Photocathode-assisted Redox Flow Desalination

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21 Abstract: The desalination techniques, such as reverse osmosis, distillation, capacitive deionization, and battery desalination, require lots of electrical or thermal energy 22 consumption. Herein, we propose a consumption-free electrochemical desalination method 23 based on the light-driven photocathode with Pt/CdS/Cu₂ZnSnS₄(CZTS)/Mo architecture. 24 Modification of a CdS layer under CZTS can improve the desalination performance due to 25 the inner p-n junction formed between CdS and CZTS that enhances the separation of the 26 photoexcited carriers without recombination. This photocathode-assisted electrodialysis 27 desalination plays the dual functions of both energy conversion and ion removal with the 28 blocking of ion exchange membranes. The $[Fe(CN)_6]^{4-/3-}$ redox couples are recirculated 29 between anode and photo-cathode as electrolyte while the salt streams are fed into middle 30 compartment. Under light illumination, this architecture produces the photo-generated 31 electrons to the redox couples with the conversion of $[Fe(CN)_6]^{3-1}$ to $[Fe(CN)_6]^{4-1}$ at the 32 positive chamber, causing the cations capture in the presence of ion-exchange membrane. At 33 the same time, $[Fe(CN)_6]^{4-}$ is oxidized at the negative reservoir. The light-driven 34 electrochemical reaction of electrolyte redox couples can result in the continuous desalination 35 process. This work will be significant for the consumption-free photoelectrochemical 36 37 desalination research.

38 Keywords: Photo-desalination, electrochemical desalination, photo-cathode, Cu₂ZnSnS₄.

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41 **1. Introduction**

The zero discharge of industrial wastewater is of the key importance nowadays, and the 42 43 people's demand for fresh water resources is steadily increasing, which has become one of the world's challenges¹⁻⁹. According to the estimation from World Health Organization 44 (WHO), more than 20 % of world's population are facing the inadequate drinking water¹⁰. 45 The ocean accounts for 97.3 % of the total water reserves on the earth¹¹. To solve the crisis of 46 freshwater, a lot of effort has been paid to seawater desalination. There are several methods 47 adopted as the desalination technologies, such as multi-effect distillation (MED), multi-stage 48 flash (MSF), reverse osmosis (RO), electrodialysis (ED) etc.¹²⁻¹⁷ However, these technologies 49 require the energy input like thermal or electrical energy, which is a burden in the era of 50 energy shortage. For example, the energy expense of the matured RO, MSF or MED accounts 51 for 50-77 % among the total cost ^{3, 18}. The energy consumption may critically affect the 52 future of these technologies. It is necessary to look for the renewable energy supply to drive 53 the desalt process. The direct photovoltaic desalination and solar thermal desalination have 54 been widely investigated using the sustainable solar energy. In a photovoltaic (PV) 55 desalination system, PV powered reverse osmosis (PV-RO) has emerged as a mature and 56 commercially available technology which has been studied in depth ¹⁹⁻²⁶. In PV, the 57 semiconductor p-n junction on the solar panel absorbs sunlight to form electron-hole pairs. 58 59 Under the effect of the built-in electric field in the p-n junction, the holes flow from N region 60 to the P region, and the electrons flow from the P region to the N region, forming a current output electric energy. RO is a pressure-driven desalination technology which consumes 61 large energy. Thus, PV-RO desalination system uses PV power supply to solve the energy 62 consumption problem in RO ²⁷⁻²⁹. As for the solar thermal desalination, a solar thermal 63 64 collector absorbs solar radiation and converts it into localized heat, leading to seawater evaporation ³⁰⁻³⁷ In general, a solar thermal evaporation desalination system contains two 65

