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## **AMY1 gene copy number correlates with glucose absorption and visceral fat volume, but not with insulin resistance**

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## 1 **Abstract**

2 **Background:** The human amylase gene (*AMY1*) has broad copy number (CN)  
3 variation that may associate with BMI.

4 **Methods:** DNA was extracted from urine (n=74) and serum (n=6) samples  
5 ('ProFiMet' cohort), and buccal (n=17) samples (Oral Starch Challenge [OSC]  
6 cohort), and assessed for *AMY1* CN by droplet digital PCR. Association of *AMY1* CN  
7 with comprehensive markers of metabolic status (ProFiMet cohort) were analysed  
8 with Pearson Correlation Coefficients (CC). For the healthy, euglycemic OSC cohort,  
9 glycemic response to OSC was analysed with independent-sample t-tests  
10 (subgroups: high *AMY1* CN 9-12, n=10; low *AMY1* CN 4-6, n=7).

11 **Results:** There were significant inverse correlations of *AMY1* CN with total visceral  
12 fat volume (CC -0.33; P=0.004), and positive correlations of *AMY1* CN with oral  
13 glucose insulin sensitivity score (OGIS [derived from OGTT], CC 0.26; P=0.02),  
14 serum HDL-cholesterol (CC 0.325; P=0.003), and serum adiponectin (CC 0.249;  
15 P=0.026). Linear regression multivariate analysis (adiponectin as dependent  
16 variable), showed independent association of adiponectin with *AMY1* CN  
17 (Beta=0.29; P=0.03). There were no significant associations between *AMY1* CN and  
18 clamp-derived M-value, homeostasis model assessment of insulin resistance (IR),  
19 hepatic endogenous glucose production, fecal floral signature or macronutrient  
20 dietary preference. Delta (mean) change in blood glucose concentration (fasting to  
21 30-min post-OSC), was significantly greater in the high vs low *AMY1* CN subgroups  
22 (mean 1.7mmol/l [SEM 0.6] vs 0.9mmol/l [SEM 0.9] respectively; p=0.016).

23 **Conclusions:** High *AMY1* CN associates with favourable metabolic profile (lower  
24 visceral fat volume; higher serum adiponectin; enhanced glucose absorption  
25 following oral glucose and OSC), but not with whole-body or hepatic IR.

26 **Keywords:** Salivary amylase; Obesity; Metabolic status; Glucose; Amylase Copy

27 Number

28

29 **Abbreviations**

30

31	<i>AMY1</i> :	The human salivary amylase gene
32	<i>AMY2</i> :	The human pancreatic amylase gene
33	BMI:	Body Mass Index
34	CC:	Correlation Coefficient
35	CN:	Copy Number
36	CNV:	Copy Number Variation
37	CPIR:	Cephalic Phase Insulin Release
38	CRP:	C-reactive protein
39	CV:	Coefficient of Variation
40	ddPCR:	Droplet digital Polymerase Chain Reaction
41	dPCR:	Digital Polymerase Chain Reaction
42	EDTA:	Ethylenediaminetetraacetic acid
43	EGP:	Endogenous Glucose Production
44	FACS:	Fluorescence-activated cell sorting
45	FGF-21:	Fibroblast Growth Factor-21
46	FisH:	Fluorescence in situ Hybridization
47	FPI:	Fasting plasma insulin
48	GI:	Gastrointestinal
49	HEP-IR:	Hepatic Insulin Resistance
50	HOMA IR:	Homeostasis Model Assessment of Insulin Resistance
51	MRI:	Magnetic Resonance Imaging
52	MRS:	Magnetic Resonance Spectroscopy
53	MUFA:	Monounsaturated fatty acid
54	NEFA	Non-esterified fatty acids
55	OGIS:	Oral Glucose Insulin Sensitivity
56	OGTT:	Oral Glucose Tolerance Test
57	OSC:	Oral Starch Challenge
58	PAI-1:	Plasminogen Activator Inhibitor-1
59	PRT:	Paralogue Ratio Test
60	PUFA:	Polyunsaturated fatty acid
61	qPCR:	Quantitative Polymerase Chain Reaction
62	SFA:	Short chain fatty acid
63	T2D:	Type 2 Diabetes Mellitus
64	UHCW:	University Hospitals Coventry and Warwickshire
65	WHO:	World Health Organization

66

## 67 **Introduction**

68 The World Health Organization (WHO) estimated global prevalence of adult obesity  
69 at 13%, and childhood overweight and obesity at 18% in 2016 (1). As a global threat  
70 to human health and longevity, obesity underlies >50 weight-related conditions  
71 (including type 2 diabetes mellitus [T2D]), and contributes towards a substantive  
72 health-economic burden (1). Lifestyle choices (including diet and activity) influence  
73 body weight. However, body mass index (BMI) also has a heritability of 70% (2, 3), a  
74 small proportion of which (2.7%) associates with >90 genetic loci (2). Non-mutational  
75 genetic differences between individuals, including copy number variation (CNV) of  
76 some genes such as those encoding amylase (*AMY*), may underlie some of the  
77 'missing' heritability for BMI, identified from genome-wide association studies (3).

78

79 The human salivary amylase (*AMY1*) and pancreatic amylase (*AMY2*) genes are  
80 located in a cluster on chromosome 1p21.1 (1, 4). The human genome reference  
81 assembly (hg19) shows a structure that includes three copies of the *AMY1* gene  
82 repeat (designated *AMY1A*, *AMY1B* and *AMY1C* to define their chromosomal  
83 location), and two pancreatic amylase genes (designated *AMY2A* and *AMY2B*). The  
84 *AMY1* coding region, and approximately 26.5kb of flanking sequence constitutes the  
85 *AMY1* 'copy-variable repeat unit'. Replicates of this repeat unit are 99.9% identical.  
86 Although the reference human genome shows only three replicates, in the general  
87 human population the *AMY1* region displays remarkable CNV between individuals  
88 (5). The CNV of *AMY1* is thought to originate from unequal crossing-over events  
89 between the highly homologous components of the gene cluster (6). This CNV  
90 appears to post-date the split of modern Humans and Neanderthals (7). Using  
91 comparative genome hybridization, an unequal cross-over encompassing *AMY2A*

92 and *AMY1* was shown to be the most common rearrangement event, resulting in  
93 *AMY1* CN ranging from 2 to 18 per diploid genome (8).

