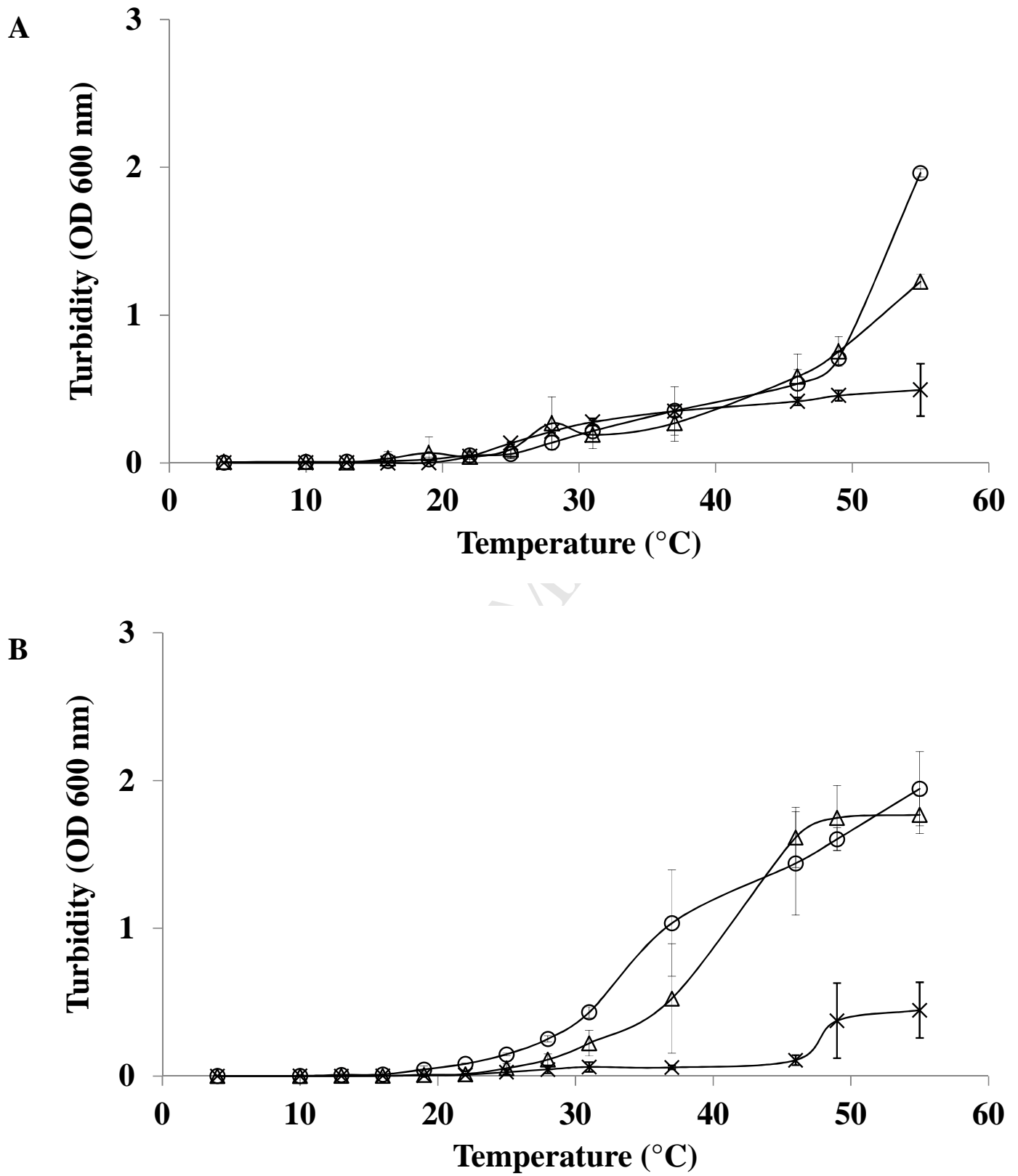


Figure 4

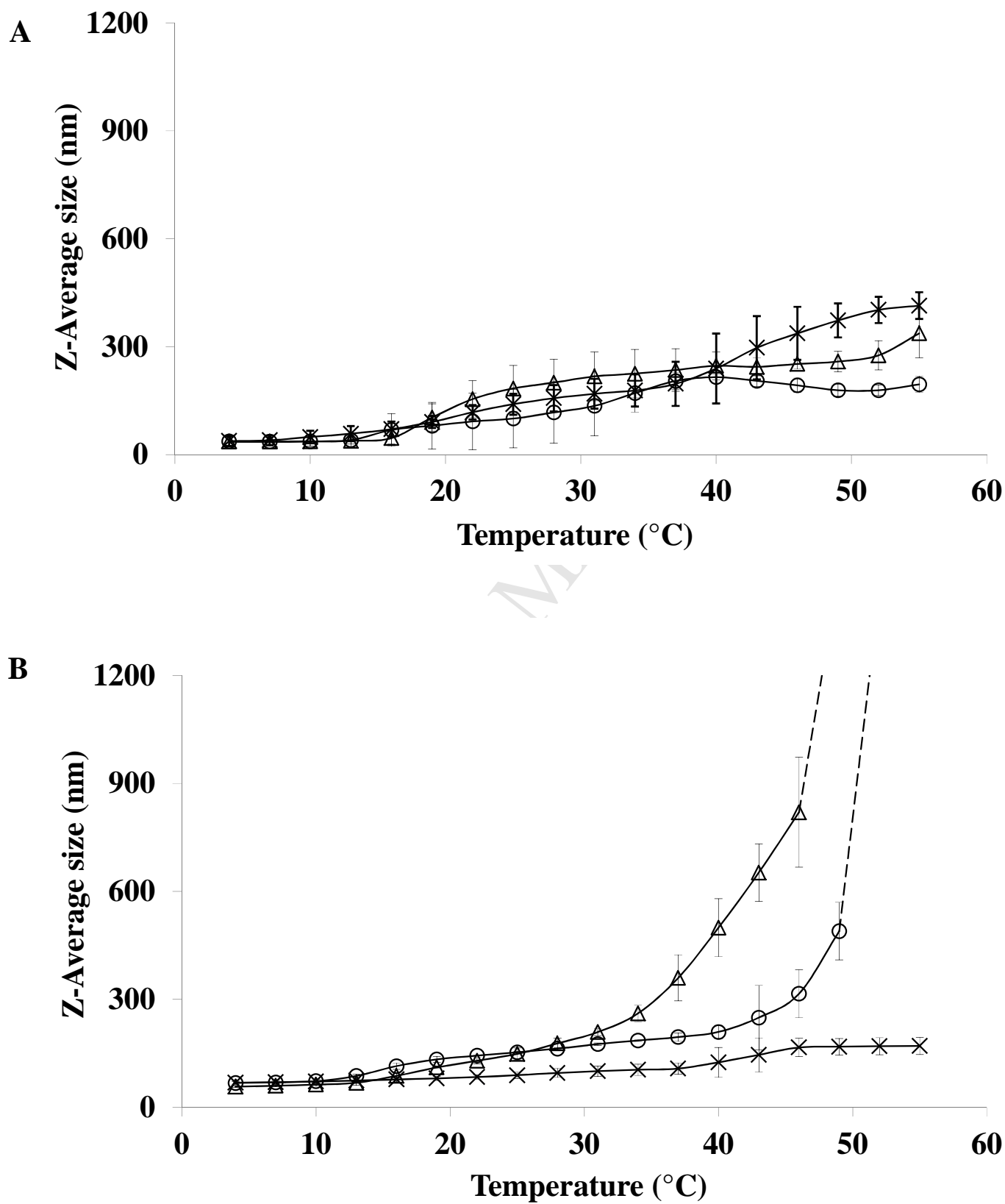


Figure 5