layers: The top solar absorbing layer which has high absorption in the solar spectrum absorbs 66 and converts the incident solar radiation into heat; The bottom thermal insulating layer which 67 prevents the heat loss and increases the solar thermal efficiency is used to transport water to 68 the surface heat region. For example, Kim et al. designed an efficient solar desalination 69 device with a highly solar-to-vapor conversion efficiency of 91.8% under one sun 70 illumination ³⁸. The device was constructed with a three-dimensional mesopores graphene 71 72 network as the photo-absorber material at the top layer and a water-transporting layer (wood piece) as thermal insulation on the body. The mesopores in the photo-absorber material 73 74 enhance the light absorption and help the generated vapors escape into the air. The wettable wood piece provides very efficient water paths by capillary force. Yin et al. developed a 75 high-efficiency solar steam generation device with solar-to-vapor conversion efficiency of 76 91.5% under one sun illumination ³⁹. The designed device utilized macroporous double-77 78 network hydrogel of poly(ethylene glycol) diacrylate (PEGDA) and PANi as the light absorbing layer and the cellulose-wrapped layer served as both thermal insulation and water 79 80 supply. Except for the direct photovoltaic desalination and solar thermal desalination, the photoelectrochemical desalination has emerged recently. For instance, Liang et al. proposed a 81 bio-photo-electrochemical desalination cell with a bio-photocatalyst anode and K₃[Fe(CN)₆] 82 catholyte ⁴⁰. However, the salt can be intermittently removed due to the two separated 83 84 electrodes, and an additional medium is required in order to avoid the bacteria growth on the 85 anode. More recently, Kim et al. proposed the water-energy nexus technology ⁴¹, including the tri-functions of desalination, energy conversion and hydrogen production. The photo-86 generated charge carriers were produced by photo-anode with TiO₂ nano-rod arrays to 87 88 facilitate the ion transportation, resulting in the desalination at middle salt compartment and the electricity production outside. However, there are still some issues in the system such as 89 chloride oxidation, the pH value changes and the reduced energy efficiency etc. In our recent 90

91 work, we reported a photo-anode-based continuous desalination unit based on the redox reaction of 4-hydroxy-2, 2, 6, 6-tetramethylpiperidine 1-oxyl (TEMPO)⁴², which consists of 92 TiO₂ photoanode modified with LEG4 dye and TEMPO redox electrolyte. The salt removal 93 94 rate (SRR) is very limited due to the low photocurrent but still serves as an important proof-95 of-concept for the continuous photo-desalination. Up till now, the photo-desalination based on photocathode hasn't been demonstrated yet. During the past few years, CZTS has been 96 97 widely explored as the promising candidate for thin film solar cell because of its optimum band gap energy (1.5 eV) and high absorption coefficient (10^4 cm⁻¹) with a good absorption 98 ability in the visible region ^{43, 44}. Moreover, CZTS is regarded as a low-cost, earth-abundant, 99 toxic-element-free and sustainable photocatalyst with high light absorption and good stability 100 ^{45, 46}. The combination of CdS layer and CZTS can form an internal p-n junction, which 101 102 promotes the separation of photo-induced electrons and holes by the built-in electric field and thus improving the desalination performance ⁴⁷. 103

In this work, we propose a photocathode-assisted redox-flow electrochemical desalination 104 105 method by utilizing the light absorber CdS/CZTS as photocathode, carbon cloth coated with Pt nanoparticles as anode, $[Fe(CN)_6]^{4-}/[Fe(CN)_6]^{3-}$ as redox electrolyte. The reduction of 106 ferricyanide happens with the cation extraction from the near salt stream through cation ion 107 exchange membrane which is driven by CdS/CZTS photocathode, while the anions in the 108 109 diluted stream are transferred to other concentrated salt stream through anion ion exchange 110 membrane, resulting in the desalination. This present work can achieve the continuous 111 desalination by circulating the redox flow electrolyte based on the CdS/CZTS photocathode illumination. Deposition of a CdS layer on CZTS can form an inner p-n junction at the 112 113 interface of CdS/CZTS which not only enhances the separation rate of photoexcited carriers but also reduces surface recombination, and thus generally enhancing photocathode 114 performance. This new consumption-free electrochemical desalination method based on the 115

light-driven photocathode with Pt/CdS/CZTS/Mo architecture was firstly proposed which might open up an avenue for energy-free desalination based on the photocathode illumination, and will further motivate the development of photocathode materials to enhance the electrochemical desalination performance.

