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2 Title: A randomised open-label phase III trial evaluating the addition of denosumab to
3 standard first-line treatment in advanced NSCLC – the ETOP and EORTC SPLENDOUR
4 trial

- Authors: S. Peters*¹, S. Danson*², B. Hasan³, U. Dafni⁴, N. Reinmuth⁵, M. Majem^{6,344},
 K. G. Tournoy⁷, M. T. Mark^{8,35}, M. Pless^{9,35}, M. Cobo^{10,34}, D. Rodriguez-Abreu^{11,344},
 L. Falchero¹², T. Moran^{13,34}, A. L. Ortega Granados^{14,34}, I. Monnet¹⁵, K. Mohorcic¹⁶, B. Massutí
 Sureda^{17,34}, D. Betticher^{18,35}, I.Demedts¹⁹, J. A. Macias^{20,34}, S. Cuffe^{21,36}, A. Luciani²², J. Garcia
 Sanchez^{23,34}, A. Curioni-Fontecedro^{24,35}, O. Gautschi^{25,35}, G. Price²⁶, L. Coate^{27,36}, R. von
 Moos^{8,35}, C. Zielinski^{28,37}, M. Provencio^{29,34}, J.Menis^{3,30}, B. Ruepp³¹, A. Pochesci³, H. Roschitzki-
- 11 Voser³¹, B. Besse^{32;3}, M. Rabaglio³¹, M.E.R. O'Brien³³ and R. A. Stahel²⁴
- 12 *Shared co-first authors

13	1	Department of Oncology, Centre Hospitalier Universitaire Vaudois (CHUV), Lausanne, Switzerland					
14	2	Department of Oncology and Metabolism & Sheffield Experimental Cancer Medicine Centre, University of					
15		Sheffield, Weston Park Hospital, Sheffield, United Kingdom					
16	3	Headquarters, European Organisation for Research and Treatment of Cancer (EORTC), Brussels, Belgium					
17	4	National and Kapodistrian University of Athens & Frontier Science Foundation-Hellas, Athens, Greece					
18	5	Asklepios Kliniken GmbH, Asklepios Fachkliniken Muenchen-Gauting, Germany					
19	6	Department of Medical Oncology, Hospital De La Santa Creu I Sant Pau, Barcelona, Spain					
20	7	Faculty of Medicine and Life Sciences, Ghent University and Onze-Lieve-Vrouwziekenhuis (OLV), Aalst, Belgium					
21	8	Department of Medical Oncology, Cantonal Hospital Graubuenden, Chur, Switzerland					
22	9	Department of Medical Oncology and Hematology, Cantonal Hospital Winterthur, Winterthur, Switzerland					
23	10	Department of Medical Oncology, Hospital Regional Universitario de Málaga. IBIMA., Málaga, Spain					
24	11	Universidad de Las Palmas de Gran Canaria, Complejo Hospitalario Universitario Insular Materno-Infantil de Gran					
25		Canaria, , Las Palmas, Spain					
26	12	Department of Pneumology and Thoracic Oncology, Hopital Nord-Ouest, Villefranche-sur-Saône Cedex,, France					
27	13	ICO Badalona, Hospital Germans Trias i Pujol, Barcelona, Spain					
28	14	Department of Medical Oncology, Hospital Universitario de Jaén, Jaén, Spain					
29	15	Department of Pneumology, Centre Hopitalier Intercommunal De Créteil, Créteil, France					
30	16	Department of Medical Oncology, University Clinic Golnik, Golnik, Slovenia					
31	17	ISABIAL, Hospital Universitario Alicante, Alicante, Spain					
32	18	Department of Medical Oncology, Fribourg Cantonal Hospital (HFR), Fribourg, Switzerland					
33	19	Department of Pulmonary Diseases, AZ Delta, Roeselare, Belgium					
34	20	Department of Hematology and Oncology, Hospital General Universitario Morales Meseguer, Murcia, Spain					
35	21	Department of Medical Oncology, St. James's Hospital, Dublin, Ireland					
36	22	Department of Medical Oncology, Ospedale San Paolo, Milano, Italy					
37	23	Department of Medical Oncology, University Hospital Arnau de Vilanova, Valencia, Spain					
38	24	Department for Medical Oncology and Hematology, University Hospital Zürich, Zürich, Switzerland					
39	25	University of Bern, Cantonal Hospital Lucerne, Luzern, Switzerland					
40	26	Department of Medical Oncology, Aberdeen Royal Infirmary NHS Grampian, Aberdeen, United Kingdom					
41	27	Mid-Western Cancer Centre, University Hospital Limerick, Limerick, Ireland					
42	28	Clinical Division of Oncology, Medical University Vienna, Vienna, Austria					
43	29	Department of Medical Oncology, Hospital Puerta de Hierro-Majadahonda, Madrid, Spain					
44	30	Department of Surgery, Oncology and Gastroenterology, University of Padova, and Medical Oncology Department,					
45		Istituto Oncologico Veneto IRCCS, Padova, Italy					
46	31	Coordinating Office, European Thoracic Oncology Platform (ETOP), Bern, Switzerland					
47	32	Gustave Roussy Cancer Center Villejuif, and Paris Saclay University, Orsay, France					
48	33	Department of Medical Oncology, Royal Marsden Hospital Sutton, UK					
49	34	Spanish lung cancer group (GECP)					
50	35	Swiss Group for Clinical Cancer Research (SAKK)					
51	36	Cancer Trials Ireland					
52	37	Central European Cooperative Oncology Group (CECOG)					
53							

