

Revealing the Structure and Oxygen Transport at Interfaces in Complex Oxide Heterostructures via ^{17}O NMR Spectroscopy

Michael A. Hope,¹ Bowen Zhang,² Bonan Zhu,² David M. Halat,^{1,3} Judith L. MacManus-Driscoll,²
Clare P. Grey^{1,*}

1. Department of Chemistry, University of Cambridge, Lensfield Road, Cambridge, CB2 1EW, U.K
2. Department of Materials Science and Metallurgy, University of Cambridge, 27 Charles Babbage Road, Cambridge CB3 0FS, United Kingdom
3. Current address: Department of Chemical and Biomolecular Engineering, University of California, Berkeley, CA, 94720, United States

*To whom correspondence should be addressed: cpg27@cam.ac.uk

Abstract

Vertically aligned nanocomposite (VAN) films, comprising nanopillars of one phase embedded in a matrix of another, have shown great promise for a range of applications due to their high interfacial areas oriented perpendicular to the substrate. In particular, oxide VANs show enhanced oxide-ion conductivity in directions that are orthogonal to those found in more conventional thin-film heterostructures, however the structure of the interfaces and its influence on conductivity remain unclear. In this work ^{17}O NMR spectroscopy is used to study $\text{CeO}_2\text{-SrTiO}_3$ VAN thin films: selective isotopic enrichment is combined with a lift-off technique to remove the substrate, facilitating detection of the ^{17}O NMR signal from single atomic layer interfaces. By performing the isotopic enrichment at variable temperatures, the superior oxide-ion conductivity of the VAN films compared to the bulk materials is shown to arise from enhanced oxygen mobility at this interface; oxygen motion at the interface is further identified from ^{17}O relaxometry experiments. The structure of this interface is solved by calculating the NMR parameters using density functional theory combined with random structure searching, allowing the chemistry underpinning the enhanced oxide-ion transport to be proposed. Finally, a comparison is made with 1% Gd-doped $\text{CeO}_2\text{-SrTiO}_3$ VAN films, for which greater NMR signal can be obtained due to paramagnetic relaxation enhancement, while the relative oxide-ion conductivities of the phases remain similar. These results highlight the information that can be obtained on interfacial structure and dynamics with solid-state NMR spectroscopy, in this and other nanostructured systems, our methodology being generally applicable to overcome sensitivity limitations in thin-film studies.

Oxide thin films exhibit an incredible variety of functional properties, which have been widely applied in electronic, magnetic, and energy devices. Oxide heterostructures combine two or more different phases, the interfaces of which induce novel or enhanced functional properties due to their unique local environments.¹⁻⁴ In particular, vertically aligned nanocomposite (VAN) films, comprising nanopillars of one phase embedded in a matrix of another, have shown great promise for applications as high-temperature superconductors,⁵⁻⁸ ferroelectrics,⁹⁻¹² multiferroics,¹³ data storage media,^{14,15} and electronic/ionic conductors.¹⁶⁻¹⁸ Unlike conventional planar multi-layered heterostructures, the interfaces in VAN films are perpendicular to the substrate, resulting in significantly higher interface-to-volume ratios, more uniform strain, and control over the orthogonal transport properties,^{4,19} leading to their potential use in, for example, micron-sized fuel cells. A comprehensive understanding of the interfacial structures in oxide thin film heterostructures is nevertheless required to optimise their design for these various applications, which is very experimentally challenging: the chemical composition, atomic arrangement and electronic structure at the interfaces can be significantly different to those of the bulk, being influenced by a number of factors such as the lattice mismatch and growth conditions. Previous microscopy-based characterisation techniques^{18,20-23} have the major drawbacks that i) only the 1D intersection of the interface with the external surface is accessible (without ion milling) and ii) it is only feasible to study a very small region of the sample; such results may not, therefore, be representative of the “bulk” interfaces in the sample.

Here we study CeO₂-STO (STO = SrTiO₃) VAN films; these^{17,18} and related films²⁴ have been shown to have greatly enhanced oxide-ion conductivity, which is a key property for technologies such as solid oxide fuel cells,²⁵ catalysts²⁶ and ion-switches,²⁷ but the mechanism which gives rise to this increased conductivity remains unclear. Scanning transmission electron microscopy (STEM) images (reproduced in Figure 1a) of Sm-doped-CeO₂-STO VAN films, grown using the same procedure as the CeO₂-STO VAN films studied in this work, show nanopillars of around 20 – 30 nm in diameter embedded in an STO matrix. The relative orientation of the phases is dictated by the epitaxy on the STO substrate and two types of interface can be distinguished: CeO₂ (110)/STO (100), referred to as the 0° interface, and CeO₂ (100)/STO (110), referred to as the 45° interface, in a ratio of ~3:1; there are also some more poorly defined, rounded, interfaces and steps which complicate the analysis (see Supplementary Note 1 for quantification of the interfaces). STO has a perovskite structure ($Pm\bar{3}m$), which in the <100> directions comprises alternating planes with stoichiometries of SrO and TiO₂, respectively (Figure 1b, left), whereas in the <110> directions there are alternating planes of SrTiO⁴⁺ and O₂⁴⁻ (Figure 1c, left). CeO₂, on the other hand, has a fluorite structure ($Fm\bar{3}m$): in the <100> directions there are alternating planes of Ce⁴⁺ and O₂⁴⁻, and in the <110> directions all the planes have the same stoichiometry of CeO₂, but with an alternating Ce⁴⁺ position (Figure 1b,c, right). In both cases, the ratio of the lattice parameters (3.905 Å for STO, 5.412 Å for CeO₂) results in a matching of 7 STO to 5 CeO₂ unit cells.²⁸⁻³⁰ While such analyses can be used to determine which planes from the two materials lie parallel to each other

at the interfaces, it is non-trivial to identify the local structure and composition at the interfaces and to quantify the extent of disorder/cation mixing.

