

**Effect of the thermal modification and nano-ZnO impregnation
on the deterioration of Caribbean pine wood**

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ABSTRACT

This study aimed to investigate the effect of thermal modification and nano-zinc oxide (nano-ZnO) particle impregnation on the deterioration of Caribbean pine wood under field conditions. Samples were thermally-modified at various temperature levels (control, 180 °C, 200 °C, and 220 °C). Nano-ZnO impregnation was done with an aqueous solution at 1,5 % in an autoclave under two-steps of pressure and vacuum. Unmodified and thermally-modified, non-impregnated and nano-ZnO-impregnated samples were exposed to deterioration for five months in field tests. A deterioration index was used to evaluate the health condition of the samples. The mass loss and occurrence of termite tunnels in percentage were also determined. The nano-ZnO impregnation improved the resistance of unmodified wood to field-deterioration. The thermal modification at 180°C and 200°C increased the wood deterioration and nano-ZnO impregnation did not improve their resistance. Unmodified and 220 °C-modified samples had lower mass loss by xylophages than other thermal treatments regardless of the nanoparticle impregnation. The nano-ZnO impregnation decreases the occurrence of termite tunnels in unmodified, 200 °C and 220 °C-modified samples.

Keywords: Deterioration index, mass loss, nano-zinc oxide particle, termite tunnels, wood deterioration.

INTRODUCTION

31

32 Wood is an organic material subject to the action of biotic and abiotic deterioration.
33 Therefore, it is necessary to use products and processes that increase its resistance to
34 deterioration in order to optimize its use, especially when the environmental conditions as in
35 Brazil favor the action of xylophage agents. The biological resistance of wood can be
36 improved through treatments that add characteristics that hinder or inhibit the action of these
37 xylophage organisms (Vidal *et al.* 2015). Among the treatments used for wood preservation,
38 the most noteworthy are the chemical products (Valle *et al.* 2013; Vidal *et al.* 2015) and
39 thermal modification (Salman *et al.* 2017; Sivrikaya *et al.* 2015; Tripathi *et al.* 2014).

40 CCA (Chromium Copper Arsenate) is the most well-known and most used chemical
41 in the wood preservation industry and accounts for more than 90 % of chemically-treated
42 wood in Brazil (Vidal *et al.* 2015). Actually, in Brazil, there is no restriction on the use of
43 CCA for the preservation of wood. However, in North America and Europe, its use, mainly
44 due to the arsenic component, has been restricted due to environmental and human health
45 issues, being limited to certain classes of exposure to biological risks that do not involve
46 direct contact with the being such as domestic use. Therefore, the wood preservation sector
47 has been searching for methods that can replace the use of CCA, mainly in applications where
48 the product has been banned.

49 Thermal modification and nanoparticles (e.g. zinc oxide, zinc-borate, silver, copper,
50 and copper-borate) treatments have emerged as alternative treatments to improve biological
51 resistance to wood (Bak and Németh 2018; Lykidis *et al.* 2016; Marzbani *et al.* 2015;
52 Taghiyari *et al.* 2015; Mantanis *et al.* 2014; Clausen *et al.* 2011). Several works have
53 reported an increase on decay resistance of wood following thermal modification (Salman *et*

54 *al.* 2017; Sivrikaya *et al.* 2015; Tripathi *et al.* 2014) but a decrease on the resistance to termite
55 attacks (Trevisan *et al.* 2014; Salman *et al.* 2017). Moreover, the literature reports
56 contradictory results about the effect of thermal modification on the resistance of wood to
57 the attack of these insects in laboratory tests (Paes *et al.* 2015; Pessoa *et al.* 2006). Previous
58 works also have shown an important effect of nano-ZnO on biological resistance. For
59 example, Clausen *et al.* (2011) reported an increase of termite mortality (*Reticulitermes*
60 *flavipes* Kollar) and a decrease in wood consumption in nano-ZnO-treated Southern yellow
61 pine (*Pinus echinata* Mill.) wood in laboratory tests. According to Mantanis *et al.* (2014),
62 nano-ZnO and Boron association inhibited the action of termite species *Coptotermes*
63 *formosanus* and the decomposition by xylophagous fungus *Trametes versicolor* in pine wood.
64 Lykidis *et al.* (2018) also observed that wood species *Fagus sylvatica* impregnated with nano-
65 ZnO has shown increased resistance to the termite species *Reticulitermes grassei*. However,
66 it is not clear whether this also occurs in field conditions or other termite species. In these
67 environments, the biotic and abiotic deteriorating agents interact with each other in the form
68 of synergies and antagonisms which are difficult to reproduce under laboratory conditions.
69 According to Lykidis *et al.* (2013), nano-ZnO has great potential to be used in wood
70 preservatives, although they emphasize the need of carrying out tests under field conditions.
71 This same reasoning should also be done when considering thermal modification as a process
72 to increase the biological resistance of the wood.

