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1 **Metabolic syndrome is associated with reduced flow mediated dilation independent of obesity**
2 **status**

3 *Short title: Metabolic health, obesity and FMD*

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21 Key words: endothelial function, flow mediated dilation (FMD), metabolic syndrome, obesity

22 Word count: 3362

24 **Abstract**

25 **Background:** Data suggest that metabolic health status, incorporating components of **metabolic**
26 **syndrome** (MetS), predicts **cardiovascular disease** (CVD) risk better than body mass index (BMI). This
27 study aims to explore the association of MetS and obesity with endothelial function, a prognostic risk
28 factor for incident CVD.

29

30 **Methods:** Forty-four participants were phenotyped according to BMI as non-obese *vs.* obese (<30 or
31 >30 kg/m²) and according to the International Diabetes Federation criteria of MetS: ≤ 2 criteria MetS
32 (MetS-) *vs.* ≥ 3 criteria MetS (MetS+); **i) non-obese MetS-** *vs.* **ii) non-obese MetS+** and **iii) obese MetS-**
33 *vs.* **iv) obese MetS+**. **Flow-mediated dilation (FMD)**, body composition including liver fat (**magnetic**
34 **resonance imaging and spectroscopy**), dietary intake, intensities of habitual physical activity and cardio-
35 respiratory fitness, were determined. Variables were analysed using a one-factor between-groups
36 analysis of variance (ANOVA) and linear regression; mean (95% CI) are presented.

37

38 **Results:** Individuals with MetS+ displayed lower FMD than those with MetS-. For non-obese
39 individuals mean difference between MetS+ and MetS- was 4.1% [(1.0, 7.3); $P=0.004$] and obese
40 individuals had a mean difference between MetS+ and MetS- of 6.2% [(3.1, 9.2); $P<0.001$]. Although
41 there was no association between BMI and FMD ($P=0.27$), an increased number of MetS components
42 was associated with a lower FMD ($P=0.005$), and after adjustment for age and sex, 19.7% of the
43 variance of FMD was explained by MetS whereas only 1.1% was explained by BMI.

44 **Conclusions:** In this study cohort, components of MetS, rather than obesity *per se*, contribute to reduced
45 FMD, which suggests a reduced bioavailability of nitric oxide and thus increased risk of CVD.

46 **Introduction**

47 Obesity is strongly linked with an adverse cardio-metabolic profile and a number of chronic diseases
48 including type 2 diabetes (T2D) and cardiovascular disease (CVD) (1, 2). Body mass index (BMI) is
49 widely used clinically to determine the risk of complications relating to an excess accumulation of fat:
50 the higher an individual's BMI, the greater their risk of obesity-related complications (3). In contrast,
51 some data suggest that adults with a higher BMI can have a reduced mortality risk compared to non-
52 obese counterparts, an puzzling finding known as the 'obesity paradox', shown in T2D (4) and CVD
53 (5). Metabolic syndrome (MetS) is defined as a cluster of risk factors including abdominal obesity,
54 hypertension, dyslipidemia and insulin resistance. The International Diabetes Federation (IDF) report
55 the role of MetS in the CVD epidemic, and highlight the importance of understanding the further role
56 of vascular regulation and body fat distribution (6).

57 While obesity also has mechanical and psychological implications, there is a growing recognition that
58 not all obese individuals are 'unhealthy', and not all non-obese individuals are 'healthy', with respect
59 to their metabolic profiles. Some data suggest there is a lower T2D/CVD risk in overweight/obese
60 people when there is an absence of Mets components but that there is a higher T2D/CVD risk in normal
61 weight people in the presence of one/more MetS components (7). This has led to the identification of
62 sub-phenotypes within BMI (i.e. metabolically healthy vs. unhealthy obesity and healthy vs. unhealthy
63 normal weight), categories determined by the presence/absence of components of the MetS. There is
64 currently no consensus on a precise definition for these terms/BMI sub-phenotypes, researchers
65 questioning the degree of cardiovascular protection conferred by being metabolically healthy and many
66 suggesting that metabolically healthy obesity represents a 'transient metabolic state' in a progressive
67 and inevitable journey towards T2D and CVD (8-11).

