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Air mass trajectories and land cover map reveal cereals and oilseed rape as major local
 sources of *Alternaria* spores in the Midlands, UK.

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10 Abstract

11 Transport of Alternaria spores from both local agricultural and remote areas have been 12 implicated as sources of the spores in urban areas. The purpose of this study was to understand the relative contribution of local sources versus long distance transport to Alternaria spore 13 14 concentrations, with applicability to Alternaria and other spore sampling sites worldwide. This 15 was achieved by comparing two spore sampling sites at Worcester and Leicester in the UK ~90 km apart over a three year period (2016-2018) and focusing on a period of time when both sites 16 experienced high spore counts. The study found 61 and 151 days of clinical significance (>100 17 18 spores/m³ air) at Worcester and Leicester, respectively. The spore concentrations were considerably higher in Leicester than in Worcester. Analysis of the crop map showed higher 19 20 amounts of winter barley and oilseed rape near to Leicester than Worcester. HYSPLIT modelling during the episode revealed that the air masses arrived at both Leicester and 21 Worcester from Ireland and the Atlantic Ocean. Long distance transport probably had a small 22 23 but equal contribution to the observations at both sites. HYSPLIT particle dispersion simulations showed that the spores were dispersed and deposited from local sources. The 24

results indicate that substantially higher concentrations of *Alternaria* spores will be realised in
areas with high amounts of cereals and oilseed rape, here illustrated with Leicester, compared
to a region, illustrated with Worcester, with fever crop areas and higher amounts of other crops
than cereals and oilseed rape.

29 Keywords: Harvesting, Alternaria, HYSPLIT, Pathogen, Allergen.

30 **1.0 Introduction**

Alternaria is a saprophytic fungus of the phylum Ascomycota (Woudenberg et al., 2015). It is 31 32 a ubiquitous fungus found in water, soil, air and on decaying matter (Nowicki et al., 2012; Thomma, 2003). Airborne Alternaria spores are known to cause allergy and can trigger asthma, 33 rhinitis, bronchitis, eczema, and alveolitis in susceptible individuals (Gabriel et al., 2016; 34 35 Pastor & Guarro, 2008; Pulimood et al., 2007; Singh & Denning, 2012). In the UK, the prevalence of sensitization to Alternaria spores is 7.3% (Bousquet et al., 2007). The threshold 36 37 for determining a high Alternaria day is most commonly taken to be 100 spores/m³ (Gravesen, 1979), although an earlier study suggested it to be as low as 50 spores/m³ (Frankland & Davies, 38 1965). The relative abundance of airborne spores in urban areas is strongly influenced by 39 40 geographic location, climate and land use (Haberle et al., 2014). Land use patterns especially 41 crop production in the outskirts may enhance the spore abundance in nearby urban areas, thereby increasing exposure (e.g. Skjøth et al., 2012). The geographical variation in crop 42 43 production and its relationship with airborne Alternaria spore concentrations is therefore 44 important in relation to understanding the exposure to spores.

45 Alternaria is also a common plant pathogen (Rotem, 1994). The Alternaria genus has more 46 than 300 species (Seifert & Gams, 2011) and some species are pathogenic both during the 47 growing and postharvest stages (Nowicki et al., 2012; Seifert & Gams, 2011). Agricultural 48 yield losses caused by Alternaria diseases has been estimated at over 80% in several years of

production (Nowicki et al., 2012; Maude and Humpherson-Jones, 1980). For instance, A. solani 49 50 causes early blight in potatoes and tomatoes (Escuredo et al., 2011), A. triticina and A. 51 infectoria infect wheat, A. dauci affects carrots, A. brassicae and A. brassicicola infect crucifers and A. alternata affects fruits (Lee et al., 2015; Logrieco et al., 2009). Spores from 52 Alternaria-infected vegetation have often been shown to be transported across regions and 53 continents and have the potential to cause infection in such new environments (Burshtein et al., 54 55 2011; Sadyś et al., 2015; Skjøth et al., 2012). This mechanism of cross-continental atmospheric 56 spore transport and establishment in the new environment has previously been demonstrated 57 for other pathogens, ultimately causing substantial economic losses for the agricultural sector (Isard et al., 2005; Isard et al., 2007). 58

Pathological studies are typically focused on local scales due to factors of labour intensiveness, 59 the effects of other pathogens, and sensitivity to environmental conditions that are associated 60 61 with field studies (Chaerani & Voorrips, 2006). Consequently, there is limited information on 62 the spatial distribution of pathogens on a large biogeographical scale (Bégué et al., 2018). The fungal infection can develop rapidly due to its short reproductive cycles during the growing 63 season (Agriculture and Horticulture Development Board, 2014). The agricultural industry 64 considers early blight caused by A. alternata and A. solani to be the most significant foliar 65 disease in many continents (Agriculture and Horticulture Development Board, 2014). The 66 effect of spraying to manage the disease varies between the individual pesticides and the type 67 of infection (e.g. A. alternata or A. solani). As a result, farmers have limited possibility to 68 respond to any outbreaks of fungal diseases caused by the import of Alternaria spores from 69 70 distant sources.

