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## Association of blood lead levels in children 0–72 months with living in Mid-Appalachia: a semiecologic study

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### Abstract

**Introduction**—Lead exposure in children remains a significant public health issue, although many advances have been made. The Mid-Appalachia area (Kentucky, New York, Ohio, Pennsylvania, and West Virginia) is 89–91% rural with a population density of 16–21 people/km<sup>2</sup> (41–54 people/mi<sup>2</sup>). Mid-Appalachia has significant health disparities including concerns for the consequences of greater lead exposure to children due to mining and industrial footprints, and existing older housing. The purpose of this study is to compare the reported blood lead levels of screened children, aged 0–72 months in Mid-Appalachia, to the children in the USA in general.

**Methods**—Data from the Centers for Disease Control and Prevention and from the US Census Bureau were analyzed in a semi-ecological study. The blood lead level of 5 µg/dL was compared between children in Mid-Appalachia and the US housing units built before 1950; US housing units built before 1940 were also compared.

**Results**—The number of children with blood lead levels of 5 µg/dL was significantly greater in Mid-Appalachia than nationally (7.75% vs 5.79%, respectively;  $p < 0.0001$ ). The number of homes built before 1950 ( $p < 0.0001$ ) and built before 1940 ( $p < 0.0001$ ) was significantly greater in Mid-Appalachia than nationally.

**Conclusions**—Blood lead levels in children are higher in Mid-Appalachia than nationally and there is an ecological relationship with the number of homes built before 1950 and before 1940.

### Keywords

disparity; heavy metal; lead exposure; Mid-Appalachia; USA

### Introduction

Lead exposure in children aged less than 6 years remains a public health issue throughout the world. Some researchers indicate a greater rural prevalence in certain regions of the USA<sup>1–3</sup> (perhaps due to agricultural pesticide use) while other researchers report a greater prevalence in urban areas<sup>4,5</sup>. Lead research peaked in the 1980s and 1990s; therefore, the current patterns of prevalence in highly rural areas of the USA need further study.

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Lead has many effects. It disrupts enzymes and the sodium–potassium–adenosine triphosphate pump; it interferes with the nervous system, hemoglobin synthesis, bone formation, and kidney function<sup>6</sup>. IQ deficits, attention-related disorders and poor academic achievement are associated with blood lead levels at 5 µg/dL<sup>7,8</sup>. The neurotoxic effect on the developing brain is described as a ‘silent pandemic’<sup>8</sup>. For children, there is no safe blood lead level<sup>9</sup>. Researchers at the Centers for Disease Control and Prevention (CDC) use 5 µg/dL as a reference blood lead level and 45 µg/dL as the level at or above which chelation therapy is recommended<sup>10</sup>.

Non-remediated lead-based painted houses are the major source of lead exposure to US children. Lead-based paints were banned in 1978; however, many homes and buildings still occupied by children aged less than 6 years were built before 1978. Children living in non-remediated housing are at particular risk because they are more sensitive to the effects of lead and they have habits that predispose them to exposure (such as placing items into their mouths)<sup>6,10,11</sup>.

Other sources of lead in non-occupational exposure are water, soil, and food<sup>12</sup>. Well water in rural areas is not federally regulated and may have naturally occurring lead<sup>12</sup> or have corroding lead service water lines. The use of lead-free solder and black, high-density polyethylene plastic water lines has helped to reduce the risk of lead exposure from water.

Some soils and the food grown in them have very high lead concentrations. The contamination may be due to lead-containing pesticides; soils near metalliferous mines; tailings sites; industrial emissions; shooting ranges; burned garbage; demolished structures; outside structures with lead-based paint degraded by weather, busy streets/highways; and wood chips from recycled, lead-based painted wood, for example. In the USA in 1996, 18 million homes had soil lead levels greater than 400 mg/kg (400 ppm), 2.5 million homes had soil lead levels greater than 2000 mg/kg, and 2.5 million had levels greater than 5000 mg/kg<sup>13</sup>. Researchers collecting 3045 soil samples from across the USA in 1993 found a median lead level of 11.0 mg/kg<sup>14</sup>. Other researchers reported a US median of 16.5 mg/kg<sup>15</sup> from data collected between 1960 and 1975, and from National Geochemical Survey data the median was 19.75 mg/kg<sup>14</sup>. The background lead concentration in naturally occurring rural agricultural soils in the USA is 10.6 mg/kg (standard deviation 1.74 mg/kg) or 10.6 ppm<sup>16</sup>.