94

95 Salivary amylase plays a key role in starch digestion during mastication of food (9),  
96 through facilitating hydrolysis of 1,4-glycosidic bonds within starch, forming maltose,  
97 isomaltose, oligosaccharides and glucose (10, 11). Combined with the actions of  
98 pancreatic amylase within the ileum (encoded by the separate *AMY2* amylase gene),  
99 ongoing hydrolysis of starch and its intermediate breakdown products, eventually  
100 results in the formation of absorbable free glucose (10). Human *AMY1* copy number  
101 (CN) associates directly with concentration and activity of salivary amylase (10, 12-  
102 15).

103

104 In addition to its role in the production of salivary amylase for breakdown of starch  
105 during mastication, there is also evidence for association between *AMY1* CN and  
106 BMI. Falchi and colleagues published the first report of an inverse association  
107 between human *AMY1* CN and BMI using a quantitative Polymerase Chain Reaction  
108 (qPCR) approach (16). Replication in >6,000 participants from cohorts that included  
109 those of European and Singaporean Chinese ethnicities, demonstrated that each  
110 additional copy of *AMY1* reduced obesity risk by 1.2-fold, and there was an 8-fold  
111 greater risk of obesity in individuals with an *AMY1* CN<4, compared to those with an  
112 *AMY1* CN>9 (16). Subsequent reported studies on *AMY1* CNV and BMI have shown  
113 mixed results. Using a qPCR technique on a population from South Korea, Choi and  
114 colleagues showed an association between *AMY1* CN and insulin sensitivity, but not  
115 with BMI (17). Using a different approach (digital PCR [dPCR]), Mejia-Benitez and  
116 colleagues showed in a cohort of children from Mexico, that high *AMY1* CN

117 appeared to be protective against obesity (18). In a different study reported by  
118 Viljakainen and colleagues, that utilized a superior 'droplet digital' PCR (ddPCR)  
119 technique (19) in a cohort of Finnish subjects with a history of severe childhood  
120 obesity, inverse association between *AMY1* CN and both BMI and percentage body  
121 fat in the obese female subjects was shown (20). A further study by Bonnefond and  
122 colleagues on a French population of adults and children using ddPCR, also showed  
123 an association of higher *AMY1* CN with reduced risk of obesity, particularly in  
124 children (21). Nonetheless, other studies using the ddPCR technique, (including one  
125 on >4,000 participants (22)), from diverse cohorts have shown no association  
126 between *AMY1* CN and BMI (22, 23). Most recently, Shwan and colleagues reported  
127 on data from the 1958 Birth Cohort (1,400 subjects and >2,800 subjects from two  
128 disease cohorts from the Wellcome Trust Case Control Consortium), using paralogue  
129 ratio tests (PRTs) in genomic DNA and array comparative genomic hybridization  
130 data, and showed no evidence for association of *AMY1* CN with BMI at any age  
131 point (24).

132

133 Despite the controversy in this field based on the current literature outlined here,  
134 there does appear to be evidence, at least in some cohorts and particularly in  
135 children, for inverse association between *AMY1* CN and BMI. However, the  
136 mechanism(s) that underlie this intriguing association remain incompletely  
137 understood. Furthermore, to our knowledge there are no published reports to date on  
138 possible associations between *AMY1* CN and measures of metabolic status  
139 (including euglycemic hyperinsulinaemic clamps, stable isotopic measures of hepatic  
140 insulin sensitivity, abdominal magnetic resonance imaging [MRI] and H1 liver  
141 spectroscopy), macronutrient (including starch) preference and fecal floral signature.

142 To address this important unanswered question, we explored associations of *AMY1*  
143 CN with metabolic status, body composition, macronutrient preference and fecal  
144 flora, in the most highly phenotyped cohort reported on to date. We also explored  
145 associations of *AMY1* CN with blood glucose excursions following ingestion of  
146 glucose and oral starch challenge (OSC).

147

## 148 **Methods**

### 149 ***DNA Extraction: Samples and Techniques***

150 *Urine and serum samples from ProFiMet cohort:* For measurement of *AMY1* CN,  
151 samples of Caucasian individuals (n=80) of the Protein, Fiber and Metabolic  
152 Syndrome ('ProFiMet') data set (clinicaltrials.gov, NCT00579657) were available  
153 (urine samples, n=74; serum samples, n=6). Participants of the ProFiMet study were  
154 overweight or obese, but non-diabetic. All participants had undergone extensive  
155 metabolic phenotyping including standard markers, assessment of dietary intake (5-  
156 day food protocols including a weekend day), measurement of whole-body and  
157 hepatic Insulin Resistance (IR), assessment of body fat distribution through MRI  
158 scans and H1-spectroscopy (25), and assessment of a complete bile acid profile  
159 (26). In addition, each participant had assessment of various other biomarkers in  
160 blood, urine and feces, including dominant groups of the gut microbiota (27) and  
161 amino acid metabolic signatures (28). Details of the study design and participants  
162 are described in our core publication (25). The Ethics Committee of the University of  
163 Potsdam approved this study (BMBF-FKZ-0313826). All participants provided written  
164 informed consent. The Zymo Research *Quick-DNA* Urine Kit and protocol  
165 (Cambridge Bioscience, Cambridge, CB23 8SQ UK) was used for extraction of DNA  
166 from urine (0.25ml kit elution buffer). Each urine sample (0.5ml) underwent total



167 (cellular and cell-free) DNA extraction. The QIAGEN QIAamp Blood Mini Kit and  
168 protocol (Qiagen UK) was used for extraction of DNA from serum (0.05ml kit elution  
169 buffer). Each serum sample (0.5ml) underwent DNA extraction. The yield of DNA  
170 was determined using a Qubit 3.0 fluorimeter (Life Technologies Ltd).

