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# Voltage imaging with ANNINE dyes and two-photon microscopy of Purkinje dendrites in awake mice

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6 **Voltage imaging with ANNINE dyes and two-photon**  
7 **microscopy of Purkinje dendrites in awake mice**  
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20 **Research Highlights**

- 21 • ANNINE dyes are purely electrochromic voltage sensitive dyes with linear,  
22 nanosecond responses  
23 • Red spectral edge excitation increases voltage sensitivity and reduces  
24 phototoxicity and bleaching  
25 • Dendritic voltage signals can be studied in awake animals using two-photon  
26 imaging  
27 • Voltage and calcium imaging, pharmacology, or electrical recordings can be  
28 combined  
29 • Subthreshold dendritic voltage signals reveal a 5 $\mu$ m basic unit of dendritic  
30 computation

31

## Abstract

32 Voltage imaging is the next generation of functional imaging in neuroscience. It promises to resolve  
33 neuronal activity 10 to 100-times faster than calcium imaging and to report not only supra but also  
34 subthreshold activity on a single cell or even subcellular level. Lately, several different voltage sensors and  
35 imaging techniques were published which can achieve this. Here, we focus on a technique based on the  
36 synthetic pure electrochromic voltage-sensitive dyes ANNINE-6 and ANNINE-6plus and the excitation of  
37 this dye at the red spectral edge of absorption to maximize voltage sensitivity and minimize phototoxicity  
38 and bleaching. Importantly, voltage imaging with ANNINE dyes can be done with one and two-photon  
39 excitation. Two-photon microscopy allows in vivo, depth resolved imaging and line-scan recordings with  
40 sub-millisecond temporal resolution. Interestingly for many future applications, the spectral characteristics  
41 of ANNINE dyes allows simultaneous imaging with green indicators, like the genetically encoded calcium  
42 indicator GCaMP6. We used this method to study supra and subthreshold dendritic voltage changes in  
43 Purkinje neurons of awake mice. Simultaneously, we imaged dendritic calcium and recorded electrical  
44 activity from the soma or locally applied drugs to show the full potential of the technique to study dendritic  
45 integration in awake animals.

46

47

## Introduction

48 Observing the brain at work on a cellular level is the dream of many neuroscientists. We would love to see  
49 how neuronal activity triggered in the retina of our eye travels to the brain, how this activity is transmitted  
50 from neuron to neuron, how this information of the outside world is processed and integrated in the  
51 persistent neuronal network activity, and thereby update our brain-internal model of the outside world and  
52 ourselves. This dream has already come true to some extent: there are several methods available to image  
53 neuronal calcium activity of thousands of neurons and their processes in awake animals (Chen et al 2013)  
54 with indicators which are based on a single, circularly permuted green fluorescent protein fused to a  
55 calcium binding domain (Nakai et al 2001). However, to image the electrical activity in the mammalian  
56 brain on a cellular or sub-cellular level is still a challenge. Electrical signals in the brain typically last only  
57 one millisecond and therefore imaging must be even faster to capture such signals.

58 Voltage imaging was one of the first functional imaging methods developed, and the earliest reports go  
59 back 50 years (Cohen et al 1974, Tasaki et al 1968). Using optimized synthetic voltage-sensitive dyes and  
60 fast cameras, voltage was successfully imaged, for example, from neuronal networks in invertebrates  
61 (Grinvald et al 1977, Senseman & Salzberg 1980), brain slices (Iijima et al 1996), brain modules in vivo  
62 (Grinvald & Hildesheim 2004, Grinvald et al 1986), and single neurons and their compartments in brain

63 slices (Antic et al 1999, Antic & Zecevic 1995). However, voltage imaging in mammalian tissue in vivo  
64 with single cell resolution or subcellular resolution failed because no method has been available for labeling  
65 specific subgroups of neurons with synthetic dyes. If synthetic voltage-sensitive dyes are injected into tissue  
66 or applied to the brain surface, they unspecifically label all cell surfaces in tissue, with axons, dendrites,  
67 and astrocyte processes being the main plasma membrane contributors. Due to the dense packing of these  
68 processes, the single structures cannot be optically resolved and only average membrane potential changes  
69 can be measured.

70 Already 20 years ago, also genetically encoded voltage indicators were developed (Knöpfel 2012). Their  
71 key advantage is that they can be targeted to specific cell types. Over the last few years their sensitivity and  
72 temporal resolution have reached a very promising performance level. However, millisecond-resolution  
73 single-cell-resolved voltage imaging with genetically encoded indicators in scattering tissue is still not  
74 possible.

75 Recently, a promising hybrid approach was published, expressing a genetically encoded voltage sensor with  
76 a domain to bind washed-in synthetic dye to enhance the fluorescence intensity (Abdelfattah et al 2019). It  
77 allows to image populations of neurons in different types of tissue. This hybrid indicator system can so far  
78 not be used with two-photon microscopy, but it has a great potential to do so in the near future.

79 Here, we first summarize our voltage imaging approach which is based on the synthetic voltage-sensitive  
80 dyes ANNINE-6 and ANNINE-6plus. An in-depth primer of the method (Kuhn & Roome 2019) and  
81 detailed protocols (Roome & Kuhn 2019) were published previously. In the second part, we give an  
82 example of voltage imaging from dendrites of Purkinje neurons in awake mice (Roome & Kuhn 2018).

83

### 84 **Voltage sensing mechanism of ANNINE dyes**

85 About 20 years ago, we developed a novel family of synthetic voltage-sensitive dyes, in chemical terms  
86 anellated hemicyanines, short ANNINEs (Hübener et al 2003, Kuhn & Fromherz 2003). The ANNINE  
87 dyes, here represented by ANNINE-6 and ANNINE-6plus (Fromherz et al 2008) with a 6-ring chromophore  
88 (Fig. 1a), are similar in most respects to other voltage-sensitive dyes like di-4-ANEPPS (Fig. 1a) (Fluhler  
89 et al 1985) or RH-160 (Grinvald et al 1982). They have a hydrophobic tail group and a hydrophilic head  
90 group. The headgroup of ANNINE-6 has a positive and a negative charge, while ANNINE-6plus has two  
91 positive charges which makes it less hydrophobic. The amphiphilic design allows these dyes to bind to lipid  
92 membranes (Fig. 1b). Their chromophore is formed by C and N atoms connected by conjugated single-  
93 double bonds. As a result of this bonding type the electrons involved in the  $\pi$ -bond are delocalized and their

94 orbitals define the chromophore. These electrons are bound weakly and therefore the energy of a single  
95 photon in the visible wavelength range, typically blue, is enough to excited one of the outermost electrons  
96 from the ground state to an excited state. Importantly, the chromophore is elongated and asymmetric; aniline  
97 forms one end, pyridinium the other. As a result of this asymmetry the center of charge of the delocalized  
98 electrons is shifted towards aniline due to its higher electronegativity than pyridinium (Fig. 1c, center).  
99 However, if one of the delocalized electrons gets excited by the absorption of a photon it is pulled toward  
100 pyridinium and pushed away from aniline (Fig. 1c, center). So, a charge moves along the elongated axis of  
101 the molecule.

