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### CONTROL OF VOLTAGE-SOURCE CONVERTERS CONSIDERING

#### VIRTUAL INERTIA DYNAMICS

by

### TRI NGUYEN

(Under the Direction of Masoud Davari)

### ABSTRACT

Controlling power-electronic converters in power systems has significantly gained more attention due to the rapid penetration of alternative energy sources. This growth in the depth of penetration also poses a threat to the frequency stability of modern power systems. Photovoltaic and wind power systems utilizing power-electronic converters without physical rotating masses, unlike traditional power generations, provide low inertia, resulting in frequency instability. Different research has developed the control aspects of power-electronic converters, offering many control strategies for different operation modes and enhancing the inertia of converter-based systems. The precise control algorithm that can improve the inertial response of converter-based systems in the power grid is called virtual inertia. This thesis employs a control methodology that mimics synchronous generators characteristics based on the swing equation of rotor dynamics to create virtual inertia. The models are also built under different cases, including grid-connected and islanded situations, using the swing equation with inner current and voltage outer loops. Analysis of the simulation results in MATLAB/Simulink demonstrates that active and reactive power are independently controlled under the grid-imposed mode, voltage and frequency are controlled under the islanded mode, and frequency stability of the system is enhanced by the virtual inertia emulation using the swing equation. On this basis, it is recommended that the swing equationbased approach is incorporated with the current and voltage control loops to achieve better protection under over-current conditions. Further works are required to discover other factors that can improve the effectiveness of the models.

INDEX WORDS: Virtual inertia, Swing equation, Current-Controlled, Voltage controller, Grid-Connected, Islanded.

### CONTROL OF VOLTAGE-SOURCE CONVERTERS CONSIDERING

### VIRTUAL INERTIA DYNAMICS

by

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B.Sc., Da Nang University of Technology, Vietnam, 2018

A Thesis Submitted to the Graduate Faculty of Georgia Southern University in Partial

Fulfillment of the Requirements for the Degree

# MASTER OF SCIENCE

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### CONTROL OF VOLTAGE-SOURCE CONVERTERS CONSIDERING

### VIRTUAL INERTIA DYNAMICS

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Electronic Version Approved: July 2020 This thesis is dedicated to my family.

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# LIST OF SYMBOLS

Symbol	Description	Symbol	Description
	Inner product of two vectors	$\widetilde{()}$	Vector comprising three $120^{\circ}$
< .,. >	in $\mathbb{R}^3$	(.)	out of phase components
â	Amplitude of vector $\overrightarrow{a}$	$\pounds()$	Laplace transform operator
θ	Phase angle	ρ	Angular position
ω	Angular velocity	$\omega_g$	Angular velocity of the grid
$\Delta \omega$	Difference of nominal and	D	Damping factor
J	Moment of inertia	Р	Active power
$P_m$	Mechanical power	$P_e$	Electrical power
Q	Reactive power	R	Connection Resistance
L	Filter inductance	$C_f$	Filter capacitance
$T_e$	Electromagnetic torque	$T_m$	Mechanical torque
$I_d$	d-axis component of current	$I_q$	q-axis component of current
$V_d$	d-axis component of voltage	$I_q$	q-axis component of voltage
$v_c$	Carrier voltage	$v_m$	Modulation voltage
$D_p$	Frequency drooping coefficient	$D_q$	Voltage droop coefficient
$k_P$	Proportional gain	k <sub>I</sub>	Integral gain
S	Apparent power	$M_f i_f$	Field excitation

#### CHAPTER 1

#### INTRODUCTION

The utilization of alternative energy sources has been promoted in the modern power system to solve the energy crisis and global warming [1,2]. The current power grid is connected to not only traditional power plants, including thermal and hydropower plants, but also numerous solar panels and wind turbine generators. As specified by the International Energy Agency (IEA), global renewable power generation capacity is estimated to rise by 50% of 1,200 GW between 2019 and 2024, which is corresponding to the entire installed capacity of the United States currently [3]. Solar photovoltaic (PV) was still leading the installed renewable power capacity statistics with 100 GW added, accounting for 55% of new renewable capacity, followed by wind power (28%) in 2018. In total, alternative energy constitutes over 33% of the world's installed power generating capacity in 2018 [4]. Due to the substantial increasing demand in PV and wind power with DC loads, the power system is gravitated towards an inverter-dominated network and further an AC/DC hybrid grid with large DC-energy pools [5]. Despite fast response time, the inverters as static converters lack the mechanical spinning component, thus do not possess the same moment of inertia as synchronous generators to reinforce the grid dynamics.

Consequently, the large-scale penetration of renewable energy sources lowers the total inertia of the network and threatens the power system's stability. Various potential solutions have been proposed, such as running multiple synchronous generators at partial load conditions or using grid-scale energy storage devices. Despite being useful in inertia sustainability or fast response to frequency events, there are still many disadvantages like higher operating costs, low round-trip efficiency, limited life-cycle, safety, and noises [6,7]. The idea of implementing virtual inertia for an inverter-based system has been pointed towards by many researchers as a solution for the power system stability to cope with the increasing penetration of alternative power generation using inverters [8,9].

#### 1.1 MOTIVATION

In a power grid, according to physics, frequency is an indicator of the match between load consumption and power generation. Any alteration in the active power generation or the power consumption results in power imbalance and deviation in the frequency. Conventionally, synchronous generators in traditional power plants play a crucial role in sustaining dynamic frequency stability as they behave like energy storage. Kinetic energy is absorbed or released by the rotating masses of the synchronous generators during the time of frequency deviation. Such a property of rotating masses to resist the sudden deviation in frequency is named as the moment of inertia. The higher the total inertia of the network is, the slower the dynamics of frequency change is, which effectively avoids unpredictable load-shedding, cascading failures, or large-scale blackouts [10]. However, there is no mechanical spinning component in static inverters. Hence, these grid-connected inverters do not contribute inertia to the electrical grid, leading to inadequate inertial response of the power system [11]. The primary governor might not adapt quickly enough to the frequency fluctuations. This issue can be detected and measured by frequency tripping protective relay if the deviation is  $\pm 0.5\%$ , falling in between 59.7Hz and 60.3Hz for a 60Hz grid. The tripping of the circuit breaker, disconnecting the generators from the network, results in system instability. Consequently, this restricts the maximum amount of grid-connected non-synchronous systems.

A multiple time-frame frequency response in a power system has been simulated to show a clearer sight of how frequency recovery is, in the presence of virtual inertia and vice versa, illustrated in Figure 1.1 [12]. As can be seen, the first 10 seconds after the frequency event determine how well the system inertia can slow down the dynamics of frequency change and reduce the frequency deviations. It shows that the reduction in frequency nadir (minimum frequency point) can be compensated by additional virtual inertia, and the rate of change of frequency (ROCOF) has improved significantly. The primary control of the governor is only taken into action within 10-30s after the inertial response time. This process is not instantaneous enough to arrest the system frequency. Thus, the virtual inertia concept has been researched and developed.



Figure 1.1: Multiple Time-Frame Frequency Response Following a Frequency Event

Numerous control algorithms for implementing virtual inertia into the inverter-based system are presented in the literature review in the next chapter. Most of the latest approaches with various topologies are discussed to summarize and classify in the concept of virtual synchronous machine (VSM) according to their functional characteristics and controller designs.

### 1.2 CONTRIBUTION

This thesis's main contribution is a control strategy that implements the virtual inertia for the voltage-source converters under grid-connected and islanded mode. To this end, we build a controller based on the power-frequency swing equation, which employs the rotor momentum of inertia and damping coefficient as control parameters to mimic the characteristics of the synchronous generators.

#### CHAPTER 2

#### LITERATURE REVIEWS

A large number of approaches to emulate synchronous generator characteristics have been proposed and developed. Despite utilizing an identical fundamental concept, they vary with dissimilarities in terminology, targeted applications, and suggested control algorithms [13]. An overall categorization of numerous topologies is illustrated in Figure 2.1. The synchronous generator model-based approach applies a full mathematical model of the synchronous generators to model the exact behaviors of their dynamics. Another approach attempts to propose a less bulky dynamic model to approximate the behavior of synchronous generators by examining only the swing equation, while the frequency-power response based topology focuses on the characteristics of frequency deviation response of the synchronous generators [12]. Each technique, depending on the design purposes and the degree of sophistication, has its pros and cons. Some of the existing representatives for each approach will be reviewed and compared in more detail by evaluating their key features and weaknesses.