171

172 *Buccal samples from OSC cohort:* Buccal swabs (Copan Flock Technologies  
173 4N6FLOQSwabs) were obtained from ThermoFisher Scientific, UK, Cat. #4479433.  
174 Following collection of buccal samples, the swabs were inserted into 2ml tubes with  
175 0.3ml of sterile DNase-free water and agitated. Approximately 0.25ml of fluid was  
176 collected and DNA extracted using the Roche High Pure Viral Nucleic Acid Kit,  
177 obtained from Roche Diagnostics Ltd, UK (Product No. 11858874001).

178

179 *Whole blood EDTA and muscle samples for validation:* Whole blood EDTA samples  
180 (n=43) from patients (both in-patients and out-patients) at University Hospitals  
181 Coventry and Warwickshire (UHCW) in the UK were used for DNA extraction. In  
182 addition, skeletal muscle biopsy samples from calf muscle from lower limb  
183 amputations (n=2) were obtained from the Arden Tissue Bank at UHCW, for the  
184 purpose of DNA extraction. A blood sample from a Chimpanzee (*Pan troglodytes*)  
185 was also provided by Chester Zoo, Cheshire, UK. The QIAGEN QIAamp Blood Mini  
186 Kit and protocol (Qiagen UK) was used for extraction of DNA from whole blood. Each  
187 whole blood sample had a volume of 5ml.

188

189 *Cultured cell samples for validation:* Validation of *AMY1* CN assay was also provided  
190 by DNA that had already been extracted from existing cultured cell samples (n=7).  
191 Extracted DNA was obtained from the Coriell Institute (Camden, NJ, USA). These

192 were cell lines NA06993, NA07347, NA10835, NA11930, NA11993, NA12813 and  
193 NA18972.

194

#### 195 ***Analysis and Validation of AMY1 CN on Extracted DNA***

196 For all urine (n=74) and serum (n=6) samples from the ProFiMet cohort, and for the  
197 buccal samples collected from the OSC cohort, *AMY1* CN was analysed using the  
198 'droplet digital PCR' ('ddPCR') technique, a recent practical technology for detection  
199 and quantitation of specific nucleic acid sequences (29). Digital PCR relies on  
200 dilution of target DNA and subsequent distribution into multiple, discrete replicate  
201 PCR reactions. Application of Poisson statistics enables calculation of the absolute  
202 measurement of target sequence concentration. We used ddPCR to determine  
203 *AMY1* CN because this method allows highly repeatable quantitation of CN, and is  
204 less susceptible to PCR inhibition than qPCR. Furthermore ddPCR could be reliably  
205 applied to the low yield DNA samples available from the ProFiMet cohort.

206

207 To validate the ddPCR technique, extracted DNA from each whole blood EDTA  
208 sample (n=43) or calf muscle (n=2) was analysed for assessment of *AMY1* CN using  
209 the same ddPCR technique. For further validation, two separate techniques (PRT  
210 and 'microsatellite typing') were used to analyse *AMY1* CN in the whole blood EDTA  
211 samples. For the PRT (PRTref12), we used the 'Chromosome 12 Reference  
212 Parologue' to ascertain *AMY1* CN in each sample, as described by Carpenter and  
213 colleagues (5). Regarding the 'microsatellite typing', Carpenter and colleagues  
214 described a TATC microsatellite region within the *AMY1* gene region (5). Utilization  
215 of a PCR amplification of this microsatellite, followed by electrophoresis derived  
216 relative peak heights for all the amplification products. Totalling peak height ratios

217 enabled estimation of *AMY1* CN in informative individuals. PCR details were as  
218 described for the PRTref12, using TATC assay primers. A flowchart of DNA  
219 extractions and analyses, including validation of the ddPCR technique is shown in  
220 *figure 1*. To ascertain the precision of the ddPCR assay, two cultured cell samples  
221 from the Coriell Institute (Camden, NJ, USA) were assayed on several different days,  
222 and the mean *AMY1* CNs and coefficients of variation calculated.

223

224 The yield of DNA from urine and serum samples was much lower than that from  
225 blood samples. We therefore assessed the accuracy of ddPCR quantification of  
226 *AMY1* CN at low DNA concentrations. DNA extracts from one Coriell sample  
227 (NA18972) and ten blood samples were diluted with water to DNA concentrations of  
228 0.05-0.28 ng/ul. These diluted extracts were subjected to ddPCR (7.5ul per reaction),  
229 and the *AMY1* CN compared with those obtained by analysis of undiluted extracts.

230

### 231 ***ProFiMet Cohort***

232 ProFiMet remains one of the most well-phenotyped and detailed cohorts of subjects  
233 with metabolic syndrome. Details of the ProFiMet cohort have been published (25-  
234 28). The ProFiMet cohort consists of overweight and obese participants, with a wide  
235 range of BMI from 25Kgm<sup>-2</sup> to 43Kgm<sup>-2</sup>. For the purpose of this study, data on body  
236 composition, liver fat content, measures of insulin sensitivity and hepatic glucose  
237 production, gut microbiota and macronutrient preferences were analysed.

238

239 *Body composition and liver fat content:* Trained staff performed anthropometric  
240 measurements using standard methods. Body fat content was assessed using 1.5T  
241 MRI (Magnetom Avanto, Siemens Healthcare, Erlangen, Germany) (25). Hepatic

242 lipid content was measured using proton magnetic resonance spectroscopy (<sup>1</sup>H-  
243 MRS) (25, 30).