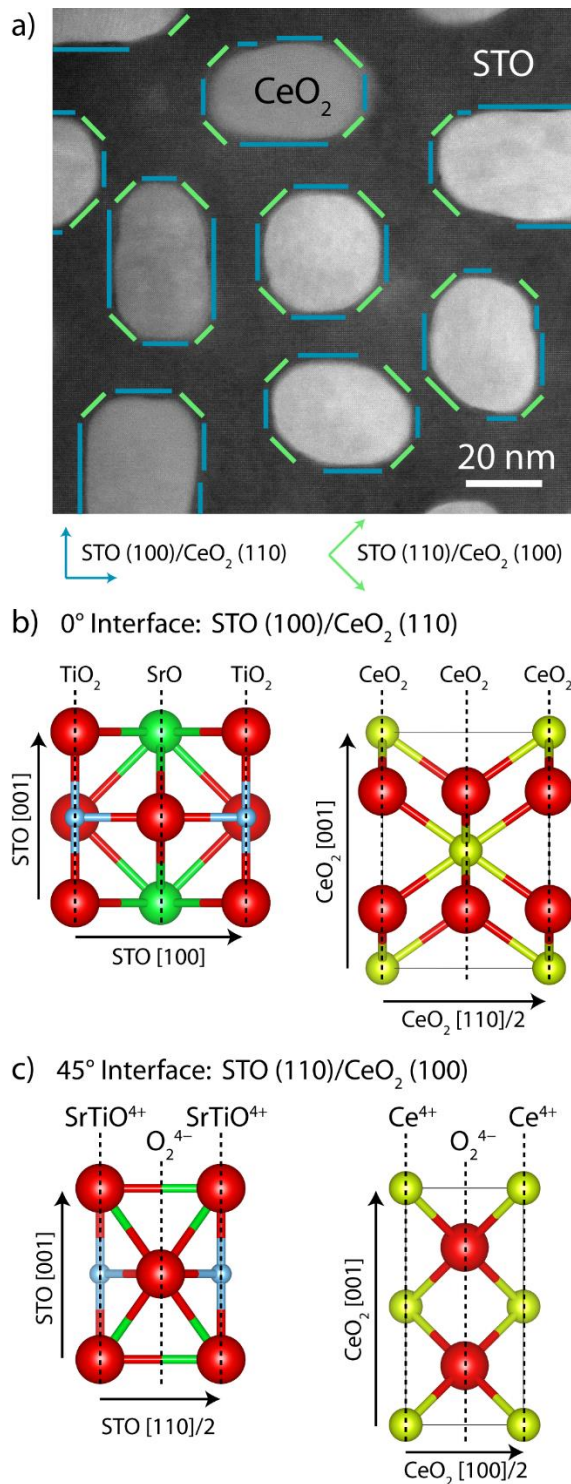


Figure 1: a) STEM image of a 20 at% Sm-doped-CeO₂-STO VAN film, showing the two dominant types of interface, reproduced in part with permission from reference 30, Copyright 2019 Zhu et al., AIP publishing (www.creativecommons.org/licenses/by/4.0/). b,c) Schematics of the orientations of the STO and CeO₂ structures at the two types of interface. The elements are coloured as follows: O – red, Ti – blue, Sr – green, Ce – yellow.

Solid-state NMR is a powerful technique to study local structure and dynamics, and for oxide materials ^{17}O NMR in particular is extremely sensitive to local distortions caused by e.g. surfaces,^{31–34} substituents,^{35–37} and other defects^{38–40}. Furthermore, oxygen motion and oxide-ion conductivity can also be investigated via ^{17}O NMR relaxometry.^{35,37,41} However, NMR, and ^{17}O NMR in particular, suffer from low sensitivity;⁴² the challenge of acquiring spectra with a sufficient signal-to-noise (SNR) ratio is exacerbated when studying thin films due to the extremely low sample mass, and further compounded for the study of interfaces, which, as two-dimensional (2D) entities, inherently only comprise a fraction of the sample volume. Taking these factors together, it can be seen that ^{17}O NMR studies of interfaces in thin films are extremely challenging. There is, however, a redeeming feature: since the nanopillars are approximately 20–30 nm in diameter, the sample actually contains a comparatively large interfacial area ($\sim 10^8 \text{ m}^2/\text{m}^3$, or $\sim 1\%$ of the thin film assuming an interfacial width of 2–3 Å); consequently these are ideal samples for the study of interfacial structure.

A further impediment to the study of thin films by NMR is the presence of the substrate, which leads to significant dilution of the sample, typically by three to five orders of magnitude. There is considerable benefit to separating the thin film from the substrate and in this work a lift-off procedure was therefore developed, shown in Figure S1 and described in detail in the methods, which combines the water soluble buffer layer method of Lu et al.⁴³ and the polymer transfer layer method of Liang et al.⁴⁴ This permits substrate-free thin films to be obtained and transferred into small volume, fast MAS, 1.3 mm outer diameter rotors.

It can be challenging to interpret the chemical shifts in NMR spectra *a priori* and directly derive structural information, particularly in complicated systems. Instead it has been shown to be particularly effective to combine NMR spectroscopy with density functional theory (DFT) calculations: the NMR parameters are calculated for different possible structures which are then compared with the experimental spectra to determine which is most consistent.^{45–47} The parameters cannot simply be calculated, however, if the structure in question is not known, such as at an interface; this hurdle can be overcome by using random structure searching (RSS) or *ab-initio* RSS (AIRSS).^{30,48} In RSS, the atomic positions of some or all of the atoms in the structure are randomised, before being allowed to relax into a local low energy arrangement; this process is repeated a sufficient number of times that the resulting lowest energy structures are probable candidates for the true structure(s).

Using the aforementioned lift-off procedure and selective ^{17}O enrichment, the ^{17}O MAS NMR spectra of CeO_2 –STO VAN films reveal the signal from a single anionic layer at each interface. The relative oxide-ion conductivity of the interface and bulk components is probed by varying the temperature of isotopic ^{17}O enrichment, and NMR relaxometry is used to demonstrate the presence of motion at the interface. Furthermore, the interfacial structure is determined by comparison with the NMR parameters calculated using DFT for different possible structures derived from RSS. Overall, the NMR spectra

unveil the interfacial structure and dynamics in the VAN films, and this methodology should prove generally applicable to other thin film systems.

Results and Discussion

¹⁷O NMR spectra

Figure 2a shows the ¹⁷O NMR spectrum of the CeO₂-STO VAN lift-off thin films, enriched with ¹⁷O at 450 °C. The CeO₂ signal, observed at 879 ppm, comprises a sharper and a broader component; the former are ascribed to bulk-like CeO₂ environments near the core of the nanopillars (bulk CeO₂ exhibits a very sharp ¹⁷O resonance at 877 ppm^{32,49}) and the latter to environments nearer an interface, which are less well defined. The STO signal is observed at 466 ppm⁵⁰ and the ZrO₂ of the rotor can be seen at 377 ppm⁵¹. Between the CeO₂ and STO signals, a broad resonance can clearly be observed centred at 680 ppm, which is assigned to oxygen ions at the CeO₂-STO interface. The CeO₂ signal also appears to be asymmetric, corresponding to resonances at 837 ppm and approximately 1000 ppm; these are attributed to interfacial environments which are CeO₂-like. Furthermore, there is some intensity at 575 ppm ascribed to STO-like interfacial environments. The inclusion of these minor signals in the deconvolution is based on the environments predicted by DFT calculations, *vide infra*, but was nevertheless found to improve the fits. The short recycle delay of 0.1 s somewhat suppresses the bulk signals relative to the more distorted, disordered and/or dynamic interfacial signals, allowing the latter to be more easily observed, since the spin-lattice (*T*₁) relaxation constants of pure bulk CeO₂ and STO are ca. 300 s and 125 s, respectively (Figure S2 and Figure S3); the short recycle delay, however, precludes quantitative comparison of the signal intensities.