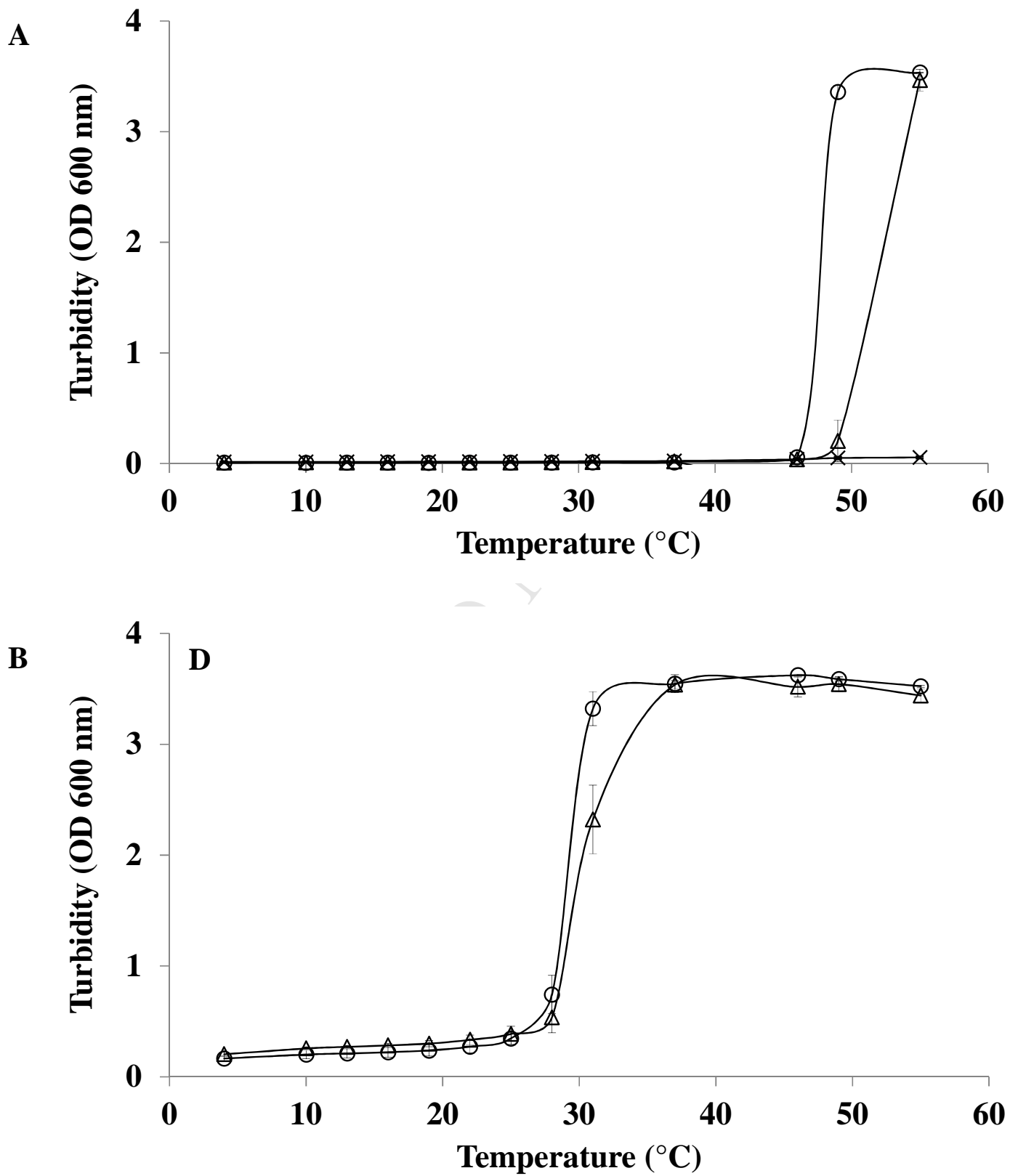


Figure 6

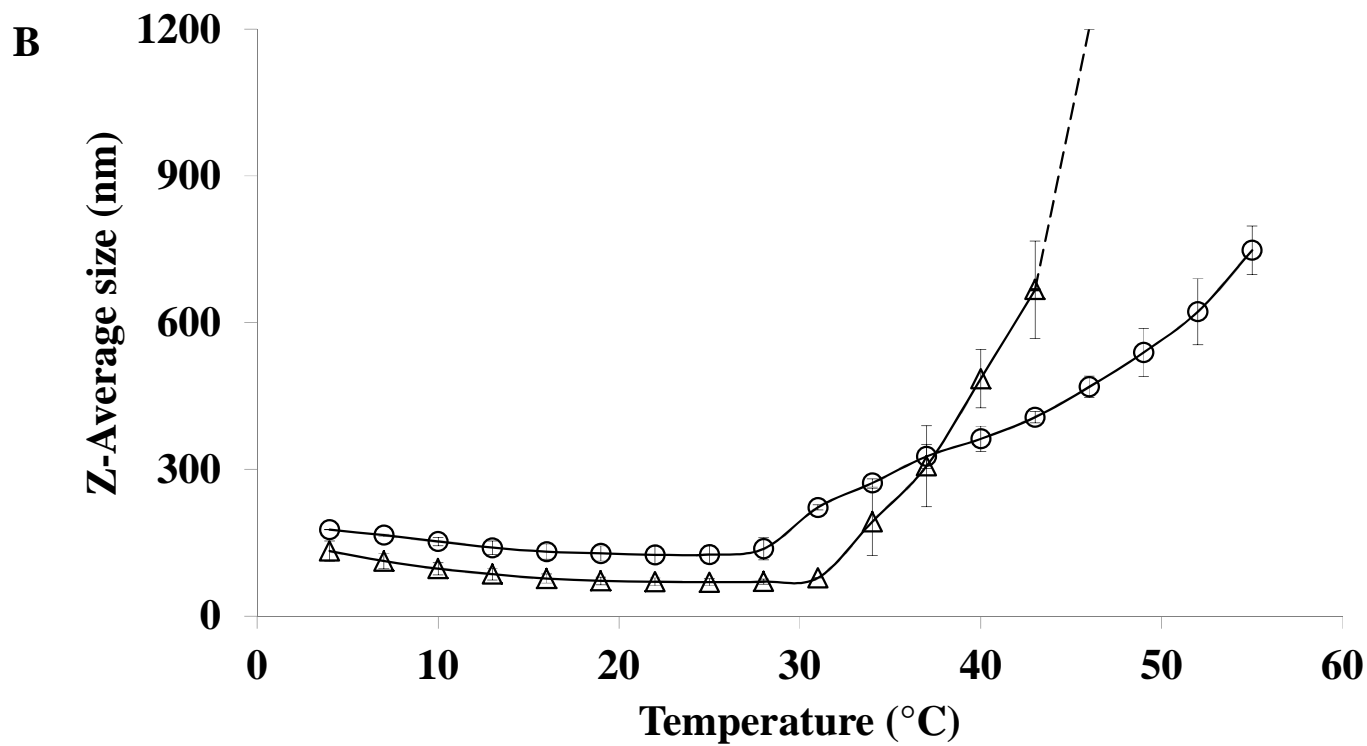
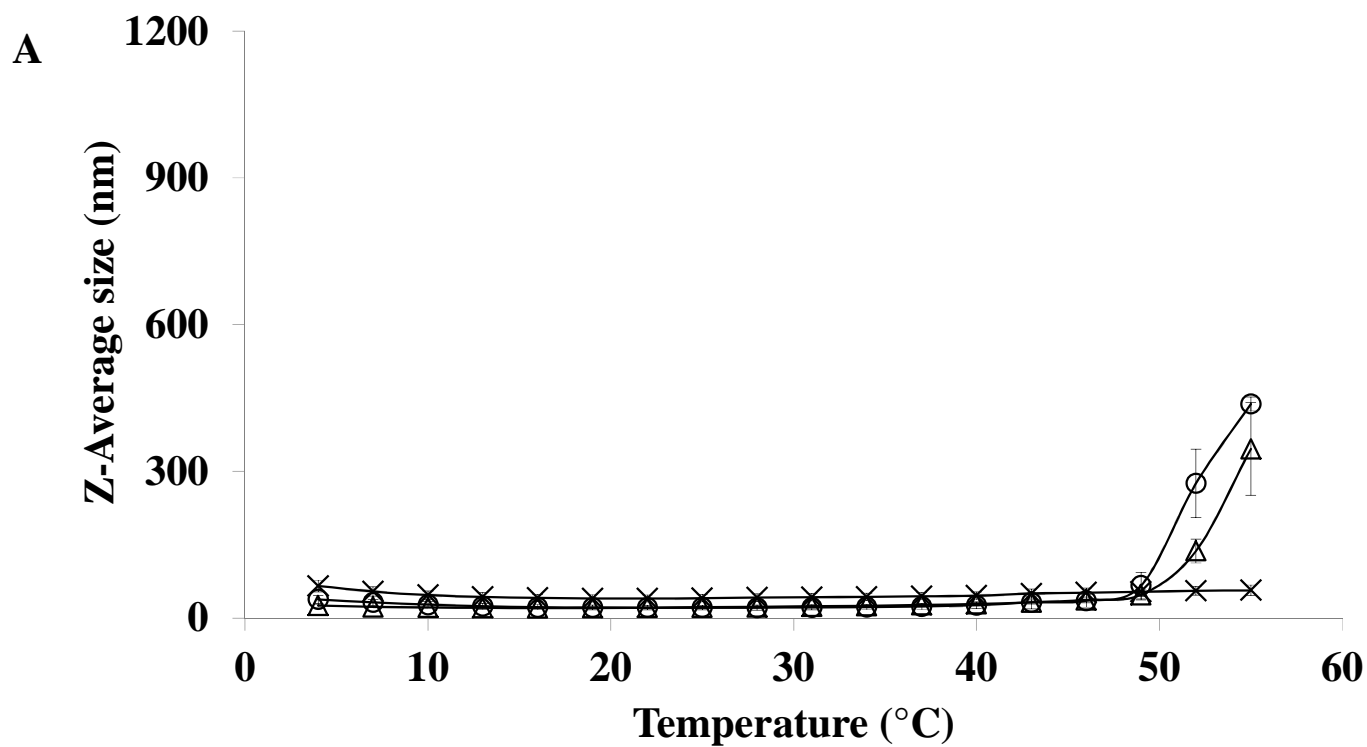
