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# Graph Sparsification for Derandomizing Massively Parallel Computation with Low Space

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# ABSTRACT

Massively Parallel Computation (MPC) is an emerging model which distills core aspects of distributed and parallel computation. It was developed as a tool to solve (typically graph) problems in systems where input is distributed over many machines with limited space. Recent work has focused on the regime in which machines have sublinear (in n, number of nodes in the input graph) space, with randomized algorithms presented for the fundamental problems of Maximal Matching and Maximal Independent Set. There are, however, no prior corresponding *deterministic* algorithms.

A major challenge in the sublinear space setting is that the local space of each machine may be too small to store all the edges incident to a single node. To overcome this barrier we introduce a new graph sparsification technique that deterministically computes a low-degree subgraph with additional desired properties: degrees in the subgraph are sufficiently small that nodes' neighborhoods can be stored on single machines, and solving the problem on the subgraph provides significant global progress towards solving the problem for the original input graph.

Using this framework to derandomize the well-known randomized algorithm of Luby [SICOMP'86], we obtain  $O(\log \Delta + \log \log n)$ round *deterministic* MPC algorithms for solving the fundamental problems of *Maximal Matching* and *Maximal Independent Set* with  $O(n^{\varepsilon})$  space on each machine for any constant  $\varepsilon > 0$ . Based on the recent work of Ghaffari et al. [FOCS'18], this additive  $O(\log \log n)$ factor is *conditionally* essential. These algorithms can also be shown to run in  $O(\log \Delta)$  rounds in the closely related model of CON-GESTED CLIQUE, improving upon the state-of-the-art bound of  $O(\log^2 \Delta)$  rounds by Censor-Hillel et al. [DISC'17].

# **CCS CONCEPTS**

 $\label{eq:computing} \bullet Computing methodologies \to Distributed algorithms; \bullet Mathematics of computing \to Graph algorithms; \bullet Theory of computation \to Pseudorandomness and derandomization. KEYWORDS$ 

Massively Parallel Computation; Derandomization; Maximal Independent Set; Maximal Matching; Low Space

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# **1** INTRODUCTION

The last few years have seen an increasing interest in the design of parallel algorithms. This has been largely caused by the successes of a number of massively parallel computation frameworks, such as MapReduce [16, 17], Hadoop [42], Dryad [28], or Spark [43], which resulted in the need of active research for understanding the computational power of such systems. The *Massively Parallel Computations (MPC)* model, first introduced by Karloff et al. [30] has become the standard theoretical model of algorithmic study, as it provides a clean abstraction of these frameworks.

# 1.1 The MPC model

The MPC model comprises M machines, each with S words of space. Initially, each machine receives its share of the input. In our case, the input is a collection V of nodes and E of edges, and each machine receives approximately  $\frac{n+m}{M}$  of them (divided arbitrarily), where |V| = n and |E| = m. Computation proceeds in synchronous *rounds* in which each machine can performs arbitrary local computation on its data without communicating with other machines. At the end of each round, machines exchange messages. Each message is sent only to a single machine specified by the machine that is sending the message. All messages sent and received by each machine in each round have to fit into the machine's local space. Hence, their total length is bounded by  $M \cdot S$  in each round. The messages are processed by recipients in the next round. At the end of the computation, machines collectively output the solution.

Space regimes. Our focus in this paper is on graph algorithms. If n is the number of nodes in the graph, the input size can be as large as  $\Theta(n^2)$ . To work on truly massive inputs, we ideally want our local space to be sublinear in input size. Some earlier works (e.g., [4, 15, 19]) study the regime where space is roughly the number of nodes of the graph (i.e.,  $S = \widetilde{\Theta}(n)$ ); here, though, we consider the more restrictive *low space* regime. That is, we give *fully scalable* algorithms which use only  $S = \Theta(n^{\varepsilon})$  space, for any constant  $\varepsilon > 0$ .