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121 **2. Materials and methods**

122 **2.1 Materials and Fabrication of photocathode**

The specifications of chemicals in this work can be given as follows: $SC(NH_2)_2$ (Macklin, 99%), $Cu(NO_3)_2$ (Macklin, 99.99%), $Zn(NO_3)_2$ (Aladdin, 99.99%), $Sn(CH_3SO_3)_2$ (Macklin, 50 wt. % in H₂O), HNO₃ (Macklin, 98%), CdSO₄ (Macklin, 99%), NH₄OH (Macklin, 25-28%), H₂PtCl₆ (Macklin, 99.995%), K₃Fe(CN)₆ (Energy Chemical, 99%), K₄Fe(CN)₆· 3H₂O (Macklin, \geq 99.5%) and NaCl (Aldrich, 99.5%). All these reagents were analytical grade and used without any purification. The water solution used in the experiment was prepared using deionized water.

The preparation process of the photocathode was reported elsewhere⁴⁸⁻⁵⁰. In brief, the first 130 layer of CZTS thin film was prepared using 50 mM thiourea, 17 mM copper nitrate, 11.5 mM 131 132 zinc nitrate, and 11.5 mM tin methanesulfonate as precursor in aqueous condition. The pH value was adjusted to 1.5 using nitric acid before spraying onto Mo-coated soda-lime glass 133 134 substrate (Mo/glass) that was preheated for 10 min at 380 °C. Finally, the obtained CZTS thin film was further sulfurized using sulphur powder source at 600 °C for 30 min. The second 135 layer of CdS film was deposited using the chemical bath deposition method (CBD) as follows: 136 as-synthesized CZTS film was dipped into an aqueous solution of 12.5 mM CdSO₄, 0.22 mM 137 SC(NH₂)₂, and 11 M NH₄OH for 13 min at 60 °C. The final catalyst layer of Pt particles was 138 deposited on the CdS/CZTS films by photoelectrodeposition. The deposition was conducted 139

using a three-electrode electrochemical system with CdS/CZTS as working electrode, Pt sheet as counter electrode, Ag/AgCl as reference electrode in 0.1 M Na₂SO₄ solution containing 1 mM H₂PtCl₆ as electrolyte. During the deposition process, the working electrode was illuminated by the simulated AM 1.5G solar irradiation with 300 W Xenon lamp for 30 seconds.

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146 **2.2 Fabrication of PC-ED device (photocathode electrochemical desalination)**

147 The PC-ED cell comprises two redox streams (RS), two salt streams (SS), photocathode
148 (PC) and counter electrodes (CE). The configuration is sequenced as follows:

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$PC \mid RS \parallel SS \parallel SS \parallel RS \mid CE$

where "|" denotes the separation of components, and "||" represents the membranes which play an important role in the effective separation of molecules^{51, 52}, i.e., anion exchange membrane (AEM) or cation exchange membrane (CEM). One AEM was inserted between the two salt streams A and B as shown in Figure 1a. Two CEMs were placed between redox flow and salt streams. The redox electrolyte contains a mixture of 40 mM of potassium ferrocyanide and potassium ferricyanide with the addition of 2000 ppm of NaCl. The salt stream contains the same concentration of NaCl as that in the redox chambers.

The anode and cathode chambers were both fed with ferri-/ferrocyanide solution with concentration of 40/40 mM. The electrolytes were prepared by dissolving $K_3Fe(CN)_6$ and $K_4Fe(CN)_6 \cdot 3H_2O$ with a mole ratio of 1:1 in a 2000 ppm NaCl solution. The total volume was 4 ml. The desalination and concentrate chambers (A and B) were fed with the same concentrations of NaCl with 2000 ppm as initial salt feeds and each volume was 1.35 ml. The thickness of each plate was 3 mm. In the centre of this compartment, the square-cut area exposed to the electrolyte was about 1 cm², which was the active membrane area applied. The tube with 1 mm diameter was applied in the tests, and the flow rates were controlled at 4.15ml/min.

