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Palladium(0)-Catalyzed [4+2] Annulation of Salicylaldehydes and Propargyl Carbonates to Produce 3,4-Dihydro-2-Methylene-2*H*-1-Benzopyran-4-Ols

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Palladium(0)-catalyzed synthesis of 3,4-dihydro-2-methylene-2*H*-1-benzopyran-4-ols via annulation between salicylaldehyde and propargyl carbonate using a formate reductant is reported herein. The annulation proceeds via common addition of the hydroxyl group in salicylaldehyde to the central carbon of η^3 -allenyl-/propargylpalladium, wherein the latter is generated through the oxidative addition of propargyl carbonate to the catalyst and subsequent intramolecular umpolung allylation of the aldehyde.

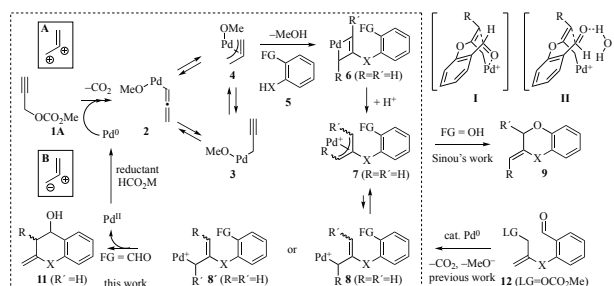
Key words: Palladium catalyst | Salicylaldehyde | Propargyl carbonate | [4+2] Annulation | Umpolung Allylation

Transition metal-catalyzed annulation forming two or multiple bonds in a single step is one of the most useful methods to construct heterocycles and carbocycles, which are important in biology and material science fields.¹ Recently, a palladium-catalyzed annulation reaction using propargyl carbonate **1** as dicationic synthon **A** with various bifunctional pronucleophiles has been receiving increasing attention (Scheme 1).^{2–14}

Propargyl carbonate **1A** undergoes oxidative addition to a palladium(0) complex and subsequent decarboxylation to afford η^1 -allenylpalladium(II) **2**, which equilibrates with η^1 -propargylpalladium(II) **3** and η^3 -allenyl-/propargylpalladium(II) **4** (Scheme 1).^{15–19} These (methoxo)palladium complexes **2–4** can deprotonate pronucleophiles, such as phenol **5** ($X = O$), generating an ion pair containing an anionic nucleophile and cationic palladium together with methanol. Then, a nucleophilic attack of the counter anion to the central carbon of η^3 -allenyl/propargyl ligand in **4** occurs to form palladacyclobutene **6**, which is converted to η^3 -allylpalladium(II) **7**, via protonation and subsequent isomerization of thermodynamically unfavorable η^1 -allylpalladium(II) **8** or **8'**. The η^3 -complex **7** can further react with another nucleophile. Therefore, a tethered bis(pronucleophile), such as catechol **5** ($FG = OH$, $X = O$), can undergo annulation with **1A** to afford 2,3-dihydro-1,4-benzodioxin **9**.⁵ In contrast, the annulation of **1A** with substrate **5** bearing both pronucleophile and electrophilic moieties ($FG = CHO$), such as salicylaldehyde, can be developed because the intermediate **8** is rarely detected but it is sufficiently nucleophilic to attack the intramolecular carbonyl group.²⁰ However, to the best of our knowledge, the latter annulation process using **1A** as Zwitter ionic

synthon **B** yielding functionalized 2*H*-1-benzopyran-4-ol **11** ($X = O$) has never been developed.^{21,22}

Recently, we reported palladium(0)-catalyzed umpolung cyclizations of allylic carbonate-aldehydes in the presence of formate reductant.^{23,24} The type II cyclization of **12** is supposed to proceed through η^1 -allylpalladium(II) intermediate **8** (Scheme 1). The formate can selectively reduce the alkoxopalladium(II) species (generated at the end of the catalytic cycle) over η^3 -allylpalladium(II) **7**. Unfortunately, the preparation of substrate **12** requires multiple laborious steps. Subsequently, we anticipated that the palladium-catalyzed *in situ* preparation of **8** from propargyl carbonate **1A** and salicylaldehyde **5** ($FG = CHO$, $X = O$) followed by umpolung allylation, which affords **11**, could solve the problem. To achieve the annulation reaction, it is essential to seek reaction conditions that do not reduce palladium(II) intermediates **2–4**²⁵ as well as **7** and **8**.²⁶



Scheme 1. Palladium-catalyzed annulation of **1** and **5** and type II umpolung cyclization of **12** leading to **11**.