244

245 *Whole-body insulin resistance (IR) and insulin-induced suppression of hepatic*  
246 *glucose production:* Euglycemic–hyperinsulinemic clamps (40 mU/kg/min) were  
247 performed in the overnight fasted state at weeks 0, 6, and 18 ( $n = 15–20$  participants  
248 per group and study day), to assess whole-body IR (expressed as *M*-value). Tracer  
249 experiments were performed in a matched subset of participants ( $n = 9–11$   
250 participants per group and study day), to measure (hepatic) endogenous glucose  
251 production (EGP), and EGP-suppression by insulin infusion. Calculation of hepatic  
252 IR (HEP-IR) was as follows: (EGP x fasting plasma insulin [FPI]) (30). Blood samples  
253 were drawn at timed intervals at baseline and throughout the clamp experiments,  
254 following 12-h overnight fasting. Samples were immediately chilled and centrifuged,  
255 and the supernatant fluid was aliquoted and stored at  $-80\text{ }^{\circ}\text{C}$  until analysed.

256

257 *Dominant groups of the gut microbiota:* Fecal collection and analysis of gut  
258 microbiota from fecal samples are detailed elsewhere (27). Briefly, fecal samples  
259 underwent Fluorescence in situ Hybridization (FisH) and bacterial enumeration by  
260 flow cytometry (27, 31). Flow cytometry data acquisition was performed with a FACS  
261 Calibur flow cytometer (Becton Dickinson) as described previously (32), using the  
262 settings of Mueller (27, 31). Changes in gut microbiota were measured for the  
263 following major bacterial population groups (respective oligonucleotide probe in  
264 brackets): *Clostridium coccooides* - *Eubacterium rectale* cluster (Erec482), *Roseburia*  
265 genus (Rrec584), *Bacteroides-Prevotella* (Bac303), *Clostridium leptum* cluster  
266 (Clep866), *Atopobium* cluster (Ato291), *Bifidobacterium* group (Bif164), and

267 clostridial cluster IX (Prop853). *Lactobacillus-Streptococcus* group (Lab158) and  
268 *Enterobacteria* (Enter1432) were under the detection limit. (*Roseburia*, *E. rectale* and  
269 *Faecalibacterium* groups are major butyrate producers) (27).

270

### 271 **OSC Cohort**

272 For the OSC cohort, healthy participants (n=17) with no known history of Diabetes  
273 Mellitus or obesity were recruited from the community, for the sole purpose of this  
274 study. All participants within the OSC cohort were white and of British/Irish ethnicity.  
275 Each participant underwent a buccal swab as described, for determination of *AMY1*  
276 CN. The OSC cohort were divided into two subgroups, according to *AMY1* CN. The  
277 high *AMY1* CN subgroup (n=10) had a range of *AMY1* CNs between 9 and 12 (mean  
278 CN 10). The low *AMY1* CN subgroup (n=7) had a range of *AMY1* CNs between 4  
279 and 6 (mean CN 5). Each participant was invited to attend for a fasting blood test  
280 (following a 12-hour fast) at 9am, followed by a standard OSC. The OSC consisted  
281 of 88g (dry weight) of basmati white rice (26.8g carbohydrate per 100g rice), cooked  
282 in tap water. Blood glucose measurements were based on finger-prick testing, using  
283 a Roche Accu-Chek Performa Nano Blood Glucose System. In addition to fasting  
284 tests, blood glucose measurements were also taken post-OSC at 30-min, 60-min,  
285 90-min and 120-min.

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292 **Statistical analyses**

293 Statistical analyses were conducted in SPSS (version 26 for Windows; SPSS Inc.,  
294 Chicago, IL). For the ProFiMet cohort data, initial analyses involved derivation of  
295 Pearson correlation coefficients between *AMY1* CN and the following indices of  
296 metabolic status: total visceral fat volume, measures of body composition, hepatic  
297 lipid content, whole-body insulin resistance, hepatic EGP, OGTT-derived OGIS  
298 score, macronutrient food preferences and fecal flora profiles. Detailed power  
299 calculations regarding the ProFiMet cohort have been documented previously (25).  
300 To explore independent associations of *AMY1* CN with key markers of metabolic  
301 status, we performed linear regression multivariate analysis with serum adiponectin  
302 as a dependent variable. Independent variables included other, carefully selected  
303 outcome measures from the ProFiMet cohort to provide a detailed assessment of  
304 metabolic status, with addition of *AMY1* CN. To avoid wide, multiple testing, we  
305 chose a minimalistic range of independent variables, to capture known key aspects  
306 of metabolic status. This represented only a small selection of the several hundred  
307 metabolic markers within the ProFiMet cohort. Therefore, we did not perform any  
308 corrections for multiple testing. For the OSC cohort data, we used independent  
309 sample t-tests for comparison between the low and high CN subgroups, including  
310 delta change in blood glucose readings from fasting to 30-min post-OSC time-points.  
311 A P-value <0.05 was considered significant.

312

313

314

315

316

## 317 **Results**

### 318 ***DNA Extraction Success***

319 We define successful DNA extraction by sufficient DNA yield to perform a ddPCR  
320 assay for *AMY1* CN. For the ProFiMet cohort, of the 98 urine samples available,  
321 DNA extraction was successful in 74 samples. For the remaining subjects (n=24)  
322 where DNA extraction from urine was unsuccessful, DNA extraction was attempted  
323 from serum samples from the same subjects. Of these, DNA was extracted  
324 successfully from serum samples (n=6), providing a total of n=80 DNA samples from  
325 the ProFiMet cohort, from which the DNA recovered was within the range 0.02-  
326 16.7ng/μl; median 0.15ng/μl. Given that all of the urine and serum samples from the  
327 ProFiMet cohort had already undergone centrifugation, there was limited cellular  
328 material available for DNA extraction in each sample. For this reason, it was not  
329 possible to obtain any DNA from some of the urine (n=24) and serum (n=18)  
330 samples from the ProFiMet cohort. DNA extraction was successful in all of the whole  
331 blood EDTA (n=43) and calf muscle (n=2) samples, and for all of the buccal (n=17)  
332 samples in the OSC cohort.

