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1	The role of the metabotropic glutamate receptor 5 in nicotine addiction
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3	Running head: mGluR5 and nicotine addiction
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5	Authors: Funda Akkus ^{1,4} , Sylvia Terbeck ⁵ , Connor. J. Haggarty ⁵ , Valerie Treyer ² , Janan
6	Janine Dietrich ³ , Stefanie Hornschuh ³ , and Gregor Hasler ¹
7 8	1. Department of Psychiatry, University of Fribourg, Fribourg, Switzerland
9	 Department of Nuclear Medicine, University Hospital Zurich, Switzerland
10	 Department of Ruelear Medicine, On Versity Hospital Earlein, Switzerland Perinatal HIV Research Unit (PHRU), Faculty of Health Sciences, University of the
11	Witwatersrand, Johannesburg
12	4. Psychiatrie St. Gallen Nord, Wil, Switzerland
13	5. School of Psychology, Liverpool John Moors University, United Kingdom
14	
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21	Corresponding author: Funda Akkus; Email: <u>funda.akkus@unifr.ch</u>
22	
23	Abstract
24	This review summarizes the evidence for the potential involvement of metabotropic
25	glutamate receptor 5 (mGluR5) in the development of nicotine addiction. Nicotine is
26	consumed worldwide and is highly addictive. Previous research has extensively investigated
27	the role of dopamine in association with reward learning and addiction, which has provided
28	strong evidence for the involvement of dopaminergic neuronal circuitry in nicotine addiction.
29	More recently, researchers focused on glutamatergic transmission after nicotine abuse, and its
30	involvement in the reinforcing and rewarding effects of nicotine addiction. A number of DOI: 10.1017/S1092852920001704 This peer-reviewed article has been accepted for publication but not yet copyedited or typeset,
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robust preclinical and clinical studies have shown mGluR5 signaling as a facilitating mechanism of nicotine addiction and nicotine withdrawal. Specifically, clinical studies have illustrated lower cortical mGluR5 density in smokers compared to non-smokers in the human brain. In addition, mGluR5 might selectively regulate craving and withdrawal. This suggests that mGluR5 could be a key receptor in the development of nicotine addiction and therefore clinical trials to examine the therapeutic potential of mGluR5 agents could help to contribute to reduce nicotine addiction in society.

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39 Abbreviations: mGLuR5 - metabotropic glutamate receptor 5, mGLuR - metabotropic

40 glutamate receptor, iGLuR – ionotropic glutamate receptor, DA – Dopamine, NAc – Nucleus

41 Accumbens.

42 Epidemiology of Nicotine Addiction

43 Nicotine addiction is one of the most common and preventable chronic psychiatric conditions characterised by the compulsion to seek and use nicotine¹. Worldwide, there are 44 approximately 1.1 billion adult smokers and 80 % of them live in low- and middle- income 45 countries². More than 7 million smokers die each year because of smoking related diseases, 46 47 around 890,000 of which are being exposed to second-hand smoke (i.e. indirect exposure to smoke exhaled by smokers)³. Stopping nicotine consumption can lead to significant 48 49 withdrawal symptoms for instance, depressed mood, attention/concentration problems, 50 anhedonia, cravings, dysphoria, anxiety, irritability, and somatic problems (such as insomnia and weight gain) 1,4 . In the USA, about 40 – 50 % of smokers try to stop smoking every year, 51 however, only about 6 % are able abstain for at least 6 to 12 months ⁵. The majority of 52 53 relapses happen within the first week of abstinence, with 15 - 28 % of smokers staying abstinent for 1 month, 10 - 20% remaining abstinent 3 months, and 3 - 5% for 6 months⁶. 54 55 The longer a smoker stays abstinent, the better the chances that the abstinence will sustain. A

56 study measuring success rates found that only 12% of smokers who stopped smoking for one 57 month remained abstinent at the follow up stage (i.e., 1.5 years). Of those who stayed abstinent for 1 - 3 months, 25% remained abstinent long term. A long term success rate of 58 52% could be found in smokers who stayed abstinent for 3-6 months, again suggesting that 59 the longer the initial abstinence period, the greater the probability of long-term abstinence⁷. 60 Therefore, due to these low abstinence rates it is necessary to find new pharmacotherapeutic 61 options for nicotine addiction which could enhance abstinence rates. 62

