



Citation for published version:

Ermert, D, Ram, S & Laabei, M 2019, 'The hijackers guide to escaping complement: Lessons learned from pathogens', *Molecular Immunology*, vol. 114, pp. 49-61. <https://doi.org/10.1016/j.molimm.2019.07.018>

DOI:

[10.1016/j.molimm.2019.07.018](https://doi.org/10.1016/j.molimm.2019.07.018)

Publication date:

2019

Document Version

Peer reviewed version

[Link to publication](#)

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1 **The Hijackers Guide to Escaping Complement: lessons learned from pathogens**

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33 **Abstract**

34 Pathogens that invade the human host are confronted by a multitude of defence mechanisms
35 aimed at preventing colonization, dissemination and proliferation. The most frequent
36 outcome of this interaction is microbial elimination, in which the complement system plays a
37 major role. Complement, an essential feature of the innate immune machinery, rapidly
38 identifies and marks pathogens for efficient removal. Consequently, this creates a selective
39 pressure for microbes to evolve strategies to combat complement, permitting host
40 colonization and access to resources. All successful pathogens have developed mechanisms
41 to resist complement activity which are intimately aligned with their capacity to cause disease.
42 In this review, we describe the successful methods various pathogens use to evade
43 complement activation, shut down inflammatory signalling through complement, circumvent
44 opsonisation and override terminal pathway lysis. This review summarizes how pathogens
45 undermine innate immunity: *'The Hijackers Guide to Complement'*.

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66 **1. Introduction**

67 The complement system represents a sophisticated, evolutionarily conserved pathway
68 composed of over 50 fluid-phase and membrane-bound components. Complement is an
69 essential part of innate immunity and works in concert with phagocytes to survey, label and
70 destroy microbial intruders (Berends et al., 2014; Merle et al., 2015). Additionally,
71 complement is central in coordinating inflammation, immune surveillance and recycling and
72 eliminating cellular debris, thereby maintaining homeostasis (Markiewski and Lambris, 2007;
73 Ricklin et al., 2010). Finally, complement interacts with the adaptive immune system;
74 interaction of C3 fragments with B-cells lowers their activation threshold, and C3 and C5
75 fragments modulate intracellular metabolic reprogramming of T cells to influence
76 downstream immune signalling pathways (Elvington et al., 2016; Killick et al., 2018).

77 Complement can be activated by three different routes, the classical (CP), lectin (LP) and
78 alternative (AP) pathways (Figure 1, left side and middle top), each with distinct initiating
79 mechanisms which enable recognition of diverse structures (Berends et al., 2014; Merle et al.,
80 2015). The CP is governed by the recognition of pre-bound immunoglobulins (Ig), IgG and IgM
81 or specific pathogen associated molecular patterns (PAMPs) by C1q (Merle et al., 2015; Noris
82 and Remuzzi, 2013). Binding of C1q (C1q, C1r and C1s exist as a complex called the C1 complex)
83 to its ligands results in a conformational change which initiates auto-activation of
84 accompanying serine protease, C1r, which cleaves and activates neighbouring serine protease
85 C1s. Activated C1s targets C4 generating C4a and C4b. The function of C4a is a poorly
86 understood, however C4b reacts with amino and hydroxyl groups on surfaces via the exposed
87 thioester domain (Law and Dodds, 1997). C2 now interacts with C4b, and is cleaved by C1s to
88 generate C2a and C2b. The larger C2a fragment interacts with C4b to form the CP C3
89 convertase, C4b2a (Merle et al., 2015; Noris and Remuzzi, 2013).

90 LP activation is initiated when mannose-binding lectin (MBL), ficolins (ficolin-1, -2 or -3) or
91 collectin-11 interacts with select carbohydrate moieties displayed on microbes. Similar to C1q,
92 these recognition molecules are complexed with homologous proteases, termed MBL-
93 associated serine proteases (MASPs). MASPs become activated following interaction of LP
94 initiators with microbial surfaces. MASP-2 specifically cleaves C4, while both MASP-1 and
95 MASP-2 are responsible for C2 cleavage, generating a C3 convertase identical to the CP
96 (Garred et al., 2016; Merle et al., 2015).

97 Activation of the AP results from the spontaneous hydrolysis of a labile thioester bond
98 present in the C3 molecule, generating a biologically active conformation termed C3(H₂O)
99 (Harboe and Mollnes, 2008; Merle et al., 2015; Noris and Remuzzi, 2013). Hydrolysis of C3 to
100 C3(H₂O) can be accelerated via interactions between C3 and biotic and artificial interfaces
101 (Nilsson and Nilsson Ekdahl, 2012). The exposed thioester domain of C3(H₂O) permits binding
102 of Factor B (FB), resulting in the formation of an efficient substrate for the serine protease
103 Factor D (FD) which cleaves FB into Ba and Bb, generating the fluid-phase C3 convertase,
104 C3(H₂O)Bb (Bexborn et al., 2008). C3(H₂O)Bb can cleave C3 into C3a and C3b, allowing C3b to
105 bind covalently to surfaces containing exposed hydroxyl groups including microbial surfaces
106 (Sahu et al., 1994).

107 All complement pathways converge at the level of C3 convertase formation, efficiently
108 processing C3 into C3a and C3b (Figure 1, centre). Deposition of C3b triggers the AP positive
109 feedback loop, thus enabling the AP to amplify C3b deposited by the CP and LP (Lachmann,
110 2009). Analogous to C3(H₂O), deposited C3b interacts with FB, is processed by FD, forming AP
111 C3 convertase, C3bBb. Importantly, any deposited C3b may interact with FB and FD resulting
112 in amplification of surface bound AP C3 convertase and C3b deposition. While C3a is an
113 important inflammatory mediator, iC3b (generated by C3b cleavage) is the central opsonin.
114 Opsonisation of pathogens with C3 cleavage fragments is an efficient method to label
115 microbes for phagocyte-mediated uptake and subsequent destruction. The only known
116 positive regulator of complement, properdin, prolongs the life of AP C3 convertases by
117 stabilizing the interaction between C3b and FB. Properdin may also provide a platform for
118 C3bBb surface assembly (Hourcade, 2006).

119 Continued C3b deposition on the microbial surfaces promotes a change in convertase
120 function from cleaving C3 to preferentially cleaving C5, via the assembly of the CP/LP
121 C4b2aC3b and AP C3bBbC3b C5 convertases (Merle et al., 2015; Noris and Remuzzi, 2013).
122 Cleavage of C5 generates C5a, a potent anaphylatoxin and C5b, an integral membrane attack
123 complex (MAC) component. C5b interacts with multiple complement proteins in a step wise
124 manner. Formation of C5b-7 permits interaction with cell membranes, while incorporation of
125 C8 promotes insertion into the lipid bilayer. Lastly, the inclusion of multiple C9 molecules
126 results in the formation of a tubular MAC pore which can lyse susceptible cells such as gram-
127 negative bacteria (Bayly-Jones et al., 2017; Ricklin et al., 2010). Recent work has demonstrated

128 that bacterial killing by MAC requires local, C5 convertase-mediated assembly of C5b-6 to
129 permit efficient insertion of MAC into bacterial membranes (Heesterbeek et al., 2019).

130 Several host soluble or cell-surface attached complement inhibitors limit the destructive
131 effects of complement on 'self' surfaces (Merle et al., 2015; Noris and Remuzzi, 2013; Zipfel
132 and Skerka, 2009). Membrane-bound regulators include decay-accelerating factor
133 (DAF/CD55), membrane cofactor protein (MCP/CD46), complement C3b/C4b receptor 1
134 (CR1/CD35) and CD59. With the exception of CD59, all these regulators contain complement
135 control protein (CCP) domains which interact with their specific ligands. The primary targets
136 of most complement regulators are C3b, C4b or C3 convertases; CD59 limits C9 polymerization
137 (Noris and Remuzzi, 2013; Zipfel and Skerka, 2009).