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167 **2.3 The tests of electrochemistry, photo-electrochemistry and desalination**

The electrochemical measurements of cyclic voltammetry (CV) and electrochemical 168 impedance spectroscopy (EIS) were conducted by an electrochemical working station 169 170 (CHI760E) with a conventional three-electrode system. Glassy carbon, Pt sheet and Ag/AgCl electrodes are served as working electrode, counter electrode and reference electrode, 171 respectively, and 40 mM/40 mM [Fe(CN)₆]⁴⁻/[Fe(CN)₆]³⁻ solution containing 2000 ppm NaCl 172 as electrolyte. The linear sweep voltammetry (LSV) was also tested with three electrodes, 173 whereas the selected working electrode was photocathode material (CdS/CZTS), the other 174 175 two electrodes and electrolyte were same as CV and EIS tests. The photo-driven discharge of PC-ED at zero bias was conducted by CHI760E Potentiostat with I-t program. The photo-176 driven discharge of PC-ED with the constant current was measured by NEWARE Battery 177 Testing System. The flow rate of salt and redox solution was controlled by peristaltic pump 178 (NKCP-C-S04B). The conductivity of the salt concentration was monitored by conductivity 179 meters (eDAQ, EPU357). The controllable wavelength of 400-800 nm was used as the 180 continuous light source from A YSL Photonics SC-Pro, and a concave lens was located 181 182 between the light source and the device to enlarge the light spot in order to cover the whole 183 photocathode. The light source output spectrum was measured by a spectrometer (Maya2000 184 Pro, Ocean Optics).

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186 **3. Results and discussion**

The SEM scan was conducted for the surface morphology of CdS/CZTS as shown in
 Figure S1. It was clearly observed that CdS is well covered on the surface of CZTS grains ⁴⁹.

Figure S2 demonstrates the photograph of photocathode electrochemical desalination (PC-ED) 189 190 device set-up with illumination and Figure 1a shows the schematic configuration for PC-ED device. The PC-ED device consists of four compartments, three membranes and two 191 electrodes. The $[Fe(CN)_6]^{4-/3-}$ redox couples are recirculated using a peristaltic pump in 192 193 negative and positive chambers. The other two middle compartments are fed with salt streams (stream A & stream B), and circulated individually. The salt stream A and stream B 194 195 are separated by anion exchange membrane (AEM) and two cation exchange membranes (CEM) are placed between salt streams and the redox-flow chambers. The mechanism of 196 197 photocathode is displayed in Figure 1b. Upon illumination, CZTS photo active material is excited and generated the electrons at conduction band (CB), followed by electrons 198 199 acceptance by the nearby n-type semiconductor CdS and tunnelling to Pt layer that expedites 200 the reduction of ferricyanide ion. Simultaneously, the sodium ions in stream A are coupling 201 extracted to photo-cathode chamber through CEM in order to compensate the static balance while chloride ions are transmitted to stream B through AEM. The extracted sodium ions are 202 carried to the anode compartment together with the redox couples. With the further oxidation 203 back to ferricyanide, the sodium ions in anode chamber are released to stream B. In the 204 outside circuit, the electrons from the anode collector are provided to the excited state of 205 CZTS holes via the conducting Mo layer. The overall effect is that the salt in stream A is 206 207 removed to stream B under the light illumination on the CdS/CZTS cathode.



Figure 1: (a) The schematic diagram of PC-ED device; (b) The internal reaction mechanism of photocathode; (c) The absorption spectra of photocathode and light source; (d) The I-V curves of CdS/CZTS electrode under dark and 153 mW/cm² illumination.

Figure 1c shows the absorption spectra of CdS/CZTS and the continuous wavelength light 213 214 source output spectrum with the range of 400-1000 nm. The CdS/CZTS absorption spectra overlap well with the light source spectrum between 420 nm and 800 nm. Therefore, the 215 sufficient photons will be absorbed by CdS/CZTS layers in this research. The I-V curves of 216 CdS/CZTS with/without illumination are demonstrated in Figure 1d. The current density can 217 reach 1.4 mA/cm² under light irradiation with power of 153 mW. However, the current 218 density is close to zero in the dark condition. The obtained photocurrent can be used for the 219 continuous electrochemical desalination. 220