333

### 334 ***Validation and Precision of ddPCR Technique for AMY1 CN Analysis***

335 For all of the reference DNA materials (seven cell lines), the *AMY1* CN assessed by  
336 all three methods (PRT, microsatellite and ddPCR) was equivalent to values reported  
337 previously (5). We subsequently applied these three methods for *AMY1* CN  
338 assessment to anonymised DNA, extracted from 45 samples (EDTA whole blood  
339 [n=43] and skeletal muscle [n=2] samples), obtained from the Arden Tissue Bank at  
340 UHCW. Statistically, there was no significant difference between *AMY1* CN  
341 determined by ddPCR and PRTref12 (Mann Whitney U test p-value=0.96).

342 Microsatellite profiling was uninformative for six individuals due to insufficient allele  
343 length variation. In a further eight individuals, the microsatellite profile calculated  
344 using the most parsimonious ratio indicated a CN that was half the number  
345 estimated by ddPCR and PRT. Application of an alternate CN profile in these cases  
346 resulted in *AMY1* CN evaluation consistent with data derived from the other  
347 techniques.

348

349 In view of the fact that the paralogue and microsatellite assays were human-specific,  
350 we could only apply the *AMY1* ddPCR assay to the DNA extracted from an EDTA  
351 whole blood sample, taken from a chimpanzee (Chester Zoo, UK). This revealed a  
352 CN of 2 (ie. one copy per chromosome), as expected (7). Assessment of the  
353 accuracy of ddPCR to determine *AMY1* CN in low yield DNA samples resulted in  
354 good correlations at low CN, but with less accuracy at high copy number (Mann  
355 Whitney U test p-value=0.91). Regarding ddPCR precision, separate analyses on  
356 different days for Coriell sample NA 11930 (n=7; nominal CN 2) showed a mean CN  
357 of 1.9 (CV 1.9%), and for Coriell sample NA 07347 (n=6; nominal CN 10) showed a  
358 mean CN of 10.4 (CV 5.8%). Therefore, we believe that the ddPCR assay used in  
359 our study is a robust and reliable method for assessment of human *AMY1* CN, even  
360 for samples with a low yield of DNA.

361

### 362 ***Distribution of AMY1 CN for the ProFiMet and OSC Cohorts***

363 A histogram showing the distribution of *AMY1* CN across the ProFiMet cohort is  
364 shown in *figure 2*. The CN for the *AMY1* gene in the ProFiMet individuals ranged  
365 from 2 to 10, compared with a range of 4 to 12 in the OSC cohort. The majority of the  
366 ProFiMet cohort (n=69, 86.25%) had between 3 and 8 copies of the *AMY1* gene. The



367 remainder (n=11, 13.75%) had extreme CNs of the *AMY1* gene, either 2 copies or >8  
368 copies. Descriptive statistics for the *AMY1* CN between the ProFiMet and OSC  
369 cohorts revealed mean 4.7 (SD 1.9) and 7.9 (SD 2.9) respectively.

370

371 ***Pearson Correlation Coefficients for the ProFiMet Cohort (table 1)***

372 There was a highly significant inverse correlation of *AMY1* CN with total visceral fat  
373 volume (correlation coefficient -0.33, P=0.004), and visceral fat volume within the  
374 umbilical area (correlation coefficient -0.324, P=0.004). Although there was an  
375 inverse correlation of *AMY1* CN with BMI (correlation coefficient -0.214), this failed to  
376 reach significance (P=0.057). There was an inverse correlation between *AMY1* CN  
377 and lean mass (correlation coefficient -0.216), which just failed to reach significance  
378 (P=0.055). There were no significant associations between *AMY1* CN and total fat  
379 volume, fat mass, intrahepatic lipid content, umbilical subcutaneous adipose tissue  
380 volume, whole-body insulin resistance, HOMA-IR, insulin sensitivity index or hepatic  
381 EGP. However, there was a significant direct correlation between *AMY1* CN and  
382 (OGTT-derived) OGIS score (correlation coefficient 0.259, P=0.02). Regarding lipid  
383 profile, there was a significant correlation between *AMY1* CN and serum HDL-  
384 cholesterol (correlation coefficient 0.325, P=0.003), but no significant associations  
385 with any of the other components of lipid profile, including triglycerides. There was a  
386 significant direct correlation between *AMY1* CN and serum adiponectin (correlation  
387 coefficient 0.249, P=0.026). There were no significant correlations with serum leptin,  
388 acylated ghrelin, Fibroblast Growth Factor-21 (FGF-21), interleukin 10 or C-reactive  
389 protein (CRP). *AMY1* CN showed no significant correlation with any measure of fecal  
390 microbiota (FisH analysis). There was no correlation of *AMY1* CN with dietary  
391 preferences, including intake of alcohol, total energy, soluble and insoluble fiber,

392 magnesium, protein, meat, fish, milk, legumes, eggs, poultry, carbohydrates,  
393 cholesterol, polyunsaturated fatty acids (PUFA), monounsaturated fatty acids  
394 (MUFA) and short chain fatty acids (SFA). Associations between *AMY1* CN and total  
395 visceral fat volume, umbilical visceral fat volume, OGIS score, serum fasting HDL-  
396 Cholesterol and serum adiponectin are shown graphically in *figure 3*.