In the UK, about 19% of the land is utilized for arable farming including wheat and barley.
Cereals, vegetables, and potatoes are the top most important crops in the UK, accounting for
more than £5 billion of agricultural production in 2014 (DEFRA, 2018). Combine harvesting

74 of cereals have previously been reported to release large amounts of Alternaria spores (Friesen et al., 2001; Hill et al., 1984; Skjøth et al., 2012). Understanding the spatial distribution of 75 cereal production in relation to airborne concentrations of Alternaria spores provides 76 77 information about both source and potential new host areas. Knowledge about source areas, atmospheric transport, and new host areas have previously been shown to be an efficient tool 78 in the management of developing diseases (Isard et al., 2007) as it allows the agricultural 79 80 industry to better prepare and manage important crop pathogens such as Alternaria. This aim of this study, therefore, was to understand the relative contribution of local sources verses long 81 82 distance transport to Alternaria spore concentrations, with applicability to Alternaria and other spore sampling sites worldwide. 83

84 **2.0 Materials and Methods**

85 **2.1 Sampling location**

Alternaria spores were sampled at the University of Worcester and the University of Leicester. 86 The trap at St Johns campus of the University of Worcester (hereafter referred to as Worcester) 87 was located 10 m above ground level (agl) on the roof of Edward Elgar building (52.1970, -88 89 2.2421) (e.g. Sadyś et al., 2014) while that of the University of Leicester (hereafter referred to 90 as Leicester) was located 12 m agl on the roof of the Bennett building (52.6231, -1.1227) (Pashley et al., 2009). Worcester and Leicester are ~90 km apart and are located in the West 91 92 and East Midlands regions of England, the UK, respectively (Fig. 1). Both sites are located in urban areas and surrounded by agricultural areas under rotation. The nearest crop fields to 93 Worcester trap are half a km away to the west while those for Leicester are within 5 km of the 94 95 trap.

96 **2.2 Spore sampling and analyses**

Daily and bi-hourly Alternaria spore concentrations for the period 1st March to 31st October 97 2016-2018 were obtained using Hirst-type volumetric spore traps of the Burkard model (Hirst, 98 1952). Alternaria spores were sampled and slides prepared according to standard procedures 99 used for over 50 years in England and other European countries (Skjøth et al., 2008, 2015; 100 Adams-Groom et al., 2002; Kasprzyk, 2008; Makra et al., 2010; Sadyś et al., 2014). Optical 101 microscopy is the traditional spore identification method although it requires considerable 102 103 expertise and it is constrained by overlap of morphological features among species (Grinn-Gofroń et al., 2016; Kaczmarek et al., 2009; Lawrence et al., 2016; Pashley et al., 2012). Hence, 104 105 Alternaria was identified to the genus level using an optical microscope based on size, shape, colour, and septa (Grant Smith, 1990; Simmons, 2007). 106

Slides at Worcester were counted using the 12 transverse method at X400 magnification (BAF, 107 1995; Käpylä & Penttinen, 1981) and those at Leicester were counted using the single 108 longitudinal method at X630 magnification (Corden & Millington, 2001; Fairs et al., 2010; 109 110 Sterling et al., 1999). Each counting approach generates daily values of atmospheric spore concentrations in agreement with minimum requirement (Galán et al., 2014) allowing for a 111 direct comparison of daily values between sites, independent of the counting approach (Skjøth 112 et al., 2016). Selected slides from Leicester were reanalysed using the 12 transverse method to 113 allow a comparable daily and bi-hourly analyses using the same counting technique at both 114 115 Leicester and Worcester. The 95% method was used to determine the spore seasons (Goldberg et al., 1988). Seasonal summaries of the season start and end, spore integral, duration, days 116 above 100 spores/m³, and peak concentrations were calculated for the years 2016-2018, 117 according to Galán et al. (2017). Analyses were conducted using R software (R Core Team, 118 2018). 119

120 2.3 Meteorological data

Meteorological data for Worcester was provided by a meteorological station co-located with the spore sampler. Leicester City Council Air Quality group whose weather station is located 5 km from Leicester spore trap provided the meteorological data for Leicester. The half-hourly weather data for Worcester and hourly data for Leicester were independently averaged to provide the daily weather data for each station in order to match the daily concentrations of *Alternaria* spores during the periods of interest.

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128 **2.4 Potential** *Alternaria* spore sources

Potential source areas for Alternaria spores were based on crop maps produced by the Centre 129 for Ecology and Hydrology in collaboration with the National Environment Research Council 130 131 and the weekly harvest progress reports produced by Agriculture and Horticulture Development Board (Agriculture and Horticulture Development Board, 2019). The crop map 132 is a field-based land cover map, where the crop type has been identified using Copernicus 133 Sentinel-1 C-band synthetic aperture radar and Sentinel-2 satellite optical images. The map 134 covers Great Britain with a minimum mapping unit of two hectares and an overall accuracy of 135 136 86% with respect to identifying the correct crop type. The crops include winter wheat 137 intercropped with oat, winter barley, spring wheat, spring barley, maize, field beans, oilseed rape, potatoes, beet and other crops (CEH, 2018). 138

In this study, the crop map for 2017 (Fig. 1) was utilized to evaluate the potential source areas of *Alternaria* spores in Worcester and Leicester since crop-producing areas have already been reported as major hosts of *Alternaria* spores (O'Connor et al., 2014; Skjøth et al., 2012). The land cover data was downloaded from the EDINA digimap website (EDINA, 2018). The crop map was analysed using the Analysis tools extension of ArcGIS v.10.5.1. A radius of 30 km from the sampling trap was extracted as representative of the land cover following previous aerobiological studies that suggest that the overall spore load in a region is mainly from local
sources with intermittent long-distance transport from remote sources (Avolio et al., 2008;
Isard et al., 2007; Isard et al., 2005; Skjøth et al., 2009; Smith et al., 2008). Daily *Alternaria*spore concentrations during the period 27 Jul-1 Aug 2017 and 2-7 Aug 2017 were cumulated
and averaged to match the weekly crop harvest reports. The relationship between the weekly
crop harvest and airborne spore concentrations were examined.