*Relation to earlier models.* The MPC model shares many similarities to earlier models of parallel computation, for example with the PRAM model; indeed, it was quickly observed that it is easy to simulate a single step of PRAM in a constant number of rounds on

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MPC [25, 30], implying that a vast body of work on PRAM algorithms naturally translates to the MPC model. However, the fact that the MPC model allows for unlimited local computation enabled it to capture a more "coarse-grained" aspect of parallelism. Recent works have brought a number of new algorithms for fundamental graph combinatorial and optimization problems that demonstrated that in many situations the MPC model can be significantly more powerful than PRAM (see, e.g., [2–6, 8–10, 13, 15, 19, 22, 33, 34]). However, the common feature of most of these results is that they rely on randomization, and very little research has been done to study deterministic algorithms. The main theme of this paper is to *explore the power of the MPC model in the context of deterministic algorithms*; in particular, to understand whether the MPC model allows faster deterministic algorithms than in the PRAM-like models, in a similar way as for randomized algorithms.

We consider two corner-stone problems of local computation: maximal matching and maximal independent set (MIS). These problems are arguably among the most fundamental graph problems in parallel and distributed computing with numerous applications. The study of these problems can be traced back to PRAM algorithms of the 1980s [1, 29, 31, 38] and they have been considered as benchmark problems in various computational models since then. In particular, these problems have been central in our understanding of derandomization techniques. Luby [38], and independently Alon et al. [1], were the first to present a generic transformation of parallel algorithms for maximal matching and MIS, to obtain efficient deterministic algorithms for these problems in the PRAM model. For example, Luby [38] showed that his randomized MIS  $O(\log n)$ -time algorithm can be derandomized on PRAM in  $O(\log^3 n \log \log n)$  time. The bound was later improved to  $O(\log^3 n)$ time [23],  $O(\log^{2.5} n)$  time [26], and then  $\widetilde{O}(\log^2 n)$  time [27].

Known bounds. For many graph problems, including MIS and maximal matching, fully scalable *randomized*  $O(\log n)$  round  $n^{\Omega(1)}$  space MPC algorithms can be achieved by simulating PRAM algorithms [1, 29, 38]. These bounds have been improved only very recently and only in some settings. For fully scalable algorithms, we know only of a *randomized* algorithm due to Ghaffari and Uitto [22] working in  $\widetilde{O}(\sqrt{\log \Delta})$  rounds for maximal matching and MIS, where  $\Delta$  is the maximum degree. Better bounds are known for maximal matching algorithms using significantly more space: Lattanzi et al. [33] gave an  $O(1/\varepsilon)$  rounds randomized algorithm using  $O(n^{1+\varepsilon})$  space per machine, and Behnezhad et al. [10] presented an  $O(\log \log \Delta + \log \log \log n)$ -round randomized algorithm in  $n/2^{\Omega}(\sqrt{\log n})$  space.

Unfortunately, much less is known about *deterministic* MPC algorithms. Except some parts of the early work in [25] (cf. Lemma 2.2), we are not aware of any previous deterministic algorithms designed specifically MPC. One can use a simulation of PRAM algorithms to obtain fully scalable deterministic algorithms for maximal matching and MIS on MPC, and their number of rounds would be asymptotically the same; the fastest deterministic PRAM algorithms require  $O(\log^{2.5} n)$  [26] rounds for maximal matching, and  $\tilde{O}(\log^2 n)$  rounds for MIS [27]. If one can use linear space per machine, S = O(n), then the recent deterministic CONGESTED CLIQUE algorithms for MIS by Censor-Hillel et al. [12], directly give an  $O(\log n \log \Delta)$ -round deterministic MPC algorithm for MIS.

Following our work, Czumaj et al. [14] give a constant-round deterministic CONGESTED CLIQUE algorithm for  $(\Delta + 1)$ -list coloring, and an  $O(\log \Delta + \log \log n)$ -round low-space MPC algorithm for the same problem using a reduction to our MIS algorithm.

# 1.2 New results

We demonstrate the power of the deterministic algorithms in the MPC model on the example of two fundamental optimization problems: finding a maximal matching and finding an MIS.

THEOREM 1.1 (MAXIMAL MATCHING AND MIS). For any constant  $\varepsilon > 0$ , **maximal matching** and **MIS** can be found deterministically in the MPC model in  $O(\log \Delta + \log \log n)$  rounds, using  $O(n^{\varepsilon})$  space per machine and  $O(m + n^{1+\varepsilon})$  total space.