397

### 398 ***Linear Regression Multivariate Analyses for the ProFiMet Cohort***

399 Given the central role played by adiponectin as a regulator of metabolic status, and  
400 the excellent utility of adiponectin as an indicator of metabolic status, we chose  
401 serum adiponectin as a dependent variable for linear regression multivariate  
402 analysis. In addition to *AMY1* CN, we used a carefully selected panel of metabolic  
403 markers from the ProFiMet cohort as independent variables, to provide a detailed  
404 assessment of metabolic status. These included age, BMI, total fat volume,  
405 intrahepatic lipid content, whole body insulin resistance, hepatic EGP, fasting  
406 glucose, fasting insulin, HbA1C, serum triglycerides, CRP, Plasminogen Activator  
407 Inhibitor-1 (PAI-1) and FGF-21. Linear regression multivariate analysis revealed a  
408 significant independent association of serum adiponectin with *AMY1* CN (Beta=0.29;  
409 P=0.03), and with age (Beta=0.60; P<0.0001). There were no other significant  
410 independent associations between serum adiponectin and any other independent  
411 variable. Following a repeat linear regression multivariate analysis with serum  
412 adiponectin as a dependent variable, and with the addition of gender as an  
413 independent variable, revealed significant independent associations of serum  
414 adiponectin with *AMY1* CN (Beta=0.26; P=0.046), age (Beta=0.59; P<0.001) and  
415 gender (Beta=0.35; P=0.01). Interestingly, one-way ANOVA showed no relationship  
416 of *AMY1* CN with gender (P=0.19) or BMI (P=0.4).

### 417 ***Independent t-test Comparisons for the Oral Starch Challenge Cohort***

418 For the OSC cohort, the high *AMY1* CN subgroup had a lower mean fasting blood  
419 glucose concentration than the low *AMY1* CN subgroup (4.9mmol/l [SEM 0.14] vs  
420 5.8mmol/l [SEM 2.9] respectively,  $p=0.011$ ). Delta change (mean) in blood glucose  
421 concentration from fasting to 30-min post-OSC was significantly greater in the high  
422 *AMY1* CN subgroup compared with the low *AMY1*CN subgroup (mean 1.7mmol/l  
423 [SEM 0.6] vs 0.9mmol/l [SEM 0.9] respectively,  $p = 0.016$ ).

424

### 425 **Discussion**

426 In this most highly phenotyped ProFiMet cohort, our study is the most  
427 comprehensively reported on to date regarding association of human *AMY1* CN with  
428 metabolic and dietary parameters. We demonstrate that high *AMY1* CN associates  
429 significantly with a favourable metabolic profile, including lower visceral fat volume,  
430 higher serum levels of adiponectin and HDL-cholesterol, and enhanced glucose  
431 absorption following an oral glucose load. We also demonstrate important negative  
432 outcomes, including absence of any association between *AMY1* CN and whole-body  
433 insulin resistance, hepatic EGP, macronutrient preference or pattern of fecal flora.  
434 Our data reveal pronounced plasma glucose excursions following a glucose load in  
435 those with a high *AMY1* CN, without differences in hepatic EGP or measures of  
436 insulin sensitivity. Furthermore, high *AMY1* CN associated with a more pronounced  
437 blood glucose excursion following OSC in a separate healthy cohort. These data are  
438 consistent with co-evolution of high *AMY1* CN (with attendant enhanced salivary  
439 digestion of starch to maltose, and thereafter absorbable glucose monomers), with  
440 optimized efficiency of glucose absorption across the upper GI tract. Further studies  
441 should explore the underlying mechanisms that influence glucose absorption

442 efficiency, and potential role for *AMY1* CN and other (potentially haplotypic) genetic  
443 variants in this process.

444

445 The ddPCR is a relatively new technique in which the PCR is executed in a multitude  
446 of tiny droplets held in oil suspension, as opposed to conventional PCR which is  
447 performed in a single solution. One advantage of ddPCR is that only small amounts  
448 of DNA are required. This is evidenced by the fact that in our study, sufficient DNA  
449 was extracted from urine and serum samples, in which there were very low yields of  
450 DNA. To validate our data, a rigorous procedure involving two totally different  
451 methods, microsatellite typing and the PRT were adopted. Agreement was generally  
452 excellent amongst data derived from these three separate types of test, with only  
453 eight samples giving half the expected values in the strictest interpretation of the  
454 microsatellite data. The excellent agreement amongst the data derived from the  
455 three methods employed, justifies our decision to use ddPCR in the determination of  
456 *AMY1* CN in the ProFiMet samples.

457

458 Although BMI is limited as a reliable indicator of underlying metabolic status, most  
459 studies reported to date have focused solely on association between *AMY1* CN and  
460 BMI. As discussed earlier, one of the most definitive and highly powered genetic  
461 studies in the field, reported on *AMY1* CN in >6,000 subjects and demonstrated a  
462 reciprocal association of *AMY1* CN with BMI, and direct correlations between *AMY1*  
463 CN, amylase gene expression and serum levels of amylase (16). Our study is unique  
464 amongst the reported literature on *AMY1* CNV, in providing a much more detailed  
465 and comprehensive assessment of metabolic status than BMI can provide. This  
466 includes the first reported measures of visceral fat volume, whole-body insulin

467 resistance and hepatic EGP in the context of *AMY1* CN. Although a trend for  
468 reciprocal association between *AMY1* CN and BMI was shown, this just failed to  
469 reach statistical significance. A likely explanation is that the ProFiMet cohort only  
470 included overweight or obese, without normal weight participants.

471

472 There remains controversy within the literature regarding association between *AMY1*  
473 CN and BMI. Variation in dietary preferences (including dietary starch ingestion)  
474 between populations studied may explain some of the differences in strength of  
475 association between *AMY1* CN and BMI. In a large Swedish cohort, *AMY1* CN had a  
476 significant *direct* association with BMI in those subjects with high starch intake (1,  
477 33), likely mediated through enhanced digestion of starch, and absorption of glucose  
478 from a starchy diet in those with a higher *AMY1* CN, thereby increasing susceptibility  
479 to weight gain (1, 33). In contrast, our data refute any important role for dietary  
480 starch, or other macronutrient preference in the mediation of association between  
481 *AMY1* CN and metabolic status, including BMI. Our data also refute the notion that  
482 *AMY1* CN mediates metabolic influence through changes in colonic undigested  
483 starch, as we showed no association of *AMY1* CN with changes in gut microbiota,  
484 colonic fermentation rates or levels of colonic SFAs (1, 21, 34).