68 When considering cardiovascular risk in these metabolically phenotyped groups, previous research has
69 largely focused on the overall incidence of CVD (8, 9, 12-14). While this is important, endothelial
70 function, an early, prognostic and reversible marker of CVD, is much less explored. The endothelium
71 plays a pivotal role in vascular homeostasis (15), and brachial artery flow-mediated dilation (FMD) is
72 predictive of future CVD risk (16). Endothelial dysfunction, characterised by decreased nitric oxide

73 (NO) bioavailability, resulting in vascular inflammation, vasoconstriction, and thrombosis (17, 18), has
74 been mechanistically related to the greater risk of cardiovascular events in people with obesity (19, 20).
75 To put this measurement into a pathophysiological perspective, a meta-analysis reports that a 1%
76 increase in FMD is associated with a pooled relative risk reduction in CVD of 0.87 (95% CI, 0.83-
77 0.91) (21). Furthermore, there is evidence that FMD has independent prognostic value to predict
78 cardiovascular events that may better than that of traditional risk factors (16). Evidence is lacking on how
79 MetS alone, or in combination with obesity, affects FMD.

80 The aim of this cross-sectional study was to explore the impact of obesity and MetS on endothelial
81 function using measurements of FMD. Careful phenotypic characterisation of participants was
82 undertaken incorporating assessments of lifestyle (including dietary records and physical activity by
83 objective monitoring), measurements of cardio-respiratory fitness (CRF; by $\dot{V}O_2$), obesity and body
84 composition (liver fat determined by MR scanning) and of cardio-metabolic health (including
85 assessment of MetS using International Diabetes Federation criteria).

86 **Materials and Methods**

87 **Participants**

88 Forty-four individuals (30 male, 14 female) with a mean age of 46 ± 11 years were recruited via local
89 advertisement across hospital departments and university campuses. Exclusions included
90 cardiovascular, respiratory, kidney, liver and/or endocrine complications, smoking and >14 units/week
91 of alcohol consumption; all participants were medication free. The study conformed to the *Declaration*
92 *of Helsinki* and was approved by the North West Research Ethics Committee (14/NW/1145;
93 14/NW/1147; 15/NW/0550). All participants were informed of the protocol verbally and in writing
94 before providing written informed consent prior to any assessments.

95 **Study design**

96 All participants completed habitual monitoring of physical activity (PA) and dietary consumption over
97 a period of 4 days (including one weekend day), followed by two assessment visits. The first assessment

98 visit, at Aintree University Hospital, comprised anthropometry, fasting biochemistry, and cardio-
99 respiratory fitness ($\dot{V}O_2$ peak). The second assessment at the University of Liverpool MRI Centre
100 (LiMRiC) comprised flow mediated dilation (FMD) and proton magnetic resonance spectroscopy (1H -
101 MRS). Prior to each study visit, participants were required to fast overnight for >8 hours, abstain from
102 alcohol and caffeine for 24 hours and from exercise for 48 hours; up to 500ml of water was permitted
103 in the morning of a visit.

104 **Brachial artery flow mediated dilation (FMD)**

105 Endothelial function was assessed by measuring FMD in response to a 5 min ischaemic stimulus,
106 induced by forearm cuff inflation placed immediately distal to the olecranon process, as previously
107 described (22). Briefly, baseline images were recorded for 1 min prior to forearm cuff inflation (~220
108 mmHg) for 5 min. Artery diameter and blood flow velocity recordings resumed 30 s prior to cuff
109 deflation and continued for 3 min thereafter. Peak brachial artery diameter and blood flow velocity, and
110 the time taken to reach these peaks following cuff release were recorded. Post-test analysis of brachial
111 artery diameter was undertaken using custom-designed automated edge-detection and wall-tracking
112 software.

113 **Cardio-respiratory fitness**

114 $\dot{V}O_2$ peak was determined using the modified Bruce protocol on a treadmill (Model 770CE, RAM
115 Medisoft Group, Manchester, UK) with breath-by-breath monitoring and analysis of expiratory gases
116 and ventilation (Love Medical Cardiopulmonary Diagnostics, Manchester, UK). The $\dot{V}O_2$ peak was
117 determined by any of the following: respiratory exchange ratio >1.15, heart rate >90% predicted
118 maximum, plateau in $\dot{V}O_2$, or exhaustion, data is presented relative to total body mass and lean mass
119 determined by BIA.