Figure 2.1: Classification of Different Virtual Inertia Approaches

Regarding the synchronous generator model-based approach, VISMA can be referred to as the first power electronics-based approach of making renewable electric generators mimic the electromechanical synchronous machines. VISMA, initialized by Beck and Hesse in 2007, is based on the dq-frame reference rotational frame to derive the syn-

chronous generator's mathematical model [14, 15]. Stator currents of the virtual machine are calculated and injected through a hysteresis current control approach. However, instability due to the mathematical divergence of Euler's method and the impact of digital signal processing architecture on numerical representation are limitations of this approach [12]. To improve robustness, a simplified three-phase model, which provides the features of virtual mass and virtual damping according to the electromechanical power balance, was rebuilt [16]. A pole wheel induction voltage replaces the field circuit in the stator, and the damping attribute is incorporated in the mechanical subsystem. It demonstrated that grid frequency oscillation caused by the load activity could be attenuated. The virtual mass counteracts grid frequency reduction, and the virtual damper suppresses grid oscillation. This method is especially effective under unsymmetrical load conditions or rapid disturbances in the grid. Another method employing the VISMA model as a voltage source is the Institute of Electrical Power Engineering (IEPE) Topology [17]. While VISMA utilizes the voltage as the input, the output current in the IEPE strategy is the input, and from that, reference voltages are computed and generated for the virtual model. The IEPE topology is more appropriate for the islanded mode than for the grid-imposed mode due to the complexity of transient currents during the synchronization. A concept of control based on a virtual generator model of algebraic type was formulated [18]. The utilization of an automated voltage regulator (AVR) and an equivalent governor to produce voltages and phase command is the main idea of this method. However, many issues need to be further investigated, including the control scheme, the settings of parameters, and the incorporation of the dq-frame transformation.

Synchronverter [19], meanwhile, is one of the latest common terminology representing this category. This concept permits the static interfaced distributed generators to mimic precisely the synchronous generators principles and was well developed further in 2016 [20, 21]. The electrical and mechanical components of the synchronous generators are both examined to derive an exact mathematical model. Specifically, stator and field flux linkage equations are derived from self and mutual inductance between the field coil and three stator coils to infer the back electromotive force (emf) equation. Besides, the moment of inertia in rotating masses is based on the swing equation, which is the same underlying concept as the swing equation-based technique. However, the difference is that, the electromagnetic torque is found from the energy stored in the machine magnetic field and rotor angle. Real and reactive power are adjusted by a real power-frequency droop control loop [22]. The below equations are utilized to implement a synchronverter concept:

$$T_e = M_f i_f < i, \sin\theta > \tag{2.1}$$

$$e = \dot{\theta} M_f i_f \sin\theta \tag{2.2}$$

$$Q = -\dot{\theta}M_f i_f < i, \cos\theta > \tag{2.3}$$

where  $T_e$  is the electromagnetic torque of the synchronverter,  $M_f$  is the magnitude of the mutual inductance between the field coil and the stator coil,  $i_f$  is the field excitation current,  $\theta$  is the angle between the rotor axis and one of the phases of the stator winding, e is no-load voltage generated, Q is the generated reactive power, < ., . > denotes the inner product of two vectors in  $\mathbb{R}^3$ , i and  $(\widetilde{.})$  denote vectors comprising three 120<sup>0</sup> out-of-phase components [19]. The controller design based on Equations 2.1, 2.2 and 2.3 is modeled in Figure 2.2. The non-presence of frequency derivative terms, which produce noise in the system, is regarded as the main strength of the control design. Despite being able to build a full model of the electrical and mechanical components of the synchronous generators, the level of complication of the differential equations can lead to numerical instability. Another drawback of this strategy is the lack of current-mode control, which cannot protect the system against over-current conditions. Extra over-current protection is needed to ensure safe operation [12]. An improved synchronverter controller diagram was proposed with the added utilization of Park's transformation to implement an electromagnetic transient module, along with a virtual governor and a rotor swing mathematical model [23].



Figure 2.2: Synchronverter Controller Diagram

The initial model of the synchronverter controller utilizes a phase-locked loop (PLL) to synchronize with the grid frequency. It has a significant impact on the dynamical behaviors of the system. However, the drawbacks of a synchronization unit on the control performance [24–26] negatively affect the stability of the system and obstruct quick and accurate synchronization. Different research has been done to enhance the synchronization speed and precision of the PLL [27–29]. A self-synchronized mechanism [21], which can automatically synchronize with the grid before connection and track the grid frequency after connection without the need of a dedicated synchronization unit, was proposed. Not only for the inverters, but this control strategy was also applied to three-phase PWM rectifiers to achieve virtual inertial response from the load side [30]. Another point in the original synchronverters model is the utilization of a filter inductor, which is much smaller than a stator inductor in a conventional synchronous machine. This difference results in the dissimilarity in their behaviors and performances since a small inductor is not benefi-

cial for the system stability [31]. A method was presented to virtually enhance the filter inductor only by modifying the algorithm [32]. Not only the filter inductor-related problems, altering the dynamic response speed of the active-power loop inevitably impacts the steady-state frequency droop mechanism. Hence, an auxiliary loop, named as a damping correction loop, was added so that the active-power loop can be regulated without any restrictions [33]. A lot of attempts have been made to establish the stability of the synchronverters. The problem becomes more challenging due to the non-linear dynamics of the system. Motivated by the bounded integral controller [34], a new control strategy that guarantees given bounds for the frequency, and the voltage separately from each other was developed [35]. From a preliminary design proposal [36], the method was further developed to sustain given bounds for both the field-excitation current and the frequency. This method defines a particular bound for the synchronverter's voltage and secures the closedloop system's asymptotic stability and the distinctiveness of a requested equilibrium point based on non-linear dynamic modeling. This approach improves the stability as there is no need for additional saturation units.

In order to simplify the mathematical model of the synchronous generators, a control strategy developed by Ise lab deals only with the swing equation and investigates the response in the presence of a grid voltage dip [37]. The swing equation is well-known from the publications on power system stability and dynamics [38] and is shown as:

$$J\frac{d\omega}{dt} = T_m - T_e - D(\omega - \omega_g)$$
(2.4)

where J is the rotor momentum of inertia, D is the damping coefficient accounting for the damping torque associated with the damper windings during transient conditions,  $\omega$  is the rotating speed of the machine,  $\omega_g$  is the angular frequency of the grid. It should be noted that the coefficient D in a real synchronous machine is not a constant number. It is contingent on the operating point of the machine. Hence, a reduced-order model with a fixed value of D cannot match the inertial behavior in the entire operating range. By multiplying

by the frequency  $\omega$ , the swing equation can be expressed in terms of power. For small oscillations around the synchronous conditions, the power balance can be represented by the approximation given by Equation 2.5, where  $P_m$  is the prime mover input power [39], emulating mechanical power of the synchronous generator,  $P_e$  is the active output power, simulating electrical power of the synchronous generator,  $K_D$  is the damping constant associated with D:

$$J\omega_g \frac{d\omega}{dt} = P_m - P_e - K_D(\omega - \omega_g)$$
(2.5)

Typically, for a conventional synchronous generator, its moment of inertia and the damping coefficient are almost constant values. Nevertheless, due to the control purposes to obtain effective dynamic response, moment of inertia and damping factor in the virtual inertia emulation can be altered in real-time. Based on Equation 2.5, a virtual inertia controller diagram can be designed in the Laplace domain and is shown in Figure 2.3. By taking the integral of the virtual angular frequency  $\omega$ , the virtual phase angle  $\theta$  is generated as a phase command of the inverter output voltage and sent to the PWM generator. The voltage reference *e* can be produced by the Q - V droop approach [40,41]:



Figure 2.3: Swing Equation-Based Controller Diagram.