For eighteen ~1 μm thick 0.5 cm² thin films, there is a total volume of just under 1 μL, although transfer losses of around a factor of two, or greater, are expected. This corresponds to a sample mass of ~3 mg and the region of interest, i.e. the interface, constitutes only ~0.03 mg; given the extremely low active mass, it is remarkable to obtain a high-quality ¹⁷O NMR spectrum in under a day (SNR = 24). A second sample containing only four lift-off CeO₂-STO VAN films, rather than the eighteen used for Figure 2a, shows similar signals but took significantly longer to record (5 days, Figure S4).

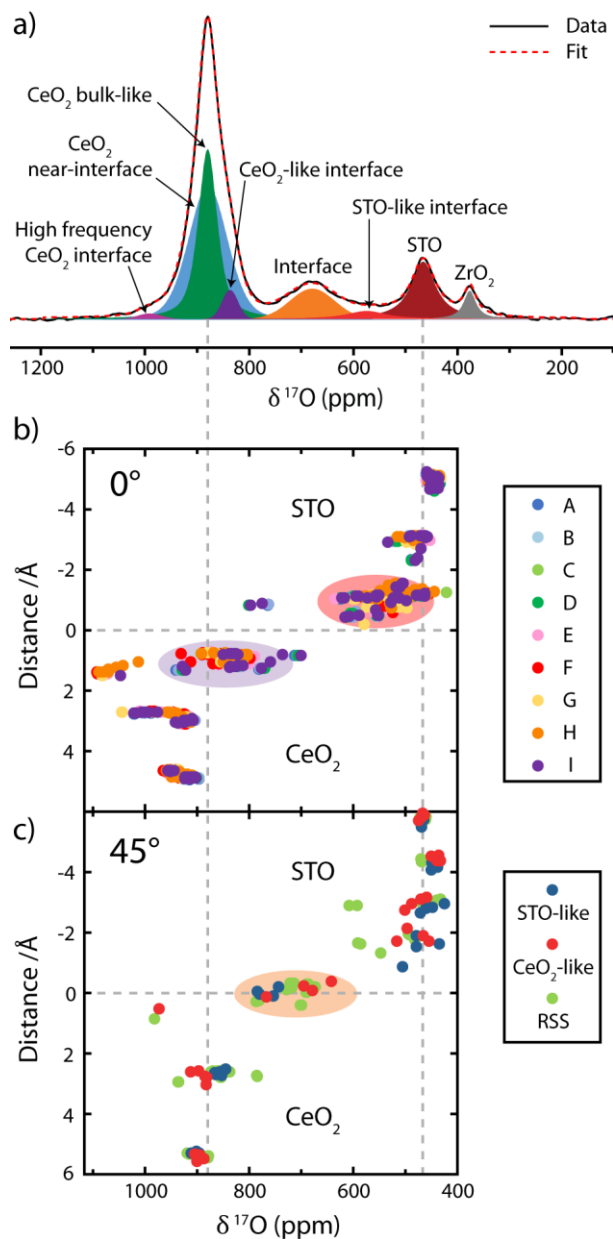


Figure 2: a) ^{17}O NMR spectrum of CeO_2 - STO nanopillar lift-off films enriched at 450°C , recorded at 9.40 T and 50 kHz MAS using a Hahn echo pulse sequence with a single rotor period delay, a 0.1 s recycle delay and 800,000 scans (22 hours). The spectrum has been deconvoluted using Voigt functions. b, c) DFT calculated isotropic shifts as a function of distance from the interface for different predicted interfacial structures: b) 0° interface, low energy structures (A – I); c) 45° interface, three structures— STO - and CeO_2 -like interfaces of the simple model, and a low energy structure with an intermediate stoichiometry found from structure searching (RSS).

Structure of the Interface

To interpret the spectral signatures of the VAN films, the interfaces were investigated using DFT. The major 0° interface has previously been studied in depth using RSS to find possible interfacial structures; in these calculations, the final STO layer at the interface was chosen to have the stoichiometry TiO_2 on the basis of STEM analysis.³⁰ As shown in Figure 1b, the planes which meet at this interface contain both metal cations and oxide anions; to avoid like-charged ions coming into close proximity, there must be significant structural rearrangement at this interface. The ^{17}O NMR parameters were calculated using DFT for nine of the lowest energy predicted 0° interfaces (Figure 2b, A – I, the structures can be found at DOI: 10.24435/materialscloud:2019.0025/v1; as an example, structure A is shown in Figure 3a).

For the two atomic layers closest to the 0° interface, significant deviations are seen from the bulk chemical shifts; there is a large spread of values, but the layer on the CeO_2 side is centred closer to the bulk CeO_2 shift, at around 820 ppm, and the layer on the STO side is centred closer to bulk STO, at around 580 ppm. There are also some environments predicted on the CeO_2 side of the interface at higher frequencies >1000 ppm; these arise from three-fold coordinated (i.e. undercoordinated) CeO_2 ions, as also observed at CeO_2 surfaces³². These structures reproduce the experimental intensity observed at 560, 837 and 990 ppm, but not the distinct and intense signal at 680 ppm; the lack of agreement with the experimental spectrum can most easily be seen by simulating the ^{17}O NMR spectrum from the calculated parameters for each interfacial structure (Figure S5).