151 **2.5 Back-trajectory calculations**

152 Back-trajectories were calculated using the HYSPLIT model and the Global Data Analysis System (GDAS) meteorological data of the Air Resources Laboratory (ARL) (Draxler et al., 153 2016; Stein et al., 2015). The finest resolution of 0.5° x 0.5° was used as HYSPLIT model 154 155 calculations generally are sensitive to the grid resolution of the input data (Bilińska et al., 2017; 156 Hernández-Ceballos et al., 2014). Back-trajectories of 24 hours with a 2-hour step between trajectories, corresponding to the time of *Alternaria* spore observation, were calculated for the 157 entire spore season of 2016, 2017 and 2018 for Worcester and Leicester, as previously applied 158 by Fernández-Rodríguez et al. (2015) and Skjøth et al. (2012). Back trajectories were 159 calculated at a receiving height of 500 m agl, an approach commonly used in aerobiological 160 studies (Fernández-Rodríguez et al., 2014, 2015; Sadyś et al., 2015; Skjøth et al., 2012; Stach 161 et al., 2007). 162

The back-trajectories from each site were sorted into two groups: (i) days with daily mean *Alternaria* spore concentration above 100 spores/m³ of air (high days) and (ii) days with spore concentration below 100 spores/m³. This method follows that of Skjøth et al. (2009) and Sadyś et al. (2014), where trajectories for the entire observational period and those for high days alone are both analysed with a HYSPLIT model. Group (i) of high days for each site and year were further analysed considering back-trajectories of air masses that passed via Worcester to

Leicester- here choosing a 30 km distance corresponding to the typical biogeographical 169 coverage of the pollen trap, according to a methodology described by Skjøth et al. (2008). The 170 171 trajectories for each site and year were sorted using ArcGIS 10.5.1. The most severe days with back-trajectories of air masses that passed via Worcester to Leicester formed the episode. The 172 daily spore concentrations from both sites during the episode were then analysed and 173 compared. This comparison explored if the daily spore concentrations at Leicester during the 174 175 episode were higher compared to Worcester when air masses passed via Worcester. The episode was further analysed using bi-hourly spore data from both sites and a density map 176 177 based on particle dispersion modelling using the HYSPLIT model.

178 2.6 Backward particle dispersion modelling

179 Particle dispersion modelling was conducted to ascertain whether Alternaria spores released 180 from their different sources were dispersed and deposited within the sampling sites of Worcester and Leicester. HYSPLIT model was used to simulate the dispersion of particles 181 182 (Draxler et al., 2016; Stein et al., 2015) during the episode. The model was set to release 2500 particles of 19 µm (McCartney et al., 1993) at 100 m agl for 24 hrs with 2 hrs step between 183 each trajectory corresponding with the time of Alternaria spore observation, similar to the 184 studies by Skjøth et al. (2012) and another by de Weger et al. (2016) and taking into account 185 both dry and wet deposition. 186

Settling velocity (fall speed or terminal velocity) of pollen and spores plays an important role in their dispersal and deposition. Settling velocities are therefore important in modelling particle dispersion (Di-Giovanni et al., 1995; McCartney & West, 2007). In this study, the HYSPLIT particle dispersion model was set at *Alternaria* spore settling velocity of 0.55 cm/s as determined by Gregory (1961) and McCartney et al. (1993). The HYSPLIT model setup covers simulations for both dry and wet particle deposition that takes into account both in-

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cloud and below cloud scavenging. The model output is the geographical location and height 193 of each particle in the calculated particle cloud. This cloud is available for every 2 hr time step. 194 195 All data were further analysed using point density method in the Spatial Analyst tool of ArcGIS v. 10.5.1 to produce density maps of the entire episode as well as the day with the largest 196 difference in spore concentration between the two sites. The trajectories for the day with the 197 largest difference in spore concentration between the two sites were further analysed and the 198 199 percentage of particles dispersed below and above a trajectory height of 500 m was done, in a similar way as de Weger et al. (2016). 200

201 **3.0 Results**

202 **3.1** Annual and seasonal *Alternaria* spore concentrations

Leicester recorded higher Annual and Seasonal Spore Integrals than Worcester throughout the 203 204 three years of observation (Fig. 2). For instance, Leicester recorded seasonal spore integral five 205 times greater than Worcester in 2017 (Table 1). Furthermore, Leicester (151 days) recorded more high days than Worcester (61 days) in the three years of observation. Alternaria spore 206 season at Worcester started in early June and ended late September. Meanwhile, the season in 207 208 Leicester started either in early May or June and ended late September or early October. Whereas the two sites had comparable season duration in 2017, Leicester had a longer season 209 (by 31 days) in 2018. The maximum daily spore concentrations at Leicester were considerably 210 higher than those at Worcester during the three years of observation. For instance, a maximum 211 daily peak of 3700 spores/m³ was recorded at Leicester while Worcester observed 796 212 213 spores/m³ in the 2017 season.

214 **3.2 Spore concentrations during the episode: 27 July-7 August 2017**

During the episode, the daily mean *Alternaria* spore concentration at Worcester ranged from
low to high (10-273 spores/m³) while Leicester maintained a high spore concentration of above

100 spores/m³ (176-659 spores/m³) (Table 2). Dispersal of *Alternaria* spores at both sites
mainly occurred from the afternoon (12:00 hr) to late evening (22:00 hr) (Fig. 3). Leicester
observed two peaks of comparable spore concentrations at 13:00 hr and 21:00 hr while
Worcester recorded a minor peak at 13:00 hr and the major one at 21:00 hr.

