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1 Investigation of influence of an obstacle on granular flows by  
2 virtue of a depth-integrated theory

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4 **Abstract**

5 Understanding granular flows past an obstacle is very important to most possibly avoid  
6 damage to human properties and infrastructures. The present paper investigates the influ-  
7 ence of an obstacle on dry and fluid-saturated granular flows to gain insights into physics  
8 behind them. To this end, we extend the existing depth-integrated theory by considering  
9 additional effects from the pore fluid pressure and the granular dilatancy. We revisit a large-  
10 scale experiment to validate the extended theory. The good agreement between numerical  
11 results and experimental data reveals that the granular dilatancy plays a crucial role in the  
12 mobility and peak depth. Furthermore, we investigate the influence of obstacles on dynamics  
13 of dry granular flows by comparing numerical results with experimental data. It is shown  
14 that shock waves, dead zones and vacuum (grain-free zone) well observed in the experiments  
15 can be captured. Additionally, a fluid-saturated granular flow past the same obstacle is  
16 numerically simulated to interpret the role of the interstitial fluid, especially the pore fluid  
17 pressure, in the fluid-granular mixture causing distinct dynamic behaviours from those of a  
18 dry granular flow. It is also found that the granular dilatancy has a significant influence on  
19 the pore fluid pressure which can mitigate the granular friction. This is consistent with many  
20 experimental observations. Additionally, it is demonstrated that the pore fluid pressure is  
21 prone to elevate the flow depth in front of a cuboid dam (but not in front of a forward-facing  
22 tetrahedral wedge), which in turn mitigates the granular friction. The findings are helpful to  
23 understand complex behaviours encountered in geophysical flows and industrial processes.

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24 **Keywords:** Granular flows; fluid-saturated granular flows; fluid-granular mixture model; ex-  
25 perimental comparison; granular dilatancy

## 26 Introduction

27 The influence of an obstacle on granular flows has attracted scientists' and engineers' attentions  
28 due to their close relevance to industrial processes and natural hazards. Particularly, obstacles  
29 are often constructed to mitigate the impact of granular flows (e.g. snow avalanche, landslides  
30 and debris flows) on humans' infrastructures in the context of geophysical flows. The occurrences  
31 of natural hazards are becoming more frequent, which makes it pressing to investigate the  
32 influence of an obstacle on granular flows.

33 The last decades have witnessed significant progress in the understanding of the physics of  
34 debris flows. Similar to dry granular flows, debris flows are also dominated by granular friction,  
35 but they differ from dry granular flows due to the presence of an interstitial liquid. The difference  
36 makes them exhibit remarkably different behaviours from their dry counterparts (see *Iverson*  
37 [1997]). Experiments have evidenced that granular media immersed in water and subjected to  
38 shear are prone to dilate or contract, which can cause the interstitial liquid being sucked into  
39 pores or being pressed (*Guazzelli and Pouliquen* [2018]). Furthermore, the pore fluid pressure  
40 can correspondingly decrease or increase in relation to its original hydrostatic pressure, which  
41 would consolidate or mitigate the granular internal friction, see *Iverson et al.* [2000], *Rondon et*  
42 *al.* [2011], *Wang et al.* [2017], and *Meng and Wang* [2018].

43 As for the interaction of a debris flow with obstacles, *Canelli et al.* [2012] analyzed the impact  
44 force of a debris flow on rigid and flexible barriers and discussed the possible formulas by which  
45 the impact force could be estimated. *Song et al.* [2017] designed the experiment and estimated  
46 the impact force of a debris flow on the obstacle. *Choi et al.* [2014] employed the Discrete Element  
47 Method (DEM) to provide insights into the influence of an array of baffles on the dynamics of  
48 debris flows. *Albaba et al.* [2015] treated a debris flow as a dry medium and discussed the  
49 influence of a vertical wall on the dynamics of debris flows by using DEM. Further, *Leonardi*  
50 *et al.* [2016] applied the combination of DEM and the Lattice-Boltzmann Method (LBM) to  
51 investigate the influence of flexible barriers on debris flows. Indeed, experiments and DEMs  
52 can provide insights into the influence of obstacles on dynamics of debris flows. However, the  
53 financial cost of an experiment is usually formidable, and the DEM suffers from overwhelming  
54 computational burden due to its inherent characteristic of tracking each particle which makes  
55 it almost impossible to solve real geophysical flows.

56 Instead, the granular medium can be treated as a continuum, so that classical continuum

57 mechanics can be applied. In the continuum approach, a rheological relation is required to  
58 complement the momentum balance equation. The last decade has witnessed success of the  
59  $\mu(I)$ -rheology (*Jop et al.* [2006] and *Forterre and Pouliquen* [2008]) to describe the granular  
60 constitutive relation. However, a recent work (*Barker et al.* [2015]) demonstrates that the  $\mu(I)$ -  
61 rheology suffers from ill-posed behavior in the quasi-static regime, which implies that it cannot  
62 be applied to investigate granular flows past an obstacle. A reliable and mature approach  
63 to circumvent this difficulty is to create a depth-integrated model by proposing a reasonable  
64 friction boundary condition on the bottom and then utilizing depth-integration techniques to  
65 transform the mass and momentum balance equations into depth-integrated forms, see *Savage*  
66 *and Hutter* [1989], *Gray et al.* [1999], *Gray and Edwards* [2014], *Iverson and George* [2014], *Meng*  
67 *and Wang* [2018] etc. Perhaps only the models of *Iverson and George* [2014], *Bouchut et al.*  
68 [2016] and *Meng and Wang* [2018], among the existing depth-integrated models of debris flows  
69 (*Iverson and Denlinger* [2001], *Pitman and Le* [2005], *Pudasaini* [2012], *Iverson and George*  
70 [2014], *Meng and Wang* [2016], *Bouchut et al.* [2016], *Meng and Wang* [2017] and *Meng and*  
71 *Wang* [2018]), incorporate sufficient physics to describe the coupling of the granular dilatancy  
72 and the pore fluid pressure, which has a significant influence on the mobility of debris flows and  
73 plays a crucial role in hysterical behaviours of such flows (*Iverson et al.* [2000]; *Rondon et al.*  
74 [2011]). However, these depth-integrated models that take the granular dilatancy into account  
75 are formulated either in Cartesian coordinates or simple curvilinear coordinates, such that they  
76 principally work for debris flows over a flat topography.

77 The present paper aims to provide insights into the influence of an obstacle on dry granular  
78 and debris flows by employing a depth-integrated model. To this end, we employ the model of  
79 *Meng and Wang* [2018] and consider an obstacle as a basal elevation from the reference plane  
80 which follows the landscape topography. The resulting theory takes the granular dilatancy,  
81 also the pore fluid pressure for fluid-granular mixture, into account. To validate the theory we  
82 revisit a large-scale experiment conducted in USGS flume to mimic debris flow's behaviours.  
83 Furthermore, we investigate the influence of an obstacle on dry granular flows, towards the goal  
84 to understand the physics behind dry granular flows past an obstacle, though many relevant re-  
85 search have been conducted, see *Chu et al.* [1995], *Faug et al.* [2002], *Gray et al.* [2003], *Moriguchi*  
86 *et al.* [2009], *Kuo et al.* [2015], etc. However, most aforementioned studies are confined to ideal  
87 configurations, e.g. a uniform granular flow past an obstacle or a two-dimensional problem.  
88 Instead, we investigate the granular flow of a finite volume past a sharp and a blunt obstacle,  
89 respectively, in which the obstacle is treated as part of the basal topography that consists of an  
90 incline, a horizontal runout plane and a smooth transition between them. This configuration

91 is more close to real flows compared to those of the aforementioned studies. Additionally, the  
 92 current theory is applied to investigate fluid-saturated granular flows past the same obstacles  
 93 and compare the corresponding numerical results with those of dry granular flows to explore the  
 94 influence of the interstitial fluid (especially the influence of the pore fluid pressure), which to  
 95 the best of our knowledge has been studied only by *Kattel et al.* [2018] by employing a depth-  
 96 integrated theory. Nevertheless, the model of *Kattel et al.* [2018] does not take the granular  
 97 dilatancy into account; hence the role of the pore fluid pressure cannot be studied.

## 98 1 Model equations and numerical technique

### 99 1.1 Governing equations and constitutive model

100 A saturated grain-fluid mixture is considered, in which the interstitial liquid fills all the voids  
 101 between the grains. Principally, there exist two kinds of approaches to describe such flows.  
 102 Mixture theory is either employed (see *Truesdell* [1992]) or averaging theory is adopted (see  
 103 *Anderson and Jackson* [1967]). These two approaches have different momentum equations for  
 104 the constituents, but they share the same conservation laws for the mixture as a whole. The  
 105 mass and momentum conservation equations of the mixture as a whole are given by

$$106 \quad \frac{\partial \rho}{\partial t} + \nabla \cdot (\rho \mathbf{u}) = 0, \quad (1)$$

$$107 \quad \frac{\partial(\rho \mathbf{u})}{\partial t} + \nabla \cdot (\rho \mathbf{u} \otimes \mathbf{u}) = \nabla \cdot (\mathbf{T}_s + \mathbf{T}_f + \mathbf{T}') + \rho \mathbf{g}, \quad (2)$$

109 where  $t$  denotes the time,  $\rho$  the mixture density, and  $\mathbf{u}$  the mixture velocity. The mixture density  
 110 and velocity are defined by

$$111 \quad \rho = \rho_s + \rho_f \quad \text{and} \quad \mathbf{u} = (\rho_s \mathbf{u}_s + \rho_f \mathbf{u}_f) / \rho, \quad (3)$$

113 where  $\rho_s$  and  $\rho_f$  are called partial densities in mixture theory. They are connected with the  
 114 material intrinsic densities  $\tilde{\rho}_\eta$  ( $\eta = \{s, f\}$ ) through relation  $\rho_\eta = \tilde{\rho}_\eta \phi_\eta$ , in which the quantity  
 115  $\phi_\eta$  is called volume fraction of the  $\eta$  constituent, and  $\phi_s + \phi_f = 1$  holds for saturated media.  $\mathbf{u}_s$   
 116 and  $\mathbf{u}_f$  indicate the solid and the fluid partial velocities, respectively. The mixture velocity  $\mathbf{u}$   
 117 is referred to the barycentre rather than the centre of the volume.

118 The variable  $\mathbf{T}_\eta$  ( $\eta = \{s, f\}$ ) represents the partial stress tensor of constituent  $\eta$ . Partic-  
 119 ularly, the solid partial stress  $\mathbf{T}_s$  can be actually connected with the solid effective stress  $\mathbf{T}_e$   
 120 from soil mechanics by  $\mathbf{T}_s = -\phi_s p_f \mathbf{I} - \mathbf{T}_e$  (*Iverson* [1997]). The term  $-\phi_s p_f \mathbf{I}$  denotes the  
 121 contribution of the pore fluid pressure and the solid effective stress  $\mathbf{T}_e$  represents contact force  
 122 of grains, in which the emergence of a negative sign in front of  $\mathbf{T}_e$  is due to the convention that

123 the compressive stress is positive in soil mechanics. The solid effective stress  $\mathbf{T}_e$  is assumed  
 124 to satisfy the Mohr-Coulomb yield criterion, already applied by *Savage and Hutter* [1989]; it  
 125 implies that the shear stress is proportional to the normal stress by a coefficient as the material  
 126 yields. The internal shear stress  $\mathbf{S} = \mathbf{T}_e \cdot \mathbf{n} - (\mathbf{n} \cdot \mathbf{T}_e \cdot \mathbf{n})\mathbf{n}$  and the normal stress  $N = \mathbf{n} \cdot \mathbf{T}_e \cdot \mathbf{n}$   
 127 are related by

$$128 \quad |\mathbf{S}| = N \tan(\varphi + \psi), \quad (4)$$

130 where  $\varphi$  is the internal friction angle which can be measured directly and  $\psi$  represents the  
 131 granular dilatancy angle which is determined by a dilatancy law presented in Sect. 2.2. Relation  
 132 (4) reproduces well-observed behaviors in soil mechanics and granular physics that the shear  
 133 stress is augmented when the grains are being dilated ( $\psi > 0$ ), but decreased when the grains  
 134 are compressed ( $\psi < 0$ ).

135 Additionally, the fluid partial stress is given by  $\mathbf{T}_f = -\phi_f p_f \mathbf{I} + \phi_f \boldsymbol{\tau}_f$ , and Newtonian  
 136 behaviour is postulated so that  $\boldsymbol{\tau}_f = 2\mu_f \mathbf{D}$ , where  $\mu_f$  is the fluid dynamic viscosity and  $\mathbf{D} =$   
 137  $(\nabla \mathbf{u} + (\nabla \mathbf{u})^T)/2$  is the rate of strain tensor. The stress tensor  $\mathbf{T}'$  in (2) characterizes the  
 138 contribution of the motions of the solid and fluid constituents relative to the mixture as a whole,  
 139 defined by

$$140 \quad \mathbf{T}' = \rho_s \phi_s (\mathbf{u}_s - \mathbf{u}) \otimes (\mathbf{u}_s - \mathbf{u}) + \rho_f \phi_f (\mathbf{u}_f - \mathbf{u}) \otimes (\mathbf{u}_f - \mathbf{u}), \quad (5)$$

142 where the terms on the right-hand side express the momentum fluxes of the solid and fluid,  
 143 respectively, relative to the mixture velocity.