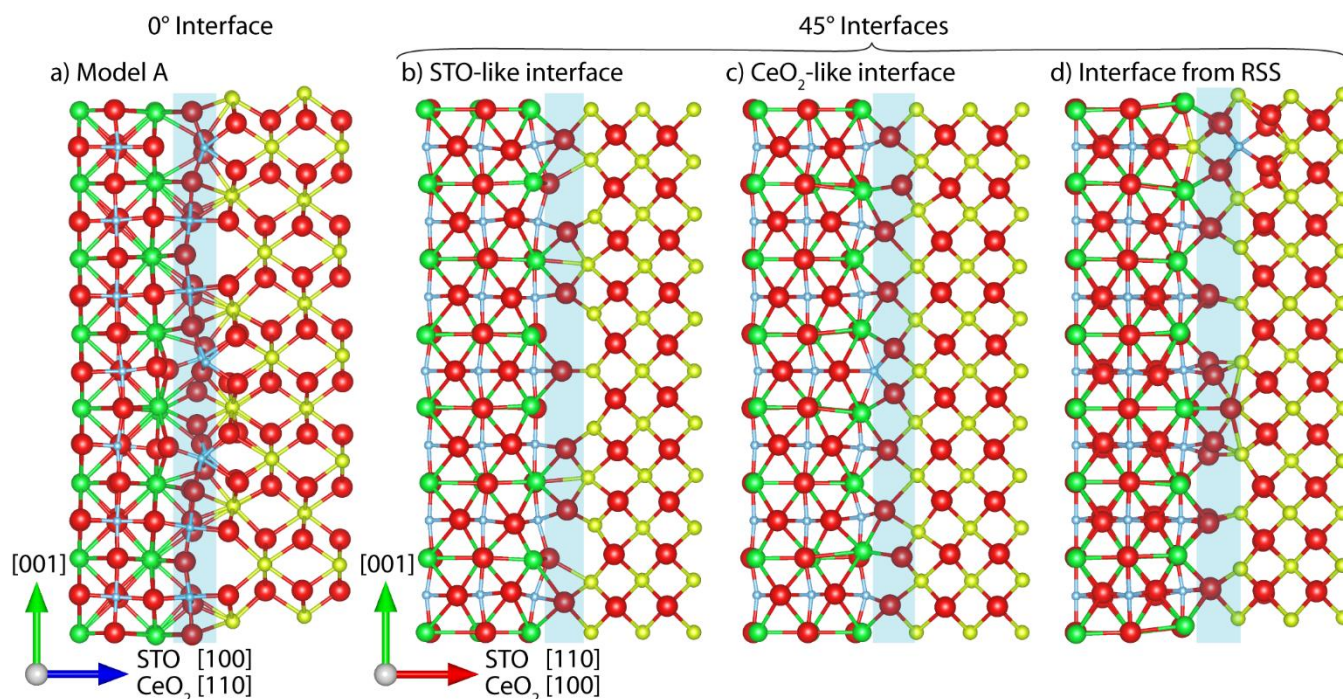


Figure 3: Model structures for STO– CeO_2 interfaces. a) Model A for the 0° interface, found from RSS.³⁰ b,c) The STO- and CeO_2 -like interfaces from the simple model for the 45° interface. d) The 45° interface found from RSS. The elements are coloured as follows: O – red, Ti – blue, Sr – green, Ce – yellow.

In order to identify the 680 ppm signal, the 45° interface was then calculated. Unlike the 0° interface, at the 45° interface every other layer is purely anionic for both structures (Figure 1c), so the interface could logically consist of a shared anionic layer. The stoichiometry of this layer, however, is not necessarily obvious: in a single supercell comprising 7 STO unit cells and 5 CeO₂ unit cells in the *c* direction, an STO anion plane contains 14 O²⁻ ions and a CeO₂ plane contains 20 O²⁻ ions; the interfacial anion layer could therefore conceivably have any stoichiometry in this range.

Initial calculations were performed on a simple model of the 45° interface (Figure S6). This model contains two interfaces: at the first, the anion layer is arranged according to the STO structure with 14 O²⁻ ions (Figure 3b), while at the second the anion layer arises from the CeO₂ structure with 20 O²⁻ ions (Figure 3c). The structure was relaxed using DFT and the ¹⁷O shifts calculated for each interface (STO- and CeO₂-like, Figure 2c). There is some variation of the local oxygen environment in the adjacent layers, but beyond 4 Å from the interface the ¹⁷O shifts match the bulk materials.

The calculated shifts for the interfacial oxygens (Figure 2c) are now clearly intermediate between those of the CeO₂ and STO; this is a consequence of the mixed coordination environment: a Ce⁴⁺ layer on one side, and a SrTiO⁴⁺ layer on the other. The calculated shifts for the STO-like 14 O²⁻ ion interface (~740 – 780 ppm; see also Figure S5) are higher than for the CeO₂-like interface; in the former interface, there are too few oxygen ions to fully coordinate all the Ce⁴⁺ ions, so the undercoordinated cations withdraw more electron density from their adjacent oxygen anions, deshielding them and increasing the ¹⁷O shift. For the CeO₂-like 20 O²⁻ ion interface, on the other hand, ¹⁷O shifts are predicted in the range 660 – 700 ppm, which are consistent with the experimentally observed signal at 680 ppm (see also Figure S5); these are approximately tetrahedrally coordinated oxygen ions with two adjacent Ce⁴⁺ ions, one Ti⁴⁺ and one Sr²⁺.

To gain further insight into the 45° interface, the same random structure searching approach previously used for the 0° interface³⁰ was applied. In this case, an intermediate initial model was chosen with 17 O²⁻ ions per interface; the supercell was constructed so that the two interfaces are related by a mirror plane and are therefore equivalent. Low energy structures were found by RSS using empirical interatomic potentials, and a representative structure was relaxed with DFT (Figure 3d and Figure S7). In this structure there has been exchange of a Ce⁴⁺ and a Ti⁴⁺ ion at the interface, which was a common feature in the RSS results and suggests that cation migration (mixing) can occur at this interface to lower the interfacial energy. The ¹⁷O NMR shifts were then calculated (Figure 2c, Figure S5); there is a wider range of shifts for the ions at the interface (670 – 785 ppm), with environments that are similar to both the STO- and CeO₂-like interfaces.

¹⁷O is a quadrupolar nucleus ($I = 5/2$), therefore in principle the quadrupolar coupling to the local electric field gradient (as measured by the quadrupolar coupling constant, C_Q) can provide further information

on the oxygen environments. Bulk CeO₂ has no ¹⁷O quadrupolar coupling ($C_Q = 0$) due to the tetrahedral oxygen environment and STO has a small C_Q of 1.0 MHz;⁵² the interface, on the other hand, may be expected to have more distorted environments. Figure S8 shows the calculated ¹⁷O C_Q constants for the two 45° interface models: the calculated C_Q constants are low (<0.5 MHz) for the CeO₂ regions, increasing somewhat towards the interfaces, while the STO slabs exhibit larger C_Q constants (~1 MHz), albeit also with a large spread. The only interface which induces markedly higher C_Q constants is the CeO₂-like interface, up to 3 MHz for certain oxygen ions in the adjacent SrTiO₄ layer; this reflects the large anisotropy induced by having an adjacent anion from the CeO₂-like layer, rather than the otherwise cationic coordination. The lack of such environments in the RSS interface suggests that such high energy environments are not realistic. However, even environments with quadrupolar coupling up to $C_Q = 3$ MHz would be hard to distinguish in the experimental spectrum on the basis of the second-order linewidth (~32 ppm) or shift (~20 ppm), since these are less than the experimental linewidth for the interfacial signal (115 ppm). MQMAS experiments could potentially identify such environments,⁴² but would be too time consuming here due to the low SNR, and in a nutation experiment it would be challenging to differentiate the overlapping components with different quadrupolar coupling constants, therefore in this case further insight cannot be gained from the quadrupolar coupling.

Of the models considered for the 45° interface, the best agreement with the experimental ¹⁷O NMR signal at 680 ppm is for the CeO₂-like interface; this may suggest an oxygen-rich stoichiometry closer to 20 O²⁻ ions, which would require defects such as oxygen vacancies nearby to maintain charge neutrality. The STO-like 14 O²⁻ interface is unlikely to be a major component since the calculated shifts are too high. The intermediate stoichiometry 17 O²⁻ interface, however, predicts environments which are in reasonable agreement with experiment, and the structure is more realistic due to the RSS approach. A more thorough screening of the ¹⁷O shifts of other structures derived by RSS may reveal that some structures have a closer match to experiment, but this is not the aim of the present study. In any case, the 680 ppm signal can confidently be assigned to oxygen ions in the common anionic layer of the 45° interface.