The additive  $O(\log \log n)$  term in the bound is most likely necessary: Ghaffari et al. [21] provided an  $\Omega(\log \log n)$  conditional hardness result for maximal matching and MIS, even for *randomized* fully scalable MPC algorithms. They proved that unless there is an  $o(\log n)$ -round (randomized) MPC algorithm for connectivity with local space  $S = n^{\varepsilon}$  for a constant  $0 < \varepsilon < 1$  and poly(n) global space (see [39] for strong arguments about the hardness of that problem), there is no component-stable randomized MPC algorithm with local space  $S = n^{\varepsilon}$  and poly(n) global space that computes a maximal matching or an MIS in  $o(\log \log n)$  rounds. We note, however, that our algorithms are *not* component-stable, since they involve global agreement on hash functions.

# 1.3 Our approach

We consider two regimes separately: the case where maximum degree  $\Delta$  is above  $n^{\frac{\ell}{2}}$ , and the case where it is below.

1.3.1 High-degree case. When degree is high, the most prominent limitation is that we cannot store nodes' neighborhoods on a single machine. This immediately rules out most standard derandomization techniques, which rely on checking neighborhoods to ensure that proper progress is being made. To tackle this problem, we develop our *deterministic graph sparsification* technique, a method of deterministically reducing the number of edges or vertices in a graph while preserving crucial problem-specific properties.

Deterministic graph sparsification. For the problems of MIS and maximal matching, our eventual aim will be to derandomize a variant of Luby's MIS algorithm [38], which repeatedly finds an independent set, such that removing the independent set along with its neighborhood reduces the number of edges in the graph by a constant factor (a similar approach for maximal matching finds a matching with an analogous property). The property we must preserve during sparsification is therefore that we can still find such a set in the sparsified graph. To do so, we:

- provide a *randomized* sampling procedure which requires only bounded independence, and
- (2) show that we can check whether the property has been preserved only by summing functions computable by individual low-space machines.

With these properties, we show how to apply an implementation of the *method of conditional expectations* to *derandomize* the sampling process, yielding a deterministic means of sparsification. Our framework can be applied to any problem where the above points can be satisfied, and so we hope it may prove useful elsewhere.

We use this procedure to reduce vertices' degrees to the point that we can collect 2-hop neighborhoods onto single machines; at this point we can apply a more standard derandomization to our variant of Luby's algorithm to find the independent set we seek. We can then remove this set, reducing the number of edges in the graph by a constant factor; after  $O(\log n)$  steps, we will have removed all edges from the graph and found a maximal independent set. We show that each step can be implemented in only O(1) MPC rounds, yielding an  $O(\log n)$  total round complexity. Since in the high-degree regime we have  $\Delta = n^{\Omega(1)}$ , this is also  $O(\log \Delta)$  rounds.

Sections 3 and 4 present this approach in detail for maximal matching and MIS respectively.

1.3.2 Low-degree case. When  $\Delta \leq n^{\frac{L}{2}}$ , we can already collect twohop neighborhoods onto single machines, and therefore need not perform deterministic graph sparsification: we can apply a more standard approach to derandomize Luby's algorithm. This, however, would give an  $O(\log n)$ -round algorithm; for the low degree regime, we show that we can do better, obtaining an  $O(\log \Delta + \log \log n)$ round algorithm. Since in the high-degree case  $O(\log n) = O(\log \Delta)$ , this implies an  $O(\log \Delta + \log \log n)$  round complexity overall.

The key idea here is to perform deterministic *round compression* on Luby's algorithm. Round compression is a technique used in some *randomized* results in MPC and CONGESTED CLIQUE (e.g., [15, 19, 20], though only the former uses the term), which works by gathering enough information onto machines to simulate multiple steps of a LOCAL or CONGEST algorithm at once.

To perform round compression *deterministically*, we first note that we have the space budget to collect  $O(\frac{\log n}{\log \Delta})$ -hop neighborhoods onto machines, and can do so in  $O(\log \log n)$  rounds via graph exponentiation. Next, we prove that a step of Luby's algorithm can be performed using only  $O(\log \Delta)$  random bits. Finally, we demonstrate that, using the method of conditional expectations, we can therefore derandomize  $O(\frac{\log n}{\log \Delta})$  steps of Luby's algorithm at once. The  $O(\log n)$  rounds of Luby's algorithm are therefore compressed into only  $O(\log \Delta)$  MPC rounds, which, along with the rounds required to collect neighborhoods, gives us our final  $O(\log \Delta + \log \log n)$  round complexity. This is detailed in Section 5.