The Environmental Protection Agency’s lead limits are 400 mg/kg in soil where children play and 1200 mg/kg for the remainder of the yard<sup>17</sup>. Lead absorption from the soil into food varies with plant species/varieties. Each has specific lead uptake, accumulation, detoxification, and excretion mechanisms<sup>18</sup>. There is a gradient of lead levels in plants grown in contaminated soil, with lead highest in the root, less in the shoot, and lower in the edible fruits<sup>19</sup>. Soils with 100 mg/kg lead or less are considered safe for gardening to grow food for children; soils with 300 mg/kg lead or less are considered safe for gardening for food for adults<sup>20</sup>.

A band of high lead levels occurs in agricultural soils along the Mississippi, Ohio and Missouri rivers<sup>16</sup>. The Mid-Appalachia area (Kentucky, New York, Ohio, Pennsylvania, and West Virginia), which is 89–91% rural with a population density from 16–21 people/

km<sup>2</sup>(41–54 people/mi<sup>2</sup>) (Table 1), has a high agricultural footprint and urban areas are highly industrialized. Mid-Appalachia was a part of the old Lead Belt<sup>16</sup> in which contamination from coal mining included aluminum, arsenic, barium, cadmium, manganese, mercury, iron, zinc, and lead<sup>21</sup>. Socioeconomic disparities are greater in mining versus non-mining regions of Mid-Appalachia<sup>22</sup>. The mining regions continue to have higher poverty rates, higher unemployment rates, and lower educational levels than the non-mining regions of Mid-Appalachia<sup>21</sup>.

The Mid-Appalachia region may pose a risk of greater lead exposure to children due to mining, industry, agriculture, and existing housing. Thus, the purpose of this study is to compare the reported blood lead levels of screened children, aged 0–72 months, to the children in the USA in general. The null hypothesis is that there is no difference in the blood lead levels of screened children in Mid-Appalachia and the blood lead levels of screened children in the rest of the USA. The research hypothesis is that there will be a greater percentage of children with a blood lead level greater than 5 µg/dL in Mid-Appalachia than in the rest of the USA. The rationale for this study is that there is a need to know about existing disparities so that policies and plans can be implemented to improve health and wellbeing.

## Methods

The data used for this study were from the CDC National Surveillance Data on Tested and Confirmed Elevated Blood Lead Levels by State, Year, and Blood Lead Level Group for Children <72 months, and the US Bureau of the Census, Decennial Census: SF3, Tables H34, H35, and American FactFinder. The surveillance year for the blood lead level data was 2011. The CDC surveillance website (<http://www.cdc.gov/nceh/lead/data/national.htm>) has summary data supplied by researchers from the reporting states who decide upon collection and reporting techniques<sup>23</sup>. Due to the variability in the collection and reporting methodologies, and selection of the children to be screened, the study design for this current study was semi-ecological.

The blood lead level reference number used in the present study as the variable of interest was 5 µg/dL. In the reporting states, the blood draw had been analyzed by a laboratory with Clinical Laboratory Improvement Amendments certification or an approved portable device. A confirmed blood lead level of 5 µg/dL or greater was determined using one venous blood specimen of 5 µg/dL or by taking two capillary blood specimens of 5 µg/dL or greater within 12 weeks.

The states that represented Mid-Appalachia were Kentucky, New York, Ohio, Pennsylvania, and West Virginia. The other states in this study were Alabama, Arizona, California, Connecticut, Delaware, Florida, Georgia, Illinois, Indiana, Iowa, Kansas, Louisiana, Maryland, Michigan, Minnesota, Missouri, Mississippi, New Hampshire, Oklahoma, Oregon, Rhode Island, Vermont, Wisconsin, and also included was Washington, DC. The baseline population for this study included live children born between 2005 and 2011. Individual-level data regarding family housing type, highest level of education, race/ethnicity, or income were not available.

Prevalence ratios, Fisher's  $\chi^2$  exact test, and unadjusted logistic regression were analyzed with the Statistical Package for the Social Sciences v21 (SPSS; <http://www.spss.com>). The a priori significance level was set to 0.05.