102 The special feature of ANNINE dyes is that the chromophore is fully anellated. This makes the chemical  
103 synthesis difficult, but the advantage is that the anellation prohibits conformational changes within the  
104 chromophore due to rotation around single bonds or flipping at double bonds. ANNNE chromophores are  
105 rigid. Conformational changes as rotations and flipping are associated with triplet state generation,  
106 bleaching, and phototoxicity (Ephardt & Fromherz 1993, Röcker et al 1996). Conformational changes  
107 might be also associated with movement of the dye at the membrane-water interface, resulting in a  
108 fluorescence change that interferes with fluorescence changes due to the voltage sensing mechanism.

109 The design of the voltage-sensitive dye molecules with two carbohydrate chains and an elongated  
110 chromophore ensures that the molecule axis is roughly aligned with the membrane normal. If the molecule  
111 axis is aligned to the membrane normal and there is an electric field over the membrane, then the charge  
112 movement within the molecule will be modulated by the external electric field over the membrane (Fig 1c,  
113 left and right). For example, if the delocalized electron is shifted against the external electric field, less  
114 energy is needed compared to no external electric field because the field pulls the electron (Fig. 1c left).  
115 Therefore, the absorption spectrum shifts to lower energy, that is to longer wavelength. During the emission  
116 process, the electron moves with the electric field and therefore loses energy. Hence, also the emission  
117 spectrum will be shifted to lower energy. If the external electric field turns, as during an action potential,  
118 the excitation and emission spectrum will be shifted to higher energy, that is shorter wavelength (Fig. 1c  
119 right). If there are no other mechanisms of fluorescence change involved, the energy shift of both, the  
120 excitation and emission spectrum, should be the same. Importantly, ANNINE dyes are so far the only  
121 voltage-sensitive dyes which show this pure electrochromic effect where excitation and emission spectrum  
122 are shifted by the same energy (Kuhn & Fromherz 2003). Additionally, ANNINE-6 exhibits the largest so  
123 far measured charge shift in any voltage-sensitive dye. The charge shifts by 0.81 nm within the  
124 chromophore (Kuhn & Fromherz 2003).

125 For voltage-sensitive dyes of this type only the spectra are shifted but the amplitude of the spectrum remains  
126 unchanged. Unfortunately, the spectral shift is only very small (a few nanometer) as the external electric  
127 field over the membrane and its changes are small in comparison with the electric fields within the dye  
128 molecule. This contrasts with the widely used calcium indicators for which the amplitude is changing upon  
129 binding of calcium ions while the spectral shape remains almost unchanged.

130 One advantage of ANNINE dyes is that the effect is purely based on the interaction of the charge in the  
131 molecule and the electric field. As a result, the responses are linear and not influenced by diffusion  
132 processes, conformational changes, or binding processes as for calcium indicators. Also, ANNINE dyes do  
133 not move within the membrane and the chromophore conformation cannot change due to the anellation.  
134 Other voltage-sensitive dyes move and can change their confirmation which influences their fluorescence.  
135 Also, as the voltage-sensing mechanism is purely based on the interaction of a charge with an electric field,  
136 the so-called molecular Stark effect (Kuhn & Fromherz 2003, Stark 1914), voltage imaging with ANNINE  
137 dyes is independent of the membrane composition which makes the ANNINE dyes applicable in very  
138 different tissue. Another advantage of using a pure molecular Stark-shift probe is that the responses are  
139 almost instantaneous. For example, ANNINE-6 was used to resolve membrane voltage changes on a  
140 nanosecond time scale (Frey et al 2006).

141

### 142 **Voltage imaging with ANNINE dyes**

143 Detectors, like camera sensors or photomultiplier tubes detect changes in fluorescence intensity. To convert  
144 the spectral shift of the voltage-sensitive dyes into a measurable intensity change, spectral band pass filters  
145 for excitation and emission are used. Here, as an example, we show the excitation spectrum of ANNINE-6  
146 labeling the outer leaflet of the plasma membrane at resting potential (Fig. 2a, black spectrum). If the  
147 voltage changes by 100 mV, the excitation spectrum shifts by about 3 nm (Fig. 2a, red spectrum). The  
148 difference between two spectra is called the fluorescence change  $\Delta F$  (Fig. 2b). The fluorescence change  
149 normalized with the fluorescence spectrum results in the relative fluorescence change (Fig. 2c). Simplified,  
150 there are two basic strategies to optimize the voltage signal, differing in the excitation light source.

151 If a white light source with the overall intensity distributed over a wide spectral range, such as a Xe-arc or  
152 halogen lamp, and a band pass filter is used for excitation, it is best to choose the excitation filter so that at  
153 the steepest slope of the spectrum is excited (Fig. 2a-c, blue arrows). Whenever the spectrum is shifted, this  
154 will result in the largest signal, that is the largest fluorescence change (in this case, a decrease in intensity).  
155 However, the sensitivity is relatively low and, therefore, many photons are needed to detect a signal. A

156 signal can only be detected if it overcomes the noise intrinsic to any optical measurement. Importantly, the  
157 number of generated photons is proportional to phototoxicity caused by the excited dye. This is typically  
158 not a problem for bulk loaded tissue but hampers recordings from fine structures as dendrites or axons.

159 The second strategy is to use a light source with almost unlimited intensity, i.e. lasers, for excitation and to  
160 optimize the relative fluorescence change (Kuhn et al 2004). As the relative fluorescence change is  
161 normalized to the number of detected photons, it is proportional to information about the voltage change  
162 gained per detected photon. In this case it is best to excite at the spectral edge (Fig. 2a-c, black arrow) where  
163 the relative fluorescence change is largest. However, the excitation spectrum corresponding to the excitation  
164 probability is here very low (only a few % of the maximum) and, therefore, a white light source, e.g. Xe-  
165 arc or halogen lamp, for excitation has insufficient intensity to generate a fluorescence intensity which  
166 overcomes the photon shot-noise. This problem can be overcome by using lasers to excite. So, a very high  
167 excitation intensity is required to achieve a useful fluorescence intensity, but at the spectral edge the  
168 fluorescence change will be large as the relative fluorescence change is large. Important to note is that light  
169 by itself is not harmful to tissue if it is not absorbed. Using high excitation intensity at the red spectral edge  
170 is thus within a range that does not disturb or damage the tissue. With excitation at the red spectral edge of  
171 the absorption spectrum, ANNINE-6 achieves a sensitivity of about 50% per 100 mV voltage change.  
172 Additionally, bleaching or phototoxic effects are neglectable due to the low number of excited dye  
173 molecules necessary to achieve a large optical signal for a voltage change. Additionally and for all  
174 fluorescent molecules, it can be assumed that excitation at the red spectral edge of absorption is less harmful  
175 as the smallest amount of energy is absorbed to generate an electronically excited state (Kuhn & Roome  
176 2019).