#### 1.4 Implications to CONGESTED CLIQUE

As recently observed (cf. [7]), the MPC model is closely related to the CONGESTED CLIQUE model from distributed computing, (introduced by Lotker et al. [37]) in which computation is performed by nodes of the input graph, who initially receive input only concerning themselves and their adjacent edges. Nodes then execute a distributed algorithm in synchronous rounds. In any single round, nodes can perform an unlimited amount of local computation, send a possibly different  $O(\log n)$ -bit message to each other node, and receive all messages sent to them. We measure the complexity of algorithms by the number of rounds required.

It is not difficult to see (see, e.g., [7]) that any *r*-round CON-GESTED CLIQUE algorithm can be simulated in O(r) rounds in the MPC model with *n* machines and S = O(rn). Furthermore, Behnezhad et al. [7] showed that by using the routing scheme of

Lenzen [35], MPC algorithms with S = O(n) are adaptable to the CONGESTED CLIQUE model. These results immediately imply that the recent deterministic CONGESTED CLIQUE algorithm due to Censor-Hillel et al. [12] to find MIS in  $O(\log n \log \Delta)$  rounds can be extended to be run in the MPC model with  $S = \tilde{O}(n)$ . (When  $\Delta = O(n^{1/3})$ , the bound improves to  $O(\log \Delta)$ .) Notice though, that in contrast to our work, the derandomization algorithm from [12] relies on a derandomization of Ghaffari's MIS algorithm.

These simulations imply also that our new deterministic MPC algorithms for maximal matching and MIS can be implemented to run in the CONGESTED CLIQUE model using  $O(\log \Delta)$  rounds. By combining Theorem 3, for the regime  $\Delta = \omega(n^{1/3})$ , with the  $O(\log \Delta)$ -round MIS algorithm of [12] for the regime  $\Delta = O(n^{1/3})$ , we get an  $O(\log \Delta)$ -round algorithm for MIS. We further note that, in the  $\Delta = O(n^{1/3})$  regime, one can collect 2-hop neighborhoods onto single machines, and thus find a maximal matching by simulating MIS on the line graph of the input graph. So, combining Theorem 3.1 with the MIS algorithm of [12] yields the following:

COROLLARY 1.2. One can deterministically find MIS and maximal matching in  $O(\log \Delta)$  rounds in the CONGESTED CLIQUE model.

# **2 PRELIMINARIES**

An *independent set* in a graph G = (V, E) is any subset of nodes  $I \subseteq V$  such that no two nodes in I share an edge. An independent set I is called a *maximal independent set* (*MIS*) if it is not possible to add any other node of G to I and obtain an independent set.

A matching of a graph G = (V, E) is any independent subset of edges  $M \subseteq E$  (i.e., no two edges in M share an endpoint). A matching M of a graph G is a maximal matching if it is not possible to add any other edge of G to M and obtain a matching.

For a node  $v \in V$ , the neighborhood N(v) is the set of nodes u with  $\{u, v\} \in E$ ; for any  $U \subseteq V$ , we define  $N(U) = \bigcup_{v \in U} N(v)$ .

In any graph *G* we denote the degree of a node *v* or an edge *e* (the degree of an edge is the number of other edges sharing an endpoint to it) by d(v) and d(e), respectively. If we have a subset of nodes  $U \subseteq V$  or edges  $E' \subseteq E$ , we will denote  $d_U(v)$  to be the number of nodes  $u \in U$  such that  $\{u, v\} \in E$ , and  $d_{E'}(v)$  to be the number of edges  $e \in E'$  such that  $v \in e$ . We define the degree  $d_{E'}(e)$ , of an edge *e*, to be the number of edges in E' which are adjacent to *e*. We will use  $u \sim v$  to denote adjacency between nodes (or edges), with the underlying graph as a subscript where it is otherwise ambiguous.

Throughout the paper, we use  $[\ell]$  to denote the set  $\{1, \ldots, \ell\}$ .

#### 2.1 Luby's MIS algorithm

Our algorithms will be based on Luby's algorithm [38] for MIS:

Algorithm 1 Luby's MIS algorithm	
while $ E(G)  > 0$ do	
Each node $v$ generates a random value $z_v$	$\in [0, 1]$
Node <i>v</i> joins independent set <i>I</i> iff $z_v < z_u$	for all $u \sim v$
Add $I$ to output independent set	
Remove $I$ and $N(I)$ from the graph $G$	
end while	

The central idea in the analysis is to define an appropriated subset of nodes and show that it is adjacent to a constant fraction of edges in the graph *G*. Let *X* be the set of all nodes *v* that have at least  $\frac{d(v)}{3}$  neighbors *u* with  $d(u) \leq d(v)$ . Then the following lemma is shown, for example, in Lemma 8.1 of [41].