138 Soluble negative regulators in plasma also dampen complement activity. C4b-binding
139 protein (C4BP) limits the function of C4b and is a potent inhibitor of both the CP and LP. C4BP
140 acts as a cofactor for FI mediated cleavage of surface-bound and soluble C4b and also hastens
141 the natural decay of C3 convertases (D. Ermert and Blom, 2016). The master regulators of the
142 AP are Factor H (FH) and Factor H-like protein 1 (FHL-1), an alternatively spliced transcript of
143 FH (Ferreira et al., 2010). These regulators serve as cofactors for C3b cleavage by FI, compete
144 with FB for interaction with C3b and promote C3bBb dissociation. Another soluble regulator,
145 C1 inhibitor (C1-INH), functions as a serine protease inhibitor targeting and inactivating C1r,
146 C1s, MASP-1 and MASP-2 and therefore disrupting both CP and LP activity (Davis et al., 2010).

147 Two plasma proteins, clusterin and vitronectin, reduce 'innocent bystander' lysis of host
148 cells by MAC (Preissner, 1991; Tschopp et al., 1993). Additionally, the membrane bound
149 regulator CD59, interacts with both C5b-8 and C5b-9 to prevent MAC penetration into the lipid
150 bilayer (Huang et al., 2006). Importantly, CD59 does not bind to circulating C8 or C9 but
151 specifically to the MAC/perforin (MACPF) domain of each protein upon complex formation
152 (Wickham et al., 2011).

153 While several new functions have been attributed to complement over the past twenty
154 years, the 'basic' anti-pathogen role of complement remains critical for human health,
155 highlighted by the heightened risk of certain infections in patients with complement
156 deficiencies (J. E. Figueroa and Densen, 1991; Ram et al., 2010). Infectious diseases represent
157 one of the most serious threats to global human health, a problem amplified by the increasing
158 rate of antibiotic resistance and a concomitant stagnation in antibiotic and vaccine
159 development. Accordingly, there is an urgent need to develop therapeutic interventions to

160 combat infection. This requires a greater understanding of the complex interplay between
161 host and pathogen. The purpose of this review is to provide an update of essential
162 complement evasion strategies used by some of the important human pathogens, which could
163 provide new avenues to target pathogens.

164

165 *1.1 Importance of complement against infection – observations in complement deficient* 166 *individuals*

167 Complement deficiencies may be inherited or acquired. Acquired complement defects may
168 occur following acute infections or may accompany chronic disease states such as cirrhosis or
169 protein-losing nephropathies. Acquired complement deficiencies also result from therapeutic
170 blockade of the complement cascade as with the drug eculizumab (C5 inhibitor) and will be
171 encountered more frequently in the near future as an increasing number of therapeutic
172 complement inhibitors enter clinical trials (Ricklin and Lambris, 2016; Ricklin et al., 2018)).
173 Congenital deficiencies in the complement system are rare and are diagnosed when
174 individuals present with certain autoimmune diseases or recurrent bacterial infections (Ram
175 et al., 2010; Ricklin and Lambris, 2016).

176 Individuals with defects of components of the CP are predisposed to autoimmune disorders
177 (systemic lupus), which presents at an early age (Barilla-LaBarca and Atkinson, 2003; Lintner
178 et al., 2016; Ricklin and Lambris, 2016). These individuals have a relatively low frequency of
179 infection (20%), attributable to a functional AP. Infections in this population are often caused
180 by encapsulated bacteria such as *Streptococcus pneumoniae* and *Haemophilus influenzae*,
181 which involve the sinuses, respiratory tract, blood or meninges (J. E. Figueroa and Densen,
182 1991; Ram et al., 2010). Individuals with deficiencies of FD and properdin are predisposed to
183 meningococcal disease (Biesma et al., 2001; Fijen et al., 1999b; Mathew and Overturf, 2006;
184 Sprong et al., 2006). A single family with FB deficiency has been described; the index case
185 suffered recurrent episodes of invasive pneumococcal infection and an episode of
186 meningococcal disease (Slade et al., 2013). Consistent with its central role in the complement
187 cascade, persons with C3 deficiency contract pneumococcal and meningococcal infections at
188 an early age (J. E. Figueroa and Densen, 1991). Persons with deficiencies in terminal
189 complement proteins exclusively suffer from 7,000-10,000-fold higher rates of recurrent
190 invasive meningococcal disease than their complement-sufficient counterparts (J. Figueroa et
191 al., 1993; J. E. Figueroa and Densen, 1991; Fijen et al., 1999a; Lewis and Ram, 2014). A

192 relatively mild course and lower mortality characterize meningococcal infections in terminal
193 complement deficient persons (J. E. Figueroa and Densen, 1991). MAC insertion into gram-
194 negative membranes results in lipopolysaccharide (LPS; endotoxin) release (O'Hara et al.,
195 2001; Tesh et al., 1986); the amount of complement activation correlates with endotoxin
196 release and disease severity (Brandtzaeg et al., 1989). Conversely, lack of the ability to
197 effectively form the MAC pore limits the amount of endotoxin released (Lehner et al., 1992)
198 and consequently such individuals enjoy a lower mortality per meningococcal disease episode.
199 Eculizumab use is also associated with a ~2000-fold higher risk of invasive meningococcal
200 infection; most reported cases were caused by unencapsulated (non-groupable) isolates
201 (McNamara et al., 2017). Eculizumab treatment has also been associated with several cases
202 of disseminated gonococcal infection (Crew et al., 2018) and infections caused by otherwise
203 non-pathogenic commensal *Neisseria* species (Crew et al., 2019). Collectively, these
204 epidemiologic observations highlight the key role for complement in combating infections and
205 in particular, invasive *Neisseria* infections.

206

207 **2. Manipulation of AP**

208 *2.1 Targeting properdin*

209 Properdin, the only positive regulator of complement, functions primarily to stabilize the AP
210 C3 convertase, C3bBb. In the absence of properdin, C3bBb dissociates quickly ($T_{1/2} \approx 90$ sec),
211 which is increased 5- to 10-fold when properdin associates with C3bBb (reviewed in (Kemper
212 and Hourcade, 2008)). A stable and active C3b convertase increases opsonisation of
213 pathogens. As a result, certain bacterial species have evolved strategies to interrupt this
214 stabilising function. LPS are integral components of the gram-negative cell membrane, are
215 crucial for membrane stability and serve as a physical barrier from environmental factors. LPS
216 is a negatively charged molecule composed of hydrophobic lipid A anchored in the membrane,
217 which is linked to a hydrophilic inner oligosaccharide core. Several gram-negative pathogens
218 possess glycan extensions organized in repeating units beyond the inner oligosaccharide core
219 called the O-antigen (Steimle et al., 2016). In certain bacterial species, LPS has been suggested
220 to prevent properdin binding to the bacterial surface. Isogenic LPS mutants of either the O-
221 antigen or core oligosaccharide in *Escherichia coli* K12 display enhanced levels of both
222 properdin and C3b deposition compared to wild type, however the exact mechanism of how
223 LPS thwarts properdin binding is not fully understood (Spitzer et al., 2007).

224 Another strategy employed by bacteria is the direct degradation of properdin.
225 *Streptococcus pyogenes* expresses a secreted virulence factor called *Streptococcus pyogenes*
226 exotoxin B (SpeB). This cysteine protease SpeB directly cleaves and inactivates properdin (Tsao
227 et al., 2006), and several other complement proteins (Laabei and Ermert, 2019) and is
228 discussed below.

229

230 2.2 Blocking AP convertase

231 *Staphylococcus aureus*, a master of complement evasion, uses a wide variety of different
232 complement evasion strategies including potent secreted anti-convertase molecules
233 (reviewed in (Lambris et al., 2008)). Staphylococcal complement inhibitors (SCIN, SCIN-B/C),
234 arrest both CP/LP and AP C3 convertases in a non-functional conformation (Jongerijs et al.,
235 2007; Rooijackers et al., 2005). AP C3 convertase inhibition has been better characterized,
236 where SCIN binds to both C3b/iC3b and Bb, forming a bridge between C3b and Bb, locking Bb
237 into a non-active state (Rooijackers et al., 2009). Extracellular fibrinogen binding protein (Efb)
238 and homologue of the C-terminus of Efb, Extracellular complement binding protein (Ecb),
239 specifically stabilise the C3bB proconvertase on the bacterial surface via interaction with C3b.
240 Efb/Ecb binding to C3b enhances FB-C3b contact which prevents FB cleavage by FD. In
241 addition, Ecb/Efb also effectively block C5 convertase activity through interaction with C3d
242 (Hammel et al., 2007a; Hammel et al., 2007b; Jongerijs et al., 2010; Lee et al., 2004).

