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1 **Risk factor analysis for “diagnosis not reached” results from**
2 **bovine samples submitted to British veterinary laboratories in**
3 **2013 to 2017**

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17 ***Abbreviations:***

18 APHA: Animal and Plant Health Agency. DNR: Diagnosis not reached. DR: Diagnosis reached. SAC: Scottish
19 Agricultural College. SRUC: Scotland’s Rural College. VPF: Veterinary Post-mortem Facilities. VIO: Veterinary
20 Investigation Officer. VIDIA: Veterinary Investigation Diagnosis Analysis.

21 **ABSTRACT**

22 Routine diagnostic data from laboratories are an important source of information for
23 passive animal health surveillance. In Great Britain, the Veterinary Investigation
24 Diagnosis Analysis (VIDA) database includes records of diagnostic submissions made to
25 a nationwide network of 28 veterinary post-mortem facilities (VPFs). Data on
26 “diagnosis not reached” (DNR), i.e. where submissions do not lead to a confirmed
27 diagnosis, are analysed quarterly to look for unexpectedly high incidences of DNRs
28 which could indicate the presence of a new or emerging disease in British livestock
29 populations. The objective of the present study was to provide a better understanding
30 about the reasons of DNR occurrence and to inform improvements of the coverage
31 and reporting of this kind of surveillance data.

32 A subset of the VIDA database comprising diagnostic submissions from cattle received
33 from 2013 to 2017 (122,444 records) was analysed. A mixed-effects multivariable
34 logistic regression model, accounting for clustering by farm and county, was used to
35 investigate associations between potential predictors and DNR. The variables included
36 in the model were: VPF identity, animal sex, age, production purpose, main presenting
37 sign of the animal from which the sample was obtained, and sample submission type.

38 The variable that showed the strongest association with DNR was the main presenting
39 sign of the animal, followed by submission type, VPF identity, animal age, sex, and
40 production purpose, in that order. Submissions from animals with abortion as the main
41 clinical sign had the highest odds ratio (OR 21.6, 95 % confidence interval [CI] 19.6-
42 23.9, with mastitis taken as the baseline). Submissions where neither carcasses (i.e. a
43 whole dead animal provided for post-mortem examination) nor fetuses (i.e. an

44 unborn dead animal) were provided had approximately 12 times the odds of being
45 DNR, compared to submissions of a carcass (OR 11.6, 95 % CI 10.7-12.5). In addition,
46 submission type and main presenting sign can be considered as important confounders
47 in the association between the other predictors and DNR.

48 This study has helped characterise DNR occurrence and suggests some possible
49 improvements that could be made to the passive surveillance system investigated,
50 such as encouraging greater carcass submission, accounting for identified issues when
51 interpreting increased occurrence of DNR and further investigating reduced
52 submissions or greater DNR occurrence in some geographical regions.

53 **Word count:** 366

54 **Keywords:** Submission; Cattle; Diagnosis not reached; Passive surveillance.

55 **INTRODUCTION**

56 Animal health surveillance – the ongoing systematic collection, analysis, and
57 interpretation of data and the dissemination of information to those who need to
58 know in order to take action – is intended to ascertain the presence or distribution of
59 health hazards. It is necessary for the planning, implementation, and evaluation of the
60 different interventions designed to mitigate risks (Hoinville et al., 2013). Surveillance
61 can be classified as active or passive, depending on the means by which data are
62 collected. Active surveillance is designed and initiated by the competent bodies, i.e.
63 the primary users of the data; and passive surveillance uses data collected for other
64 purposes or by other people, such as disease notifications or laboratory records,
65 among others (Food and Agriculture Organization, 2014).

66 Passive surveillance constitutes the core activity for detecting new or emerging
67 diseases (Doherr and Audige, 2001; Rodriguez-Prieto et al., 2015). Nevertheless,
68 passive surveillance has limitations because disease reporting (or suspicion thereof)
69 depends on a wide range of factors including clinical presentation of the disease,
70 willingness of farmers and/or veterinarians to submit samples for laboratory
71 confirmation, and the value of the animal/s affected (APHA, 2016). As a result, several
72 initiatives have been implemented in an effort to improve its performance (Dórea et
73 al., 2011).

74 One of these initiatives is based on the analysis of submissions associated with
75 diagnosis not reached (DNR). This can be considered as a type of syndromic
76 surveillance (Dórea et al., 2011), where clinical signs and laboratory results constitute
77 the data. The contribution of this form of surveillance has been demonstrated in
78 different scenarios such as detecting a disease outbreak in pig populations (O'Sullivan
79 et al., 2012b) and in determining that some unexplained epidemiological events were
80 not new diseases (Gibbens et al., 2008).

81 In Great Britain (GB), DNR events are analysed quarterly to detect abnormal patterns
82 based on main presenting signs and body systems affected. Both trends are compared
83 over time to detect new and re-emerging diseases (APHA, 2018). However, two prior
84 internal reports at the Animal and Plant Health Agency (APHA) identified a need for
85 further epidemiological analysis, to allow for a better understanding of DNR
86 occurrence, and thus to get a better management of the passive surveillance approach
87 (APHA, personal communication). Therefore, this study aimed to investigate potential

88 risk factors for a better characterisation of DNR submissions. Such information could
89 help to improve this passive surveillance system.