LEMMA 2.1. Let X be the set of all nodes v that have at least  $\frac{d(v)}{3}$  neighbors u with  $d(u) \le d(v)$ . Then  $\sum_{v \in X} d(v) \ge \frac{1}{2}|E|$ .

Next, one can then show that every node  $v \in X$  has a constant probability of being removed from G, and therefore, in expectation, a constant fraction of G's edges are removed. This approach gives an  $O(\log n)$ -round *randomized* algorithm for MIS (with  $S = n^{\varepsilon}$ ). Luby showed, also in [38], that the analysis requires only pairwise independent random choices, and that the algorithm can thus be efficiently *derandomized* (in  $O(\log^3 n \log \log n)$  parallel time). However, doing so directly requires many machines ( $O(mn^2) = O(n^4)$ in [38]), which would generally be considered a prohibitively high total space bound in MPC. Luby's MIS algorithm can be also extended to find maximal matching, since a maximal matching in G is an MIS in the line graph of G, and in many settings one can simulate Luby's algorithm on this line graph.

#### 2.2 Communication in low-space MPC

Low-space MPC is in some ways a restrictive model, and fully scalable algorithms for even basic communication therein are highly non-trivial. Fortunately, prior work on MapReduce and earlier models of parallel computation have provided black-box tools which will permit all of the types of communication we require for our algorithms. We will not go into the details of those tools, but instead refer the reader to the following summary:

LEMMA 2.2 ([25]). For any positive constant  $\delta$ , sorting and computing prefix sums of n numbers can be performed deterministically in MapReduce (and therefore in the MPC model) in a constant number of rounds using  $S = n^{\delta}$  space per machine and O(n) total space.

The computation of prefix sums here means the following: each machine  $m \in [M]$  holds an input value  $x_m$ , and outputs  $\sum_{i=1}^{m} x_i$ .

PROOF. The result for sorting follows from applying Theorem 3.1 of [25] to the BSP sorting algorithm of [24]. The prefix sums result comes from Lemma 2.2 of [25]. □

This result essentially allows us to perform all of the communication we will need to do in a constant number of rounds. For example, if for each edge  $\{u, v\}$  we create two entries (u, v) and (v, u) in memory, and the sort these lexicographically, we can ensure that the neighborhoods of all nodes are stored on contiguous blocks of machines. Then, by computing prefix sums, we can compute sums of values among a node's neighborhood, or indeed over the whole graph. This allows us to, e.g., compute objective functions for the method of conditional expectations (see Section 2.4). Where 2-hop neighborhoods fit in the space of a single machine, we can collect them by sorting edges to collect 1-hop neighborhoods onto machines, and then having each such machine send requests for the neighborhoods of all the nodes it stores.

An important point to note is that since Lemma 2.2 uses  $S = n^{\delta}$  for *any* positive constant  $\delta$ , by setting  $\delta$  sufficiently smaller than

our space parameter  $\varepsilon$ , we can perform  $n^{\Omega(1)}$  simultaneous sorting or prefix sum procedures. This will be especially useful to us for efficiently performing the method of conditional expectations.

# 2.3 Bounded-independence hash functions

Our derandomization is based on a classic recipe: we first show that a randomized process using a *small random seed* produces good results, by using our random seed to select a hash function from a *k*-wise independent family. Then, we search the space of random seeds to find a good one, using the *method of conditional expectations*.

The families of hash functions we require are specified as follows:

Definition 2.3. For  $N, L, k \in \mathbb{N}$  such that  $k \leq N$ , a family of functions  $\mathcal{H} = \{h : [N] \rightarrow [L]\}$  is *k*-wise independent if for all distinct  $x_1, \ldots, x_k \in [N]$ , the random variables  $h(x_1), \ldots, h(x_k)$  are independent and uniformly distributed in [L] when *h* is chosen uniformly at random from  $\mathcal{H}$ .

We will use the following well-known lemma (cf. [40, Corollary 3.34]).

