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TITLE

A PERIOD3 variable-number-tandem-repeat polymorphism modulates melatonin treatment response in Delayed Sleep-Wake Phase Disorder

RUNNING TITLE

PER3 VNTR variants in melatonin treatment of DSWPD

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1 **ABSTRACT**

2 We examined whether a polymorphism of the *PERIOD3* gene (*PER3*; rs57875989) modulated the
3 sleep promoting effects of melatonin in Delayed Sleep-Wake Phase Disorder (DSWPD). One
4 hundred and four individuals (53 males; 29.4±10.0 years) with DSWPD and a delayed dim light
5 melatonin onset (DLMO) collected buccal swabs for genotyping (*PER3*^{4/4} n=43; *PER3* 5 allele
6 [heterozygous and homozygous] n=60). Participants were randomised to placebo or 0.5mg
7 melatonin taken 1 hour before desired bedtime (or ~ 1.45 h before DLMO), with sleep attempted
8 at desired bedtime (4 weeks; 5-7 nights/week). We assessed sleep (diary and actigraphy),
9 Pittsburgh Sleep Quality Index (PSQI), Insomnia Severity Index (ISI), Patient-Reported Outcomes
10 Measurement Information System (PROMIS: Sleep Disturbance, Sleep-Related Impairment),
11 Sheehan Disability Scale (SDS), and Patient- and Clinician-Global Improvement (PGI-C, CGI-C).
12 Melatonin treatment response on actigraphic sleep onset time did not differ between genotypes.
13 For *PER3*^{4/4} carriers, self-reported sleep onset time was advanced by a larger amount and sleep
14 onset latency (SOL) was shorter in melatonin-treated patients compared to those receiving placebo
15 ($P=0.008$), while actigraphic sleep efficiency in the first third of the sleep episode (SE T1) did not
16 differ. For *PER3* 5 carriers, actigraphic SOL and SE T1 showed a larger improvement with
17 melatonin ($P<0.001$). Melatonin improved ISI ($P=0.005$), PROMIS Sleep Disturbance ($P<0.001$)
18 and Sleep-Related Impairment ($P=0.017$), SDS ($P=0.019$), PGI-C ($P=0.028$), and CGI-C
19 ($P=0.016$) in *PER3*^{4/4} individuals only. Melatonin did not advance circadian phase. Overall,
20 *PER3*^{4/4} DSWPD patients have a greater response to melatonin treatment. *PER3* genotyping may
21 therefore improve DSWPD patient outcomes.

22

23 **KEY WORDS**

24 Melatonin, Delayed Sleep-Wake Phase Disorder, *PERIOD3*, Polymorphism,
25 Variable Number Tandem Repeats, Circadian Rhythm Sleep Disorders.

1 INTRODUCTION

2 Delayed Sleep-Wake Phase Disorder (DSWPD) is a common sleep disorder characterised by a
3 difficulty initiating sleep and waking at conventional times^{1,2}. Delayed endogenous circadian
4 timing is thought to be the primary mechanism underlying the disorder^{2,3}, resulting in
5 misalignment of the circadian clock relative to the behavioural sleep-wake cycle and chronic sleep
6 restriction³. It is associated with adverse mental health and poor academic, occupational, and
7 social outcomes⁴⁻⁹.

8
9 Recently, the Delayed Sleep on Melatonin (DelSoM) study group demonstrated that melatonin
10 (0.5 mg, 1 hour prior to an individual's desired bedtime), coupled with behavioural sleep-wake
11 scheduling, improves sleep outcomes in DSWPD patients as indicated by earlier sleep onset,
12 increased sleep efficiency, and decreased sleep disturbance and sleep-related impairments¹⁰. While
13 these results contribute to the growing evidence for use of melatonin as a treatment for DSWPD¹¹⁻
14 ¹⁶, treatment efficacy can vary between patients; for example, results from the DelSoM study
15 group showed 22.2% of individuals who received melatonin demonstrated no change or worsening
16 of symptoms¹⁰. Inter-individual factors that modulate melatonin treatment efficacy should
17 therefore be examined.

18
19 Circadian rhythms are regulated and maintained by a number of core clock genes¹⁷ including those
20 from the Period (PER) family. *PER3* is one such gene involved in the core mammalian molecular
21 circadian system¹⁸. A biallelic variable-number-tandem-repeat (VNTR) polymorphism of the
22 *PER3* gene exists where a 54-nucleotide coding region encoding a putative phosphorylation
23 domain¹⁹⁻²¹, results in a short (*PER3^{4/4}*), intermediate (*PER3^{4/5}*) and long (*PER3^{5/5}*) genetic variant.
24 Several studies have shown associations between the *PER3* VNTR polymorphism and diurnal
25 preference²¹⁻²⁴, DSWPD^{21,25-27}, sleep timing²⁴, blue-enriched light sensitivity^{28,29}, vulnerability to
26 sleep loss³⁰⁻³², and decrements in waking performance^{33,34}. Together, these reports highlight an
27 important link between the *PER3* VNTR and dysregulation of the sleep-wake cycle.

28
29 Of particular interest, *PER3* genotype-dependencies exist in the modulation of sleep-wake
30 homeostasis. When compared to the shorter 4-repeat allele, homozygosity for the *PER3^{5/5}* allele is
31 associated with greater sleep propensity, characterised by an increase in slow wave sleep and slow

1 wave activity during non-rapid eye movement (NREM) sleep, and increased alpha and theta
2 activity during wakefulness and REM^{34,35}. Changes in sleep homeostasis are believed to mediate
3 decrements in early morning cognitive performance³⁶ following total sleep deprivation³⁴,
4 specifically early morning executive function in those homozygous for the *PER3* 5-repeat allele.

5
6 Given the genetic interaction between *PER3*, diurnal preference, and differential effects on the
7 sleep-wake homeostat and its associations with DSWPD, the contribution of *PER3* VNTR
8 polymorphisms in modulating the sleep promoting effects of melatonin in DSWPD warrants
9 investigation. The aim of this study was to retrospectively assess the role of *PER3* genetic variants
10 in the efficacy of 0.5 mg melatonin, in individuals diagnosed with DSWPD with confirmed delay
11 of the endogenous melatonin rhythm relative to their desired bedtime. Based on previous findings
12 that homeostatic sleep pressure is accumulated less rapidly in *PER3*^{4/4}, we expected a larger
13 degree of sleep disturbance in these individuals when the sleep-wake cycle is shifted to an earlier
14 time, and thus greater opportunity for improvement with melatonin treatment. We therefore tested
15 the *a priori* hypothesis that, compared to those with the *PER3* 5 allele (*PER3*^{4/5} and *PER3*^{5/5}
16 combined), individuals who are homozygous for the *PER3*^{4/4} allele will display greater response to
17 0.5 mg melatonin treatment, measured by the following: (1) earlier actigraphic sleep onset time;
18 (2) increased sleep efficiency in the first third of time in bed; (3) decreased reports of sleep
19 disturbance and sleep-related daytime dysfunction; (4) greater proportion of patient and clinician-
20 rated improvements; and, as an exploratory aim, (5) advanced circadian phase measured by dim
21 light melatonin onset (DLMO).