90 **MATERIALS AND METHODS**

91 The analysis was carried out using data on cattle submissions from the Veterinary
92 Investigation Diagnosis Analysis (VIDA) database. This collects diagnostic data from: i)
93 Veterinary Investigation Centres of the APHA, ii) the APHA's post-mortem examination
94 providers, iii) the Scotland's Rural College (formerly Scottish Agricultural College [SAC])
95 disease surveillance centres and iv) the SAC for Post Mortem Examination (SACPME),
96 which are all hereafter referred to as veterinary post-mortem facilities (VPFs).
97 Submissions are made by veterinary practitioners on behalf of farmers, and the
98 diagnostic service is partly subsidised by the Department for Environment, Food and
99 Rural Affairs (DEFRA).

100 Cattle were selected as the study species for two reasons. Firstly, a pilot study
101 conducted in 2005 on cattle data from England and Wales highlighted several quality
102 issues in the dataset that limited its epidemiological value at that time. Several
103 improvements have been implemented since then, such as changes in the recording of
104 data and an effort to avoid missing data by informing veterinary practitioners about
105 the need for data collection (Hyder et al., 2011). We therefore took advantage of an
106 opportunity to incorporate data from GB and over a longer period of time, to assess
107 the impact of the aforementioned improvements. Secondly, data available on
108 surveillance of cattle populations tend to be much more complete than for other
109 species (APHA, personal communication). Probably because this species attracts the
110 greatest amount of surveillance expenditure (Drewe et al., 2014), and because there

111 has been a need to improve surveillance for detecting new diseases, especially after
112 the unexpected outbreak of the bovine spongiform encephalopathy (BSE) in the 1980s
113 (Gibbens et al., 2008).

114 ***Study population***

115 The study population comprised all those GB cattle farms where samples had been
116 submitted for diagnostic purposes to VPFs during the study period.

117 An approximate estimation of the total number of herds in GB was obtained from the
118 Cattle Tracing System (CTS), which records data on cattle births, deaths and
119 movements. The annual number of herds was 76,043 in 2013, 75,249 in 2014, 74,334
120 in 2015, 73,253 in 2016 and 69,326 in 2017. The percentage of these farms that used
121 the passive surveillance system during the study period was considered as an
122 indication of the participation in the system per year. This percentage was estimated
123 as the annual number of farms making at least one submission to any of the VPFs,
124 divided by the total farm population estimated for the same year.

125 ***Data source and data handling***

126 An extract of VIDA data containing all relevant information on those samples
127 submitted for diagnostic purposes from GB cattle herds during the period 2013 to
128 2017 was collected and anonymized using the protocols of APHA. The dataset
129 consisted of 27 variables describing 122,444 submissions. A submission was defined as
130 a sample (or group of samples) from one or more animals, which was collected for the
131 same diagnostic purpose at the same time and from the same farm. Thus, a submission
132 consisted of one data line that could represent one or more animals/samples.

133 Microsoft Excel (Microsoft Corporation, Redmond, WA, USA) and R Version 3.5.0 (The
134 R Foundation for Statistical Computing) were used for data handling and analysis.

135 Data formatting was carried out before analysis. Variables with a substantial
136 proportion of missing values ($\geq 50\%$ of the data lines) were omitted from further
137 analyses. The decision to exclude variables was not based on a threshold but on the
138 type of variables, which made them difficult to handle using missing data methods and
139 the lack of auxiliary variables which can help in the use of those methods. They were
140 mainly quantitative: “age in days”, “number affected in the group”, “number affected
141 dead”, “total number of animals affected”, “number of animals affected in the herd”
142 and “duration of illness”. When one or more variables addressed similar questions, the
143 most accurate one was selected following the advice of APHA staff (e.g. “main
144 presenting sign” instead of “syndrome”).

145 For age and sex variables, a “mixed” category reflected a mixture of animals with
146 different age or sex included in the submission. For variable production purpose the
147 category “other” included the following: pet, captive, zoological and wild.

148 Furthermore, in some variables (sex, age and purpose) some of the categories were
149 insufficiently defined (e.g. “none”, “notapp”, “unknown”, “na”), making them difficult
150 to interpret. Missing values were present too. These undefined categories were
151 analysed to identify patterns of their occurrence and to establish whether their
152 exclusion could bias the results. Since no evidence of patterns was found, these
153 undefined categories were evenly distributed throughout DNR and DR (diagnosis
154 reached) records, they were grouped together under the “unknown” label. It would
155 have been difficult to draw biological conclusions about a possible association between

156 DNR and the “unknown” category. Thus, records classified as “unknown” were
157 excluded and omitted from both the general and the univariable analysis on a per
158 variable basis, except for “main presenting sign”, as this reflected a submission with an
159 unclear symptomatology whose potential relationship with DNR was particularly
160 interesting to explore.

161 The final set of variables considered as potential predictors for the occurrence of DNR,
162 based on their biological plausibility, were: “main presenting sign”, “VPF”, “year” (in
163 which submission was received), “submission type”, “number in submission” (number
164 of animals contributing to that submission), “age category”, “sex”, “production
165 purpose” and “region”.

166 A submission was classified as DNR if a diagnosis was not reached despite reasonable
167 testing (i.e. those submissions investigated at a level that a diagnosis would be
168 expected to be achieved); or if limited testing had been carried out. For example, due
169 to insufficient sample volume or because not all the range of tests available for the
170 diagnosis of a particular condition was required by the submitting veterinarian. When
171 a submission is categorised as DNR, Veterinary Investigation Officers (VIOs) are
172 required to select a reason from a picklist (Hoinville et al., 2008) and this information is
173 recorded in the variable “opinion of the VIO on why no diagnosis was reached”. This
174 variable was investigated, and an initial descriptive analysis was carried out to explore
175 the reasons for DNR. Otherwise a submission was classified as DR. Other categories
176 within the DR variable such as “na”, “none” or missing values were excluded from the
177 analysis.