First, reductants (HCO_2H , $HCO_2H \cdot Bu_3N$, HCO_2NH_4 , HCO_2Na , HCO_2K , and HCO_2Cs , 1.5 equiv) and phosphine ligands (dppe [1,2-bis(diphenylphosphino)ethane], dppp [1,3-bis(diphenylphosphino)propane], dppb [1,4-bis(diphenylphosphino)butane], dppf [1,1'-bis(diphenylphosphino)ferrocene], DPEphos [2,2'-bis(diphenylphosphino)diphenyl ether, 40 mol%]) were examined for the coupling reaction of salicylaldehyde (**5a**) with 2 equiv of methyl propargyl carbonate (**1A**) under catalysis of $Pd_2(dba)_3 \cdot CHCl_3$ in 1,4-dioxane at 50 °C (See Supporting Information, Tables S1 and S2). A combination of potassium formate²⁷ and dppp as the reductant and ligand, respectively provided the best result, affording 2-methylenechroman-4-ol (**11aA**) in 38% yield, although it was still necessary to improve the yield. Interestingly, the use of dppf or DPEphos^{10a-c} afforded 2,2'-(prop-2-ene-1,2-diylbis(oxy))-dibenzaldehyde (**13aA**), rather than **11aA**, in high yield, whereas dppb afforded an equal amount of **11aA**

1 and **13aA** in low yields (See Supporting Information, Table S2, Entries 2–4).

3 Considering the inevitable reduction of propargyl carbonate **1A**, 5 equiv of **1A** and potassium formate was utilized to screen a solvent for the annulation of salicylaldehyde (**5a**) under 10 mol% Pd₂dba₃·CHCl₃-dppp catalysis while heating at 50 °C (Table 1). Remarkably, the yield of **11aA** increased to 76% when 20 vol% of water was added to 1,4-dioxane (Entries 1–4).²⁸ The concentration of **5a** also affected the yield of **11aA**, with 0.10 M concentration offering the best result (Entry 5). Use of 2 equiv of **1A** and potassium formate instead of 5 equiv lowered the yield of **11aA** (Entry 6). Notably, the annulation proceeded even in the absence of formate; however, it accompanied the formation of diyne derived from oxidative dimerization of **1A** (Entry 7). Reducing the catalyst loading to 5 mol% lowered the yield of **11aA** (Entry 8). Other palladium sources such as Pd(OAc)₂ and (allyl)CpPd instead of Pd₂dba₃·CHCl₃ required longer reaction time for the complete consumption of **5a** (Entries 9 and 10). Fortunately, the reaction at 65 °C with *tert*-butyl carbonate **1B** instead of **1A** recovered the yield of **11aA** to 78% (Entry 12). The consumption of **1B** via its oxidative dimerization is suppressed by its steric bulkiness, as observed in Entry 7.

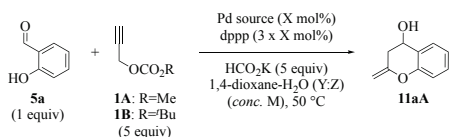


Table 1. Effects of solvent and concentration

Entry	1	Pd source (X mol%)	Y:Z	conc. (M)	Time (h)	Yield ^a (%)
1	1A	Pd ₂ dba ₃ ·CHCl ₃ (10 mol%)	1:0	0.25	6	52
2	1A	Pd ₂ dba ₃ ·CHCl ₃ (10 mol%)	9:1	0.25	10	69
3	1A	Pd ₂ dba ₃ ·CHCl ₃ (10 mol%)	4:1	0.25	6	76
4	1A	Pd ₂ dba ₃ ·CHCl ₃ (10 mol%)	1:1	0.25	6	44
5	1A	Pd ₂ dba ₃ ·CHCl ₃ (10 mol%)	4:1	0.10	6	87
6 ^b	1A	Pd ₂ dba ₃ ·CHCl ₃ (10 mol%)	4:1	0.10	3	69
7 ^c	1A	Pd ₂ dba ₃ ·CHCl ₃ (10 mol%)	4:1	0.10	6	52
8	1A	Pd ₂ dba ₃ ·CHCl ₃ (5 mol%)	4:1	0.10	6	65
9 ^d	1A	Pd(OAc) ₂ (10 mol%)	4:1	0.10	18	62
10 ^d	1A	(allyl)CpPd (10 mol%)	4:1	0.10	18	56
11 ^e	1A	Pd ₂ dba ₃ ·CHCl ₃ (5 mol%)	4:1	0.10	2	65
12 ^e	1B	Pd ₂ dba ₃ ·CHCl ₃ (5 mol%)	4:1	0.10	9	78 (74) ^f

29 ^a NMR yield of **11aA**. ^b Reaction with 2 equiv of **1A** and HCO₂K. ^c Reaction without HCO₂K. ^d 15 mol% of dppp was used. ^e Reaction at 65 °C. ^f Isolated yield of **11aA** is shown in parenthesis.

