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Aerosol Generating Procedures In Trauma And Orthopaedics In The Era Of The Covid-19 Pandemic; What Do We Know?

Short Title: AGP In Trauma & Orthopaedics.

Anshul Sobti^{a *}, Mohamed Fathi^b, Mohamed A. Mokhtar^{c,d}, Karim Mahana^{a,d},
Mustafa S. Rashid^e, Ioannis Polyzois^a, A Ali Narvani^a, Mohamed A. Imam^{a,d,f}

^a Rowley Bristow Unit, Trauma & Orthopaedics, Ashford and St. Peter's Hospitals NHS Foundation Trust, Guildford Rd, Lyne, Chertsey, KT16 0PZ, United Kingdom.

^b Faculty of Medicine, Cairo University, Cairo, Egypt.

^c Liverpool University Hospitals, NHS Trust. Liverpool, UK.

^d Trauma & Orthopedic Surgery Department, Suez Canal University, Egypt.

^e Nuffield Department of Orthopaedics, Rheumatology, and Musculoskeletal Sciences, University of Oxford, UK.

^f University of East Anglia, Norwich, UK.

* Corresponding Author: Anshul Sobti, Rowley Bristow Unit, Trauma & Orthopaedics, Ashford and St. Peter's Hospitals NHS Foundation Trust, Guildford Rd, Lyne, Chertsey, KT16 0PZ, United Kingdom, anshulsobti@gmail.com

Aerosol Generating Procedures In Trauma And Orthopaedics In The Era Of The Covid-19 Pandemic; What Do We Know?

Abstract:

Purpose: COVID-19 pandemic has created havoc all over the globe and spared no one regardless of status, gender, location and ethnicity. There were questions raised if trauma and orthopaedic (T&O) procedures actually generated aerosols? The need for a review of literature highlighting the nature and impact of aerosol generation within T&O surgery was noted. **Methods:** A comprehensive online search was performed for all published articles in the English language, evaluating AGPs in T&O surgery and the relevant personal protection equipment used. **Results:** The search strategy populated 43 studies. Six studies were identified as duplicates. The shortlisted 37 studies were screened and nine studies were included in the review. An additional four studies were included from the bibliography review. **Conclusion:** Most Orthopaedic procedures are high-risk aerosol generating procedures (AGPs). Conventional surgical masks do not offer protection against high-risk AGPs. In the current era of COVID-19 pandemic, there is a significant risk to the transmission of infection to the theatre staff. For protection against airborne transmission, appropriate masks should be used. These need proper fitting and sizing to ensure full protection when used.

Keywords: AGP, Aerosol generating procedures, Covid-19, Pandemic, Corona virus

Introduction

In December 2019, an outbreak of the novel coronavirus disease (Covid-19) occurred

in Wuhan, China.^{1,2} This spread rapidly to other areas in China and worldwide.³ Common complications of the disease included acute respiratory distress syndrome [ARDS], arrhythmia, shock, acute cardiac injury, secondary infection, acute kidney injury, and death in severe cases. Its' course is long, and is highly contagious, even during the incubation period.⁴ The Covid-19 pandemic has spread rapidly, leading to a high death count worldwide. The mortality rate among healthcare professional is constantly evolving and worrying. This has been postulated to be multifactorial. Healthcare professionals are at a higher risk of catching the disease due to their exposure to higher viral loads, especially if the virus is aerosolized.^{5,6}

The potential risk to the operating room personnel to exposure to infected material, such as blood or tissue debris, is well described.⁷ Ocular or mucocutaneous exposure bears an underestimated hazard of infection.⁸ This contamination risk is higher in Orthopaedic surgery during trauma, spinal and arthroplasty procedures.⁹⁻¹¹ Orthopaedic procedures, often involve the use of thermal energy tools, such as surgical lasers and electrocautery, and mechanical high-speed power tools, such as bone saws, reamers, and drills.¹² The use of these tools generate large amount of tissue debris. This has been extensively reported in the field of dentistry, however only few studies conducted in Orthopaedic surgery, as yet to the best of our knowledge, corroborate this.^{7,13}

