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1 A comparison of methods for the extraction of dissolved organic matter 2 from freshwaters

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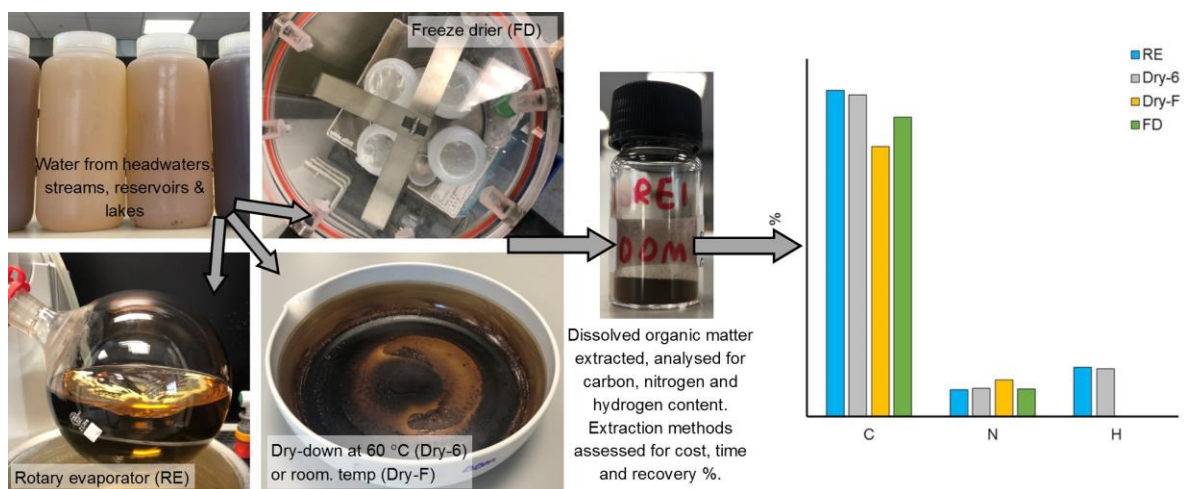
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6 Highlights

- 7 • Methods of extracting dissolved organic matter from freshwaters vary in efficiency
- 8 • Dissolved organic matter was extracted from freshwaters in the UK
- 9 • Several methods were evaluated, and the time, cost and results of each assessed
- 10 • Rotary evaporation, freeze-drying and dry-down gave the most consistent results

11

12 Graphical Abstract



13

14

15 Abstract

16 Studies of dissolved organic matter (DOM) composition have used several different methods
17 to concentrate and extract the DOM from fresh water, but the impact of these methods on the
18 composition of the DOM is relatively unknown, as very few studies use more than one
19 method to compare results. The aim of this study was to use several methods, frequently used

20 in the scientific literature, to concentrate and extract DOM from fresh water and compare the
21 elemental and functional group composition of the extracted DOM. In addition, the cost, in
22 terms of money, resources and time, were assessed for each method. The results showed that
23 the elemental and functional group composition of the extracted DOM varied between
24 methods significantly. The methods that yielded the most similar and reproducible DOM
25 results were rotary evaporation, dry-down at 60°C and freeze-drying. Although each of these
26 methods required a relatively expensive piece of laboratory equipment, this was a ‘one-off’
27 cost, and consumables and time per sample were relatively low. This study highlights the
28 dangers of comparing DOM data from different studies when the DOM has been extracted
29 via different methods. In future, it is recommended that studies of DOM composition report
30 their methods of extraction clearly and consistently, ideally using one (or more) of the
31 methods showing reliable results here.

32 **KEYWORDS:** elemental analysis, rotary evaporation, freeze drying, reverse osmosis,
33 dialysis, dry-down

34

35 **1. Introduction**

36 Dissolved organic matter (DOM) is found in natural waters around the world, and plays an
37 important role in the transport of nutrients, energy and carbon from terrestrial ecosystems to
38 the atmosphere, aquatic and marine systems. Terrestrial inputs of carbon to inland waters are
39 estimated to be 5.1 Pg C year⁻¹ (Drake et al 2018), and processing of DOM in lakes and rivers
40 releases 2.1 Pg C year⁻¹ to the atmosphere as CO₂ (Raymond et al 2013). The composition of
41 DOM controls the reactivity, and therefore the proportion of the matter that degrades and
42 releases carbon dioxide (CO₂), or travels to the ocean (Bowen et al 2020). In order to better
43 understand the link between DOM in fresh water and other factors such as degradability,
44 catchment characteristics or water treatment efficiency, the DOM composition needs to be

45 known. Water companies in the UK and Northern Europe need to know how the composition
46 of DOM in their source waters impacts on the efficiency of drinking water treatment and the
47 potential for the formation of harmful disinfection by-products (such as trihalomethanes;
48 Kothawala et al 2017; Valdivia-Garcia et al 2019).

49 The DOM composition presented in existing literature on fresh waters may not be the ‘true’
50 composition of the ‘whole’ sample, as the composition may be altered by the method, and
51 studies can only analyse the extractable fraction (Song et al 2018). With increased use of
52 advanced molecular characterisation methods (e.g. Fourier transform ion cyclotron resonance
53 mass spectrometry (FTICR-MS; Matilainen et al 2011)), it is important to be assured that the
54 organic matter being characterised is not just a by-product of the concentration method or
55 extraction method used.