177 Summarizing, the strategy for optimizing voltage imaging depends on the light source available. White  
178 light sources such as Xe-arc lamps or halogen lamps are typically very stable light sources but only achieve  
179 a limited relative fluorescence change. Alternatively, with lasers, high sensitivities can be achieved, but  
180 some lasers tend to be less stable than white light sources and, for wide field excitation the speckle pattern,  
181 resulting from the coherence of the laser light, hampers their applicability. For wide field imaging with  
182 bright signals, both strategies work. However, for voltage imaging of fine structures, where bleaching and  
183 phototoxicity affect the experiments, excitation with lasers at the red spectral edge of absorption becomes  
184 crucial. Bright LED light sources, if available with a spectrum in the range between the steepest slope and  
185 the spectral edge of the excitation spectrum, might bridge these two strategies

186 Labeling with synthetic voltage-sensitive dyes can be achieved by bath application to cell cultures or  
187 injection into tissue in vivo. If the dye is externally applied, it will bind to the outer leaflet of the lipid

188 bilayer membrane. A depolarization of the membrane results in a decrease of the fluorescence intensity.  
189 The advantage of this approach is that the labeling is easy to achieve. The disadvantage is that all membrane  
190 surfaces are labeled, including glia, so that it is typically not possible to extract signals from a single neuron.  
191 Using this approach, ANNINE dyes can be used to measure, for example, voltage changes of single neurons  
192 in cell cultures (Pages et al 2011) or average membrane voltage changes and oscillations in vivo (Kuhn et  
193 al 2008).

194 If the voltage-sensitive dye is intracellularly applied the dye will label the inner leaflet of the lipid  
195 membrane. As the orientation of the dye in respect to the electric field over the membrane is reversed in  
196 comparison to the extracellular application, the signal turns: The intensity increases with a depolarization  
197 of the cell. The filling of single cells is tedious but allows to image the voltage from dendrites and axons in  
198 vitro and in vivo (Antic et al 1999, Antic & Zecevic 1995, Roome & Kuhn 2018).

199 In general, voltage-sensitive dyes can flip from one leaflet of the lipid bilayer to the other until an  
200 equilibrium is reached based on statistics and the dye's charge. If the dye will be equally distributed on both  
201 sides, the voltage signal disappears. If the dye has a net charge the signal might even turn. Interestingly,  
202 ANNINE dyes barely flip and show a similar sensitivity even after 2 weeks in vivo when intracellularly  
203 applied (Roome & Kuhn 2018). Also when extracellularly applied to HEK293 or primary cell cultures  
204 ANNINE dyes barely flip or internalize allowing extended imaging sessions (Pages et al 2011).

205 Voltage imaging is typically performed with one-photon excitation and cameras detection. In this case,  
206 huge numbers of photons can be detected reducing the relative photon noise (photon noise divided by the  
207 average number of detected photons). However, in scattering tissue as in the mammalian brain the spatial  
208 resolution is limited. Two-photon microscopy can partly overcome the scattering problem (Helmchen &  
209 Denk 2005). Additionally, two-photon microscopy allows optical sectioning. Due to the sectioning, the  
210 number of excited dye molecules and detected photons is typically orders of magnitudes lower than with  
211 wide-field one-photon excitation and camera imaging. Therefore, the relative photon noise is much higher.  
212 ANNINE dyes can be easily excited at the red spectral edge of absorption with confocal microscopy (Kuhn  
213 et al 2004, Pages et al 2011) and with two-photon microscopy, where the sensitivity increases (Fig. 2d-h)  
214 (Kuhn et al 2008, Kuhn et al 2004, Roome & Kuhn 2018).

215 Finally, ANNINE-6 dyes can be easily combined with green calcium indicators, like GCaMP, because of  
216 their spectral properties. This compatibility will allow a wide range of novel experiments.



217 The applicability of ANNINE dyes in neuroscience was previously demonstrated in neuronal cell cultures  
218 (Pages et al 2011), in bulk loaded tissue in anesthetized and awake animals (Kuhn et al 2008), and, recently,  
219 to image voltage in the dendrites of single Purkinje neurons in awake mice (Roome & Kuhn 2018).

220 To give an example of the full potential of the technique, we focus in the following paragraphs on the  
221 Purkinje dendrite experiments (Roome & Kuhn 2018). At first, we argue for the importance of studying  
222 dendritic integration under fully physiological conditions and the difficulties faced to do so. Then, we  
223 explain the experimental design to overcome these difficulties and summarize our findings.

224

### 225 **Why study dendritic integration with voltage imaging in awake animals?**

226 Dendritic information processing is fundamental to how neurons work, and consequently, to how we  
227 perceive and interact with the world around us. The elaborate geometries of neuronal dendrites, their non-  
228 linear electrical properties, and the distribution and strength of their varied synaptic inputs, enables neurons  
229 to perform complex computations (Häusser et al 2000, Stuart & Spruston 2015). The computations  
230 underlying how we respond to sensory input and learn to make controlled movements for example, is  
231 thought to occur through rapid spatio-temporal decoding of signals generated at dendritic synapses of  
232 individual neurons, at the scale of microns and milliseconds (London & Häusser 2005, Segev & London  
233 2000).

234 Over 60 years of experimental and theoretical studies devoted to understanding dendritic function have  
235 provided great insight into the complex processing that dendrites can perform (Stuart et al 2016). However,  
236 due to technological limitations, most experiments have been performed in brain slices and therefore lack  
237 the synaptic inputs that occur in awake behaving animals. These key components are essential for  
238 understanding dendritic signal processing in living animals.

239 Dendritic signal processing in the intact brain remains elusive, especially when investigating how dendritic  
240 input influences somatic activity (neuronal output), also known as ‘dendritic integration’. This is  
241 predominantly due to the technical limitations of recording from soma and dendrites simultaneously in  
242 awake animals.