144 The summation of the aforementioned stress tensors leads to  $\mathbf{T}_s + \mathbf{T}_f + \mathbf{T}' = -p_f \mathbf{I} + \phi_f \boldsymbol{\tau}_f -$   
 145  $\mathbf{T}_e + \mathbf{T}'$ , which reduces to  $-p_f \mathbf{I} - \mathbf{T}_e$  in hydrostatic states. The absence of the fluid volume  
 146 fraction in the bulk stress in hydrostatic states agrees with the observation from experimental  
 147 measurements in soil mechanics that the manometric pressure in the soil is the pressure as if the  
 148 medium were a bulk fluid, unaffected by the presence of the solid constituent in the medium.  
 149 Further, it is vital to note that the momentum equation (2) can be reduced to a hydrostatic  
 150 balance. Provided that the solid has the same density as the interstitial fluid, i.e.  $\tilde{\rho}_s = \tilde{\rho}_f$ ,  
 151 grains would suspend in the fluid and the contact force among grains will vanish, which then  
 152 implies  $\mathbf{T}_e = 0$ . In this case, (2) reduces to

$$153 \quad \nabla p_f = \tilde{\rho}_f \mathbf{g}, \quad (6)$$

154 which exactly describes a hydrostatic balance.

155 To simplify the model but still capture the key physics, we postulate that the fluid and the  
 156 solid move with the same bed-aligned velocity, yet the components of the velocities perpendicular  
 157

158 to the bed are different due to the effect of the granular dilatancy. The physical basis of this  
 159 postulation lies in the fact that the typical geophysical data imply  $|\mathbf{u}_f - \mathbf{u}_s| / |\mathbf{u}_s| \ll 1$  (see  
 160 *Iverson and Denlinger* [2001] and *Iverson and George* [2014]). Consequently, it is reasonable to  
 161 deduce that the fluid and the solid phase move with the same bed-aligned velocity. However,  
 162 we retain the difference of the components of velocities perpendicular to the bed herein, given  
 163 that a very small difference can cause the development of a significant excess pore fluid pressure  
 164 which in turn affects the movement of the grains.

## 165 1.2 Dilatancy law

166 A number of experiments (see *Guazzelli and Pouliquen* [2018]) demonstrate that the granular  
 167 dilatancy can induce a relative movement between the fluid and the solid, which is linked with  
 168 the development of excess pore fluid pressure. The original dilatancy law proposed by *Roux and*  
 169 *Radjai* [1998] is used for the description of quasi-static dry granular flows. *Pailha and Pouliquen*  
 170 [2009] modified this formulation for granular flows immersed in the water, and the modified form  
 171 is given by

$$172 \quad -\frac{1}{\phi_s} \frac{d\phi_s}{dt} = \nabla \cdot \mathbf{u}_s = \dot{\gamma} \tan \psi, \quad (7)$$

$$173 \quad \tan \psi = k_1(\phi_s - \phi_{eq}), \quad (8)$$

$$174 \quad \phi_{eq} = \phi_c - k_2 I_v, \quad (9)$$

176 where  $\dot{\gamma}$  is a scalar measure of shear rate and  $\dot{\gamma}/2$  represents the square root of the second  
 177 principal invariant of the granular deviatoric deformation-rate tensor. The parameters  $k_1$  and  
 178  $k_2$  are positive,  $\phi_{eq}$  is the equilibrium solid volume fraction, and  $\phi_c$  is the critical solid volume  
 179 fraction observed when a continuous quasi-static deformation takes place. Usually,  $\phi_c$  determines  
 180 whether the initial packing is dense ( $\phi_s > \phi_c$ ) or loose ( $\phi_s < \phi_c$ ). The viscous number  $I_v =$   
 181  $\mu_f \dot{\gamma} / T_{e(zz)}$  represents the timescale ratio between the grain-rearrangement timescale ( $\mu_f / T_{e(zz)}$ )  
 182 and characteristic time ( $1/\dot{\gamma}$ ) for bulk shear deformation. Relations (7)-(9) imply that the  
 183 granular material, subject to shear, will evolve towards the same steady state, no matter whether  
 184 the initial preparation is loose or dense.

## 185 1.3 Boundary Conditions

186 As the granular dilatancy takes place, grains are prone to protrude the water surface. Conversely,  
 187 grains deposit underneath the water surface in the presence of granular compression. The relative  
 188 movement in the normal direction poses a challenge to properly define the upper boundary. We  
 189 follow *Iverson and George* [2014] to introduce a virtual surface as the upper surface, beneath

190 which the mixture mass per unit basal area is the same as the mass between the bottom and  
 191 top surface, and the volume fraction can be also reasonably assumed to be uniform along the  
 192 depth direction. It implies that some combination of solid or fluid mass immediately above or  
 193 below the virtual surface will be replaced by an equivalently massive and homogeneous layer  
 194 with density  $\rho$  and the upper surface at  $z = s(x, y, t)$ . The above description implies that a  
 195 material condition

$$196 \quad \frac{\partial \mathcal{F}^{(s)}}{\partial t} + \mathbf{u}^{(s)} \cdot \nabla \mathcal{F}^{(s)} = 0, \quad (10)$$

198 holds for the bulk, where the free surface is  $\mathcal{F}^{(s)} = z - s(x, y, t) = 0$  and the normal vector of  
 199 the free surface is  $\mathbf{n}^{(s)} = \nabla \mathcal{F}^{(s)} / |\nabla \mathcal{F}^{(s)}|$ , and the superscript “(s)” identifies the quantities  
 200 evaluated on the free surface. Additionally, the traction-free condition on the free surface is  
 201 stipulated for the bulk, which implies

$$202 \quad (\mathbf{T}_s^{(s)} + \mathbf{T}_f^{(s)}) \cdot \mathbf{n}^{(s)} = \mathbf{0}, \quad z = s(x, y, t). \quad (11)$$

204 On the bottom  $\mathcal{F}^{(b)}(x, y, z) = z - b(x, y) = 0$ , the non-penetration boundary condition is  
 205 prescribed for the bulk, which implies

$$206 \quad \mathbf{u}^{(b)} \cdot \mathbf{n}^{(b)} = 0, \quad \mathbf{n}^{(b)} = \nabla \mathcal{F}^{(b)} / |\nabla \mathcal{F}^{(b)}|. \quad (12)$$

208 Additionally, the Coulomb bottom friction condition for the granular phase and Navier slip  
 209 bottom boundary condition for the fluid phase are adopted,

$$210 \quad \mathbf{T}_e^{(b)} \mathbf{n}^{(b)} - (\mathbf{n}^{(b)} \cdot \mathbf{T}_e^{(b)} \mathbf{n}^{(b)}) \mathbf{n}^{(b)} = - \frac{\mathbf{u}_s^{(b)}}{|\mathbf{u}_s^{(b)}|} (\mathbf{n}^{(b)} \cdot \mathbf{T}_e^{(b)} \mathbf{n}^{(b)}) \mu_s, \quad (13)$$

$$211 \quad \mathbf{T}_f^{(b)} \mathbf{n}^{(b)} - (\mathbf{n}^{(b)} \cdot \mathbf{T}_f^{(b)} \mathbf{n}^{(b)}) \mathbf{n}^{(b)} = k_f^b \phi_f \mathbf{u}_f^{(b)}, \quad (14)$$

213 which implicitly indicate that there are slip velocities on the bottom. The superscript “(b)”  
 214 identifies the quantities at the bottom. The reason to specify Coulomb friction on the bottom  
 215 lies in the fact that a number of evidences indicate that Coulomb friction generates most of the  
 216 resistance force to debris flows (see *Iverson* [2003] etc.). In relation (13), the friction coefficient  
 217  $\mu_s = \tan(\delta + \psi)$  incorporates both the classical Coulomb friction coefficient (constant-volume  
 218 friction angle  $\delta$ ) and the influence of the granular dilatancy, which is consistent with (4). Al-  
 219 ternatively, one can follow the progress of dry granular flows to employ the friction coefficient  
 220 proposed by *Pouliquen and Forterre* [2002], in which the friction coefficient is a function of the  
 221 Froude number. In (14),  $k_f^b$  characterizes the fluid bed frictional coefficient.

## 222 1.4 Model equations for fluid-saturated granular flows

223 The geometric characteristic of geophysical flows, i.e. typical flow thickness much smaller than  
 224 typical flow length, allows to derive a set of tractable depth-integrated equations. In *Meng*



225 *and Wang [2018]*, a set of depth-integrated equations have been derived. However, a simple  
 226 curvilinear coordinate system was employed by *Meng and Wang [2018]*, so that the model  
 227 is mainly valid for debris flows past the topography without any bump. It leads to limited  
 228 application. We follow *Gray et al. [1999]* and *Meng and Wang [2016]* to introduce a quasi-two-  
 229 dimensional reference surface (see Fig. 1) which follows the mean down-slope chute topography.  
 230 The  $x$ -axis is oriented in the down-slope direction, the  $y$ -axis follows the cross-slope direction,  
 231 and the  $z$ -axis is normal to them. The down-slope inclination angle  $\zeta$  varies as a function of the  
 232 down-slope coordinate  $x$ , and there is no lateral variation in the  $y$ -direction. A complex shallow  
 233 three-dimensional basal topography is overlapped on the reference surface by an elevation  $b(x, y)$ .  
 234 The complete sketch of the coordinate system is demonstrated in Fig. 1.

235 To proceed, the normal component of the momentum equation (2) can be simplified to derive  
 236 the solid effective normal stress and the pore fluid pressure by virtue of a thin-layer assumption.  
 237 Subsequently, the integration of (1) and (2) in the depth direction is required for the purpose  
 238 to derive depth-integrated equations, which involves lengthy mathematical derivation. For the  
 239 sake of brevity, the above process is demonstrated in Appendices A and B, and we only provide  
 240 the derived model equations and the physical interpretation here instead. The derived model  
 241 equations are given by

$$\frac{\partial}{\partial t}(h\bar{\rho}) + \frac{\partial}{\partial x}(h\bar{\rho}\bar{u}) + \frac{\partial}{\partial y}(h\bar{\rho}\bar{v}) = 0, \quad (15)$$

$$\frac{\partial}{\partial t}(h\bar{\rho}_s) + \frac{\partial}{\partial x}(h\bar{\rho}_s\bar{u}) + \frac{\partial}{\partial y}(h\bar{\rho}_s\bar{v}) = s_s, \quad (16)$$

$$\frac{\partial}{\partial t}(h\bar{\rho}\bar{u}) + \frac{\partial}{\partial x}\left(h\bar{\rho}\bar{u}\bar{u} + \frac{1}{2}\bar{\rho}gh^2\cos\zeta\right) + \frac{\partial}{\partial y}(h\bar{\rho}\bar{u}\bar{v}) = s_x, \quad (17)$$

$$\frac{\partial}{\partial t}(h\bar{\rho}\bar{v}) + \frac{\partial}{\partial x}(h\bar{\rho}\bar{u}\bar{v}) + \frac{\partial}{\partial y}\left(h\bar{\rho}\bar{v}\bar{v} + \frac{1}{2}\bar{\rho}gh^2\cos\zeta\right) = s_y. \quad (18)$$

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243 where  $h$  represents the flow height,  $\bar{u}$  the depth-averaged mixture velocity in the down-slope  
 244 direction (the symbol overbar represents depth-averaged quantity),  $\bar{v}$  the depth-averaged mixture  
 245 velocity in the cross-slope direction,  $\bar{\rho} = \bar{\rho}_f + \bar{\rho}_s$  is the mixture density with  $\bar{\rho}_\eta = \tilde{\rho}_\eta\bar{\phi}_\eta$  ( $\eta =$   
 246  $\{s, f\}$ ). The depth-averaged form of an arbitrary quantity  $\bar{f}$  is defined by

247

248

$$\bar{f} = \frac{1}{h} \int_b^s f dz. \quad (19)$$

249 Equation (15) describes the mass conservation of the mixture, while the solid mass equation (16)  
 250 describes that the granular mass is not conservative within the mixture. This is due to the fact  
 251 that in the presence of the granular dilatancy an amount of the granular mass may protrude the  
 252 water surface. Equations (17) and (18) account for the momentum conservation of the mixture  
 253 in the  $x$  and  $y$  directions, respectively. The local time rate of change of the mixture momenta is

254 balanced by the convective fluxes on the left-hand sides of eqs. (17) and (18) and various forces  
 255 on the right-hand sides listed in the source terms as follows,

$$s_s = -\frac{\bar{\rho}_s \tilde{\rho}_f}{\bar{\rho}} 3\bar{u} \tan \psi_b, \quad (20)$$

$$s_x = \bar{\rho}gh \sin \zeta - \frac{\bar{u}}{\sqrt{\bar{u}^2 + \bar{v}^2}} \mu_s \left( \bar{\rho}gh \cos \zeta - p_{bed} + (\tilde{\rho}_s - \tilde{\rho}_f) \kappa h \bar{\phi}_s \bar{u}^2 \right) \\ - k_f^b \bar{\phi}_f \bar{u} - \bar{\rho}gh (\cos \zeta) \frac{\partial b}{\partial x}, \quad (21)$$

$$s_y = -\frac{\bar{v}}{\sqrt{\bar{u}^2 + \bar{v}^2}} \mu_s \left( \bar{\rho}gh \cos \zeta - p_{bed} + (\tilde{\rho}_s - \tilde{\rho}_f) \kappa h \bar{\phi}_s \bar{u}^2 \right) \\ - k_f^b \bar{\phi}_f \bar{v} - \bar{\rho}gh (\cos \zeta) \frac{\partial b}{\partial y}, \quad (22)$$

$$\text{with } \tan \psi_b = k_1(\bar{\phi}_s - \phi_{eq}), \quad \phi_{eq} = \phi_c - k_2 \dot{\gamma}_b / (\bar{\rho}gh \cos \zeta - p_{bed}), \quad (23)$$

$$p_{bed} = \tilde{\rho}_f gh \cos \zeta - \frac{\mu_f h^2}{2k} \dot{\gamma}_b \tan \psi_b, \quad \mu_s = \tan(\delta + \psi_b), \quad (24)$$

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257 where  $p_{bed}$  represents the basal pore fluid pressure,  $\kappa$  the curvature of the basal topogra-  
 258 phy, and  $\dot{\gamma}_b = 3\sqrt{\bar{u}^2 + \bar{v}^2}/h$ . It is noted that the topographic terms  $-\bar{\rho}gh(\cos \zeta)\partial b/\partial x$  and  
 259  $-\bar{\rho}gh(\cos \zeta)\partial b/\partial y$ , representing the influence of the basal elevation  $b(x, y)$ , do not appear in the  
 260 model of *Meng and Wang* [2018]. In the present paper, the topographic terms characterize the  
 261 influence of the obstacle on flow dynamics, and hence they are not trivial.