243

244 2.3 Acquisition of FH

245 FH binds to deposited C3b and select glycosaminoglycans (GAG; e.g., heparin sulfate, heparin
246 dermatan- or chondroitin sulfate A) simultaneously on host cells through domains 19 and 20,
247 respectively (Figure 2). This enables domains 1-4, which contains the complement inhibitory
248 region of FH, available to bind to C3b (Gordon et al., 1995) and exert decay accelerating
249 activity and FI cofactor activity. "Self/non-self discrimination" is crucial for sparing the host
250 from unwanted complement activation and is mediated mainly through domains 19-20
251 (Pangburn, 2002). Another GAG binding region exists within a region spanned by domain 6
252 and 7; impaired binding of this region as seen with the 402H variant of FH domain 7 to
253 malondialdehyde, a lipid peroxidation product, within the eye may promote the formation of
254 drusen that is characteristic of the dry form of age-related macular degeneration (Weismann
255 et al., 2011).

256 Interestingly, many microbes that have co-evolved with their hosts have developed the
257 ability to bind to FH in a similar manner as their hosts. Therefore, it is not surprising that most
258 microbes that bind FH do so through domains 6-7 and/or 19-20 (Figure 2; Suppl Table 1).
259 Microbial proteins may form a tripartite interaction with FH domains 19-20 and C3b, similar
260 to that between host glycosaminoglycans, C3b and the C-terminus of FH (Meri et al., 2013).
261 *Neisseria gonorrhoeae* sialylated lipooligosaccharide (LOS) and outer membrane porin protein
262 together mediate a stable interaction with the C-terminus of FH (Madico et al., 2007). Species
263 selective binding of FH (as well as C4BP) might be one of the key reasons for a host specificity.
264 Bacteria such as nontypeable *H. influenzae* (NTHi), *N. meningitidis*, *N. gonorrhoeae* or
265 *S. pyogenes* cause natural infection only in humans and also preferentially bind human Factor
266 H (D. Ermert et al., 2015; Granoff et al., 2009; Langereis et al., 2014; Ngampasutadol et al.,
267 2008; Schneider et al., 2009). By contrast, *Borrelia burgdorferi* strains that infect diverse
268 species are capable of recruiting FH from all their hosts (Hart et al., 2018). In addition to direct
269 complement inhibition, FH can bind to the lipid A part of LPS of *E. coli* TG1 (Tan et al., 2011).
270 Bacteria-bound FH can compete with C1q for binding thus interfering not only with the AP,
271 but also CP.

272 Members of the FH family, such as Factor H like protein (FHL) and - related proteins (FHR)
273 also contribute to complement activation and regulation on microbial surfaces. FHL-1 contains
274 the CCP domains 1-4 and can inhibit complement deposition. In contrast, the FHR proteins all
275 lack the first 4 domains of FH and therefore lack FI cofactor activity. Thus, binding of FHR
276 proteins to microbes – as an example, the FHR3 - *N. meningitidis* interaction (Caesar et al.,
277 2014) - interferes with binding of FH/FHL-1 and serves to activate complement (reviewed in
278 (Jozsi, 2017)). It is conceivable that FHR-1, which contains three C-terminal domains that are
279 almost identical to FH domains 18-20 may also compete with binding of FH domains 19 and
280 20 to microbes. We speculate that the FHR family of proteins may have evolved to counteract
281 the ability to microbes to steal FH from the host and evade complement.

282

283 2.4 Binding C3 and recruiting FI

284 The Herpes simplex virus type 1 (HSV-1) glycoprotein (gC1) is essential in resisting complement
285 attack (Harris et al., 1990; J. Lubinski et al., 1999; J. M. Lubinski et al., 1998). gC1 interacts
286 directly with C3 and C3 activation products, C3b, iC3b and C3c (Harris et al., 1990; Kostavasili
287 et al., 1997). gC1 binds a distinct epitope on C3b blocking its interaction with properdin and

288 thus accelerating the decay of the AP convertase (Kostavasili et al., 1997; J. M. Lubinski et al.,
289 1998).

290 Vaccinia, variola and monkey pox viruses all produce complement inhibitory proteins that
291 possess CCP modules called vaccinia complement control protein (VCP), smallpox inhibitor of
292 complement enzymes (SPICE) and monkeypox inhibitor of complement enzymes (MOPICE),
293 respectively (Dunlop et al., 2003; Kotwal, 2000; Liszewski et al., 2006; Mullick et al., 2003).
294 While all three proteins possess variable levels of FI cofactor activity for C3b and C4b, only
295 VCP and SPICE, but not MOPICE possess decay-accelerating activity (Liszewski et al., 2006). A
296 virulent strain of the Nipah virus possesses FI-like activity, which can cleave C3b in the
297 presence of the cofactors, FH or CR1 (Johnson et al., 2015). A less virulent Nipah virus strain
298 lacked this ability, which points to a role for complement inactivation in pathogenesis of this
299 virus.

300 The human specific respiratory tract pathogen, *Moraxella catarrhalis*, has evolved multiple
301 mechanisms to resist-complement mediated lysis, the majority of which rely on the expression
302 of two membrane autotransporters, ubiquitous surface protein A (UspA1) and UspA2 (de Vries
303 et al., 2009). A unique evasive strategy displayed by *M. catarrhalis* involves direct interaction
304 between UspA2 and non-activated C3, resulting in neutralisation of C3 and inhibition of all
305 complement pathways (Nordstrom et al., 2005).

306 *S. aureus* actively recruit FI to the bacterial surface, limiting C3 convertase formation and
307 significantly diminishes phagocytosis (Hair et al., 2008). This mechanism is mediated through
308 the expression of the multifunctional cell wall protein, clumping factor A (ClfA). ClfA is
309 composed of a N-terminal ligand binding A region, followed by a serine-aspartate repeat
310 domain and a C-terminal region that permits covalent anchorage to the peptidoglycan (Foster
311 et al., 2014). FI interacts with the A domain. Furthermore it was noted that a recombinant
312 fragment of ClfA that consisted mostly of the A domain exhibited cofactor activity for FI and
313 enhanced cleavage of C3b to iC3b in the absence of known cofactors (Hair et al., 2008).
314 Therefore, these data suggest that ClfA both recruits and localizes FI to the staphylococcal
315 surface while simultaneously augmenting FI mediated cleavage of deposited C3b.

316

317 **3. Evasive strategies directed at CP & LP**

318 *3.1 Disrupting Ab binding*

319 Binding of the C1q component of the C1 complex to surface-bound IgM or IgG initiates CP
320 activation. Efficient IgG-C1q complement activation relies on optimal antigen epitope
321 distribution, which permits the formation of ordered IgG Fc hexamers, thereby providing a
322 platform for high avidity Fc-gC1q interaction (Diebolder et al., 2014).

323 Because Ab-complement interactions on microbial surfaces are critical for their clearance,
324 many human pathogens have evolved mechanisms to disrupt this interaction. One of the first
325 bacterial immune evasion mechanisms described was the immunoglobulin binding protein,
326 protein A, of *S. aureus* (Sjodahl, 1977). Protein A is a cell-wall anchored protein composed of
327 five N-terminal triple-helical bundle domains which interact with several ligands including IgG
328 (Foster et al., 2014; Moks et al., 1986). Specifically, protein A captures the Fc γ domain of
329 human and multiple mammalian IgGs. Crucially, protein A is highly expressed on the
330 staphylococcal surface and results in coating of the bacterium by IgG in an ‘upside down’
331 orientation (DeDent et al., 2007), which prevents the Fc region of IgG from engaging C1q or
332 Fc receptors on professional phagocytes thereby impeding both, CP activation and
333 phagocytosis.