178

179 **Data analysis**

180 Summary statistics were calculated for each variable and an initial descriptive analysis
181 of the dataset was performed.

182 To account for the clustering of the submissions at farm level and for spatial clustering,
183 a mixed-effects model was set to reduce bias in standard error, confidence intervals
184 (CIs) and p-values. Farm and county were modelled as random effects.

185 A univariable mixed-effects logistic regression was conducted between DNR and the
186 predictors of interest. For each variable, the category selected as reference was the
187 one with the lowest frequency of association with DNR.

188 Variables with a likelihood ratio test (LRT) of $p < 0.2$ on the univariable test were taken
189 forward into the multivariable analysis. In the case of “year” (the only numerical
190 variable further investigated), the LRT and the goodness of fit metric, Akaike’s
191 Information Criterion (AIC), were used to check for linear trend.

192 The full model explored in the analysis was:

193
$$y = \beta_0 + \beta_1(\text{VPF}) + \beta_2(\text{Presenting sign}) + \beta_3(\text{Submission type}) + \beta_4(\text{Age category}) +$$

194
$$\beta_5(\text{Sex}) + \beta_6(\text{Production purpose}) + \beta_7(\text{Year}) + \beta_8(\text{Region}) + u_{\text{farm}} + u_{\text{county}} + \epsilon$$

195 where y was the outcome variable, β_0 was the intercept. $\beta_1, \beta_2, \beta_3$, etc. were the
196 coefficients of the corresponding explanatory variable, u_{farm} and u_{county} were the
197 random effects at farm and county level respectively, and ϵ was the random variation
198 at submission level.

199 In all variables except “number in submission”, statistical evidence of association was
200 found, so all were included initially in the model. Using a backwards stepwise

201 procedure, variables were excluded from the final model using a statistical significance
202 of 5% in the LRT and the goodness of fit metric (AIC) and/or when removing the
203 variable did not alter the odds ratio (OR) of the other variables by more than 20%. The
204 analysis was then repeated using a forward selection, starting with the variable with
205 the lowest AIC. It was not possible to fit any biologically plausible interaction into the
206 final model due to data limitations.

207 LRT and goodness of fit metrics were also used to compare the mixed-effects models
208 and the standard logistic regression model. The Wald tests were used to examine the
209 significance (p value <0.05) of the variables retained in the final model, particularly for
210 those with multiple categories (such as VPF), and a variance inflation factor was
211 computed to assess collinearity among the predictor variables (Dohoo et al., 2009) in
212 the final model.

213

214 ***Sensitivity analyses considering the initially excluded records with missing data***

215 Sensitivity analyses including other potential scenarios was carried out to determine
216 whether the addition of records with missing data would have affected the model. The
217 two sets of analyses were made using: 1) the “unknown” categories for the predictors
218 “age category” (17,015 submissions), “sex” (16,392 submissions) and “production
219 purpose” (10,093 submissions) in the full model; and 2) the categories “na”, “none” or
220 missing values included in the DR variable, as if they were DNR submissions in the full
221 model (2,175 submissions).

222

223 **RESULTS**

224 ***Study population***

225 A total of 28,870 farms submitted samples during the study period. The median
226 number of submissions per farm was 2 (minimum: 1; maximum: 1,213 submissions per
227 farm). An analysis of the size of the herd and number of submissions in those farms
228 where herd size was available, revealed evidence of a positive correlation between
229 both variables (p-value <0.0001). The Pearson's correlation coefficient was 0.30, thus
230 the strength of the correlation found was weak.

231 Of the 122,444 submission records in the dataset, 120,269 had either a record of DR or
232 DNR and these were taken forward to the full analysis. The proportion of farms that
233 submitted samples for laboratory diagnosis during the study period gradually reduced
234 from 19.5% (14,829/76,043) in 2013 to 17.0% (12,826/75,249) in 2014, 14.3%
235 (10,611/74,334) in 2015, 13.0% (9,544/73,253) in 2016, and 11.9% (8255/69,326) in
236 2017. Whereas the proportion of DNR submissions was very similar during the study
237 period: 62.3% (21,037/33,761) in 2013, 64.2% (18,285/28,469) in 2014, 64.6%
238 (14,411/22,309) in 2015, 63.7% (12,296/19,294) in 2016 and 61.7% (10,135/16,436) in
239 2017.

240 ***Descriptive statistics and univariable analysis***

241 Table 1 shows summary statistics and results from the univariable analysis, including
242 crude OR of 113,267 submissions in the variables "VPF", "submission type", "main
243 presenting sign" and "year received grouped" (excluding missing values and 'unknown'
244 answers for "farm identifier" and "county"), of which 71,750 (63.3%) were DNR. In the

245 rest of the variables, the number of submissions varied due to the presence of missing
246 data.

247 The VPF with the highest percentage of DNR submissions was “Winchester” (76.0%,
248 1,714 submissions). Regarding clinical signs, the highest percentage of DNR
249 submissions was “abortion” (83.7%, 14,792 submissions). The type of sample with the
250 lowest percentage of DNR was “carcass” (18.3%, 1,494 submissions) while “other” was
251 the category with the highest number of DNR submissions (67.2%, 100,529). A
252 complete description of these and the other variables is shown in Table 1.

253 In the univariable analysis, evidence of association was found between all of the
254 variables explored and DNR ($p < 0.001$, Table 1), except for the “number in submission”
255 variable (p -value 0.583), and were added into the initial multivariable model. The use
256 of a categorical variable for “number in submissions” was also tested but the results
257 were inconclusive. In the case of “year”, a LRT was performed to check for a linear
258 trend and the goodness of fit metric was explored, but it was not the best fit for the
259 variable. Furthermore, a categorical variable for different groups of years was created
260 and tested, providing a better model fit than a variable with categories for each year.