56 Some methods attempt to classify and quantify the DOM ‘in-situ’ - while it is in solution in
57 natural water (Table 1). These methods include analysing its absorbance spectrum in the UV
58 and visible wavelengths (e.g. Dobbs et al 1972; Peacock et al 2014), or using excitation
59 emission fluorescence spectroscopy (e.g. Smart et al 1976; Chen et al 2003). Such approaches
60 rely on ‘proxy’ measurements to characterise the coloured components of DOM - the organic
61 matter is classified as ‘humic-like’, ‘fulvic-like’ or ‘more aromatic’ instead of quantifying the
62 composition directly. Classifying and quantifying the DOM ‘in-situ’ is generally simple,
63 relatively quick and inexpensive, and valuable information can be gathered, but depending on
64 the wavelengths used, they are limited to the coloured portion of DOM (CDOM), and a
65 proportion of DOM is ‘optically invisible’ to these techniques (Pereira et al 2014). The
66 results are also influenced by other factors of the water chemistry, such as pH and ionic
67 strength (Matilainen et al 2011). Both absorbance and fluorescence measurements were found
68 to be sensitive to a range of environmental conditions (such as pH, ionic strength and metal
69 ions), however this is likely related to the environmental conditions impacting the DOM

70 structure, and the subsequent measured absorbance and fluorescence spectra (Spencer et al
71 2007).

72 In order to analyse and understand DOM more thoroughly, the DOM can be extracted from
73 natural water, and analysed as a solid, or re-dissolved in higher concentrations than would
74 naturally occur (e.g. Søndergaard et al 2003; Lv et al 2016; Whitty et al 2019). Studies often
75 acknowledge the limitations of their extraction methods, and consequently refer to the DOM
76 extracted fraction of material as a proportion of the whole, total DOM. For example, Dittmar
77 et al (2008) refer to the DOM they extracted from seawater as “SPE-DOM”. Very few studies
78 have used more than one method to extract the DOM, and so it is unknown how much the
79 method of extraction impacts the DOM composition analysed in the study (Minor et al 2014;
80 Tfaily et al 2012). Some researchers have used methods to concentrate the DOM before
81 extraction, such as Koprivnjak et al (2009), who used reverse osmosis and electrodialysis,
82 and Lankes et al (2008) who used rotary evaporation followed by freeze drying. Matilainen et
83 al (2011) carried out a review into the methods for characterising natural organic matter
84 (NOM – includes particulate and colloidal organic matter, not just the dissolved fraction),
85 including assessing the methods for concentrating and extracting NOM from water. Their
86 study focussed on methods used to extract a targeted fraction of the NOM, based on features
87 such as molecular size and polarity, and discussed the pros and cons of each method. By
88 targeting certain polarities and molecular sizes, the study acknowledged the methods included
89 were not analysing the total portion of NOM, and so not reflecting ‘total’ NOM (Matilainen
90 et al 2011). Whitty et al (2019) used ¹H-Nuclear Magnetic Resonance spectroscopy (NMR) to
91 compare DOM extracted by freeze-drying with ‘whole water’, and discussed the pros and
92 cons of other extraction methods. Despite these studies showing differences between NOM
93 and DOM fractions extracted by different methods, there is very little evidence showing how

94 the composition of the whole DOM composition is impacted by the extraction method. For
95 the purposes and focus of this study, organic matter smaller than 0.7 μm is classed as DOM.
96 A literature search was carried out to identify the most frequently used extraction and
97 concentration methods (Table 1). Each method identified in the literature search was entered
98 into Google Scholar (March 2020), along with “dissolved organic matter”, “DOM”, “water”
99 and “surface OR inland OR fresh”, and the number of results used as a proxy to reflect how
100 popular/frequently used each method is. The most frequently used methods were found to be
101 rotary evaporation, freeze drying, dry-down, solid phase extraction, reverse osmosis and
102 dialysis. This is by no means a comprehensive study of all extraction methods, but includes
103 the most common methods for extracting DOM, regardless of molecular size and polarity,
104 from inland fresh waters. Resins, such as XAD-8, were not included in this study, as the
105 literature search revealed several uncertainties, questions and criticisms of the reliability and
106 extraction biases of this method, especially in regard to potential contamination from resin-
107 bleeding, and chemical alteration of samples (e.g. Kitis et al 2001; Sleighter et al 2009).
108 XAD-8 resins and the conditions needed to use them to extract DOM (such as pH changes)
109 have been showed affect the characteristics and reactivity of the DOM fractions (Song et al
110 2009).

111

112 Table 1. The methods and techniques used to concentrate, extract and analyse DOM
113 composition, and pros and cons of each method. Literature references listed include an early
114 study using the technique and a recent example of use in a study on DOM composition. The
115 number of results in a Google Scholar search (March 2020) containing the method and
116 “dissolved organic matter”, “DOM”, “water” and “surface OR inland OR fresh”, are shown
117 in the final column.

Method	Pros	Cons	References	Google Scholar N
Absorbance	Easy and quick	Some DOM is colourless	Dobbs et al 1972 Peacock et al 2014	21300
Fluorescence	Proxy composition information Relatively quick	Some DOM does not fluoresce	Smart et al 1976 Chen et al 2003	21000
Rotary evaporation (RE)	Increased surface area for vaporization of the solution	Uses heat, which could alter the water chemistry	Craig et al 1950 Dean et al 2019	444
Solid phase extraction (SPE)	Relatively inexpensive, reliable	Extracted fraction depends on column, polarity, solvent	Thurman and Mills 1998 Lv et al 2016	3850
Dry-down (DRY)	Low-tech	Uses heat, which could alter the water chemistry At room temperature, microbial growth	Veitch 1904 Worrall et al 2018	101
Reverse osmosis (RO)	Concentrates DOM relatively quickly	Recovery percentage affected by pH of water	Hauck and Sourirajan 1969 Green et al 2015	3360
Freeze-dry (FD)	Results in a solid DOM without any other processing	Expensive equipment	Geiger and Cataldo 1969 Lankes et al 2008	127
Dialysis (DIA)	Resulting DOM contains fewer 'impurities' such as salts	Membrane size may exclude smaller DOM molecules	Tan 1977 Aristilde et al 2017	2380

118

119 The aim of this study was to compare different extraction methods used in the literature to
120 quantify the impact on the elemental composition of the resulting DOM. While no extraction
121 method can claim to be perfectly replicating the ‘natural’ composition of DOM as it would be
122 in the water, this study aims to find the method that causes the least disruption to the
123 composition, is economical (in terms of cost, resources and time) and is replicable and
124 reliable.