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3.3 Trajectory calculations, weather synopsis, and potential source areas

223 3.3.1 Trajectory calculations and weather synopsis

The meteorological observations show that there was an oscillation of a high- (1016 hPa) and 224 low-pressure system (998 hPa) during the episode. The trajectories show that this caused the 225 226 air masses to be pushed from the Atlantic Ocean and Ireland and in a SW to NE direction (Fig. 227 4) towards Wales and England (Worcester and Leicester). The winds blew at an average speed range of 1.9-4.4 m/s and in the WSW direction (average 255°) on arrival at the Worcester trap. 228 229 During the same period, Worcester received a light amount of precipitation (0.05-1.6 mm/hr) for 11 days of the episode except on 06 Aug 2017. The temperature at Worcester was recorded 230 in the range of 15-17 °C (Supplementary Table 1a). During the same episode, Leicester 231 232 experienced a similar range of daily average temperatures (13-17 °C) as Worcester (Supplementary Table 1b) but received no precipitation. Winds blew in a SSW direction 233 (average 186°) and at a lower speed (1.2-2.1 m/s) than for Worcester on their arrival at Leicester 234 235 trap.

236 **3.3.2 Potential sources of** *Alternaria* spores

Crops located along the path of the air masses are possible sources of *Alternaria* spores at
Worcester and Leicester. Statistical analyses of the crop map within a radius of 30 km from
Worcester and Leicester spore traps (Table 3) show that crop density at Leicester (114,844 ha)

was considerably greater than that at Worcester (86,739 ha). Furthermore, Leicester (73,312 240 ha) had more cereals cultivated than Worcester did (54,164 ha). Cereals like winter wheat 241 intercropped with oat and winter barley and non-cereal like oilseed rape were the most 242 cultivated crops at both sites. The crop harvest progress report 2017 (Fig. 5) shows a gradual 243 increase in the harvest of winter barley and winter oilseed rape which similarly results in a 244 gradual increase in spore concentrations at Leicester and Worcester during the episode. 245 246 However, the cumulative spore concentrations at Leicester were higher than those at Worcester. Meanwhile, there was a minimum harvest of the other crops like the winter wheat, 247 248 spring barley, and oats during the period (Fig. 5).

249 **3.4 Particle dispersion during the episode**

The density maps (Fig. 6a and b) of the particles dispersed during the episode reveal that the particles were dispersed from similar directions (SW) before their deposition at Worcester and Leicester traps. For Worcester, the highest concentration of particles (14,134-23,554) was dispersed from the SW-W direction, particularly in the areas of Leigh, Hereford, and Wales (Fig. 6a). The lowest concentration of particles (1-14,133) was dispersed from the NW-SW direction of Worcester, particularly within the Atlantic Ocean and Ireland.

For Leicester, the highest (13,634-22,721) concentration of particles was dispersed from the SW-W direction (Fig. 6b) while the lowest concentration of particles (1-13,633) was emitted from the NW-SW direction of Leicester. The possible places of origin of the highest concentration of particles before arrival at Leicester trap are the cereal and non-cereal farms outside the cities of Nuneaton, Coventry, Birmingham, and Redditch. The lowest particle concentrations were observed to have originated from the Atlantic Ocean, Ireland and Wales.

August 1st, 2017 was the date with the highest difference in daily *Alternaria* spore concentration between the two sites during the episode. Although on this date the density map

for the particle clouds (Fig. 7a and b) revealed that the air masses moved in SW direction 264 towards the spore traps of both Worcester and Leicester, Leicester received 6 times more spore 265 266 concentration (659 spores/m³) than Worcester did (115 spores/m³). Geostatistical analyses of particle clouds deposited at Worcester revealed that 30% of the particles were dispersed and 267 deposited from England and Wales, 21% in Ireland and 49% in the Atlantic Ocean (Table 4). 268 Thirty-five percent of the particles were dispersed at a height below 500 m (Table 5). For 269 270 Leicester, 40% of the particles were dispersed and deposited within England and Wales, 22% in Ireland and 38% in the Atlantic Ocean (Table 4). Thirty-one percent of the particles were 271 272 dispersed at a height below 500 m (Table 5).

273 4.0 Discussion

274 The study supports the hypothesis that cereal-producing areas within a 30 km radius were likely sources of airborne Alternaria spores in urban areas of Worcester and Leicester during spore 275 season. However, Leicester recorded considerably higher annual Alternaria spore 276 concentrations than Worcester did throughout the three seasons. This high annual spore 277 278 concentration at Leicester could be attributed to several factors including larger geographical 279 coverage of croplands acting as host of *Alternaria* within the local source area of Leicester's trap, human activities e.g. harvesting of crops and environmental factors (Mitakakis et al., 280 2001; Sabariego et al., 2012; Skjøth et al., 2012). Moreover, the two study sites show distinct 281 differences in spore concentrations across the sampling years. This could be attributed to 282 environmental conditions such as weather and anthropogenic activities e.g. farming (Calderón 283 et al., 1997; Corden et al., 2003; Stennett & Beggs, 2004). 284

Some previous studies also reported extremely high *Alternaria* spore concentrations. For instance, Stępalska et al. (1999) reported an annual *Alternaria* load of 32,000 and Grewling et al. (2018) reported a seasonal load of over 46,000 all in Poznan, Poland. Angulo-Romero et al.