243 A well-known example of dendritic integration involves action potential back-propagation, whereby a  
244 somatic action potential signal propagates backwards into the dendrites (Waters et al 2003) (in addition to  
245 forwards along the axon). In doing so, it is thought to communicate a message of successful somatic action  
246 potential generation to active dendritic synapses, and thereby modulate synaptic plasticity through local  
247 dendritic calcium influx. This form of dendritic processing occurs in several neuron types, including

248 neocortical pyramidal neurons, and has been well-studied in brain slices (Stuart & Sakmann 1994). Back-  
249 propagating action potentials are thought a key mechanism underlying how we learn and build memories  
250 (Svoboda et al 1999). Their function in the intact brain, however, is highly controversial, if or how back-  
251 propagating action potentials contribute during learning and memory remains unknown.

252 Another important example of dendritic integration thought to occur in cerebellar Purkinje neurons is  
253 coincidence detection, whereby temporally coincident synaptic input from two distinct excitatory synaptic  
254 inputs, parallel fibers and climbing fibers, is thought to trigger a neuronal signal that modifies the strength  
255 of parallel fiber input to Purkinje neuron synapses, through synaptic plasticity (Ito 2000, Wang et al 2000).

256 Electrical recording in vivo (Margrie et al 2002), and somatic whole-cell recording in particular (Petersen  
257 2017), has provided many insights into how neurons behave in their natural environment. However,  
258 electrical recording from neuronal dendrites in vivo is challenging, and is often limited to anaesthetized  
259 animals (Smith et al 2013) or restricted to single dendritic processes with limited spatial resolution across  
260 the neuron (Moore et al 2017). Importantly, although generally considered the current state-of-the-art, these  
261 techniques do not allow voltage and calcium recording from the finest spiny dendritic processes, that  
262 receive the majority of synaptic inputs.

263 On the other hand, optical functional imaging techniques in awake animals combining two-photon  
264 microscopy (Denk et al 1990), chronic cranial windows (Holtmaat et al 2009) and genetically encoded  
265 indicators (Chen et al 2013) provide high spatio-temporal resolution from spiny dendrites (Yang & Yuste  
266 2017). However, these techniques typically only use calcium indicators, reporting supra-threshold dendritic  
267 signals at a temporal resolution limited by second messenger and indicator dynamics.

268 Thus, despite its importance, recording rapid (~ 1ms) signals from fine (< 1 $\mu$ m) dendritic processes in  
269 awake animals is not possible through conventional approaches. Novel optical recording techniques  
270 designed to overcome these limitations have been eagerly anticipated. Specifically, high resolution spatio-  
271 temporal mapping of dendritic signaling using simultaneous voltage and calcium imaging is essential for  
272 investigating dendritic integration in awake animals.

273

### 274 **Simultaneous voltage and calcium imaging from dendrites and electrical somatic recording from** 275 **Purkinje neurons in awake mice**

276 By combining simultaneous sub-millisecond voltage and calcium two-photon imaging from spiny dendrites  
277 with somatic electrical recording, we investigated dendritic processing of spontaneously active cerebellar  
278 Purkinje neurons (PNs) in awake resting mice. These multidimensional dendritic-somatic recordings are

279 the first to be conducted in an awake animal, serving as an introduction to the much-anticipated field of  
280 voltage imaging from neuronal dendrites in behaving animals.

281 Several experimental challenges had to be overcome to do the experiments (Fig. 3).

282 Chronic cranial windows have been instrumental in advancing in vivo optical imaging studies, permitting  
283 long-term high-resolution imaging in various brain regions in awake animals, however it does not allow to  
284 access the brain. Using a simple modification to the chronic cranial window technique we incorporated a  
285 sterile silicone access port into the window (Fig. 3a) that permits long-term repeated physical and optical  
286 access to the brain (Fig. 3b) (Roome & Kuhn 2014).

287 Filling single neurons with ANNINE-6plus turned out to be a real challenge. However, ANNINE-6plus  
288 dissolves well in ethanol (Fig. 3c) and we used this ANNINE-6plus/ethanol solution to label individual  
289 neurons in vivo under a two-photon microscope (Fig. 3d) by electroporation (Fig. 3e-h). After some  
290 practice, the electroporation procedure is a reliable way to fill Purkinje neurons in vivo (Fig. 3i-k) and also  
291 other neurons such as cortical pyramidal neurons (Fig. 3l).

292 The chronic cranial window with access port also allowed us to perform simultaneous electrophysiology or  
293 pharmacological manipulations and optical imaging on awake mice over several weeks (Roome & Kuhn  
294 2018). Since animals recover quickly from surgery and can be used repetitively for many weeks (until bone  
295 regrowth obscures the window), behavioral training may be implemented. Perhaps equally important, the  
296 total number of animals used in research is significantly reduced, while the information gained from a single  
297 animal is dramatically increased.

298 We double-labelled single cerebellar Purkinje neurons with ANNINE-6plus and GCaMP6f for  
299 simultaneous dendritic voltage and calcium imaging. To reduce phototoxicity and increase signal  
300 amplitude, we excited at the red spectral edge of absorption (1020nm). Using line scans (position indicated  
301 in Fig. 3k) at a temporal resolution of 2 kHz we simultaneously recorded voltage and calcium signals from  
302 the PN spiny dendrites (Fig. 4a,b). Extracellular electrophysiology was performed at the labelled PN soma  
303 to record somatic activity (Fig. 4c). Pharmacological manipulations were also used to identify the voltage  
304 and calcium dendritic signals that we recorded and importantly, these dendritic recordings could be repeated  
305 for up to two weeks in an awake mouse (Roome & Kuhn 2018, Roome & Kuhn 2019).

306 Our results confirmed many findings that were described previously only in brain slices, including highly  
307 attenuated back-propagating action potentials in the PN dendrites (Roome & Kuhn 2018). Dendritic voltage  
308 imaging revealed spatio-temporal dendritic signaling patterns in PNs that was far more complex, dynamic,  
309 and fine scaled than previously anticipated, and surprisingly, even in resting animals. We observed discrete

310 1–2 ms suprathreshold voltage spikelets that invaded the distal spiny dendrites during dendritic complex  
311 spike events (Fig. 4d). These spikelets and their calcium correlates are highly variable in number, timing  
312 and most striking, in their spatial variability, such that the number of calcium spikelets generated by a single  
313 climbing fiber input varied across different dendritic regions to produce fully spatially and temporally  
314 graded calcium signals evoked by the formally assumed monolithic ('all-or-none') complex spike event  
315 (Fig. 4d).