334 Several bacteria and fungi express surface polysaccharide capsules. Capsules confer many
335 benefits to the microbe including preventing desiccation and resisting innate and adaptive
336 immune responses. Polysaccharide capsules are hydrated, highly variable homo- or
337 heteropolymeric structures composed of repeating monosaccharides linked by glycosidic
338 bonds, which can extend for up to 400 nm from the bacterial surface (Roberts, 1996). This
339 variability gives rise to many distinct capsule serotypes – as an example, there are over 90
340 different capsular types (serotypes) of *S. pneumoniae* – which poses a moving target for the
341 immune system. In a classic case of molecular mimicry, capsules composed of α 2-8-linked
342 homopolymers of N-acetylneuraminic acid elaborated by *Neisseria meningitidis* serogroup B
343 and *E. coli* K1 are identical to human neural cell adhesion molecule (NCAM) and therefore
344 poorly immunogenic (Roberts et al., 1989). Additionally, *N. meningitidis* capsule prevents
345 engagement of C1q by antibodies directed against surface protein, which results in decreased
346 C4b deposition (S. Agarwal et al., 2014). Capsule also impedes the AP-mediated C3b
347 deposition by masking microbial targets for C3b (Roberts, 1996). Capsule may also prevent
348 any C3b deposited on the membrane from binding to complement receptors on phagocytes.
349 Alternatively, pathogens can modify their capsular polysaccharide content to evade
350 complement. As an example, certain *Klebsiella pneumoniae* serotypes that lack mannobiose

351 and rhamnobiase and avoid recognition by the LP tend to be more virulent than their
352 counterparts that express these two sugars (Sahly et al., 2009).

353 Capsule works in concert with other outer membrane molecules such as LPS to resist innate
354 immunity. Because LPS is surface exposed it is readily recognized by antibodies. LPS can
355 undergo structural changes; the presence of O-antigenic repeats results in the 'smooth LPS'
356 phenotype while loss of O-antigen expression results in a 'rough LPS' phenotype (Steimle et
357 al., 2016). In general, rough LPS strains are more susceptible to the bactericidal activity of
358 complement than smooth LPS strains. In *K. pneumoniae*, elongated O-antigen limits C1q
359 binding and subsequent C3b deposition; any deposited C3b is too far from the membrane to
360 permit bactericidal MAC insertion into the lipid bilayer (Merino et al., 1992). Certain bacterial
361 species lack the O-antigen and express lipooligosaccharide (LOS), which can be modified to
362 evade complement. *N. gonorrhoeae* LOS contains a lacto-N-neotetraose (LNnT) moiety (also
363 a host mimic (Mandrell and Apicella, 1993) which can be sialylated by an enzyme called LOS
364 sialyltransferase (Gilbert et al., 1996). Sialylation of gonococci enables bacterial survival in
365 serum (Smith et al., 1995). LOS sialylation interferes with all three pathways of complement.
366 First, bacteria with sialylated LOS bind less IgG present in normal human serum (Gulati et al.,
367 2015) or specific antibodies, such as anti-porin antibodies (Elkins et al., 1992). Second, MBL
368 interaction with gonococci is decreased following sialylation (Devyatyarova-Johnson et al.,
369 2000; Gulati et al., 2002). Finally, LOS sialylation represses AP activation (Ram et al., 2018; Ram
370 et al., 1998); enhanced FH binding is restricted to sialic acid α 2-3-linked to LNnT LOS (Ram et
371 al., 1998).

372

373 3.2 Inhibition of C1 / C4

374 Targeting the C1 complex is an efficient method of disrupting CP activation. Recently a novel
375 mechanism of CP evasion was shown for the Lyme disease spirochete, *Borrelia burgdorferi*
376 (Garcia et al., 2016). *B. burgdorferi* utilises a surface expressed lipoprotein, BBK32, to capture
377 C1 with high affinity. Specifically, BBK32 binds to C1r non-covalently in a calcium-dependent
378 manner and prevents autoactivation and cleavage of C1s, thus maintaining C1 in its inactive
379 proenzyme state. *S. aureus* also targets the interaction of C1q with the initiating serine
380 proteases to prevent CP induction. To achieve this, *S. aureus* expresses a surface protein called
381 collagen binding protein (Cna) (Kang et al., 2013), which interacts specifically with the
382 collagenous domain of C1q and prevents its interaction with C1r. Moreover, Cna actively

383 displaces C1r₂C1s₂ from the C1 complex and also prevents C1 from interacting with IgM coated
384 surfaces.

385 CP/LP evasion may also occur through the recruitment of C1-INH. C1-INH is a multi-
386 functional acute-phase protein belonging to the superfamily of serine protease inhibitors
387 (Serpins). This molecule contains a C-terminal protease recognition region or 'reactive loop'
388 which mimics target proteases cleavage sites. Cleavage at the specific substrate site by target
389 proteases triggers a conformational rearrangement in which C1-INH and the protease
390 becomes irreversibly locked through covalent bonds blocking the protease active site ('suicide
391 inhibition') (Davis et al., 2010). Complement specific targets of C1-INH include C1r, C1s, MASP-
392 1 and MASP-2. *Bordetella pertussis*, the causative agent of whooping cough, employs a surface
393 expressed autotransporter, Virulence associated gene 8 (Vag8), to bind C1-INH via its serpin
394 domain, which enhances complement resistance (Marr et al., 2011). A secreted form of the
395 passenger domain of Vag8 (the same domain that binds C1-INH) also prevents serum killing
396 of pertussis (Hovingh et al., 2017). Mechanistically, recombinant passenger domain Vag8 or
397 full-length secreted Vag8 binds C1-INH and prevents it from interacting with C1r, C1s and
398 MASP-2 in solution. Loss of C1-INH function results in cleavage and consumption of C4 and C2
399 in solution (i.e., away from the bacterial surface), which represses normal CP/LP activity on
400 the bacterial surface (Hovingh et al., 2017).

401 NS1 is a secreted glycoprotein expressed by several members of the Flaviviridae family of
402 RNA viruses, including the dengue, West Nile and yellow fever viruses. In a novel strategy,
403 soluble NS1 derived from these pathogens target the CP and LP by directly binding C4 and C1s,
404 which results in enhanced cleavage of C4 to C4b in solution and therefore depletes the supply
405 of C4 and prevents complement activation on the viral surface (Avirutnan et al., 2010).

406

407 3.3 Blockade of CP/LP convertase

408 Targeting CP/LP C3 convertase formation efficiently limits complement activity. Extracellular
409 adherence protein (Eap) is one of a number of soluble *S. aureus* complement C3 convertase
410 inhibitors (Thammavongsa et al., 2015). Eap is a multi-functional 60-72 kDa protein; different
411 isoforms, consisting of four to six 110 amino acid repeats, exist (Hussain et al., 2001). Eap
412 exhibits potent CP/LP C3 convertase inhibition activity, leading to reduced C3b deposition on
413 the bacterial surface, thus inhibiting opsonophagocytic killing by neutrophils (Woehl et al.,
414 2014). Eap domains 3 and 4 (Eap3-4) bind C4b with nanomolar affinity, which effectively

415 prevents subsequent C4b interaction with either full length C2 or C2b. Structural analysis
416 revealed that Eap34 targets the α' and γ chains of C4b and highlighted seven key lysine
417 residues required for C4b binding and complement inhibition (Woehl et al., 2017). It is worth
418 noting that although Eap interacts with C4b at a site similar to C4BP, it neither interferes with
419 the inhibitory activity of C4BP nor displays intrinsic cofactor activity for FI-mediated C4b
420 degradation.

421 The *Schistosoma* parasite has evolved a novel mechanism to limit the formation of CP/LP
422 C3 convertase using a surface expressed protein called complement C2 receptor inhibitor
423 trispanning (CRIT). CRIT contains a 27 residue N-terminal extracellular domain (ed1) which
424 houses a specific segment of 11-amino acids (H17-Y27) termed CRIT-H17, which shares 55%
425 identity and 73% similarity with the C4 β -chain (Inal and Schifferli, 2002). Based on its
426 structural similarity with the C4 β -chain as revealed by antibody cross-reactivity and peptide
427 inhibition studies, CRIT-ed1 was postulated to function as a C4-like peptide which could
428 interact with C2 and prevent complement activation. Indeed, both ed1 and H17 CRIT peptides
429 were observed to interact specifically with the C2a fragment. Finally, CRIT-ed1 prevented C1s
430 mediated degradation of CRIT-ed1 bound C2 thus demonstrating its role as a decoy C2
431 receptor that competes with C4b for C2 and prevents cleavage of C2 by C1s. Subsequently,
432 CRIT-H17 was reported to also interact with FB and interfere with its cleavage by FD (Hui et
433 al., 2006). Interestingly, a human homologue of CRIT with CP inhibiting properties has also
434 been described (Inal et al., 2005), which raises the possibility of gene transfer from host to the
435 parasite.