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(1999) and Bartra et al. (2009) also observed annual spore loads of over 20,000 and 27,000, 288 respectively in Spain. Corden and others observed seasonal Alternaria spore loads of over 289 35,000 in 1992 and 1996 (Corden et al., 2003) and over 50,000 in 1997 in Derby (Corden & 290 Millington, 2001), which are comparable to the seasonal values observed in Leicester. With 291 the right climatic and weather conditions, such high annual and seasonal loads can result in 292 293 some *Alternaria* spores being dispersed to new and uninfected geographical areas thus leading 294 to widespread infection (Savage et al., 2012), as was the case with soybean rust (P. meibomiae and P. pachyrhizi) in the United States (Isard et al., 2005, 2007). 295

Cereal crop harvesting has long been associated with the emission of large amounts of 296 Alternaria spores (Corden et al., 2003; Friesen et al., 2001; Hill et al., 1984; Skjøth et al., 2012). 297 Cereals e.g. wheat (Nicolaisen et al., 2014; Uddin & Chakraverty, 1996) and barley (Müller & 298 Korn, 2013) have shown high infection rates with Alternaria both in-field and in post-harvest 299 300 stages. Oilseed rape is a non-cereal Alternaria host crop (Kumar et al., 2014; Giamoustaris & Mithen, 1997). In this study, analysis of crop density revealed that cereals were the most 301 dominant crops cultivated in both Worcester and Leicester. However, Leicester (73,312 ha) 302 had more hectares cultivated with the cereals than Worcester did (54,164 ha). Oilseed rape was 303 304 also found to be grown abundantly at Worcester (11,494 ha) and more extensively at Leicester (23,154 ha). The harvest progress report 2017 showed that the progressive harvesting of winter 305 306 barley and winter oilseed rape coincided with an increase of spore concentrations at Leicester and Worcester. This suggests that winter barley and winter oilseed rape were likely an 307 important contributing source of the high concentration of Alternaria spores during the spore 308 season in Worcester and Leicester urban areas. However, Leicester recorded higher 309 310 concentrations than Worcester. This could be caused by the observed higher density of crops near the Leicester trap compared to the Worcester trap. However, a contributing factor may be 311 that the agricultural areas near to Leicester may have a higher emission potential, either caused 312

by local environmental conditions or that some crops may possess a very high emission 313 potential. Previously, Mitakakis et al. (2001), in an experimental study, found a correlation 314 315 between Alternaria spore concentrations recorded in towns with those recorded in the field during harvesting of wheat and cotton crops. In Spain, several crops and orchards harvested 316 during the summer were also found to be important sources of Alternaria spores during the 317 season (Fernández-Rodríguez et al., 2015). In the UK, potatoes and field beans are harvested 318 319 in autumn and early winter (Agriculture and Horticulture Development Board, 2015; Processors and Growers Research Organisation, 2016). This shows that potatoes and field 320 321 beans were unlikely contributors to the high concentration of Alternaria spores recorded in Worcester and Leicester during the cereal harvest period (July-August) although they are 322 known hosts of Alternaria (Abd-El-Kareem, 2007; Landschoot et al., 2017). Although the 323 324 cereals and oil-seeds are cultivated using rotational system in the Midlands region of Leicester and Worcester (Sadyś et al., 2015; Skjøth et al., 2016), crop rotation probably had a minimum 325 and equal effect on spore concentrations at the two cities. Crop rotation may be expected to 326 impact *Alternaria* spore emission from a few individual fields due to a change in crop types. 327 However, within a larger geographical area, the abundance of specific crops varies to a smaller 328 degree. According to Eurostat (https://ec.europa.eu/eurostat/data/database), the amount of 329 areas cultivated with cereals in East Midlands was 466, 462 and 458 thousand hectares. 330 Furthermore, Alternaria is difficult to be solely controlled by rotation since it has soil, 331 332 seed/plant and airborne life cycle stages (Chaerani & Voorrips, 2006; Escuredo et al., 2011). Besides, growers tend to shorten rotation periods due to production demands (Pryor et al., 333 1998) which can result in continued habitation and release of Alternaria spores in an area. 334 Moreover, annual changes in crop rotational areas cause changes in crop maturation resulting 335 in varying concentrations and time series of Alternaria spores in different areas (Skjøth et al., 336 2016). Consequently, some high *Alternaria* spore concentrations can be observed beyond the 337

main harvest period. Previously, Sadyś et al. (2015) produced a map showing large areas of permanent crops e.g. orchards in Worcester while Leicester had large areas of crops under rotation. Therefore, this study suggests that harvesting of cereals and oilseed rape could be a cause of elevated concentrations of *Alternaria* spores.