316 Dendritic voltage imaging also detected rapid subthreshold voltage signals evoked by parallel fiber synaptic  
317 input for the first time in vivo (Fig. 5a, also visible in Fig. 4a). These events, we refer to as 'hotspots', were  
318 localized to fine dendritic processes and had no corresponding calcium signal. Hotspots were partially  
319 blocked by AMPA/kainate antagonist (CNQX) and by Na<sup>+</sup> channel antagonist (lidocaine) and showed  
320 regimes of linear and nonlinear relationship with the somatic simple spike firing rate (Fig. 5c-d) (Roome &  
321 Kuhn 2018). It was surprising to find that hotspot synaptic EPSPs were remarkably fast (5–10 ms) and  
322 localized to short (~5µm) dendritic segments, with a shorter apparent length constant than had been  
323 predicted from computational modelling techniques (De Schutter & Bower 1994b, Roth & Häusser 2001).  
324 It is worth noting however that the spatial extent of these signals agrees well with clustered co-activated  
325 synaptic input observed in layer 2/3 pyramidal neurons in the cortex (Scholl et al 2017, Wilson et al 2016),  
326 and supports theories for spatio-temporally clustered synaptic input and fine-scale (5-10 micrometer) units  
327 of dendritic computation in vivo (Larkum & Nevian 2008, Wilms & Häusser 2015, Yasuda & Murakoshi  
328 2011). It is likely that the EPSP length constant is modulated by intrinsic dendritic mechanisms (i.e. active  
329 and passive channels) and/or coincident synaptic mechanisms, such as through feedforward inhibition via  
330 molecular interneurons (De Schutter 1998, De Schutter & Bower 1994a, Mittmann et al 2005).

331 In addition to the well-known climbing fiber evoked dendritic calcium spikes, we also detected rare non-  
332 climbing fiber evoked dendritic spikes that occurred following a sharp increase in hotspot activity in the  
333 spiny dendrites (Fig. 5a and e). Unlike climbing fiber evoked dendritic spikes, dendritic spike events  
334 generated a smaller localized elevation in dendritic calcium and with no associated somatic signal. Parallel  
335 fiber evoked dendritic spikes had not previously been observed in vivo. PF evoked dendritic spike events  
336 frequently follow climbing fiber evoked dendritic complex spike events, and thus contribute to the overall  
337 dendritic calcium signal. Our findings indicate that a strong increase in parallel fiber input evoked by  
338 sensory stimulation, for example, may function to enhance dendritic calcium influx if a coincident climbing  
339 fiber-evoked event occurs. This form of dendritic coincidence detection of parallel fiber and climbing fiber  
340 input is known to induce long-term depression (LTD) at PF-PN synapses (in brain slices) (Wang et al 2000)  
341 and is thought a key mechanism underlying learning for the control of movements by the cerebellum.