### Ethics approval

This study received West Virginia University Institutional Review Board acknowledgement (ethics approval number: 1409442143) and the research was conducted in accordance with prevailing ethical principles. The strengthening of reporting of observational studies in epidemiology (STROBE) statement checklist was followed in this study.

### Results

Table 2 has the descriptive characteristics of the Mid-Appalachia states and national summary. Nationally, 3 697 798 children aged 0–72 months received blood lead level testing reported to the CDC for 2011. Tests for 214 275 children were confirmed to have lead levels of at least 5  $\mu\text{g}/\text{dL}$  (weighted percentage: 5.79%; SE, 1.34). Within the Mid-Appalachia region, there were 556 398 children tested and 43 122 children were confirmed for at least 5  $\mu\text{g}/\text{dL}$  of lead (weighted percentage: 7.75%; standard error (SE), 0.68). The Mid-Appalachia state with the most children with confirmed lead levels at or above 5  $\mu\text{g}/\text{dL}$  was Pennsylvania: 16 085 children of the 150 110 children tested (weighted percentage, 10.72%). The Mid-Appalachia state with the least number of children with confirmed lead levels at or greater than 5  $\mu\text{g}/\text{dL}$  was Kentucky: 1180 children of the 22 185 children tested (weighted percentage, 5.32%). Census data for children aged 0–72 months is also presented in Table 2 to compare statewide lead testing practices.

Table 3 has the descriptive characteristics of the housing in the Mid-Appalachia states and nationally. Nationally, 25 815 821 housing units (weighted percentage, 22.3%; SE, 2.2) had pre-1950 construction. There were 17 380 053 housing units (weighted percentage, 15.0%; SE, 1.8) with pre-1940 construction. In the Mid-Appalachia states, 7 504 476 housing units (weighted percentage, 36.9%; SE, 13.8) had pre-1950 construction. There were 5 445 509 housing units (weighted percentage, 26.8%; SE, 3.96) with pre-1940 construction. The Mid-Appalachia state with the highest number of pre-1940 housing units was New York (2 398 237 housing units; weighted percentage, 31.2%). The Mid-Appalachia state with the least number of pre-1940 housing units was Kentucky (217 673 housing units; weighted percentage, 12.4%).

Table 4 presents the  $\chi^2$  relationships comparing Mid-Appalachia with the rest of the USA in relation to blood lead level and older housing. There were significant differences, with the Mid-Appalachia region having more children with confirmed lead levels at or greater than 5  $\mu\text{g}/\text{dL}$ , and Mid-Appalachia having a greater number of older housing units. The numbers presented in Table 4 for children with confirmed lead levels at or or greater than 5  $\mu\text{g}/\text{dL}$  are weighted to the respective populations.

The children in the Mid-Appalachia region were more likely to have confirmed lead levels at or above 5  $\mu\text{g}/\text{dL}$  (odds ratio, 1.40; confidence interval (CI), 1.397–1.41).

## Discussion

Mid-Appalachia is a region with significant health disparities. The results of this study are that the region's children, aged 0–72 months, are 40% more likely to have an elevated blood lead level than children of the same age in the rest of the nation. Although the prevalence of high blood lead levels has decreased substantially over the decades, the exposure has not been eliminated<sup>11</sup>. The prevalence of 10 µg/dL or greater blood lead levels for non-Hispanic white children aged 12–60 months in 1976–1980 was 85%, and for non-Hispanic black children the prevalence was 97.7%<sup>24</sup>. By 1988–1991, the prevalence was 5.5% for non-Hispanic white children and 20.6% for non-Hispanic black children<sup>24</sup>. In 2012, the CDC proposed changes in its surveillance of lead exposure, and 5 µg/dL was identified as the reference level. The reference was based on the 97.5th percentile of the 2007–2010 National Health and Nutrition Examination Survey's (NHANES) blood lead levels in children and will be updated every 4 years<sup>10</sup>.

Progress in lowering blood lead levels in children in the USA has occurred through the elimination of lead from petrol, lead solder from soft-drink cans, and housing remediation of lead (removal of lead-based paint). In 1973, there were 0.53–0.79 g lead/L of petrol (181 437 metric tonnes/year)<sup>25</sup>. By 1995, 99.4% of petrol was unleaded<sup>25</sup>. In 1980, the beverage and food industries used lead solder in 47% of cans; by 1990, 99.15% cans were lead-free<sup>24</sup>.