342 A number of variables including gravitational settling, eddy-diffusion, temperature, precipitation, wind speed, wind direction, and atmospheric pressure system influence the 343 dispersal of fungal spores from their sources to distant places (Hirst et al., 1967). 344 Notwithstanding, atmospheric processes such as wet deposition and cloud processing in low-345 level clouds limit travel distances in the boundary layer thus reducing concentrations of 346 bioaerosols such as Alternaria spores in the air mass (Sesartic et al., 2012; Huffman et al., 347 348 2013). This study found that during the episode the air masses that arrived at Worcester and Leicester originated from the Atlantic Ocean and Ireland. Long distance transport probably had 349 a small but equal contribution to spore observations at both Worcester and Leicester. Besides, 350 351 the marine environment is known to contain low concentrations of fungal spores (Sadyś et al., 2014; Urbano et al., 2011; Elbert et al., 2007). The daily spore concentration during the episode 352 shows that Leicester recorded 5 times more spores than Worcester did. This suggests that the 353 354 high spore concentrations recorded at Leicester were probably contributed by local sources with strong emission potential. The low concentrations in Worcester were also likely from its 355 local sources that had a weaker emission potential. Fernández-Rodríguez et al. (2015) 356 investigated sources of Alternaria spores in Badajoz (Spain) and found that local sources of 357 crops and grasslands were the greatest contributors of spores in the area with supplements from 358 359 distant sources. Sadyś et al. (2015) also examined Alternaria spore sources in Worcester (UK) and found that local sources within West Midlands were the main contributors to total spore 360 load in Worcester. Both studies agree with ours, that local sources are a major contributing 361

source of *Alternaria* spores, while this study extends previous findings by identifying both
specific crop types and harvesting as likely causes to high spore concentrations.

The analysis during the episode shows that the local sources at Worcester and Leicester mostly 364 released spores in the afternoon and late evening. Similar diurnal patterns of Alternaria spores 365 were observed in Worcester (O'Connor et al., 2014; Sadyś et al., 2015), Derby (Corden & 366 Millington, 2001), Copenhagen (Skjøth et al., 2012), Krakow (Stepalska & Wołek, 2009), 367 Northern Portugal (Oliveira et al., 2009; Rodríguez-Rajo et al., 2005), Northern Spain (Aira et 368 al., 2008), Southern Spain (Angulo-Romero et al., 1999) and Italy (Ricci et al., 1995). In the 369 UK, the cereal harvesting activities peak in the afternoon or early evening (Agriculture and 370 Horticulture Development Board, 2018). This pattern matches well with the diurnal patterns in 371 Alternaria concentrations. It is therefore likely that harvesting of cereals and oilseeds coupled 372 with optimum weather conditions could have increased Alternaria spore concentrations in the 373 urban areas of Leicester and Worcester. 374

Precipitation is one known weather parameter that can affect airborne Alternaria spore 375 concentrations (Rotem, 1994). In this study, Worcester received light precipitation of 0.05-1.6 376 377 mm/hr for 11 days of the episode. During the same period, daily spore concentrations remained low at Worcester except on 31 Jul, 04 Aug, and 07 Aug 2017 when they surpassed 100 378 spores/m³. The low spore concentration could be due to the washing down of *Alternaria* spores 379 380 from the atmosphere during the rainy days (O'Connor et al., 2014; Peternel et al., 2004; Sakiyan, 2003). It also suggests that the precipitation wetted the soils hence hindering 381 harvesting of the crops. However, there was no remarkable increase in spore emissions 382 383 observed after the precipitation, although moisture is known to encourage Alternaria spore growth and emission (Kumar et al., 2014; Green & Bailey, 2000). Skjøth et al. (2012) and 384 Friesen et al. (2001) also had similar observations as ours for Alternaria and other fungal 385

spores. The lack of harvesting during the precipitation period coupled with other factors e.g. 386 unfavourable weather conditions after the precipitation probably resulted in low spore 387 388 emissions at Worcester. Meanwhile, there was no precipitation recorded at Leicester throughout the episode. The consistent lack of precipitation (dry weather) allows easy and fast 389 harvesting of cereals during the summer and hence eventual release of large amounts of 390 Alternaria spores to the atmosphere (Skjøth et al., 2012). However, this phenomenon of high 391 392 Alternaria spore concentrations during cereal harvesting coupled with good weather (e.g. no precipitation) may apply to mainly non-irrigated arable crops. In another study, Maya-Manzano 393 394 et al. (2013) found that there was no relationship between the harvesting of irrigated maize and tomatoes and airborne Alternaria spore concentrations. This suggests that local emission of 395 fungal spores strongly depends on the climate, weather and agricultural activities 396 (Gyldenkærne et al., 2005; Sommer et al., 2006). 397

Studies on the effect of weather and agricultural production on Alternaria spore emission in 398 399 inland and coastal areas have been documented and it is known that inland areas emit more 400 spores than coastal areas (Corden et al., 2003; Rodríguez-Rajo et al., 2005). Leicester and Worcester are located in the East Midlands and West Midlands counties of the UK, respectively 401 402 and both are inland urban/rural areas in the UK. However, Leicester recorded comparatively higher spore concentrations than Worcester during the episode. The difference in daily spore 403 concentration between the two inland observation sites is possibly attributed to a strong local 404 source of Alternaria spores near Leicester trap with high emission potential. Previously, 405 Friesen et al. (2001) experimentally studied source strength in fungal spores in a field and found 406 407 high fungal spore concentrations including Alternaria spores near the main source (combine harvesting) than far from the source. Quantifying the biological source strength of spores 408 409 dispersed from a local or regional source remains a challenge since it is highly uncertain (Aylor, 410 1999).