120 **Biochemical measures**

121 Blood samples were collected and analysed using the Olympus AU2700 analyser (Beckman Coulter,
122 High Wycombe, UK) with standard proprietary reagents as follows: glucose with hexokinase, total

123 cholesterol and HDL-cholesterol with cholesterol esterase/oxidase and triglyceride with glycerol
124 kinase. LDL-cholesterol was calculated according to the Friedewald formula.

125 **Anthropometric measures**

126 Height was measured while participants were standing upright, with their back and head straight so that
127 their Frankfurt plane was horizontal, to the nearest 0.5 cm using a stadiometer (Model 220, Seca,
128 Germany). Waist circumference measurements (at the umbilicus) and hip circumference measurements
129 (at the greater trochanter) were taken in duplicate. After 5 minutes rest, blood pressure was determined
130 as an average of 3 measurements using an automated monitor (Dinamap, G & E Medical, USA). Bio-
131 impedance (BIA; Tanita, BC 420, Dolby Medical Stirling, UK) was used in all participants to quantify
132 body composition; those who were safe for MR scanning had the more detailed measures outlined
133 below.

134 **MR determination of adipose tissue and liver fat**

135 Magnetic resonance methods were performed using a 1.5 T Siemens Symphony MRI scanner (Siemens
136 Medical Solutions, Erlangen, Germany) as previously described (23-25). Volumetric analysis of
137 adipose tissue was used to quantify regional fat; proton magnetic resonance spectroscopy (¹H-MRS)
138 was used to determine intrahepatic cellular lipid (IHCL): 'liver fat' percentage relative to water.

139 **Habitual physical activity monitoring and dietary analysis**

140 *Physical activity monitoring* PA was monitored using a validated (26) SenseWear mini armband
141 (BodyMedia Inc., Pittsburgh, PA, USA). Participants were requested to wear the armband at all possible
142 times (except when bathing and swimming (27)), and wear time (recorded as ~98%) was monitored
143 using SenseWear Professional software (version 8.0). Data collected from the armband included: daily
144 average step count, total energy expenditure, active energy expenditure and time spent in different
145 intensity levels of PA including: sleep, lying down, sedentary, light, moderate, vigorous and very
146 vigorous (<1.5, >1.5-3, >3-6, >6-9, >9 metabolic equivalents respectively).

147 *Dietary analysis* Total energy consumption, carbohydrate, protein and fat content were determined from
148 dietary records by a registered nutritionist (KLM) using Nutritics (Nutrition Analysis Software for
149 Professionals; <https://www.nutritics.com/p/home>; accessed 17/07/2017).

150 **Individual phenotyping**

151 Following physiological assessment, participants were phenotyped according to obesity status and
152 presence or absence of MetS. Individuals were characterised into one of four groups based on BMI
153 (non-obese <30 vs obese ≥ 30 kg/m²) and the presence or absence of MetS according to IDF criteria (6);
154 we refer to these groups as i) 'non-obese MetS-', ii) 'non-obese MetS+', iii) 'obese MetS-' and iv)
155 'obese MetS+'.

156 **Sample size calculation**

157 The primary outcome variable was FMD. Based on previous data (22) and a two-sample t-test (post-
158 hoc comparison) with a 0.05 two-sided significance level, a sample size of 10 per group would have
159 80% power to detect a difference in means of 3.5%, assuming a common standard deviation of 2.5%
160 (G*Power 3.1.5 (28)).

161 **Statistical analysis**

162 All data were explored for normality by visual inspection. Comparisons of group demographics were
163 explored using one factor between-groups analysis of variance (ANOVA) for continuous variables and
164 chi-squared for categorical outcomes. The main outcome variables (e.g. FMD, cardio-respiratory
165 fitness, and liver fat) were analysed using a one factor between-groups ANOVA, with Bonferroni
166 correction for multiple comparisons. All FMD data were analysed, and are presented, as covariate-
167 controlled for baseline artery diameter measured prior to the introduction of hyperaemia in each test;
168 this approach is more accurate for scaling changes in artery diameter than simple percentage change
169 (29, 30). Regression models, adjusted for age and sex, were fitted to categories of BMI and number of
170 MetS components to explore the association with FMD. Finally, we estimated the amount of variance
171 explained in FMD by BMI and number of MetS components using an incremental sums of squares
172 approach. Distribution data are presented as mean \pm SD and outcomes of ANOVA as mean (95% CI).