The likelihood of infection transmission for healthcare workers to Covid-19 is more than three times as high as the general population.¹⁴ Consequently, the attention has shifted towards discussion on how to optimally protect healthcare workers. However, recommendations for protection for healthcare workers differ globally. In 2007, the World Health Organization (WHO) released list of aerosol generating procedures

(AGPs).⁵ WHO and Public Health England (PHE) laid down guidance for the use of N95 masks, when performing any AGP, on a suspected COVID-19 positive patient^{6,15}. These guidelines are constantly evolving; there is uncertainty regarding the optimal personal protection equipment (PPE) for AGPs. There has also been confusion regarding the definition of AGPs in T&O. Recommendations for PPE have been influenced by the availability of adequate masks, gloves, gowns, helmets and goggles rather than the science for their use.¹⁴ There was this need to review literature and highlight the nature and impact of aerosol generation within T&O surgery, and its' significance of risking surgeons and other personnel in the operating room.

Materials and methods

Literature Search and Study Selection

A comprehensive online search of PUBMED, MEDLINE, EMBASE, SCOPUS, and GOOGLE SCHOLAR was performed for all published articles in the English language, evaluating AGPs in T&O surgery and the PPE used.

The search was conducted using the following Medical Subject Heading (MESH) terms: “surgical procedures” AND “aerosol” AND “Orthopaedic” AND “PPE” And “aerosol generating procedure” AND “AGP”. The ‘related articles’ function was used to expand the search from each relevant study identified. Bibliographies of retrieved papers were further screened for any additional eligible studies. All identified citations and abstracts were thoroughly reviewed. The latest search was performed on the 15th of June 2020. All studies reporting on AGPs in T&O surgery were included. The primary end-points of the study were: use of power tools or instruments, and Orthopaedic surgical procedures leading to aerosol generation. The secondary endpoint included use of any PPE. When the same institution reported two studies, we

included either the one of better quality (increased sample size), the most recent publication, or both if the studies described different patient cohorts. Studies were excluded from the analysis if they were studying aerosol generation in procedures in other surgical disciplines, aside from orthopedic surgery.

Data Extraction:

Two reviewers (MM and KM) independently extracted data from each study; a third independent evaluator resolved any discrepancies (MI). Study characteristics (first author, year of publication, study design), population characteristics, type of surgical procedure, type of tool used and outcomes of interest as aerosol generation, were recorded. This systematic review was conducted in accordance with the established guidelines from Preferred Reporting Items for Systematic Reviews and Meta-analysis (PRISMA). Heterogeneity calculation was considered unsuitable owing to the inclusion criteria of including studies with methodological heterogeneity. Due to the heterogeneity of the available data it was decided to present the review in a narrative manner.

Results

The search strategy populated 24 studies from PubMed, 16 studies from Scopus and 3 from Web of Science. Six studies were identified as duplicates and were excluded using Endnote X8 program (Thompson Reuter, USA). The shortlisted 37 studies were screened and nine studies were included in the review. An additional four studies were included from the bibliography review, PRISMA flow-chart figure 1.

Characteristics of included studies

Thirteen studies met our inclusion criteria and were included in this review. The studies were conducted under different circumstances and used different design and populations. The included studies and their characteristics are described in **table 1**. A further detailed review, in terms of AGP, has been presented below depending on the tool used in the surgical setting.

Use of High-speed cutter

Nogler et al. showed that use of high-speed tools generate an aerosol cloud of approximately 6m x 3.8m.⁷ The cloud covered the entire work area and extended over to the members of the operating team outside the sterile field. The authors recommended the use of sufficient protection for all medical workers in the operating theatres.