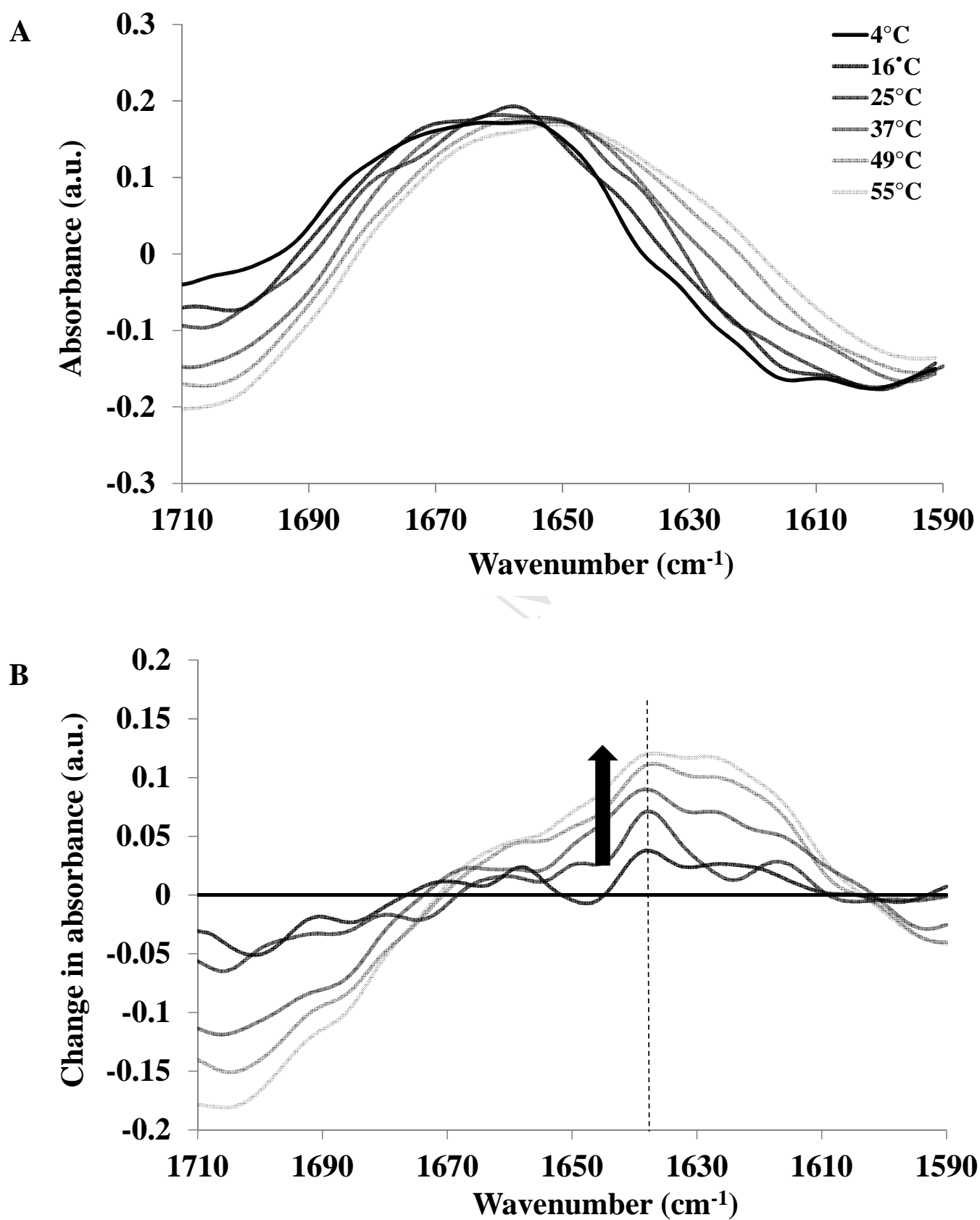


Figure 7



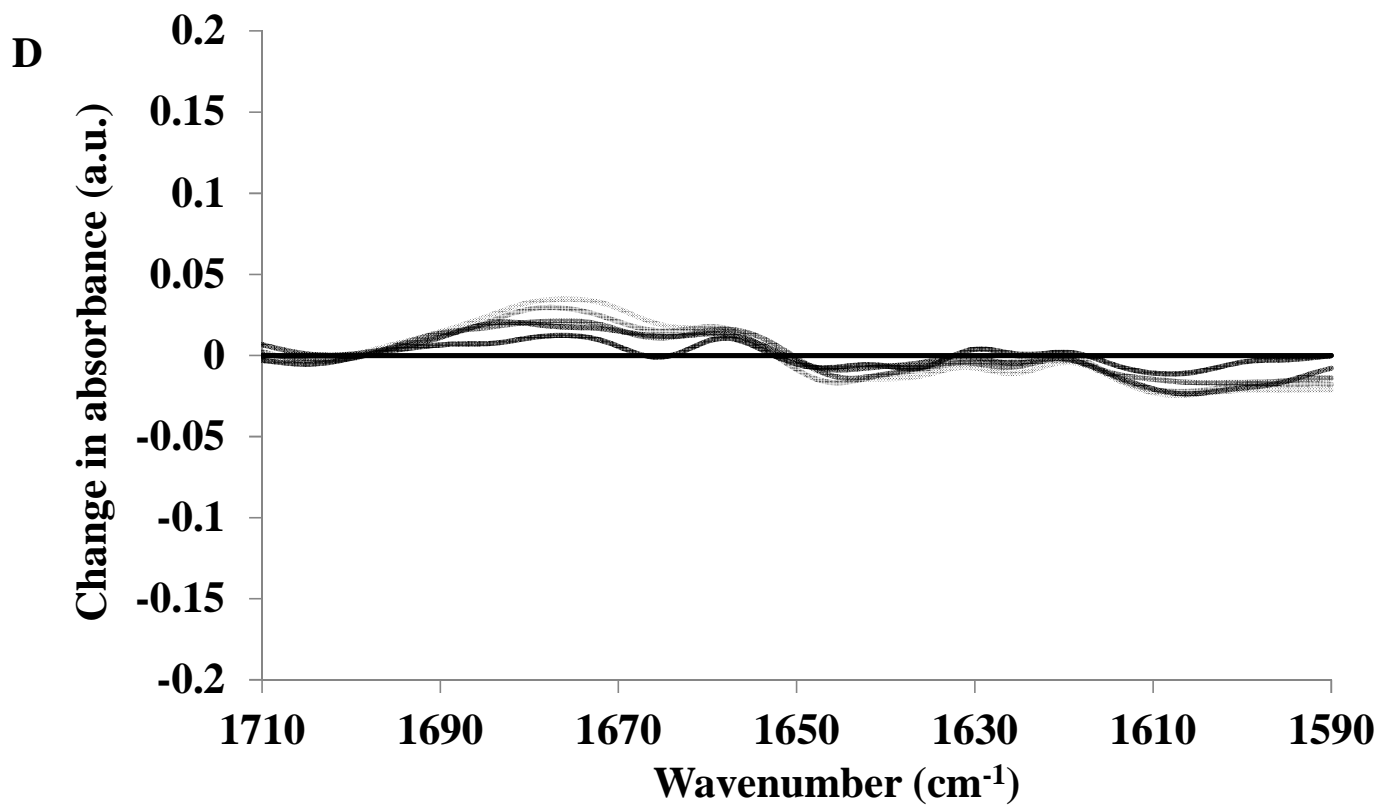