To investigate the redox reaction of $[Fe(CN)_6]^{4-/3-}$ couples, the CV technique is utilized by the electrochemical working station with the glassy carbon as working electrode, Pt sheet as counter electrode and Ag/AgCl as reference electrode in an aqueous medium that contains the 40 mM/40 mM of $[Fe(CN)_6]^{4-/3-}$ redox couples and 2000 ppm of NaCl. As shown in Figure 2a, a pair of redox peaks are assigned to oxidation at 0.45 V and reduction at 0.07 V. According to the Nernst Equation (1)⁵³:

228
$$E_{redox} = E^{0}_{redox} + \frac{RT}{nF} \ln\left[\frac{C_{ox}}{C_{red}}\right]$$
(1)

where C_{ox} and C_{red} are concentrations of $[Fe(CN)_6]^{3-}$ and $[Fe(CN)_6]^{4-}$. During the desalination process, the concentration of $[Fe(CN)_6]^{3-}$ is equal to the concentration of $[Fe(CN)_6]^{4-}$. Thus, $E_{redox} = E^0_{redox} \approx E_{1/2}$. Furthermore, the standard hydrogen electrode (SHE) appears at -4.5 eV at the vacuum level, and the relationship between the redox potential E_{redox} and the Fermi level $E_{F, redox}$ can be presented in the below equation (2)⁵⁴:

$$E_{F,redox} = -4.5 \text{ eV} - e_o E_{redox}$$
(2)

Combined Eq. (1-2) and CV curve, $E_{redox} = E_{\frac{1}{2}} = 0.26 V$, $e_o = 1$ (electron), the fermi level of the redox couple is found to be $E_{F,redox} = -4.76 \ eV$, that matches well with the energy band of CdS/CZTS as an efficient charge transfer.



Figure 2: (a) The three-electrodes CV of $[Fe(CN)_6]^{4-/3-}$ with scanning rate of 10 mV/s; (b) EIS spectrum of CdS/CZTS recorded from 100 kHz to 1 Hz at zero bias; LSV curve of CdS/CZTS electrode under 153 mW/cm² chopped illumination (c) and under different intensities of the output power density (d).

To understand the charge transfer kinetics, the EIS was carried out using three electrodes. As shown in Figure 2b, the photocathode material has a smaller semi-circle on the EIS Nyquist diagram under light illumination, compared with the dark condition. This indicates the lower surface resistance, caused by the photo-induced carriers. Moreover, the photoelectrochemical properties of CdS/CZTS photoelectrodes were further determined by LSV under 153 mW/cm² chopped illumination (Figure 2c). It is observed that the photocurrent difference between light and dark conditions is larger at the zero potential vs Ag/AgCl. The photocurrent obtained under light conditions can be used for desalination. Figure 2d shows the effect of the power density of the light source on the photocurrent density. The specific photocurrent densities are 2, 2.7, 3.3 and 3.8 mA/cm² at 0 V vs Ag/AgCl with the specific power densities of 10, 35, 95 and 153 mW/cm², respectively. Among the tested batch, the highest photocurrent can be obtained at the power density of 153 mW/cm². Thus, this power density is chosen for further desalination.

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Figure 3a shows the variation of photocurrent intensity at zero bias under 153 mW/cm² 258 259 illumination. It can be seen that after turning on the light, the photocurrent immediately jumped to 0.47 mA/cm², then gradually decreased from 0.25 mA/cm² with the prolongation 260 of time. At the same time, the salt concentrations of the two salt channels (stream A & stream 261 262 B) were recorded by conductivity meters, as shown in Figure 3b. The salt conductivity decreases from the initial 4143 uS/cm to 2866 uS/cm in stream A. The charge efficiency and 263 salt removal rate are 0.64 and 1.06 μ g·cm⁻²·min⁻¹, respectively. At the same time, the salt 264 conductivity in stream B increases from 4136 uS/cm to 6081 uS/cm. Thus, the salt in stream 265 A is removed to stream B, which is driven by the photo-illumination. The relatively weak 266 photocurrent results in a poor desalination performance which is affected by many factors 267 such as ions exchange membranes, electrodes surface areas, the thickness of device as well as 268 some other uncontrollable factors etc. Currently, we are working on some strategies to 269 270 improve the photocurrent and desalination performance.