LEMMA 2.4. For every a, b, k, there is a family of k-wise independent hash functions  $\mathcal{H} = \{h : \{0, 1\}^a \rightarrow \{0, 1\}^b\}$  such that choosing a random function from  $\mathcal{H}$  takes  $k \cdot \max\{a, b\}$  random bits, and evaluating a function from  $\mathcal{H}$  takes time poly(a, b, k).

For all of our purposes (except when extending to low degree inputs, in Section 5), when we require a family of hash functions, we will use a family of *c*-wise independent hash functions  $\mathcal{H} = \{h : [n^3] \rightarrow [n^3]\}$ , for sufficiently large constant *c* (we can assume that  $n^3$  is a power of 2 without affecting asymptotic results). We choose  $n^3$  to ensure that our functions have (more than) large enough domain and range to provide the random choices for all nodes and edges in our algorithms. By Lemma 2.4, a random function can be chosen from  $\mathcal{H}$  using  $O(\log n)$  random bits (defining the *seeds*).

#### 2.4 Method of conditional expectations

Another central tool in derandomization of algorithms we use is the classical *method of conditional expectations*. In our context, we will show that, over the choice of a random hash function  $h \in \mathcal{H}$ , the expectation of some objective function (which is a sum of functions  $q_x$  calculable by individual machines) is at least some value Q, i.e.,

$$\mathbf{E}_{h\in\mathcal{H}}[q(h):=\sum_{\text{machines }x}q_x(h)]\geq Q$$
.

Since, by the probabilistic method, this implies the existence of a hash function  $h^* \in \mathcal{H}$  for which  $q(h^*) \ge Q$ , then our goal is to find one such  $h^* \in \mathcal{H}$  in O(1) MPC rounds.

We will find the sought hash function  $h^*$  by fixing the  $O(\log n)$ bit seed defining it (cf. Lemma 2.4), by having all machines agree gradually on chunks of  $\Theta(\log n)$  bits at a time. That is, we iteratively extend a fixed prefix of the seed until we have fixed the entire seed. For each chunk, and for each  $i, 1 \leq i \leq n^{\Omega(1)}$ , each machine calculates  $\mathbb{E}_{h \in \mathcal{H}}[q_x(h)|\Xi_i]$ , where  $\Xi_i$  is the event that the random seed specifying h is prefixed by the current fixed prefix, and then followed by i. We then sum these values over all machines for each i, using Lemma 2.2 (recall that we have sufficient space to conduct  $n^{\Omega(1)}$  concurrent applications), obtaining  $\mathbb{E}_h[q(h)|\Xi_i]$ . By the probabilistic method, at least one of these values is at least Q. We fix i to be such that this is the case, and continue. After O(1) iterations, we find the entire seed to define  $h^* \in \mathcal{H}$  such that  $q(h^*) \ge Q$ . Since each iteration requires only a constant number of MPC rounds, this process takes only O(1) rounds.

# **3 MAXIMAL MATCHING IN** O(log n) ROUNDS

In this section we present a deterministic fully scalable  $O(\log n)$ rounds MPC algorithm for the maximal matching problem. Later, in Section 5, we will extend this algorithm to obtain a round complexity  $O(\log \Delta + \log \log n)$ ; as promised in Theorem 1.1; this improves the bound from this section for  $\Delta = n^{o(1)}$ . Due to space constraints, some proof in this section and in Section 4 are deferred to the full version of this paper.

THEOREM 3.1. For any constant  $\varepsilon > 0$ , maximal matching can be found deterministically in the MPC model in  $O(\log n)$  rounds, using  $O(n^{\varepsilon})$  space per machine and  $O(m + n^{1+\varepsilon})$  total space.

The main idea is to derandomize a variant of a maximal matching algorithm due to Luby (cf. Section 2.1), which in  $O(\log n)$  rounds finds a maximal matching. In each round of Luby's algorithm one selects some matching M and then removes all nodes in M (and hence all edges adjacent to M). It is easy to see that after sufficiently many rounds the algorithm finds maximal matching. The central feature of the randomized algorithm is that in expectation, in each single round one will remove a constant fraction of the edges, and hence  $O(\log n)$  rounds will suffice in expectation. This is achieved in two steps. One first selects an appropriated subset of nodes and show that it is adjacent to a constant fraction of edges in the graph G (cf. Lemma 2.1). Then, one shows that every node  $v \in X$  has a constant probability of being removed from G (by being incident to the matching M found in a given round), and therefore, in expectation, a constant fraction of G's edges are removed.