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The source term  $s_s$  in (20) reflects implicitly that the solid particles protrude the virtual surface due to the granular dilatancy. The source terms  $s_x$  and  $s_y$  in (21) and (22) characterize contributions of the gravitational components, the bed Coulomb friction, the bed viscous friction, and the basal topographic elevation term, consecutively. Relation (23) expresses that the granular dilatancy is described by the difference of the solid volume fraction and the equilibrium volume fraction  $\phi_{eq}$ . The equilibrium volume fraction is a monotonically decreasing function with the increase of shear rate  $\dot{\gamma}_b$ . At zero shear rate  $\dot{\gamma}_b = 0$ ,  $\phi_{eq}$  equals the critical volume fraction  $\phi_c$  that differentiates the initially loose packing ( $\phi_s < \phi_c$ ) or dense packing ( $\phi_s > \phi_c$ ). Relations (24) specify the bed pore fluid pressure and the bed Coulomb friction coefficient. The pore fluid pressure includes a hydrostatic and an excess pressure, in which the excess pore fluid pressure  $p_e = -\mu_f h^2 \dot{\gamma}_b \tan \psi_b / (2k)$  is linked with the granular dilatancy. The inclusion of the dilatancy angle in the solid Coulomb friction coefficient  $\mu_s$  represents the effect of the grains' microscopic arrangement on the macroscopic friction. Relation (23) together with (24) describes that an initially dense packed granular material ( $\phi_s > \phi_c$ ), subject to shear, will dilate and the ambient liquid is therefore sucked into void between grains. It creates an inward flow through the granular skeleton and the pore fluid pressure drops correspondingly from original hydrostatic value. The decrease of  $p_{bed}$  causes that the granular friction is enhanced and the mobility weakens. A contrary behavior occurs for an initially dense packed granular material subject to

280 shear.

## 281 1.5 Reduced model equations for dry granular flows

282 When the interstitial liquid and the granular dilatancy effects are removed from eqs. (15)-(18),  
 283 one can derive Savage-Hutter type PDEs (*Gray et al.* [1999]) which have been extensively proved  
 284 to be capable to describe dry granular flows. It is the case that, when the fluid volume fraction,  
 285 the fluid density and the granular dilatancy angle vanish, equations (15)-(18) reduce to

$$286 \quad \frac{\partial h}{\partial t} + \frac{\partial}{\partial x}(h\bar{u}) + \frac{\partial}{\partial y}(h\bar{v}) = 0, \quad (25)$$

$$287 \quad \frac{\partial}{\partial t}(h\bar{u}) + \frac{\partial}{\partial x} \left( h\bar{u}\bar{u} + \frac{1}{2}gh^2 \cos \zeta \right) + \frac{\partial}{\partial y}(h\bar{u}\bar{v}) = s_x, \quad (26)$$

$$288 \quad \frac{\partial}{\partial t}(h\bar{v}) + \frac{\partial}{\partial x}(h\bar{u}\bar{v}) + \frac{\partial}{\partial y} \left( h\bar{v}\bar{v} + \frac{1}{2}gh^2 \cos \zeta \right) = s_y, \quad (27)$$

289 where the source terms are given by

$$291 \quad s_x = hg \sin \zeta - \frac{\bar{u}}{\sqrt{\bar{u}^2 + \bar{v}^2}} h \tan \delta (g \cos \zeta + \kappa \bar{u}^2) - hg(\cos \zeta) \frac{\partial b}{\partial x}, \quad (28)$$

$$292 \quad s_y = -\frac{\bar{v}}{\sqrt{\bar{u}^2 + \bar{v}^2}} h \tan \delta (g \cos \zeta + \kappa \bar{u}^2) - hg(\cos \zeta) \frac{\partial b}{\partial y}. \quad (29)$$

## 294 1.6 Numerical method

295 Equations (15)-(18) (or eqs. (25)-(27)), complemented by relations (20)-(22) ((28) and (29)),  
 296 constitute a convection-dominated PDE system. Such a PDE system is hyperbolic and allows the  
 297 development of shock waves for granular flows down an inclined plane merging into a horizontal  
 298 runout zone or encountering obstacles when the flow changes from a supercritical state into a  
 299 subcritical state. Consequently, a robust numerical scheme must be applied to avoid possible  
 300 numerical oscillations. Many numerical schemes have been applied successfully to identify shock  
 301 waves in granular flows, e.g. *Denlinger and Iverson* [2001], *Wang et al.* [2004], *George* [2008],  
 302 *Meng and Wang* [2016] etc. It is worth mentioning that the NT scheme of *Nessyahu and Tadmor*  
 303 [1990], a shock-capturing scheme which does not need to solve Riemann problems, is popular  
 304 to be used to identify shock waves in granular flows. The NT scheme requires that the model  
 305 equations (15)-(18) are rewritten in the following vector form

$$306 \quad \frac{\partial \mathbf{U}}{\partial t} + \frac{\partial \mathbf{F}}{\partial x} + \frac{\partial \mathbf{G}}{\partial y} = \mathbf{S}. \quad (30)$$

307

308 The vector of conservative variables  $\mathbf{U}$ , the flux vectors  $\mathbf{F}$  and  $\mathbf{G}$ , and the source vector  $\mathbf{S}$  are  
 309 given, respectively, by

$$\mathbf{U} = \begin{bmatrix} h\bar{\rho} \\ h\bar{\rho}_s \\ h\bar{\rho}\bar{u} \\ h\bar{\rho}\bar{v} \end{bmatrix}, \mathbf{F} = \begin{bmatrix} h\bar{\rho}\bar{u} \\ h\bar{\rho}_s\bar{u} \\ h\bar{\rho}\bar{u}^2 + \bar{\rho}gh^2(\cos\zeta)/2 \\ h\bar{\rho}\bar{u}\bar{v} \end{bmatrix}, \mathbf{G} = \begin{bmatrix} h\bar{\rho}\bar{v} \\ h\bar{\rho}_s\bar{v} \\ h\bar{\rho}\bar{u}\bar{v} \\ h\bar{\rho}\bar{v}^2 + \bar{\rho}gh^2(\cos\zeta)/2 \end{bmatrix}, \mathbf{S} = \begin{bmatrix} 0 \\ s_s \\ s_x \\ s_y \end{bmatrix}. \quad (31)$$

310  
 311 In the following we will not address how to apply the NT scheme to numerically solve vector  
 312 equation (30), since *Tai et al.* [2001] and *Wang et al.* [2004] have already described details to  
 313 numerically solve hyperbolic governing equations whose mathematical structure is similar to  
 314 that of eq. (30) with flux vectors defined by (31).

## 315 2 A debris flow down an inclined plane

316 To validate the model equations (15)-(18) a large-scale experiment conducted at the USGS  
 317 debris-flow flume is revisited. In this large-scale experiment presented in *Iverson et al.* [2010],  
 318 a sand-gravel-mud mixture initially distributed behind a gate with an initial geometry shown  
 319 in Fig. 2 was suddenly released as the gate was opened. Then, the mass accelerated down the  
 320 chute until it approached a horizontal run-out plane. The transverse dimension of the chute  
 321 is sufficiently wide that the flow across the transverse section can be considered as uniform.  
 322 The experimental and computational parameters used to validate the model are presented in  
 323 Table 1. Because shear causes a higher permeability when the material is moving, we employ a  
 324 bigger granular permeability than its initial value. In the computation, a domain  $x \in [-10, 90]$   
 325 is employed and it is discretized into 1000 grids with a cell size of  $\Delta x = 0.1$  m.

326 Figure 3 compares the measured time series of the depth at  $x = 32$  m and  $x = 66$  m  
 327 downslope from the gate with the corresponding numerical results. The comparison shows that  
 328 the current model can provide a reasonable prediction for the time series of depth profile and the  
 329 predicted peak depth can match the experimental one well. In Fig. 3 the numerical results with  
 330 and without the consideration of the granular dilatancy are also compared, in which the solid  
 331 lines represent the results obtained by considering the granular dilatancy, while the dashed lines  
 332 denote the results without the granular dilatancy. It clearly demonstrates that considering the  
 333 granular dilatancy can better predict the peak depth and the mobility of the flow front. This is  
 334 due to the fact that an initially loosely packed granular material, as used here, will evolve subject  
 335 to shear towards a consolidate state. It will induce a positive excess pore fluid pressure which  
 336 can mitigate the granular friction. However, if the granular dilatancy would not be accounted  
 337 in the modeling, the pore fluid pressure would remain always hydrostatic, which causes that the

338 granular friction is over-estimated and hence the flow mobility is under-estimated.

### 339 **3 Granular flows past an obstacle**

340 The investigation of a large-scale flow shown above has demonstrated that the present model can  
341 predict the dynamics of a debris flow reasonably well. Here, we apply this model to investigate  
342 dry and fluid-saturated granular flows past an obstacle towards the goal to gain insights into the  
343 physical mechanism behind the interaction of granular materials and an obstacle. The model  
344 results of the dry granular flow will be compared with the experimental data.

#### 345 **3.1 Experimental set-up**

346 Experiments of a lump of a dry granular material impinging an obstacle have been performed in  
347 Darmstadt. The experiments used Vestolen particles and yellow sand whose material properties  
348 are presented in Table 2. In the experiments, two different granular materials, a mass of 1.41  
349 kg Vestolen particles with density  $639 \text{ kg/m}^3$  and a mass of 3.75 kg yellow sands with density  
350  $1661 \text{ kg/m}^3$ , respectively, were used. The granular mass was initially held within a shallow cap  
351 that can suddenly be opened by pulling a rope connected to a bar above the cap. The initial  
352 height profile is given by

$$353 \quad h = \sqrt{R^2 - (x - x_0)^2 - (y - y_0)^2} - h_0, \quad (32)$$

354

355 where  $x_0 = 313.5 \text{ mm}$ ,  $y_0 = 0$ ,  $R = 238 \text{ mm}$  and  $h_0 = 178 \text{ mm}$ . Once the cap is removed,  
356 the granular materials will accelerate on a chute made of plexiglass with 2 mm thickness and  
357 then flow past a cuboid dam and a forward-facing tetrahedral wedge, respectively. The chute  
358 is comprised of an inclined part, a horizontal part and a smooth transition between them. The  
359 upper plane is inclined  $\zeta = 40^\circ$  and spans 933.5 mm downslope. The transition zone spans  
360 146.5 mm and the horizontal plane spans 835 mm down-slope. In the cross-slope direction  
361 the whole chute spans 1100 mm. Additionally, an electric clock is placed in the upper right  
362 part of the chute to identify the time in each frame. The Particle Image Velocimetry (PIV)  
363 technique, consisting of a CCD camera and two flashes, is employed in the experiment. The  
364 PIV technique can not only capture the geometry of the avalanche flow at each time frame, but  
365 also evaluate quantitatively the complex instantaneous velocity field. Detailed explanation for  
366 the PIV technique to deduce the velocity field can be found in *Pudasaini et al.* [2005]. The  
367 measurement set-up also includes a digital video camera as a substitute for the synchronizer to  
368 record the image during the entire granular motion. Plan view of the experimental set-up is  
369 shown in Fig. 4 and more details of the experiments are well documented in the PhD thesis of

370 *Chiou* [2006]. All experiments have been performed in the laboratory at the Technical University  
371 of Darmstadt.