Figure 3: (a) Photo-driven current density at zero bias (short circuit) and (b) its corresponding conductivity variation; (c) Photo-driven discharge curve at 0.1 mA/cm² constant current density and (d) its corresponding conductivity variation.

276 The photocatalytic desalination mechanism of PC-ED device can be described as follows;

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$$hv + CdS/CZTS \to e_{(Pt)}^{-} + K_3[Fe(CN)_6]^{3-} \to K_3(Na)[Fe(CN)_6]^{4-}$$
(3)

278
$$Na^+_{(Salt\ Stream\ A)} \xrightarrow{CEM} K_3(Na)[Fe(CN)_6]^{4-}$$
 (4)

279
$$K_3(Na)[Fe(CN)_6]^{4-} \to K_3[Fe(CN)_6]^{3-} + \bar{e}_{(IE)} + Na^+$$
 (5)

280
$$Excess(Na^+) \xrightarrow{\text{CEM}} Salt Stream B$$
 (6)

CEM

281
$$Cl^{-}_{(Salt Stream A)} \xrightarrow{AEM} Salt Stream B$$
 (7)

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Figure 3c shows the voltage curve under the discharge of 0.1 mA/cm² constant current density, which was recorded with the battery tester. On the light illumination, the open-circuit

voltage (OCV) can reach 0.32 V immediately. Once applying the discharge current of 0.1 285 mA/cm², the voltage drops to 0.20 V and keeps decreasing gradually with the desalination 286 process and the energy consumption of desalination cell. The salt conductivity is recorded in 287 Figure 3d during the photo-discharge. The conductivity decreases from the initial 3988 uS/cm 288 to 3945 uS/cm in stream A. The charge efficiency and salt removal rate are 0.16 and 0.52 289 µg·cm⁻²·min⁻¹, respectively. The salt conductivity in stream B rises from the initial 3982 290 291 uS/cm to 4073 uS/cm after 60 minutes. There are some differences of conductivity change in stream A and stream B which may be due to the short desalination and small photo-current. 292 293 Specifically, the desalination system is not stable at the initial stage, especially under the condition of small photocurrent. In the case of photo-driven desalination at zero bias (short 294 circuit) in Figure 3a-b, the photocurrent is larger, and thus the desalination effect is more 295 296 obvious, and the desalination time reaches 14.7 hours. However, a small constant current density with the value of 0.1 mA/cm^2 is applied, which is smaller than that at the zero bias. 297 Hence, the desalination effect is poor in Figure 3c-d, only lasting for 60 minutes. Actually, in 298 the initial stage of short-circuit desalination in Figure 3b, the variation of salt concertation is 299 also unstable. However, with the long desalination process, the change of salt concertation 300 tends to be stable. 301

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303 4. Conclusion

A photocathode-assisted redox-flow electrochemical desalination method was proposed. CdS/CZTS photocathode can be excited under illumination and transport electrons to ferricyanide ions through platinum layer for reduction reaction. The reduced ferrocyanide ions carrier the sodium ions and flow to anode channel where the sodium ions are extracted by the salt stream B due to the oxidation of redox couples. The redox reaction is accompanied by the transport of sodium and chloride ions in the two salt streams (desalinated salt stream A and salinated salt stream B). This photocathode-assisted redox flow electrochemical system can achieve the continuous desalination by circulating the redox flow electrolyte. This new method can open up an avenue for energy-free desalination based on the photocathode illumination, and will further motivate the development of photocathode materials to enhance the electrochemical desalination performance.

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324 **Conflict of interest**

325 The authors declare no conflict of interest

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- 413 **The table of contents entry**: A consumption-free electrochemical desalination method is 414 demonstrated to work based on the light-driven photocathode with Pt/CdS/CZTS/Mo 415 architecture.
- 416 Keywords: Photo-desalination, electrochemical desalination, photo-cathode, Cu₂ZnSnS₄
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- 418 Photocathode-assisted Redox Flow Desalination



420

Column Title: M. Liang et al