261 ***Information about why no diagnosis was reached***

262 The analysis of the variable “Veterinary Investigation Officer’s opinion on why no
263 diagnosis was reached” (Figure 1) suggested that the majority of DNR submissions was
264 caused due to “complete diagnostic package not requested” (23,901 submissions)
265 followed by “incomplete sample range submitted” (21,521). “Other” (10,630
266 submissions) included a wide range of reasons such as insufficient animals tested,
267 inappropriate disease phase or unsuitable sample(s) provided.

268 **Multivariable analysis**

269 The multivariable model contained 81,191 submissions with no missing data in any of
270 the variables included. In the mixed-effects logistic regression model (which included
271 “VPF”, “main presenting sign”, “submission type”, “age category”, “sex”, “production
272 purpose”, “year” and “region”), a high variable inflation factor was found in “VPF” and
273 “region” indicating a high degree of collinearity. So “region” was omitted from the final
274 model, since the AIC was better in the model with VPF. A better fit was obtained with
275 the model including both “farm” and “county” as random effects in comparison to a
276 fixed effect model, or with the model including only one of the variables, i.e. “farm” or
277 “county” as random effect.

278 Table 2 shows the results from the mixed-effects logistic regression model. After
279 adjusting for the rest of predictors, there was no evidence of an association between
280 year and the outcome. No effect on the estimates of the other variables was found.
281 Furthermore, the model without year of submission provided a better fit to the data
282 and year was not associated with the outcome, so year was excluded from the full
283 model. Evidence of association was found between the rest of the variables and DNR
284 ($p < 0.05$). “Main presenting sign” had the highest estimated OR of all the variables
285 studied. Those submissions with “abortion” as the main clinical sign recorded had a
286 significantly higher risk of being DNR compared to “mastitis” (the condition with the
287 lowest percentage of DNR submissions which was set as the baseline) (OR= 21.6, 95 %
288 CI 19.6-23.9).

289 “Submission type” was the variable with the second highest OR value, “other” and
290 “foetus” had a higher risk of DNR compared to “carcass” (OR=11.6, 95 % CI 10.7-12.5

291 and OR=1.45, 95 % CI 1.28-1.64 respectively). “VPF” was very important too, and the
292 highest risk of DNR was in those samples submitted to the SACPME (OR 6.32, 95 % CI
293 3.47-11.49) as compared to samples submitted to Bristol University. Submissions from
294 post-weaned calves had around three times the odds of being DNR as compared to
295 neonatal animals (OR 2.82, 95 % CI 2.58-3.09).

296 Samples from males (OR 1.46, 95 % CI 1.35-1.59) and females (OR 1.29, 95 % CI 1.20-
297 1.39) had increased odds compared to submissions from a mixture of sexes.
298 Submissions from non-commercial cattle had the highest risk of DNR compared to beef
299 fattener (OR 2.00, 95 % CI 1.12-3.63).

300 Regarding confounding, “submission type” was the strongest confounder in the
301 association of the rest of the variables with the outcome, followed by “main
302 presenting sign”. Removing any of those two variables altered the OR estimations for
303 the other risk factors by more than 20%.

304 The two sensitivity scenarios explored with the full model showed minimal effect in
305 the OR estimations of the other risk factors (and categories). No changes in the
306 direction of the OR values or in the statistical significance of the variables and
307 categories were found.

308 **DISCUSSION**

309 The aim of this study was to investigate potential predictors for DNR in cattle
310 diagnostic submissions to the British VPFs. The multivariable mixed-effects model
311 identified “main presenting sign”, “type of submission”, “VPF”, “sex”, “age” and
312 “production purpose” as predictors for DNR. Some of the associations reported in this

313 analysis, especially in the case of “main presenting sign” and “submission type” were
314 very strong (Table 2). For others, such as “production purpose” and “sex”, although
315 still statistically significant there were weaker associations. However, as some of the
316 categories included in those variables are quite common in the cattle population, they
317 are important determinants for a DNR submission in cattle.

318 **Main findings: Significance of predictors for DNR**

319 In the final model, submissions from cattle that had aborted had the highest risk of
320 DNR. The results are consistent with those obtained in the previous pilot study, which
321 found that reproductive signs showed the strongest association with DNR.

322 Different issues can lead to a higher DNR risk in abortions submissions. Some of these
323 could be: the lack of diagnostic tests for determining causal factors (Hoinville et al.,
324 2008); the existence of a proportion of abortions due to non-infectious causes for
325 which there are no diagnostic tests; and the eventual delay between the event leading
326 to the abortion (either by expulsion of the foetus or by other means) and its detection.
327 This can result in a lower proportion of bovine abortions being detected in a timely
328 way. Furthermore, in these cases, the foetus is more likely to be autolysed (APHA,
329 personal communication) and as placentas are rarely submitted from bovine abortions
330 (SRUC, personal communication), these two factors can reduce the chance of achieving
331 a diagnosis.

332 Perhaps unsurprisingly, “carcass” was the submission type with the lowest risk of DNR.
333 A whole carcass submission makes it is easier to reach a diagnosis compared to
334 “foetus” or “other”, maybe due to the more extensive range of samples that can be
335 collected. Moreover, in some occasions, other types of samples submitted are not the

336 most appropriate for reaching a diagnosis (APHA, personal communication). A similar
337 finding was reported in a previous study (Hyder et al., 2011).