342

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346

347 **Author Contributions**

348 C.J.R. and B.K. wrote the manuscript.

349

350 **Conflict of Interest Statement**

351 The authors have no conflict of interest.

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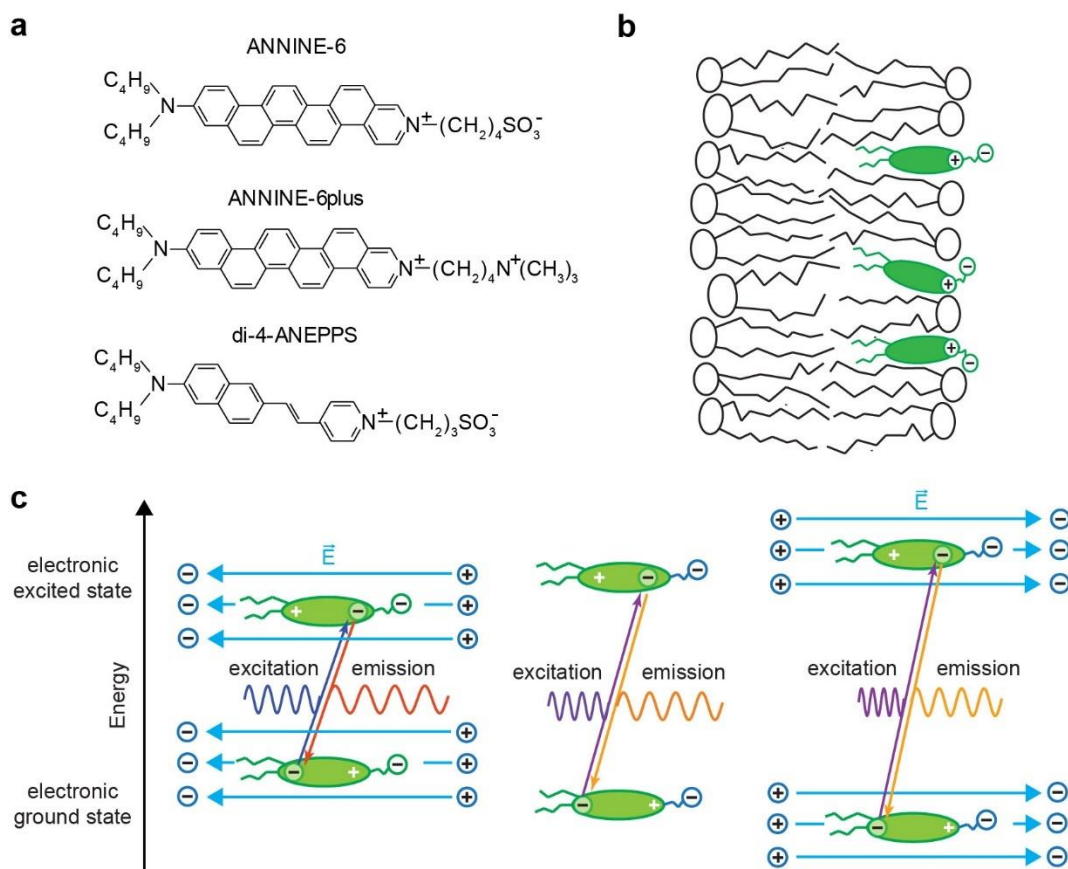
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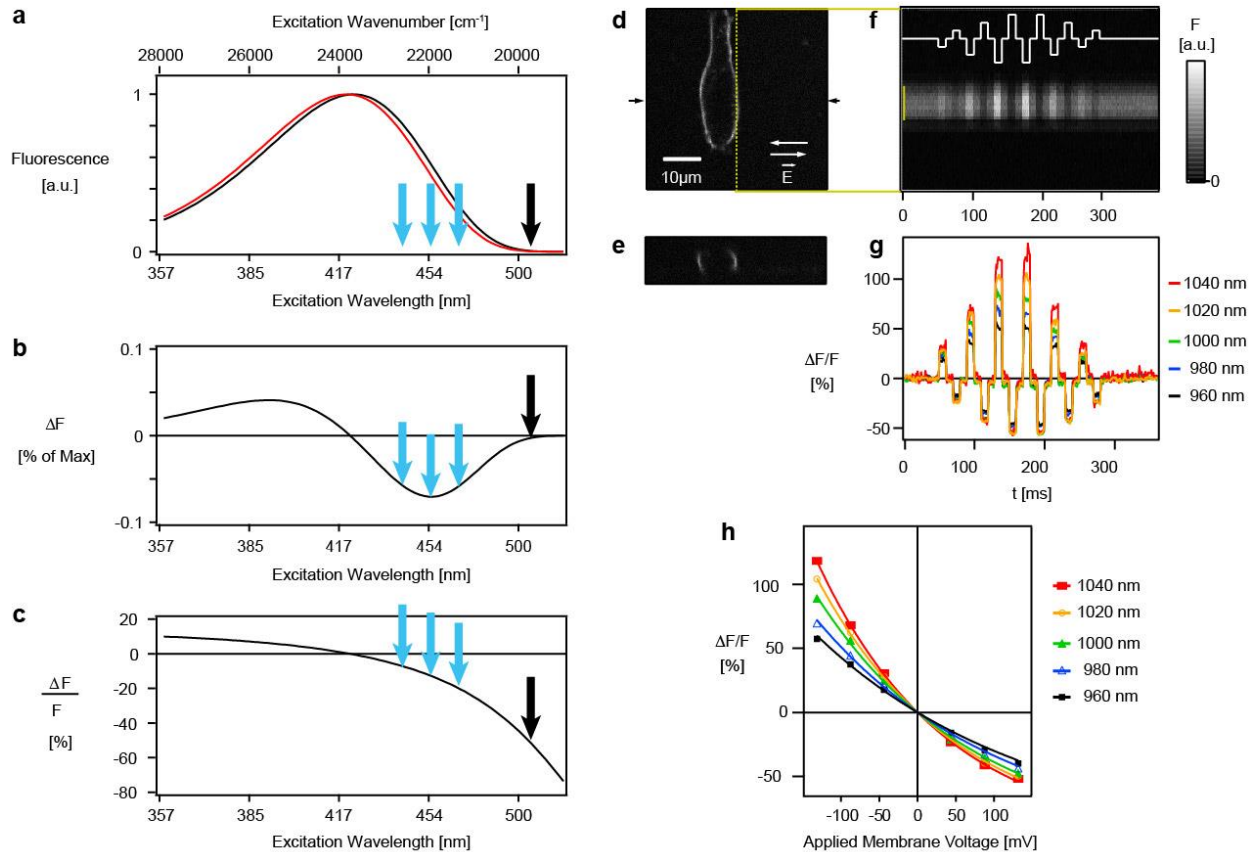
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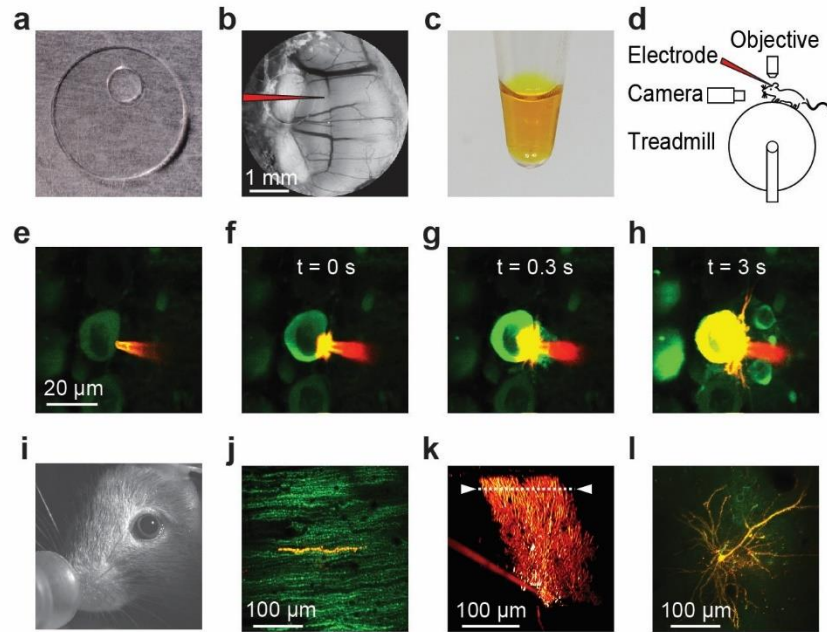
466 **Figure 1** Mechanism of voltage-sensitivity in electrochromic dyes. (a) Structure of three electrochromic  
 467 dyes, ANNINE-6, ANNINE-6plus, and Di-4-ANEPPS. (b) Due to their hydrophobic and hydrophilic  
 468 domains, electrochromic dyes bind to lipid membranes. (c) Excitation and emission of an electrochromic  
 469 dye molecule causes a charge shift within the chromophore (center). This charge shift is modulated by an  
 470 external electric field and shifts both the absorption and emission spectrum to either lower (left) or higher  
 471 energy (right), corresponding to higher and lower wavelength, respectively. (Kuhn & Roome 2019)