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64

The glutamate system and nicotine addiction

Glutamate is the major excitatory neurotransmitter in the central nervous system and is 65 produced from glutamine by the enzyme glutaminase, which is localized in neurons and glia⁸. 66 67 Over 90% of the synapses in the human brain are glutamatergic. Glutamate has the opposite effect to the neurotransmitter of Gamma Aminobutyric Acid (GABA), which is one of the 68 69 main inhibitory neurotransmitters of the central nervous system. Numerous authors have suggested that glutamate signalling in the brain plays a major role in the nicotine addiction 70 71 ^{9,10,11}. Furthermore, glutamate neurotransmission in the CNS is involved in various disorders 72 such as schizophrenia, depression, addiction, and neurodegenerative diseases, such as Alzheimer's, Parkinson's and multiple sclerosis ^{11,12,13}. Glutamate signalling activates its 73 receptors, which are categorized in two large groups: the metabotropic glutamate receptors 74 75 (mGluRs) and ionotropic glutamate receptors (iGluRs). Fast acting ionotropic (iGlu) receptors 76 N-methyl-D-aspartate α-amino-3-hydroxy-5-methyl-4include receptor (NMDA), isoxazolepropionic acid receptor (AMPA) and kainate. Slow acting metabotropic receptors 77 78 involve the mGluR1-8. They are predominantly localized on postsynaptic as well as on glia 79 cells in the brain, coupled with a G- protein. The mGlu receptors are classified into three

groups; Group I receptors (mGluR1 and mGluR5), Group II receptors (mGluR2 and mGluR3)
and Group III receptors (mGluR4, mGluR6, mGluR7 and mGluR8)⁸.

Several preclinical studies have found that nicotine increases glutamatergic 82 transmission through activation of nicotinic acetylcholine receptor (nAChRs) located on 83 glutamatergic afferents in the ventral tegmental area (VTA) and the nucleus accumbens 84 (NAc)¹⁴ (see Figure 1 for depiction of this action). Furthermore, long-term nicotine exposure 85 could cause changes in dopamine and glutamate systems ⁸ For example, it was found that 86 nicotine injections enhanced the brains reward function in rats as measured through 87 intracranial self-administration¹⁵. Nicotine dependence is the result of a positive effect of 88 89 nicotine, specifically, it induces a dopamine (DA) increase in NAc. DA extracellular overflow 90 is subsequently implicated in behavioural motivation and dependence, as it activates the 91 reward system. Indeed, there is evidence that chronic nicotine administration can lead to a 92 reduction of glutamate transmission in the meso-cortico-limbic system, mainly in NAc and VTA^{8,16}. Early withdrawal symptoms in rats following chronic nicotine administration, was 93 94 associated with decreased glutamate transmission and compensatory changes in glutamate receptors ¹⁶. 95

More recently, using Magnet Resonance Spectroscopy (MRS) in humans, the glutamatergic systems in nicotine addicted participants was investigated. The researchers found that smoking led to lower glutamate levels in the anterior cingulate cortex (ACC) and prefrontal cortex (PFC) ¹⁷ regions associated with reward processes. In another MRS study, glutamate levels in the thalamus were compared between smokers and non-smokers, showing lower thalamic glutamate in smokers ¹⁸.

102 Pharmacological interventions targeting the glutamate system have been used to 103 discover novel therapeutic treatments for smokers. N- acetylcysteine is traditionally used as a 104 mucolytic in chronic obstructive pulmonary disorder. It is a precursor of L-cysteine that has

105 the ability to enhance glutamate transmission and restore the reduced glutamate level caused 106 by nicotine addiction 19,20,21 . Studies have shown that treatment with N-acetylcysteine led to 107 participants reporting less withdrawal symptoms, decreasing their daily cigarette 108 consumption, and significantly decreasing the reward effect of nicotine consumption 109 compared to the control group 22 . However, over time, about 50% of the participants relapsed 110 20,23 .