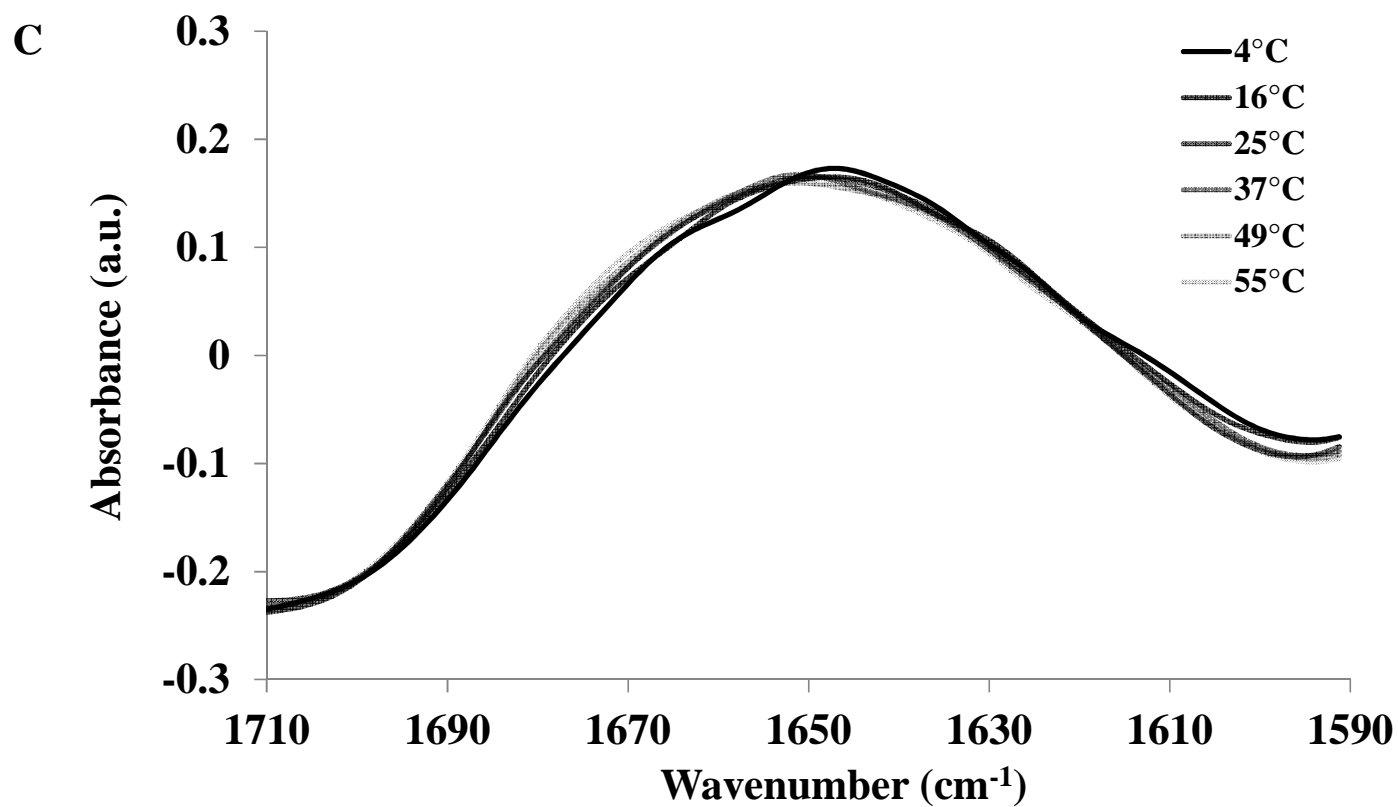


Figure 8

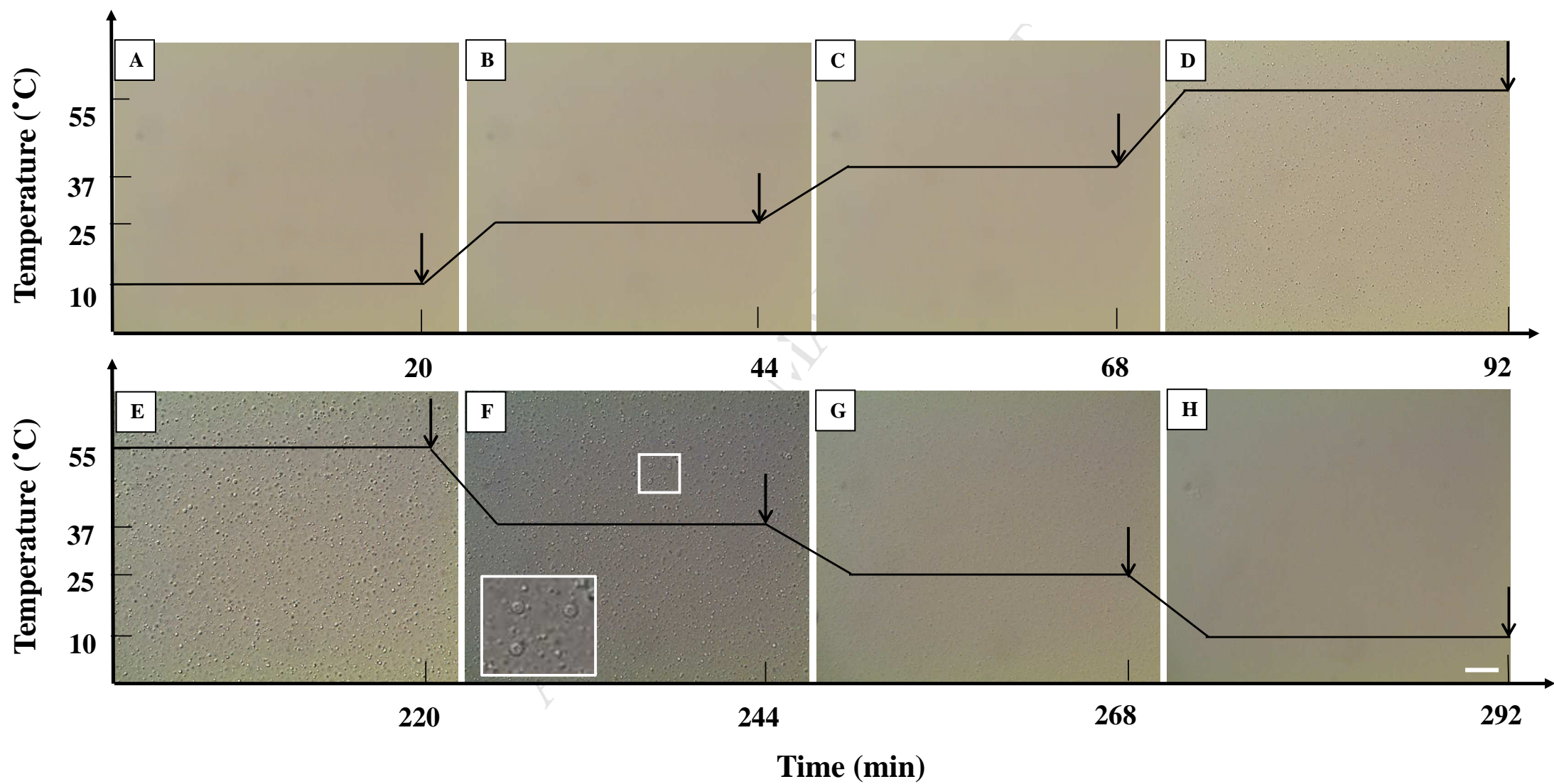
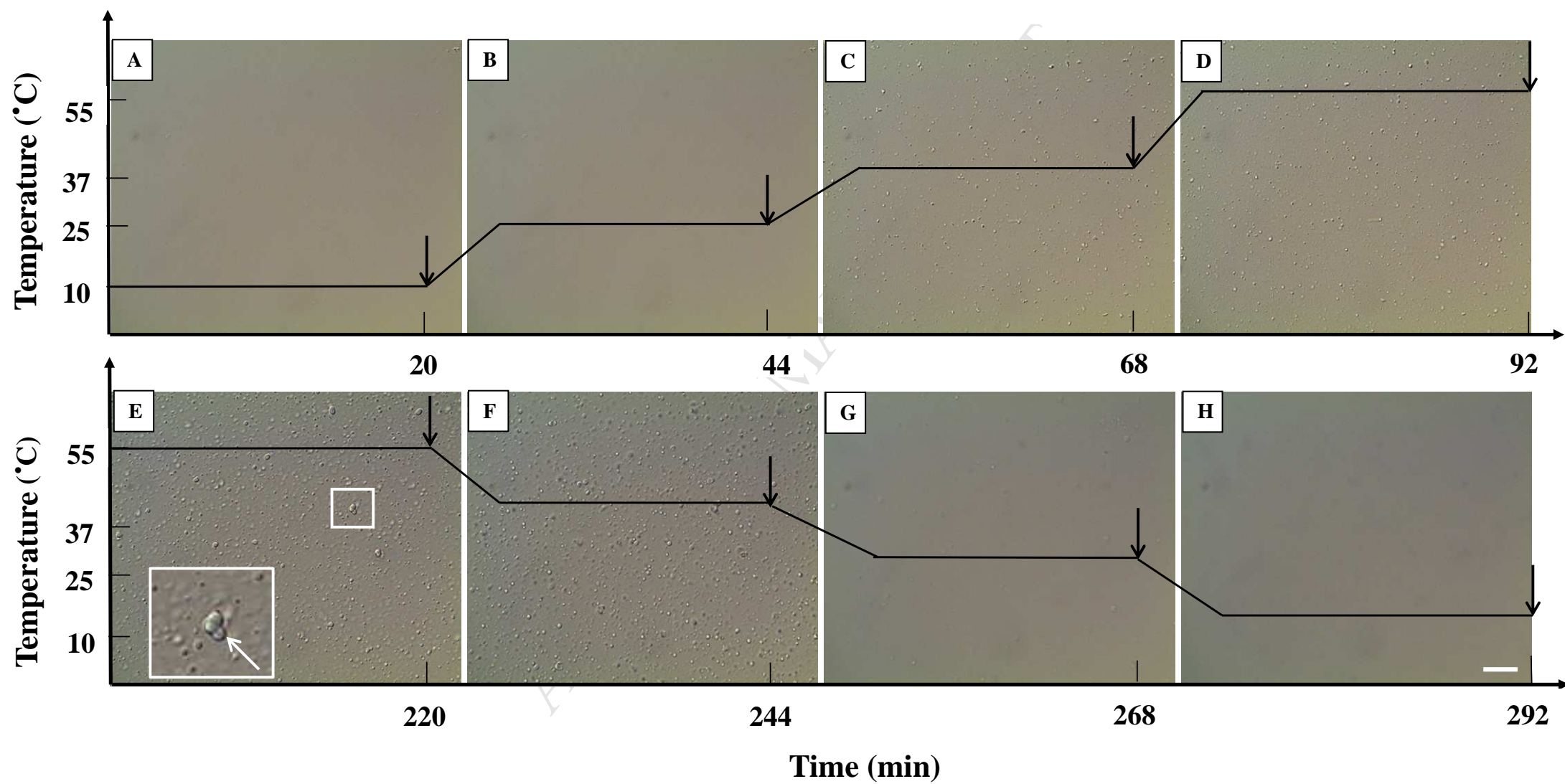


Figure 9



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Highlights

- Self-association of β -casein was observed upon heating
- Self-association was strongly dependent on the purity of the protein
- Calcium addition induced the aggregation of β -casein in water and imidazole buffer but not in sodium phosphate buffer.
- Thermo-reversible, spherical and heterogeneous β -casein aggregates were observed at temperatures above 37 °C using light microscopy.