The question thus arises, although this spectrum is not quantitative due to the relatively short recycle delay and possible quadrupolar nutation effects, why does there appear to be a greater intensity for environments ascribed to the 45° interface than for the 0° interface, given that TEM indicates a three times greater proportion of the latter? Since environments which resonate around 560, 840 and 990 ppm are also predicted for the 45° interface, very little of the 0° interface may actually be observed. As the ¹⁷O enrichment was performed at a reasonably low temperature (450 °C), this implies a greater oxide-ion conductivity for the 45° interface. This motivated a more detailed NMR study of the ionic transport.

Oxide-ion conductivity

To investigate the relative oxide-ion conductivities of the CeO₂, STO and 45° interface, the ¹⁷O enrichment was performed as a function of temperature (Figure 4); the same sample and NMR rotor were progressively enriched at 250 °C, 350 °C and 450 °C, without unpacking the sample, to allow direct comparison of the spectra. At the lower temperatures, there is ~5× less enrichment than at 450 °C, suggesting that there is insufficient diffusion of ¹⁷O through the thickness of the film but rather a degree of surface selectivity (in agreement with previous results on CeO₂ nanoparticles³²). Although the intensity of all the signals increases with increasing enrichment temperature, and the recycle delay is far from quantitative, the relative oxide-ion conductivities of the phases can nevertheless be inferred from the comparative intensities. This can be more easily seen by scaling the spectra so that the interface signal has the same intensity (Figure 4b); both the CeO₂ and STO signals increase in intensity relative to the interface signal with increasing enrichment temperature, with a greater relative increase for the STO signal. Alternatively, by plotting the ratio of the CeO₂ integrated intensity to those of the interface and STO signals (Figure 4b insert), it can be seen that at higher enrichment temperatures the enrichment of the CeO₂ increases relative to the interface, and that of the STO increases relative to the CeO₂. This implies that the oxide-ion conductivities are in the order STO < CeO₂ < interface.

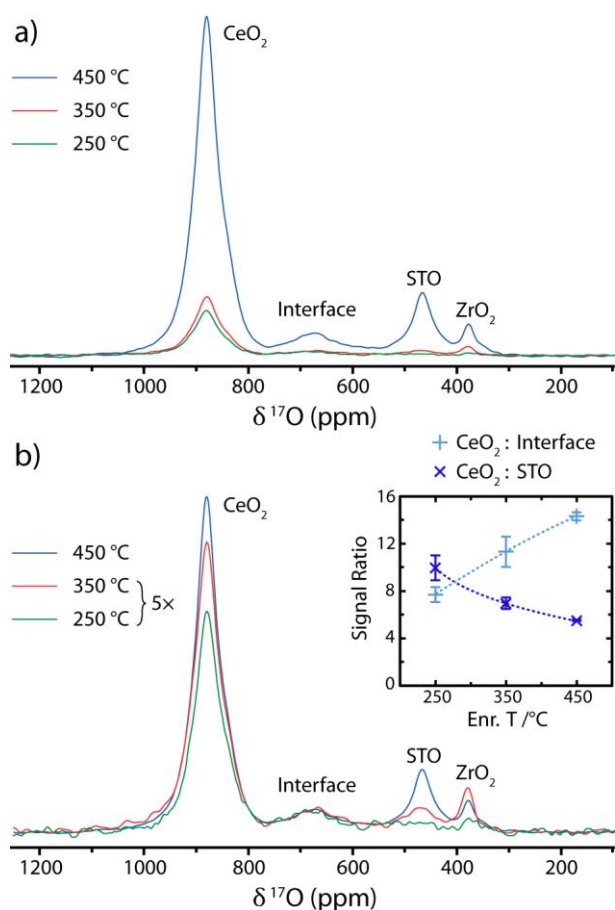


Figure 4: (a) ¹⁷O NMR spectra of CeO₂–STO lift-off films as a function of the ¹⁷O₂ enrichment temperature, recorded at 9.40 T and 50 kHz MAS using a Hahn echo sequence, a 1 s recycle delay and between 80,000 and 160,000 scans (1 – 2 days). The spectra in (b) have been rescaled to give the same

intensity of the interface signal. The inset shows the ratio of the integrated CeO₂ intensity to the intensity of the interface and STO signals; the errors were estimated by fitting a peak to a region of noise.

The greater mobility of the oxygen ions at the 45° interface may be also be probed by relaxometry measurements. Transverse (T_2) decay, as measured by the change in intensity following a variable-length Hahn echo experiment, can be induced by the spins exchanging amongst different environments on a timescale comparable to the spread of frequencies that are sampled^{53,54} (see Supplementary Note 2 for simulated exchange-induced T_2 decay). Since the motion of the oxygen ions will be thermally activated, the T_2 will be temperature-dependent if the motional rate is comparable to the range of frequencies sampled. Figure 5 shows the integrated intensity of the CeO₂ and interface signals as a function of the echo length for two temperatures. The fitted T_2 of the bulk CeO₂ signal changes little between the two temperatures (the initial intensity for the bulk signal is higher at the higher temperature due to slightly faster T_1 relaxation, see Figure S9), whereas the fitted T_2 for the interfacial signal is significantly shorter at the higher temperature, dropping from 1.0 ± 0.3 ms to 0.40 ± 0.10 ms (Table 1). A T_2 constant which decreases at higher temperature suggests exchange in the slow-motion regime, i.e. slower than the range of sampled frequencies. Assuming that the exchange rate follows an Arrhenius temperature dependence, and that therefore so too does the T_2 decay, an activation energy of 0.30 ± 0.13 eV can be estimated from the (albeit only two) temperature points. Unfortunately, the temperature limits of the low-volume fast MAS rotors prevent measurements of the T_2 decay at higher temperatures, so it is not possible to check whether the temperature dependence is indeed Arrhenius, but nevertheless the activation energy is consistent with previous NMR studies of oxygen motion.^{35,37,55} In any case, the temperature dependence of the T_2 relaxation for the ¹⁷O ions at the 45° interface signal provides strong evidence for oxygen motion around the kHz timescale and hence significant oxygen mobility, which would explain the greater enrichment of the 45° interface, especially at lower temperatures. The higher maximum intensity of the interface peak at 71 °C than at 41 °C, also observed in the T_1 measurement (Figure S7), suggests a distribution of correlation times for motion. A possible explanation is that there is a subset of environments at the interface undergoing faster exchange; these signals would coalesce at the higher temperature, increasing the peak intensity.