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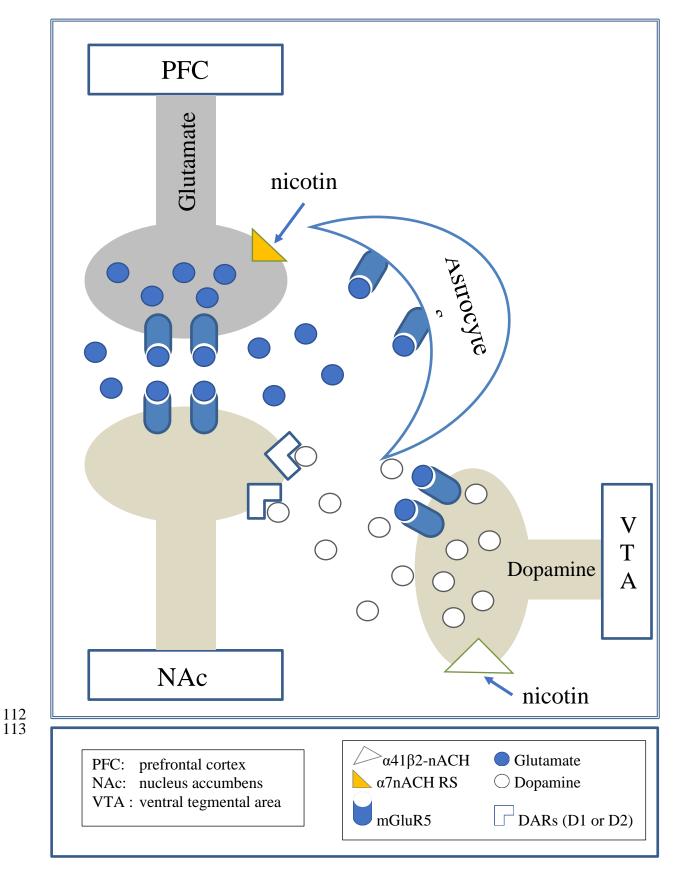


Figure 1. The figure shows the processes leading to nicotine dependence. It shows that nicotine release, triggers an interaction with nAChRS on dopaminergic and glutamatergic neurons, particularly on mGlu5 receptor. Nicotine triggers the change of mGluR5 availability. It further illustrates the accumulating evidence suggesting that mGluR5 is significant in nicotine addiction.

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120 The role of mGluR5 in nicotine addiction in preclinical studies

121 Metabotropic glutamate receptor 5 (mGluR5) belongs to the Group I metabotropic 122 receptors and its actions are predominantly excitatory. Most mGluR5s are on postsynaptic 123 neurons, but they are also found on presynaptic neurons, on glial cells, and on intracellular 124 membranes with the ability to activate multiple cell signalling pathways. MGlu5 is a G 125 protein-coupled receptor that activates phospholipase C, which produces diacyl glycerol and 126 inositol triphosphate, which in turn increases calcium. Therefore, mGluR5 is responsible for 127 Ca2⁺ fluctuations and regulates the activity of locomotor networks and neurotransmitter 128 release. Recently, the extracellular signal-regulated kinase (ERK) as a downstream mediator 129 of mGluR5 activity has been investigated in relation to addiction because of its role in synaptic plasticity, including maladaptive forms of plasticity associated with drug abuse ²⁴. 130 Furthermore, Calcium ions are one type of second messengers and the $Ca2^+$ signalling 131 pathway is a key component of the mechanisms that regulate neuronal excitability, 132 133 information processing, and cognition, and it has been implicated in various neural diseases ^{15,25,26}. A high density of mGluR5 can be found in several brain areas such as the forebrain, 134 135 striatum, limbic system, amygdala, hippocampus, NAc, olfactory tubercle, and cerebral cortex 136 ²⁶. Furthermore, mGluR5 is critically implicated in normal and aberrant neuroplasticity and is 137 involved in learning, motivation, motor coordination, reward behaviour, substance abuse, 138 memory and emotion. Several recent reviews have suggested a potential association between

mGluR5 and nicotine addiction ^{11,13,15}. In an mGluR5 knock out model study, it was 139 140 suggested that this receptor is implicated in anhedonia and somatic signs of nicotine withdrawal²⁷. These findings are consistent with pharmacological studies showing mGluR5 141 142 related signalling in nicotine addiction. In animal studies, rats who were treated acutely with nicotine (subcutaneously) showed increased levels of extracellular glutamate in the NAc²⁸ 143 and downregulation of mGluR5 expression^{8,14}. Such an inverse relationship between mGluR5 144 and glutamate levels as determined by MRS have also been found in humans²⁹. In addition, 145 146 intracellular interactions between protein kinases and metabotropic receptors in the striatum, might regulate behavioural changes in response to drug abuse ³⁰. Specifically, repeated 147 exposure to nicotine increased ERK phosphorylation in adult rats³¹. 148

Interestingly, pharmacological studies have found functional interactions of mGluR5 with dopamine D1/D2, NMDA, adenosine A2, and GABA receptors ^{11,13,15}. The mGlu5 receptor was co-localised with dopamine and adenosine receptors in the striatum, including the NAc, where they are involved in the regulation of dopaminergic neurotransmission ^{15,25}.