436

437 *3.4 Recruitment of C4BP*

438 C4BP is a 500 kDa plasma glycoprotein and is the major soluble inhibitor of the CP/LP. It is
439 composed of seven identical 75 kDa α -chains and one 40 kDa β -chain consisting of 8 and 3
440 CCP domains respectively (Figure 3A) (D. Ermert and Blom, 2016). C4BP performs its inhibitory
441 activity through binding and controlling the function of activated C4b and C3b (Figure 3B). This
442 inhibitory function is localised to the α -chain CCP1-3 domains which interacts electrostatically
443 with C4b through a cluster of positively charged amino acids at the CCP1 and CCP2 interface
444 (Blom et al., 1999). C4BP, like other soluble regulators, is highly abundant in plasma.
445 Consequently, to survive complement destruction, microbes have evolved to recruit and use
446 negative regulators like C4BP to combat complement.

447 Several microbes bind C4BP (Suppl Table 2) (reviewed in (Avirutnan et al., 2011; D. Ermert
448 and Blom, 2016; Luo et al., 2011; Meri et al., 2004; Shayakhmetov et al., 2005; Vogl et al.,
449 2008). Similar to binding FH, binding of C4BP inhibits complement at relatively early stages of
450 the cascade and therefore effectively stalls complement activation prior to excessive
451 downstream amplification. Although the binding sites on C4BP for different pathogens span
452 nearly every CCP domain there is a strong predilection to target domains 1-2 and 7-8 (Figure
453 3B; Suppl Table 2).

454 *S. pyogenes*, a human specific pathogen, recruits C4BP through surface expressed M-
455 proteins. M proteins are dimeric α -helical coiled coils which possess an extracellular
456 hypervariable N-terminal region (HVR) (Ghosh, 2011). Despite this variability the HVR interacts
457 exclusively with CCP1-2 of C4BP in the overwhelming majority of M-types tested (Persson et
458 al., 2006), indicating conservation of this key function through evolution. Despite overlapping
459 binding sites for M proteins and C4b on C4BP (Blom et al., 2000), the heptameric structure of
460 C4BP permits *S. pyogenes* to bind the C4BP through some of its α -chain 'arms', while others
461 are free to interact with C4b/C3b and perform cofactor and decay-accelerating activity
462 functions. Another surface expressed member of the M-protein family, called protein H, also
463 binds C4BP (D. Ermert et al., 2013). Protein H is expressed in approximately 30% of the highly
464 virulent M1 strains (D. Ermert et al., 2018) and is encoded adjacent to M protein, suggesting
465 it arose by gene duplication. Protein H binds multiple ligands including IgG (Akesson et al.,
466 1990), and similar to protein A on *S. aureus*, binding occurs through the Fc region rendering
467 IgG functionally effete (i.e., unable to activate complement or engage FcR). Interestingly, C4BP
468 binding mediated through protein H was enhanced in the presence of human IgG (Hu-IgG),
469 specifically through interaction with the Hu-IgG Fc domains. Interaction of Hu-IgG Fc with
470 protein H results in a stable, dimeric form of protein H which translates to more C4BP binding
471 sites (David Ermert et al., 2019). Crucially, enhanced C4BP binding mediated through protein
472 H – Hu-IgG Fc interaction diminished complement activation, impeded bacterial killing by
473 neutrophils and enhanced lethality of *S. pyogenes* in a murine model that incorporated Hu-
474 IgG and human C4BP (D. Ermert et al., 2018).

475 Nonclassical cell surface associated proteins (also referred to as 'moonlighting proteins')
476 are also involved in recruiting C4BP to the microbial surface. *S. pneumoniae* uses the glycolytic
477 enzyme enolase as an additional C4BP binding protein, interacting with both CCP1/2 and CCP8

478 of C4BP (V. Agarwal et al., 2012). C4BP recruited to the pneumococcal surface via enolase
479 retains its cofactor activity, promoting FI-mediated C4b degradation.

480

481 **4. Preventing cleavage of C5, chemotaxis and MAC assembly**

482 C5 convertase-mediated cleavage of C5 generates C5a, a powerful chemoattractant and C5b,
483 the initiator of the MAC. C5a is a potent anaphylatoxin, which alerts inflammatory cells to the
484 presence of pathogens, recruits immune cells to the site of infection and activates phagocytic
485 cells to secrete reactive oxidants and microbicidal enzymes, all critical for innate defence (Guo
486 and Ward, 2005). As a consequence, bacteria have developed strategies to deal with this
487 onslaught.

488 *S. aureus* secretes a molecule, staphylococcal superantigen-like 7 (SSL-7) protein, which
489 binds C5 with nanomolar affinity and prevents C5 interaction with either CP/LP or AP C5
490 convertases (Bestebroer et al., 2010; Langley et al., 2005). Additionally, SSL-7 binds avidly to
491 monomeric IgA1 and IgA2 and blocks their interaction with Fc α RI, thus disrupting Fc α RI –
492 mediated phagocytosis (Langley et al., 2005). SSL-7 repressed both phagocyte production of
493 reactive oxygen species and phagocytosis of *S. aureus* in a human whole blood model.
494 Interestingly, SSL-7 inhibition of C5-C5 convertase binding and phagocytosis is enhanced in
495 the presence of IgA – it is thought that IgA may participate in steric hindrance of C5 cleavage
496 (Bestebroer et al., 2010).

497 Certain major human pathogens have evolved distinct strategies to interfere with
498 leukocyte migration to infection sites. Chemotaxis inhibitory protein of *S. aureus* (CHIPS) is a
499 secreted molecule which interrupts C5a and formylated peptide mediated neutrophil
500 recruitment (de Haas et al., 2004). CHIPS binds avidly to both the formyl peptide and C5a
501 transmembrane G-protein coupled receptors expressed on the neutrophil surface,
502 diminishing chemotaxis and promoting infection. *S. pyogenes* uses two proteins to counteract
503 C5a-dependent recruitment and activation of professional phagocytes. Glyceraldehyde-3-
504 phosphate dehydrogenase (GAPDH) is a glycolytic enzyme, but moonlights as a complement
505 evasin. GAPDH has been observed on the bacterial surface where it binds and sequesters C5a
506 (Terao et al., 2006). In addition, anchored to the streptococcal cell wall is the classical C5a
507 peptidase, ScpA, a subtilisin-like serine protease which efficiently cleaves C5a at its C-terminus
508 to inactivate its chemotactic function (Cleary et al., 1992) and promotes bacterial

509 dissemination in murine models of infection (Ji et al., 1996). It is proposed that both GAPDH
510 and C5a are necessary for efficient cleavage of surface bound C5a (Terao et al., 2006).

511 Only a few instances of pathogen-encoded terminal pathway inhibitors have been
512 reported. *B. burgdorferi* possess two such surface-expressed evasins; CspA (Hallstrom et al.,
513 2013) and a CD59-like protein (Pausa et al., 2003). Molecular analysis revealed that CspA binds
514 both C7 and C9 in a manner similar to that of the host vitronectin (Vn) (Hallstrom et al., 2013).
515 Although CspA binds C7 it does not interfere with interaction of C7 with C5b-6. Instead CspA
516 binds both C7 and C9 simultaneously. Binding of C7 and C9 are localised to a 107-residue
517 region within the CspA protein, which can inhibit ZnCl₂-induced C9 polymerisation.
518 Additionally, transforming serum-sensitive *Borrelia garinii* with a plasmid-containing CspA
519 enhanced serum resistance and blocked MAC assembly at the level of C7 (Hallstrom et al.,
520 2013). These data suggested that CspA interferes with both MAC insertion into the plasma
521 membrane and polymerisation at the C9 stage. Of note, CspA is also referred to as
522 complement regulator-acquiring surface protein-1 (CRASP-1) because it binds FH (Kraiczky and
523 Stevenson, 2013). A recent study showed that a CspA mutant that lacked the ability to bind
524 FH but retained the capacity to bind to C7 and C9, did not protect bacteria from lysis and failed
525 to survive in mice or ticks (Hart et al., 2018). These data suggest that inhibition of MAC
526 formation alone by CspA, in the absence of FH binding, is insufficient for serum resistance and
527 pathogenesis.