173 The alpha level of statistical significance was set at $P<0.05$. Statistical analysis was performed using
174 SPSS for Windows (Version 24.0, SPSS, Chicago, IL, USA).

175 **Results**

176 **Participant characteristics**

177 Gender, age and BMI for each of the groups are summarised in Table 1. The differences between the
178 mean BMI and components of MetS were in line with WHO and IDF classifications, respectively. Age
179 and gender were not significantly different between groups ($P>0.05$). Overall, habitual physical activity
180 did not differ between BMI categories of MetS; however, sedentary behaviour was greater in both of
181 the obese groups compared to non-obese MetS- ($P\leq 0.028$) and light intensity PA was lower ($P\leq 0.001$).
182 Total energy consumption, carbohydrate, protein and fat did not differ significantly between groups
183 ($P>0.05$) (Table 1). Macronutrient percentages of all groups combined were $53\pm 10\%$ carbohydrate,
184 $26\pm 9\%$ protein, and $21\pm 4\%$ fat.

185 **Flow mediated dilation**

186 FMD was higher in the MetS- individuals in both the non-obese and obese groups (Figure 1A). The
187 non-obese MetS- individuals had a greater FMD than their MetS+ counterparts [4.1% (1.0, 7.3;
188 $P=0.004$)] and obese MetS+ [4.3% (1.3, 7.3; $P=0.002$)], with no difference compared to obese MetS-.
189 The mean difference between the obese MetS- and obese MetS+ was 6.2% (3.1, 9.2; $P<0.0001$), and
190 non-obese MetS+ was 6.0% (2.8, 9.2; $P<0.0001$). There was no significant difference between the
191 MetS+ groups. An increased number of MetS components was associated with a lower FMD ($P=0.04$;
192 Figure 2A), differences were observed from the healthy reference group (0 components) for those with
193 3 ($P=0.005$) or ≥ 4 ($P=0.023$) components of MetS. In contrast, when using a healthy BMI as a reference
194 group ($18.5\text{-}24.9\text{ kg/m}^2$), none of the categories were statistically different for FMD ($P=0.27$; Figure
195 2B). Furthermore, there was no correlation between BMI and FMD ($r^2=0.01$; $P=0.512$; Figure 2C). The
196 variance of FMD explained, when controlling for age and sex, by BMI was 1.1% and by MetS was
197 19.7% . There were negligible and non-statistically significant differences in baseline or peak arterial

198 diameter, shear rate or time to peak between groups ($P>0.05$). All vascular data are summarised in
199 Table 2.

200 **Cardio-respiratory fitness (CRF)**

201 $\dot{V}O_2$ peak was greatest in non-obese MetS-, similar in non-obese MetS+ and obese MetS-, and lowest
202 in obese MetS+ (Figure 1B). Obese MetS+ individuals had a significantly lower CRF than non-obese
203 MetS- by $13.9 \text{ mL}\cdot\text{min}^{-1}\cdot\text{kg}^{-1}$ (6.0, 21.7; $P<0.0001$). Differences between the MetS- groups just fell
204 short of conventional statistical significance ($P=0.056$). The between-group differences are also
205 consistent when $\dot{V}O_2$ peak is expressed relative to lean mass. Interestingly, when FMD was adjusted for
206 individual differences in CRF the difference in FMD between groups remained and was of similar
207 magnitude ($P<0.05$).

208 **MRS determination of liver fat**

209 Group differences in liver fat were non-significant ($P=0.099$), however the mean values for each group
210 suggest a trend toward greater levels of liver fat in the MetS+ groups (Figure 1C).

211 **Assessment of body composition (BIA and MRI)**

212 *BIA* Total body fat measured in percentage and mass was significantly lower in the non-obese groups
213 compared to the obese groups ($P<0.05$; Table 3), however there were no significant differences between
214 MetS- versus MetS+ within the BMI groups. Visceral fat rating was significantly lower in the non-
215 obese MetS- group ($P<0.05$) but there were no other significant differences. No significant differences
216 were observed in BIA derived fat free mass or muscle mass between any of the groups.