125

126 **2. Method**

127 The DOM extracted from natural water using nine different methods was assessed. The time
128 and costs of each method were recorded, and elemental and functional group composition of
129 the DOM was analysed.

130

131 **2.1. Sample collection**

132 Water samples of between 5 and 20 L were taken from 14 fresh water bodies across the UK,
133 including natural lakes, reservoirs and upland streams to ensure a variety of dissolved organic
134 carbon (DOC) concentrations and DOM compositions (Table S1). The sites were located
135 between 53.4 and 59.3 °N, and -3.9 and -0.7 °E. Samples of water from each water body were
136 filtered (DI-rinsed 0.45 µm syringe filters) and analysed for DOC concentrations (Analytik
137 Jena Multi NC2100 combustion analyser). The DOC concentrations ranged from 4.7 to 44.4
138 mg L⁻¹.

139

140 **2.2. DOM extraction methods**

141 All water for DOM extraction was filtered through 0.7 µm glass microfiber filters (VWR).
142 This size of filter was used so as to include the colloidal fraction of organic matter, but

143 exclude particulates. The filtered water was then split and subject to various methods to
144 extract the DOM. The time taken, resources needed and mass of DOM collected via each
145 method were recorded. Some methods were combined as the primary method concentrated
146 rather than fully extracted the DOM and therefore did not result in a solid DOM sample.
147

148 2.2.1. Rotary Evaporation (RE)

149 One litre of filtered water was poured into an evaporating flask. The water bath temperature
150 was 60°C, and cold tap water was used to cool the condenser, which was in a vacuum. The
151 evaporating flask was topped up with filtered water as the ‘clean’ water in the sample
152 evaporated and condensed in the receiving flask (Cranwell et al 2017). At most, 5 L of
153 sample water was used. ‘Clean’ water from the receiving flask was routinely collected,
154 filtered and analysed for DOC concentration. This clean water had a mean DOC
155 concentration of 0.23 mg L⁻¹ (range 0-0.96 mg L⁻¹; n = 25). A t-test showed there was
156 significantly less DOC in the clean water than the initial water samples (p < 0.001). At most,
157 less than 1 mg L⁻¹ of DOC was discarded in the clean water.

158 The concentrated water remaining in the evaporating flask (less than 500 mL) was poured
159 into a 1.1 L evaporating dish which was then put in an oven at 60 °C until the liquid had
160 evaporated. The residue was then collected. This method was used on water from all 14 water
161 bodies.

162 In order to analyse the reproducibility of the rotary evaporation method, water samples from
163 a further 15 UK fresh waters were split into two and rotary evaporated, resulting in 15 pairs
164 of DOM samples (n = 30). These samples were not included in the ‘time, cost and recovery
165 analysis’, but were subject to elemental analysis (as in 2.3.).

166

167 2.2.2. Solid Phase Extraction (SPE)

168 Known volumes of up to 1 L of filtered water were poured through Bond Elut PPL 500 mg, 6
169 mL cartridges (Agilent) conditioned with 6 mL 100% methanol followed by 6 mL deionized
170 water. This cartridge was chosen based on a search of literature using solid phase extraction,
171 and found to be the type recommended for DOM extraction from natural water (Li et al
172 2019). The sample water was forced through the cartridge using a hand pump to create a
173 small pressure gradient. The cartridge was then washed in 6 mL deionized water, air dried
174 using the hand pump to pull air through cartridge. To elute the DOM sample, 12 mL of 100%
175 methanol was used, which was then evaporated off at room temperature and the residue
176 collected. This method was used on water from three sites.

177

178 2.2.3. Dry down (DRY-6, DRY-F)

179 The filtered water was poured into a 1.1 L evaporating dish and then kept either in a fume
180 hood at room temperature (DRY-F) or in an oven at 60 °C (DRY-6) until all liquid had
181 evaporated. The evaporating dish was chosen so as to have a large surface area to volume
182 ratio. The evaporating dish was topped up routinely, until approximately 5 L of water had
183 evaporated. The residue was then collected. Dry down in the oven (DRY-6) was used on
184 water from 13 sites, and dry down in the fume hood (DRY-F) was used on water from six
185 sites.

186

187 2.2.4. Reverse Osmosis (RO) followed by rotary evaporation (RE) or dry down (DRY-F)

188 Reverse osmosis removes ions and large particles from water under pressure, with the aim of
189 providing cleaner water. Approximately 10 L of the 0.7 µm filtered water was pumped
190 through a customised reverse osmosis unit. The unit contained a 5 µm polypropylene filter, a
191 1 µm polypropylene filter, and a carbon filter. The whole unit and filters were washed in

192 deionised water before each sample was pumped through. The ‘dirty’ water was fed back into
193 the unit and the ‘clean’ water collected separately, until the majority of the water was ‘clean’.
194 Samples of this ‘clean’ water were collected, filtered and analysed for DOC concentration.
195 The analysis of the clean water showed that it contained an average of 8 mg L⁻¹ DOC. This
196 was almost as high as the DOC concentration in some of the initial water samples, showing
197 that a lot of the DOC in the original water was getting through the filters into the clean water
198 fraction. The clean water fraction was discarded, and the final dirty water fraction, containing
199 less DOM, was collected. The carbon filters were rinsed in DI water, and this was added to
200 the dirty water (as it could have retained carbon molecules). Due to the high concentration of
201 DOC in the clean water, this method was not continued.