528 A unique mechanism of terminal complement component extrusion by *Salmonella*
529 *minnesota* was described by Joiner *et al*, where incorporation of C8 and C9 into the MAC
530 complex results in extrusion of the entire C5b-9 complex from the bacterial membrane (Joiner
531 et al., 1982a; Joiner et al., 1982b).

532 Vn is a glycoprotein that is present in abundant amounts in plasma and numerous other
533 tissues. The presence of Vn in diverse anatomical regions highlights its importance in many
534 biological processes including cell migration, adhesion, tissue repair and regulation of the MAC
535 formation (Preissner and Seiffert, 1998). Vn is composed of an N-terminal somatomedin-B
536 domain, a cell receptor RGD binding motif, four haemopexin-like binding motifs and three
537 heparin binding domain (HBD) (Preissner and Seiffert, 1998; Singh et al., 2010). Vn targets two
538 distinct steps of the MAC assembly. It binds to the membrane binding site of C5b-7 and
539 prevents its insertion into membranes (Milis et al., 1993). Second, regions localised to the
540 HBDs of Vn bind C9 and prevent C9 polymerisation (Milis et al., 1993). Analogous to microbial

541 recruitment of FH and C4BP, several pathogens have evolved to acquire and localise Vn to
542 their surface thereby inhibiting MAC formation (Suppl Table 3). *Haemophilus influenzae* type
543 B (Hib) utilises a highly conserved non-pilus trimeric autotransporter, Haemophilus surface
544 fibrils (Hsf) to capture Vn (Hallstrom et al., 2006). The N-terminal HBD of Hsf interacts with
545 Vn. Hsf interacts with Vn via two distinct binding pockets Hsf⁶⁰⁸⁻¹³⁵¹ and Hsf¹⁵³⁶⁻²⁴¹⁴ potentially
546 permitting one Hsf molecule to interact with two Vn molecules (Hallstrom et al., 2006).
547 Deletion of *hsf* results in significant killing of Hib in serum bactericidal assays.

548 It is important to note that Vn is a key component of the extracellular matrix (ECM).
549 Microbial interaction with exposed ECM proteins including Vn contributes to adherence,
550 which is a prerequisite for infection. Numerous papers have highlighted bacterial interaction
551 with Vn in the context of adherence and the reader is referred to an excellent review by Singh
552 and colleagues (Singh et al., 2010)).

553

554 **5. Proteolytic cleavage**

555 Neutralisation of complement proteins via degradation represents another method of
556 complement evasion. Two mechanisms result in proteolytic cleavage of complement proteins:
557 1) Direct, via pathogen expressed enzymes and 2) acquisition and/or activation of host
558 plasminogen for indirect, plasmin-mediated complement degradation.

559

560 *5.1 Direct attack on complement components*

561 Bacterial proteases fall into several categories based on mechanism of action, structure and
562 function and play essential roles in bacterial physiology and pathogenesis (Culp and Wright,
563 2017). Interestingly, microbial proteases from these different categories degrade complement
564 proteins with overlapping specificity (Suppl Table 4) highlighting a strong selective pressure
565 for protease-mediated complement degradation. Unsurprisingly, the favoured complement
566 target is C3, which will be the focus of this section. However, proteolytic degradation of IgG,
567 C1q, properdin, C2, C4, C5, C5a and MAC components have all been described (Suppl Table 4).

568 *S. aureus* secretes four proteases all of which target and degrade C3 and other complement
569 proteins. One of these proteases, the zinc-dependent metalloprotease, aureolysin (Aur),
570 targets C3 at a specific site that is only two amino acids C-terminal to the C3 convertase site,
571 resulting in release of active C3a and C3b (Laarman et al., 2011). Under physiological
572 conditions, Aur mediated cleavage of C3 works in conjunction with host regulators, FH and FI,

573 resulting in proteolytic inactivation of C3b. Crucially, secreted proteases degrade C3 in
574 solution, away from the bacterial surface, and thus prevent C3 convertase formation and C3b
575 deposition on bacteria. Gelatinase E protease (GelE) secreted by *E. faecalis* also cleaves C3 in
576 a convertase-like fashion, leading to fluid-phase C3 consumption (Park et al., 2008). In contrast
577 to Aur, GelE can also cleave surface-bound iC3b, which would limit engagement of CR3 on
578 phagocytes. A highly efficient mechanism of C3 degradation is provided by the *S. pyogenes*
579 cysteine protease, SpeB. SpeB is a chromosomally encoded genetically conserved virulence
580 factor that is expressed by the vast majority of *S. pyogenes* clinical isolates (Olsen et al., 2015).
581 Central to the complement inhibitory property of SpeB is its broad substrate specificity,
582 permitting efficient cleavage of a large array of complement and innate immune mediators
583 (Nelson et al., 2011). SpeB rapidly degrades the α and β chains of C3 and C3b at multiple sites
584 (Terao et al., 2008). This rapid cleavage prevents C3b binding to the bacterial surface and
585 impairs phagocytosis. The role of omptin proteases elaborated by Salmonella and Shigella in
586 cleaving C3b and facilitating intracellular survival of bacteria is discussed below.

587 It is important to note that the substrates for bacterial proteases are not restricted to
588 complement. Many if not all of the enzymes listed in Suppl Table 4 degrade a wide spectrum
589 of innate immune factors such as antimicrobial peptides, chemokines, cytokines and related
590 receptors and protease activated receptors (Potempa and Pike, 2009). However, regardless of
591 the selective pressure driving protease evolution, their broad use by bacteria in avoiding
592 complement detection is evident and represents a powerful mechanism of complement
593 evasion.

594

595 *5.2 Plasminogen binding/activation proteins*

596 The host inflammatory response to infection results in the activation of multiple innate
597 immune pathways that often ‘cross-talk’ to restrict, entrap and eliminate microbial
598 pathogens. A recurring mechanism pathogens use is to manipulate the fibrinolytic system,
599 specifically targeting plasminogen (PLG) activation (Bhattacharya et al., 2012; Potempa and
600 Pike, 2009). PLG is a liver-derived glycoprotein present as an inactive proenzyme in human
601 serum. The conversion of PLG to plasmin (Pm) is essential for the resolution of fibrin clots and
602 is mediated by host activators urokinase-type plasminogen activator (uPA) or tissue-type
603 plasminogen activator (tPA) (Bhattacharya et al., 2012). Plasmin is a serine protease with
604 relatively low substrate specificity. In addition to its primary substrate fibrinogen, plasmin

605 cleaves a variety of extracellular matrix proteins and the complement components C3b and
606 C5 (Bhattacharya et al., 2012). Further, PLG itself serves as a complement inhibitor; in the
607 presence of FH, PLG enhances FI mediated C3b inactivation (Barthel et al., 2012). Therefore,
608 hijacking the proteolytic activity of plasmin(ogen) benefits the pathogen and is achieved either
609 by 1) recruiting plasminogen to the bacterial surface, which becomes activated by host
610 plasminogen activators (Figure 4, right side) or 2) expression of bacterial proteins which
611 cleaves PLG to the active form, Pm (Figure 4, left side). *Acinetobacter baumannii* is a Gram-
612 negative, multidrug-resistant and complement-resistant human pathogen. A recent study
613 showed that *A. baumannii* recruits PLG using translation elongation factor Tuf, whereby host
614 uPA then cleaves surface bound PLG to Pm, which in turn cleaves C3b (Koenigs et al., 2015).
615 This works adds to the growing list of glycolytic and metabolic enzymes and chaperones with
616 moonlighting activities that play important roles in complement evasion and virulence.

617 Bacteria-derived PLG activators that work in a similar fashion to host plasminogen
618 activators may also aid in usurping PLG. These proteins have been described thus far only in
619 gram-negative pathogens and belong to a family of outer membrane aspartyl proteases
620 known as OmpTins (Suppl Table 4). *Salmonella enterica* expresses one such protease, PgtE,
621 which modulates Pm activity by both processing PLG and inhibiting the Pm inhibitor, α_2 -
622 antiplasmin (Lahteenmaki et al., 2005). Although PgtE can cleave purified complement
623 proteins (C3b, C4b and C5), enhanced cleavage is observed in the presence of PLG (Ramu et
624 al., 2007), underscoring the anti-complement activity performed by plasmin(ogen) hijacking.