217 *MRI* Total subcutaneous adipose tissue (SAT) and whole-body fat were significantly lower in the non-
218 obese MetS- than both obese groups ($P<0.05$). Abdominal SAT was lower in both non-obese groups
219 ($P<0.05$). Visceral adipose tissue was significantly lower in non-obese MetS- when compared to obese
220 MetS-. Of note, there were no significant differences between MetS- versus MetS+ within the BMI
221 groups but the data was not available for all participants.

222 Discussion

223 The aim of study was to determine to what extent MetS or obesity are associated with endothelial
224 function as a surrogate marker of cardiovascular health. The integration of measures of dietary intake
225 and domains of physical activity, biochemical and anthropometric measures including characterisation
226 of components of MetS (IDF consensus) and body composition using magnetic resonance imaging and
227 spectroscopy enabled comprehensive phenotyping of individuals within age- and sex-matched groups.
228 The major finding was that individuals with MetS (i.e. *metabolically unhealthy* individuals) exhibit
229 endothelial dysfunction (lower FMD), irrespective of their obesity status. In contrast, individuals
230 without MetS (i.e. *metabolically healthy* individuals), had relatively preserved endothelial function
231 (higher FMD). Convincingly, MetS status is significantly associated with endothelial function whereas
232 BMI is not. Alarmingly, the FMD differences between the metabolic phenotypes in this study (MetS+
233 vs. MetS-) was identified as ~4-6%, with indication towards an increased risk of incident CVD. Our
234 data highlight the association of increased CVD risk in metabolically unhealthy individuals, irrespective
235 of their obesity status, and suggest that preserved metabolic health may indeed confer a degree of
236 cardiovascular protection and attenuate (but not necessarily eliminate) the risks associated with obesity.
237 Our findings support the existence of distinct phenotypes within different categories of BMI, where
238 MetS+ individuals exhibit a cluster of metabolic abnormalities (e.g. insulin resistance, hypertension and
239 dyslipidemia). The data suggests that endothelial dysfunction is not explained by the absolute fat mass,
240 but rather is determined (in part) by associated cardio-metabolic dysfunction/risk factors alongside
241 known and so far unidentified factors. Individuals with MetS (non-obese and obese) have an
242 unfavourable cardiovascular profile with a lower FMD (an early marker of atherosclerotic disease),
243 while those without MetS (non-obese and obese) have comparable endothelial function. This
244 phenomenon whereby other measures of cardiovascular function differ between *metabolically healthy*
245 versus *metabolically unhealthy* obese adults is observed not only for macrovascular complications, as
246 here and in previous investigations (31) but also for microvascular function (32). Using identical
247 phenotypic classification, we have previously shown similar trends for myocardial systolic and diastolic
248 dysfunction (measured by tissue doppler imaging with transthoracic echocardiography). We observed

249 impaired myocardial performance related to poor metabolic health but not related to levels of fat mass
250 nor to differing amounts of ectopic fat stores (visceral and liver) (33). Mechanisms such as
251 inflammation, increased circulating free fatty acids and pro-inflammatory cytokines have been proposed
252 as mediators of this impact on cardiovascular risk (34).

253 The increasing interest in the study of differing metabolic phenotypes has led many to investigate
254 putative behavioural determinants (e.g. physical activity, diet), however findings remain equivocal (35).
255 We found no difference between the groups for PA (even when domains of physical activity were
256 analysed) nor in their total energy intake/macronutrient intake. We note the disparity between energy
257 intake and expenditure, ostensibly showing the participants in a negative energy balance; however, we
258 recognise that energy intake is largely under-reported, particularly in obese adults. Dietary assessment
259 was not a primary outcome variable and was assessed using the best resources available.
260 Cardiorespiratory fitness was highest in the healthy reference group (non-obese MetS-) and lowest in
261 the obese MetS+ group perhaps as expected, although interestingly both groups of non-obese adults and
262 obese MetS- had comparable fitness. A higher cardiorespiratory fitness is typically associated with a
263 better metabolic profile and reduced CVD risk (36), and our data supports this. In the MetS- obese
264 group, we observed FMD ~15%, this data is somewhat striking but not abnormal. While obesity has
265 many comorbidities, the role of fitness is also recognised as an important prognostic marker that differs
266 across phenotypes (37) and some researchers suggest that recommendations to reduce mortality risk
267 should focus on increasing fitness rather than on weight loss (38). Although we interpret this data with
268 caution it is reasonable to suggest that intrinsic biological mechanisms may contribute to the differences
269 we observe in these phenotypes (such as subacute inflammation, levels of oxidative stress, levels of
270 different regulatory microRNAs and adiponectin(39)).