Nogler et al. described aerosol generation with use of high-speed cutter, during spinal laminectomy at L2-L4 levels, in a human cadaveric study.¹⁶ *Staphylococcus aureus* (ATCC 12600) was introduced to contaminate the aerosol produced. This was detected in the operating room at an extension of 5 x 7m. The surgical team showed extensive face and body contamination with *S. aureus*. Despite protection by a barrier drape, similar contamination was observed on both the cadaver's head and the anesthesiologist.

Nogler et al. measured the extent of the environmental and body contamination with *Staphylococcus aureus* (ATCC 12600) caused by an ultrasound device and a high-speed cutter used during hip arthroplasty, tested on human cadavers.¹¹ They reported environmental contamination was present in an area of 6 x 8m for both devices. The

concentration of contamination was lower for the ultrasound device. Both the ultrasound and the high-speed cutter contaminated all members of the surgical team. The devices tested produced aerosols, which covered the whole operating theatre and all personnel present during the procedure. Nogler et al. in a similar human cadaveric study concluded that with the use of high-speed cutters in surgery of the cervical spine, staphylococci were detected in the operating room at an extension of 5 x 7m. The use of use of high-speed cutters produced an aerosol cloud that spread over the whole surgical room and contaminated the operating room and all personnel present.¹⁷

Hydro-surgery debridement

Putxer et al. performed a complete hydro-surgery debridement including a full surgical setup such as draping on human cadavers.¹⁸ The irrigation fluid was artificially contaminated with *Staphylococcus aureus* (ATCC 6538). This study evaluated the spread of contaminated aerosols in hydro-surgery debridement with and without an additional draping device (surgical tent). Without the surgical tent, the hydro-surgery device contaminated all individuals in the operating room (OR) and all parts of the OR to some extent. Additional protection provided by a surgical tent was seen to produce significantly less contamination of the operating room. The surgeon and the surgical assistant showed the greatest decrease in colony-forming units on their person. For both test setups, environmental contamination was observed in an area of 6 × 8m. Both test setups caused contamination of all personnel present during the procedure and of the whole operating room.

Use of domestic electric drills

Kucukdurmaz et al. studied the use of domestic electric drills in Orthopaedic surgery. Although the study aimed at looking at risk of surgical site infection, one of the secondary outcomes demonstrated drills produced statistically significantly higher levels of particles than the ambient air ($p < 0.01$).¹⁹

Aerosol Generating Surgical Activities

Pereira et al. showed that the concentration and size of aerosols present during orthopedic surgery were measured, and the potential sources were identified. Measurements of particle concentration and size were carried out with a portable particle counter. The activities performed within the operating theatre were recorded. The results showed that the concentration of particles varied considerably depending on the type of activity performed. A total of 32 events were identified as being associated with elevated particle concentrations. These events were classified into 13 different types of activities. It was observed that particles above $0.5\mu\text{m}$ – $1.0\mu\text{m}$ had much greater peaks and wider spread than those below $0.5\mu\text{m}$ – $1.0\mu\text{m}$. They reported that most events inside the room generate particles above $0.5\mu\text{m}$ – $1.0\mu\text{m}$. During surgery, the use of a bone saw was an important source of particles. The particle concentration remained high throughout the period in which the saw was used. This event generated particles in all of the size ranges that were considered.²⁰

Heinsohn et al. assessed aerosol generation with bovine blood slowly dripped onto the working area to simulate operating scenario. Tests were performed using an oscillating bone saw, a hall drill, a shea drill on bone, and an electrocautery (Bovie), used in both the cutting and coagulation modes, on tendon. They concluded that surgical power tools generate blood-containing aerosols composed of particles small

enough to be inhaled and deposited in the pulmonary region of the respiratory tract. Inspirable blood aerosols were detected in the surgeons' breathing zone during test operations.²¹

Jewett et al. evaluated aerosol generation with the same protocol as Heinsohn et al. They used a 10-stage low-pressure cascade impactor to determine the particle size distribution of each aerosol and Hemastix was used to assess the hemoglobin content of each particle size. They did the same for another series of blood aerosol, which previously showed the ability to infect human T-cell cultures. They concluded that all of the tools tested produced blood-containing aerosol particles in the respirable size range (<5 μ m). Surgical masks offered little protection against such particles.²²