153 More research is needed to understand the potential interactions between mGluR5 154 signalling and dopaminergic neurotransmission in the reward system. It is established that 155 dopamine and glutamate system are anatomically closely located in the meso-cortico-limbic 156 area. These brain regions are important in the regulation of motivation behaviours and 157 emotions. Researchers have shown the interaction between mGlu5 and DA receptors, with mGluR5 being involved in the regulation of DA release in the NAc¹⁵. It can be suggested that 158 159 mGluR5 plays a major role in the regulation of the reinforcing effects of nicotine through modulation of dopaminergic neurotransmission 32 . The interaction of both systems suggests 160 the importance for both; controlling addiction, and reward related behaviour in nicotine 161 162 addiction, by demonstrating that the strong rewarding effect of dopamine overflow can be modulated by mGluR5 inhibition ¹⁵. Furthermore, the direct inhibition of NMDAR channels 163

are regulated by the mGlu5 receptors through the protein complex formed by Homer ³³.
Activation of NMDAR is responsible for long-term learning and memory and plays main role
in development in drug addiction ³⁴.

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168 Therapeutical potential of mGluR5-NAMs in preclinical studies

169 Several studies used negative allosteric mGlu5 receptor antagonists MTEP (3-((2-170 Methyl-4-thiazolyl)ethynyl)pyridine) or MPEP (3-((2-Methyl-4-thiazolyl)ethynyl)pyridine) ^{11,15} to study the relevance of mGluR5 signalling in nicotine addiction. Prior treatment with 171 172 MPEP (which inhibits the responding for nicotine) for 30 minutes resulted in a dose-173 dependent reduction of nicotine self-administration while at the same time decreased 174 extracellular DA level in NAc (Tronci & Balfour, 2011). Furthermore, pre-treatment with MPEP in rats inhibited responses to nicotine, suggesting MPEP inhibits nicotine seeking 175 behaviour ³⁵ Furthermore, the effect of MPEP administration in nicotine treated rats was 176 177 highly significant compared to control, saline-treated rats. The response to nicotine in rats was greater if they were pre-treated with nicotine for eight days prior to the testing session 36 . 178 179 MPEP's effect on nicotine consumption may be mediated by intracellular protein kinases such as ERK in the brain reward system ³¹. Mavoglurant and other medications (e.g. AZD2066, 180 181 Basimglurant), which target mGluR5, have been examined in human research as an aid for nicotine cessation. However, these medications have the potential to cause some serious side 182 effects in humans such as hallucinations, skin reactions and cognitive problems ³⁶. MTEP and 183 184 MPEP were shown to decrease nicotine intake, however, neither appeared to reduce the 185 reward enhancing effects of nicotine. In an intravenous nicotine self-administration study, MPEP injection reduced self- administration in a dose dependent manner, while it did not 186 alter general locomotion and lever pressing for sweetened food reward in rats ³⁵. This could 187 188 either indicate that food was a more rewarding treat than nicotine or a nicotine specific

189 involvement of mGluR5. MGluR-NAMs lead to a reduction of nicotine self-administration but have no influence on the motivation enhancing effect of nicotine ^{36,37}. In a wide 190 preclinical study, rats that received the pre-treatment with MPEP and were either non-191 192 conditioned or operant conditioned to nicotine, showed that MPEP attenuated the reinforcing properties of nicotine. It suggests that the activity of mGlu5 receptors may play an important 193 194 role in provoking drug-seeking behaviour and nicotine cravings in habitual smokers exposed to cues associated with their smoking habit ³⁵. In addition, pre-treating rats with dose 195 dependent MPEP, nicotine causes attenuated DA overflow in the NAc ^{15,35}. It is therefore 196 197 hypothesised that mGluR5 antagonists downregulate the increasing extracellular DA from 198 injections of nicotine. Antagonists at mGlu5 receptors may therefore lead to smoking cessation ^{14,15,35}. But a further study with rats showed that MPEP enhances the effect of 199 nicotine and induces the conditioned place preference (CPP) ³⁸. It was hypothesised that the 200 effect of MPEP on the mesolimic system may induce the rewarding effect of nicotine ³⁸. 201 However, this finding differs from past studies ^{14,15,35,39}. In addition, mGluR5-targeting drugs 202 may help to prevent relapse during nicotine withdrawal. The mGluR5 NAM showed a 203 204 significant potential therapeutic effect, decreasing nicotine seeking behaviour ^{11,15,36}. 205 Furthermore, mGluR5 NAM should not lead to altering mood or cognitive enhancing effects of nicotine ³⁷. Similarly, preclinical studies on the effects of mGluR5 NAMs during early 206 207 nicotine abstinence have shown that these drugs may worsen the somatic and depression-like symptoms of nicotine withdrawal ^{15,36}. The situation of either timing or combination of 208 209 mGluR5 targeting therapeutics needs further investigation.