This strategy has the same benefit as the synchronverter's topology of not employing the frequency derivatives and can be used to function distributed generators as grid-forming units. To mimic the frequency and voltage dynamics of an actual power network as precisely as possible, a three-phase synchronous generator model, inspired by the swing equation, is employed to simplify an ideal voltage source behind an impedance [42]. The idea of the swing equation-based controller model was applied to show an equivalent dynamics of a speed-controlled permanent-magnet synchronous generator [43]. Nevertheless, the lack of the current-mode control is still a limitation from the view of over-current protection. Another weakness of this technique is the consequences of inaccurate tuning of the moment of inertia value J and damping factor  $D_p$ , which can result in deviatory system reactance [39]. In order to protect the system under over-current conditions and improve robustness for the system, a current-control scheme based on the virtual admittance concept was proposed [44], named as synchronous power controller (SPC). The underlying dynamic equation of this concept in the Laplace domain is:

$$i(s) = \frac{1}{Rs + L}(e(s) - v(s))$$
(2.6)

where v is the voltage at the point of common coupling (PCC), e is the AC internal induced electromotive force (emf), R, and L are the output impedance of the generator. The electrical characteristics in Equation 2.6 are known for better stability and less sensitivity to distortions, compared to the virtual impedance methodology. The SPC design purpose is not to mimic the response of the synchronous generator but to optimize its response in the presence of perturbations and fluctuations by offering a second-order over-damped response to the system. The SPC can be integrated into conventional PV systems without modifying the structure of the hardware. Some advantages of this strategy are the ability to switch modes between islanded and grid-connected mode flexibly without triggering any unwanted transients and secure a complete range of harmonic frequencies and the simplicity in the inner loop implementation [45]. Based on the idea of the SPC, a power-loop controller was proposed to configure damping and flexible droop characteristics separately to support the frequency [46]. The power loop controller sets up damping and droop characteristics independently, without tuning a single parameter to find a good trade-off between damping and droop characteristics. The power control loop is in the form of:

$$G_{PLC}(s) = \frac{K_P s + K_I}{s + K_G} \tag{2.7}$$



Figure 2.4: Power Control Loop Diagram with Virtual Admittance.

The proposed model demonstrated its flexibility in comparison with the existing virtual inertia methodology. The model can prevent the constraint between the damping and droop characteristics in the power regulating loop [46]. A comparison of various powerloop controllers was discussed [47]. Another concern in this topology is that power oscillation with high amplitude after a disturbance may shut down the operation due to low transient condition tolerance of the virtual model. An alternating inertia control was proposed to remove the oscillation [39], thus enhance the reliability of the system. The paper demonstrated the effectiveness of the proposed controller, which can regulate the values of the moment of inertia J and damping factors D flexibly to suit each scenario of power oscillation. This strategy does not only enhance the stability, but also suppresses the frequency and power oscillations effectively. Inspired by the same method of the virtual stator reactance [32], the swing equation-based control strategy guarantees accurate reactive power sharing even if there are line impedance mismatch and active power sharing changes [48]. To obtain a smoother transition after a significant disturbance, an algorithm, named as particle swarm optimization (PSO) [49], was implemented into the swing equation-based model to find the optimum values of the moment of inertia and damping factor. The results showed that it could maintain the integrity by ensuring the voltage angle deviation of generators inside the limit in fault conditions, but under heavy load status, the transient stability is still a challenge [50].

As one of the simplest topology, the power-frequency response-based topology utilizes the derivative of frequency measurement to emulate the absorption or release of kinetic energy during frequency deviation to improve the inertial response to rotor speed deviation performance. A typical control strategy in this group is the virtual synchronous generator (VSG) [51–53]. While the traditional droop loop only allows frequency alteration, the ability to control dynamic frequency is noticeable in this approach [54]. Equation 2.8 shows the basic underlying concept of this strategy:

$$P = K_D \Delta \omega + K_I \frac{d\Delta \omega}{dt} \tag{2.8}$$

where P is the output power,  $K_D$  and  $K_I$  are the damping, and inertial gains,  $\Delta \omega$  and  $\frac{d\Delta \omega}{dt}$  are the changes in angular frequency and ROCOF, respectively. The frequency derivative allows a fast dynamic frequency response, which captures the ROCOF. Its output is adjusted depending on the frequency variations, representing the generator as a current source. The controller includes a mathematical model of Equation 2.8, a PLL, and a current-mode controller, which offers over-current protection for the system [55]. For current-mode control in the dq-frame, d-axis current reference can be computed as:

$$I_d = \frac{2}{3} \left( \frac{V_d P - V_q Q}{V_d^2 + V_q^2} \right)$$
(2.9)

where  $V_d$  and  $V_q$  are d- and q-axis voltage components at the PCC. The q-axis component of the current  $I_q$  is set to 0 as it is active power control. The current-control diagram based on Equations 2.8 and 2.9 is described in Figure 2.5 [55]:



Figure 2.5: Virtual Synchronous Generator Control Diagram.

This strategy has been proved beneficial for further research through a laboratory testsetup in real-time simulation using power hardware-in-the-loop (PHIL) [56]. The results showed that the VSG model could reduce the size of frequency deviations originated by load alterations. The steady-state error of frequency experienced a decline of 35%, and a decrease of 58% in the dynamic frequency error could be achieved before settling to a steady state. Additional reductions of 13% and 14%, respectively, can be achieved by changing the algorithm's constants. VSG was also applied in the inverter-based system of wind energy [6, 57]. Regardless of many excellent features, it must be remarked that this methodology is only trying to model the inertia effect with respect to the response to ROCOF, together with a steady-state power droop, and does not aim to design an internal mathematical model of the machine inertia. Hence, the presence of an external voltage with a physical inertia is required to implement the virtual inertia by Equation 2.8 [13]. This topology is only suited for a grid-connected system where the system does not have to work as a grid forming unit. Furthermore, instability can be caused by various units of operation [58].

Another limitation is the lack of implementation for the input power alteration process [46], the challenge to deal with the instability of the PLL, and the frequency derivative's sensitivity [11, 59]. The use of a proportion-integral (PI) controller for the inner current-control loop is also known for instability [60]. In order for the system to cope with considerable power changes, an approximate dynamic programming (ADP) methodology was proposed for online parameter tuning of the proportional-derivative (PD) virtual inertia control [61]. With this method, the frequency does not drop too low while still securing the rotor speed in a permissible range. The algorithm can help the system adapt to new conditions through learning to generate the most efficient parameters automatically. A more efficient self-tuning methodology, which can regulate its inertia and damping factor when needed, was proposed [62]. This methodology offers a better control the frequency excursions while declining the settling times and the energy used from the energy storage system (ESS). Its inertial response and damping powers were evaluated and compared with the ones of constant-parameters VSM in different scenarios. It was demonstrated to perform similarly but to obtain a significant energy efficiency and a reduction in power flow of 58%. Furthermore, less energy was consumed per frequency unit, which proved a more efficient frequency attenuation. Instead of using a proportional-integrative-derivative (PID) controller due to the inability to adapt to alteration in operating conditions, supplementary adaptive dynamic programming controllers with online learning control were used to enhance the dynamics of virtual inertia [63]. This controller stabilizes the system frequency faster, which reduces the time for supplying energy as well as energy consumption from ESS. The method proves its efficiency of 33.78% reduction in total energy consumption compared with the conventional VSM, thus reduces the cost of sizing ESS and the running cost of VSM. The transient peak power generated by this enhanced controller is also lower than the original VSM, which lowers the cost of filters and power switches. Implementing virtual inertia to the system can extend the frequency settling time, resulting in enhanced energy exchange from the ESS, which remarkably reduces the life of the ESS. This supplementary ADP using a neural network structure efficiently reduces the settling time from 44.75 seconds to 38.01 seconds.

Different from the above techniques, another study adopting frequency and voltage droops indicates a considerable improvement in microgrid dynamic behavior in islanded-mode [64]. The principles of the frequency and voltage droop were explained that, as two operating units share both active and reactive loads, the loops help avoid circulating currents [65]. This approach is also implemented with a frequency restoration algorithm that moves the droop characteristics in the vertical direction at a rate proportional to the power rating. This allows frequency restoration while sustaining the power-sharing [66].



Figure 2.6: Frequency Droop Controller Diagram.