73 Therefore, this study aimed to investigate the effect of thermal modification and nano-
74 ZnO impregnation on the deterioration of Caribbean pine wood in field tests.

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MATERIAL AND METHODS

78 **Material and thermal modification**

79 Free-defect samples of 150 x 75 x 20 mm³ (length x width x thickness) were prepared
80 from a twenty-five-years-old Caribbean pine tree harvested from a plantation located in
81 Seropédica city of Rio de Janeiro State, Brazil (Latitude: -22° 44' 38" S, Longitude: -43° 42'
82 27" W). Samples were conditioned in a climate chamber at 20 °C and 65 % relative humidity
83 (RH) until mass equilibrium.

84 Thermal modification treatments were performed in a laboratory muffle furnace from
85 Linn Elektro Therm of 600 x 600 x 700 mm³ at three temperature levels (180 °C, 200 °C, and
86 220 °C). Four steps were used for the thermal treatment: (1) heating up to 100 °C for 2 h, (2)
87 heat increasing from 100 °C to final temperature (180 °C, 200 °C, and 220 °C) for 30 min, (3)
88 thermal modification at the final temperature for 2 h, and (4) cooling for approximately 24 h.
89 The initial moisture content of the samples (before thermal modification) was approximately
90 12 % (based on the oven-dry weight). Thermally-modified samples were then stored at 20 °C
91 and 65 % RH until mass equilibrium. Afterward, thermally-modified woods were separated
92 into two matched groups and one from them was used to nanoparticle impregnation.

93 **Nanoparticle impregnation treatment**

94 A compact impregnation plant T10 with 453 l of capacity from Wood Treatment
95 Technology (WTT) company were used to nanoparticle treatment. Commercial nano-ZnO
96 particles denominated VP AdNano® ZnO 20, hydrophilic version, with dimensions varying
97 from 20 to 25 nm, from Evonik Industries AG (Essen, Germany) were used for impregnation
98 treatment. A nano-ZnO aqueous solution at 1,5 % was prepared and homogenized with a
99 CanLab mechanical stirrer. This concentration was chosen due to the high cost of

100 nanoparticles. Moreover, low concentrations decrease the viscosity of the solution, which
101 facilitates the impregnation of the nanoparticles in the wood. The sample impregnation was
102 done in a storage tank placed below the autoclave under two steps of pressure and vacuum:
103 (1) application of vacuum at 0,05 bar for 10 min, and (2) application of pressure at 4 bar for
104 15 min. The nano-ZnO-impregnated samples were dried at 40 °C for 24 h and then stored at
105 20 °C and 65 % RH until mass equilibrium. The weight of the samples was taken at this
106 condition.

107 An experimental design with four temperature levels of thermal modification
108 (ambient or control, 180 °C, 200 °C, and 220 °C) and two impregnation groups (non-
109 impregnated and nano-ZnO-impregnated) were used, resulting in eight treatments.

110 **Field tests**

111 Wood samples of 150 x 20 x 20 mm³ (length x width x thickness) were installed in a
112 field trial using the randomized complete block design (RDBD) with eight replicates per
113 treatment. Samples were planted until half of its length, remaining exposed to the process of
114 deterioration during five months, from April to September 2017. Inspections were monthly
115 performed to record termite colonization, evidenced by the construction of tunnels along the
116 length of the sample. After five months of exposure in the decay-field, the samples were
117 transported to the laboratory where they were cleaned and then the deterioration level was
118 evaluated according to the criteria shown in Table 1. Afterward, samples were conditioned
119 at 20 °C and 65 % UR until constant mass. The sample masses were measured at the initial
120 condition (before field test) and after exposure in the field test. The mass losses of the samples
121 were then calculated in percentage.

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Table 1: Classification criteria of deterioration levels of wood samples in field tests according to Lepage (1970).