In order to derandomize such algorithm, we will show that each single round can be implemented deterministically in a constant number of rounds in the MPC model so that the same property will be maintained deterministically: in a constant number of rounds one will remove a constant fraction of the edges, and hence  $O(\log n)$  rounds will suffice. This is achieved in three steps:

- select a set of good nodes *B* which are adjacent to a constant fraction of the edges,
- then sparsify to  $\mathcal{E}^*$  the set of edges incident to *B* to ensure that each node has degree  $O(n^{\varepsilon/2})$  in  $\mathcal{E}^*$ , and hence a single machine can store its entire 2-hop neighborhood, and
- then find a matching  $M \subseteq \mathcal{E}^*$  such that removal of all nodes in *M* (i.e., removal of *M* and all edges adjacent to *M*) reduces the number of edges by a constant factor.

*Good nodes.* We start with a corollary of Lemma 2.1, which specifies a set of *good nodes* which are nodes with similar degrees that are adjacent to a constant fraction of edges in the graph.

Let  $\delta$  be a positive constant, with  $1/\delta \in \mathbb{N}$  (we will later show that we require  $n^{O(\delta)}$  space per machine, and thereby meet our  $n^{\varepsilon}$  space bound by fixing  $\delta$  sufficiently smaller than  $\varepsilon$ ). We will proceed in a constant (dependent on  $\delta$ ) number of stages, sparsifying the graph induced by the edges incident to good nodes by derandomizing the sampling of edges with probability  $n^{-\delta}$  in each stage. In order for this to work, we want our good nodes to be within a degree range of at most a  $n^{\delta}$  factor, for their behavior to be similar. Let us recall (cf. Section 2.1) that *X* is the set of all nodes *v* which have at least  $\frac{d(v)}{3}$  neighbors *u* with  $d(u) \le d(v)$ . Partition nodes into sets  $C^i$ ,  $1 \le i \le 1/\delta$ , such that  $C^i = \{v : n^{(i-1)\delta} \le d(v) < n^{i\delta}\}$ . Let  $B^i = C^i \cap X$ . The following is a simple corollary of Lemma 2.1.

COROLLARY 3.2. There is  $i \leq 1/\delta$ , such that  $\sum_{v \in B_i} d(v) \geq \frac{\delta}{2} |E|$ .

PROOF. By Lemma 2.1,  $\sum_{v \in X} d(v) \ge |E|$ . Since  $B^1, \ldots, B^{1/\delta}$  form a partition of X into  $1/\delta$  subsets, at least one of them must contribute a  $\delta$ -fraction of the sum  $\sum_{v \in X} d(v) \ge \frac{1}{2}|E|$ .

From now on, let us fix some *i* which satisfies Corollary 3.2. Denote  $B := B^i$ , and for each node  $v \in B$ , let  $X(v) := \{\{u, v\} \in E : d(u) \le d(v)\}$ . Note that the definition of set *X* yields  $|X(v)| \ge \frac{d(v)}{3}$ . Denote  $\mathcal{E}_0 = \bigcup_{v \in B} X(v)$ .  $\mathcal{E}_0$  is the set of edges we will be subsampling to eventually find a matching, and *B* is the set of good nodes which we want to match and remove from the graph, in order to significantly reduce the number of edges.

The outline of our maximal matching algorithm is given in Algorithm 2. As long as each iteration reduces the number of edges in *G* by a constant fraction, we need only  $O(\log n)$  iterations to find a maximal matching. We will show that the iterations require O(1) rounds each, so  $O(\log n)$  rounds are required overall.

Algorithm 2 Maximal matching algorithm outline
while $ E(G)  > 0$ do
Compute <i>i</i> , <i>B</i> and $\mathcal{E}_0$
Select a set $\mathcal{E}^* \subseteq \mathcal{E}_0$ that induces a low degree subgraph
Find matching $M \subseteq \mathcal{E}^*$ with:
$\sum_{\text{nodes } v \text{ matched in } M} d(v) = \Omega( E(G) )$
Add $M$ to output matching, remove matched nodes from $G$
end while

# **3.1** Computing *i*, *B*, and $\mathcal{E}_0$

As discussed in Section 2.2, a straightforward application of Lemma 2.2 allows all nodes to determine their degrees, and therefore their membership of sets  $C^i$ , in a constant number of rounds. A second application allows nodes to determine whether they are a member of X, and therefore  $B^i$ , and also provides nodes  $v \in X$  with X(v). Finally, a third application allows the computation of the values  $\sum_{v \in B^i} d(v)$  for all *i*. Upon completing, all nodes know which *i* yields the highest value for this sum, and that is the value for *i* which will be fixed for the remainder of the algorithm.