54 **Corresponding author:**

- 55 Prof Dr Solange Peters
- 56 Department of Oncology
- 57 Centre Hospitalier Universitaire Vaudois (CHUV)
- 58 CH-1011 Lausanne, Switzerland
- 59 Tel: +41 79 556 01 92
- 60 Email: Solange.Peters@chuv.ch
- 61

62 ABSTRACT

Introduction: RANKL stimulates NF-kB-dependent cell-signalling and acts as the primary signal for bone resorption. Retrospective analysis of a large trial comparing denosumab versus zoledronic acid in bone metastatic solid tumours suggested significant overall survival (OS) advantage for lung cancer patients with denosumab. The randomised open-label phase III SPLENDOUR trial was designed to evaluate whether the addition of denosumab to standard first-line platinum-based doublet chemotherapy improves OS in advanced NSCLC.

Methods: Stage IV NSCLC patients were randomised 1:1 to either chemotherapy with or without
denosumab (120mg every 3-4 weeks), stratified by presence of bone metastases (at diagnosis),
ECOG performance status, histology and region. To detect an OS increase from 9-11.25 months
(HR=0.80), 847 OS events were required. The trial closed prematurely due to decreasing accrual
rate.

Results: 514 patients were randomised, 509 receiving ≥1 dose of assigned treatment
(chemotherapy:252, chemotherapy-denosumab:257). Median age was 66.1 years, 71% male, 59%
former smokers. Bone metastases were identified in 275(53%) patients. Median OS(95%CI) was
8.7(7.6-11.0) in the control versus 8.2(7.5-10.4) months in the chemotherapy-denosumab-arm,

78	(HR=0.96;95%CI:[0.78-1.19]; 1-5	sided <i>H</i>	P=0.36).	For	patients	with	bone	metastasis
79	HR=1.02(95%CI:[0.77-1.35]), while	e for the	ose withou	ut HR=	=0.90(95%	oCI:[0.6	6-1.23]). Grade≥3
80	adverse events were observed in	40.9%/5	5.2%/8.7%	versu	s 45.5%/1	10.9%/1	0.5% 0	of patients.
81	Conditional power for OS benefit w	as ≤10%).					

82 Conclusions: Denosumab was well tolerated without unexpected safety concerns. There was no
83 OS improvement for denosumab when added to chemotherapy in the ITT, and in the subgroups
84 with and without bone metastases. Our data do not provide evidence of a clinical benefit for
85 denosumab in NSCLC patients without bone metastases.

86

87 **KEYWORDS**:

88 NSCLC, RANK, RANKL, Denosumab, bone metastases

89

90 INTRODUCTION

Lung cancer is the leading cause of cancer mortality and histologically non-small cell lung cancer
(NSCLC) accounts for 85% of lung cancers. Life expectancy of individuals with lung cancer has
increased with better treatments, including targeted therapies and immunotherapy.