Health condition	Deterioration index
Breakage, almost total loss of resistance	0
Severe decay or internal attack of termites	40
Moderate attack of decay or termites	70
Slight or superficial attack of decay or termites	90
Healthy, no evidence of the attack	100

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124 The analysis of the mass loss data was performed in the BioEstat software, version
125 5.0 (Ayres *et al.* 2007). The normality of the data was verified by the Lillefors test, followed
126 by an analysis of variances and the comparison of the means by the Tukey's test at the 0,05
127 probability level.

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RESULTS AND DISCUSSION

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130 The means of the index deterioration and density of the thermally-modified Caribbean
131 pine wood, non-impregnated and nano-ZnO-impregnated, after five months in the field test,
132 are shown in Table 2. All samples from all treatments showed evidence of deterioration but
133 at different levels depending on the treatment condition (Figure 1). The 180 °C-modified
134 samples, regardless of the nanoparticle treatment, showed a high deterioration with an
135 average index of 23 and 7 for non-impregnated and nano-ZnO-impregnated samples. On the
136 other side, the action of the xylophages was less intense in the 200 °C-modified samples (non-
137 impregnated and nano-ZnO-impregnated). The nanoparticle treatment provided an index that
138 indicates lower deterioration in the unmodified samples, compared to the other treatments,
139 being, therefore, the most expressive result in this experiment. It is important to emphasize
140 that all treatments presented ruptured samples in the border region between the buried and
141 aerial parts, evidenced by the zero indexes in the minimum value, except in the non-
impregnated-220 °C-modified and the nano-ZnO-impregnated-unmodified samples (Figure

142 1). These results, in addition to the previous observations, show that these two treatment
 143 conditions were actually the ones that improved the resistance to deterioration because no
 144 sample was completely broken by the action of the deterioration processes.

Table 2: Mean, maximum, and minimum deterioration index (\pm standard deviation) and density of the thermally-modified pine wood, non-impregnated and nano-ZnO impregnated, after five months in field tests.

Nanoparticle treatment	Temperature (°C)	Deterioration index			Density (g/cm ³)
		Mean	Maximum	Minimum	
Non-impregnated	Control	43±26	70	0	0,70
	180	23±36	70	0	0,76
	200	30±35	70	0	0,74
	220	45±12	70	40	0,61
nano-ZnO impregnated	Control	68±16	90	40	0,71
	180	7±16	40	0	0,71
	200	37±31	70	0	0,73
	220	47±41	100	0	0,66

145 The analysis of the mass loss caused mainly by termites is an important variable to
 146 verify the improvement or not of biological resistance to the wood by the treatment. In this
 147 sense, it was observed that the nano-ZnO-impregnated-unmodified samples and the non-
 148 impregnated-220 °C-modified samples had lower mass losses (Figure 2). The mass loss
 149 assessment must be carried out together with that of the wood density in order to avoid
 150 misinterpretations. The temperature at 200 °C decreased the density of the wood, (Table 2)
 151 and when a non-toxic substrate has a lower density, the termites may remove a similar amount
 152 of biomass as a denser substrate, however, the lesions caused by this removal may be more
 153 significant. This may explain the similar mass loss recorded for the nano-ZnO-impregnated-
 154 unmodified and 220 °C-modified samples.