625

626 **6. Intracellular pathogens and complement**

627 The intracellular environment has classically been considered a safe haven for pathogens from
628 detection by host complement. During their journey to gain intracellular access, pathogens
629 must survive the extracellular milieu where they encounter antibodies and complement. Well-
630 characterised intracellular pathogen detection techniques typically rely on the recognition of
631 PAMPs by Toll-like receptors and nucleic acid receptors located in the cytosol (Kawai and
632 Akira, 2008). However, accumulating evidence indicates that antibodies and complement
633 components deposited on pathogens are carried inside the host cell upon invasion /
634 internalisation, triggering antimicrobial pathways and thus representing a novel method of
635 immune surveillance (Figure 5) (McEwan et al., 2013; Sorbara et al., 2018; Tam et al., 2014).

636 The role of complement in promoting autophagy-mediated restriction of pathogens in non-
637 immune cells was recently examined. C3 – specifically the C3d domain – interacts with
638 ATG16L1, a cytosolic protein essential for organisation of the autophagy machinery (Sorbara
639 et al., 2018). Opsonisation of the intracellular pathogens, *Listeria monocytogenes* and *Shigella*
640 *flexneri* with C5-depleted human serum prior to incubation with cells resulted in enhanced
641 targeting of bacteria by autophagy proteins ATG16L1 or LC3 compared to bacteria not coated
642 with C3. Furthermore, growth restriction of *L. monocytogenes* was enhanced in a C3-
643 dependent fashion and was reversed in ATG16L1 deficient cells. *In vivo*, C3-deficient mice had
644 a higher *L. monocytogenes* mucosal burden using an intra-gastric infection model compared
645 to wild-type mice. Importantly, treatment of C3-deficient mice with rapamycin, an inducer of
646 autophagy, accelerated bacterial killing. Taken together these results indicate that pathogen-
647 bound C3 associates with ATG16L1 to promote autophagy-dependent restriction of *L.*
648 *monocytogenes*. Unsurprisingly, certain pathogens have thwarted C3-mediated autophagy
649 restriction. Two intracellular pathogens, *S. flexneri* and *S. typhimurium*, utilise surface
650 expressed omptin proteases to rapidly cleave C3 to limit autophagy and promote intracellular
651 survival (Figure 5A) (Sorbara et al., 2018).

652 Intracellular sensing of C3 deposited on human viruses induces immune signalling and
653 activation of degradation pathways independent of autophagy (Tam et al., 2014). Infection of
654 human embryonic kidney (HEK) 293T cells with non-enveloped viruses stimulated nuclear
655 factor κ B (NF- κ B) expression only when the infecting virus was pre-opsonised with serum. C3
656 mediated NF- κ B and subsequent pro-inflammatory cytokine production was dependent on
657 C3-coated viral particles reaching the cytosol, suggesting that C3 functioned as a damage-
658 associated molecular pattern (DAMP) to stimulate innate immune responses (Figure 5B).
659 Critically, inhibition of mitochondrial antiviral signalling (MAVS) disrupted C3-mediated
660 immune activation. MAVS induction leads to the reorganisation of downstream molecules
661 that culminates in dimerization and activation of interferon regulatory factor 3 (IRF3) and
662 expression of antiviral interferons (Seth et al., 2005). In addition, C3 labelling of virions
663 activated intracellular valosin-containing protein (VCP) and proteasome dependent pathways
664 restricting viral infection (Tam et al., 2014).

665 The evolution of pathogen-specific counter measures to mitigate intracellular complement
666 driven viral restriction underlines the importance of this antiviral response. Human
667 rhinoviruses (HRVs), the most common cause of upper respiratory tract infections, employ a

668 cystolic 3C protease predicted to impair C3 mediated intracellular immunity. Recombinant
669 HRV 3C protease cleaves C3 specifically deposited on viral particles (Tam et al., 2014).
670 Expression of HRV 3C protease within HEK 293T cells prior to C3 opsonised viral infection
671 significantly reduced NF- κ B expression. Additionally, infection of HEK 293T cells with serum
672 opsonised HRV resulted in rapid cleavage of intracellular C3. In contrast, serum opsonised
673 adenovirus (AdV), which does not express 3C proteases, left C3 intact and rendered the C3-
674 coated virus susceptible to intracellular sensing.

675 Importantly, intracellular detection of humoral components is not restricted to
676 complement. Intracellular immune responses are also activated following intracellular sensing
677 of antibody-coated pathogens by the IgG receptor, tripartite motif-containing 21 (TRIM21)
678 (Mallery et al., 2010). Antibody-coated AdV is rapidly bound by TRIM21 which specifically
679 recognises the Fc domain. TRIM21 displays E3 ubiquitin ligase activity and targets the virus for
680 degradation via the proteasomal pathway (Mallery et al., 2010). Certain pathogens employ
681 proteases which can degrade IgG or bind IgG via the Fc portion masking recognition. It is
682 tempting to speculate whether these evasion mechanisms are also involved in subverting Ab
683 mediated intracellular immunity.

684

685 7. Exploitation of complement facilitates microbial entry of host cells

686 Complement receptors and regulators decorate a diverse range of immune and non-immune
687 cells and are fundamental in mediating immune complex clearance, phagocytosis and
688 complement regulation (Holers, 2014; Merle et al., 2015; Noris and Remuzzi, 2013). Pathogens
689 have evolved to hijack these abundant cell surface proteins, namely complement receptors,
690 CD35/CR1, CD21/CR2 and CD11b/CD18/CR3 and complement regulators CD55/DAF and
691 CD46/MCP, in order to enter host cells, escaping immune detection and enhancing survival.

692 An excellent example of microbial manipulation of complement receptors is highlighted by
693 *Plasmodium falciparum*, the causative agent of malaria. *P. falciparum* is an obligate
694 intracellular parasite, which survives within the human host by invading erythrocytes in a
695 complex, multistep process (Schmidt et al., 2015). Central to invasion is the expression of
696 parasite reticulocyte-binding like proteins, one of which, PfRh4, directly targets CR1 (Tham et
697 al., 2010). PfRh4 specifically binds the N-terminal CCP1-3 region of CR1 (Tham et al., 2011),
698 normally reserved for C3b/C4b binding and accelerating decay of both CP and AP C3 and C5
699 convertases (Holers, 2014). Parasite binding of CR1 did not affect C3b/C4b binding nor

700 cofactor activity but did inhibit decay accelerating activity. Parasitic invasion of erythrocytes
701 occurs rapidly, with a transient interaction between parasite and CR1, suggesting a minimal
702 impact on complement regulation. Instead, by targeting an essential region on CR1, the
703 parasite may take advantage of a highly conserved structure as a means of entry (Tham et al.,
704 2011).

705 Other obligate intracellular pathogens have evolved a non-specialised approach,
706 permitting deposition of complement fragments and relying on this opsonisation as a means
707 of promoting host cell entry. Here interaction of covalently attached C3 activation products
708 with CR1/CR3 facilitate pathogen entry, as described for important human pathogens,
709 *Mycobacterium tuberculosis* (Schorey et al., 1997), *Leishmania spp* (Da Silva et al., 1989) and
710 human immunodeficiency virus (Bajtay et al., 2004). The reader is directed to excellent
711 reviews which provide an in-depth analysis of the pathogenic exploitation of complement
712 receptors and regulators (Cattaneo, 2004; Fernandez et al., 2019; Lindahl et al., 2000).

713

714 **8. Discussion and outlook**

715 The success of pathogens requires an ability to colonise their hosts, extract nutrients to
716 proliferate and dampen or resist immune responses associated with their removal (Figure 6A).
717 The importance of complement evasion for microbial pathogenicity is evident from the
718 numerous, independently evolved strategies outlined in this review, indicating that this is a
719 conserved requirement for infection (Figure 6B).