202 Once the volume of ‘dirty’ water was approx. 2 L, this was collected, then split, with 1 L
203 further evaporated in the rotary evaporator (RO-RE; as in 2.2.1.) and 1 L evaporating to
204 dryness in an evaporating dish at room temperature (RO-DF; as in 2.2.3.). Both reverse
205 osmosis methods were used on water from two sites.

206

207 2.2.5. Freeze Drier (FD)

208 A known volume of filtered water was placed in the freeze drier at -50 °C until the water had
209 sublimated, and the remaining solid material was collected. This method was used on water
210 from five sites.

211

212 2.2.6. Dialysis (DIA) followed by FD or DRY-F

213 A total of 200 mL of filtered sample water was poured into regenerated natural cellulose
214 membrane dialysis tubing (10 kDa MWCO (molecular-weight cut-off), 29 mm diameter,
215 Spectrum Labs), clipped at the bottom and top. The dialysis tubing was then put into a beaker
216 of deionised water. The deionised water was replaced regularly. The water was split into two

217 100 mL volumes, one of which was put in the freeze drier (DIA-FD; as in 2.2.5.), while one
218 was left to evaporate at room temperature (DIA-FH; as in 2.2.3.). Both dialysis methods were
219 only used on water from one site.

220

221 **2.3. DOM analysis**

222 The extracted DOM was weighed, then analysed by elemental analysis to measure the content
223 of carbon, nitrogen and hydrogen in the samples. The samples were treated with hydrochloric
224 acid to remove inorganic carbonates, then analysed again for the organic carbon proportion.

225 A sub-set of samples (n = 23) were also analysed by solid state ¹³C nuclear magnetic
226 resonance (NMR) to look for differences in functional group distribution. The method of
227 NMR used means that directly quantifying the results was not possible; however, they can be
228 compared to each other, and expressed relative to the amount of total C in the DOM sample
229 (Hockaday et al 2009). The 23 samples analysed by NMR included DOM extracted from nine
230 sites (sites 1-9), by six different methods (both dry down methods, freeze drying, rotary
231 evaporation, and both reverse osmosis methods).

232 Due to various time, equipment and water constraints, not all extraction methods were used
233 on water from all sites. One method, rotary evaporation (RE), was carried out on water from
234 all sites (n = 14), so the composition data were analysed relative to the RE extracted DOM
235 sample. This does not mean that the composition of the RE extracted DOM was presumed to
236 be the 'true' DOM composition, only that this method was applied to water from all 14 sites.

237

238 **2.4. Time, cost and recovery**

239 In order to evaluate each method, the following were recorded, and ranked from 'best' to
240 'worst':

- 241 • The time taken from the start of sample processing to collecting the final DOM sample,
242 and the **rate of processing** (litres per hour). Methods were considered ‘better’ if they had
243 a relatively fast processing rate, or took a shorter amount of total time.
- 244 • The **recovery percentage**, relative to the DOC concentration of the original water sample.
245 Recovery percentages of over 100% were possible, as the water used for DOM extraction
246 was filtered through a larger filter size than the water analysed for DOC concentration (0.7
247 vs 0.45 µm filter) in order to include colloidal organic matter. Ideally, the carbon content
248 of the DOM would be similar to the carbon concentration in the original water, resulting in
249 a recovery percentage close to 100%. Rank scores were allocated based on how far from
250 100% the mean values fell. The recovery percentage for each sample was compared to
251 various water chemistry variables, to investigate which properties of the water or DOM
252 influenced the recovery percentage of the DRY-6, DRY-F, FD and RE extraction methods
253 (where $n > 2$). The DOM samples extracted by RE and DRY-6 methods (where $n > 10$)
254 were also analysed grouped by water body type (e.g. headwaters and streams). Variables
255 used to calculate the recovery percentage (DOC concentration and carbon content of the
256 DOM) were not included in this analysis.
- 257 • The approximate **cost of consumables per sample**. Lower costs per sample were
258 considered ‘better’.
- 259 • If the method required any larger laboratory equipment (lab oven, rotary evaporator,
260 freeze drier), these were not included in the cost per sample, but were considered when
261 ranking the total cost per method. The ‘**large equipment score**’ was the cost of the large
262 equipment divided by 1000, to give a number between 0 and 10.
- 263 Certain costs were not included, such as electricity and tap water, but these were reflected in
264 the total time taken. Costs of collection and analysis of samples was not included as this will
265 be the same for all samples. The three criteria (rate of processing, recovery percentage and

266 cost per sample) were ranked, and added to the large equipment score. Each method was
 267 allocated a score based on the sum of these.

268

269 3. Results

270 A total of 47 DOM samples were successfully extracted by all methods (Tables 2 and 3). The
 271 additional 15 pairs of rotary evaporated samples were analysed separately (n = 30).

272

273 Table 2. The mean average total carbon, nitrogen and hydrogen, and organic carbon, relative
 274 to the average content of the RE DOM. The closer the value to 1, the more similar the DOM
 275 composition is to the RE DOM composition. Values in brackets are the standard errors.