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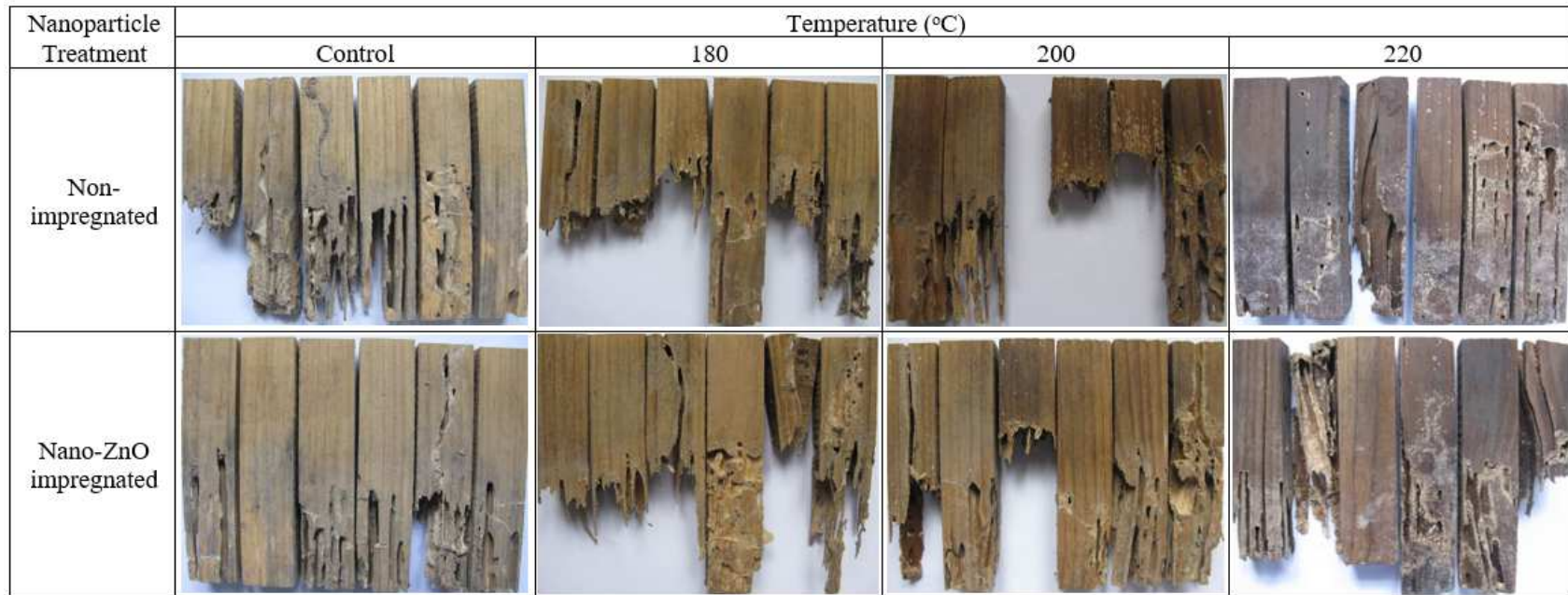


Figure 1: Deterioration of the thermally-modified pine wood, non-impregnated and nano-ZnO impregnated, after five months in field tests.

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159 This fact is evident when the mass loss of the wood is analyzed together with the
 160 deterioration index, which is a value that expresses, among other attributes, the lesions provided
 161 by the xylophagous organisms in the wood. Thus, although the mass losses were equivalent in the
 162 nano-ZnO-impregnated-unmodified and 220 °C-modified samples, the deterioration index shows
 163 that the thermally-modified samples had higher wear caused by xylophages, compared to the nano-
 164 ZnO-impregnated-unmodified samples (Table 2).

165 Therefore, the nano-ZnO impregnation in the natural pine wood is more efficient to improve
 166 biological resistance than when added to thermally-modified wood at 220 °C, which exhibited more
 167 evident lesions provided by xylophages. A study realized by Clausen *et al.* (2009) reported the
 168 effectiveness of nano-ZnO treatment to prevent the consumption of wood by termites, regardless
 169 of the size of the nanoparticles. These authors also reported significant mortality of termites at a
 170 nano-ZnO concentration of 1 %. However, it must be considerate that they performed laboratory
 171 trials which promote constant conditions and the termites have no preference choice.