485

486 In one study, *AMY1* CN correlated directly with a more pronounced excursion of  
487 insulin within the early postprandial phase ('pre-absorptive' or 'Cephalic Phase  
488 Insulin Response' [CPIR]) following starch ingestion, and lower postprandial glucose  
489 responses (9). Oral sensory stimulation triggers CPIR, the 'anticipatory' phase of  
490 digestion, mediated by autonomic mechanisms (35). CPIR primes the body for  
491 efficient digestion and assimilation of ingested nutrients, and is required for normal

492 postprandial glucose tolerance and prevention of dysglycemia (9, 12). A higher  
493 *AMY1* CN may associate with greater metabolic efficiency, particularly with regard to  
494 oral starch handling. In a further study, enhanced CPIR associated with salivary  
495 amylase levels (9). In people with high levels of salivary amylase, activation of  
496 lingual taste receptors may occur through orally released carbohydrate, thereby  
497 triggering CPIR through an autonomic process (1, 9).

498

499 We demonstrated an enhanced response of insulin following OGTT in those with  
500 high *AMY1* CN in the ProFiMet cohort. However, this rise in the level of serum insulin  
501 following oral glucose ingestion occurred in response to enhanced early glucose  
502 absorption in those with a high *AMY1* CN. This insulin response to oral glucose  
503 ingestion therefore appears to be separate from any *independent* effect of *AMY1* CN  
504 on pancreatic insulin response, hepatic EGP or insulin sensitivity, and therefore  
505 seems unlikely to be mediated directly through CPIR. It is important to highlight that  
506 there was only a *transient* difference in early post-prandial plasma glucose levels  
507 following OGTT according to *AMY1* CN in the ProFiMet cohort. Although this  
508 transient early postprandial rise in plasma glucose in those participants with a higher  
509 *AMY1* CN may confer metabolic risk, we hypothesize that a more likely scenario is  
510 that the associated pronounced early postprandial rise in serum insulin in those with  
511 a higher *AMY1* CN in the context of equivalent hepatic glucose output and insulin  
512 sensitivity (according to *AMY1* CN), would be expected to drive postprandial cellular  
513 uptake of glucose and confer other metabolic benefits. Our data are therefore  
514 consistent with association of higher *AMY1* CN with a favourable metabolic profile.  
515 However, further studies are required to explore and clarify the longer-term metabolic

516 implications of *AMY1* CN, particularly regarding postprandial glucose handling and  
517 cellular effects of insulin response.

518

519 Consistent with the data from the ProFiMet cohort, our data from the participants  
520 who underwent OSC also showed a more pronounced glucose excursion for the  
521 subgroup with a higher *AMY1* CN. In contrast however, data from a study reported  
522 by Tan and colleagues on healthy men (n=75) from a multi-ethnic Asian population,  
523 showed no significant association between *AMY1* CN and glycaemic response to rice  
524 ingestion (36). Interestingly, mastication parameters (number of chews and chewing  
525 time per mouthful) did associate with glycaemic response to ingested rice (36). A  
526 further study was reported by Heianza and colleagues on genetic variants in *AMY1*  
527 and *AMY2* CN in >690 overweight and obese participants, randomly assigned to  
528 diets varying in macronutrient content (37). In this study, although changes in body  
529 weight and waist circumference were significantly different according to *AMY1-AMY2*  
530 rs11185098 genotype, at 24-months follow-up there was no significant association  
531 between fasting plasma glucose level and *AMY1-AMY2* genotype (37). Further  
532 studies are required to explore the association between *AMY1* CN and glucose  
533 homeostasis, including fasting glucose and glycaemic response to starch ingestion.  
534 Future research should focus on the mechanisms whereby *AMY1* CN influences  
535 starch digestion, glucose absorption and early insulin release, and how these  
536 complex mechanisms contribute to blood glucose excursions following ingestion of  
537 carbohydrates, including starch.

538

539 Similar to data from other reported studies, we demonstrated a significant positive  
540 association between *AMY1* CN and serum levels of HDL-cholesterol (38) and

541 adiponectin (39). Although speculative, serum amylase may confer cardio-protective,  
542 anti-inflammatory and insulin-sensitising properties (40). However, our assessment  
543 did not enable any exploration of underlying mechanisms, and it is not possible to  
544 infer any causal association between *AMY1* CN and HDL-cholesterol and  
545 adiponectin. However, adiponectin and HDL-cholesterol are both known to confer  
546 cardio-metabolic benefits (1). Furthermore, we demonstrated an independent and  
547 direct association between *AMY1* CN and serum levels of adiponectin. We therefore  
548 provide evidence for promotion of an association between high *AMY1* CN and a  
549 favourable cardio-metabolic profile.

550

551 Our study does have some limitations including its cross-sectional design, thereby  
552 precluding any exploration of association between *AMY1* CN and temporal migration  
553 of metabolic indices. Furthermore, a lack of data on either salivary or serum amylase  
554 concentrations limited potential insights implicating these factors in the mediation of  
555 association between *AMY1* CN and metabolic status. Our ProFiMet cohort only had  
556 *AMY1* CN ranging between 2 and 10 copies, rather than the full range of copies  
557 (n=2-27) that has been reported in human populations (1). A likely explanation is that  
558 the ProFiMet cohort is relatively homogeneous, with Caucasian participants from the  
559 German Berlin-Potsdam region, with BMI restricted to the overweight and obese  
560 ranges. Furthermore, the OSC cohort had a relatively restricted range of *AMY1* CN  
561 ranging between 4 and 12. A likely explanation is the ethnic homogeneity of the OSC  
562 cohort, with all OSC participants being white and of British/Irish origin. Finally, we  
563 used FisH analyses rather than shotgun metagenomics for gut microbiota  
564 assessment. Therefore, our conclusions regarding lack of differences in gut  
565 microflora according to *AMY1* CN should be tempered accordingly. Further studies



566 are required across wider cohorts, to explore associations between *AMY1* CN and  
567 fecal floral signature, using gold standard methodologies.