271 Many authors suggest that cross-sectional observations of preserved metabolic health in obese adults
272 likely represent a transient phenomenon and question their clinical utility and significance. Longitudinal
273 studies are needed to address these important questions. One such study found that 50% of healthy
274 obese progressed to an unhealthy metabolic status over a 10-year follow up period (40). Interpretation
275 of such studies is hampered by the lack of an agreed definition of 'metabolically healthy' (41);

276 conclusions about the degree of protection against CV disease and T2D will clearly depend on the
277 criteria of metabolic health. We opted for the IDF classification of MetS, as the most recent and
278 internationally harmonised definition. Furthermore, FMD is often (as here) studied in the fasted state,
279 yet humans spend a significant of their time in a post-prandial state. Examination of post-prandial
280 endothelial function between the phenotypes described in this manuscript maybe warranted and
281 highlight more profound differences. In particular, the post-prandial state following consumption of a
282 high-fat meal, may be associated with oxidative stress and inflammation, which are potentially
283 important mediators of impaired postprandial vascular function and may differ between these
284 individuals.

285 We acknowledge limitations of the current study, including a relatively small sample size, its cross-
286 sectional design. Participants were recruited via local advertisement, which limits external validity as
287 this yielded only white Europeans; defining a causal relationship with validity at a global population
288 level is therefore not possible. However, we believe the study has significant merit. The study was
289 powered to detect meaningful differences in the primary outcome measure (FMD). It should be
290 acknowledged that there are outliers (Figure 2C), but that removal of these data does not alter the
291 outcome of statistical analyses, so the decision was made to include the data set in its entirety. It utilises
292 objective monitoring of physical activity, a gold standard measurement of cardio-respiratory fitness
293 combined with assessment of body composition including regional (VAT/SAT) and tissue specific
294 (liver) fat and a novel prognostic marker for cardiovascular health, that of endothelial function. Liver
295 fat was not our primary outcome and thus the study was not adequately powered for this outcome.
296 Importantly, this measure was utilised to comprehensively phenotype the individuals. Based on
297 previous work regarding fat deposition, we expected a greater propensity to deposit fat within the liver
298 in the metabolically unhealthy (MetS+) phenotypes. This propensity was observed but did not reach
299 statistical significance between groups. Whilst the present results demonstrate that endothelial function
300 is impaired in those with MetS, larger studies are required with a follow-up design to determine
301 measured cardiovascular function rather than predicted CVD. This has been undertaken to a limited
302 extent in a multi-ethnic population study but did not include the classification of sub-phenotypes (42).

303 In conclusion, the current study provides evidence for impaired NO-mediated endothelial function in
304 both non-obese and obese individuals who have multiple components of MetS (with comparable
305 cardiovascular function in adults without MetS regardless of obesity status). Considering the definition
306 of obesity as a disease (WHO), that recognises the impact of excessive fat accumulation on end-organ
307 complications and the need to triage medical resources to those most in need, earlier detection and more
308 focussed interventions in metabolically unhealthy individuals should be a priority rather than using a
309 purely BMI-centric approach.

310 **Declaration of interest**

311 The authors have nothing to disclose.

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457 **Figure legends**

458 **Figure 1.** Individual participant plots for A) flow mediated dilation (FMD), B) cardio-respiratory
 459 fitness ($\dot{V}O_2$ peak) and, C) 'liver fat' intrahepatic cellular lipid (IHCL) percentage. Black circles,
 460 MetS-; grey circles, MetS+; non-obese are grouped left and obese are grouped right. Group mean \pm
 461 SD data is presented as bar. * $P < 0.05$, group difference.

462 **Figure 2.** Individual plots for all forty-four participants A) flow mediated dilation (FMD) categorised
 463 for number of metabolic syndrome (MetS) components, B) FMD categorised for (BMI) classifications
 464 and C) showing individual points for flow mediated dilation (FMD) and body mass index (BMI).