Also, the utilization of a low pass filter for the measured active power at the grid interface has been proved to stabilize the control loop in this strategy [67]. The inertial responses of this more straightforward droop-based approach and the more complex VSM topology have been demonstrated almost equivalent through numerical simulations [68]. Despite some good features, drawbacks of the droop include slow transient response, a trade-off between power-sharing precision and voltage oscillation, unbalanced harmonic current sharing, and a high dependency on the inverter output impedance [69]. Several methods tried to modify the droop model to overcome the pre-mentioned problems [70–72], while an adjustable virtual impedance topology was proposed [73]. An essential advantage of employing a virtual output impedance is that the magnitude and phase angle of the output impedance can be controllable variables. However, the excessive dependency on the voltage loop bandwidth appears to be a limitation of this implementation [74]. An inertial droop control was proposed through the comparison of dynamic characteristics between the VSG and the droop control [75]. By doing some experiments, the similarity between the two methods is the active power controls of both VSG control and droop control are stable. However, it was found that the delay in the active power droop controller of the droop control can enhance the inertia, while the delay in the governor of the VSG model lowers the inertia and amplifies oscillation. Thus, the governor delay is recommended to be removed. Another point is that a well-designed first-order lead-lag unit in the active power droop controller has a similar small-signal model to that of the VSG control, which can be modified to obtain a novel inertial droop control. The new proposed controller design inherits the advantages of both methodologies.

Another new technique, inspired by induction motor working principles, was proposed in 2016 [59]. This control proposal, named as inducverters, eliminates the need for a dedicated synchronization process and resembles the characteristics of an induction machine. It originates from the idea that the induction machine has self- and soft-start capability and automatic synchronization mechanism, and can track its variations without any feedback from the grid. In comparison with the synchronverters where any variations in the grid frequency can lead to a permanent offset of output powers, real and reactive power outputs of the inducverters are continuously fed regardless of the changes in grid parameters. On the other hand, another approach did not focus on building the inertial model of the generators. Instead, it tried to simulate a non-linear dead-zone oscillator's dynamics, which was named as a virtual oscillator control strategy [76, 77]. This approach can control the inverters without communication and can be applied to both linear and non-linear loads [76]. Small errors in the virtual oscillator parameters are known for bounded voltage synchronization errors [78]. Hence, the publication proposed a parameter selection methodology that the inverter terminal voltages oscillate at the desired frequency, and the load voltage is kept between the set upper and lower bounds.

Finding an effective way to integrate distributed energy resources using virtual inertia concept into a real large-scale grid is one of the hardest challenges in the future. A methodology was proposed using a modified frequency regulation improved from the previous VSM works, a dual droop control, and a power system stabilizer to increase the system stability [79]. The results showed that the dynamics of the AC output became independent; the system could obtain the power balancing and sharing with the grid under various conditions and generate any output power in steady-state. Thus, it was verified to be a smart and autonomous approach to integrate a higher penetration level of DERs into the grid. Another concern lies in the energy consumption of data centers, composed of energy infrastructure such as PV solar, natural gas generators, and uninterruptible power supplies (UPS) in the form of batteries. The data centers were explained that they could operate as virtual power plants [80]. An energy management system to operate the data centers as a virtual power plant was proposed in order to obtain considerable energy saving for energy infrastructure [80, 81]. Not only beneficial for data centers; in particular, the management also provides reliability and economic efficiency. While most published research is about virtual inertia implementation, mathematical models of system dynamics are still needed to support parameter tuning processes and understanding of operational behavior between the grid and the virtual inertia system. A linearized small-signal model of the VSM in islanded mode has been developed [82]. The model has been verified to generate the same simulation results as the model with nonlinearities. The linearized model has been utilized to analyze and evaluate the system eigenvalues and their sensitivities to the parameter gains.

On the other hand, some research tries to assess the economic benefits of inertia response provision. A methodology was developed to incorporate both inertia of conventional generators and synthetic inertia provided by wind plants into the system scheduling [83]. Thus, it supports the cost-benefit analysis to determine the optimal amount of wind plants to be equipped with virtual inertia capability. The virtual inertia of wind plants are added to the total system inertia by estimating the online capacity of wind plants as a function of system-wise generation. The results suggested that the operation cost could be reduced by the virtual inertia with high penetration of wind generation. Nevertheless, the benefits of further improvement become limited as soon as the synthetic inertia constant reaches 3s. It was shown that after some threshold, only provide virtual inertia could not reduce the system operation cost any more.

To sum up, a comparison of different pre-mentioned virtual inertia methodologies is summarized in Table 2.1 [12]:

Methodology	Key features	Limitations
Synchronous Generator (SG) model-based	<ul> <li>Exact replication of SG dynamics</li> <li>No need of frequency derivative</li> <li>Phase locked loop (PLL) utilized only for synchronization</li> </ul>	<ul> <li>Numerical instability concerns</li> <li>Typical implementation for voltage-source mode; lack of over-current protection</li> </ul>
Swing Equation based	<ul> <li>Simpler mathematical model in comparison with SG based model</li> <li>No need of frequency derivative</li> <li>PLL utilized only for synchronization</li> </ul>	<ul> <li>Frequency and power oscillations</li> <li>Typical implementation for voltage-source mode; lack of over-current protection</li> </ul>
Frequency- Power Response based	<ul> <li>Straightforward implementation</li> <li>Typical implementation for current-source mode; inherent over-current protection</li> </ul>	<ul> <li>Instability caused by PLL, particularly in weak grids</li> <li>Susceptible to noise due to the utilization of frequency derivative</li> </ul>
Droop-based approach	<ul> <li>Elimination of PLL</li> <li>Resemble the traditional droop control concept in SGs</li> </ul>	<ul> <li>Slow transient response</li> <li>Inaccurate transient active power sharing</li> </ul>
Virtual Oscillator Control	<ul> <li>Elimination of PLL</li> <li>Emulate the dynamics of a nonlinear dead-zone oscillator</li> </ul>	• Bounded voltage synchroniza- tion errors caused by errors in the model parameters
Inducverters	<ul> <li>Elimination of PLL</li> <li>Mimic induction machine characteristics</li> </ul>	The concept is still at its early stage and needs more investigation and evaluation.

 Table 2.1:
 Comparison of Different Virtual Inertia Methodologies

#### **CHAPTER 3**

#### THEORETICAL BACKGROUND AND CONTROL DESIGN

This chapter presents the analysis technique and control design methodology of a voltage-source inverter with the swing equation-based virtual inertia implementation under grid-connected and islanded mode. It includes fundamentals of sinusoidal pulse-width modulation (SPWM), direct-quadrature-zero (dq0) transformation, phase-locked loop (PLL), current-mode control and real-/reactive-power controller of grid-imposed frequency voltage-source converter (VSC) system, voltage and frequency control of controlled-frequency VSC system, and virtual inertia controller design based on swing equation.

#### 3.1 VOLTAGE-SOURCE INVERTER

Inverter is a power electronic (or static) converter that converts a DC power supply into an AC output of the desired manner, according to pre-specified performance specifications. Depending on the source at the DC side of the inverters, they are classified as either voltage-source inverter (VSI) or current-source inverter (CSI). If the DC input is a voltage source, then the inverter is named a VSI. A relatively large DC link capacitor feeds the power input of a VSI in order to maintain the magnitude of the voltage constant. Based on the number of phases, inverters are categorized into two types: single-phase inverter and three-phase inverter.

Characteristics of a static converter are primarily contingent on the kind of its semiconductor switches, classified as: uncontrollable, semi-controllable, and fully controllable switches. In this research, the fully controllable switches, whose gating command can determine conduction and interruption instants, are utilized. Almost conventional fully controllable switches are composed of metal-oxide-semiconductor field-effect transistor (MOSFET), insulated-gate bipolar transistor (IGBT), gate-turn-off thyristor (GTO) and integrated gate-commutated thyristor (IGCT).



Figure 3.1: Three-Phase Voltage-Source Inverter.

#### 3.2 SINUSOIDAL PULSE-WIDTH-MODULATION TECHNIQUE

Pulse-width-modulation (PWM) technique is the most common and efficient control method within the power electronic converters. PWM techniques are identified by constant rectangular amplitude pulses with different duty cycles for each period. The pulses width is modulated to secure the inverter average output voltage and to eliminate its harmonic content by turning the switch between supply and load at a fast rate. This process results in the variation of the average value of the waveform. The lengthier the on-switch duration is compared to the off periods, the more the total power is supplied to the load.