1 **METHODS**

2 Data presented here are part of the Delayed Sleep on Melatonin (DelSoM) Study, a multicentre,
3 double-blind randomised controlled trial (Australian and New Zealand Clinical Trials Registry
4 number ACTRN12612000425897)^{10,37}. This study was conducted at three sites in Australia:
5 Monash University (Melbourne), Woolcock Institute of Medical Research (Sydney) and Flinders
6 University (Adelaide). Data collection occurred between September 2012 and September 2014.
7 The study protocol was approved by the Monash University Human Research Ethics Committee,
8 The University of Sydney Human Research Ethics Committee and Southern Adelaide Clinical
9 Human Research Ethics Committee and was performed according to the principles outlined by the
10 Helsinki Declaration.

11

12 **Participants**

13 One hundred and four participants (53 males, 51 females) aged 29.4 ± 10.0 (mean \pm SD) years
14 were recruited via radio, newspaper, television and poster advertisements, and referrals from sleep
15 physicians, general practitioners, and psychologists. Participants were identified as at risk of
16 DSWPD via online questionnaire and were telephone interviewed to ensure they met the eligibility
17 criteria^{10,37}. Participants had a body mass index (BMI) between 18.0 and 35kg/m², consumed
18 <300mg/day of caffeine or alcohol (<14units/week), and reported not taking illicit drugs for at
19 least 12 months. Any history of psychiatric disorders in the past 12 months (other than
20 depression), regular night shift work or travelling across more than two time zones in the previous
21 2 months were exclusionary. Current use of medications likely to effect sleep including
22 benzodiazepines, psychostimulants, antiepileptics, antipsychotics, oral steroids and beta blockers
23 were also exclusionary. All participants provided written informed consent prior to
24 commencement of the study and were reimbursed for study-related expenses. Participants were
25 those of the 116 participants in the intention to treat sample from our DelSoM study¹⁰ who
26 completed treatment per-protocol and provided buccal swab samples (89.7% response rate).

27

28 **Baseline Assessments**

29 Participants were assessed by a sleep medicine physician through clinical interview to confirm
30 they met the diagnostic criteria for DSWPD³⁸. Physicians completed the Clinical Global
31 Impression Scale of Change (CGI-C)³⁹ to assess severity of DSWPD at baseline. Participants

1 completed the Pittsburgh Sleep Quality Index (PSQI), Epworth Sleepiness Scale (ESS), Insomnia
2 Severity Index (ISI) and the Sheehan Disability Scale (SDS) to assess sleep disturbance and
3 daytime functioning.

4

5 Participants were asked to complete a sleep and work diary, and to wear a wrist actigraph
6 (Actiwatch-L, Actiwatch-2 and Actiwatch Spectrum; Respironics, Bend OR USA; 1-minute
7 epochs at medium sensitivity; 40 activity counts) for 7 consecutive days. After 7 days of
8 monitoring, 1-4 hours after waking, participants completed the Patient Reported Outcomes
9 Measurement Information System (PROMIS) for sleep disturbance and sleep-related daytime
10 impairments⁴⁰.

11

12 After the baseline monitoring period, participants attended the laboratory to assess salivary dim
13 light melatonin onset (DLMO), using methods previously described^{10,37}. Samples were collected
14 every hour under dim light conditions (<10 lux) from 5 hours before to 2 hours after habitual
15 bedtime. Salivary melatonin concentrations were determined by radioimmunoassay within 1 week
16 of collection, as per protocols developed by University of Adelaide and licensed to Buhlmann
17 Laboratories (Allschwil, Switzerland)⁴¹. The limit of detection for these assays was 1pg/mL and
18 the inter-assay coefficients of variation (CV) were 7.4% at 4.41pg/mL and 10.7% at 48.14pg/mL.
19 DLMO for each participant was calculated by linear interpolation and determined as the time that
20 melatonin concentrations crossed and remained above a threshold of 2.3pg/mL^{10,41}). Participants
21 were subsequently classified as having a delayed endogenous melatonin rhythm relative to desired
22 bedtime if their DLMO occurred 30 minutes prior to their desired bedtime or later. Desired
23 bedtime was derived from the question, 'On the night before school or work, what time would you
24 need to go to bed to feel fully rested in the morning?'¹⁰

25

26 **Treatment Phase**

27 Participants classified as having a delayed endogenous melatonin rhythm relative to desired
28 bedtime were randomised to melatonin treatment (0.5mg immediate release, Pure Encapsulations,
29 Sudbury, MA, USA) or matching placebo in a double-blind design, with local pharmacists
30 responsible for dispensing treatment. Participants were provided with a 4-week supply of
31 melatonin (i.e., 28 capsules) and were instructed to take one capsule 60 minutes prior to their fixed

1 desired bedtime for at least 5 consecutive nights per week, aligning with daytime commitments.
2 Participants were asked to attempt sleep at their fixed desired bedtime on the nights when
3 melatonin was taken. Sleep-wake activity was monitored throughout the 28-day treatment period
4 using wrist actigraphy and sleep diaries. At the end of each week, 1-4 hours after waking,
5 participants were asked to complete the PROMIS for sleep disturbance and sleep-related daytime
6 impairments. Participants were also contacted via telephone on a weekly basis throughout this
7 period to monitor compliance and adverse events.

8

9 **Final Clinical Assessment**

10 Participants attended the laboratory within 7 days of treatment ending. During this visit, a sleep
11 physician assessed treatment outcome through completion of the CGI-C. Patients were asked to
12 complete the Patient Global Impression of Change (PGI-C), as well as repeat the PSQI, ESS, ISI
13 and the SDS. Of the n=104 participants, n=49 performed a follow-up DLMO assessment in their
14 home 24 hours after completion of treatment¹⁰.

15

16 **Genotyping *PER3* Polymorphisms**

17 Buccal swabs were collected prior to treatment for post hoc genetic analysis, using procedures
18 previously described³⁴. Genomic DNA was extracted via the QuickExtract system (Epicentre
19 Biotechnologies, Madison, Wisconsin) and genotyping for *PER3* polymorphisms was performed
20 using polymerase chain reaction (PCR) (Viola et al., 2007) with some modifications^{24,42}. PCR
21 fragments representing the amplified repeat alleles were resolved on a 2% agarose gel and
22 digitally imaged with ethidium bromide staining under UV light. Of the 104 participants
23 genotyped for *PER3* VTNR polymorphisms, n=1 was unable to be genotyped. Participants were
24 grouped based on their VTNR polymorphisms, with all participants genotyped as heterozygote
25 (n=51, 85.0%) or homozygote (n=9, 15.0%) for the 5-allele collapsed. The genotype distribution
26 was in Hardy-Weinberg equilibrium ($\chi=1.28$, $p>0.05$).