## 372 **3.2 Granular flows past a cuboid dam**

373 This section begins with comparing numerical and experimental results with respect to flows  
374 of dry Vestolen material past a cuboid dam and flows of yellow sand past a forward-facing  
375 tetrahedral wedge. The computation domain in numerical simulation follows the geometry of  
376 the chute, and it is discretized into 300 grids down-slope and 100 grids cross-slope, corresponding  
377 to the mesh resolution  $\Delta x = 5.67$  mm and  $\Delta y = 11$  mm. Numerical tests demonstrate that the  
378 mesh of this resolution assures convergence of the numerical solution. A cuboid dam of height  
379 80 mm, length 160 mm and thickness 10 mm is vertically placed at the downslope position  
380  $x = 650$  mm from the top edge of the plane and in the middle of the inclined plane. Such an  
381 obstacle represents a blunt one commonly used in the field. It is necessary to note that this case  
382 looks very similar to that of *Gray et al.* [2003], however they are essentially different. A uniform  
383 incoming granular flow past a sharp and a blunt obstacle was investigated in *Gray et al.* [2003],  
384 in which runout dynamics were not investigated.

### 385 **(i) Dry granular flow**

386 Figs. 5 and 6 demonstrate the experimental images and surface velocity field measured by PIV  
387 technique, and the corresponding numerical predictions, respectively. Numerical results agree  
388 with experiment measurement pretty well. At the instance ( $t = 0.397$  s) when the grains have  
389 already impinged the obstacle, the flow increases in thickness. Both numerical and experimental  
390 results identify two oblique shock waves in the two sides of the obstacle and a third shock wave  
391 propagating in the upstream region of the obstacle. The third shock wave is very similar to  
392 that developed when the granular pile impinges on a rigid wall (see *Pudasaini et al.* [2007]). As  
393 the third shock propagates upstream, grains that passed by the shock wave will be deposited to  
394 develop a dead zone in front of the obstacle.

395 As grains continue to move down-slope, the avalanche front has reached the horizontal run-  
396 out region at  $t = 0.663$  s and is decelerated. Both numerical and theoretical results demonstrate  
397 that the maximum velocity occurs on the transition part and slightly upstream. At  $t = 0.93$  s,  
398 it is demonstrated that some grains deposit on the horizontal runout plane due to the absence  
399 of the driven force and two knolls develop at the two sides of the central line of the chute.  
400 At  $t = 1.197$  s, the two knolls merge together as the experimental result shows, which is also  
401 captured by numerical simulation. A grain-free region (i.e. vacuum) encircled by the mass is

402 clearly shown. From practical point of view, it is very important to accurately predict such a  
 403 vacuum. Numerical prediction and the experimental measurement agree well for the shape and  
 404 size of the vacuum. The shape of the vacuum, observed here, distinguishes from that observed  
 405 in *Gray et al.* [2003]. In *Gray et al.* [2003], the grain-free region is not closed due to the fact  
 406 that the granular mass did not deposit in their configuration. At  $t = 1.464$  s, both numerical  
 407 and experimental results predict that the flow becomes narrower, because more grains deposit  
 408 on two knolls of the horizontal plane.

## 409 (ii) Debris flow

410 The initial height profile (32) is used for the mixture of grains and the liquid, and the same  
 411 chute as that used in the numerical simulation of dry granular flows is used in this subsection.  
 412 The parameters of the material and the values are listed in Table 1. In the following, we mainly  
 413 report and interpret numerical results, because no available experimental data can be referred  
 414 to. However, the granular behaviors, predicted by the current theory, show consistency with  
 415 those observed in recent experiments with respect to granular flows immersed in water.

416 The numerical prediction of the flow field is presented in Fig. 7. Similar to dry granular flows  
 417 (Fig. 6), the flow is diverted into two branches after the debris-flow front hits the obstacle and  
 418 some mass deposit in front of the obstacle. As a loosely packed granular medium ( $\phi_s < \phi_c$ ) is  
 419 released here, the bulk would deform towards a consolidated state, which causes the interstitial  
 420 liquid to be expelled from voids. The result is that the pore fluid pressure is elevated from the  
 421 original hydrostatic state immediately after the release of the mass (see Fig. 8). The elevated  
 422 pore fluid pressure will mitigate the granular friction and as a result the grains can relatively  
 423 easily spread. Consequently, the flow is extended wider in the cross-slope direction compared to  
 424 dry granular flows (see comparison between Fig. 6 and Fig. 7).

425 The compressed behaviour begins to weaken later on and the excess pore fluid pressure  
 426 therefore dissipates. As the mixture travels further downslope, grains demonstrate a dilatant  
 427 behaviour, which causes that the ambient fluid is sucked into the voids between the grains.  
 428 Consequently, a negative excess pore fluid pressure ( $\lambda \leq \tilde{\rho}_f/\bar{\rho} \leq 0.408$ ) appears especially in the  
 429 margin of the flow (see the results at  $t = 0.397$  s in Fig. 9). This is a well-observed behavior  
 430 in the experiments of granular flows immersed in water due to the pore fluid pressure feedback  
 431 (*Pailha and Pouliquen* [2009], *Rondon et al.* [2011] and *Wang et al.* [2017]).

432 Additionally, as the grain-liquid mixture impinges on the obstacle, the mixture in the front  
 433 will decelerate immediately (or even bounce back) and be compressed by the succeeding mass  
 434 sliding downslope. As a result of this contracted behaviour, the interstitial liquid is squeezed and

435 expelled, and the pore fluid pressure increases accordingly which implies that a positive pressure  
436 appears and an approximately full fluidisation arises in the front of the obstacle at  $t = 0.397$  s  
437 and  $0.663$  s. Subsequently, for  $t > 0.93$  s, the pore fluid pressure in front of the obstacle begins  
438 to dissipate and eventually the excess pore fluid pressure vanishes.

### 439 **3.3 Granular flows past a forward-facing tetrahedral wedge**

440 In this example, a forward-facing tetrahedral sharp wedge with height 200 mm and bottom-side  
441 length 160 mm is placed along the middle of the flow track at the downslope position  $x = 730$   
442 mm (the apex of the wedge is at  $x = 720$  mm). Dry and fluid-saturated granular flows past this  
443 obstacle with the same flow conditions as before are examined. Instead of Vestolen® spheres,  
444 yellow sands is used as granular material.

#### 445 **(i) Dry granular flow**

446 Figs. 10 and 11 demonstrate the experimental images and surface velocity fields measured by  
447 the PIV technique, and the corresponding numerical predictions. Comparing the front positions  
448 of dry sand flow between experimental and numerical results shows similar behaviour to that  
449 observed in the last subsection. The predicted front position and the velocity distribution overall  
450 agrees well with the experimental results. Additionally, it is found that the use of the tetrahedral  
451 wedge, different from the use of the cuboid dam, mainly diverts the flow direction rather than  
452 block the flow. Only a small dead zone is therefore observed in this case.

#### 453 **(ii) Debris flow**

454 Further, a liquid saturated sand mixture is released from the cap. All the material parameters  
455 used in numerical simulation follow those listed in Table 1. Fig. 12 describes numerical predic-  
456 tions of the flow pattern and the velocity field. Similar to dry granular flows, the fluid-saturated  
457 flow is diverted after the mass hits the tetrahedral wedge and no dead zone is found. Comparison  
458 of results of the mixture and dry granular flows demonstrates again that the flow is extended  
459 wider in the cross-slope direction when the interstitial liquid is present, which is due to the  
460 coupling between the granular dilatancy and the pore fluid pressure.

461 Fig. 13 describes the spatial distribution of the dimensionless basal pore fluid pressure at  
462 several times. No granular liquefaction is found in front of the tetrahedral wedge. As analyzed  
463 above, a forward-facing tetrahedral wedge mainly diverts the flow rather than blocks the flow,  
464 and hence grains in the vicinity of the obstacle do not show apparent contacted behavior.  
465 Additionally, it is found again that grains in the margin of the flow are prone to dilate and



466 therefore a depleted pore fluid pressure is found there.

## 467 **4 Conclusion and outlook**

468 The present paper numerically investigates dry granular and debris flows past an obstacle to  
469 gain insights into the physics behind them. To this end, we employ a continuum-mechanical  
470 fluid-granular mixture model taking the effects of the granular dilatancy and the pore fluid pres-  
471 sure into account. The obstacle is considered by a basal elevation from the reference plane. The  
472 resulting model equations are hyperbolic and hence they can be numerically solved by employ-  
473 ing a shock-capturing scheme. To validate the model we investigate a large-scale experiment  
474 presented in *Iverson et al.* [2010]. The comparison of numerical results with experimental data  
475 demonstrates that the granular dilatancy that is linked with the development of excess pore  
476 fluid pressure plays a crucial role in the prediction of dynamic flow behaviors.

477 Furthermore, we investigate dry and wet granular past blunt and sharp obstacles. The  
478 experiments of dry granular flows past blunt and sharp obstacles have been performed and  
479 employed to scrutinize the current theory. The experiment of a dry granular flow past a cuboid  
480 dam shows that shock waves, dead zones and vacuum develop. These phenomena can also  
481 be reproduced by the present model. Additionally, numerical results also reveal that the use  
482 of a forward-facing tetrahedral wedge mainly diverts the flow, which is consistent with the  
483 experimental results.

484 The whole framework is further applied to study a fluid-saturated granular flow past the  
485 same obstacles as those used in dry granular flows. By comparing numerical results with those  
486 of dry granular flows, it is found that the pore fluid pressure feedback, i.e. the coupling of  
487 shear-induced granular dilatancy and the pore fluid pressure, plays a crucial role in different  
488 behaviors from those of dry granular flows. It implies that a debris flow is more spreading  
489 than a dry granular flow, which implicitly indicates that a debris flow is more destructive than  
490 a dry granular flow. Additionally, it is found that the presence of the obstacle, especially a  
491 blunt obstacle, has a significant influence on the elevation of the pore fluid pressure. The use  
492 of the blunt obstacle causes that grains in the upstream region of the blunt obstacle are prone  
493 to be compressed by succeeding mass sliding downslope, and hence the pore fluid is expelled  
494 and as a result the pore fluid pressure elevates. It in turn mitigates the granular friction. It is  
495 believed that insights gained here are helpful for understanding complex behaviors of geophysical  
496 flows and the current depth-integrated theory is promising to be applied to simulate geophysical  
497 flows, though numerical simulation of a debris flow past an obstacle is not validated by the  
498 experiment. In future work, it is necessary to design an experiment for a debris flow past an

500 obstacle to scrutinize the current theory. Additionally, numerical simulation of a full-dimensional  
 501 model (see *Wang and Hutter* [1999] and *Heß et al.* [2017] ) instead of depth-integrated model  
 will be performed to remove limitations of the depth-integrated model.

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## 507 Data Availability Statement

508 Some or all data, models, or code generated or used during the study are available from the  
 509 corresponding author by request. Precisely, the experimental data of Fig. 3 and Fig. 8, and  
 510 the computation code and data corresponding to Figs. 4–7, and 9–11 can be accessed from the  
 511 corresponding author by request.

## 512 Appendix A: The pore fluid pressure and the granular stress

513 In shallow granular flows, it is commonly known that the use of the thin-layer assumption,  
 514 i.e. “typical flow thickness much smaller than typical flow length”, can transform the normal  
 515 component of the momentum conservation equation into a force balance equation. It means  
 516 that the normal components of the mixture momentum balance (2) and the fluid momentum  
 517 balance (see eq. (29) in *Meng and Wang* [2018]) reduce to

$$518 \quad \frac{\partial}{\partial z}(T_{e(zz)} + p_e) = -(\rho - \tilde{\rho}_f)g \cos \zeta, \quad (33)$$

$$519 \quad -\phi_f \frac{\partial p_e}{\partial z} = \frac{\mu_f \phi_f^2}{k}(w_f - w_s), \quad (34)$$

521 respectively, where  $p_e$  is the excess pore fluid pressure and it equals  $p_e = p_f - \tilde{\rho}_f g(\cos \zeta)(s - z)$ .

522 Integrating (33) along the depth direction from any vertical position to the free surface yields

$$523 \quad T_{e(zz)}^{(z)} + p_e^{(z)} = (\rho - \tilde{\rho}_f)(s - z)g \cos \zeta, \quad (35)$$

524 where the traction-free condition (11), i.e.  $T_{e(zz)}^{(s)} + p_e^{(s)} = 0$ , is used to simplify the integration.

526 Similarly, integrating relation (34) along the depth direction leads to

$$527 \quad p_e^{(z)} = \frac{\mu_f}{k} \int_z^s \phi_f(w_f - w_s)dz, \quad (36)$$

528

529 where the difference of the normal velocity,  $(w_f - w_s)$ , remains to be formulated in order to  
 530 obtain an analytical expression for  $p_e^{(z)}$ .