There are various PWM techniques, classified into two categories comprising fundamental switching frequency and high switching frequency PWM. Sinusoidal pulse-widthmodulation (SPWM), one of the most common PWM techniques in industrial applications, belongs to the high switching frequency category. In SPWM, the pulses width over the output cycles is modified in a sinusoidal manner. Its basic principle is based on the comparison of a high-frequency triangular carrier voltage with a sinusoidal modulating signal representing the desired fundamental component of AC output. Working principle of the SPWM is demonstrated in Figure 3.2 [84]. A modulating signal  $(v_m)$  with a desired voltage output is compared with the carrier signal (triangular waveform  $v_c$ ). If  $v_m > v_c$ , the gating signal is ON and vice versa. The frequency of the carrier signal determines the switching
frequency of the inverter. The amplitude and the frequency modulation ratio of SPWM are defined as the ratio of the modulating signal's peak over the carrier signal's peak, and the ratio of the modulating signal frequency over the carrier signal frequency, respectively. The inverter's output voltage is altered by changing the magnitude of the modulating signal while keeping the magnitude of the carrier signal fixed. For the three-phase PWM inverter, to achieve symmetrical three-phase output voltages, three sinusoidal voltages with an identical magnitude but  $120^{\circ}$  out of phase are measured with the same triangular waveform.



Figure 3.2: Sinusoidal Pulse-Width Modulation Mechanism.

### 3.3 SPACE PHASOR AND DQ-FRAME REPRESENTATION

In order to simplify the analysis and control in the VSC system, Clarke's ( $\alpha\beta$ ) and Park's (dq) transformation are introduced to solve equations exhibiting time-varying quantities, mutually coupled inductances. By referring all variables to one reference frame, the mathematical model of the system becomes less complicated, and it is easier to design the controller. The  $\alpha\beta$ -frame and the dq-frame are also named as the stationary and the rotating frame.

Space phasor is firstly presented as a core concept of the two-dimensional reference frames. Symmetrical three phases can be represented by a set of space-phasor equations:

$$\vec{f}(t) = \left(\hat{f}e^{j\theta_0}\right)e^{j\omega t} = \frac{2}{3}\left[e^{j0}f_a(t) + e^{j\frac{2\pi}{3}}f_b(t) + e^{j\frac{4\pi}{3}}f_c(t)\right],$$
(3.1)

where  $\hat{f}$ ,  $\theta_0$ ,  $\omega$  are the amplitude, the initial phase angle, and the angular frequency of the function, respectively [84].

Real, reactive, and apparent power in space phasor theory can be expressed as:

$$P(t) = Re\left\{\frac{3}{2}\vec{v}(t)\vec{i}^{*}(t)\right\}$$
(3.2)

$$Q(t) = Im\left\{\frac{3}{2}\vec{v}(t)\vec{i}^{*}(t)\right\}$$
(3.3)

$$S(t) = P(t) + jQ(t) = \frac{3}{2}\vec{v}(t)\vec{i}^{*}(t)$$
(3.4)

Conventionally, a complex-valued function of time can be represented in the polar coordinate system. For control design and implementation purposes, space phasors and space-phasor equations are represented in the Cartesian coordinate system where real-valued functions of time are in presence. In space-phasor domain, an asymmetrical three-phase is not able to be directly represented. Hence, the mapping of a space phasor onto the Cartesian coordinate system is introduced in Figure 3.3 [84], which is commonly referred to as Clarke's transformation.



Figure 3.3: Clarke's Transformation.

The Clarke's transformation converts the time-domain components of a three-phase system in an *abc* reference frame into components in a time-varying orthogonal stationery  $\alpha\beta$  frame. The space phasor vector  $\vec{f}$  can be decomposed into its real and imaginary components as:

$$\vec{f}(t) = \left| \vec{f} \right| \angle \theta = f_{\alpha}(t) + j f_{\beta}(t)$$
(3.5)

where:

$$\left|\vec{f}\right| = \sqrt{f_{\alpha}^2 + f_{\beta}^2}\theta = \tan^{-1}\left(\frac{f_{\beta}}{f_{\alpha}}\right)$$
(3.6)

It can be deduced by equating the corresponding real and imaginary parts of both sides of the resultant:

$$\begin{bmatrix} f_{\alpha}(t) \\ f_{\beta}(t) \end{bmatrix} = \frac{2}{3} \begin{bmatrix} 1 & -\frac{1}{2} & -\frac{1}{2} \\ 0 & \frac{\sqrt{3}}{2} & \frac{-\sqrt{3}}{2} \end{bmatrix} \begin{bmatrix} f_{a}(t) \\ f_{b}(t) \\ f_{c}(t) \end{bmatrix}$$
(3.7)

Power expression in the Clarke's transformation in terms of  $\alpha\beta$ -frame variables can be obtained by substituting  $\vec{v}(t)$  and  $)\vec{i}^*(t)$  into Equations 3.2 and 3.3:

$$P(t) = \frac{3}{2} \left[ v_{\alpha}(t) i_{\alpha}(t) + v_{\beta}(t) i_{\beta}(t) \right]$$
(3.8)

$$Q(t) = \frac{3}{2} \left[ -v_{\alpha}(t)i_{\beta}(t) + v_{\beta}(t)i_{\alpha}(t) \right]$$
(3.9)

In the Clarke's transformation, the signals are in general sinusoidal functions of time, making the controller design still not a straightforward task. Therefore, Park's transformation, in which signals become time-invariant, is introduced to allow the utilization of compensators with simpler structures, smaller dynamic orders, and zero steady-state tracking error [84]. The Park's transformation, shown in Figure 3.4 [84], converts the time-domain components of a three-phase system to direct, quadrature, and zero components in a rotating reference frame. For a balanced system, the zero component is equal to zero. The Park's transformation is an implementation of the Clarke's transformation, in which the orthogonal quantities achieved from the Clarke's transformation are combined with a rotating component to turn it into a rotating frame. The  $\alpha\beta$  to dq-frame transformation is defined by:

$$f_d + jf_q = (f_\alpha + jf_\beta)e^{-\varepsilon t} \tag{3.10}$$



Figure 3.4: Park's Transformation.

The relation between the *abc* and the dq-frame transformation is described as:

$$\begin{bmatrix} f_d \\ f_q \\ f_0 \end{bmatrix} = \frac{2}{3} \begin{bmatrix} \cos[\varepsilon(t)] & \cos[\varepsilon(t) - \frac{2\pi}{3}] & \cos[\varepsilon(t) - \frac{4\pi}{3}] \\ \sin[\varepsilon(t)] & \sin[\varepsilon(t) - \frac{2\pi}{3}] & \sin[\varepsilon(t) - \frac{4\pi}{3}] \\ \frac{1}{2} & \frac{1}{2} & \frac{1}{2} \end{bmatrix} \begin{bmatrix} f_a \\ f_b \\ f_c \end{bmatrix}$$
(3.11)

The formulations of real and reactive power in terms of dq-frame variables are derived by substituting  $\vec{v}(t)$  and  $\vec{i}^*(t)$  into Equations 3.2 and 3.3:

$$P(t) = \frac{3}{2} \left[ v_d(t) i_d(t) + v_q(t) i_q(t) \right]$$
(3.12)

$$Q(t) = \frac{3}{2} \left[ -v_d(t)i_d(t) + v_q(t)i_q(t) \right]$$
(3.13)

where  $i_d$  and  $i_q$  are d- and q-axis components of current, respectively.

If the synchronous rotating frame in Figure 3.4 is equal in phase with the space phasor vector  $\vec{f}$ , then the d-axis component is equal to the magnitude of the voltage, and the q-axis component becomes 0. In case  $v_q = 0$ , it can be noticed that the real and reactive power can be proportional to  $i_d$  and  $i_q$ , respectively, as well as independently controlled [84]. This property is commonly utilized in the control of grid-connected three-phase VSC systems mentioned in later sessions.

### 3.4 PHASE-LOCKED LOOP

A phase-locked loop (PLL) is a controller that generates an output signal whose phase is associated with the phase of an input signal by comparing the phase of a reference signal to the phase of an adjustable feedback signal. It utilizes a negative feedback control loop operating in the frequency domain with a voltage-controlled oscillator (VCO), whose operating frequency is controlled by a voltage. The comparison generates pulses whose duration is the time from the input edge to the oscillator edge and sends the pulses to a low-pass filter. The output of the filter is the control voltage to the oscillator. When the output frequency and phase are matched to the incoming frequency and phase of the error detector in steady-state, the PLL is locked. The basic block diagram of the PLL is shown in Figure 3.5 [85]:



Figure 3.5: Phase-Locked Loop Diagram.

In a grid-connected VSC system examined in the dq-frame, the PLL approximates and delivers the angle of the grid voltage imposed on the VSC by the grid. It acts as a synchronization mechanism and is needed in the dq-frame control. However, the PLL is regarded as a demerit in the dq-frame control due to the instability of its dynamics. Further implementations have been developed to improve the dynamics of PLL [84].