94 Bone metastases are a significant cause of morbidity in advanced cancer and 30–45% of patients 95 with advanced NSCLC will develop bone metastases during the course of their disease, with a post 96 mortem documentation in 36%. Retrospective data demonstrate that in two thirds of patients with 97 bone metastatic disease had bone metastases already at the time of initial diagnosis.¹⁴

98 Patients with metastatic bone disease may suffer from skeletal-related events (SREs), such as 99 fractures, pain requiring radiation or bone surgery, spinal cord compression, severely affecting 100 quality of life. Lung cancer patients are known to present with high frequency of SREs^{5.6} while the occurrence of NSCLC-associated SREs was shown to predict an unexpectedly short life
 expectancy with virtually no long-term survivors.⁴

103 Signalling through binding of the Receptor activator of NF-kB ligand (RANK) to its ligand 104 RANKL, was first discovered as a means of communication between T-cells and dendritic cells. 105 RANKL activates osteoclasts for bone resorption, and enables mammary gland and secondary lymph node organogenesis⁷. RANKL has also profound immune modulating effects since the 106 107 binding to its receptor induces T-reg cells and chemo-resistance through the activation of multiple signal transduction pathways.^{8,9} As a consequence, RANKL-inhibition enhances immune 108 109 responses and holds promise as immune-therapeutic agent to treat cancer. RANK- and RANKL-110 expressions have been observed in some tumour types with early clinical data, suggesting a 111 potential anti-tumour effect of RANK-pathway inhibitors.⁸

112 The RANKL inhibitor denosumab, a fully human monoclonal IgG2 antibody, is approved for the 113 prevention of skeletal-related events in patients with advanced malignancies involving bone, 114 including solid tumours and multiple myeloma.

In a pivotal phase III trial of denosumab versus zoledronic acid for the treatment of bone metastases in advanced cancer, denosumab significantly delayed first on-study SREs in NSCLC.¹⁰ In a post-hoc, exploratory analysis of 811 lung cancer patients, denosumab was associated with improved overall survival (OS) versus zoledronic acid. Specifically, in NSCLC, a HR of 0.79 (9.5 versus 8.1 months, 95%CI:[0.65-0.95]) was described.¹¹

The SPLENDOUR trial was designed to address in a randomised manner whether the addition of
 denosumab to standard first-line platinum-based doublet chemotherapy improves OS in advanced
 NSCLC.

123 MATERIAL AND METHODS

124 **Design**

SPLENDOUR (ETOP 5-12 /EORTC 08111), an international, multi-centre, randomised, openlabel phase III trial, evaluated the addition of denosumab to standard first-line anticancer treatment
in advanced NSCLC. Safety was monitored by the ETOP Independent Data Monitoring
Committee (IDMC). The trial is registered with ClinicalTrials.gov, number NCT02129699.

129 **Patients**

130 Patients were recruited from 55 centres in Austria, Belgium, France, Germany, Ireland, Italy,

131 Slovenia, Spain, Switzerland and the United Kingdom.

Eligible patients were ≥ 18 years, had measurable or evaluable stage IV NSCLC, (with or without 132 bone metastases), Eastern Cooperative Oncology Group (ECOG) performance status (PS) 0-2, 133 134 available tumour tissue for translational research, life expectancy of at least 3 months, and adequate renal, hepatic and haematological functions. Patients with documented sensitising EGFR 135 136 activating mutation or ALK rearrangements, symptomatic brain metastases, prior chemotherapy or targeted therapy for metastatic disease, or severe, uncorrected hypocalcaemia or hypercalcaemia 137 138 were excluded. Screening for EGFR/ALK was optional, following local standards, but strongly 139 encouraged in non-squamous histology, while CT scan or MRI of brain was not mandatory and 140 only recommended in case of clinically suspected brain metastasis. Following a protocol 141 amendment, activated when the majority of patients had already been randomised, one line of prior 142 therapy with an immune checkpoint inhibitor was allowed.

143 Study treatment and assessments

Patients were randomised 1:1 to receive either four to six cycles of platinum-based doublet chemotherapy (platinum compound plus gemcitabine or pemetrexed for non-squamous cell histology) or platinum-based doublet chemotherapy plus denosumab at a dose of 120 mg,

subcutaneously every three to four weeks (chemotherapy-denosumab-arm). Denosumab was continued beyond disease progression and given concomitantly with subsequent treatment lines, for as long as it was tolerated. Zoledronic acid administration was only allowed in the chemotherapy-arm when there were skeletal metastases at baseline, as this is a standard of care. Pemetrexed or erlotinib maintenance treatment after doublet chemotherapy was allowed in both arms.

153 CT-scans for tumour response assessments were performed at baseline, chemotherapy cycle 3 and 154 then every 12 weeks until progression. All patients underwent a PET-CT or bone scan at baseline 155 for specific bone metastases status assignment. Bone imaging (including bone scan) during 156 treatment and at progression were also performed if clinically indicated, i.e., in case of suspected 157 bone metastases. In the event of equivocal results, further confirmation using bone MRI, CT, X-158 Ray or biopsy was recommended.