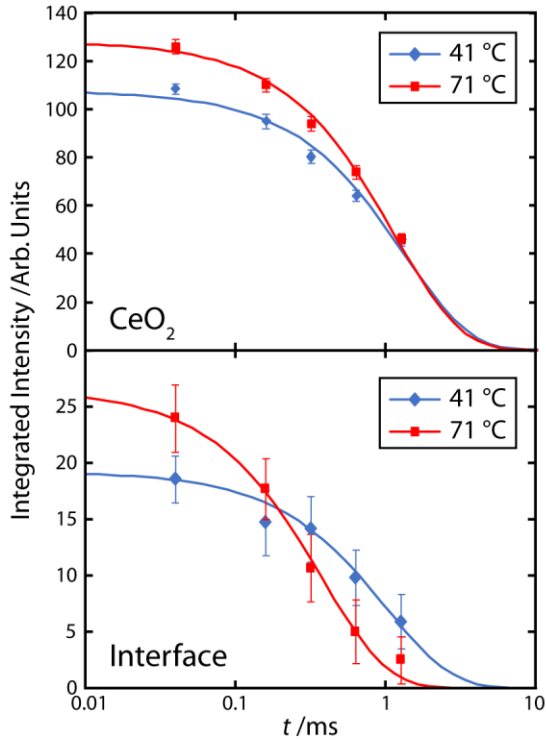


Figure 5: ^{17}O T_2 decay curves for the CeO_2 and interface signals measured at two different temperatures, for CeO_2 -STO lift-off films ^{17}O -enriched at 350°C . The integrated intensity was measured as a function of the total echo length, t , in a Hahn echo experiment performed at 9.40 T and 50 kHz MAS with a 0.1 s recycle delay.

Table 1: Fitted T_2 decay constants for the CeO_2 and interface signals at two different temperatures.

T / $^\circ\text{C}$	T_2 /ms	
	CeO_2	Interface
41	1.33 ± 0.08	1.0 ± 0.3
71	1.23 ± 0.06	0.40 ± 0.10

The high oxide-ion conductivity for the 45° interface is consistent with its poorly defined stoichiometry (Figure 3): the anionic layer can feasibly support between 14 and 20 O^{2-} ions per STO-CeO_2 supercell, whereas charge neutrality requires 17 O^{2-} ions. Both sub- or super-stoichiometric oxide ions can mediate ionic transport, and the stoichiometric interface can be considered to contain three vacancies.

The oxide-ion conductivity of 20 at% Sm-doped CeO_2 -STO VAN films was previously reported to be two orders of magnitude higher than Sm-doped CeO_2 alone.¹⁸ Given the greater conductivity of the 45° interface observed here by variable-temperature enrichment and NMR relaxometry studies, the 45° interface may also be responsible for these previous results. For 25 nm pillars, assuming the interface is 2.5 \AA wide, the total interface comprises $\sim 1\%$ of the sample volume and the 45° interface $\sim 0.25\%$. The conductivity of the 45° interface would therefore need to be four or five orders of magnitude higher than that of the bulk material in order to explain the observed conductivity increase. However, given the significant ^{17}O -enrichment also observed for the CeO_2 phase, it is likely that oxide-ion conductivity

also involves the CeO₂ layers near the interface, possibly via migration of vacancies from the interface into the bulk and/or residual disorder and tensile strain near these interfaces; while controversial, space charge effects may also play a role.^{56,57}

Gd-doped CeO₂-STO Films

A second sample of lift off VAN films was prepared with 1 at% Gd-doped CeO₂ nanopillars in an STO matrix. Gd doping increases the oxide-ion conductivity of CeO₂ by introducing oxygen vacancies, and Gd-doped CeO₂ is a common electrolyte in solid oxide fuel cells,⁵⁸ although typically with higher Gd concentrations of at least 10%. A further effect of Gd doping in CeO₂ is to reduce the ¹⁷O *T*₁ relaxation constant due to paramagnetic relaxation enhancement (PRE). To explore this effect, *T*₁ measurements were made for model bulk samples, the *T*₁ values dropping from ~550 s in pure CeO₂ to 0.3 s for 1 at% Gd-CeO₂ (Figure S2). Thus, greater signal-to-noise could be achieved per unit time when measuring the Gd-doped thin films, and these experiments were performed with four 0.5 cm² films, instead of eighteen as for the undoped films. Furthermore, Gd doping affords PRE without introducing additional signals to the already crowded ¹⁷O NMR spectrum of the nanopillars, because the environments directly adjacent to Gd dopants relax too quickly to be observed (c.f. Sm- and Eu-doped CeO₂³⁵).

Figure 6a shows the ¹⁷O NMR spectrum of Gd-doped CeO₂-STO nanopillars, enriched at 450 °C and recorded with a 0.1 s recycle delay. The spectrum is similar to the undoped films, but the CeO₂ signal is broader due to hyperfine coupling with the Gd dopants, so that the CeO₂-like interfacial resonances can no longer be resolved. The sharper component of the CeO₂ signal, corresponding now to environments that are far from both the interface and any Gd dopants, is accordingly less intense. An additional slightly sharper component of the STO-like interface can be discerned at 522 ppm, and the 45° interface signal is again centred at 680 ppm. The sharp signal at 417 ppm (labelled with a †) is tentatively ascribed to a different local environment in the ZrO₂ rotor caused by dopants/impurities, and was also observed for the second batch of undoped CeO₂-STO nanopillars (Figure S4).

A comparison of the ¹⁷O NMR spectra following enrichment of the same sample between 350 °C and 550 °C (Figure 6b) shows an approximately fivefold increase in intensity for both the CeO₂ and interface signals between 350 °C and 450 °C, as was also observed for the undoped films. But while the intensity of the CeO₂ signal further increases after enrichment at 550 °C, there is little change in the interface intensity; this is again evidence of greater oxygen mobility at the 45° interface, since 450 °C is sufficient for almost complete enrichment of the interface, but not of the bulk CeO₂. This can also be seen by plotting the relative signal ratios as a function of the enrichment temperature (Figure 6c); again the CeO₂:interface ratio increases with increasing enrichment temperature while the CeO₂:STO ratio decreases, implying the relative conductivities are in the order interface > CeO₂ > STO. Furthermore, the ratios are comparable to the undoped case, suggesting that 1% of Gd doping has not had a significant effect on the relative conductivities, except perhaps that the oxygen mobility is greater in the CeO₂

relative to the STO at 350 °C, although there may be a large error associated with this ratio due to the broad low intensity STO signal.

An additional experiment was also performed in which the sample was unenriched by heating in air at 250 °C after having been enriched with ^{17}O at 450 °C, to induce back-exchange with ^{16}O in the air for the more labile ^{17}O -enriched environments. The ^{17}O NMR spectrum (Figure 6d) exhibits a decrease in the ^{17}O signals of predominantly the CeO_2 and interface environments, as shown by the difference spectrum. This indicates that the oxide-ion conductivity of STO is only sufficiently high at higher temperatures to allow significant exchange of $^{16/17}\text{O}$, corroborating the aforementioned relative ionic conductivities of the CeO_2 and STO phases.