568

569 To summarize, we demonstrate significant direct associations between *AMY1* CN  
570 and serum adiponectin, HDL-cholesterol and efficiency of glucose absorption  
571 following both oral glucose load and OSC. We also show reciprocal association  
572 between *AMY1* CN and visceral fat volume. We exclude any mediating effects of  
573 macronutrient food preference, insulin resistance or fecal floral signature on the  
574 associations between *AMY1* CN and metabolic status. In those subjects with a  
575 higher *AMY1* CN, a more pronounced glucose excursion following an oral glucose  
576 load, without concomitant changes in whole-body or hepatic IR, strongly suggests  
577 improved efficiency of glucose *absorption* across the upper GI tract. We hypothesize  
578 co-evolution of enhanced salivary starch digestion (through increased *AMY1* CN),  
579 with genetic determinants of enhanced upper GI glucose absorption, and early  
580 postprandial insulin release. As such, postprandial metabolic efficiency through  
581 optimized glucose absorption across the upper GI tract, and efficient assimilation of  
582 absorbed glucose through early insulin release, would complement early enhanced  
583 salivary starch breakdown (in those with higher *AMY1* CN). In short, we hypothesize  
584 that key physiological aspects of starch digestion, glucose absorption and  
585 assimilation, are connected through genetic and/or other mechanisms. This model  
586 should be used as a focus for future research, to gain further insight into the  
587 mechanisms that mediate association between human *AMY1* CN and metabolic  
588 status.

589

590

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594

595 **Statement of Authors' Contributions**

596 This research study protocol was designed by TMB, DB, DBR and MOW. Execution  
597 of the study was led by AAB, PJDE and DB, with substantial input from SPB and RC.

598 Data analysis was conducted by TMB, DB and MOW. All authors contributed to the  
599 preparation of this manuscript, and write-up was mainly coordinated by TMB with

600 input from DB, DJC, AFP, DBR and MOW. All authors have read and approved this

601 manuscript, including its final version.

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**Table 1: Pearson Correlation Coefficients with AMY1 CN**

(Data from ProFiMet cohort, n=80; P<0.05 is statistically significant, marked with\* and highlighted in bold type; NS=non-significant; SIB=stable isotope basal; SISS=stable isotope steady state)

Variable	Correlation Coefficient	P-value
<b>Body composition</b>		
BMI	-0.214	0.057
Fat Mass (BodPod)	-0.085	NS
Lean Mass (BodPod)	-0.216	0.055
Total Fat Volume	-0.070	NS
Subcutaneous adipose tissue (umbilical)	0.025	NS
<b>Visceral and hepatic indices</b>		
Total Visceral Fat Volume	-0.330	<b>0.004*</b>
Visceral adipose tissue (umbilical)	-0.324	<b>0.004*</b>
Intrahepatic Lipid Content	-0.094	NS
Hepatic Endogenous Glucose Production (SIB)	-0.047	NS
Hepatic Endogenous Glucose Production (SISS)	-0.095	NS
<b>Biochemical metabolic markers</b>		
Fasting Glucose	0.003	NS
Fasting Insulin	-0.141	NS
OGIS (OGTT-derived)	0.259	<b>0.02*</b>
Whole-body insulin resistance	0.129	NS
HOMA-IR	-0.128	NS
Insulin Sensitivity Index	0.114	NS
HbA1C	-0.126	NS
Serum Total Cholesterol	0.091	NS
Serum HDL Cholesterol	0.325	<b>0.003*</b>
Serum triglycerides	-0.110	NS
Serum LDL Cholesterol	0.001	NS
NEFA	0.001	NS
<b>Inflammatory markers and adipokines</b>		
Serum CRP	-0.137	NS
Serum PAI-1	-0.04	NS
Serum Interleukin 10	0.070	NS
Serum FGF-21	-0.028	NS
Serum adiponectin	0.249	<b>0.026*</b>
<b>Appetite hormones</b>		
Serum ghrelin	0.227	<b>0.044*</b>
Serum acylated ghrelin	0.158	NS
Serum leptin	0.077	NS
<b>Macronutrient Intake</b>		
Alcohol intake	-0.200	NS
Total energy intake	-0.155	NS
Total fibre intake	0.129	NS
Soluble fibre intake	0.107	NS
Insoluble fibre intake	0.174	NS
Magnesium intake	-0.130	NS
Protein intake	-0.200	NS
Red meat intake	-0.102	NS
Fish intake	0.110	NS
Milk intake	-0.131	NS
Legume intake	0.021	NS
Egg intake	-0.078	NS
Poultry intake	-0.116	NS
Carbohydrate intake	-0.135	NS
Cholesterol intake	-0.110	NS
PUFA intake	0.041	NS
MUFA intake	-0.052	NS
SFA intake	-0.121	NS

## Figure Legends

**Figure 1:** Flowchart of DNA extractions and analyses, including validation of the ddPCR technique

*OSC=oral starch challenge; mus=muscle; PRT=Paralogue Ratio Test; ddPCR=droplet digital polymerase chain reaction; AMY1= human salivary amylase gene; CN=copy number; EDTA=Ethylenediaminetetraacetic acid*

**Figure 2:** Histogram showing the distribution of *AMY1* CN amongst the ProFiMet Cohort

**Figure 3:** Associations between *AMY1* CN and total visceral fat volume, umbilical visceral fat volume, OGIS score, serum fasting HDL-Cholesterol and serum adiponectin amongst the ProFiMet Cohort (mean values and standard error of the mean [SEM])

*AMY1: The human salivary amylase gene*

*CN: Copy Number*

*HDL: High Density Lipoprotein*

*OGIS: Oral Glucose Insulin Sensitivity*