27

28 **Outcome Measures and Data Analysis**

29 As per the original report of this randomised controlled trial (RCT)¹⁰, the primary outcome was
30 actigraphic sleep onset time. Secondary outcomes included sleep efficiency in the first third of

1 time in bed (SE T1) on treatment nights, sleep disturbance, sleep-related daytime impairment,
2 patient- and clinician-rated improvements and DLMO time.
3

4 SE T1 was determined as a secondary outcome as we expected that the phase advance of the
5 sleep-wake cycle would result in sleep being initiated close to the time of the wake maintenance
6 zone, resulting in a decrease in sleep efficiency for the total sleep opportunity. We hypothesized
7 that 0.5mg melatonin would alleviate the disruption in sleep in the first third of the sleep episode,
8 during the wake maintenance zone, as seen in our previous study⁴³.
9

10 SPSS Statistics Version 24.0 (IBM, Armonk, New York) was used for all data analyses. No
11 missing value imputation or substitution was performed. Continuous data are presented as mean \pm
12 SD and categorical data are summarised as frequency and percentages. An alpha of < 0.05 was
13 accepted as statistically significant.
14

15 Subjective reports of bedtime (BT), waketime (WT), sleep onset latency (SOL), and wake after
16 sleep onset (WASO) were extracted from sleep diaries. From these variables, sleep onset, time in
17 bed (TIB), total sleep time (TST), and sleep efficiency (SE) were calculated. Objective variables
18 of sleep onset, WT, SOL, SE T1¹⁰ and WASO were extracted from Actiware 5 software (Philips
19 Respironics, Bend, OR) where subjective reports of bedtime and wake time were used to identify
20 each sleep episode¹⁰. Discrepancies between sleep diaries and actigraphy of 60 min or more were
21 corrected to match objective actigraphy¹⁰. The criteria used were as follows: 1) If the reported
22 subjective bedtime was ≥ 60 min prior to a sustained substantial reduction in activity and light
23 levels, bedtime was adjusted to the time of activity and light reduction. 2) If reported wake time
24 was ≥ 60 min after a sustained substantial increase in activity and light levels, wake time was
25 adjusted to match the time of increased activity and light. Two researchers visually reviewed
26 alignment between diary and actigraphic bed and wake times and discrepancies were resolved by
27 discussion before unblinding¹⁰.
28

29 To assess the individual role of *PER3* VNTR polymorphisms in melatonin treatment response in
30 DSWPD, *PER3* genotypes (*PER3*^{4/4} and *PER3* 5 alleles) were stratified and assessed
31 independently. For continuous outcomes, a random-effects mixed-model analysis using restricted
32 maximum likelihood estimation (participant: random variable) was applied to the model with as

1 a linear function of *time* (T_0 baseline, T_1 treatment) by *treatment* (placebo, 0.5mg melatonin)
2 interaction. In cases where data were categorical, binomial logistic regression was performed
3 with the addition of a *time by treatment* interaction term. Bonferroni correction was applied to
4 account for multiple post hoc comparisons.

1 RESULTS

2 Demographics

3 Demographic information, diurnal preference and circadian phase measures are reported in Table
4 1. At baseline, participants did not differ on demographic characteristics (age, sex, and BMI),
5 diurnal preference (Composite Morningness Eveningness Questionnaire; cMEQ), DSWPD
6 severity (CGI-S) or circadian phase (DLMO) based on *PER3* genotype. We compared baseline
7 characteristics (Supplementary Table 1; *PER3*^{4/4}, *PER3*^{4/5} and *PER3*^{5/5}) and self-reported and
8 actigraphic sleep parameters (Supplementary Table 2; *PER3*^{4/4}, *PER3* 5 alleles) between
9 genotypes and found no significant differences.

11 Insert Table 1

13 Primary outcome stratified by *PER3* genotype

14 *Sleep Onset*

15 In the ***PER3*^{4/4} allele group**, no *time by treatment* interaction effects were noted for actigraphic
16 sleep onset (Table 2). There was, however, a *time by treatment* interaction for self-reported sleep
17 onset ($F_{1,39,9}=4.6$, $P=0.04$, $\beta=-44.0$ mins, 95% CI -84 to -4 mins]) where post hoc analysis revealed
18 that compared to baseline (T_0), those randomised to placebo treatment reported a 78 minute
19 advance in sleep onset ([95% CI -108 to -47 mins]; $P<0.001$, $d=0.95$) compared to those
20 randomised to melatonin reporting a 122 minute advance ([95% CI -149 to -94 mins]; $P<0.001$,
21 $d=2.33$). Post hoc analysis confirmed that the change in sleep onset from T_0 to T_1 was significantly
22 larger in melatonin treated participants compared to placebo ($t(38) = 2.11$, $P= 0.042$, $d=0.66$).
23 There were, however, no significant differences between melatonin treatment group and placebo
24 post-treatment (T_1) ($P=0.92$, $d=0.49$).

25
26 For the ***PER3* 5 allele group**, there were no *time by treatment* interaction effects for both
27 actigraphic and self-reported measures of sleep onset time (Table 2).

29 Insert Table 2

1 Secondary outcomes stratified by *PER3* genotype

2 *Sleep parameters*

3 In the *PER3*^{4/4} allele group, there was a *time by treatment* interaction for both self-reported
4 ($F_{1,38.7}=6.8$, $P=0.01$, $\beta=23.1$ mins, [95% CI 5.8 to 40.4 mins]) and actigraphic SOL ($F_{1,36.65}=8.1$,
5 $P=0.007$, $\beta=19.7$ mins, [95% CI 6.1 to 33.4 mins]) (Table 2). Post hoc analysis showed that those
6 randomised to placebo showed further impairment of sleep initiation when compared to T₀ (19.5
7 min longer self-reported SOL; [95% CI 6.2 to 32.7 mins]; $P=0.03$, $d=0.39$ and 25.1 min longer
8 actigraphic SOL; [95% CI 14.6 to 35.6 mins]; $P<0.001$, $d=1.00$). In contrast, there was no further
9 impairment to sleep initiation for those randomised to melatonin when compared to T₀ (self-
10 reported; $P=1.00$, $d=0.15$ and actigraphic; $P=1.00$, $d=0.32$). Further to this, those randomized to
11 melatonin displayed a shorter SOL when compared to placebo treatment at T₁ (33.4 min shorter
12 self-reported SOL; [95% CI 7.36 to 59.4 mins]; $P=0.008$, $d=0.84$ and 24.0 min shorter actigraphic
13 SOL; [95% CI 10.7 to 37.4 mins]; $P=0.004$, $d=0.93$). No *time by treatment* interaction effects were
14 noted for self-reported or actigraphic wake time, total sleep time, SE and WASO or actigraphic SE
15 in the first third of time in bed (Table 2).