Yeh et al. evaluated the generation of aerosol with use of a scalpel, electrocautery, irrigation/suction, reamers, bone drill, and an oscillating saw. They found that the concentration and size distribution of these particles depended on the procedure being performed. Some of these particles contained hemoglobin. Quartz crystal microbalance cascade impactor system (QCM) data indicated that the aerosol concentration was highest (although the absolute values were low) when the surgical site was opened; electrocautery was being used primarily, and with occasional applications of irrigation/suction. They compared data obtained between a knee replacement procedure, in which a tourniquet was applied to reduce the blood losses, and other procedures, such as a hip replacement, suggested that the irrigation/suction procedure used during operations was one of the major sources of blood-associated aerosols.¹²

Jewett et al. evaluated the exposure to blood containing aerosols in Orthopaedics, urology, cardiothoracic and obstetric surgery, in the operating theatre. They studied procedures involving use of power surgical tools. Data showed that the mucous membrane lining of the upper respiratory tract and the alveolar macrophages in the gas-exchange region are likely to be exposed to aerosolized blood in the operating theatre.²³

Surgical tools used during hip and knee arthroplasty

Wendlandt et al. evaluated use of surgical helmet systems for protecting surgeons from droplets generated during Orthopaedic procedures. They quantified the contamination of the surgeon by droplets during Orthopaedic procedures by an *in vitro* simulation of hip and knee arthroplasty, while wearing surgical helmet systems versus conventional surgical clothing. They concluded that the contamination risk was 30% while wearing conventional clothing whereas none of the 20 subjects using the surgical helmet system reported any contamination after removal of the protective clothing.⁸

Yeah et al. evaluated the characterization of aerosols produced during total hip replacement surgery in dogs with ⁵¹Cr-labeled blood. Results confirmed that blood-associated aerosols were produced during orthopedic surgery. The time-averaged mass concentration near the surgical site, as measured by the personal impactor, was 0.37 mgm^{-s}. 6.5 pgm⁻³ (1.8% of the total mass concentration) was attributed to red blood cells (RBCs). The estimated number of RBCs or hemoglobin that might be inhaled by a surgeon without any respiratory protection during the course of an orthopedic surgery was about 2.9 x 10⁵ RBCs or 8.7 pg of hemoglobin. About 60% of

the RBCs were associated with particles larger than 10 pm in aerodynamic diameter, and about 8% of the RBCs were associated with particles less than 0.5pm. The number ratio between the RBCs and lymphocytes for humans is about 2200: 1; thus, the estimated number of lymphocytes that might be inhaled by the surgeon, without any respiratory protection, intra-operatively would be less than 135.²⁴

To assess the significance of these findings on the potential risk to health care workers will require further studies of the relationship between pathogens and particle sizes and the viability of pathogens associated

Discussion

Covid-19 pandemic is the largest global health care crisis of this century. A large number of healthcare workers have succumbed to this virus, and the count is rising by the day.²⁵ The PPE, at Work Regulations 1992, legislates that an employer should provide suitable protection and training in the use of equipment.⁶ Studies have recommended that Orthopaedic surgeons wear adequate protective gowns and face/eye protection during procedures likely to generate splashes or sprays of body fluids. Despite higher cost, global demands during the pandemic, personal protection during surgical interventions is mandatory.⁸ The Center for Disease Control in both the US²⁶ and equivalent organization in China, the Association of Spanish Surgeons²⁷, Australia's Department of Health specifically recommend the use of N95 respirators for surgeries involving AGPs on COVID-19 patients.²⁸ In a time when there is limited information about transmission of COVID-19, aggressive protection with complete PPE for AGPs is in line with guidance from multiple national organizations, as well as the limited data available from published studies. Authors have gone far

to recommend guidelines suggesting all theatre staff should wear enhanced PPE.^{25,29}