Adverse events were reported following the Common Terminology Criteria for Adverse Eventsversion 4.0 (CTCAE v4.0).

161 Statistical plan

SPLENDOUR used a centralised random assignment of patients using the block design technique,
stratified by bone metastases (presence versus absence), ECOG PS (0/1 versus 2), histology
(squamous versus other), and geographic region (Eastern versus Western versus Southern Europe)
to balance pemetrexed availability, maintenance strategy and standard of care.

The primary endpoint was OS. Secondary endpoints included progression-free survival (PFS), response by RECIST v1.1, and safety. OS and PFS, estimated from time of randomisation, were conducted on the intention-to-treat (ITT) population and analysed using Cox regression. Comparisons between treatment arms were made by score test adjusted for stratification factors. Sensitivity analysis was performed based on log-rank test and Kaplan-Meier estimates and plotswere produced.

172 For PFS analysis, an event was defined as disease progression or death, whichever occurred first.

173 Alive patients without progression were censored at date of last follow-up.

Using 90% power and one-sided type I error of 2.5%, demonstration of an increase in median OS to 11.25 months in the chemotherapy-denosumab-arm relative to 9 months in the chemotherapyarm (equivalent to HR=0.80) required observation of 847 deaths. Assuming an accrual rate of 15 patients/month in the first 6 months and 30 patients/month thereafter, 1000 patients were required to be recruited over a period of 37 months and followed for an additional 14 months after the randomisation of the last patient, to reach the required number of events. The trial was designed with a futility interim analysis (IA) at 30% of the information time.

181 Subgroup analyses for the treatment effect on OS and PFS by bone metastasis status at 182 randomisation, ECOG PS and histology were predefined. The accrual in the bone metastases 183 stratum was expected to be 30%. The same OS improvement was assumed for both bone 184 metastases strata in the chemotherapy-denosumab-arm.

185 The planned IA for futility was conducted when 274 events (32.4% of information) for the primary 186 endpoint were available. Results were presented to the IDMC in September 2017, which 187 recommended continuation of the study as per protocol. However, the Steering Committee closed 188 recruitment as of January 2018, considering that completion of accrual was not feasible in the 189 context of recent immunotherapy advances and the multiplicity of immunotherapy-based ongoing 190 clinical trials in this specific clinical scenario. In fact, subsequent survival improvement and 191 regulatory approval of combined anti-PD(L)-1 and immunotherapy and chemotherapy would have 192 ethically and practically prevented the continuation of this trial.

194 **RESULTS**

Patients and Treatment

Between 11/12/2014 and 10/01/2018, 514 patients were randomised from 55 institutions. The patient flow is summarized in the Consort Diagram in Figure 1. The analysis includes data available as of 25 January 2018.

From the 514 randomised patients, 509 started treatment (252 chemotherapy; 257 chemotherapydenosumab). Thirty-three patients were retrospectively considered ineligible (17 chemotherapy, 16 chemotherapy-denosumab). The primary endpoint analysis was performed on the ITT population of all 514 randomised patients. Safety analysis was based on the 509 patients who started treatment. Of note, three patients randomised to chemotherapy, had actually received denosumab.

Baseline characteristics were well balanced between the two treatment arms (Table 1). The 205 majority of patients had non-squamous histology (72% overall; 73% chemotherapy and 71% 206 207 chemotherapy-denosumab), ECOG PS of 0/1 (89%; 90% chemotherapy, 89% chemotherapydenosumab, were from Western Europe (61%; 60% chemotherapy, 63% chemotherapy-208 209 denosumab) and had a median age of 66.1 years (65.4 chemotherapy, 66.5 chemotherapy-210 denosumab). Bone metastases at baseline were observed in 54% of patients (54% chemotherapy, 211 53% chemotherapy-denosumab). Zoledronic acid was administered in 70 (27.5%) of the 212 chemotherapy patients.

Median duration (range) of trial treatment was 12.4 weeks (3.0-24.9) in the chemotherapy-arm and 214 21.4 weeks (3.0-148.6) in the chemotherapy-denosumab-arm. Median duration of doublet 215 chemotherapy was similar between the two arms (11.9 versus 12.0 weeks for cisplatin, 12.0 versus 216 12.0 weeks for carboplatin, 12.4 versus 12.0 weeks for gemcitabine and 12.0 versus 12.0 weeks 217 for pemetrexed) for chemotherapy-arm versus chemotherapy-denosumab-arm, respectively.