16

17 In the *PER3* 5 allele group, there was a *time by treatment* interaction for actigraphic SOL
18 ($F_{1,49.1}=7.5$, $P=0.009$, $\beta=18.7$ mins, [95% CI 5.3 to 32.2 mins]), SE T1 ($F_{1,48.1}=8.4$, $P=0.006$,
19 $\beta=7.3\%$, [95% CI 2.4 to 12.1%]) and WASO ($F_{1,43.2}=6.7$, $P=0.013$, $\beta=10.2$ mins, [95% CI - 2.5 to
20 17.9 mins]). Post hoc analysis showed that those randomised to placebo experienced further
21 impairment of sleep initiation (23 min longer actigraphic SOL; [95% CI 13.7 to 33.0 mins];
22 $P<0.001$, $d=1.08$) resulting in a 7.5 % decrease in SE first third of time in bed ([95% CI 4.0 to
23 11.0 %]; $P<0.001$, $d=0.98$) and 13.8 minute increase in wake after sleep onset ([95% CI 3.2, 27.2];
24 $P<0.001$, $d=0.71$) when compared to T₀. In contrast, no differences were found in the melatonin
25 group when compared to T₀ or when compared to placebo at T₁, respectively. No *time by*
26 *treatment* interaction effects were noted for actigraphic or self-reported measures of WT and TST
27 or self-reported measures of SOL, SE and WASO in the *PER3* 5 allele group (Table 2).

28 *Circadian phase markers*

29 There was no significant *time by treatment* interaction found for DLMO, DBT phase angle (DBT-
30 DLMO) or bedtime phase angle (BT-DLMO) when stratified by *PER3* genotypes (Table 2).

1 *Sleep disturbance and sleep-rated daytime dysfunction*

2 The **PER3^{4/4} allele group** displayed significant improvements in self-reported sleep disturbances
3 and associated sleep-related impairments with melatonin treatment (Table 3). A significant *time by*
4 *treatment* interaction was found for ISI total score ($F_{1,39,3}=13.4$, $P=0.005$, $\beta=-4.5$ points, [95% CI -
5 7.5 to -1.5]), PROMIS Sleep Disturbance ($F_{1,41}=13.4$, $P<0.001$, $\beta=-6.4$ points, [95% CI -9.8 to
6 3.0]), SDS total score ($F_{1,39,2}=7.4$, $P<0.01$, $\beta=-4.9$ points, [95% CI -8.5 to -1.4]) and PROMIS
7 Sleep-Related Impairment ($F_{1,41}=6.3$, $P=0.02$, $\beta=-4.7$ points, [95% CI -8.4 to -1.0]). Post hoc
8 analyses showed that *PER3^{4/4}* individuals randomised to melatonin displayed a 6.3-point reduction
9 on the ISI ([95% CI -8.4 to -4.2]; $P<0.001$, $d=1.51$), a 5.0-point reduction on the PROMIS Sleep
10 Disturbance scale ([95% CI -7.3 to 2.7]; $P<0.001$, $d=0.93$), a 6.1-point reduction on the SDS ([95%
11 CI -8.5 to -3.7]; $P<0.001$, $d=1.33$) and a 8.6-point reduction on the PROMIS Sleep-Related
12 Impairment scale ([95% CI-11.2 to -6.1]; $P<0.001$, $d=1.45$) when compared to T₀. Additionally,
13 *PER3^{4/4}* individuals randomised to melatonin displayed greater reductions on the ISI (mean diff= -
14 3.8 points, [95% CI -6.2 to -1.4]; $P=0.002$, $d=1.04$), PROMIS Sleep Disturbance scale (mean diff=
15 -5.5-points, [95% CI -8.9 to -2.2]; $P=0.002$, $d=1.03$), SDS (mean diff= -4.5-points, [95% CI -7.2
16 to -1.7]; $P=0.002$, $d=1.06$) PROMIS Sleep-Related Impairment scale (mean diff= -4.9-points, [95%
17 CI-8.5 to -1.3]; $P=0.009$, $d=0.88$) when compared to placebo at T₁. While changes were noted on
18 the ISI and PROMIS sleep disturbance, melatonin treatment did not improve PSQI scores in
19 individuals genotyped with *PER3^{4/4}* (Table 3).

20
21 Cut-off scores for measures of sleep disturbance and sleep-related impairment were also used to
22 examine the clinical significance of these findings (Table 3). There was a significant *time by*
23 *treatment* interaction for *PER3^{4/4}* individuals on measures of ISI (OR= -2.74, [95% CI -5.17 to -
24 0.43]; $P=0.02$) where 72.7% of *PER3^{4/4}* individuals randomised to melatonin, reported an absence
25 of insomnia symptoms compared to 17.6% who were allocated placebo ($P=0.009$).

26
27 In the **PER3 5 allele group**, melatonin treatment did not improve self-reported measures of sleep
28 disturbance or sleep-related impairment compared to T₀ or placebo group at T₁, respectively
29 (Table 3).

30

31

Insert Table 3

32

1 *Clinician and patient-rated measures of melatonin treatment efficacy*

2 Following melatonin treatment, *PER3*^{4/4} individuals demonstrated greater improvements on both
3 Clinical and Patient Global Index scales (Table 4) compared to placebo while illness severity
4 (CGI-C *Severity* categories: normal-mildly ill vs. moderate-severe) did not differ between
5 treatment groups.

6
7 For the *PER3*^{4/4} **allele group**, ratings of improvement (CGI-C *Global Improvement*) were
8 significantly different between placebo and melatonin treatment groups; 95.8 % were classified as
9 very much/much improved following melatonin treatment compared to 68.4% on placebo (OR=
10 0.09, [95% CI 0.01 to 0.87]; *P*=0.02). Treatment efficacy, as measured by the CGI-C *Efficacy*
11 *Index*, was lower following melatonin treatment compared to placebo (β = -4.18, [95% CI -6.13 to
12 -2.23]; *P*<0.001, *d*=1.33), indicating a larger therapeutic effect (greater efficacy, reduced adverse
13 effects). PGI-C scores were also significantly different between placebo and melatonin groups
14 (OR= 4.13, [95% CI 1.13 to 15.10]; *P*=0.03), with 75.0% of those on melatonin classified as very
15 much/much improved compared to 57.8% for those randomised to placebo.