338 “Age”, “sex” and “production purpose” were also significant predictors for DNR (Table
339 2). Furthermore, most of the VPFs were significantly associated with DNR. Various
340 explanations have been proffered for the association between different VPFs and DNR
341 e.g. differences in the underlying population (such as main breed present on the farm),
342 or type of samples (carcass, foetus or other type of sample) received by different
343 laboratories. In this respect, distances from farm to VPFs might imply a major
344 constraint, although there is a free carcass collection service. However, the association
345 is still not well understood and requires further investigation (Hyder et al., 2011).

346 The variable “number in submission” which, in theory, reflects the number of animals
347 contributing to the submission, was not associated with the outcome. This finding
348 could be due to the fact that there is not an association in the data, or because in some
349 submissions the variable could represent not the number of animals but the number of
350 samples coming from the same animal (APHA, personal communication). The high
351 number of missing values and the presence of outliers in this variable may also have
352 impacted on the finding of no association. The missing values in “number in
353 submission” were evenly distributed throughout the categories of the other variables.

354 **Information from descriptive analysis and considerations on surveillance coverage**

355 Our estimations of the proportions of farms participating in passive surveillance varied
356 between 11.9% and 19.5% per year. The steady decrease in participation over the
357 study period may reflect the use of other VPFs by veterinary practitioners (APHA,
358 2018), lower occurrence of disease, or simply that fewer samples were submitted. All

359 those factors that might compromise the performance of the system to detect novel
360 diseases.

361 A lack of economic resources can also play an important role in the lack of submissions
362 to VPFs (O'Sullivan et al., 2012a) and in DNR occurrence. It could be an explanation of
363 why only one specific test was requested by veterinary practitioners in 33.5% of the
364 DNR submissions. This factor could lead to a higher DNR occurrence in more deprived
365 farming communities (Dolors Bertran, 2004). It is also possible that although the
366 geographical distribution of the 28 VPFs covers the whole area of study, some blind
367 spots may have occurred where access to service or transport was more difficult at
368 that time, influencing the participation in the surveillance system.

369 Our results concur with the findings in several other studies worldwide (Amezcuca et
370 al., 2010; Dohoo et al., 2009; Santman-Berends et al., 2016). In all of them,
371 geographical coverage and participation are areas where further efforts are still
372 required. Nevertheless, syndromic surveillance initiatives are considered to be a
373 promising direction to pursue for detection of potential novel and/or emerging
374 diseases in human and animals, where access to VPFs services are limited (Dupuy et
375 al., 2013; Stärk and Nevel, 2009).

376 **Limitations**

377 An analysis of only completed records was performed, which meant around 32% of the
378 records were excluded from the final model. It has been argued that when the reason
379 for missing data in predictors was unrelated to the outcome, as in this instance as the
380 information recorded for each submission was obtained before the DNR status was
381 known, it should not cause biased results. Evidence for this was demonstrated in the

382 two sensitivity scenarios we explored. The main concern in the present study was
383 related to the loss of precision and power due to the exclusion of those records with
384 unknown values. But considering the high number of submissions included, it may not
385 have substantially impacted upon statistical power (Allison, 2000; Sterne et al., 2009;
386 Steyerberg and van Veen, 2007).

387 It was not possible to explore any biologically plausible interaction due to the
388 characteristics of the dataset. For instance, a particularly relevant and biologically
389 plausible interaction might be present between “main presenting sign” and
390 “submission type”. However, in some submissions where multiple disease events were
391 occurring on the farm, only one main presenting sign was recorded. In other situations,
392 different submissions were sent from the same farm at the same time, for different
393 problems, but the same main presenting sign was recorded by the practitioner in all of
394 them.

395 Caution needs to be applied when attempting to extrapolate these findings to the
396 whole population of cattle farms in GB or to other countries, since this is a passive
397 surveillance system with voluntary notification (i.e. non-random sampling). The use of
398 passive surveillance data in epidemiological studies has a large number of well-known
399 limitations and biases. This type of study can exhibit a lack of sensitivity, with under-
400 reporting being a major weakness, since not all the diseased animals are submitted for
401 laboratory analysis because this depends on a wide range of factors (APHA, 2016;
402 Gilbert et al., 2014). Laboratory resources and other constraints on the rate at which
403 specimens are submitted (which may be specific to individual laboratories) may also
404 cause a bias in reaching a diagnosis (Hoinville et al., 2008) and the present approach is

405 unable to detect changes in syndromes for which there are few submissions (Gibbens
406 et al., 2008). Furthermore, other factors not explored such as herd size, frequency of
407 veterinary contact, and proximity to diagnostic laboratories could play a key role at a
408 national interpretation level (Dolors Bertran, 2004; Gates et al., 2015; Watson et al.,
409 2008). However, the combination of syndromic surveillance and laboratory diagnosis
410 (as in this study) permits the use of data, which otherwise would be lost or discarded
411 for surveillance purposes.

412 **Perspectives and potential improvements**

413 This study permitted an evaluation of the different predictors analysed to identify
414 potential DNR submissions. The findings could help inform VPFs and other
415 stakeholders working in surveillance once the identification of the limitations and the
416 reasons for these are detected in the surveillance system. Support could also be given
417 for initiatives to fill potential gaps in the system. For example, for the detection of the
418 reasons why some VPFs had a higher risk of DNR and for amending these risks where
419 possible. Likewise, for carrying out a revision of submission protocols established for
420 each condition such as the type and number of samples required. Those submissions at
421 a higher risk of DNR may be targeted.

422 An epidemiological profile of DNR submissions has been established, which can help to
423 discriminate the presence of aberrant profiles, and which will give warning of the
424 potential presence of new diseases in cattle. Thus, for example, if the quarterly analysis
425 shows an unusual proportion of DNR results from those submissions with 'respiratory'
426 as the main presenting sign, additional variables could be included (such as VPFs, age,

427 and purpose) to further characterise those submissions before proceeding with a
428 further investigation of the apparent increase in those DNR submissions.