Method	N	Total C	Total N	Total H	Organic C
dry down at 60 °C	13	0.99	1.07	0.97	0.95
(DRY-6)		(0.02)	(0.03)	(0.02)	(0.03)
dry down at room. temp.	6	0.83	1.51	1.08	0.81
(DRY-F)		(0.04)	(0.21)		(0.06)
reverse osmosis-rotary evaporation	2	0.46	0.14	0.56	0.17
(RO-RE)		(0.03)	(0.08)	(0.03)	(0.13)
reverse osmosis-dry down at room. temp.	2	0.49	0.23	0.51	0.12
(RO-DF)		(0.07)	(0.12)	(0.06)	(0.09)
freeze drier	5	1.05	1.02	-	1.04
(FD)		(0.09)	(0.02)		(0.03)
dialysis-freeze drier	1	2.06	1.55	-	-
(DIAFD)					
dialysis-dry down at room. temp.	1	1.04	2.59	-	-
(DIAFH)					

276

277 **3.1. Elemental and functional group composition**

278 The results show that the most significant differences in DOM compositions were between
279 the sites, rather than the method of extraction, and so all further analysis of elemental data
280 was carried out on the composition relative to the 14 rotary evaporated (RE) DOM
281 composition for each site (Table 2). The RE DOM composition was used as this method was
282 carried out on water from all sites. The closer the value to 1, the more similar the
283 extracted DOM composition is to the RE DOM composition. The solid phase extraction
284 (SPE) method resulted in DOM samples that were too small to analyse further, so it was not
285 continued.

286

287 The average elemental composition of the dry down at 60 °C (**DRY-6**) DOM was between
288 0.95 and 1.07 of the RE DOM values. There was not enough H data for the DOM extracted
289 by freeze drier (**FD**), but for total and organic C, and total N, the average results across sites
290 were 1.05, 1.04 and 1.02 of the RE DOM values, respectively. A one-way ANOVA on
291 extraction method (RE, DRY-6 and FD) showed no significant differences ($p > 0.05$) in the
292 raw composition data (total C, N, H, and organic C) between the three methods. These data
293 showed that despite the differences in methods, involving changing the temperature and
294 pressure of the samples, the DOM extracted was similar in elemental composition.

295 The reverse osmosis (**RO-RE** and **RO-DF**), dry down at room temperature (**DRY-F**) and
296 dialysis (**DIAFH** and **DIAFD**) methods resulted in samples with very different composition
297 data, varying between 0.12 and 2.59 of the RE data. It was not surprising that the dialysis
298 method DOM was different to the other methods, as the size of membrane used allows
299 smaller molecules to pass through it, retaining larger molecules. This resulted in the loss of
300 very low molecular weight DOM, resulting in different DOM composition. From the DOC
301 concentration of the 'clean' fraction of the water disposed of by the reverse osmosis (average

302 8 mg L⁻¹), it was clearly not extracting all of the DOM in the water, and so the elemental
303 analysis of the resulting DOM would be different to that extracted by other methods. The
304 DRY-F method took an average of 392 hours per sample (more than 16 days) to evaporate
305 less than 2 L of water. During this time, the water was warm and light; any microbes smaller
306 than 0.7 µm would have remained after filtration and could have degraded the DOM,
307 releasing CO₂. This would have led to a preferential loss of the more reactive carbon,
308 changing the DOM composition and DOC concentration, as reflected in the lower recovery of
309 DOM (69%).

310 The additional 15 pairs of rotary evaporated samples had incredibly similar results – paired t-
311 tests showed there were no significant differences in the total C (p = 0.46), N (p = 0.34), or H
312 (p = 0.41) between the replicates (n = 30).

313 The ¹³C-NMR was carried out on 23 samples from nine of the 14 field sites (Figure 1).

314 Similar samples will have similar spectra – the lines will be close together and follow a
315 similar pattern. If the samples have different functional group compositions, the lines will be
316 different.

317 As with the elemental composition data, these data showed similar results for the **RE** and
318 **DRY-6** methods, and more variable results for the **RO-RE** and **RO-DF** samples. In the water
319 from Site 1, the RO-RE and RO-DF methods have very low intensities at the majority of
320 ppms, whereas the DRY-6 and RE samples have very similar spectra. Peaks in the lower
321 range ppms (below 100) reflect functional groups such as C-alkyls, N-alkyls and O-alkyls,
322 and these seem to be present in the DRY-6 and RE samples, but much lower or even missing
323 from the RO-DF and RO-RE samples.