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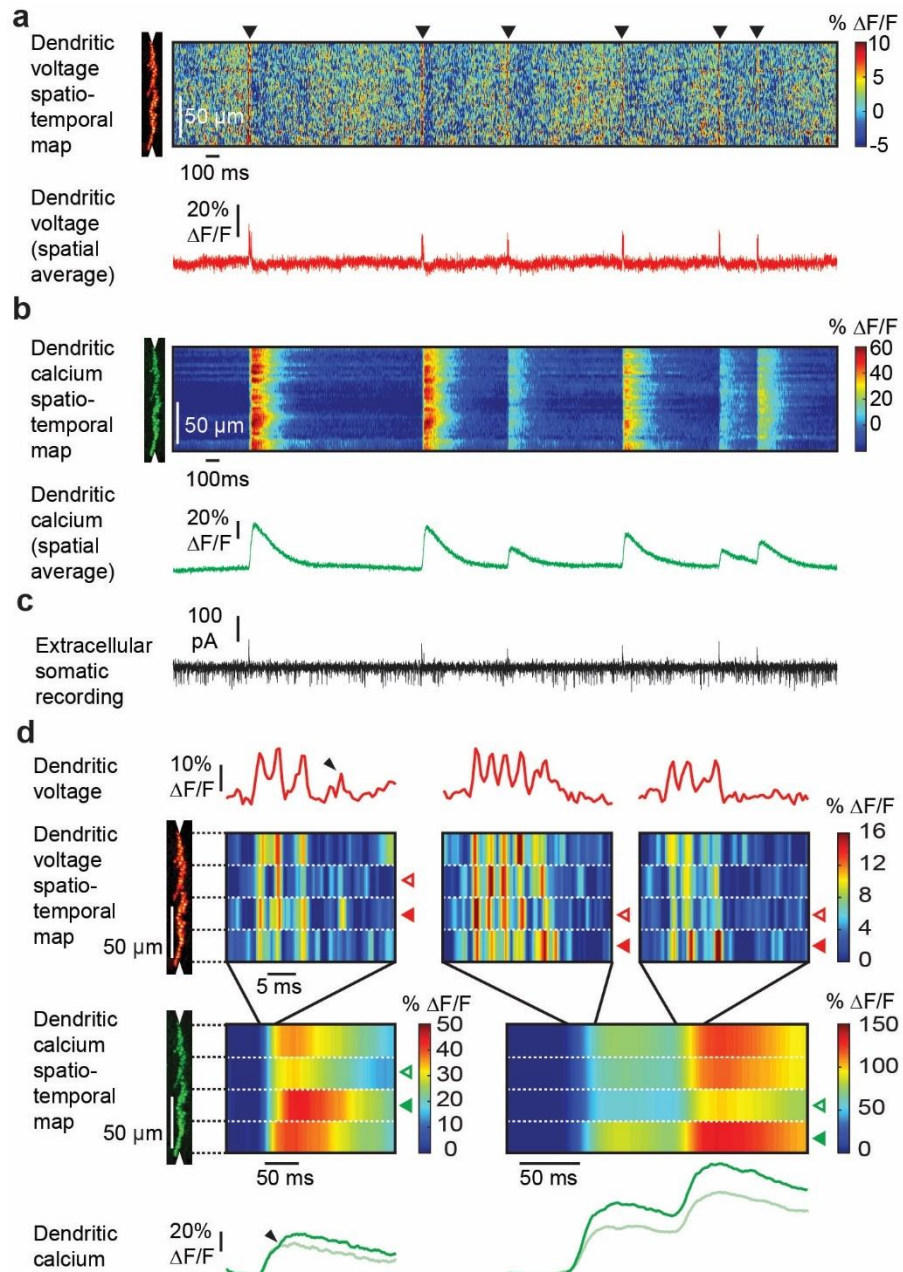
474 **Figure 2** Optimizing voltage imaging with a charge-shift probe by excitation at the red spectral edge of  
 475 absorption, exemplified by ANNINE-6. (a) An external electric field shifts the excitation and the emission  
 476 spectrum. In this example, the absorption spectrum of ANNINE-6 in the outer lipid membrane leaflet of a  
 477 neuronal membrane at resting potential (black) is shifted by a 100 mV membrane voltage change (red),  
 478 corresponding to an action potential. The spectral shift is about 3 nm. (b) The difference between the two  
 479 spectra, the fluorescence change  $\Delta F$ , shows a maximum and a minimum at the steepest slope of the  
 480 spectrum. (c) The fluorescence change normalized by the spectrum at rest results in the relative fluores-  
 481 cence change  $\Delta F/F$ . The relative fluorescence change diverges at the red spectral edge of absorption. If a white  
 482 light source – i.e. the photon output is distributed over a wide spectral range – is used for voltage imaging  
 483 experiments, the signal  $\Delta F$  is optimized by exciting a range around the steepest spectral slope (blue arrows,  
 484 excitation band of about 440 to 470 nm). The  $\Delta F$  integral of this spectral range is proportional to the detected  
 485 voltage signal. With laser excitation, however, it is possible to optimize the relative fluorescence change  
 486  $\Delta F/F$  or sensitivity by excitation at the red spectral edge of the absorption spectrum (black arrows).  $\Delta F/F$  is  
 487 a measure of information gained per detected photon, and it rises steeply at the spectral edge. As the  
 488 absorption cross-section in this spectral range is very low, practically infinitely bright light sources with

489 narrow spectral range, such as lasers, are required for this optimization to reach a sufficient intensity level  
490 above photon shot-noise. Experimentally, the increase of sensitivity at the red spectral edge of absorption  
491 can be shown with one-photon excitation (Kuhn et al 2004) and, here, two-photon excitation at twice the  
492 excitation wavelength of one-photon excitation. (d,e) A HEK293 cell labeled with ANNINE-6 (f) is  
493 exposed to external electric fields (field direction indicated by arrows in (d)) while scanning along the  
494 membrane with two-photon excitation. (g) By increasing the excitation wavelength, the responses for the  
495 same membrane voltage change get larger. The excitation power of the laser is increased to keep the  
496 measured fluorescence intensity constant when exciting closer to red spectral edge of absorption. (h) The  
497 responses are linear in the physiological range of membrane voltage changes. Modified with permission  
498 from Elsevier (Kuhn et al 2004).