531 In standard mixture theory, the mass-balance equations of the solid and the fluid constituents  
 532 are given by

$$533 \quad \frac{\partial \phi_s}{\partial t} + \nabla \cdot (\phi_s \mathbf{u}_s) = 0, \quad \text{and} \quad \frac{\partial \phi_f}{\partial t} + \nabla \cdot (\phi_f \mathbf{u}_f) = 0, \quad (37)$$

535 which implicitly assume that the solid and the fluid phases are incompressible such that intrinsic  
 536 densities  $\tilde{\rho}_s$  and  $\tilde{\rho}_f$  are constant and have been already taken out from the mass-balance  
 537 equations. Combination of the solid and the fluid mass-balance equations yields

$$538 \quad \nabla \cdot \mathbf{u}_s = \nabla \cdot \phi_f (\mathbf{u}_s - \mathbf{u}_f). \quad (38)$$

540 Substituting (38) into dilatancy law (7) leads to

$$541 \quad \nabla \cdot \phi_f (\mathbf{u}_s - \mathbf{u}_f) = \dot{\gamma} \tan \psi. \quad (39)$$

543 Relation (39) can be expanded as follows

$$544 \quad \frac{\partial}{\partial z} (\phi_f (w_s - w_f)) = \dot{\gamma} \tan \psi, \quad (40)$$

546 as we postulate above that the fluid and the solid move with the same bed-aligned velocity.

547 Integrating (40) from the bed  $b(x, y)$  to any vertical position can formulate the difference of  
 548 the normal velocities. Substituting the velocity difference into (36) then leads to

$$549 \quad p_e^{(z)} = -\frac{\mu_f}{k} \int_z^s \left( \int_b^z \dot{\gamma} \tan \psi dz \right) dz, \quad (41)$$

551 where the integrand  $\dot{\gamma} \tan \psi$  can be approximated as  $\dot{\gamma}_b \tan \psi_b$  (see eq.(34) in *Meng and Wang*  
 552 [2018]), in which  $\dot{\gamma}_b$  and  $\tan \psi_b$  represent basal shear rate and tangent of the basal dilatancy  
 553 angle, respectively. We follow *Pailha et al.* [2008] to postulate a parabolic velocity profile, so that  
 554 the basal shear rate is written as  $\dot{\gamma}_b = 3\sqrt{\bar{u}^2 + \bar{v}^2}/h$  (Note that numerical results are not sensitive  
 555 to the profile of linear shearing velocity or the parabolic velocity). By substituting  $\dot{\gamma}_b \tan \psi_b$  into  
 556 (41) we can derive the expression of the excess pore fluid pressure, which is written as

$$557 \quad p_e^{(z)} = -\frac{\mu_f}{2k} (\dot{\gamma}_b \tan \psi_b) \left[ h^2 - (z - b)^2 \right]. \quad (42)$$

559 If this is substituted into (35), the solid effective stress is

$$560 \quad T_{e(zz)}^{(z)} = (\bar{\rho} - \tilde{\rho}_f)g(\cos \zeta)(s - z) - p_e^{(z)}. \quad (43)$$

## Appendix B: Depth-integration technique

Integrating mass-balance equation (1) over the depth and applying the Leibnitz integration rule to interchange the orders of differentiation and integration, one can obtain the depth-averaged mass-balance equation,

$$\frac{\partial(h\bar{\rho})}{\partial t} + \frac{\partial(h\bar{\rho}\bar{u})}{\partial x} + \frac{\partial(h\bar{\rho}\bar{v})}{\partial y} - \left( \rho \frac{\partial z}{\partial t} + \rho u \frac{\partial z}{\partial x} + \rho v \frac{\partial z}{\partial y} - \rho w \right)_b^s = 0, \quad (44)$$

where  $(f)_b^s$  represents the difference of the quantity  $f$  evaluated on the top surface and on the base. The terms evaluated on the boundaries in (44) can be simplified by using the kinematic boundary conditions (10) and (12). This process yields

$$\frac{\partial(h\bar{\rho})}{\partial t} + \frac{\partial(h\bar{\rho}\bar{u})}{\partial x} + \frac{\partial(h\bar{\rho}\bar{v})}{\partial y} = 0. \quad (45)$$

Experimental results (*Egashira et al.* [2001]) show that volume fractions are almost uniformly distributed in the depth direction. As a result, the bulk density  $\rho = \tilde{\rho}_s\phi_s + \tilde{\rho}_f\phi_f$  is independent on the  $z$ -coordinate. Equation (45) therefore reduces to

$$\frac{\partial(h\bar{\rho})}{\partial t} + \frac{\partial(h\bar{\rho}\bar{u})}{\partial x} + \frac{\partial(h\bar{\rho}\bar{v})}{\partial y} = 0. \quad (46)$$

Similarly, integrating the downslope and cross-slope components of the momentum equation (2) in the depth direction and then applying Leibnitz integration rule leads to the depth-integrated momentum equations. After performing the depth-integration technique, the left-hand side terms of the downslope component of the momentum equation (2) takes the form

$$\begin{aligned} \int_b^s LHS dz &= \frac{\partial(h\bar{\rho}\bar{u})}{\partial t} + \frac{\partial(h\bar{\rho}\bar{u}^2)}{\partial x} + \frac{\partial(h\bar{\rho}\bar{u}\bar{v})}{\partial y} - \left( \rho u \frac{\partial z}{\partial t} + \rho u^2 \frac{\partial z}{\partial x} + \rho uv \frac{\partial z}{\partial y} - \rho uw \right)_b^s \\ &= \frac{\partial(h\bar{\rho}\bar{u})}{\partial t} + \frac{\partial(h\bar{\rho}\bar{u}\bar{u})}{\partial x} + \frac{\partial(h\bar{\rho}\bar{u}\bar{v})}{\partial y}, \end{aligned} \quad (47)$$

where the kinematic boundary conditions (10) and (12) are used to simplify the terms on the boundaries. Additionally, terms  $\bar{u}^2$  and  $\bar{u}\bar{v}$  need to be factorized. The constitutive relation (4) does not provide a link between shear stress and strain rate. In this case, we follow the approach, commonly used in the field of shallow granular flows, to introduce so-called Boussinesq factors  $\chi_\alpha$  ( $\alpha = 1, 2, 3$ ). These terms are then factorized as follows,

$$\bar{u}^2 = \chi_1 \bar{u} \bar{u}, \quad \bar{u}\bar{v} = \chi_2 \bar{u} \bar{v}, \quad \bar{v}^2 = \chi_3 \bar{v} \bar{v}. \quad (48)$$

In general the Boussinesq factor  $\chi_\alpha$  have distinct values, but should not differ too much from each another. We therefore choose  $\chi_\alpha = \chi$  for all  $\alpha$ . Then,  $\chi = 1$  represents a plug flow,  $\chi = 4/3$  a linearly shearing profile with no-slip condition at the bottom, and  $\chi = 5/4$  a Bagnold velocity profile (*Gray and Edwards* [2014]). All the classical granular depth-integrated models,

e.g. *Savage and Hutter* [1989], *Pouliquen and Forterre* [2002] and *Gray and Edwards* [2014], employ  $\chi = 1$ , because non-unity values are incapable to identify grain-free regions (*Hogg and Pritchard* [2004]). Consequently,  $\chi = 1$  is adopted here.

The right-hand side terms of the down-slope component of the momentum equation (2), subject to depth integration, are expressible as

$$\begin{aligned} \int_b^s RHS dz = & -\frac{\partial}{\partial x}(h\bar{T}_{e(xx)}) - \frac{\partial}{\partial y}(h\bar{T}_{e(xy)}) + \frac{\partial}{\partial x}(h\bar{\phi}_f\bar{\tau}_{f(xx)}) + \frac{\partial}{\partial y}(h\bar{\phi}_f\bar{\tau}_{f(xy)}) \\ & + \left( T_{e(xx)}\frac{\partial z}{\partial x} + T_{e(xy)}\frac{\partial z}{\partial y} - T_{e(xz)} \right)_b^s \\ & - \left( -p_f + \tau_{f(xx)}\phi_f\frac{\partial z}{\partial x} + \tau_{f(xy)}\phi_f\frac{\partial z}{\partial y} - \phi_f\tau_{f(xz)} \right)_b^s \\ & - \frac{\partial}{\partial x}(h\bar{p}_f) + \bar{\rho}gh \sin \zeta, \end{aligned} \quad (49)$$

where the terms on the free surface will vanish due to the constraint of traction-free condition (11), and the terms on the bottom become

$$\begin{aligned} T_{e(xx)}^{(b)}\frac{\partial b}{\partial x} + T_{e(xy)}^{(b)}\frac{\partial b}{\partial y} - T_{e(xz)}^{(b)} &= \frac{u_s^{(b)}}{\sqrt{(u_s^{(b)})^2 + (v_s^{(b)})^2}}(\mathbf{n}^{(b)} \cdot \mathbf{T}_e\mathbf{n}^{(b)})\mu_s + (\bar{\rho}gh \cos \zeta - p_{bed})\frac{\partial b}{\partial x}, \\ -p_f^{(b)} + \tau_{f(xx)}^{(b)}\phi_f^{(b)}\frac{\partial b}{\partial x} + \tau_{f(xy)}^{(b)}\phi_f^{(b)}\frac{\partial b}{\partial y} - \phi_f^{(b)}\tau_{f(xz)}^{(b)} &= -k_f^b\phi_f^{(b)}u_f^{(b)} + p_{bed}\frac{\partial b}{\partial x}. \end{aligned} \quad (50)$$

The bed solid normal stress  $\mathbf{n}^{(b)} \cdot \mathbf{T}_e\mathbf{n}^{(b)}$  on the right-hand side of (50) can be derived through simplifying the normal component of the solid momentum balance equation. Usually, it is approximated as

$$\mathbf{n}^{(b)} \cdot \mathbf{T}_e\mathbf{n}^{(b)} = (\bar{\rho} - \tilde{\rho}_f)gh \cos \zeta - p_e^{(b)} + (\tilde{\rho}_s - \tilde{\rho}_f)\bar{\phi}_s\kappa h\bar{u}^2, \quad (51)$$

see eq. (45) in *Meng and Wang* [2016].

In the models of shallow granular flows, e.g. *Gray et al.* [1999], *Meng and Wang* [2016], etc., the stress gradient  $\partial(h\bar{T}_{e(xy)})/\partial y$ , arising in (49), is usually ignored, since it is negligibly small. The integration  $\partial(h\bar{T}_{e(xx)})/\partial x$  is usually simplified by introducing an earth pressure coefficient  $K_x$ , which characterizes anisotropy of the solid effective stress. More precisely, *Savage and Hutter* [1989] follow conventional soil mechanics to postulate  $T_{e(xx)}^{(z)} = K_x T_{e(zz)}^{(z)}$ , where  $K_x$  depends on the angle of granular internal friction and the angle of bed friction. Utilizing this relation and (43) to deduce depth-averaged stress  $\bar{T}_{e(xx)}$ , and then substituting  $\bar{T}_{e(xx)}$  into the integration  $\partial(h\bar{T}_{e(xx)})/\partial x$  leads to

$$\frac{\partial}{\partial x}(h\bar{T}_{e(xx)}) = \frac{\partial}{\partial x} \left[ \frac{K_x}{2}(\bar{\rho} - \tilde{\rho}_f)g(\cos \zeta)h^2 - \frac{2K_x}{3}hp_e^{(b)} \right]. \quad (52)$$

625 In the following we prescribe  $K_x = 1$ , since numerical results demonstrate a very small difference  
626 between the choices of anisotropic normal stresses and isotropic normal stresses (see *Prochnow*  
627 *et al* [2000]).

628 The integrations of the fluid shear stress in (49), i.e  $\partial(h\bar{\phi}_f\bar{\tau}_{f(xx)})/\partial x$  and  $\partial(h\bar{\phi}_f\bar{\tau}_{f(xy)})/\partial y$ ,  
629 can be deduced by following the above depth-integration procedure. However, we will omit  
630 these fluid shear-stress terms to simplify the model, since they are generally small quantities,  
631 which can be proved by conducting a dimensional analysis (see page 10 in *Meng and Wang*  
632 [2016]). Actually, they have been omitted in several depth-integrated models of debris flows, see  
633 *Pitman and Le* [2005] and *Iverson and George* [2014], except for discussing some subtle cases,  
634 e.g. predicting cutoff frequency of instability (*Gray and Edwards* [2014]), velocity profile across  
635 the cross-slope direction (*Meng and Wang* [2018]) etc.

636 By combination of the excess pore fluid pressure (42) and hydrostatic component  $\tilde{\rho}_f g(\cos \zeta)(s-$   
637  $z)$  one can formulate the pore fluid pressure  $p_f$  and its depth-averaged form  $\bar{p}_f$ . Substitution of  
638 the result into the integration of  $\partial(h\bar{p}_f)/\partial x$ , arising in (49), leads to

$$639 \frac{\partial}{\partial x}(h\bar{p}_f) = \frac{\partial}{\partial x} \left( \frac{1}{2} \tilde{\rho}_f g(\cos \zeta) h^2 + \frac{2}{3} h p_e^{(b)} \right). \quad (53)$$

641 Analogously by combining the relations (47) and (49) one can formulate the down-slope com-  
642 ponent of the depth-integrated momentum equations, in which relations (50)-(53) are employed  
643 to complement unknown terms. Similarly, one can repeat the above procedure to derive the  
644 cross-slope component of the depth-integrated momentum equations. The final depth-integrated  
645 momentum equations are shown in (17) and (18), where we prescribe that the bed velocity ap-  
646 proximately equals the depth-averaged velocity.