720 Technological advances have made it possible to examine and unravel the biochemical and
721 structural features governing microbial complement inhibition. The next essential step is to
722 use this information to develop therapeutic avenues to disrupt these evasive mechanisms.
723 Understanding the role of individual evasins during infection will facilitate the rational design
724 of therapeutic intervention strategies. These could be based on a number of approaches
725 including the development of monoclonal neutralising antibodies raised against specific
726 evasins and small molecule inhibitors designed to disrupt evasin function. Microbial proteins
727 that bind complement inhibitors may prove to be effective antigens for vaccines;
728 meningococcal factor H binding protein (FHbp) is one such example (Perez et al., 2018;
729 Rappuoli et al., 2018). Elucidating the basis of human FH-FHbp interactions proved useful in
730 designing FHbp molecules that did not bind human FH, which further augmented bactericidal
731 antibody responses (Beernink et al., 2011; Granoff et al., 2016). Such a strategy could be

732 employed to design vaccines that incorporate microbial proteins that bind human
733 complement inhibitors in order to cripple critical pathogen immune evasion mechanisms. In
734 addition, fusion proteins designed to interfere with essential evasion mechanisms are being
735 developed which efficiently re-sensitizes bacteria to complement (Blom et al., 2017; Ram et
736 al., 2016). Alternatively, augmenting immune responses by enhancing the immunogenicity of
737 target antigens is being explored. Here bacterial complement activators are used as molecular
738 adjuvants opsonising antigens, facilitating increased humoral immune responses (Yang et al.,
739 2018). These approaches offer novel methods for controlling infection and help address the
740 problem of antimicrobial resistance that threatens human health globally.

741 Bacterial whole genome sequencing has revolutionised our understanding of pathogen
742 biology (Didelot et al., 2012; Laabei et al., 2014). The abundant genomic data can be used to
743 mine and characterise novel complement evasins. Alternatively, this genetic data has the
744 potential to be used in functional genomic approaches (Laabei and Massey, 2016) aimed at
745 unravelling how complement evasins are regulated at the genetic level, offering more targets
746 for intervention and providing a greater understanding of pathogen virulence.

747 The role of complement as solely an extracellular feature in pathogen immune surveillance
748 has been challenged. Intracellular recognition of C3 labelled bacteria and viruses results in the
749 activation of signalling and degradative pathways and offer an insight into how host cells deal
750 with microbial invasion. In addition to a novel intracellular recycling pathway for C3 (Elvington
751 et al., 2017), a new 'form' of C3 can be transcribed from an alternative start codon that results
752 in C3 being retained in the cytosol (King et al., 2019); these data have firmly established C3 as
753 a major player in intracellular processes (Hess and Kemper, 2016; Liszewski et al., 2013). What
754 role does intracellular C3 play in sensing pathogens, what other ligands are required for
755 activation of immune signalling cascades and have pathogens evolved mechanisms to
756 circumvent these systems within the harsh intracellular environment? At the genetic level,
757 what are the microbial regulatory elements governing complement evasion? Are complement
758 evasins constitutively expressed or induced under specific microenvironmental or stressful
759 conditions? Moreover, in relation to the apparent redundancy of complement evasins
760 observed in certain pathogens, is the expression of subsets of complement evasins infection
761 specific?

762 There is a lot to learn about how pathogens and complement interact and a more intensive
763 scrutiny of the above questions may provide therapeutic targets to universally repress

764 evasion. Disruption of essential microbial complement evasive strategies will give our immune
765 system a significant boost in fighting infection and impose less selective pressure for the
766 development of resistance than conventional antimicrobial approaches.

767

768 **Acknowledgements**

769 M.L. was supported by the Lars Hierta Memorial Foundation, the Tore Nilssons Foundation,
770 the Royal Physiographic Society of Lund and an internal University of Bath grant. D.E. was
771 supported by the Gyllenstiernska Krapperup Foundation. S.R. is supported by National
772 Institutes of Health grants AI114790, AI132296, AI136007, AI119327 and AI141181.

773

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775 **References**

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1304 **Figure Legends**

1305

1306 **Figure 1: Activated complement cascade on a surface.** Schematic representation of the
1307 complement cascade. Complement can be activated by three independent pathways: Classical
1308 pathway (CP) through IgG's; Lectin Pathway (LP) via carbohydrates (both on the left side) or
1309 Alternative Pathway (AP) through spontaneous tick-over and probing of surfaces (upper part
1310 in the middle). All pathways converge at the level of C3 convertases leading to the
1311 opsonisation of the target (middle of scheme) and progressing via C5 convertases to the
1312 terminal pathway which results in the generation of the membrane attack complex (MAC).

1313 Complement inhibition by host molecules (soluble and surface bound) is highlighted by red
1314 lines.

1315
1316 **Figure 2: Factor H: structure and binding sites for virulence factors of human pathogens.**
1317 Schematic representation of the soluble complement inhibitor of the AP, Factor H (FH). FH is
1318 composed of 20 CCP domains. FH binds to host cell surfaces, specifically to
1319 glycosaminoglycans (GAG) via domains 6-7 and 19-20. C3b binding is mediated through
1320 domains 19-20 and 1-4. CCP1-4 also mediates the complement regulatory function (domains
1321 highlighted in green). Pathogens bind to all CCP domains of FH, with a strong affinity for
1322 domains 5-7 and 19-20. The bars behind the pathogens name indicate the different CCP
1323 domains which are targeted by that pathogen.

1324
1325 **Figure 3: C4b-binding protein: structure and binding sites for virulence factors of human**
1326 **pathogens. (A)** Schematic representation of the soluble complement inhibitor of the CP and
1327 LP, C4b-binding protein (C4BP). C4BP is composed of 7 α -chains and one β -chain ($\alpha_7\beta_1$), but
1328 can also be found in a $\alpha_7\beta_0$ configuration, lacking the β -chain. **(B)** Each α -chain consists of 8
1329 CCP domains. CCP1-3 mediate the complement regulatory function (domains highlighted in
1330 green). Beside binding C4b (CCP1-3) and C3b (CCP1-4), pathogens do also bind to different
1331 CCP domains, indicated by the bars after the pathogens name.

1332
1333 **Figure 4: Plasminogen activation on pathogens protects from complement activation.**
1334 Plasminogen (PLG) can be bound and directly activated by different surface virulence factors
1335 and (e.g. omptins; left side). Omptins can also enhance plasmin activity by degrading α_2 -
1336 antiplasmin, a host plasmin inhibitor. Other bacterial plasminogen receptors only bind
1337 plasminogen, which then becomes activated by host serum factors, such as tPA or uPA (right
1338 side). Both cases result in a cleavage of C4b, C3b and C5, which inactivates complement and
1339 prevents opsonisation and anaphylatoxin release.

1340 **Figure 5: Intracellular complement and clearance of pathogens.** Before invading a cell,
1341 bacteria are exposed to complement and eventually opsonised **(A)**. As soon as those bacteria
1342 invade cells and reach the cytoplasm, ATG16L1 recognizes C3b and induces autophagy, which
1343 leads to the destruction of the pathogen (exemplary shown for *Listeria* as blue bacteria).
1344 However, if C3b is degraded, ATG16L1 does not recognize C3b and bacteria evade autophagy
1345 thus being able to replicate (shown here for *Shigella* in orange). Similarly, non-enveloped
1346 viruses are recognized and can be opsonised before entering the cell **(B)**. Once in the cytosol,
1347 MAVS recognize C3b deposition on the virus and induce translation of genes such as IRF, NF κ b
1348 and AP-1.

1349
1350 **Figure 6: Summary of how pathogens evade complement. (A)** Increased complement
1351 activation always leads to a decreased microbial survival due to selective pressure. For
1352 pathogens to survive, efficient complement evasive strategies are necessary. **(B)** Pathogens
1353 release soluble virulence factors, such as inhibitors, proteases or other factors that directly
1354 degrade complement or activate it in a place remote from the pathogen (left side of scheme).
1355 Inhibition of complement can also be caused by recruiting different host serum factors which
1356 interfere with complement activation due to protease activity or regulatory domains (right
1357 side of scheme). In both cases, complement is inhibited on different stages, since nearly all
1358 complement proteins can be targeted. This prevents complement recognition, opsonisation,
1359 immune activation and MAC deposition (top part of scheme).