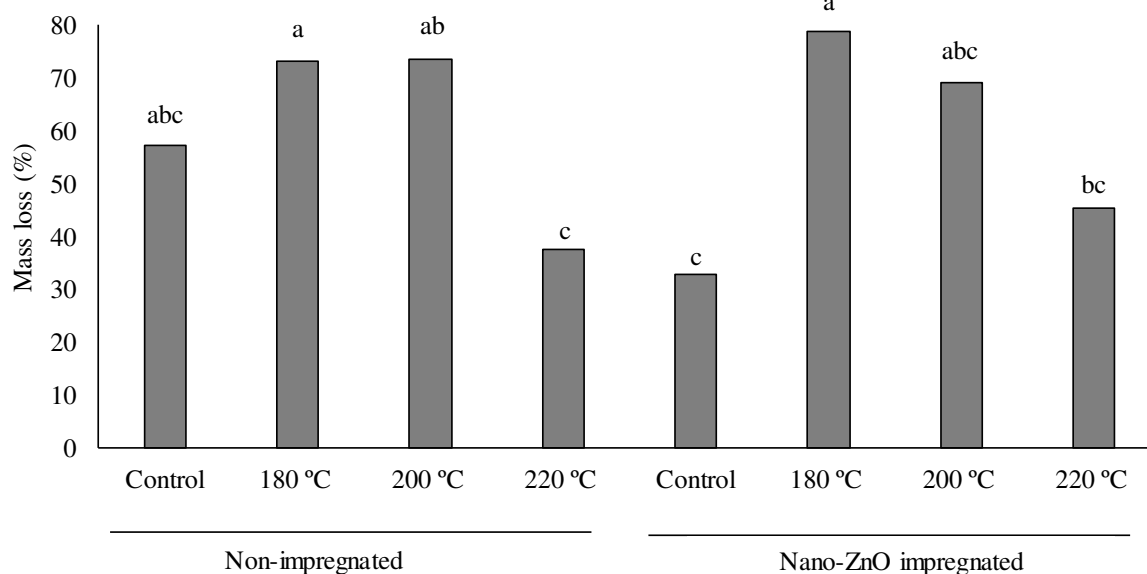


Figure 2: Relative mass loss of the thermally-modified pine wood, non-impregnated and nano-ZnO impregnated, after five months in field tests. Means with the same letter are not significantly different from each other by Tukey's test at the 0.05 probability level.

172 It is important to consider other factors that influence the deterioration of wood by
173 xylophages in field tests, including abiotic factors such as pH. The pH of the soil may influence
174 the properties of the nanoparticles, which can be solubilized at very acid or very basic pH. Thus,
175 the high mortality of termites observed in other studies (Clausen *et al.* 2011), may not occur under
176 conditions that favor the solubility of the nanoparticles as in field conditions. In addition, the
177 presence of water and the acidic pH of the wood could promote the dissolution of the nano-ZnO
178 (Silva 2018). Therefore, in field tests, soil moisture and precipitation may favor leaching. Clausen
179 *et al.* (2010) evaluated the leach resistance of the Southern pine samples vacuum-treated with nano-
180 ZnO. In laboratory tests, no leaching occurred in nano-ZnO-treated samples even at the highest
181 retention of 12,96 % kg/m³ with a concentration of 5 %. However, in the field experiments, the
182 authors found a loss of nano-ZnO by leaching of 65 % in concentrations of 2,5 % and 58 % in the
183 concentration of 5 %. Low concentrations of nano-ZnO (1 %) showed almost no leaching, however,
184 these authors did not report the pH in which the samples were tested. Lykidis *et al.* (2018) assessed
185 wood species *Fagus sylvatica* impregnated with nano-ZnO, at the concentrations of 0,5 %, 1 %,
186 2 %, and observed that all treatments increased its resistance to the action of termite species
187 *Reticulitermes grassei*; leaching by water did not significantly change this result.

188 Our results show that, even with these assumptions, the nano-ZnO impregnation improved
189 biological resistance to natural pine wood at low concentration. Clausen *et al.* (2011) used an
190 aqueous dispersion containing three nano-ZnO concentration (1,0 %, 2,5 % and 5,0 %) to treat
191 Southern yellow pine and assess the termite mortality in laboratory tests. Their results showed that
192 termite (*Reticulitermes flavipes*) consumed less than 10 % and exhibited from 93 % to 100 %
193 mortality for all treatment concentrations. Thus, it is possible to assume that, under field conditions,
194 higher concentrations could result in less mass loss and greater resistance to xylophages. According