Median duration for denosumab treatment was 21.4 weeks (range: 3.0-147.7), with a median cycles number of six. At the time of analysis, eight (3.2%) patients in the chemotherapy-arm and 36 (14%) in the chemotherapy-denosumab-arm were still on treatment (reasons for treatment discontinuation in Table S1).

222 Efficacy analysis

At the cut-off date for the final analysis (25/01/2018), 159 patients (chemotherapy-arm: 77; chemotherapy-denosumab-arm: 88) were still on follow-up, with median follow-up of 19.8 months (95%CI:[16.8-25.3]) for 25.3 months (95%CI:[19.7-29.0]) for the chemotherapy-arm and chemotherapy-denosumab-arm, respectively.

On the ITT cohort of 514 randomised patients, 355 deaths were observed. Median OS was 8.7 months in the chemotherapy-arm and 8.2 in the chemotherapy-denosumab-arm, corresponding to HR=0.96 (95%CI:[0.78-1.19]; stratified 1-sided *P*-value=0.36) (Figure 2A), confirmed by sensitivity analysis (log-rank P=0.34).

Based on these results, the null hypothesis H_0 cannot be rejected and thus OS chemotherapydenosumab-arm was not found to be significantly superior to the chemotherapy-arm. Conditional power calculations indicated that, even if recruitment had been completed, the power of detecting a significant OS benefit would be less than 10%.

Similarly, PFS did not display a significant difference between the two treatment arms (Figure 236 2B). The same number of 228 PFS events, were observed in both arms (chemotherapy: 192 237 progressions and 36 deaths without progression; chemotherapy-denosumab: 186 and 42), with 238 almost identical median PFS (chemotherapy: 4.7 months, 95%CI:[4.1-5.2]; chemotherapy-239 denosumab: 4.7 months, 95%CI:[4.2-5.3]; stratified Cox 1-sided *P*=0.46).

240 One complete response was observed in each treatment arm, while objective response rate (ORR)

241 was 29.4% on chemotherapy-arm and 30.5% on chemotherapy-denosumab-arm (Fisher's exact P-

value=0.85). Stable disease occurred in 39.6% on chemotherapy and 33.6% on chemotherapydenosumab, respectively (Table 2).

Subgroup analyses on the primary endpoint OS are presented in Figure 3 (unadjusted Cox analysis). No significant treatment effect was found in any of the subgroups examined, including for presence or absence of bone metastases at randomization. Hazard ratios for patients with and without bone metastases at randomization were 1.02 (95% CI: [0.77-1.35]) and 0.90 (95% CI: [0.66-1.23]), respectively (interaction P=0.55). Of note, the interaction of treatment with ECOG performance status was found significant (*P*=0.027) (Figure 3). Analogous stratified subgroup results for both OS and PFS are summarized in Table S2.

Bone events were recorded in 48 patients (9.3%) overall, 7.7% and 11% on chemotherapy and chemotherapy-denosumab respectively (Fisher's exact *P*-value=0.13, Table S3).

253 Safety analysis

The number of patients with grade 3/4/5 AEs were 103(40.9%)/13(5.2%)/22(8.7%) on 254 255 chemotherapy versus 117(45.5%)/28(10.9%)/27(10.5%) on chemotherapy-denosumab, 256 respectively. The most common grade \geq 3 AEs on chemotherapy were hypertension in 20(7.9%) of the patients (2 grade4), lung infection in 19(7.5%) patients (3 grade5), dyspnoea and fatigue each 257 258 in 13(5.2%) patients, and sepsis in 10(4%) patients (7 grade5; 3 grade4). Similarly, on 259 chemotherapy-denosumab, hypertension was the most frequent AE (40 patients; 15.6%; 3 grade4). 260 Lung infection is recorded for 28(10.9%) patients (2 grade4; 3 grade5). Other common AES on 261 chemotherapy-denosumab included fatigue in 31(12.1%) patients, dyspnoea in 21(8.2%; 1 grade4), nausea in 17(6.6%) and febrile neutropenia in 13(5.1%, 4 grade4; 2 grade5). Sepsis was 262 263 reported for 11(4.3%) patients (7 grade4, 4 grade5). The full table of grade \geq 3 AEs by treatment 264 arm as well as lab toxicities are available in supplement Tables S4 and S5.