In this study, the dispersal of Alternaria spores from their sources was simulated to estimate 411 the spatial scale from the observation sites. The HYSPLIT particle dispersal simulation 412 revealed that the highest concentration of particles was dispersed in the areas SW of Worcester 413 and Leicester. It further showed that 35% and 31% of the particles were dispersed at a trajectory 414 height below 500 m on their arrival at Worcester and Leicester traps, respectively. A previous 415 study found a high concentration and diversity of pollen grains and fungal spores including 416 417 Alternaria spores in the airstream sampled at an altitudinal range of 200-2000 m above sea level in Thessaloniki, Greece (Damialis et al., 2017). Furthermore, de Weger et al. (2016) found 418 419 that Ambrosia pollen grains were dispersed from distant sources located at the Pannonian plain and in the Rhone valley. They were carried by the air masses to higher altitudes (above 1500 420 m) and were eventually deposited at Leicester and Leiden. Therefore, it was likely that the low 421 altitude air masses passing over the local source areas of Worcester and Leicester could have 422 mixed with the airborne Alternaria spores emitted from the cereal farms and eventually 423 depositing them at the traps. However, there is a potential for high altitude air masses to 424 contribute to the daily spores recorded Leicester and Worcester since Alternaria spores are 425 constantly present in small amounts in the atmosphere (Bashan et al., 1991). Wind speed is a 426 known weather parameter that can affect Alternaria spore dispersal and concentrations (Rotem, 427 1994). The lower wind speeds recorded at Leicester compared to Worcester could have 428 contributed to the higher spore concentrations observed at Leicester than at Worcester, as was 429 430 the case in previous studies (Sabariego et al., 2012; Stennett & Beggs, 2004). However, with very low wind speeds, spores need days to travel over large distances. Such spores may arrive 431 at any time of the day. In our study, the typical harvesting time matches the typical peak in 432 spore concentrations and the increase in spore concentration coincided with actual harvesting 433 in the region. This suggests that most Alternaria spores that were detected at Worcester and 434

435 Leicester were dispersed from local sources with a potential minor contribution from remote436 sources and that wind speed is an important parameter in the spore dispersal process.

437 **5.0 Conclusion**

Alternaria spore concentrations of clinical importance were observed at Leicester and 438 Worcester throughout the study period. However, Leicester recorded a higher spore 439 concentration than Worcester did. The study found that the local sources (oilseed rape and 440 winter barley) located outside the urban boundaries of Leicester and Worcester likely 441 442 contributed to the high daily Alternaria spore concentration in the urban areas. Back-trajectory calculations during the episode showed that the air masses originated from the Atlantic Ocean 443 444 and Ireland and arrived at Leicester and Worcester. Long distance transport probably had a 445 small but equal contribution to the observations at both sites. The conclusion is therefore that 446 the substantially higher concentrations of Alternaria spores observed at Leicester are caused by specific local sources with high emission potential: winter barley and oilseed rape. Such 447 local sources of spores are important aspects to consider in understanding aeroallergens and 448 dispersal of plant pathogens. 449

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Highlights

- Cereals and oilseed rape are potential hosts of *Alternaria* fungus.
- Leicester had a higher density of the crops grown in its local area than Worcester.
- Harvesting of the crops coincided with higher *Alternaria* spore counts at Leicester.





Fig. 1 Sampling locations and crop density map for Great Britain 2017. Range of figures indicate the amount of crops (Ha).



Fig. 2 Daily *Alternaria* spore concentration during the three years of observation at Worcester and Leicester.



Fig. 3 Mean bi-hourly *Alternaria* spore distribution at Worcester and Leicester during the episode: 27 July-7 Aug 2017.



Fig. 4 Back-trajectories of air masses during the episode: 27 July-7 August 2017 that passed within 30 km radius of cropland in Worcester and Leicester. Red and black back-trajectories indicate air masses arriving at Worcester and Leicester, respectively. Range of figures indicate amount of crops (Ha)



Fig. 5 Effect of harvesting of crops on airborne *Alternaria* spore concentrations. Cumulative percentage of crop harvest for the period starting 26 Jul and 2 Aug 2017 and corresponding mean *Alternaria* spore concentrations for the period 27 Jul-01 Aug and 02-07 Aug 2017 observed at Worcester (red dots) and Leicester (blue dots).



(6a)





Fig. 6 Density map for particle dispersion and deposition during the episode: 27 Jul-07 Aug 2017 at (a) Worcester and (b) Leicester at altitude 500 m agl.





(7b)

Fig. 7 Density map of particle dispersion and deposition at (a) Worcester and (b) Leicester on 1st August 2017.

Table 1. Annual, seasonal and daily summary of *Alternaria* spore data recorded at Worcester and Leicester for the period 2016-2018.

Sampling year	ampling year 2016 2017			2018		
Sampling location	Worcester	Leicester	Worcester	Leicester	Worcester	Leicester
Season start	5th June	NA	10th June	1st June	24th May	7th May
Season end	24th Sep	NA	24th Sep	24th Sep	28th Sep	10th Oct
Season duration (days)	110	NA	107	116	126	157
Maximum daily spore ^a	568	1,599	796	3,700	854	1,438
No. of high days ^b	17	37	23	67	21	47
Seasonal Spore Integral ^c	6,048	NA	8,194	40,986	6,803	18,126
Annual Spore Integral ^c	6,338	NA	8,588	43,038	7,157	18,919

NA-No Adequate data. ^a(Spores/m³). ^bdays >100 spores m⁻³. ^c(Spore * day m⁻³).