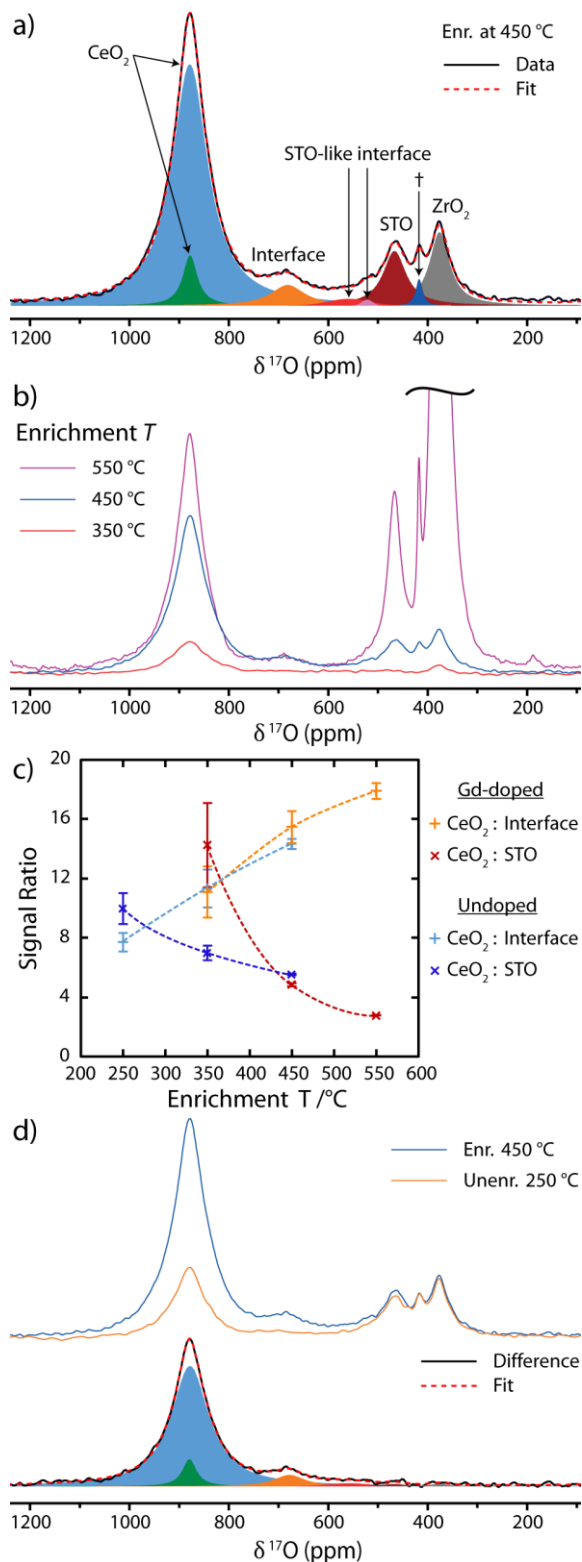


Figure 6: ^{17}O NMR spectra of 1% Gd-doped CeO_2 -STO lift-off films, recorded at 9.40 T and 50 kHz MAS using a Hahn echo sequence, a 0.1 s recycle delay and between 800,000 and 1,660,000 scans (1 – 2 days). a) Deconvolution of the sample enriched at 450 °C; the signal marked with a † is discussed in the text. b) Comparison of the same sample enriched at different temperatures. c) The ratios of the deconvoluted integrated intensities for the CeO_2 , STO and interface signals as a function of temperature. The errors are estimated by fitting a peak to a region of noise. d) The spectrum of the sample enriched at 450 °C after unenriching in air at 250 °C, as well as a deconvolution of the difference spectrum.

Conclusions

Distinct ^{17}O NMR signals arising from a single atomic layer at each internal solid–solid interface were observed for the first time, for the case of a thin-film oxide heterostructure formed from a CeO_2 –STO vertically aligned nanocomposite (VAN). This was made possible by the development of a lift-off and enrichment procedure to minimise sample loss and maximise signal-to-noise. The mass of thin films is already low, but as 2D entities the mass of the CeO_2 –STO interface is even less (~ 0.01 mg for eighteen 0.5 cm^2 film) which represents an extreme sensitivity challenge for NMR; despite this, it was still possible to obtain high-quality ^{17}O NMR spectra in less than a day. Doping with Gd^{3+} reduces the ^{17}O T_1 constants by paramagnetic relaxation enhancement, increasing the signal-to-noise per unit time and allowing similar spectra to be obtained from fewer films.

The qualitatively greater oxygen mobility of this interface was demonstrated by performing ^{17}O -enrichment as a function of temperature, as well as by ^{17}O NMR relaxometry, and we propose that this specific interface makes a significant contribution to the increased oxide-ion conductivity of CeO_2 –STO VAN films.¹⁸ DFT calculations then permitted the major interfacial signal to be assigned to the CeO_2 (100)/STO (110) “45°” interface, a potential structure for which was determined from random structure searching, consisting of an anionic layer sandwiched between Ce^{4+} and SrTiO^{4+} layers from the CeO_2 and STO phases, with an intermediate stoichiometry to the bulk structures. Enhanced oxygen mobility for this interface was ascribed to the disorder and range of possible oxygen stoichiometries at this frustrated interface, which can mediate oxygen transport. By tailoring film growth to increase the proportion of the 45° interface, it may be possible to further improve the oxygen conductivity.

In general, new oxide heterostructures which contain shared anionic layers may have similarly enhanced oxide-ion conductivities due to the disorder and variable stoichiometry inherently imposed at the interface between two incompatible crystal structures. This is an important design principle to exploit. Furthermore, the methodology presented here will allow the atomic structure of new oxide heterostructure interfaces to be investigated, uncovering further structure–property relationships which are key to optimising device performance.

Methods

Film synthesis, lift-off and enrichment

A schematic for the film growth and lift-off procedure is shown in Figure S1. All thin films were grown by pulsed laser deposition (PLD) with a KrF excimer laser ($\lambda = 248\text{ nm}$) on STO (001) substrates ($1\text{ cm} \times 0.5\text{ cm}$), to a thickness of around $1\ \mu\text{m}$ as measured by a Veeco Dektak 6M stylus profilometer. Polycrystalline PLD targets were prepared via solid-state synthesis: the as-received precursors were hand-ground before sintering in air at $1350\text{ }^\circ\text{C}$ for 48 h, with an intermediate grinding and pelletising

step. SrAl₂O₆ targets were prepared from a stoichiometric mixture of SrO (Sigma-Aldrich, 99.9%) and Al₂O₃ (Alfa Aesar, 99.97%) powders. CeO₂/STO and Gd_{0.01}Ce_{0.99}O_{1.995}/STO targets were prepared from mixtures of CeO₂ (Alfa Aesar, 99.9%), SrTiO₃ (Sigma-Aldrich, 99.9%) and Gd₂O₃ (Sigma-Aldrich, 99.9%) as required; the targets comprised a 1:1 molar ratio of the total metal content in the (doped) CeO₂ to the STO.