429 Some suggestions of how to improve the use of this type of surveillance data would
430 include:

- 431 1. emphasising the importance of collecting all the information on the variables
432 included in the file at all levels of the surveillance system and as many
433 submissions as disease events required to be explored. This would allow the
434 use of numerical variables that were not included due to outliers and missing
435 values. A way to overcome this problem is the implementation of an IT system
436 where forms accompanying samples can be printed out and submitted only
437 after all fields have been filled. Such a service has been implemented by the
438 APHA in 2018, in the Animal Disease Testing Service, so it may be worthwhile
439 evaluating its performance;
- 440 2. exploring distance from farms to VPFs. It may be that greater distances are
441 related to sending other types of samples instead of carcasses, although there
442 is a free carcass collection service for some of the VPFs in England and Wales;
- 443 3. encouraging communication between practitioners and VIOs so appropriate
444 sample selection is discussed before submission;
- 445 4. including a spatiotemporal analysis for a more comprehensive characterization
446 of the usefulness of surveillance data. A form of this analysis using SaTScan has
447 been applied before (APHA, 2012; Hyder et al., 2011) but further efforts are still
448 needed;

- 449 5. exploring the reasons why farm participation in the system is gradually
450 decreasing;
- 451 6. incentivising the submission of carcasses if there are dead animals, especially
452 when there are not specific signs, or a rare condition is suspected; thus
453 emphasizing the importance among the vet practitioners of requesting a
454 complete diagnostic package when submitting sample(s); and
- 455 7. creating additional categories for submission type, to enable “other” to be
456 differentiated for a better understanding of DNR submissions.

457

458 ***Conclusion***

459 The results of this study support the importance of DNR analysis as a way of improving
460 the use and value of data obtained from a passive surveillance system. The output can
461 serve as a baseline for future epidemiological analyses using the same methodology
462 developed here, or other approaches, to evaluate system performance. In addition,
463 the results highlight the need for more highly detailed epidemiological data collection
464 by diagnostic laboratories at the time of submission. This could help to optimise
465 resources through more complete use of the information.

466

467

468 **DECLARATION**

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475 **Availability of data and materials**

476 Data used in the study can be available upon request to the Animal and Plant Health
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478 **Competing interests**

479 The authors declare that they have no competing interests.

480 **Consent for publication**

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486 The study was approved by Ethics Board of the Royal Veterinary College (SR2018-
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Table 1 – Results of descriptive statistics and of univariable mixed-effects logistic regression model between selected variables and DNR of 113,267 cattle submissions in GB for the period from 1 January 2013 to 31 December 2017. County and farm were modelled as random effects. Only variables with a likelihood ratio test (LRT) of $p < 0.2$ are reported.