During a standard procedure, the aerosol cloud produced extends over the area occupied by all sterile and non-sterile members of the operating team. Thus, it is necessary to provide sufficient protection for all medical workers in the operating room.⁷ The concentration of aerosol particles inside an operating room varies depending on the type of activity performed inside the theatre complex. Pereira et al. described that the particles generated by the use of electrosurgical apparatus represent an important source of air contamination. These small particles, gases, and vapors may contain potentially harmful contaminants, such as DNA viruses, aerosols, cell fragments, and other gaseous hydrocarbons, that can be inhaled by the occupants of the operating room.²⁰

Another study demonstrates that contaminated aerosols produced during use of a high pressure pulsed lavage system can spread over the entire operating room, contaminating both the animate and the inanimate environment. This risk remains for the surgical team, especially if the contaminated aerosol is inhaled or comes into contact with conjunctival or mucous membranes.¹⁸

During laboratory simulations, it has been demonstrated that instruments can produce inhalable aerosols.¹² An aerosol cloud consisting of a mixture of irrigation fluid and blood; is produced due to the high revolutions of high speed devices, while working around a basin of fluid or blood or by stream of fluid or blood.^{7,30} Schultz et al. reported that high speed cutters generate a large amount of free particles of tissue from patients, out of which 35% were contaminated with microbes.³¹ This aerosol cloud presents a risk of microbial contamination for the surgical team.⁷

The most common sources of infection are viral pathogens, bacterial, and fungal agents. There are several reports of infection from bacterial agents such as *Staphylococcus aureus*, viral agents like *hepatitis B/C*, and *Herpes simplex* from injuries with sharp and high-speed tools.^{7,11,32} The contamination risk via this route of transmission is especially high in Orthopaedic surgery. There is also a risk of infection for team members through inhalation of aerosols contaminated with pathogens such as *Mycobacterium tuberculosis*, *legionella*, *hepatitis B*, *varicella zoster*, *smallpox*, *influenza* and *Staphylococcus aureus*.^{7,11,32,33} There is addition risk of infection for patients operated on in the same room after such surgery, or in contact with contaminated medical staff.³⁴

Standard surgical PPE includes a face shield, mask, and waterproof gown, double gloves, and shoe covers. There is some disagreement, however, regarding the type of respiratory protection. N95 respirators, powered air purifying respirators (PAPR), or standard surgical masks have been proposed for surgical procedures on patients with COVID-19.³⁵ Electron microscopy has measured the COVID-19 virus to be between 70–90nm in diameter.³⁶ However, droplets less than 5µm in size are typically produced by coughing and sneezing, during which the virus can travel up to 4.5 m, representing a risk to healthcare staff.³⁷ Surgical facemasks were found to provide very little protection for particle sizes 10–80 nm.³⁸ N95/FFP2 masks are at least 95% effective for particle sizes 0.1–0.3µm, which increases to 99.5% or higher for particles that are 0.75µm or larger.³⁵ Therefore, over 95% protection is provided with an FFP2/N95 mask when performing an AGP.⁶ A surgical mask is capable of blocking gross inhalation of droplets, while a well-fitted N95 respirator is additionally capable of filtering aerosols. This is of particular interest to Orthopaedic surgeons as aerosols generation have been identified from use of high-speed tools.³⁰ Smoke from

electrocautery devices has been shown to harbor intact bacterial and virus particles.³⁹⁻

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The incidence of infection with COVID-19 during the early stages of the outbreak, amongst Orthopaedic surgeons in Wuhan, China ranged between 1.5% to 20.7%. The specific recommendation made by authors to prevent COVID-19 infection amongst the Orthopaedic community, was to stay more vigilant and wear N95 respirators at all times.⁴³ There have been questions raised regarding trauma and orthopaedic procedures being regarded as AGP. This review has confirmed that surgical power tools such as saws, burrs, drills as well as electrocautery in cutting and coagulation mode, used in T&O surgery lead to aerosol generation. Procedures involving these instruments place healthcare workers within the operating theatre at high risk for COVID-19 disease transmission. The limitation of this review is the constantly evolving scenario and the inability to perform a systematic review due to the heterogeneity of available information.