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Table 1: Material properties used in the numerical computation

Property	Experimental values	Model values
Fluid density, $\tilde{\rho}_f$ (kg/m <sup>3</sup> )	1100	1100
Solid density, $\tilde{\rho}_s$ (kg/m <sup>3</sup> )	2700	2700
Initial solid volume fraction, $\phi_s$	0.61 ± 0.04	0.61
Critical solid volume fraction, $\phi_c$	0.64	0.64
Initial basal pore pressure, $p_{bed}$ (Pa)	hydrostatic	hydrostatic
Initial hydraulic permeability, $k$ (m <sup>2</sup> )	$4 \times (10^{-12} \sim 10^{-11})$	$1 \times 10^{-8}$
Pore fluid viscosity, $\mu_f$ (Pa · s)	0.001 ~ 0.05	0.01
Basal frictional coefficient, $k_f^b$ (N · s/m <sup>3</sup> )	–	50

Table 2: Material properties of granular materials

	diameter d (mm)	$\rho(kg/m^3)$	Mass (kg)	basal angle $\delta$
Vestolen	4	639	1.41	24°
Yellow sand	Fine	1661	3.75	27°

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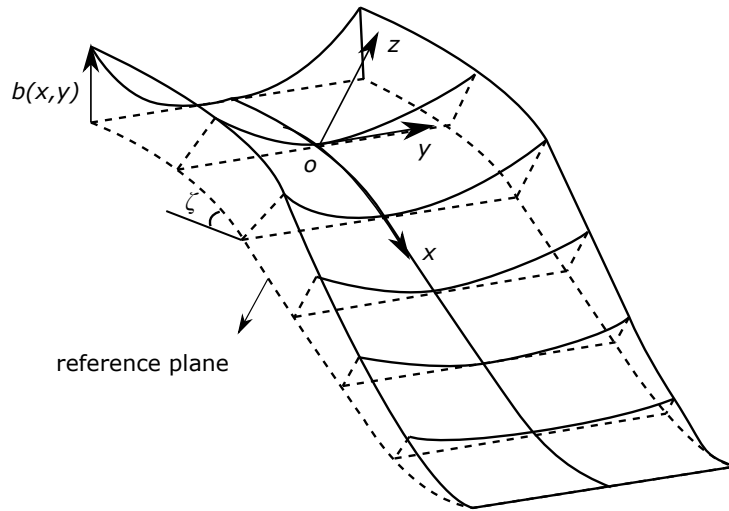


Figure 1: The curvilinear coordinate system  $xyz$ . The downslope coordinate  $x$  is curvilinear, while the cross-slope coordinate  $y$  is rectilinear. A topographical elevation  $b(x, y)$  is imposed onto the reference plane. This figure is a reproduction of Figure 2 in *Meng and Wang* [2017]



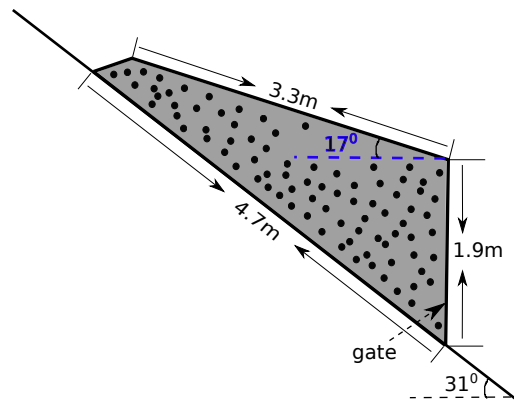
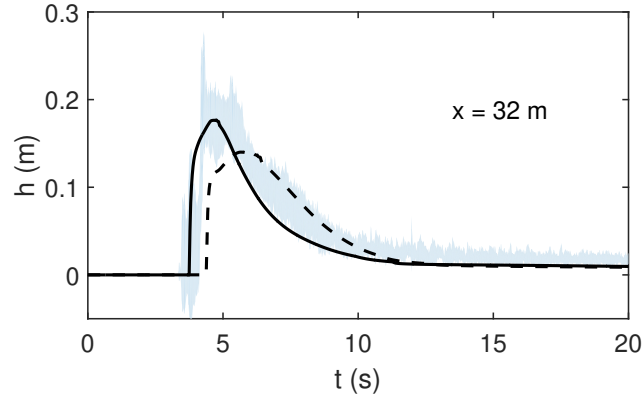
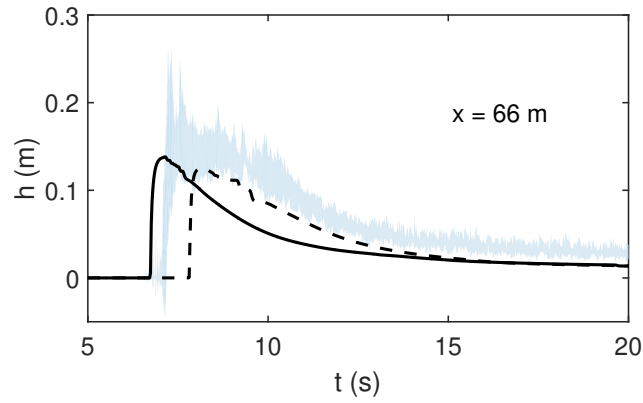


Figure 2: Initial sand-gravel-mud mixture placed behind a vertical gate which is at the origin of the present coordinate system



(a) Depth profile at  $x=32\text{m}$



(b) Depth profile at  $x=66\text{m}$

Figure 3: Time series of the depth profile at different locations downslope. The shaded areas represent experimental data, while the solid lines denote the numerical results from the present model, and the dashed lines represent the results without the granular dilatancy.

(a) Experiment chute



(b) Measurement equipment

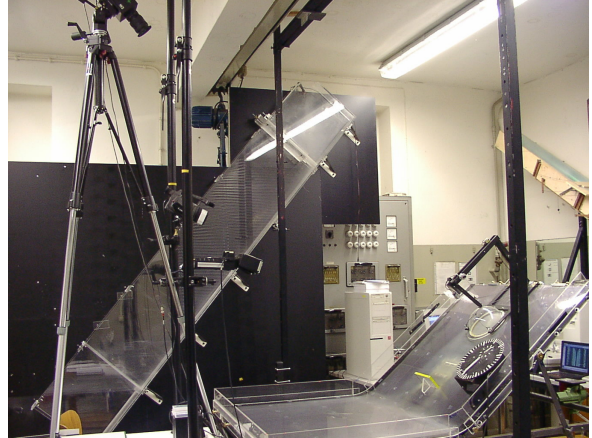
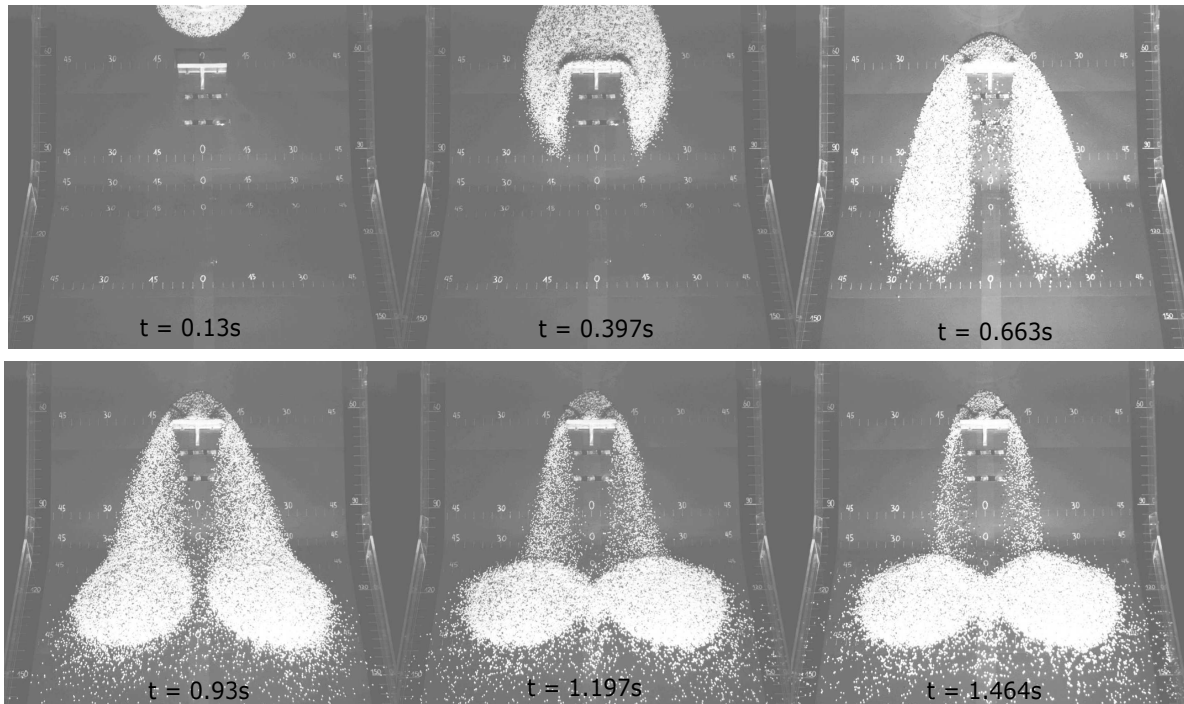


Figure 4: Experiment set-up in the laboratory

(a) Photography from the experiment



(b) Velocity field of PIV measurement

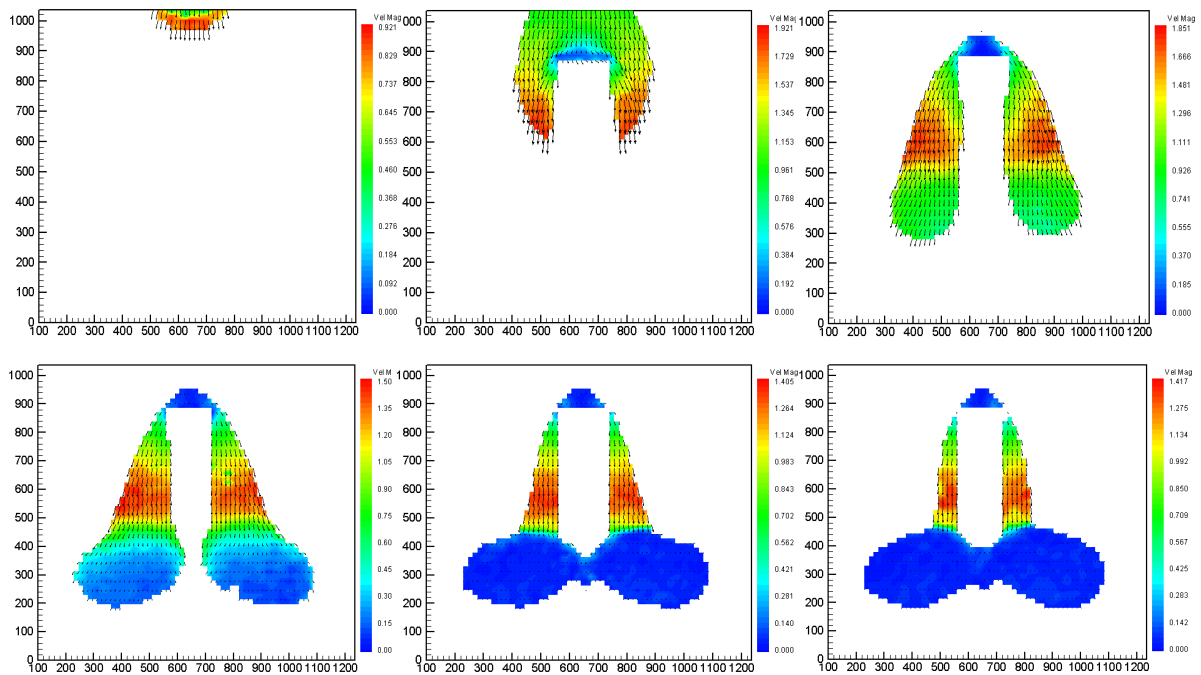


Figure 5: Photography from the experiment of dry granular flow past a cuboid dam (panel a) and the velocity field of PIV measurement (panel b) at several times. The color in panel (b) indicates the value of the surface velocity. These experimental results are well documented in the PhD thesis of *Chiou* [2006]. All experiments have been performed in the laboratory at the Technical University of Darmstadt

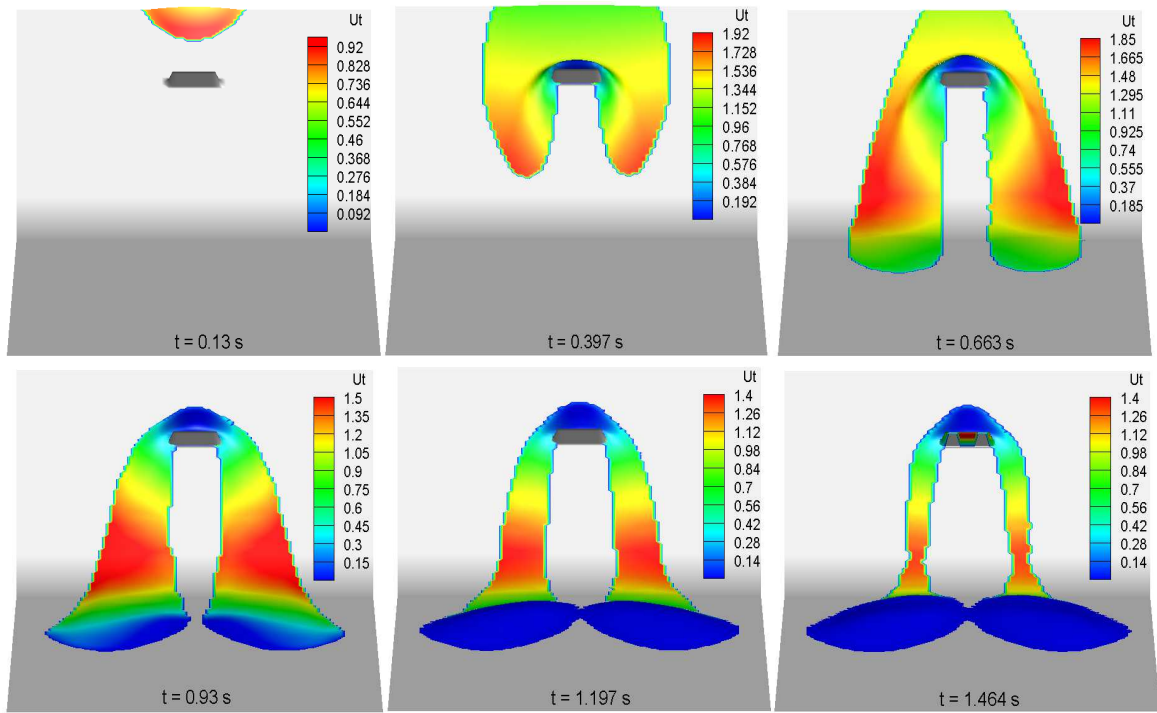


Figure 6: Numerical prediction of the velocity field of dry granular flow past a cuboid dam. The results shown here correspond to those truncated from  $x > 0.45$ m. The color represents the distribution of the resultant depth-averaged velocity  $\sqrt{\overline{u^2} + \overline{v^2}}$ .