16
17 In comparison, the *PER3* **5 allele group** demonstrated no significant differences following
18 melatonin treatment on CGI-C Global Improvement, CGI-C Efficacy Index, or PGI-C when
19 compared to placebo.

20

21

Insert Table 4

1 DISCUSSION

2 This is the first study to investigate the role of the *PER3* VNTR polymorphism in melatonin
3 treatment response for DSWPD patients. When stratified by *PER3* genotype, we showed that
4 individuals with the *PER3*^{4/4} shorter repeat allele displayed melatonin treatment effects on self-
5 reported sleep disturbance, sleep-related impairments, patient- and clinician-rated improvement in
6 DSWPD symptomology and overall treatment efficacy. Irrespective of *PER3* genotype variant,
7 melatonin treatment response did not differ on actigraphic sleep onset time. A larger advance in
8 self-reported sleep onset time was, however, observed in individuals with the *PER3*^{4/4} shorter
9 repeat allele receiving melatonin compared to those receiving placebo. For latency to sleep onset,
10 a melatonin treatment effect was observed in individuals homozygous to *PER3*^{4/4}, whereas no such
11 effect was found for *PER3* 5 allele carriers. Overall, this study demonstrated in a clinically
12 diagnosed sample of DSWPD, with a confirmed delayed melatonin rhythm, homozygosity for the
13 *PER3*^{4/4} allele, is associated with greater efficacy to melatonin treatment (combined with
14 behavioural sleep-wake scheduling).

15

16 In this pragmatic treatment protocol, all participants were required to attempt sleep at their self-
17 selected *desired bedtime*. This imposed an earlier bedtime, on average 2 hours prior to their
18 habitual bedtime (measured during 7-day baseline). Consequently, enforcing earlier bedtimes
19 resulted in longer latency to sleep onset, independent of treatment and genotype. Melatonin
20 treatment attenuated the deleterious effect of scheduling sleep at the desired bedtime by lessening
21 the increase in sleep onset latency. This was particularly apparent when stratifying by *PER3*
22 genotype; individuals homozygous to the *PER3*^{4/4} allele treated with melatonin had a shorter self-
23 reported and actigraphic sleep onset latency when compared to the matching placebo group. In
24 comparison, *PER3* 5 allele carriers on placebo displayed significant increases in sleep onset
25 latency, corresponding to a reduction in sleep efficiency in the first third of the sleep episode.

26

27 These findings may be explained using the proposed conceptual model for *PER3* VNTR
28 polymorphism in the regulation of sleep homeostasis⁴⁴. According to this model, the *PER3* VNTR
29 polymorphism produces differential regulation of the sleep-wake homeostat, such that there is a
30 more rapid accumulation of homeostatic sleep pressure in those homozygous for the *PER3*^{5/5} allele
31 compared to *PER3*^{4/4}, the shorter repeat allele⁴⁴. Furthermore, *PER3*^{5/5} homozygotes have
32 increased theta and alpha activity during prolonged wakefulness and spent more time in slow wave

1 sleep after prolonged wakefulness compared to *PER3*^{4/4} homozygotes^{34,35}. In the present study, the
2 treatment included a sleep-wake schedule based on an individual's desired bedtime, whereby
3 participants were asked to attempt sleep at an earlier time. If there is more rapid accumulation of
4 homeostatic sleep pressure in *PER3* 5 allele carriers, one would expect that shifting bedtime
5 earlier would result in less sleep disruption, and a greater propensity to sleep in *PER3* 5 allele
6 carriers. Treatment effects of melatonin could, therefore, be more apparent in *PER3*^{4/4} individuals
7 given the magnitude for improvement in sleep onset latency is larger. Our findings are generally
8 consistent with this hypothesised mechanism.

9
10 Limitations of the present study should be noted. First, individual homozygous to the *PER3*^{5/5}
11 allele exists with relatively low frequency in humans²⁰ and in our DSWPD cohort, only 8.7% were
12 genotyped with the longer allele. To avoid a small sample size, we grouped those with the *PER3*^{5/5}
13 and *PER3*^{4/5} alleles, as in other studies⁴⁵⁻⁴⁷. Ideally, comparing *PER3*^{4/4} homozygotes, *PER3*^{4/5}
14 heterozygotes, and *PER3*^{5/5} homozygotes independently may show larger effect sizes.
15 Furthermore, DSWPD patients recruited for this study were required to not only meet current
16 diagnostic criteria for DSWPD³⁸ but also, present with a delayed circadian phase relative to
17 desired bedtime. For participants to be included in our study, DLMO had to occur 30 minutes prior
18 to desired bedtime or later. We acknowledge, therefore, that the generalisability of our findings is
19 limited to those DSWPD patients who show misalignment between the circadian pacemaker and
20 the desired sleep-wake cycle, which accounted for 57% of our sample. It is not known whether
21 *PER3* VNTR polymorphisms will still contribute to treatment efficacy when diagnosed according
22 to the standard diagnostic criteria¹.

23
24 The present study assessed circadian phase using DLMO before and after treatment in a subset of
25 participants (n=18 *PER3*^{4/4} carriers and n=26 *PER3* 5 allele carriers). We found no significant time
26 by treatment interaction effects on circadian phase. This was not surprising given that we designed
27 the protocol to primarily test the soporific effects of melatonin, and the small sample size for
28 examining circadian phase. The results should, therefore, be interpreted with caution. The current
29 study did not administer melatonin at the optimal time for circadian phase advancing effects.
30 Previous studies have demonstrated with phase response curves that maximal phase advances
31 occur when 0.5mg melatonin is administered between 2-4 hours prior to DLMO⁴⁸ We
32 administered melatonin on average 1.45 hours prior to DLMO, which may not have been optimal

1 for inducing phase-advancing effects. Finally, participants were instructed to take melatonin
2 before their fixed desired bedtime for at least 5 consecutive nights per week, aligning with daytime
3 commitments (e.g., school, work). If participants reverted back to delayed bedtimes on free days,
4 the treatment effects of melatonin may have been over-ridden by the light-dark effects, as
5 discussed previously¹⁰. Future investigations are warranted to determine whether the circadian
6 effects of melatonin vary depending on *PER3* genotype.

7

8 Previous reports show that individuals homozygous for the *PER3*^{5/5} allele are particularly sensitive
9 to blue-enriched light, demonstrated through the suppression of endogenous melatonin and
10 attenuated waking theta activity²⁸. In line with this, functional knockout of *PER3*^{4/9} and
11 melanopsin⁵⁰ in mice reduced non-visual responses to light, demonstrating that *PER3*
12 polymorphisms may play a vital role in the light input to the circadian pacemaker. Ambient light
13 exposure and light sensitivity should, therefore, be investigated in *PER3* genotypes to explore
14 phase delaying and advancing effects of light and the impact on melatonin treatment.