 Table 2. Daily mean Alternaria spore concentration during the Episode (27 Jul-7 Aug 2017)

 at both Worcester and Leicester

Date	Worcester	Leicester
27/07/2017	12	185
28/07/2017	10	176
29/07/2017	38	413
30/07/2017	58	338
31/07/2017	114	504
01/08/2017	59	659
02/08/2017	14	542
03/08/2017	68	474
04/08/2017	273	343
05/08/2017	63	254
06/08/2017	66	374
07/08/2017	114	451
Sum	889	4713
Average	74	393

Сгор	Worcester Leicester		
Beet	-	65	
Field beans	4,512	6,692	
Oilseed rape	11,494	23,514	
Other crops	14,523	10,133	
Potatoes	2,046	1,127	
Total-non cereals	32,575	41,532	
Maize	6,261	5,659	
Spring barley	5,962	4,806	
Spring Wheat	3,208	6,942	
Winter barley	9,330	12,955	
Winter wheat and Oat	29,403	42,949	
Total-Cereals	54,164	73,312	
Grand total	86,739	114,844	

Table 3. Crop density (ha) within 30 km radius in 2017

"-" No crop

Worcester	Particles	Per cent
England and Wales	8,577	30
Ireland	6,127	21
Atlantic Ocean	14,245	49
Total particle	28,949	
Leicester		
England and Wales	11,972	40
Ireland	6,536	22
Atlantic Ocean	11,242	38
Total particle	29,750	

Table 4. Particles dispersed and deposited at Worcester and Leicester during the episode: 27 Jul-7Aug 2017 when air masses passed through a 30 km radius from Worcester to Leicester

Table 5. Height of air masses arriving at Worcester and Leicester on 1 Aug 2017 after passing through local source areas where particle clouds were dispersed and percentage of particles at each trajectory height.

V	Vorcester	Leicester		
Trajectory	% in trajectory	Trajectory	% in trajectory	
height	height	height	height	
>500	65	>500	69	
<500	35	<500	31	

Date	Temp	Rain	WS	WD	Pressure
27/07/2017	16	0.05	3.0	170	1,001
28/07/2017	16	0.65	3.9	263	1,001
29/07/2017	16	1.00	2.6	304	1,002
30/07/2017	16	0.75	3.4	289	1,000
31/07/2017	16	0.20	3.2	251	1,005
01/08/2017	16	0.20	2.5	243	1,009
02/08/2017	17	1.60	3.4	143	1,004
03/08/2017	17	0.30	4.4	303	1,000
04/08/2017	17	0.05	3.3	271	1,006
05/08/2017	15	0.85	1.9	273	1,013
06/08/2017	15	0.00	3.5	266	1,016
07/08/2017	17	0.05	2.5	284	1,012

Supplementary Table 1a. Summary of weather parameters at Worcester during the episode: 27 Jul-07 Aug 2017

Supplementary Table 1b. Summary of weather parameters at Leicester during the episode: 27 Jul-07 Aug 2017

Date	Temp	Rain	WS	WD
27/07/2017	15	0.00	1.3	241
28/07/2017	15	0.00	1.8	81
29/07/2017	16	0.00	1.4	196
30/07/2017	15	0.00	1.4	196
31/07/2017	16	0.00	1.6	115
01/08/2017	16	0.00	1.5	150
02/08/2017	16	0.00	1.9	84
03/08/2017	17	0.00	2.1	193
04/08/2017	16	0.00	1.8	274
05/08/2017	13	0.00	1.1	298
06/08/2017	14	0.00	1.2	188
07/08/2017	16	0.00	1.1	211

Worcester												
Date/Time	01:00	03:00	05:00	07:00	09:00	11:00	13:00	15:00	17:00	19:00	21:00	23:00
27-Jul	18	6	31	6	122	0	0	6	0	0	0	6
28-Jul	0	0	6	0	24	6	31	0	43	0	0	0
29-Jul	0	0	6	12	55	104	147	73	18	24	0	0
30-Jul	6	0	0	24	55	61	177	184	80	73	49	0
31-Jul	0	0	6	12	141	208	122	294	110	86	220	67
01-Aug	31	24	0	67	37	86	122	122	67	18	92	18
02-Aug	61	18	37	24	80	12	12	31	12	6	0	0
03-Aug	0	0	0	18	24	147	269	184	86	61	0	12
04-Aug	18	6	0	12	116	122	233	263	288	269	1,340	288
05-Aug	49	104	92	110	80	165	147	55	92	43	43	80
06-Aug	31	6	12	6	37	24	135	61	159	171	110	37
07-Aug	43	6	0	6	31	86	73	86	190	459	251	73
Mean	21	14	16	25	67	85	122	113	95	101	175	48
Leicester												
27-Jul	130	65	65	22	54	151	281	281	356	119	497	205
28-Jul	119	76	32	22	11	184	227	367	464	464	140	0
29-Jul	28	124	359	621	1,283	897	1,435	207	0	0	0	0
30-Jul	14	14	0	0	0	0	179	262	1,035	994	1,187	373
31-Jul	290	28	55	55	28	414	662	317	511	718	1,256	1,711
01-Aug	1,090	580	276	83	69	345	359	524	386	607	1,311	2,277
02-Aug	1,766	759	193	193	83	469	1,835	800	166	207	14	14
03-Aug	0	97	55	138	690	787	800	925	897	759	428	110
04-Aug	69	28	28	28	41	124	497	800	607	925	580	386
05-Aug	331	759	856	235	97	41	207	124	55	110	69	166
06-Aug	54	108	86	11	43	324	248	616	637	853	659	842
07-Aug	676	718	69	41	28	69	152	373	704	745	1,049	787
Mean	381	279	173	121	202	317	574	466	485	542	599	573

Supplementary Table 2: Mean bi-hourly *Alternaria* spore concentration during the Episode (27 Jul-7 Aug 2017)



Supplementary Fig. 1 Worcester crop map 2017 within 30 km radius. Supplementary Fig 2. Leicester crop map 2017 within 30 km radius.