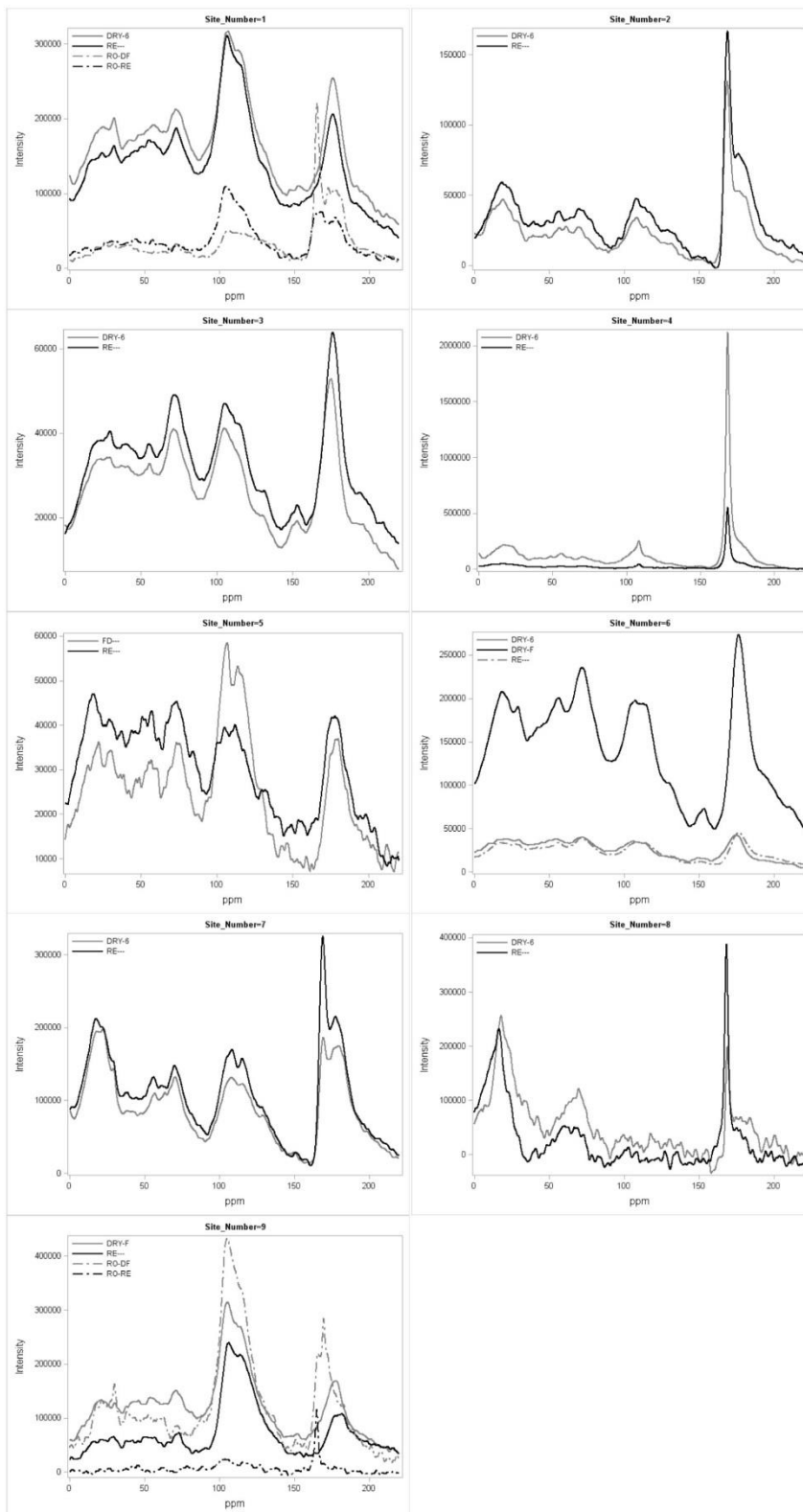
324 The RE and DRY-6 methods also had very similar results in water from study sites 2, 3, 6, 7,
325 8 and 9. The DOM from Site 4 are the only samples where the DRY-6 and RE samples have
326 different intensity results, however the majority of the peaks are at the same ppm locations.

327 The DOM from water from Site 5 was extracted by FD and RE, and both spectra show
328 similar patterns, but much lower intensities than in samples from other sites. The four
329 samples from Site 9 show similar spectra to Site 1, although the RO-DF sample has the
330 highest intensities and RO-RE has the lowest – missing several of the peaks present in the
331 other samples.

332

333 Figure 1. The NMR spectra for each sample (n = 23, from 9 sites). The 'intensity' (y-axis) is
334 relative to the total C in each sample. Similar samples will have similar spectra – the lines
335 will be close together and follow a similar pattern. If the samples have different functional
336 group compositions, the lines will be different.

337



338

339

340 **3.2. Time, cost and recovery**

341 The results of this analysis show that the methods ranked as ‘best’ with the lowest overall
 342 scores were the rotary evaporation and dry-down methods (Table 3). The **SPE** method
 343 resulted in DOM samples that were too small to analyse further, so it was not continued. It
 344 was also relatively expensive per sample. The resulting overall score was 17, and therefore it
 345 was ranked fourth.

346

347 Table 3. The rate (mL hr⁻¹), recovery percentage (\pm standard error) and cost per sample
 348 (GBP and USD) for each method. The overall score is the sum of the ranks of rate, recovery
 349 percentage and cost per sample, and large equipment score (lower number = better method).
 350 The notation ‘n.a.’ = no additional equipment and/or costs. Rank and score values are in
 351 italics.

Method	Rate (mL hr ⁻¹) and <i>rank</i>	Recovery (% \pm SE) and <i>rank</i>	Cost per sample (£, \$) and <i>rank</i>	Large equipment cost (£, \$) and <i>score</i>	Overall score and <i>rank</i>
RE	897.44 <i>1</i>	100 (11) <i>1</i>	9.43, 12.39 <i>6</i>	2000, 2630 <i>2</i>	10 <i>1</i>
DRY-6	39.94 <i>2</i>	93 (8) <i>2</i>	2.21, 2.90 <i>2.5</i>	2000, 2630 <i>2</i>	10.5 <i>2</i>
DRY-F	4.59 <i>6</i>	69 (10) <i>4</i>	2.21, 2.90 <i>2.5</i>	n.a. <i>0</i>	12.5 <i>3</i>
SPE	503.33 <i>2</i>	- <i>8</i>	102.67, 134.91 <i>7</i>	n.a. <i>0</i>	17 <i>4</i>
RO-RE	100.40 <i>3</i>	158 (87) <i>5</i>	384.50, 505.25 <i>8.5</i>	2000, 2630 <i>2</i>	18.5 <i>5</i>
FD	5.36 <i>5</i>	89 (6) <i>3</i>	n.a. <i>1</i>	10000, 13140 <i>10</i>	19 <i>6</i>
DIA-FH	0.46	37	8.79, 11.55	n.a.	20.5