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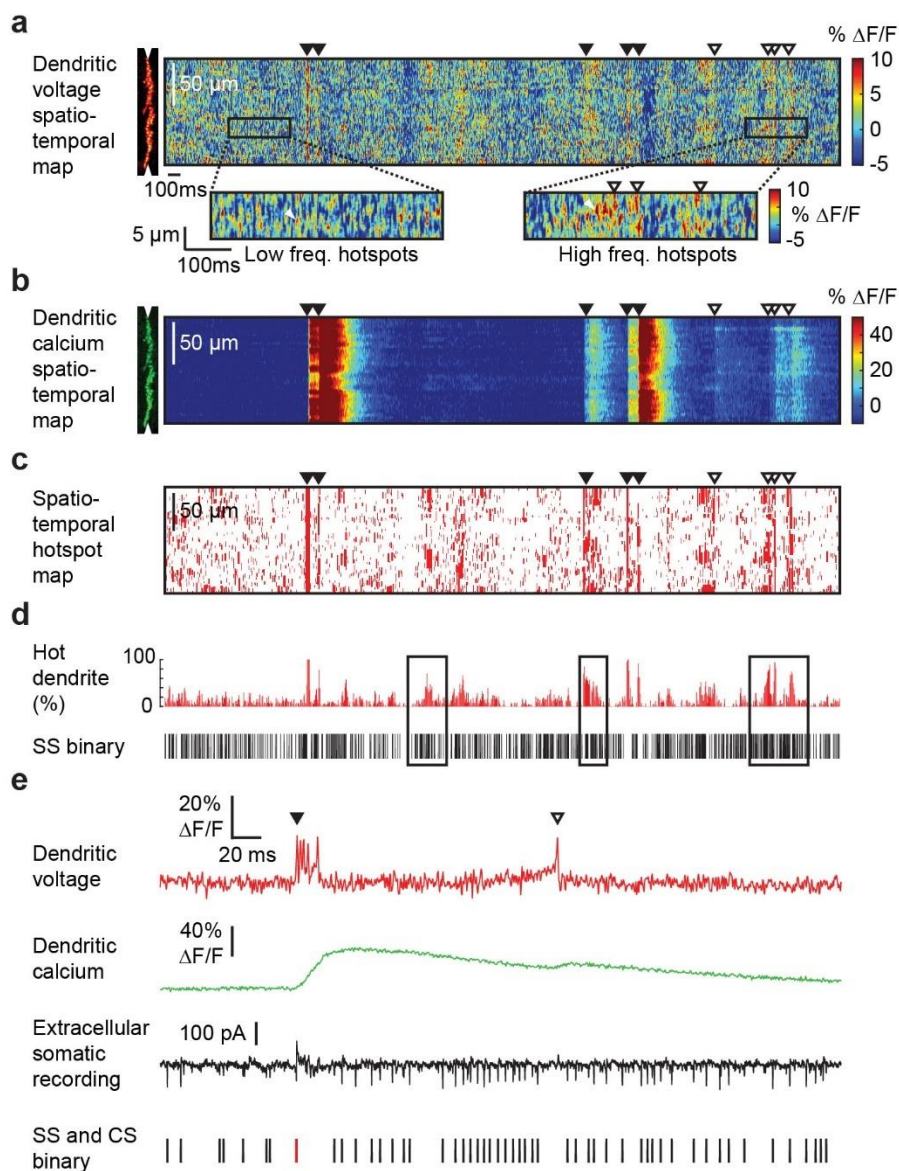
500 **Figure 3** Double-labelling individual neurons for combined voltage and calcium two-photon imaging in  
 501 awake mice. (a) 5-mm glass cover slip with silicone access port (Roome & Kuhn 2014). (b) A chronic  
 502 cranial window with access port on the vermis of the cerebellum allows access to the brain with a pipette  
 503 (schematically indicated). (c) ANNINE-6plus dissolved in pure ethanol at 3 mM concentration. (d) Sketch  
 504 of the setup with a mouse mounted on a treadmill under a two-photon microscope. An electrode is used to  
 505 fill single neurons by electroporation and to electrically record from their soma. A behavioral camera allows  
 506 detailed observation of the pupil, the vibrissa, and the face of the mouse. (e-h) A patch pipette filled with  
 507 ANNINE-6plus/ethanol solution is used to label single GCaMP6f expressing neurons by electroporation in  
 508 the anesthetized mouse. (i) During the imaging experiment the mouse is fully awake, sitting on a treadmill  
 509 and monitored with behavioral a camera. 24 hours after labelling a Purkinje neuron with ANNINE-6plus,  
 510 the dye has spread out evenly, as can be seen in (j) the cross section of the Purkinje neuron dendrite as an  
 511 overlay of the green channel (GCaMP6f) and the red channel (ANNINE-6plus) and in (k) the reconstruction  
 512 of the Purkinje neuron in the red channel (ANNINE-6plus). The dotted line indicates the line scan position  
 513 used in Fig. 4 and 5. It is also possible to fill other neurons with ANNINE-6plus by electroporation, as, for  
 514 example, (l) cortical layer 2/3 pyramidal neurons shown as overlaid z-projection of the green channel  
 515 (GCaMP6f) and the red channel (ANNINE-6plus). (Roome & Kuhn 2019)



516

517 **Figure 4** Simultaneous voltage and calcium imaging of Purkinje neuron dendrites and somatic recording  
 518 in the awake mouse. (a) A line scan at 2 kHz was taken along the Purkinje neuron dendrites (scan position  
 519 shown in Fig. 3j) to record a voltage spatio-temporal map in an awake mouse. The spatially averaged  
 520 dendritic voltage (red trace) clearly shows suprathreshold dendritic complex spikes (black triangles). (b)  
 521 The corresponding dendritic calcium spatio-temporal map and spatially averaged dendritic calcium (green  
 522 trace) shows large calcium transients for every dendritic complex spike. (c) The access port also allowed  
 523 simultaneous extracellular electrical recordings from the soma (black trace) while imaging voltage and

524 calcium transients from the dendrites. Simple spikes (somatic Na<sup>+</sup> spikes) result in a current sink at the  
525 soma, while complex spikes (dendritic Ca<sup>2+</sup> spikes) result in a dominant current source signal at the soma.  
526 (d) Different parts of the dendritic tree show a different number of spikelets during the same complex spike  
527 event. The number of spikelets correlate with the amplitude of the calcium transients in each part of the  
528 dendritic tree. Open arrowheads indicate spatially localized low activity, filled arrowheads show high  
529 activity. Spatially localized dendritic spikelets during complex spikes correlate with a local boost in the  
530 dendritic calcium transient (small arrowheads). (Roome & Kuhn 2018)



532

533 **Figure 5** Sub- and suprathreshold dendritic signaling in awake mice. (a) Dendritic voltage spatio-temporal  
 534 maps show epochs of low and high frequency subthreshold ‘hotspot’ events in Purkinje neuron dendrites  
 535 (scan position shown in Fig. 3j). White arrow heads indicate single hotspot events. (b) The corresponding  
 536 calcium spatio-temporal map does not show any correlated calcium transients except following  
 537 suprathreshold complex spikes and dendritic spikes indicated in (a) by filled and open triangles,  
 538 respectively. (c) By thresholding and additional spatio-temporal selection criteria, a spatio-temporal hotspot  
 539 map can be generated. (d) Hotspot activity correlates with the simple spike (SS) activity at the soma. (e)  
 540 Spatially averaged dendritic voltage (red) and calcium (green) recorded at 2kHz, reveal rapid (1-2 ms) and

541 variable suprathreshold dendritic spikelets during complex spikes (filled triangle). Extracellular somatic  
542 recordings (black) were used to identify the somatic output signals; simple spikes (SS: black binary trace)  
543 and complex spikes (CS: red binary trace). Non-climbing fiber evoked suprathreshold dendritic calcium  
544 spikes (open triangles) were detected in the awake mouse which enhance local calcium influx and showed  
545 no coincident sodium influx (simple spike) at the soma. (Roome & Kuhn 2018)