15 In contrast to our findings that improvements in self-reported sleep-wake characteristics following
16 melatonin treatment were significantly larger in *PER3*^{4/4} individuals, these differences were not
17 consistently observed in actigraphy-derived measures. This discrepancy should not detract from
18 the key findings, however. The American Academy of Sleep Medicine (AASM) recently released
19 a clinical practice guideline noting actigraphy measures may differ from patient-reported sleep
20 logs for some sleep parameters in adult patients with circadian rhythm sleep-wake disorders⁵¹.
21 Self-report assessment of sleep-wake outcomes are commonly used in clinical practice, and are
22 important when evaluating the patient experience of sleep-wake disturbances.

23

24 While the role of the *PER3* VNTR polymorphism in melatonin treatment response to actigraphy-
25 derived sleep-wake measures remains equivocal, improvements in patient and clinician reported
26 sleep disturbances and sleep-related impairments were consistently more pronounced in
27 homozygous *PER3*^{4/4} individuals. These findings support the hypothesis that melatonin is more
28 efficacious in DSWPD patients with the *PER3*^{4/4} genotype, and therefore these individuals may
29 show increased benefit from melatonin treatment.

30

31 In summary, we have demonstrated for the first time that *PER3* VNTR polymorphisms modulate
32 the efficacy of a melatonin treatment combined with behaviourally scheduled sleep-wake, in a

1 DSWPD population. These findings provide a basis for a personalised treatment approach for
2 DSWPD, and novel insights into the pathophysiology of the condition and inter-individual
3 differences in response to melatonin treatment. *PER3* genotype-dependencies to melatonin
4 treatment response should be further explored to understand the potential to predict and improve
5 patient outcomes in DSWPD, rather than the current 'one size fits all' approach.

Table One. Participant characteristics in DSVPD patients stratified by *PER3* VNTR.

	<i>PER3</i> ^{4/4}				<i>PER3</i> 5 alleles			
	Placebo	0.5mg Melatonin	Diff (95% CI)	<i>p</i>	Placebo	0.5mg Melatonin	Diff (95% CI)	<i>p</i>
N	19	24			30	30		
Sex (n)	7 M 12 F	12 M 12 F			17 M 13 F	16 M 14 F		
Age (years)	25.11 ± 8.11	29.25 ± 8.93	4.14 (-1.80, 10.09)	0.17	30.77 ± 11.10	30.50 ± 10.28	-0.27 (5.26, 4.73)	0.92
Range	17-52	17-53			19-60	18-64		
BMI (kg/m ²)	24.74 ± 4.82	25.30 ± 4.74	0.56 (-2.10, 3.22)	0.68	24.46 ± 4.08	25.64 ± 4.23	1.18 (-1.06, 3.42)	0.30
Ancestry ^a								
Caucasian	14 (73.7)	14 (58.3)		0.56	23 (76.7)	25 (83.3)		0.42
Asian	0 (0.0)	5 (20.3)			1 (3.3)	1 (3.3)		
Hispanic	1 (5.2)	3 (12.5)			2 (6.7)	2 (6.7)		
Unidentified	4 (21.0)	2 (8.3)			3 (10.0)	3 (10.0)		
CGI-C Severity								

^a Determined by Ancestry Informative Markers (AIMS) analysis

n (%)	Normal - Mildly Ill (1-3)	5 (26.3)	10 (41.7)	-0.69	0.30	14 (46.7)	9 (30.0)	0.71	0.19
	Moderate - Severe (4-7)	14 (73.8)	14 (58.3)	(-2.00, 0.61)		16 (53.3)	21 (70.0)	(-0.35, 1.77)	
cMEQ		23.68 ± 3.89	24.46 ± 4.01	0.77	0.60	24.20 ± 4.62	24.67 ± 5.88	0.47	0.71
				(-2.10, 3.65)				(-1.95, 2.89)	
n (%)	Evening (0-22)	9 (47.3)	9 (36.5)	0.41	0.52	15 (50)	16 (53.3)	-0.13	0.80
	Intermediate (23-43)	10 (52.7)	15 (62.5)	(-0.82, 1.63)		15 (50)	14 (47.7)	(-1.15, 0.68)	
DBT (hh:mm)		22:24 ± 0:59	22:28 ± 0:50	00:04	0.81	22:30 ± 0:54	22:23 ± 1:05	-00:07	0.62
				(-00:30, 00:39)				(-00:37, 00:22)	
DLMO (hh:mm)		22:35 ± 1:02	22:49 ± 1:15	00:32	0.53	22:56 ± 1:15	22:54 ± 1:35	00:20	0.94
				(-00:34, 01:02)				(-00:42, 00:39)	
DLMO–Melatonin _{admin} (dec h)		1.12 ± 0.69	1.34 ± 1.02	0.17	0.58	1.44 ± 0.93	1.54 ± 1.10	0.10	0.69
				(-0.42, 0.75)				(-0.39, 0.59)	

Abbreviations: BMI: body mass index, cMEQ: composite morningness-eveningness questionnaire, CGI-C severity: Clinical Global Impression of Change Severity, DLMO: dim light melatonin onset, dec h: decimal hours, Melatonin_{admin}: time melatonin was taken. Data are presented as mean ± SD unless otherwise specified.

Table Two. Melatonin treatment response to sleep-wake characteristics in DSWPD patients stratified by *PER3* VNTR.

	<i>PER3</i> ^{4/4}						<i>PER3</i> 5 alleles					
	T ₀		T ₁		Timepoint		T ₀		T ₁		Timepoint	
	Baseline		Treatment Period		x		Baseline		Treatment Period		x	
	Placebo	0.5mg Melatonin	Placebo	0.5mg Melatonin	β	<i>p</i>	Placebo	0.5mg Melatonin	Placebo	0.5mg Melatonin	β	<i>p</i>
				(95% CI)						(95% CI)		
Actigraphy												
N	17	23	17	20			28	27	24	23		
Sleep Onset (hh:mm)	01:01 ± 1:23	01:05 ± 1:15	23:35 ± 1:09	23:15 ± 0:51	-00:23	0.32	01:03 ± 1:19	01:15 ± 1:31	23:27 ± 1:05	23:29 ± 1:11	-00:22	0.214
					(-1.14, 0.37)						(-0:57, 00:13)	
WT (hh:mm)	08:28 ± 1:07	08:40 ± 2:03	08:03 ± 0:57	07:42 ± 1:03	-0,39	0.27	08:20 ± 1:18	08:40 ± 1:39	08:10 ± 1:25	07:46 ± 1:10	-0.76	0.066
					(-1.08, 0.30)						(-1.55, 0.03)	
TST (hours)	6.92 ± 1.40	6.84 ± 1.99	7.80 ± 1.07	8.20 ± 1.22	36.11	0.10	6.78 ± 1.21	6.82 ± 1.03	7.98 ± 1.18	7.71 ± 1.71	-15.23	0.497
					(-6.63, 78.85)						(-58.78, 28.41)	
SOL (min)	22.60 ± 14.86	17.41 ± 13.79	46.89 ±	22.81 ±	-19.74	0.00	16.99 ±	26.54 ±	39.63 ±	31.61 ± 16.75	-18.71	0.009
			30.84*	19.98[#]		7	12.51	20.49	26.97*			
					(-33.35, -6.13)						(-32.15, -5.28)	
SE T1 (%)	82.24 ± 5.94	84.53 ± 5.96	75.34 ± 10.32	82.46 ± 7.53	5.01	0.09	84.85 ± 5.86	81.06 ± 9.16	77.63 ± 8.67*	80.64 ± 6.03	7.23	0.006
					(-0.77, 10.79)						(2.35, 12.11)	
WASO (min)	49.62 ± 17.04	40.18 ± 14.80	58.27 ± 21.44	48.98 ± 19.13	0.35	0.95	45.01 ±	46.99 ±	60.24 ±	52.6 ± 22.45	10.19	0.013
						6	22.63	21.31	20.05*			
					(-11.98, 12.68)						(-17.91, -2.47)	
Sleep Diary												
N	17	23	17	22			29	28	25	25		