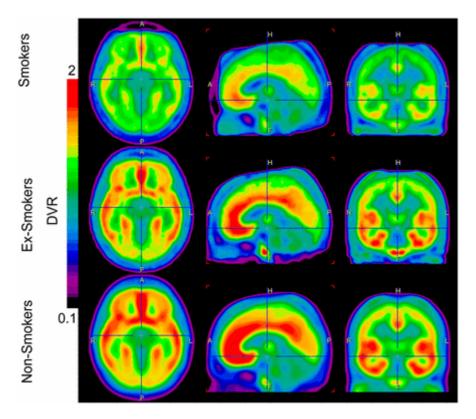
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211 mGluR5 and nicotine addiction in humans

Positron Emission Tomography (PET) radioligands like [11C]ABP688 ⁴⁰ are used in humans
to assess the distribution of mGluR5 in the brain and its subsequent role in smoking addiction.

214 In a series of studies, the availability of mGluR5 in non-smokers, smokers and ex-smokers (abstinent for an average of 25 weeks) was investigated ^{9,10}. These results provided support 215 for markedly lower mGluR5 density in smokers. Amongst 14 smokers, global mGluR5 216 217 distribution volume ratio (DVR) was 20.6% lower in the gray matter compared to 14 nonsmokers⁹. Furthermore, it was found that 14 ex-smokers, had a higher mGluR5 density 218 219 compared to smokers, which may be due to incomplete recovery of the receptors, especially 220 because the ex-smokers were abstinent for only 25 weeks on average. Lower mGluR5 binding 221 may be an adaptation to chronic increases in glutamate as a result of chronic nicotine 222 administration (See Figure 2). In a follow-up study, 14 non-smokers, 14 smokers, 14 long-223 term ex-smokers (abstinent for greater than 1.5 years), and 14 recent ex-smokers (abstinent for 5-12 month) were compared. Long-term ex-smokers and non-smokers showed no 224 225 difference in mGluR5 binding and long-term ex-smokers showed significantly higher 226 mGluR5 binding compared to recent ex-smokers. Seven of the recent ex-smokers were still 227 abstinent even after one year and showed higher mGluR5 distribution volumes at baseline than relapsing participants ¹⁰. The effect of smoking on mGluR5 availability is strong 9,10 228 and comparable to nicotine effects on mGluR5 in cocaine users ⁴¹. Here, smoking results in 229 230 lower mGluR5 binding than in the cocaine using and control groups, and cocaine does not appear to affect mGluR5 binding ⁴¹. A similar reduction of mGluR5 binding as a result of 231 smoking has also been shown in schizophrenia 4^{42} . It is suggested, that chronic nicotine abuse 232 233 disturbed the homeostasis of glutamatergic transmission, and might lead - via increasing glutamate release - to a down regulation of mGluR5 density in the cortex 9,15 . 234

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Figure 2. Images display the average brain uptake of mGluR5 DVR in the three diagnostic groups. The brain uptake is visibly reduced in the smoker and ex-smoker group, compare with the non-smoker group (See ⁹ open access.).

A current longitudinal animal study has shown the impact of chronic nicotine exposure on mGluR5 using the novel radiotracer [18F]PSS232. Here, PET shows lower [18F]PSS232 binding. Furthermore, after prolonged nicotine withdrawal, [18F]PSS232 binding normalized in these rodents ⁴³. These results replicate those from a previous study by the authors ⁹. However, a further study on mGluR5 binding in Major Depressive Disorder found significantly lower caudate mGluR5 DVR in smokers relative to non-smokers, although this difference did not survive correction for multiple comparisons ²⁹.

In summary, there is growing preclinical and clinical evidence that mGluR5 plays an important role in nicotine addiction. So far, drugs targeting mGluR5 did not show clinical utility because of lack of consistent efficacy or severe side effects. Nevertheless, findings

- 250 encourage research into therapeutic drugs targeting mGluR5 as combination therapies for
- 251 patients to treat their nicotine addiction.

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