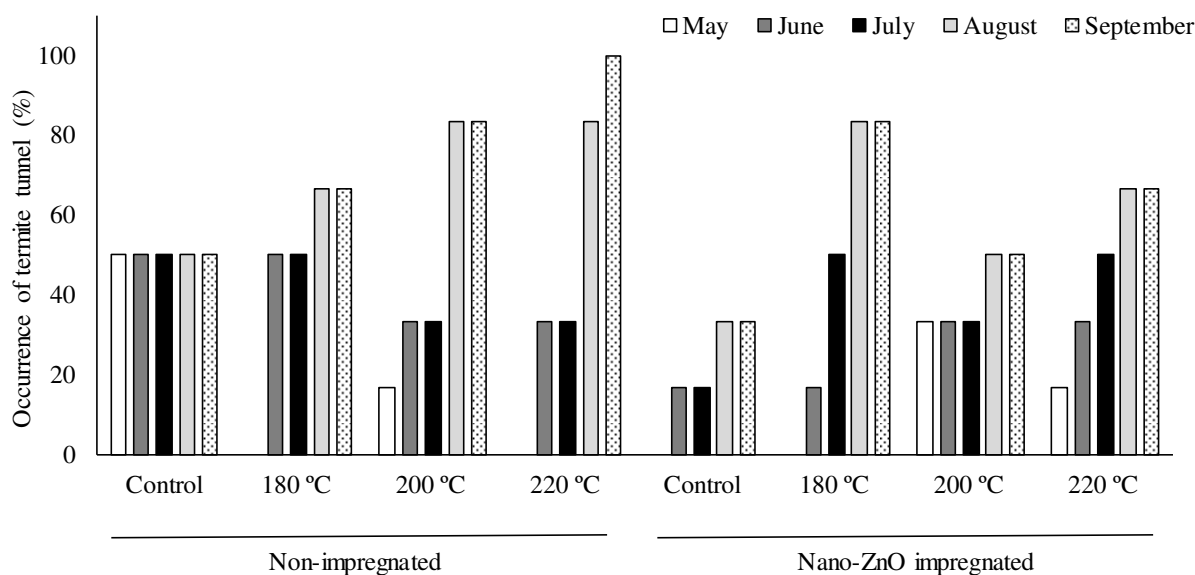
195 to Mantanis *et al.* (2014), nano-ZnO has shown reduced leaching when it was incorporated, in
196 association with acrylic emulsion, to pine wood. Thus, this type of emulsion can be an interesting
197 strategy to reduce the leaching of these nanoparticles under field conditions.

198 The size of nanoparticles also can be an important factor for the efficacy of the treatment.
199 Kartal *et al.* (2009) reported good efficiency of nano-ZnO treatment of Southern yellow pine wood
200 against Eastern subterranean termites (*Reticulitermes flavipes*) and decay fungi using nanoparticles
201 from 40 nm to 100 nm. Németh *et al.* (2013) also reported good performance of nano-ZnO of 20-
202 40 nm in the resistance of some softwoods and hardwoods [spruce (*Picea abies* L.), Scots pine
203 sapwood (*Pinus sylvestris* L.), poplar (*Populus x euramericana* cv. *Pannonia*), and beech (*Fagus*
204 *sylvatica* L.)] species using concentration of 5 %. On the other hand, Lykidis *et al.* (2016) evaluated
205 the resistance of Scots pine wood treated with nano-ZnO of 60-80 nm against the brown-rot fungi
206 (*Daedalea quercina* L. and *Poria placenta* (Fr.) Cooke) and dry rot fungus (*Serpula lacrymans*
207 (Wulfen) J. Schröt.). The nano-ZnO treatment improved the wood resistance to *Serpula lacrymans*
208 but not against the *Poria placenta* fungus. Clausen *et al.* (2011) used nano-ZnO of 30 nm and 70
209 nm, but they did not evaluate its efficacy against fungi. Furthermore, these studies show that nano-
210 ZnO efficacy also depends on the wood and the xylophage species.

211 Also, the efficiency of nano-ZnO was observed for wood-based composites. Marzbani *et*
212 *al.* (2015) also studied the effect of nano-ZnO added to the adhesive urea-formaldehyde used in the
213 manufacture of particleboard against the white-rot (*Trametes versicolor* (L.) Lloyd) and the brown-
214 rot (*Coniophora puteana* (Schumach.) P. Karst.) fungi. The authors added 5 %, 10 % and 15 % of
215 nano-ZnO (based on the dry weight of the adhesive). Their results showed an improvement of
216 particleboard against fungi decay, principally at 15% nano-ZnO. Taghiyari *et al.* (2015) observed
217 that wood species *Paulownia* subjected to heat treatment at 150 °C, in association with nano-ZnO

218 impregnation under laboratory conductions, significantly inhibited the growth of fungus species
219 *Trametes versicolor* and the loss of mass.