Twenty-two (8.7%) patients on chemotherapy had serious AEs (SAEs) with a fatal outcome (nine of which were toxic deaths); while on chemotherapy-denosumab, 32 (12.4%) had a SAE of fatal outcome and nine toxic deaths were observed.

268

269 **DISCUSSION**

While a link between RANKL-signalling and breast cancer bone and systemic progression has
been established,¹²⁻¹⁴ much less is known about RANKL-signalling in primary tumours from other
carcinomas such as lung cancer.

NF-kB-signalling in tumour epithelial cells played an important role in the development of lung tumours in NSCLC mouse models¹⁵⁻²⁰ and RANKL could theoretically participate in the elaboration of an NF-kB response in lung tumour cells.²¹ Of particular interest and supporting the design of this trial was the finding that RANKL blocking agents can impair the growth of primary tumours in several mouse models of lung adenocarcinoma with a predominant effect observed in the presence of KRAS mutation.²²

SPLENDOUR was designed to evaluate whether denosumab, given in addition to standard firstline platinum-based doublet chemotherapy and continued across subsequent lines of treatments, improves OS in advanced NSCLC. Denosumab in addition to standard chemotherapy was well tolerated without major safety concerns. However, the final analysis of SPLENDOUR did not show an improvement in OS for the addition of denosumab compared to chemotherapy. Subset analyses did not show survival differences between patient cohorts with and without bone metastases and irrespective of histological subtypes.

Our results align with the data from a company sponsored randomised phase II biomarker-driven trial of denosumab versus placebo in NSCLC (NCT01951586), which recruited a similar group of 288 226 patients in parallel to SPLENDOUR. The reported OS was better at 10.9 months for placebo

and 10.7 months for denosumab, respectively (HR=1.06, 95%CI:[0.75-1.59]) than in
SPLENDOUR (median OS around 8 months). There was no correlation between OS and RANKexpression on tissue (data obtained from clinicaltrials.gov).

The SPLENDOUR trial planned to randomise 1000 patients. However, the recruitment stopped prematurely in January 2018 after the randomisation of 514 patients, due to slow accrual. Recruitment, initially very fast, was negatively impacted by a rapidly changing treatment landscape, especially with the advent of frontline immunotherapy becoming available in competitive clinical trials and subsequently as standard of care.

While chemotherapy, concomitantly or not with immunotherapy, will most probably remain a key component of lung cancer treatment, SPLENDOUR was unable to demonstrate any improvement of its activity by adding denosumab. An overall survival of less than one year is in keeping with the historical results pre-targeted and pre-immunotherapy, in a trial with unselected NSCLC patients, notably including PS2 patients.²³ Serum and tumour samples were collected from patients and translational analyses are ongoing, aiming at identifying a subset of patients who might benefit from the addition of denosumab.

304 Conclusion

In conclusion, denosumab in combination with doublet chemotherapy in patients with stage IV
 NSCLC did not improve OS, ORR or PFS overall or in any subgroup analysed. There were no
 new safety concerns.

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424 TABLE AND FIGURE LEGENDS

- 425 **Figure 1**: Study design and Consort diagram.
- 426 Figure 2: A) Overall survival by treatment arm in the intention-to-treat population.
- 427 B) Progression-free survival by treatment arm in the intention-to-treat population.
- 428 **Figure 3:** Overall survival: Sub-group analysis (Forest Plot)
- 429 Notes: HR: Hazard Ratio, CI: Confidence Interval
- 430 HRs are based on unadjusted univariate Cox models
- 431 **Table 1:** Stratification Factors and Baseline Characteristics of patients (ITT population)
- 432 **Table 2:** Best Overall Response by arm (Intention-to-treat population)

434 LIST OF SUPPLEMENTARY MATERIAL

435 Supplementary Tables

- 436 **Table S1**: Primary reason for protocol treatment discontinuation (safety population, i.e. patients
- 437 that started treatment)
- 438 **Table S2**: OS and PFS subgroup analysis (Intention-to-treat population)
- 439 **Table S3:** Bone events (Intention-to-treat population)
- 440 **Table S4**: Toxicity During Treatment (Grade \geq 3) (safety population)
- 441 **Table S5**: Lab Toxicity during treatment (Grade \geq 3)

population:

442

443 Supplementary Figures

444 **Figure S1**: Overall survival by bone metastatic status and treatment arm in the intention-to-treat