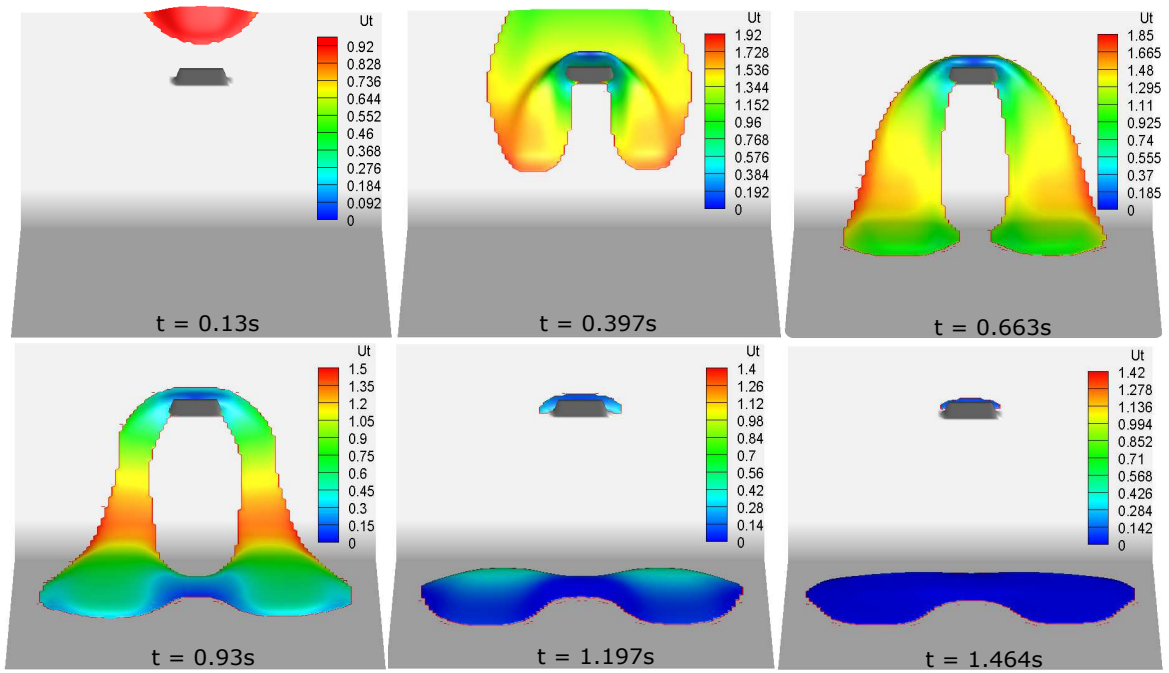


Figure 7: Numerical prediction of velocity field of the liquid-grain mixture flow past a cuboid dam. The results shown here correspond to those truncated from  $x > 0.45\text{m}$ . The color represents the distribution of the resultant depth-averaged velocity  $\sqrt{u^2 + v^2}$ .

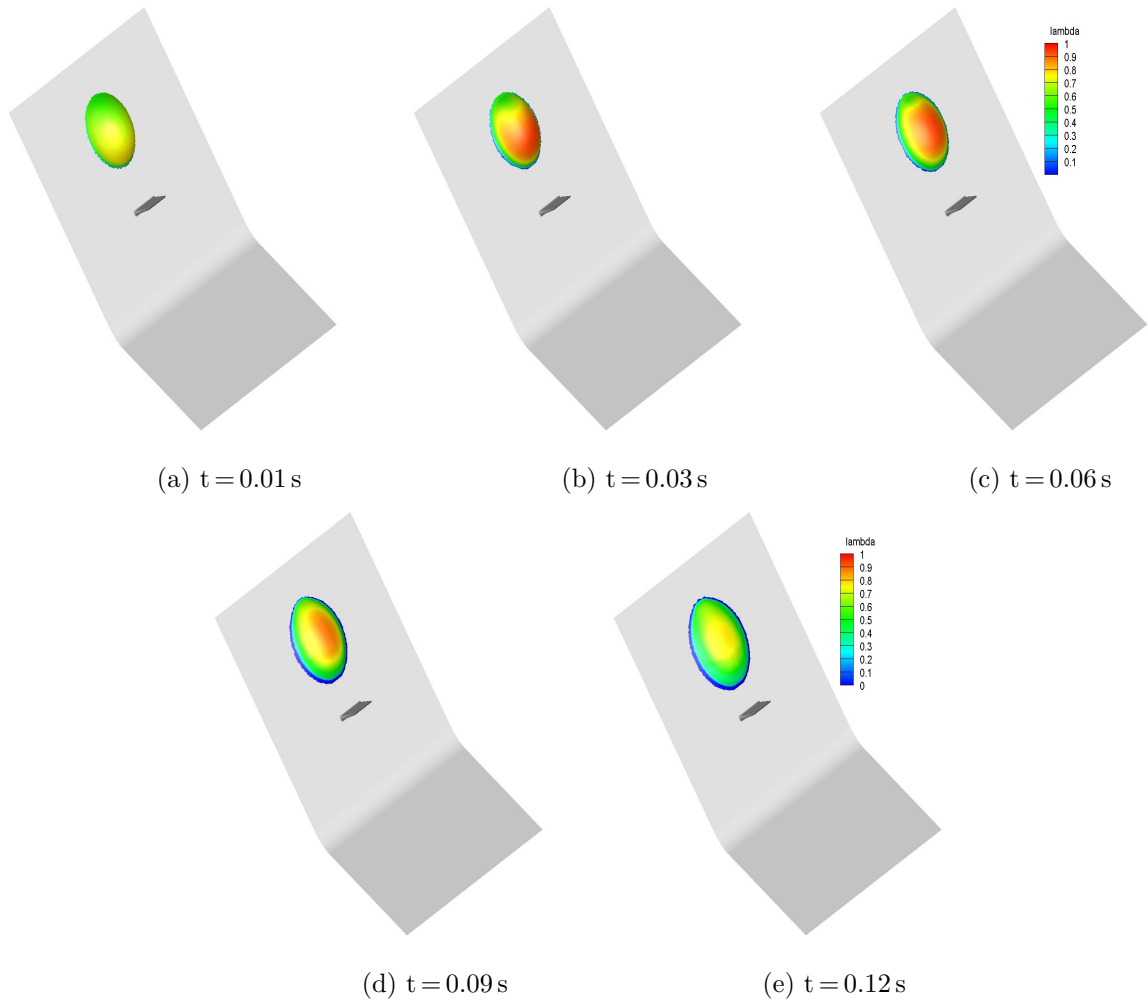


Figure 8: Short-term evolution of the pore fluid pressure. The color indicates the distribution of the dimensionless basal pore pressure  $\lambda = p_{bed}/(\bar{\rho}gh \cos \zeta)$ , in which  $\lambda = 0$  represents a depleted pore fluid pressure and  $\lambda = 1$  denotes a full granular liquefaction.

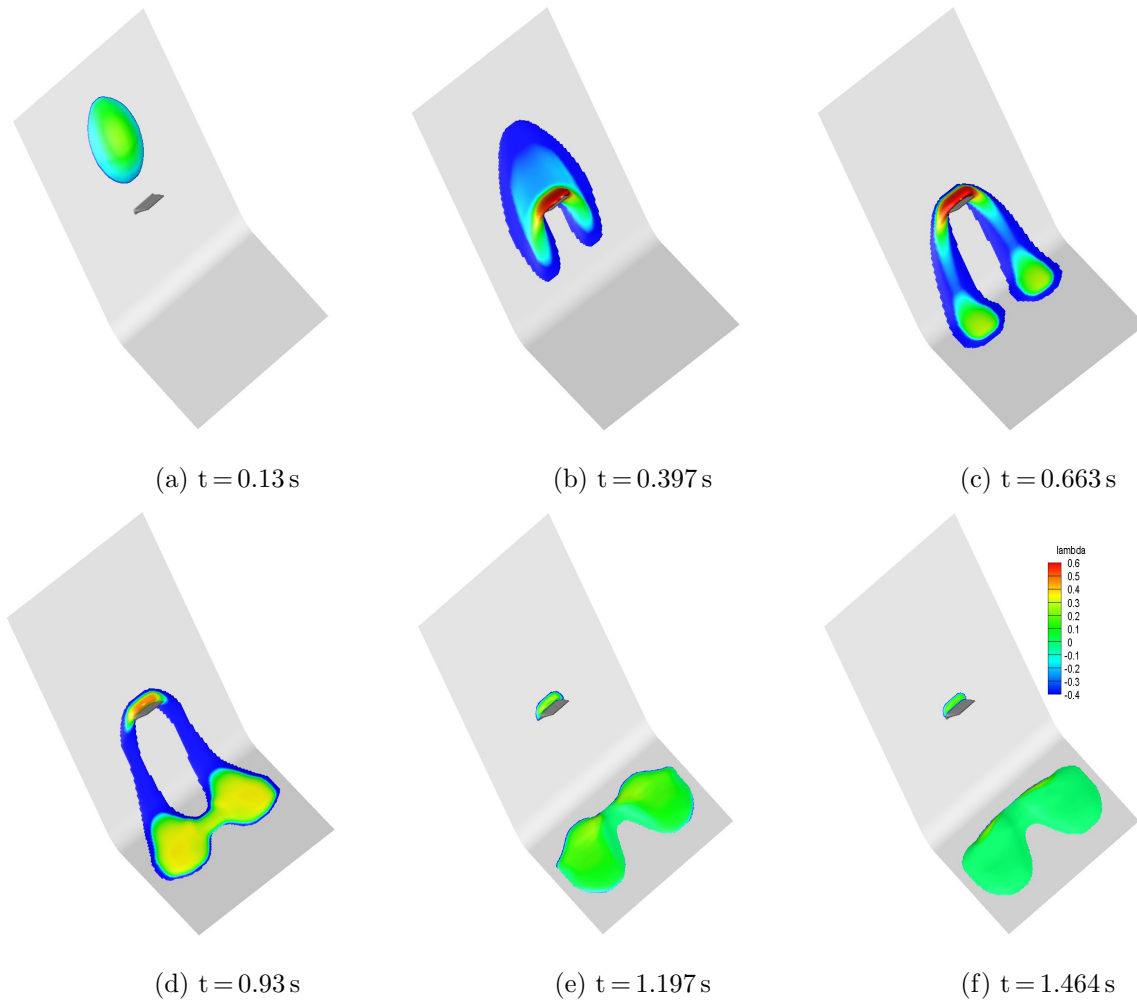
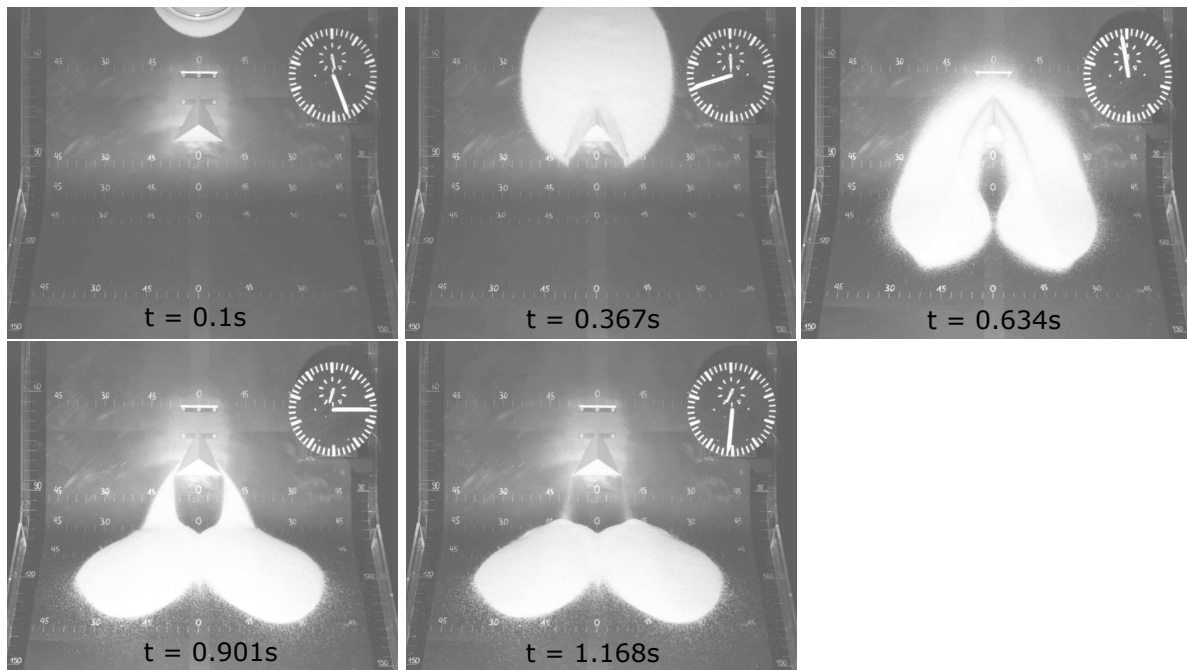


Figure 9: Three dimensional geometries of liquid-grain mixture flows at times  $t = 0.13$  s,  $0.397$  s,  $0.663$  s,  $0.93$  s,  $1.197$  s, and  $1.464$  s, consecutively. The color indicates the distribution of the dimensionless basal pore pressure  $\lambda = p_{bed}/(\bar{\rho}gh \cos \zeta)$ .