## 3.5 CONTROL OF GRID-IMPOSED FREQUENCY VSC SYSTEM IN DQ-FRAME

Grid-Imposed (or grid-connected) VSC system is a class of VSC system, in which the operating frequency is imposed by the grid. It is modeled as a DC source, an equivalent DC link capacitor, a three-phase inverter. The grid is interfaced with each phase of the VSC via a series RL branch (representing a filter) and exchanges real and reactive power with the VSC system at the PCC [84].

### 3.5.1 REAL-/REACTIVE-POWER CONTROLLER

In the grid-connected VSC system, the objective is to control real and reactive power the VSC system exchanges with the grid. There are two main methods for this controlling purpose; they are voltage-mode control and current-mode control. Among them, the current-mode control is more advantageous than the voltage-mode one mainly due to the ability to control line current with respect to the PCC voltage, from that to protect the system against over-current conditions [84]. Principle of current-mode control is described through a schematic diagram in Figure 3.6:



Figure 3.6: Grid-Imposed VSC System.

As can be seen, the voltage signals at the PCC are converted into the dq-frame to obtain  $V_{sd}$  and  $V_{sq}$ , which then are passed through a reference signal generator with real and reactive power references to compute and produce current references  $i_{dref}$  and  $i_{qref}$  in the dq-frame. These current references and feedback signals at VSC output terminal in the dq-frame,  $i_d$  and  $i_q$ , will be processed by compensators to generate control signals  $m_d$  and  $m_q$  in the dq-frame. These control signals are finally transformed into the *abc* frame and sent to the converter switches.

## 3.5.2 DYNAMIC MODEL OF REAL-/REACTIVE-POWER CONTROLLER

From Figure 3.6, dynamics of the AC side can be expressed by the following space phasor equation:

$$L\frac{d\vec{i}}{dt} = -R\vec{i} + \vec{V_t} - \vec{V_s}$$
(3.14)

In the dq-frame,  $\vec{i} = i_{dq}e^{j\rho}$  and  $\vec{V_t} = V_{tdq}e^{j\rho}$ . This inverse transformation is applied to the dynamic Equation 3.14 of the AC side. What can be deduced by splitting the resultant into real and imaginary parts is:

$$L\frac{di_d}{dt} = L\omega(t)i_q - Ri_d + V_{td} - V_{sd}$$
(3.15)

$$L\frac{di_q}{dt} = L\omega(t)i_d - Ri_q + V_{tq} - V_{sq}$$
(3.16)

where  $i_d$  and  $i_q$  are the state variables,  $V_{td}$  and  $V_{tq}$  are the control inputs, and  $V_{sd}$  and  $V_{sq}$  are the disturbance inputs.

Based on the principle of VSC control in the dq-frame, the relation between the control inputs  $V_{td}$ ,  $V_{tq}$  and the modulating signals in the dq-frame  $m_d$ ,  $m_q$  are:

$$V_{td}(t) = \frac{V_{DC}}{2}m_d(t)$$
(3.17)

$$V_{tq}(t) = \frac{V_{DC}}{2}m_q(t)$$
(3.18)

It can be demonstrated that the dynamics of  $i_d$  and  $i_q$  are coupled due to the  $L\omega(t)$  component. To decouple the dynamics [84], two new control inputs can be assumed as  $u_d$  and  $u_q$ , then two modulating signals  $m_d$  and  $m_q$  can be set as:

$$m_d = \frac{2}{V_{DC}} (u_d - L\omega(t)i_q + V_{sd})$$
(3.19)

$$m_q = \frac{2}{V_{DC}} (u_q + L\omega(t)i_q + V_{sq})$$
(3.20)

Substituting  $V_{td}$  and  $V_{tq}$  into Equations 3.15 and 3.16, it can be deduced as:

$$L\frac{di_d}{dt} = -Ri_d + u_d \tag{3.21}$$

$$L\frac{di_q}{dt} = -Ri_q + u_q \tag{3.22}$$

Equations 3.21 and 3.22 show that, with the assumption of the new control inputs, the dynamics have been decoupled.  $i_d$  and  $i_q$  can be controlled by  $u_d$  and  $u_q$ , independently and respectively. From Equations 3.21 and 3.22, a control block diagram of the current-controller in the dq-frame is modeled in the Laplace domain and shown in Figure 3.7 [84]:



Figure 3.7: Current-Controlled Block Diagram .

The d-axis modulating signal  $m_d$  is generated based on Equation 3.21, with the contribution of  $u_d$  produced by d-axis compensator by computing and processing the error between the reference signal  $i_{dref}$  and the measured current signal  $i_d$  at the PCC. Analogously, the q-axis modulating signal  $m_q$  is generated based on Equation 3.22, with the contribution of  $u_q$  produced by d-axis compensator by computing and processing the error between the reference signal  $i_{qref}$  and the measured current signal  $i_q$  at the PCC.

An advantage offered by the dq-frame transformation is the simplicity of the compensators to track the reference signals. As all the control, feed-forward and feedback signals in the dq-frame are DC quantities in the steady-state, the compensator can be a straightforward proportional-integral (PI) controller to track a DC signal. The PI controller k(s) [84] can be a simple transfer function in the Laplace domain of:

$$k(s) = k_d(s) = k_q(s) = \frac{k_p s + k_i}{s}$$
(3.23)

where  $k_p$ , and  $k_i$  are the proportional and integral gain, respectively. Figure 3.8 shows an equivalent current-control loop:



Figure 3.8: Equivalent Current-Controlled Block Diagram.

Hence, the open loop gain G(s) in the Laplace domain is:

$$G(s) = \left(\frac{k_p}{Ls}\right) \frac{s + k_i/k_p}{s + R/L}$$
(3.24)

This function has a pole at s = -R/L, which is relatively in proximity to the origin. Therefore, the zero  $s = -k_i/k_p$  can cancel this pole, which simplifies the open-loop gain into  $G(s) = k_p/(Ls)$ . Hence, the final closed-loop transfer function is:

$$T(s) = \frac{I_d(s)}{I_{dref}(s)} = \frac{G(s)}{1 + G(s)} = \frac{1}{\tau_i s + 1}$$
(3.25)

where  $\tau_i$  is the time constant of the resultant closed-loop system and:

$$k_p = L/\tau i \tag{3.26}$$

$$k_i = R/\tau i \tag{3.27}$$

It is pointed out that, the time constant  $\tau_i$  of the first-order closed-loop transfer function, which is a design option and determines the values of proportional  $(k_p)$  and integral  $(k_i)$  gain, should be small for a fast current-control response but sufficiently considerable such that the bandwidth of the closed-loop control system  $1/\tau_i$  is remarkably smaller than the switching frequency of the VSC. The time constant  $\tau_i$  is normally ranging from 0.5-5 ms, being contingent on specific requirements and converter switching frequency [84].

### 3.5.3 CURRENT-MODE CONTROL OF REAL-/REACTIVE-POWER CONTROLLER

From Equations 3.12 and 3.13, the real and reactive power exchanged with the AC grid at the PCC are:

$$P_s(t) = \frac{3}{2} \left[ V_{sd}(t) i_d(t) + V_{sq}(t) i_q(t) \right]$$
(3.28)

$$Q_s(t) = \frac{3}{2} \left[ -V_{sd}(t) i_d(t) + V_{sq}(t) i_q(t) \right]$$
(3.29)

where  $V_{sd}$  and  $V_{sq}$  are imposed by the AC grid and cannot be controlled. If the PLL is in the steady-state,  $v_{sq} = 0$ . Real and reactive power in the dq-frame are simplified as:

$$P_s(t) = \frac{3}{2} V_{sd}(t) i_d(t)$$
(3.30)

$$Q_s(t) = -\frac{3}{2} V_{sd}(t) i_d(t)$$
(3.31)

If the compensators can provide fast reference tracking, the real and reactive power of VSC can be controlled independently by their reference commands. As  $V_{sd}$  and  $V_{sq}$  are constants in the dq-frame, the reference tracking signals are also constants if the reference commands are constants. From Equations 3.30 and 3.31, the current-mode control can be rewritten in terms of power reference signals:

$$i_{dref} = \frac{2}{3V_{sd}} P_{sref} \tag{3.32}$$

$$i_{qref} = -\frac{2}{3V_{sd}}Q_{sref} \tag{3.33}$$

From all of the analysis, the controller design diagram for grid-imposed frequency VSC system is proposed in Figure 3.9 [84]:



Figure 3.9: Current-Controlled Real/Reactive Power Controller Block Diagram.