33 With the optimized reaction conditions (Table 1, Entry 12), the reactions of commercially available salicylaldehydes **5b–l** having various substituents at the 3-, 4-, or 5-positions were tested (Table 2, Entries 1–11). Results showed that electron-donating methyl, methoxy, and diethylamino groups were compatible, and the position of the methoxy group affected the product yields to some extent (Entries 1–5). Salicylaldehydes **5g–j** with an electron-withdrawing halogen or nitro group at the 3-position also participated in the annulation to afford **11(g–j)A** in moderate yield (Entries 6–9). Notably, the bromo substituent in **5i** remained intact without suffering reduction under the palladium catalysis (Entry 8). Both 2-hydroxy-1-naphthaldehyde **5k** and its isomer **5l** equally underwent annulation to afford tricyclic products **11kA** and **11lA**, respectively in good yields (Entries 10 and 11). The annulation of *o*-nitrobenzenesulfonyl-protected 2-aminobenzaldehyde **5m**, instead of salicylaldehyde, also occurred, affording 2-methylene-1,2,3,4-tetrahydroquinoline **11mA** in 57% yield (Table 2, Entry 12).

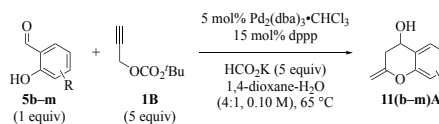


Table 2. Scope of salicylaldehydes **5**

Entry	5	Product	Time (h)	Yield ^a (%)
1	5b	11bA	16	64
2	5c	11cA	17	52
3	5d	11dA	7	72
4	5e	11eA	10	71
5	5f	11fA	19	36 ^b
6	5g	11gA	25	43
7	5h	11hA	9	46

8			9	47
9			4	36
10			4	60
11			10	65
12			10	57

1 ^a Isolated yield of **11aA**. ^b Substrate **5f** was recovered in 36% yield.

2
3 Finally, the scope of propargyl carbonates was briefly
4 investigated (Table 3). Both isomeric methyl-substituted
5 carbonates **1C** and **1C'** were converted to *cis*- and *trans*-
6 **11aC** in a ca. 4:1 ratio (Entries 1 and 2), which implies that
7 these reactions proceeded through a common η^3 -
8 allylpalladium(II). Similarly, phenyl-substituted **1D** and **1D'**
9 yielded *cis*- and *trans*-**11aD** with poor diastereoselectivity
10 (Entries 3 and 4). Notably, the annulations with internal
11 alkynes (**1C'** and **1D'**) were less efficient than those with
12 terminal alkynes (**1C** and **1D**) because of the formation of
13 byproducts derived from the reduction of η^3 -
14 allylpalladium(II) intermediates (Entries 1, 3 vs. 2, 4).
15 Although each pair of the isomers should behave similarly,
16 the differences in the product yields are ascribed to the
17 initially formed η^1 -allylpalladium(II) **8** and **8'** (R = Me or Ph,
18 R' = H) (Scheme 1).²⁹ Interestingly, the use of non-aqueous
19 solvent in the annulation between **5a** and **1C** resulted in the
20 reversal of diastereoselectivity (Entries 1 vs. 5). The
21 diastereoselectivity was determined via the Zimmerman–
22 Traxler transition state **I** in non-aqueous solvents or the
23 antiperiplanar transition state **II** in aqueous solvents; both
24 states are derived from thermodynamically favored *syn*- η^3 -
25 allylpalladium(II) intermediates (Scheme 1).

26 In conclusion, we have developed a palladium(0)-
27 catalyzed annulation of salicylaldehydes with propargyl
28 carbonate that affords 3,4-dihydro-2-methylene-2H-1-
29 benzopyran-4-ols in good to moderate yields. Various
30 substituents, including bromide on the salicylaldehydes,
31 were tolerated under mild reaction conditions. It was
32 demonstrated that the allylpalladium intermediate, generated
33 by the addition of the hydroxy group in the salicylaldehyde
34 to η^3 -allenyl-/propargylpalladium(II), could undergo
35 nucleophilic addition to intramolecular aldehyde using a
36 formate reductant.