(a) Photograph from the experiment



(b) Dry granular velocity field of PIV measurement

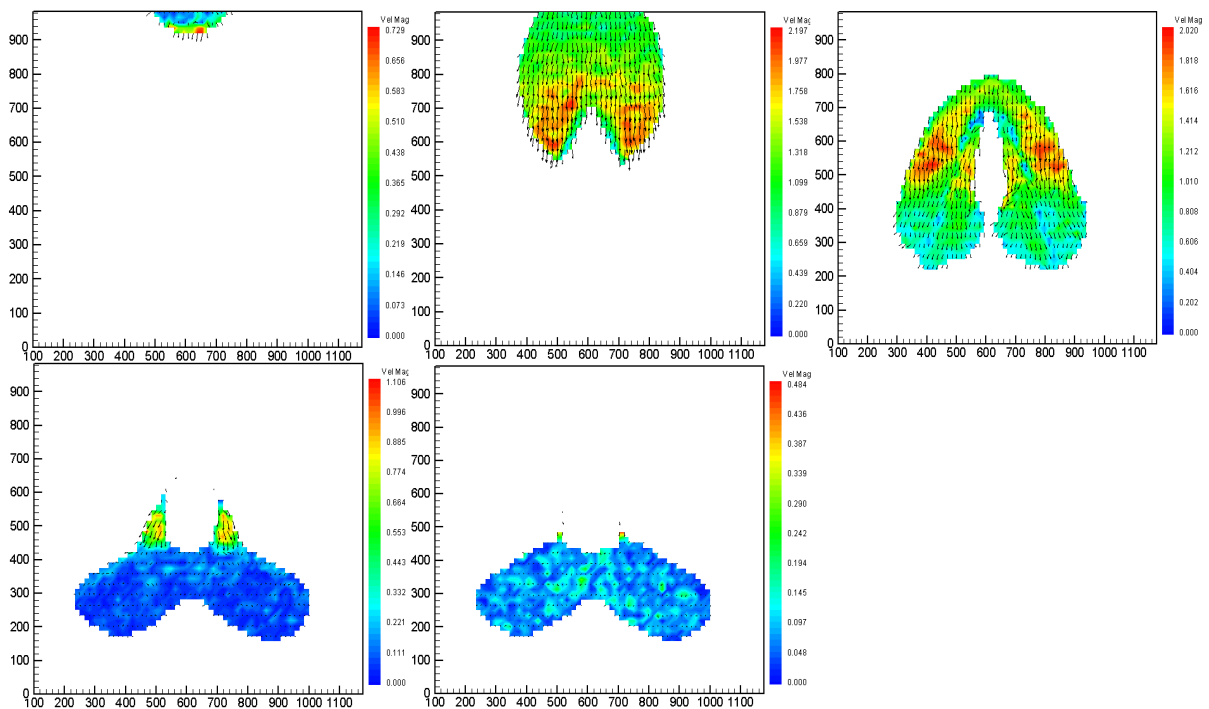


Figure 10: Photographs from the experiment (panel a) and the velocity fields of PIV measurement (panel b) at several times. The color in panel (b) indicates the value of the surface velocity. These experimental results are well documented in the PhD thesis of *Chiou* [2006]. All experiments have been performed in the laboratory at the Technical University of Darmstadt

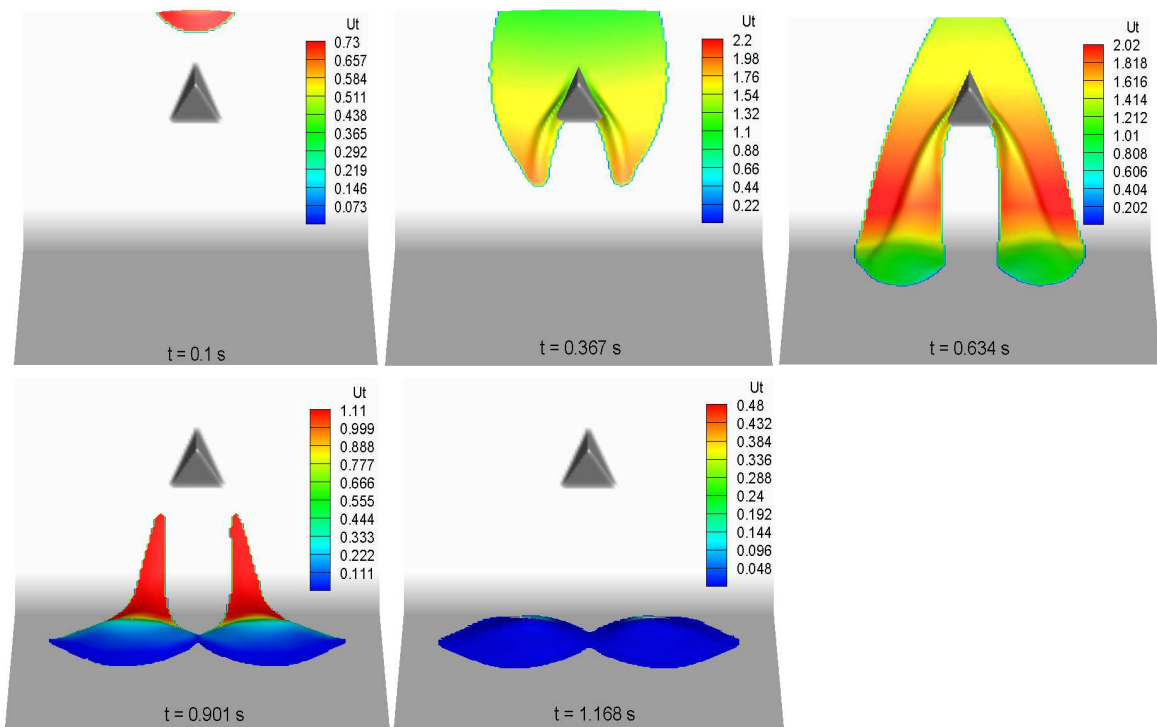


Figure 11: Numerical prediction of velocity field of dry granular flow. The velocity field is demonstrated by the color.

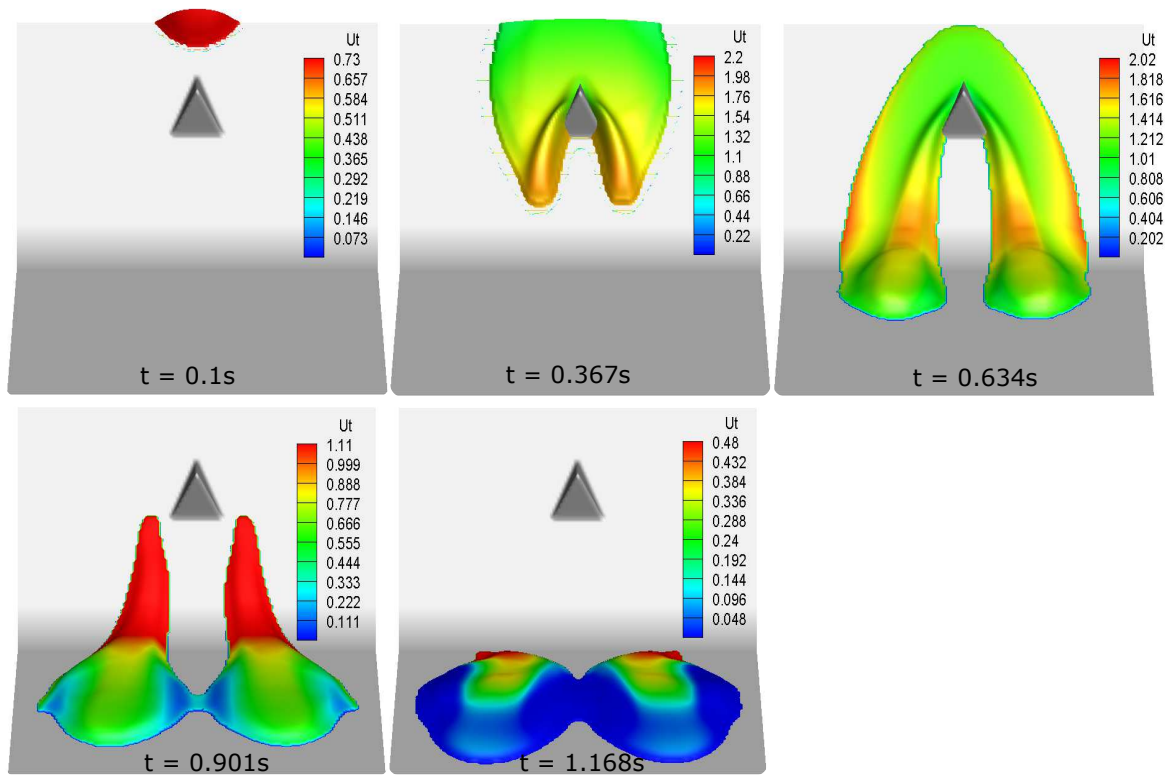


Figure 12: Numerical prediction of the liquid-grain depth profile. The velocity field is demonstrated by the color.

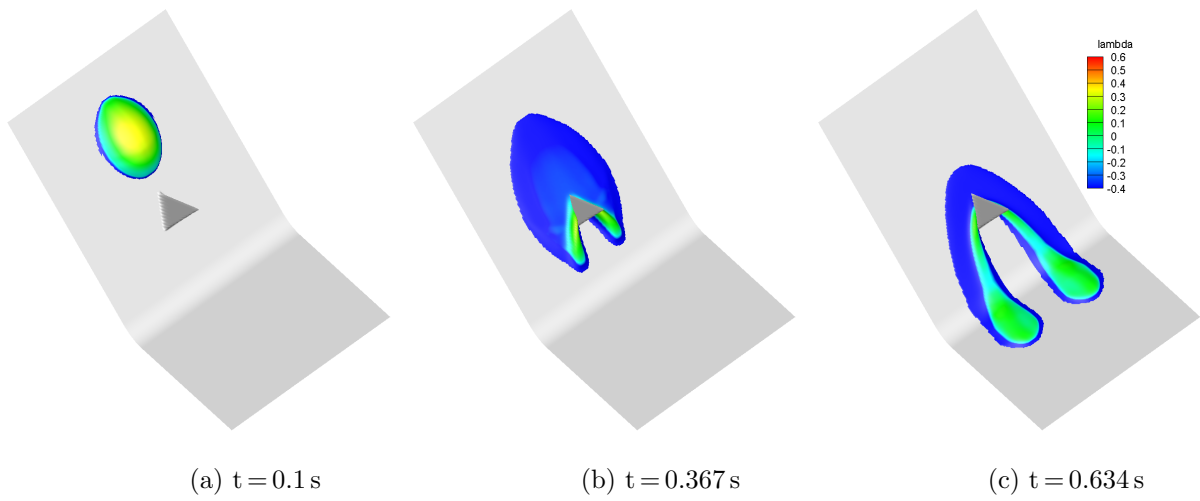


Figure 13: Three dimensional geometries of liquid-grain mixture flows at times  $t = 0.1 \text{ s}$ ,  $0.367 \text{ s}$ , and  $0.634 \text{ s}$ , consecutively. The color indicates the distribution of the dimensionless basal pore fluid pressure  $\lambda = p_{bed}/(\rho g h \cos \zeta)$ .