The major source of lead exposure currently is lead-based paint dust. Non-remediated homes pose a health risk nationwide and particularly for the Mid-Appalachia region's children. Mid-Appalachia has a significantly higher number of older homes than the rest of the nation, both in the rural areas of Mid-Appalachia and in the industrialized urban areas. Lead-based paint deteriorates into dust. Pre-1950 lead-based paint was up to 50% lead by weight<sup>26</sup>. If a lead-based paint with a lead content of 1 mg lead/cm<sup>2</sup> (the lowest level covered by US regulation) is completely sanded from 1 ft<sup>2</sup> (929 cm<sup>2</sup>) of a painted wall, and dust is spread over 100 ft<sup>2</sup> (9.29 m<sup>2</sup>), the leaded dust contains 9300 µg/ft<sup>2</sup> (10 µg/cm<sup>2</sup>) of lead<sup>27</sup>. The dust has more than 200 times the amount permitted by the US Department of Housing and Urban Development (40 µg/ft<sup>2</sup>; 0.043 µg/cm<sup>2</sup>)<sup>27</sup>.

Blood lead level screenings for higher risk children nationally is a Grade I (insufficient evidence) recommendation by the US Preventive Services Task Force<sup>7</sup>. Nevertheless, the housing in Mid-Appalachia is older than nationally, and the percentage of children testing positive is higher than nationally. Most children with a blood lead level of 5 µg/dL or greater do not report symptoms; however, neurological and behavioral changes occur, hence the term 'silent pandemic'<sup>8</sup>. The estimated annual cost of lead exposure is \$43 billion for medical care and productivity losses<sup>7</sup>. Much progress has been made; however, much more is needed. The results of this study indicate that Mid-Appalachia children have a greater burden of lead exposure than children in the rest of the nation.

This study does have limitations. The data concerning blood lead levels was summary data supplied by researchers from the reporting states, who decided upon the collection and reporting technique. There was variability in the collection and reporting methods and selection of the children to be screened. Not all children at high risk were screened. Not all

states provided data. Individual-level data regarding family housing type, highest level of education, race/ethnicity, or income were not available.

As a semi-ecologic study, this study does have strengths. It supports the research that suggests there is an overall reduction in lead exposure in children; and this is a large study, with many children screened for lead exposure in each of the reporting states.

## Conclusions

To the authors' knowledge, this is the first study to examine the disparities of lead exposure for children in the Mid-Appalachia region. The study adds to the literature the current status of lead exposure in children aged 0–72 months in the Mid-Appalachia region. It also includes the number of older homes within the region as compared with the rest of the nation and demonstrates the disparities that exist for Mid-Appalachia.

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**Table 1**

Mid-Appalachia states' rural status, 2010 Census Urban and Rural Classification and Urban Area Criteria, United States Census<sup>†</sup>

Mid-Appalachia state	Area % rural	Rural population density (people/km <sup>2</sup> )
Kentucky	96.43	18.3
New York	91.32	21.1
Ohio	89.18	27.0
Pennsylvania	89.48	26.1
West Virginia	97.34	15.7

<sup>†</sup>2010 Urban and Rural Classification-Geography-US Census Bureau. US Department of Commerce. <http://www.census.gov/geo/reference/ua/urban-rural-2010.html>

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**Table 2**Mid-Appalachia states' confirmed elevated lead levels in children aged 0–72 months<sup>23</sup>

State/region/country population <72months <sup>†</sup>	Blood lead level		Weighted number (%)	
	5 µg/dL	<5 µg/dL	5 µg/dL	<5 µg/dL
Kentucky (339 126)	1180	21 005	18 030 (5.32%)	320 947 (94.68%)
New York (1 285 155)	13 786	209 019	85 797 (6.19%)	1 300 821 (93.81%)
Ohio (866 996)	11 421	138 169	66 194 (7.63%)	800 802 (92.37%)
Pennsylvania (877 769)	16 085	134 025	94 057 (10.72%)	783 712 (89.28%)
West Virginia (125 045)	650	11 058	6942 (5.55%)	118 103 (94.45%)
Mid-Appalachia <sup>‡</sup> (3 494 091)	43 122	513 276	271 020 (7.75%) (SE, 0.68%)	3 324 385 (92.25%)
USA <sup>§</sup> (24 258 220)	214 275	3 482 623	1 405 683 (5.79%) (SE, 1.34%)	22 852 537 (94.21%)