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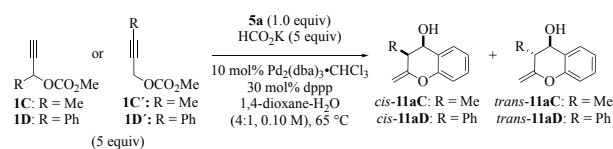
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Table 3. Scope of propargyl carbonates **1**

Entry	1	Product	Time (h)	Yield (<i>cis:trans</i>) ^a
1		11aC	5	54% (4.2:1) ^b
2		11aC	5	39% (4.4:1) ^c
3		11aD	1.5	58% (1.1:1)
4		11aD	1.5	39% (1.1:1) ^d
5 ^e		11aC	1.5	60% (1:3.3)

40 ^a The *dr* was determined by ¹H-NMR analysis of the diastereomeric
41 mixture. ^b 2-(But-1-en-2-yloxy)benzaldehyde (**14**) was also observed in
42 4% NMR yield. ^c **14** and (*Z*)-2-(but-2-en-2-yloxy)benzaldehyde (**15**)
43 were also observed in 33% and 7% NMR yields, respectively. ^d 2-((3-
44 phenylprop-1-en-2-yl)oxy)benzaldehyde (**16**) and (*Z*)-2-((1-
45 phenylprop-1-en-2-yl)oxy)benzaldehyde (**17**) were also observed in
46 33% and 22% NMR yields, respectively. ^e Reaction in 1,4-dioxane.

47

48 Supporting Information is available on
49 http://dx.doi.org/10.1246/cl.*****.

50

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111 is unclear how the carbonyl group undergoes the electrophilic
112 addition prior to the dehydration. Amine-promoted allenic-
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132 antiperiplanar transition state **II** to avoid a ring strain in
133 Zimmermann-Traxler transition state **I** tethered by the planar
134 benzene.
135 28 The annulation between **5a** and deuterated propargyl carbonate
136 **1B** afforded deuterated **11aA**, in which deuteriums are
137 incorporated at allylic and vinylic positions equally (see

1 Supporting Information). This result indicates that initially
2 formed η^1 -allylpalladium(II) should undergo isomerization into
3 η^3 -complex prior to umpolung carbonyl allylation. However, it
4 might be possible that a substituent on the vinyl group in η^1 -
5 allylpalladium **8'** retards the isomerization to some extent due to
6 steric repulsion with a dppp ligand.
7

NOTE The diagram is acceptable in a colored form. Publication of the colored G.A. is free of charge.

For publication, electronic data of the colored G.A. should be submitted. Preferred data format is EPS, PS, CDX, PPT, and TIFF.

If the data of your G.A. is "bit-mapped image" data (not "vector data"), note that its print-resolution should be 300 dpi.

You are requested to put a brief abstract (50-60 words, one paragraph style) with the graphical abstract you provided, so that readers can easily understand what the graphic shows.

Graphical Abstract	
Textual Information	
A brief abstract (required)	Palladium(0)-catalyzed synthesis of 3,4-dihydro-2-methylene-2H-1-benzopyran-4-ols via the annulation between salicylaldehyde and propargyl carbonate with the aid of formate reductant is reported. The annulation proceeds through a common addition of the hydroxyl group in salicylaldehyde to the central carbon of η^3 -allenyl-/propargylpalladium, which is generated by oxidative addition of propargyl carbonate to the catalyst, and subsequent intramolecular umpolung allylation of the aldehyde.
Title(required)	Palladium(0)-Catalyzed [4+2] Annulation of Salicylaldehydes and Propargyl Carbonates Leading to 3,4-Dihydro-2-Methylene-2H-1-Benzopyran-4-Ols
Authors' Names(required)	Ayumu Kawase, Hirotaka Omura, Hirokazu Tsukamoto, Takayuki Doi
Graphical Information	
<p><Please insert your Graphical Abstract: The size is limited within 100 mm width and 30 mm height, or 48 mm square>(required)</p> <div style="text-align: center;"> <p>Reaction scheme showing the synthesis of 3,4-dihydro-2-methylene-2H-1-benzopyran-4-ols from salicylaldehyde and propargyl carbonate, catalyzed by Pd(0)-dppp, using HCO₂K, 1,4-dioxane-H₂O (4:1), at 50–65 °C. The reaction involves the oxidative addition of the propargyl carbonate to the Pd(0) catalyst, forming an allenylpalladium intermediate, followed by intramolecular umpolung allylation of the aldehyde.</p> </div>	