Variable	Number of submissions	% of DNR	OR (95 % CI)	Wald p-value	LRT p-value
VPF					<0.001
Bristol University, PME	427	19.2	1.00		
Aberdeen, SAC	349	56.4	7.80 (5.57-10.91)	<0.001	
Aberystwyth, APHA	3,367	55.5	12.63 (8.73-18.28)	<0.001	
Auchincruive, SRUC	4,422	50.5	9.93 (7.34-13.45)	<0.001	
Bury St Edmunds, APHA	3,200	74.9	14.58 (11.00-19.31)	<0.001	
Carmarthen, APHA	10,322	61.0	8.43 (6.45-11.02)	<0.001	
Dumfries, SRUC	11,201	58.5	13.09 (9.95-17.23)	<0.001	
Edinburgh, SRUC	3,450	62.8	12.33 (9.40-16.18)	<0.001	
Inverness, SRUC	1,623	61.1	15.00 (10.62-21.18)	<0.001	
Langford, APHA	6,061	65.4	7.63 (5.93-9.82)	<0.001	
Liverpool University, PME	217	22.1	1.24 (0.85-1.96)	0.191	
Luddington, APHA	1,141	69.9	11.27 (8.45-15.04)	<0.001	
Newcastle, APHA	333	63.7	10.79 (7.57-15.39)	<0.001	
Penrith, APHA	1,0740	59.8	9.81 (7.50-12.84)	<0.001	
Perth, SRUC	2,819	57.0	9.69 (6.93-13.54)	<0.001	
Preston, APHA	492	54.5	6.47 (4.70-8.89)	<0.001	
Royal Veterinary College, PME	114	29.8	1.30 (0.96-2.68)	0.085	
SACPME	151	62.9	9.78 (6.35-15.04)	<0.001	
Shrewsbury, APHA	24,465	66.5	10.08 (7.83-12.97)	<0.001	
Starcross, APHA	10,212	69.0	9.55 (7.34-12.42)	<0.001	
St. Boswells, SRUC	3,722	68.6	15.02 (11.46-19.68)	<0.001	
Sutton Bonington, APHA	3,797	68.9	11.68 (8.89-15.34)	<0.001	
Thirsk, APHA	4,722	65.0	9.19 (6.93-12.17)	<0.001	
Thurso, SRUC	1,922	65.0	13.57 (9.47-19.46)	<0.001	
Truro, APHA	1276	68.6	12.48 (9.09-17.15)	<0.001	
University of Aberystwyth, PME	201	30.8	2.64 (1.77-3.94)	<0.001	
University of Surrey, APHA	263	33.1	1.94 (1.34-2.81)	<0.001	
Winchester, APHA	2258	76.0	10.47 (7.88-13.90)	<0.001	
Submission type					<0.001
Carcass	8,181	18.3	1.00		
Foetus	4,557	58.4	6.57 (6.04-7.12)	<0.001	
Other	100,529	67.2	9.14 (8.62-9.69)	<0.001	
Main presenting sign					<0.001
Mastitis	4,043	35.0	1.00		
Abortion	17,677	83.7	8.99(8.32-9.71)	<0.001	
Diarrhoea	31,423	53.8	2.13(1.98-2.28)	<0.001	
Other	25,412	61.2	2.87 (2.67-3.08)	<0.001	
Respiratory	7,744	68.3	3.90 (3.60-4.24)	<0.001	
Unknown	10,416	66.0	3.46 (3.20-3.74)	<0.001	
Wasting	16,552	65.9	3.57 (3.32-3.85)	<0.001	
Year received grouped					<0.001
2013	32,227	62.3	1.00		
2014-2015	48,041	64.4	1.09 (1.06-1.12)	0.001	
2016-2017	32,999	62.8	1.01 (0.98-1.05)	0.452	
Age category					<0.001
<i>n=96,252 (missing values and not applicable records 17,015)</i>					
Neonatal	5,910	40.7	1.00		
Adult	66,948	67.3	2.65 (2.51-2.79)	<0.001	
Mixed*	2,056	62.2	2.05 (1.85-2.28)	<0.001	
Postweaned	10,215	66.0	2.38 (2.22-2.54)	<0.001	
Preweaned	11,123	48.0	1.22 (1.14-1.30)	<0.001	
Sex					<0.001
<i>n=96,875 (missing values and not applicable records 16,392)</i>					
Mixed*	5,139	56.6	1.00		
Castrate	1,401	60.0	1.16 (1.02-1.31)	0.020	
Female	77,789	65.3	1.49 (1.40-1.58)	<0.001	
Male	12,546	57.3	1.11 (1.04-1.18)	0.003	
Production purpose					<0.001
<i>n=103,174 (missing values and not applicable records 10,093)</i>					
Finisher	4,873	57.6	1.00		
Milk	60,779	64.4	1.30 (1.22-1.38)	<0.001	
Other#	83	69.9	1.69 (1.05-2.70)	0.030	
Rearing	2,686	60.8	1.08 (0.98-1.19)	0.124	
Suckler	34,753	62.2	1.23 (1.16-1.31)	<0.001	
Region					<0.001
<i>n=113,252 (missing values and not applicable records 15)</i>					
Scotland	25,173	55.5	1.00		
East Midlands	4,554	69.1	1.72 (1.18-1.42)	<0.001	
East of England	2,307	73.3	2.05 (1.43-2.06)	<0.001	
London	24	62.5	1.59 (0.63-4.00)	0.322	
North East	2,826	66.0	1.36 (1.09-1.68)	0.006	

Variable	Number of submissions	% of DNR	OR (95 % CI)	Wald p-value	LRT p-value
North West	15,483	61.3	1.38 (1.15-1.65)	<0.001	
South East	4,873	74.4	2.30 (1.97-2.70)	<0.001	
South West	23,770	68.0	1.64 (1.41-1.90)	<0.001	
Wales	17,055	61.5	1.19 (1.02-1.38)	0.023	
West Midlands	11,792	65.2	1.58 (1.33-1.87)	<0.001	
Yorkshire and the Humber	5,395	66.7	1.64 (1.34-2.01)	<0.001	

DNR: Diagnosis not reached. OR: Odds Ratio. VPF: Veterinary post-mortem facility. PME: Post-mortem examination provider. APHA: Animal and Plant Health Agency. SRUC: Scotland's Rural College. SACPME: Scottish Agricultural College for Post-mortem Examination. LRT: Likelihood Ratio Test.

*"Mixed" categories in "Age" and "Sex" mean a mixture of animals with different age and sex that are included in the submission.

#"Other" included the following enterprises under the animals were being kept: pet, captive, zoological and wild.

Table 2 –Results from a mixed-effects multivariable logistic regression model between selected variables and DNR of 81,191 cattle submissions in GB for the period from 1 January 2013 to 31 December 2017. County and farm were modelled as random effects.

Variable	Categories	Adjusted OR	95 % CI	p-value (WALD tests)
VPF	Bristol University, PME	1.00		
	Aberdeen, SRUC	1.34	0.89-2.02	0.156
	Aberystwyth, APHA	1.75	1.24-2.46	0.001
	Auchincruive, SRUC	1.49	1.08-2.06	0.014
	Bury St Edmunds, APHA	2.65	1.92-3.65	<0.001
	Carmarthen, APHA	1.52	1.12-2.07	0.008
	Dumfries, SRUC	1.82	1.33-2.49	<0.001
	Edinburgh, SRUC	1.63	1.18-2.25	0.003
	Inverness, SRUC	2.09	1.48-2.95	<0.001
	Langford, APHA	1.47	1.08-1.99	0.014
	Liverpool University, PME	0.98	0.60-1.59	0.922
	Luddington, APHA	1.89	1.68-3.32	<0.001
	Newcastle, APHA	2.36	1.38-3.17	<0.001
	Penrith, APHA	2.09	1.14-2.11	0.005
	Perth, SRUC	1.55	1.50-2.96	<0.001
	Preston, APHA	2.11	0.95-2.02	0.087
	Royal Veterinary College, PME	1.39	1.09-3.28	0.024
	SACPME	6.32	3.47-11.49	<0.001
	Shrewsbury, APHA	1.75	1.29-2.37	<0.001
	Starcross, APHA	2.05	1.50-2.78	<0.001
	St. Boswells, SRUC	2.56	1.86-3.53	<0.001
	Sutton Bonington, APHA	2.04	1.49-2.80	<0.001
	Thirsk, APHA	2.03	1.48-2.78	<0.001
	Thurso, SRUC	2.32	1.61-3.34	<0.001
	Truro, APHA	2.25	1.58-3.19	<0.001
	University of Aberystwyth, PME	1.96	1.24-3.11	0.004
	University of Surrey, APHA	3.07	2.01-4.68	<0.001
Winchester, APHA	2.86	2.06-3.96	<0.001	
Submission type	Carcass	1.00		
	Foetus	1.45	1.28-1.64	<0.001
	Other	11.57	10.68-12.53	<0.001
Main presenting sign	Mastitis	1.00		
	Abortion	21.61	19.55-23.89	<0.001
	Diarrhoea	2.44	2.25-2.64	<0.001
	Other	5.26	4.85-5.71	<0.001
	Respiratory	5.58	5.06-6.16	<0.001
	Unknown	4.16	3.78-4.58	<0.001
	Wasting	3.45	3.18-3.75	<0.001