# 3.6 CONTROL OF CONTROLLED-FREQUENCY VSC SYSTEM IN DQ-FRAME

Unlike the grid-connected VSC system in which the grid imposes the operating frequency, the voltage and frequency at the PCC in controlled-frequency (also called islanded) are controlled by the VSC system itself. The only difference in the configuration of controlled-frequency is, the grid is replaced by a three-phase load interfacing with the AC-side of the VSC via an *RLC* filter comprising a series *RL* branch and a shunt capacitor  $C_f$ :



Figure 3.10: Controlled-Frequency VSC System.

### 3.6.1 DYNAMIC MODEL OF LOAD VOLTAGE AND VOLTAGE CONTROLLER

With reference to Figure 3.10 [84], the load dynamics can be expressed by the following space phasor equation:

$$C_f \frac{dV_s}{dt} = \vec{i} - \vec{i_L} \tag{3.34}$$

Similar to the analysis of dynamics in the grid-imposed frequency case, using the dqtransformation  $\vec{f} = f_{dq}e^{j\rho}$  and splitting the resultant into real and imaginary parts, it can be obtained:

$$C_f \frac{dV_{sd}}{dt} = C_f(\omega V_{sq}) + i_d - i_{Ld}$$
(3.35)

$$C_f \frac{dV_{sq}}{dt} = -C_f(\omega V_{sd}) + i_q - i_{Lq}$$
(3.36)

These equations emphasize that  $V_{sd}$  and  $V_{sq}$  are coupled but can be controlled by  $i_{dref}$ and  $i_{qref}$ . To decouple the dynamics of the load voltage [84],  $i_{dref}$  and  $i_{qref}$  can be assumed as:

$$i_{dref} = u_d - C_f(\omega V_{sq}) + i_{Ld} \tag{3.37}$$

$$i_{qref} = u_q + C_f(\omega V_{sd}) + i_{Lq} \tag{3.38}$$

In the Laplace domain, they can be expressed as:

$$I_d(s) = \frac{1}{\tau_i s + 1} (U_d(s) - C_f \pounds(\omega V_{sq}) + I_{Ld}(s))$$
(3.39)

$$I_q(s) = \frac{1}{\tau_i s + 1} (U_q(s) + C_f \pounds(\omega V_{sd}) + I_{Lq}(s))$$
(3.40)

where  $\pounds()$  symbolizes the Laplace transform operator.

It is noted that  $I_d(s) = \frac{1}{\tau_i s+1} I_{dref}(s)$  and  $I_q(s) = \frac{1}{\tau_i s+1} I_{qref}(s)$ ,  $\tau_i$  is the time constant due to the d- and q-axis compensator tracking property discussed in the grid-imposed frequency VSC system. Thus, substitute  $i_d$  and  $i_q$  into Equations 3.35 and 3.36, a set of

equations in the Laplace domain of the load voltage dynamics is:

$$C_f s V_{sd}(s) = \frac{1}{\tau_i s + 1} U_d(s) + [C_f \pounds(\omega V_{sq}) - I_{Ld}(s)](1 - \frac{1}{\tau_i s + 1})$$
(3.41)

$$C_f s V_{sq}(s) = \frac{1}{\tau_i s + 1} U_q(s) - [C_f \pounds(\omega V_{sd}) + I_{Lq}(s)](1 - \frac{1}{\tau_i s + 1})$$
(3.42)

The PI transfer function has a unity DC gain. Hence,  $1 - 1/(\tau_i s + 1) = \tau_i s/(\tau_i s + 1)$ has a zero DC gain. If  $\tau_i$  is small, the subtraction  $1 - 1/(\tau_i s + 1)$  becomes minor and can be approximated zero [84]. This approximation simplifies the Laplace Equations 3.41 and 3.42 into:

$$\frac{V_{sd}(s)}{U_d(s)} \approx \left(\frac{1}{\tau_i s + 1}\right) \frac{1}{C_f s} \tag{3.43}$$

$$\frac{V_{sq}(s)}{U_q(s)} \approx \left(\frac{1}{\tau_i s + 1}\right) \frac{1}{C_f s} \tag{3.44}$$

These linear decoupled equations demonstrate the possibility of controlling  $V_{sd}$  and  $V_{sq}$  independently by  $U_d$  and  $U_q$ , respectively. Thus, a general voltage controller model is built and described in Figure 3.11 [84]:



Figure 3.11: Controlled-Frequency Controller Block Diagram.

Figure 3.12 shows an equivalent control loop design comprising a pole at s = 0,  $s = -1/\tau_i$ :



Figure 3.12: Equivalent Controller Block Diagram of Controlled-Frequency VSC System.

The most straightforward compensator to obtain fast regulation and zero steady-state error for this control loop is a PI compensator [84], which is under the formulation of:

$$k(s) = k \frac{s+z}{s} \tag{3.45}$$

The closed-loop transfer function is:

$$T(s) = \left(\frac{k}{\tau_i C_s}\right) \left(\frac{1}{s^2}\right) \frac{s+z}{s+\tau_i^{-1}}$$
(3.46)

Due to repeated poles at s = 0, in frequency response,  $\angle l(j\omega) \approx 180^{\circ}$ . The maximum phase angle  $\delta_m$  at certain frequency  $\omega_m$  is described as:

$$\delta_m = \sin^{-1}(\frac{1 - \tau_i z}{1 + \tau_i z})$$
(3.47)

$$\omega_m = \sqrt{z\tau_i^{-1}} \tag{3.48}$$

If the gain crossover frequency  $\omega_c$  is selected as  $\omega_m$ , then  $\delta_m$  is the phase margin. Thus, the compensator proportional gain k must satisfy the condition  $|l(j\omega_c)| = |l(j\omega_m)| = 1$ . The value of the proportional gain k is:

$$k = C_f \omega_c \tag{3.49}$$

Typically, the phase margin chosen is ranging from  $30^{\circ}$  to  $75^{\circ}$ , in which two common options are  $45^{\circ}$  and  $53^{\circ}$ . For  $45^{\circ}$ , we have two repeated poles at  $s = -\omega_c$ , while for  $53^{\circ}$ , triple repeated poles are located at  $s = -\omega_c$ .

From all of the analysis, the controller design diagram for the controlled-frequency VSC system is proposed in Figure 3.13 [84]:



Figure 3.13: Controller Block Diagram of Frequency-Controlled VSC System.

## 3.7 VIRTUAL INERTIA

To mimic the characteristics of a synchronous generator, a control method, inspired by the swing equation, implements the synchronous generator's rotor motion equation. The mechanical component of the synchronous generator is governed by:

$$J\ddot{\theta} = T_m - T_e + D_p \dot{\theta} \tag{3.50}$$

where  $T_m$  is the mechanical torque,  $T_e$  is the electromagnetic torque, J is the momentum of inertia of all parts when they are rotating with the rotor,  $D_p$  is the damping coefficient,  $\theta$ is the rotor position.

It is known that the acceleration  $\ddot{\theta}$  is the derivation of the angular frequency  $\omega$ , and the instantaneous power of an angularly accelerating body is the torque times the angular velocity, which means  $P = T\omega$ . Thus, the power-frequency swing equation can be derived as:

$$J\frac{d\omega}{dt} = \frac{P_m - P_e}{\omega_N} + D\Delta\omega$$
(3.51)

where  $P_m$  is the active input power emulating the mechanical power of a synchronous generator,  $P_e$  is active output power simulating the electrical power of a synchronous generator;  $\omega$  is the virtual angular frequency,  $\omega_N$  is the rated angular frequency, which is either 60/50Hz depending on the operating frequency of each specific system,  $\omega_g$  is the grid/reference angular frequency,  $\Delta \omega = \omega_N - \omega_g$ . Typically, for a physical synchronous generator, its moment of inertia and the damping coefficient are almost constant values. Nevertheless, due to the control purpose of obtaining an effective dynamic response, moment of inertia and damping factor in virtual inertia emulation can be altered in real-time.

Based on Equation 3.51, a virtual inertia controller diagram can be designed in the Laplace domain and is shown in Figure 3.14:



Figure 3.14: Controller Block Diagram of Swing Equation-Based Method in Grid-Connected Mode.