220 In order to evaluate the efficiency of a product and/or process to preserve wood against the
221 action of xylophagous organisms, mainly termites, several parameters should be considered and
222 not only the mass loss. The foraging and tunneling pattern of termites can provide important
223 information about the influence of these treatments on the biological resistance and consequently
224 on their preservative efficiency in the wood. Therefore, the occurrence of tunnels in the aerial part
225 of the samples caused by two subterranean termite species: *Coptotermes gestroi* (Wasmann) and
226 *Heterotermes tenuis* (Hagen) was registered monthly during the five months in the field conditions
227 (Figure 3). According to Guadalupe Rojas and Morales-Ramos (2001), the food preference of
228 *Coptotermes formosanus* Shiraki is determined by the nutritional value of the resource.
229 Considering that this is the same for other termite species, tunnel construction time, analyzed
230 together with the mass loss and the lesions caused in the samples, may reveal relevant information
231 to evaluate the efficiency of wood preservation techniques. Therefore, termites choose their food
232 according to nutritional needs, and for this choice to take place, it is necessary to construct tunnels
233 to locate suitable food resources (Grace and Campora 2005). Food is only accepted after arduous
234 inspection by fodder since not all sources are accepted (Lima 2014). This inspection occurs by
235 foraging the substrate, which occurs when the termite constructs the tunnels on the substrate.



236 **Figure 3:** Percentage occurrence of termite tunnels in thermally-modified pine wood, non-
 237 impregnated and nano-ZnO impregnated, after five months in field tests.

238
 239 Our results showed that the majority of the non-impregnated samples had a greater
 240 occurrence of tunnels in the external part of the sample (Figure 3). This fact could suggest that
 241 biomass consumption was higher in these samples. However, the external tunneling of the samples
 242 only shows that the resource is being foraged and not effectively consumed by the termites. Lima
 243 (2014) explains the importance of analyzing the tunneling pattern together with the biomass values
 244 consumed as well as associating them with the lesions caused by the insects in the samples. In our
 245 study, the occurrence of tunnels was evaluated by the system of grades attributed to wear by the
 246 termite (Table 1). All the non-impregnated-220 °C-modified samples presented the occurrence of
 247 tunnels at the final of the experiment (Figure 3), however, they exhibited low mass loss, together
 248 with the nano-ZnO-impregnated-unmodified samples (Figure 2). This suggests that the non-
 249 impregnated-220 °C-modified samples, although the higher occurrence of tunnels, had only
 250 foraging by termites, not consumption effectively. Hence, the thermal modification at 220 °C can
 251 add characteristics that make the wood little palatable to these insects and not necessarily toxic.

252 This could justify the greater presence of tunnels in these samples, which would be more difficult
253 to produce if the substrate were toxic. On the other hand, the nano-ZnO-impregnated-unmodified
254 samples were discarded at the beginning and consequently had lower occurrence tunnels to the
255 end of the experiment (Figure 3), indicating the toxic effect of the nanoparticles. These results
256 corroborate to those found by Clausen *et al.* (2011), which showed high mortality and low mass
257 consumption by *Reticulitermes flavipes* termite in nano-ZnO-treated samples.

258 Considering these data, we found that the thermal modification at 180 °C and 200 °C, even
259 with the nano-ZnO treatment, made the wood more attractive and consumed by termites.
260 Nevertheless, the temperature of 220 °C made the wood attractive but less consumed by the termites.
261 The nano-ZnO incorporation to unmodified wood was the most efficient treatment for wood
262 preservation since these samples were the least tunneled and less consumed by termites. However,
263 the nano-ZnO incorporation to the thermally-modified wood was not efficient to improve the
264 deterioration resistance under the conditions of the field test.

265 CONCLUSIONS

266 The nano-ZnO impregnation increase the degradation resistance in unmodified Caribbean
267 pine wood, however, it does not improve the degradation resistance of 180 °C and 200 °C-modified
268 samples.

269 The 220 °C-modified Caribbean pine samples were more resistant to xylophage organisms,
270 regardless of the nano-ZnO impregnation, compared to unmodified, 180 °C and 200 °C-modified
271 samples.

272 Pinus wood impregnated with nano-ZnO has shown decreased tunneling by termites under
273 natural conditions, which indicated anti-feeding effect on these organisms.

274 Nano-ZnO impregnation in pine wood is a promising treatment to add biological resistance
275 to this material under field conditions. It is necessary conducting further studies to assess the
276 efficiency of this technique in other forest species, as well as to investigate its application in
277 association with other procedures that also aim at protecting this material from biological
278 deterioration processes.

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