Sleep Onset (hh:mm)	01:10 ± 1:32	01:23 ± 0:53	23:51 ± 1:11*	23:21 ± 0:52**	-00:47	0.03	01:14 ± 1:12	01:31 ± 1:36	23:47 ± 0:54	23:39 ± 1:06	-00:29	0.101
					(-1:24, -0:03)						(-1:03, 0:05)	
WT (hh:mm)	08:30 ± 1:08	08:20 ± 1:43	07:49 ± 1:15	07:16 ± 1:22	-0.21	0.55	08:18 ± 1:17	08:28 ± 1:43	07:45 ± 1:03	07:38 ± 0:58	-0.38	0.224
						4					(-0.99, 0.23)	
TST (hours)	6.65 ± 0.88	6.52 ± 0.81	7.25 ± 0.91	7.19 ± 0.82	8.11	0.66	6.43 ± 1.05	6.43 ± 0.85	7.30 ± 0.69	7.27 ± 0.77	3.06	0.853
						0					(-29.08, 35.21)	
					(-27.74, 43.97)							
SOL (min)	52.56 ± 49.13	42.30 ± 38.40	72.97 ± 56.05*	37.91 ± 17.80[#]	-23.09	0.01	35.59 ± 25.07	48.54 ± 32.34	58.71 ± 35.52	54.69 ± 45.75	-16.96	0.091
					(-40.41, -5.78)	3					(-36.29, 2.37)	
SE (%)	84.76 ± 12.17	84.79 ± 17.35	83.16 ± 11.19	89.81 ± 6.05	5.88	0.07	87.95 ± 7.54	86.27 ± 9.08	85.17 ± 9.76	84.86 ± 13.55	1.62	0.479
						9					(-2.84, 6.09)	
					(-0.51, 12.26)							
WASO (min)	21.85 ± 21.99	27.64 ± 46.47	24.38 ± 22.37	17.68 ± 18.12	-9.54	0.28	18.67 ± 20.62	18.55 ± 23.51	24.45 ± 37.45	25.52 ± 35.29	-2.17	0.750
						7					(-15.44, 11.10)	
					(-26.84, 7.75)							
Circadian Phase												
N	17	23	11	14			30	30	14	12		
DLMO (hh:mm)	22:35 ± 1:02	22:49 ± 1:15	22:11 ± 1:03	22:07 ± 1:40	-00:23	0.42	22:56 ± 1:15	22:54 ± 1:35	22:88 ± 1:46	22:14 ± 1:40	-00:38	0.28
					(-1:20, 0:32)						(-1:47, 0:30)	
DBT-DLMO (hours)	-0.18 ± 0.69	-0.34 ± 1.02	0.00 ± 1.06	0.28 ± 0.97	-0.27	0.43	-0.44 ± 0.93	-0.54 ± 1.10	-0.56 ± 1.58	0.06 ± 1.67	0.69	0.21
					(-0.50, 1.37)						(-0.37, 1.76)	
BT-DLMO (hours)	-2.54 ± 1.25	-2.26 ± 1.51	-1.25 ± 0.94	-1.51 ± 0.72	-0.17	0.82	-2.10 ± 0.78	-2.36 ± 1.06	-0.83 ± 1.01	-0.84 ± 1.74	0.21	0.702
						9					(-0.84, 1.25)	
					(-1.70, 1.36)							

Abbreviations – WT: wake time, TST: total sleep time, SOL: sleep onset latency, SE: sleep efficiency, SE T1: sleep efficiency in the first third of time in bed averaged over treatment nights, WASO: wake after sleep onset. Data are presented as mean ± SD. Underlined variable actigraphic sleep onset was the primary outcome. Genotype stratified to assess time x treatment response. Bolded values indicate significant results. Bonferroni correction applied for post hoc comparisons. * $p < 0.05$ T₀ placebo vs T₁ placebo ** $p < 0.05$ T₀ 0.5mg melatonin vs T₁ 0.5mg melatonin [#] $p < 0.05$ T₁ placebo vs T₁ 0.5mg melatonin.

Table Three. Melatonin treatment response to self-report measures of sleep disturbance and sleep related impairment in DSWPD patients stratified by *PER3* VNTR.