Before deposition of the target films, a Sr₃Al₂O₆ buffer layer was grown on the SrTiO₃ (001) substrate at a substrate temperature $T_g = 700$ °C with $p_{O_2} = 1 \times 10^{-6}$ mbar, while using a $1.25 \text{ J}\cdot\text{cm}^{-2}$ laser fluence and a repetition rate of 1 Hz for 10 min. The target nanocomposite films were then grown *in situ* at $T_g = 750$ °C and $p_{O_2} = 0.2$ mbar, using a $1.5 \text{ J}\cdot\text{cm}^{-2}$ laser fluence and a repetition rate of 5 Hz for 2 h. After deposition, all films were post-annealed at 650°C for 1 h under $p_{O_2} = 0.8$ bar to ensure equilibrium oxygen stoichiometry.

Poly(methyl methacrylate) (PMMA, Alfa Aesar, $M_w = 950 \text{ kg mol}^{-1}$) was dissolved in anisole (4 wt%, Sigma Aldrich, 99.7%) and spin coated (2,000 RPM, 30 s) onto the thin film with the substrate, then naturally dried for 12 h to obtain a PMMA layer with a thickness of ~300 nm. A polyethylene (PET) sheet was then pressed onto the film on the PMMA side by hand. The thin film, together with the PMMA layer and PET sheet, were immersed in deionized water to dissolve the Sr₃Al₂O₆ buffer layer and remove the substrate. After etching in water, the PET support was removed by dissolving the PMMA layer in chloroform and the chloroform syringed off. Finally, the thin film was dispersed in acetone and added to a 1.3 mm outer diameter ZrO₂ rotor (open at both ends), the lower half of which had been packed with KBr. The acetone filtered through the KBr and/or evaporated, leaving the thin film in the rotor. The top half of the rotor was then also filled with KBr so that the sample was centre-packed. The packed rotor (without caps) was placed in a ~20 cm³ quartz tube which was filled with ~50 mbar of ¹⁷O-enriched O₂ gas (NUKEM Isotopes, 70 at%) while the tube was immersed in liquid nitrogen (resulting in ~0.6 mmol of ¹⁷O₂), before heating the sealed tube at the desired enrichment temperature (250 °C – 550 °C) overnight. Note that in all cases the enrichment temperature is lower than the post-annealing treatment temperature used to prepare the films (650 °C). For the undoped ¹⁷O-enriched CeO₂–STO films, eighteen 0.5 cm² films were packed in the single rotor, and for the Gd-doped CeO₂–STO films, four 0.5 cm² films were packed into the rotor. Samples were handled in air but stored in an Ar glovebox. For progressive enrichments of the same sample, the rotor caps were removed, and the enrichment procedure repeated with the same rotor. Unenrichment was performed in the same way, but with the quartz tube left open to air during overnight heating.

Solid-state NMR

NMR spectra were recorded on a 9.40 T Bruker Avance spectrometer using a Bruker 1.3 mm HX probe, 50 kHz MAS frequency and a Hahn echo pulse sequence ($\pi/2-\tau-\pi-\tau$ -acquire), with recycle delays of 0.1 – 1 s as specified in the figure captions. Variable-temperature NMR experiments were performed

by application of heated nitrogen gas and the sample temperature was determined from an *ex-situ* calibration using the temperature-dependent ^{207}Pb shift of $\text{Pb}(\text{NO}_3)_2$.⁵⁹ The ^{17}O spectra were referenced to H_2O at 0 ppm and deconvoluted using the dmfit program.⁶⁰ T_1 and T_2 relaxation constants, and the errors in these values, were determined by total least-squares refinement of saturation recovery and variable-length Hahn echo data using IGOR Pro.

DFT calculations

Density functional theory (DFT) calculations were performed using the plane wave pseudopotential code CASTEP⁶¹ and the general gradient approximation-based PBEsol exchange correlation functional.⁶² The valence states of $2s\ 2p$ for O, $3s\ 3p\ 3d\ 4s$ for Ti, $4s\ 4p\ 5s$ for Sr, and $4f\ 5s\ 5p\ 5d\ 6s$ for Ce were treated using on-the-fly generated core-corrected ultrasoft pseudopotentials. Due to the large system size, a softer set of pseudopotentials were used from the QC5 library that require lower cut-off energies than the standard CASTEP pseudopotentials from the C18 library. The convergence was checked for the QC5 potential and a plane-wave cut-off energy of 350 eV was found to be sufficient (Figure S10). For one of the interface models, the shieldings calculated with the softer pseudopotentials were then compared to shieldings calculated using the default pseudopotentials with a converged cut-off energy of 700 eV (Figure S11); the two pseudopotentials were found to give almost identical results for the STO region and an error of up to 15 ppm for the CeO_2 region (Figure S12), which is significantly less than the experimental linewidth (~ 115 ppm). Generation settings for both pseudopotential sets are tabulated in Table S2 and Table S3. Reciprocal space was sampled with a $1 \times 4 \times 1$ Monkhorst-Pack grid for the 0° interface and a $1 \times 6 \times 1$ grid for the 45° interface (described below). The GIPAW method^{63,64} integrated with CASTEP was used for calculating the NMR shielding of ^{17}O ions. The shielding was converted to the chemical shift by fitting to the experimental values of STO and CeO_2 (465 and 877 ppm, respectively), using the regression $\delta = -0.5914\sigma + 298.15$; the resulting shifts for the interface are therefore effectively interpolated between those of the pure materials, affording cancellation of any systematic errors in the shieldings. The Atomic Simulation Environment (ASE)⁶⁵ was used to prepare input structures and search seeds. DFT calculations were managed and automated using the AiiDA framework.⁶⁶ Structures were visualised using the VESTA software package.⁶⁷

Interface Models

The structures for the 0° interface were taken from Zhu et al.,³⁰ and the same interface structure searching procedures described therein were used here for the 45° interface. For the 0° interface, a slab model was used with an overall thickness of ~ 14 Å, comprising the STO and CeO_2 structures with the interface in the middle, surrounded by vacuum; by calculating a thicker slab, the 14 Å slab was shown to be sufficient to converge the NMR parameters for the interface (Figure S13). The outer layers are, however, affected by the external surface and for this reason the shifts of the bulk materials are not reproduced; for instance, the overestimation of the CeO_2 ^{17}O shift away from the interface is consistent

with the higher calculated and experimental ^{17}O shifts for CeO_2 surfaces.³² For the 45° interface, a periodic model was used with two interfaces, as described in the text. The random structure searching was performed by randomising atomic positions in the first layers on either side of the interface, subject to species-wise minimal separation constraints, followed by relaxing the ionic positions with fixed cell parameters along the directions parallel to the interface plane, using interatomic potentials as described in reference³⁰. The simulation cells for the 45° interface contained two equivalent interfaces that are mirror images of each other. The procedure was repeated until the lowest energy structures has been repetitively found. A total of 8966 candidate structures were generated and evaluated. The lowest energy structure was further relaxed by DFT and used to compute the NMR shifts.

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Supporting Information Available: Additional figures and tables, analysis of the orientations of the interfaces, and calculated T_2 relaxation under two-site exchange. Spreadsheet of calculated ^{17}O parameters for different interfacial structures.

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