	9	7	4.5	0	7
RO-DF	2.92	159 (75)	384.50, 505.25	n.a.	21.5
	7	6	8.5	0	8
DIA-FD	1.39	237	8.79, 11.55	10000, 13140	31.5
	8	9	4.5	10	9

352

353 The **RE method** had a low cost per sample and the recovery percentage of DOM was high
354 ($100 \pm 11\%$). Water samples with a low starting DOC concentration (less than 10 mg L^{-1}) had
355 a much more variable recovery percentage (range 36 to 183%), whereas water samples with
356 higher starting DOC concentration (above 10 mg L^{-1}) had a lower recovery percentage range
357 (64 to 147%). The recovery percentage was positively correlated with the pH of the source
358 water ($p = 0.05$, $R^2 = 0.28$, $n = 14$); DOM samples with lower recovery percentages were
359 from water sources with lower pH values. The relationships between pH and recovery
360 percentage were stronger when the DOM samples were grouped according to the type of
361 water body sampled. The pH of the source water explained the majority of the variation in the
362 DOM recovery percentages from headwaters and streams samples ($p < 0.01$, $R^2 = 0.97$, $n =$
363 6). The method overall score was 10; this was the lowest overall score of all the methods and
364 so this method was ranked first.

365 **DRY-6** and **DRY-F** were relatively simple, requiring the least equipment of all methods
366 tested; therefore, the cost was very low per sample. The recovery rate of DRY-6 was 93%,
367 whereas the recovery rate from DRY-F was 69%. The recovery percentage of DOM extracted
368 by the DRY-F method was negatively correlated with the pH of the source water ($p = 0.04$,
369 $R^2 = 0.71$, $n = 6$); DOM samples with lower recovery percentages were from water sources
370 with higher pH values. There was no significant correlation between the DOM recovery
371 percentage and water pH in samples collected by the DRY-6 method ($p = 0.47$, $n = 13$).
372 When grouped by water body type, the samples from headwaters and streams had a

373 significant negative relationship ($p < 0.01$, $R^2 = 0.89$, $n = 6$). The recovery percentage of
374 DOM extracted by the DRY-6 method was weakly positively correlated with the nutrient
375 concentrations (nitrate and nitrite, total nitrogen (N) and total phosphorous(P)) of the source
376 water; however the majority of values were very close to or at the detection limit of the
377 analysers. It is possible that DOM samples with lower recovery percentages were from water
378 sources with lower nitrate, nitrite, total N and total P. Their respective overall scores were
379 10.5 (DRY-6) and 12.5 (DRY-F), making them ranked second and third.

380 The **FD method** was also very simple; however, the freeze-drier was the most expensive
381 single piece of equipment used. This was the only method that directly resulted in solid DOM
382 samples without having to evaporate off the remaining water (either at room temperature or at
383 60 °C in an oven). The recovery rate of this method was 89%, with the smallest range of any
384 method tested in the experiment (74 to 112%). There were positive correlations between the
385 absorbance at eight UV and visible light wavelengths (665, 470, 465, 436, 400, 360, 265 and
386 254 nm) and the recovery percentage of the five DOM samples extracted by FD. Water
387 samples with higher absorbance values had higher DOM recovery percentages. The recovery
388 percentage was weakly positively correlated with the nutrient concentrations (nitrate and total
389 P) of the source water; however the majority of values were very close to or at the detection
390 limit of the analysers. It is possible that DOM samples with lower recovery percentages were
391 from water sources with lower nitrate and total P. The FD method overall score was 19, and it
392 was ranked sixth, increased by the cost of the large equipment needed.

393 **RO-RE** and **RO-DF** had very similar results to each other; however, the RO equipment was
394 relatively expensive per sample. The RO methods were carried out on water from two sites:
395 the site with lower DOC concentration (8.6 mg L⁻¹) resulted in very high recovery
396 percentages (234% RO-DF; 245% RO-RE), whereas the site with higher DOC (21.1 mg L⁻¹)

397 resulted in lower recovery percentage (84% RO-DF; 71% RO-RE). Their respective overall
398 scores were 18 (RO-RE) and 22 (RO-DF), ranked fifth and eighth overall.

399 The **DIA-FD** and **DIA-FH** methods were limited by the volume of water that could be
400 dialysed at each time, resulting in small DOM samples. The dialysis tubing is relatively
401 expensive and to extract a larger DOM sample would have increased the cost of this method.
402 The water sample that was subject to dialysis had a starting DOC concentration of 44.4 mg L⁻¹
403 (the highest of all the samples used in this study), but the DIA-FH had one of the lowest
404 (and therefore worst) recovery percentages (37%), showing a lot of the carbon measured in
405 the DOC concentration was not extracted and present in the DOM. The overall scores for the
406 two methods using dialysis were 20.5 (DIA-FH) and 31.5 (DIA-FD), ranked seventh and
407 ninth overall.