	<i>PER3</i> ^{4/4}						<i>PER3</i> 5 alleles					
	T ₀		T ₁		Timepoint		T ₀		T ₁		Timepoint	
	Baseline		Post Treatment		x		Baseline		Post Treatment		x	
	Placebo	0.5mg Melatonin	Placebo	0.5mg Melatonin	β	p	Placebo	0.5mg Melatonin	Placebo	0.5mg Melatonin	β	p
					(95% CI)						(95% CI)	
N	19	24	17	22			30	30	29	26		
Sleep Disturbance												
PSQI^a	9.40 ± 3.69	8.30 ± 2.77	7.88 ± 2.93	5.09 ± 1.77	-1.46	0.155	7.73 ± 3.26	8.72 ± 3.42	7.69 ± 3.66	7.50 ± 3.68	-1.03	0.202
					(-3.44, 0.51)						(-2.60, 0.53)	
n (%) Normal (< 5)	1 (5.2)	4 (17.4)	4 (23.5)	13 (59.1)	-0.21	0.877	9 (30.0)	5 (17.2)	11 (37.9)	8 (30.8)	-0.40	0.636
Sleep disturbance (≥ 5)	18 (94.8)	19 (82.6)	13 (76.5)	9 (40.9)	(-2.73, 3.04)		21 (70.0)	24 (82.8)	18 (62.1)	18 (69.2)	(-2.11, 1.26)	
ISI	12.37 ± 4.67	13.17 ± 4.81	10.76 ± 4.00	6.95 ± 3.30**#	-4.52	0.005	11.77 ± 4.88	12.93 ± 5.11	10.52 ± 5.87	9.50 ± 6.78	-2.20	0.126
					(-7.52, -1.53)						(-4.98, 0.58)	
n (%) Absent	3 (15.8)	3 (13.0)	3 (17.6)	16 (72.7) **#	-2.74	0.021	5 (17.7)	5 (17.9)	10 (34.5)	11 (42.3)	-0.25	0.780
Subthreshold-severe	16 (84.2)	20 (87.0)	14 (82.4)	6 (27.3)	(-5.17, -0.43)		25 (83.3)	23 (82.1)	19 (65.5)	15 (57.7)	(-2.01, 1.51)	
PROMIS Sleep Disturbance	22.84 ± 6.27	23.67 ± 5.31	24.21 ± 5.31	18.67 ± 5.48**#	-6.37	<0.001	22.80 ± 5.68	23.80 ± 5.73	22.37 ± 6.99	21.17 ± 7.75	-2.20	0.259
					(-9.78, -2.96)						(-5.99, 1.59)	
Sleep Related Impairment												
ESS	6.26 ± 4.31	6.75 ± 4.34	6.12 ± 4.05	5.27 ± 3.24	-1.53	0.129	5.00 ± 3.16	5.80 ± 2.83	4.52 ± 2.20	4.54 ± 2.37	-0.99	0.182
					(-3.46, 0.40)						(-2.42, 0.44)	
n (%) Normal-borderline (< 10)	14 (73.7)	19 (79.2)	14 (82.4)	20 (90.9)		0.706	28 (93.3)	26 (86.7)	29 (100.0)	25 (96.2)		
Excessive daytime sleepiness (≥ 10)	5 (26.3)	5 (20.8)	3 (17.6)	2 (9.1)			2 (7.7)	4 (13.3)	0 (0.0)	1 (3.8)		
SDS^b	14.58 ± 4.19	15.00 ± 5.08	13.47 ± 4.54	9.00 ± 3.85**#	-4.91	0.010	14.53 ± 5.70	14.55 ± 5.49	11.52 ± 6.11	11.50 ± 7.44	-0.23	0.854

^a T₀ *PER3*^{4/4} placebo n=19, 0.5mg melatonin n=23; *PER3* 5 alleles placebo n=30, 0.5mg melatonin n=29.

Absenteeism ^b	2.89 ± 3.49	2.17 ± 2.90	1.35 ± 2.34	1.77 ± 3.04	(-8.45, -1.38)	1.06	0.307	3.32 ± 4.17	2.36 ± 4.29	2.55 ± 3.75	0.77 ± 2.05	-0.84	0.477	(-2.66, 2.22)
Presenteeism ^c	10.05 ± 7.34	7.26 ± 5.07	5.24 ± 6.10	4.00 ± 5.27	(-0.94, 3.05)	1.49	0.547	9.20 ± 6.71	8.64 ± 6.95	6.52 ± 6.42	5.00 ± 6.42	-0.92	0.667	(-3.14, 1.46)
PROMIS Sleep-Related Impairment^c	23.26 ± 7.14	23.08 ± 7.44	19.37 ± 6.82	14.46 ± 3.89**[#]	(-8.44, -1.02)	-4.71	0.017	22.13 ± 4.94	24.23 ± 7.10	18.03 ± 7.30	16.70 ± 6.54	-3.43	0.068	(-7.05, 0.19)

Abbreviations - CI: Confidence intervals, PSQI: Pittsburgh Sleep Quality Index, ISI: Insomnia Severity Index, PROMIS: Patient-Reported Outcomes Measurement Information System, ESS: Epworth Sleepiness Scale, SDS: Sheehan Disability Scale. Data are presented as mean ± SD. Genotype stratified to assess time x treatment response. Bolded values indicate significant results. Bonferoni correction applied for post hoc comparisons. * $p < 0.05$ T₀ placebo vs T₁ placebo ** $p < 0.05$ T₀ 0.5mg melatonin vs T₁ 0.5mg melatonin [#] $p < 0.05$ T₁ placebo vs T₁ 0.5mg melatonin.

^b T₀ *PER3*^{4/4} placebo n=19, 0.5mg melatonin n=23; *PER3* 5 alleles placebo n=30, 0.5mg melatonin n=28.

^c T₀ *PER3*^{4/4} placebo n=19, 0.5mg melatonin n=24; *PER3* 5 alleles placebo n=30, 0.5mg melatonin n=30.

Table Four. Clinician and patient-rated measures of disorder severity and treatment efficacy in DSWPD patients stratified by *PER3* VNTR.

	<i>PER3</i> ^{4/4}				<i>PER3</i> 5 alleles				
	Placebo	0.5mg Melatonin	OR (95% CI)	<i>p</i>	Placebo	0.5mg Melatonin	OR (95% CI)	<i>p</i>	
N	19	24			30	30			
Clinician report									
CGI-C									
<i>Severity</i>									
n (%)	Normal - Mildly Ill (1-3)	11 (57.8)	16 (66.7)	0.69	0.555	14 (46.7)	18 (60.0)	0.58	0.301
	Moderate – Severe (4-7)	8 (42.2)	8 (33.3)	(0.20, 2.39)		16 (53.3)	12 (40.0)	(0.21, 1.62)	
<i>Global Improvement</i>									
n (%)	Very much - minimally improved (1-3)	13 (68.4)	23 (95.8)	0.09	0.016	20 (66.6)	19 (63.3)	1.16	0.787
	No change - very much worse (4-7)	6 (31.6)	1 (4.2)	(0.01, 0.87)		10 (33.3)	11 (36.7)	(0.40, 3.35)	
<i>Efficacy Index^a</i>									
		7.87 ± 4.83	5.29 ± 3.16	-4.18	<0.001	9.47 ± 3.13	8.13 ± 4.79	0.27	0.831

^a Efficacy index calculated using general linear model, β stated.

(-6.13, -
2.23) (-2.22,
2.75)

Patient Report

PGI-C^b

n (%)	No change – a little better (1-3)	11 (57.8)	6 (25.0)	4.13	0.028	15 (51.7)	13 (43.3)	0.82	0.703
	Somewhat better – great deal better (4-7)	8 (42.2)	18 (75.0)	(1.13, 15.10)		14 (48.3)	17 (56.7)	(0.29, 2.29)	

Abbreviations - CGI-C: Clinical Global Impression Scale of Change, PGI-C: Patient Global Impression Scale of Change, OR: odds ratio.

^b *PER3* 5 alleles, placebo group n=29.



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