<sup>†</sup>At time of 2010 US Census<sup>‡</sup>Mid-Appalachia: KY, NY, OH, PA, and WV<sup>§</sup>US states reporting: AL, AZ, CA, CT, DC, DE, FL, GA, IA, IL, IN, KS, KY, LA, MA MD, MI, MN, MO, MS, NH, NJ, NY, OH, OK, OR, PA, RI, VT, WV, and WI. Census data from <http://factfinder.census.gov>

SE, standard error

**Table 3**

Mid-Appalachia states' 1940–1949 and pre-1940 housing units

State/region/country	No. housing units built pre-1940 (%)	No. housing units built 1940–49 (%)	No. housing units built pre-1950 (%)	Total no. housing units
Kentucky	217 673 (12.4%)	117 394 (6.7%)	335 067 (19.1%)	1 750 927
New York	2 398 237 (31.2%)	911 533 (11.9%)	3 309 770 (43.1%)	7 679 307
Ohio	1 075 305 (22.5%)	426 526 (8.9%)	1 502 331 (31.4%)	4 783 051
Pennsylvania	1 590 673 (30.3%)	522 749 (10.0%)	2 113 422 (40.3%)	5 249 750
West Virginia	163 121 (19.3%)	80 765 (9.6%)	243 886 (28.9%)	844 623
Mid-Appalachia <sup>†</sup>	5 445 509 (26.8%) (SE, 3.96%)	2 053 967 (10.1%) (SE, 0.9%)	7 504 476 (36.9%) (SE, 13.8%)	20 307 658
Other <sup>‡</sup>	11 934 544 (12.5%) (SE, 4.9%)	6 376 801 (6.7%) (SE, 0.6%)	18 311 345 (19.2%) (SE, 2.5%)	95 596 983
Mid-Appalachia + Other	17 380 053 (15.0%) (SE, 1.8%)	8 435 763 (7.3%) (SE, 0.5%)	25 815 821 (22.3%) (SE, 2.2%)	115 904 641

Data sources: Census of Population and Housing, US Bureau of the Census. Decennial Census: SF3, Tables H34, H35, <http://www.census.gov/prod/www/decennial.html>; ACS Demographic and Housing Estimates of American Fact Finder. <http://factfinder.census.gov>

<sup>†</sup>Mid-Appalachia: KY, NY, OH, PA, and WV

<sup>‡</sup>Other states reporting: AL, AZ, CA, CT, DC, DE, FL, GA, IA, IL, IN, KS, LA, MA, MD, MI, MN, MO, MS, NH, NJ, OK, OR, RI, VT, and WI  
SE, standard error

**Table 4**

Chi-squared analyses<sup>†</sup> comparing blood lead levels in Mid-Appalachia and other states for older housing

	Mid-Appalachia <sup>¶</sup> (n(%)) <sup>§</sup>	Other states <sup>‡</sup> (n(%)) <sup>§</sup>	p value
Children positive for $\geq 5$ $\mu\text{g}/\text{dL}$ blood lead levels?			<0.0001
Yes	271 020 (19.3%)	1 134 663 (80.7%)	
No	3 324 305 (14.5%)	19 528 152 (85.5%)	
Pre-1940 housing units?			<0.0001
Yes	5 445 509 (31.3%)	11 934 544 (68.7%)	
No	14 862 149 (15.1%)	83 662 439 (84.9%)	
Pre-1950 housing units?			<0.0001
Yes	7 504 476 (29.1%)	18 311 445 (70.9%)	
No	12 803 182 (14.2%)	83 662 439 (35.8%)	

<sup>†</sup>Analyses with Fisher’s  $\chi^2$  exact test

<sup>¶</sup>Mid-Appalachia states: KY, NY, OH, PA, and WV

<sup>§</sup>Numbers presented are weighted numbers

<sup>‡</sup>Other states reporting: AL, AZ, CA, CT, DC, DE, FL, GA, IL, IN, IA, KS, KY, LA, MD, MA, MI, MN, MO, MS, NH, NJ, NY, OH, OK, OR, PA, RI, VT, WV, and WI

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