408

409 **4. Discussion**

410 The DOM extracted from natural water using nine commonly used methods was assessed.
411 The time and costs of each method were recorded, and elemental and functional group
412 composition of the DOM was analysed. The results showed that the most reliable and similar
413 composition results were obtained from the rotary evaporator (RE), freeze dryer (FD) and dry
414 down at 60 °C (DRY-6) methods (Table 2; Figure 1). The consistent DOM composition and
415 NMR results across these methods suggest they extracted a ‘real’ DOM composition, or that
416 all three methods altered the elemental and functional group composition in the same way.
417 The rotary evaporator, freeze dryer and dry down at 60 °C methods also had relatively fast
418 rates and low costs per sample (Table 3). The scoring system resulted in a higher score
419 (therefore a ‘worse’ method) for methods that included a freeze dryer, due to the large
420 equipment cost (~£10,000, Table 3). Calculating the overall score without the large
421 equipment cost, the lowest scores (and therefore ‘best’ methods) are RE, DRY-6 and FD

422 (scores are 8, 8.5 and 9), the same three methods as found to be the most reliable and
423 consistent in the elemental analysis. The high cost of the freeze drier is a one-off cost, and
424 could be offset by the practically zero consumable costs per sample.

425 Thacker et al (2005; 2008) used rotary evaporation to extract DOM from water. They used a
426 water bath at 45 °C, but the temperature of the evaporating sample was measured as 20 °C.
427 They were confident that the temperatures involved would not result in losses of DOM, and
428 report extracted recoveries of between 70 and 91% (2005 study) and 93 to 107% (2008
429 study). The slightly higher temperature water bath used in the current study (60 °C) would
430 likely result in a 35-40 °C evaporating temperature for the sample; the high recovery rates
431 indicate that there was hardly any loss of volatile carbon compounds at these temperatures.

432 The only difference between the RE and DRY-6 methods in this study was the vacuum in the
433 rotary evaporator; in both methods the water was heated to 60 °C. The elemental and
434 functional group compositions were most similar between these two methods.

435 The water chemistry of the source water influenced the recovery percentages. The pH of the
436 source water was found to impact the recovery percentage of the DOM samples extracted by
437 both RE and DRY-F, but not DRY-6 or FD. Higher water pH increases the solubility of
438 DOM; it is possible that this impacts the recovery percentage during rotary evaporation and
439 dry down. Higher absorbance values in water may lead to higher recovery percentages of
440 DOM extracted by freeze drying, and lower nutrients (especially nitrate and total
441 phosphorous) may lead to lower recovery percentages of DOM extracted by freeze drying
442 and dry down at 60 °C (although more work needs to be done to investigate this further).

443 High absorbance values in water often indicate high DOC concentrations, especially in
444 waters draining catchments dominated by peat soils (Wallage and Holden 2010), and so it is
445 likely that the correlation between absorbance and recovery percentage is controlled by high
446 DOC concentrations in samples with high absorbance values. In future studies, if DOM is to

447 be extracted using these methods from source waters with a wide range of pH and absorbance
448 values, then the recovery percentage will likely be affected.

449 Søndergaard et al (2003) used freeze-drying to extract DOM from estuary water and found a
450 97% recovery. They compared the freeze-dried DOM to ‘fresh’ and found no differences in
451 DOC bioavailability, suggesting the freeze-drying process did not alter the DOM
452 significantly. The freeze-dried DOM allowed them to artificially manipulate the DOM and
453 DOC concentration without adding water (and therefore changing the water chemistry of
454 their samples), another benefit of extraction. Whitty et al (2019) compared DOM extracted by
455 freeze-drying with ‘whole water’ from two water sources, and showed a 16% and 26% total
456 change in relative intensities across six integrated regions of ¹H-NMR spectra. The freeze-
457 dried samples lost oxygenated functional groups – these differences were attributed to
458 changes in the DOM composition during the freeze drying process, suggesting structural
459 changes do take place in the freeze-drying samples (Whitty et al 2019).

460 Kitis et al (2001) investigated the impact of reverse osmosis on DOM reactivity. They found
461 minimal loss of DOM (94-98% recovery) in water with DOC concentrations ranging from 2
462 to 25 mg L⁻¹, similar to this study. This shows that reverse osmosis can be used to recover
463 DOM, however the method used in Kitis et al (2001) was much more complicated, requiring
464 customised equipment, and therefore likely to be more costly in money and time than the
465 method used here.

466 The aim of this study was not to question the reliability of DOM composition data extracted
467 by different and varied methods used in past studies that have provided interesting and
468 valuable results, but to highlight the risks associated with comparing across studies. If the aim
469 of a new study is to compare DOM to previously published research, it is recommended that
470 the exact same method of DOM extraction be used. This also highlights the importance of
471 systematic and thorough reporting of the extraction methods used in studies of DOM

472 composition. In future studies of DOM extraction, especially from fresh waters, the methods
473 recommended are: rotary evaporation at 60 °C, freeze drying, and dry-down at 60 °C, as
474 these have provided the most reliable and consistent results.

475

476 **5. Conclusion**

477 DOM was shown to be highly variable in elemental and functional group composition using
478 samples from 14 water bodies across the UK. The results showed significant differences in
479 composition between DOM extracted via different, commonly used methods. The DOM
480 compositions were most consistent and reliable when extracted using the rotary evaporating,
481 freeze drying and dry-down at 60 °C methods. Using a rank and scoring system based on
482 time, cost, resources and recovery percentages, the ideal methods were identified as rotary
483 evaporation and dry-down at 60 °C. Freeze drying, despite having the greatest large-
484 equipment cost, is also a recommended method, as it had the lowest cost per sample and a
485 high recovery percentage.

486 The results show that composition data of DOM extracted by different methods are not
487 comparable. It is recommended that future studies of DOM composition use one of the
488 methods suggested, systematically report the method used and the recovery percentage, and if
489 necessary, extract DOM by more than one method for comparison. If comparing DOM
490 compositions from earlier literature, it is recommended to follow the method of extraction
491 used in the original work, to ensure any differences are not due to the extraction method.

492

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502

503 **6. References**

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