Conclusion

Most Orthopaedic procedures produce aerosols. Conventional surgical masks do not offer protection against high-risk AGPs. In the current era of COVID19 pandemic, there is a significant risk to the transmission of infection to the theatre staff. For protection against airborne transmission, air-purifying respirator masks should be used. Proper fitting and sizing is essential to ensure protection whilst using these masks. This review helps to clarify the uncertainty surrounding the generation of aerosols with Trauma and Orthopaedic procedures.

Conflicts of interest

The authors declare that they have no conflict of interest.

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Study ID	Type of surgery	Tool used that caused aerosol generation	Study subject \ setting	Particle size
Nogler 2011	Orthopaedic (Robodoc)	High-speed cutter	Stimulation	The same size of staph aureus
Putzer 2017	Orthopaedic (Lumbar spine)	Hydro-surgery debridement including a full surgical setup such as draping.	Cadaver	The same size of staph aureus
Pereira 2014	Orthopaedic	(Cleaning the room - Cleaning/moving the patient - Moving the surgical linen and gowns - Placing of bandages - Removal of blankets - Moving the patient- Use of electrosurgical apparatus- Moving of equipment- Use of bone saw- Removal of bandages- Movement of the surgical team)	Operating theatre	Ranging from 0.3 μm –10 μm
Kucukdurmaz 2012	NA	Domestic electric drills (DED)	Stimulation in empty operating room (OR)	Size of Staphylococcus epidermidis, Micrococcus luteus, and Staphylococcus capitis
Heinsohn 1991	NA	Oscillating bone saw , Hall drill, and a Shea drill were used on bone, and an electrocautery	Bovine tissue	Between 0.07 to 14 mm
Jewett 1992	NA	protocol 1: an oscillating bone saw ,cast saw, a Hall drill and a Shea drill were used to operate on and a bovine electro cautery. Protocol 2: same tools with HIV infected blood	Stimulation	Between 0.28 to 14 mm

Nogler 2001	Orthopaedic (Lumbar Spine)	High speed bone cutter.	Cadaver	The same size of staph aureus
Nogler 2002	Revision Hip Arthroplasty	Ultrasound device and a high-speed cutter	Cadaver	The same size of staph aureus
Wendland 2016	Hip and Knee arthroplasty	Tools used in Arthroplasty	Artificial foam bone	NA-----
Yeh 1994	Total Hip Arthroplasty	Total Hip Arthroplasty instruments	Dogs with 51 Cr-labelled blood	60% of the RBCs associated with particles large than 10 micro m
Yeh 1995	Total Hip Arthroplasty , Orthopaedic (Spine), Total Knee Arthroplasty	Scalpel, electrocautery, and irrigation/suction, bone drill, saw, acetabular reamer, hammer, sprayer	Operating theatre	< 0.3 micro m to 3 micro m
Nogler 2001	Orthopaedic (Cervical spine)	High-speed cutter	Male human cadaver	The same size of staph aureus
Heinsohn 1993	Arthroplasty \ Anurysmal Resection \ Prostatectomy \ Ventricular Malformation Repair \ Nephrectomy \ Ceserian Section \ Vaginal Delivery	Use of power surgical tools	Operating theatre	14.8 Micro m, 3.5 mico m and 0.52 micro m

- Surgical power tools lead to aerosol generation.
- Operating theatre high risk for COVID-19 disease transmission.
- Conventional surgical masks don't protect during high-risk AGPs.
- Need for air-purifying respirator masks.
- Proper fitting and sizing is essential.

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Figure 1: PRISMA Flow-chart for the review.