The electrical output active power of VSC,  $P_e$ , and the grid angular frequency signals at the PCC are measured and sent to the controller. It takes a setting value of the mechanical power  $P_m$  to deduct with the measured signal of the electrical output power  $P_e$  and divides this subtraction by the rated angular frequency to generate the difference between the mechanical and electromagnetic torque. The multiplication of  $D\Delta\omega$  is added with this torque difference. This multiplication is passed through an integration block with a factor of 1/J to find the virtual angular frequency  $\omega$ . This virtual angular frequency is again passed through an integration block to generate the final output of the controller  $\theta$ , which is the phase command for the PWM generator. The voltage reference V can be produced by the Q - V droop approach.

In the islanded mode, a simple model of virtual inertia inspired by the momentum of

inertia J and damping factor D in the swing equation can be implemented into the VCO:

Figure 3.15: Simplified Controller Block Diagram of Swing Equation-Based Method in Islanded Mode.

#### CHAPTER 4

### PERFORMANCE EVALUATION

Chapter 4 presents the simulation results and analysis of virtual inertia implemented in the MATLAB/Simulink environment. The simulation is carried out in the grid-connected and islanded mode. The power and voltage control performances are presented and analyzed. Performances of the systems under fault conditions are also tested.

### 4.1 SIMULATION OF GRID-CONNECTED INVERTER WITH VIRTUAL INERTIA

For the control and stability analysis of the grid-connected system with virtual inertia implementation inspired by the swing equation, a three-phase inverter system, with a DC voltage source  $V_{DC} = 30kV$ , a series branch RL with  $R = 0.1\Omega$ , L = 5mH, a switching frequency  $f_{sw} = 1620Hz$ , is connected to the grid with the operating frequency f = 60Hz. The system controller is described in Figure 3.14.

Notation	Parameter	Value
V <sub>DC</sub>	DC voltage	30kV
R	Connection resistance	$0.1\Omega$
L	Filter inductance	5mH
$f_{sw}$	Switching frequency	1620Hz
f	Operating frequency	60Hz
J	Moment of inertia	$0.001 kgm^2$
D	Damping factor	$0.01 \ kgms^{-2}$
k <sub>P</sub>	Proportional gain	1
$k_I$	Integral gain	10
V	Phase to phase grid voltage	13.8kV

 Table 4.1:
 Simulation Parameters in Grid-Connected Mode

Active and reactive power references are set as 10MW and 0var, respectively. As

shown in Figures 4.1 and 4.2, the controller has been proven to control the active and reactive power injected to the grid successfully.



Figure 4.1: Active Power Control in Grid-Connected System.



Figure 4.2: Reactive Power Control in Grid-Connected System.

Simulation of the grid-connected system is carried out under different short-circuit fault types for two intervals, half a cycle (1/120s) and six cycles (0.1s). According to the graphs, the system needs approximately 0.02s to recover its performance after a fault removal. Simulation results for half-a-cycle fault duration, starting at 1.2s, are shown below:



Figure 4.3: Current Generated by Inverter under Line-To-Line-To-Line-To-Ground (LLLG) Half-A-Cycle Fault in Grid-Connected Mode.



Figure 4.4: Current Generated by Inverter under Line-To-Ground (LG) Half-A-Cycle Fault in Grid-Connected Mode.



Figure 4.5: Current Generated by Inverter under Double Line-To-Ground (LLG) Half-A-Cycle Fault in Grid-Connected Mode.



Figure 4.6: Current Generated by Inverter under Line-To-Line (LL) Half-A-Cycle Fault in Grid-Connected Mode.

Next, simulation results for six-cycle fault duration, starting at 1.2s, are shown below:



Figure 4.7: Current Generated by Inverter under Line-To-Line-To-Ground (LLLG) Six-Cycle Fault in Grid-Connected Mode.



Figure 4.8: Current Generated by Inverter under Line-To-Ground (LG) Six-Cycle Fault in Grid-Connected Mode.



Figure 4.9: Current Generated by Inverter under Line-To-Line-To-Ground (LLG) Six-Cycle Fault in Grid-Connected Mode.



Figure 4.10: Current Generated by Inverter under Line-To-Line (LL) Six-Cycle Fault in Grid-Connected Mode.

# 4.2 SIMULATION OF ISLANDED INVERTER WITH VIRTUAL INERTIA

For the control and stability analysis of the islanded system with a simplified virtual inertia implementation, a three-phase inverter system, with a DC voltage source  $V_{DC} = 800V$ ,  $R = 0.1915\Omega$ , L = 0.0192H,  $f_{sw} = 8100Hz$ , is connected to the grid with the operating frequency f = 60Hz.

Notation	Parameter	Value
$V_{DC}$	DC voltage	800V
R	Connection resistance	$0.1915\Omega$
L	Filter inductance	0.0192H
$C_{f}$	Filter capacitance	$9.1848 \mu F$
$f_{sw}$	Switching frequency	8100Hz
f	Operating frequency	60Hz
J	Moment of inertia	$0.001 kgm^{2}$
D	Damping factor	$1 \ kgms^{-2}$
$k_{P1}$	Proportional gain of inner current control loop	0.9576
$k_{I2}$	Integral gain of inner current control loop	9.5758
$k_{P2}$	Proportional gain of voltage outer control loop	0.0308
k <sub>I2</sub>	Integral gain of voltage outer control loop	3.4587
V	Phase to phase grid voltage	13.8kV

 Table 4.2:
 Simulation Parameters in Islanded Mode

The system controller is described and simulated based on Figure 3.13.  $V_d$  and  $V_q$  references are set as 300V and 50V, respectively. As can be seen from Figures 4.11 and 4.12, the controller has been proven to control the voltage supplied to the load successfully.



Figure 4.11: V<sub>d</sub> Component Control in Islanded System.



Figure 4.12:  $V_q$  Component Control in Islanded System. .

Figure 4.13 illustrates the loop-gain magnitude and phase plots of the load voltage regulator using the compensator in Equation 3.45. Figure 4.13 shows that the phase margin is  $53^0$  at  $\omega_c = 335.182 rad/s$ , which is true with respect to the calculation in Equations 3.47 and 3.48.



Figure 4.13: Bode Plot of Voltage Control Open-Loop Function.

Simulation of the grid-connected system is carried out under different short-circuit fault types for two intervals, half a cycle (1/120s) and six cycles (0.1s). According to the graphs, the system needs approximately 0.15s to recover its performance after a fault re-



moval. Simulation results for half-a-cycle fault duration, starting at 1.2s, are shown below:

Figure 4.14: Current Generated by Inverter under Line-To-Line-To-Line-To-Ground (LLLG) Half-A-Cycle Fault in Islanded Mode.



Figure 4.15: Current Generated by Inverter under Line-To-Ground (LG) Half-A-Cycle Fault in Islanded Mode.



Figure 4.16: Current Generated by Inverter under Double Line-To-Ground (LLG) Half-A-Cycle Fault in Islanded Mode.



Figure 4.17: Current Generated by Inverter under Line-To-Line (LL) Half-A-Cycle Fault in Islanded Mode.

Next, the simulation results for six-cycle fault duration, starting at 1.2s, are shown below:



Figure 4.18: Current Generated by Inverter under Line-To-Line-To-Ground (LLLG) Six-Cycle Fault in Islanded Mode.



Figure 4.19: Current Generated by Inverter under Line-To-Ground (LG) Six-Cycle Fault in Islanded Mode.



Figure 4.20: Current Generated by Inverter under Double Line-To-Ground (LLG) Six-Cycle Fault in Islanded Mode.



Figure 4.21: Current Generated by Inverter under Line-To-Line (LL) Six-Cycle Fault in Islanded Mode.

#### **CHAPTER 5**

### CONCLUSIONS AND FUTURE WORKS

In this thesis, a method of creating virtual inertia for an inverter-based system inspired by the swing equation has been proposed. The proposed technique employs the basic swing equation principle to implement the virtual inertia for the grid-connected system. A simplified virtual inertia model for the islanded system is also proposed in addition to the theory of the voltage outer loop and the inner current control loop. The simulation results show that the models have successfully emulated the virtual synchronous machine, with power-sharing capabilities in the grid-connected mode, voltage, and frequency control in the islanded mode. The performances of the systems in two modes of operation reveal the efficiency of the controller.

Future works should include the combination of the current, voltage control loops, and the swing equation in the grid-connected VSC system. Robust control should be investigated as a further implementation of this virtual inertia model, for it to work well under a different set of assumptions.

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