Variable	Categories	Adjusted OR	95 % CI	p-value (WALD tests)
Age category	Neonatal	1.00		
	Adult	2.07	1.92-2.23	<0.001
	Mixed*	1.70	1.49-1.94	<0.001
	Postweaned	2.82	2.58-3.09	<0.001
	Preweaned	1.66	1.52-1.81	<0.001
Sex	Mixed*	1.00		
	Castrate	1.13	0.97-1.31	0.130
	Female	1.29	1.20-1.39	<0.001
	Male	1.46	1.35-1.59	<0.001
Production purpose	Finisher	1.00		
	Milk	1.22	1.12-1.33	<0.001
	Other#	2.00	1.12-3.63	0.023
	Rearing	1.07	0.94-1.22	0.278
	Suckler	1.16	1.06-1.26	0.001

DNR: Diagnosis not reached. OR: Odds Ratio. VPF: Veterinary post-mortem facility. PME: Post-mortem Examination Provider. APHA: Animal and Plant Health Agency. SRUC: Scotland's Rural College. SACPME: Scottish Agricultural College for Post-mortem Examination Provider. LRT: Likelihood Ratio Test.

*"Mixed" categories in "Age" and "Sex" mean a mixture of animals with different age and sex that are included in the submission.

#"Other" included the following enterprises under the animals were being kept: pet, captive, zoological and wild.

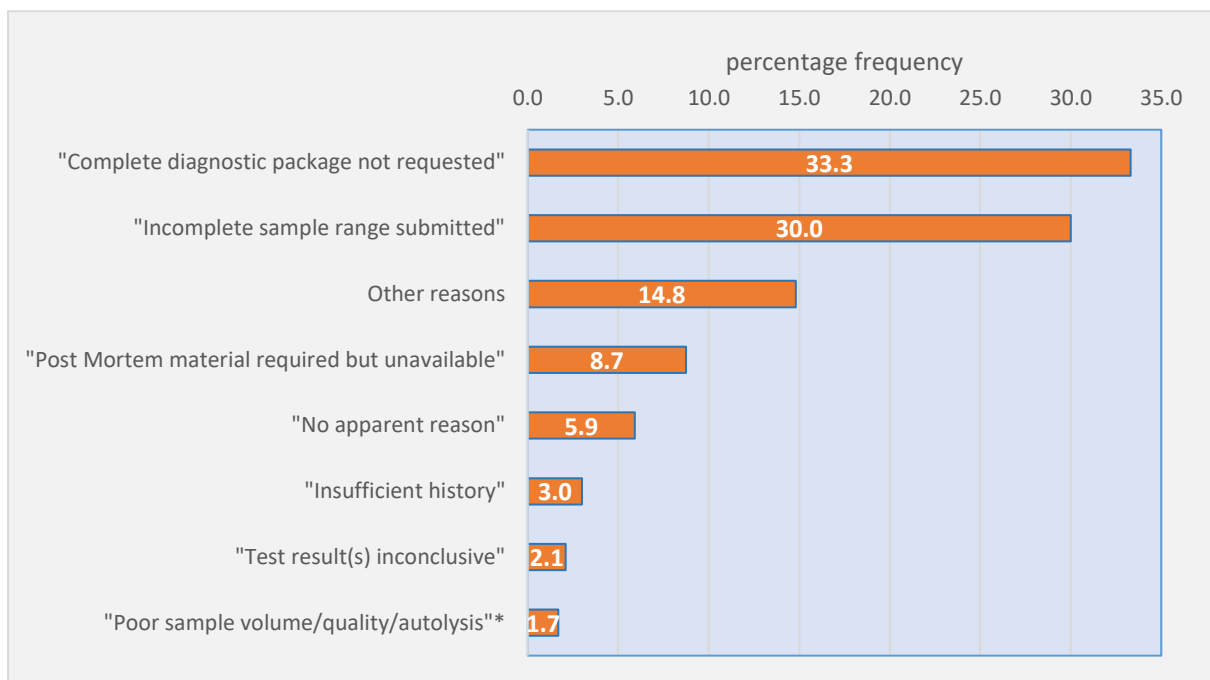


Figure 1 Relative frequency distribution of Veterinary Investigation Officers' opinions on why no diagnosis was reached on 71,750 DNR submissions. The "Poor sample volume/quality/autolysis" category refers to those situations where the type of sample submitted was appropriate but it was not possible to reach a diagnosis because of the poor condition of a sample (for example, insufficient sample volume collected, poor sample quality, such as inappropriate collection tube used for blood sampling, or autolysis of the sample)