# 3.2 Deterministically selecting $\mathcal{E}^*$

We will show now how to deterministically, in O(1) stages, select a subset  $\mathcal{E}^*$  of  $\mathcal{E}_0$  that induces a low degree subgraph, as required in our MPC algorithm. For that, our main goal is to ensure that every node has degree  $O(n^{4\delta})$  in  $\mathcal{E}^*$  (to guarantee that its 2-hop neighborhood will fit a single MPC machine with  $S = O(n^{8\delta})$ ), and that one can then locally find a matching  $M \subseteq \mathcal{E}^*$  that will cover a linear number of edges.

We first consider the easy case when  $i \le 4$ , in which case we set directly  $\mathcal{E}^* = \mathcal{E}_0$ . Notice that in that case, by definitions of *X* and  $B = C^i \cap X$ , we have (i)  $d_{\mathcal{E}^*}(v) = d_{\mathcal{E}_0}(v) \le n^{4\delta}$  for all nodes *v*, and (ii)  $|X(v) \cap \mathcal{E}^*| = |X(v)| \ge \frac{d(v)}{3}$  for all nodes  $v \in B$ , which is what

yields the requirements for  $\mathcal{E}^*$  (cf. the Invariant below) needed in our analysis in Section 3.3.

Next, for the rest of the analysis, let us assume that  $i \ge 5$ . We proceed in i - 4 stages, starting with  $\mathcal{E}_0$  and sparsifying it by subsampling a new edge set  $\mathcal{E}_j$  in each stage j, j = 1, 2, ..., i - 4. Note that for any node v we have  $d_{\mathcal{E}_0}(v) \le n^{i\delta}$ , since nodes in B have maximum degree  $n^{i\delta}$  and since v only has adjacent edges in  $\mathcal{E}_0$  if  $v \in B$  or  $\exists u \in B : d(v) \le d(u)$ .

*Invariant:* In our construction of sets  $\mathcal{E}_0, \mathcal{E}_1, \ldots, \mathcal{E}_{i-4}$ , in order to find a good matching in the resulting sub-sampled graph  $\mathcal{E}^*$ , we will maintain the following invariant for every *j*:

- (i) for all nodes  $v: d_{\mathcal{E}_i}(v) \leq (1 + o(1))n^{-j\delta}d_{\mathcal{E}_0}(v) + n^{3\delta}$ ,
- (ii) for all nodes  $v \in B$ :  $|X(v) \cap \mathcal{E}_j| \ge (1 o(1))n^{-\delta j}|X(v)|$ .

The intuition behind this invariant is that nodes' degrees decrease roughly as expected in the sub-sampled graph, and nodes  $v \in B$  do not lose too many edges to their neighbors in X(v) (to ensure that many of them can be matched in the sub-sampled graph).

One can see that the invariant holds for j = 0 trivially, by definition of sets  $\mathcal{E}_0$  and B.

Distributing edges and nodes among the machines. In order to implement our scheme in the MPC model, we first allocate the nodes and the edges of the graph among the machines.

- Each node v distributes its adjacent edges in  $\mathcal{E}_{j-1}$  across a group of *type A* machines, with  $n^{4\delta}$  edges on all but at most one machine (which holds any remaining edges).
- Each node  $v \in B$  also distributes its adjacent edges in  $X(v) \cap \mathcal{E}_{j-1}$  across a group of *type B* machines in the same fashion.

Type A machines will be used to ensure that the first point of the invariant holds, and type B machines will ensure the second.

In order to sparsify  $\mathcal{E}_{j-1}$  to define  $\mathcal{E}_j$ , we proceed with derandomization of a sub-sampled graph. We will fix a seed specifying a hash function from  $\mathcal{H}$  (recall that  $\mathcal{H} = \{h : [n^3] \rightarrow [n^3]\}$  is a *c*-independent family for sufficiently large constant *c*). Each hash function *h* induces a set  $\mathcal{E}_h$  in which each edge in  $\mathcal{E}_{j-1}$  is sampled with probability  $n^{-\delta}$ , by placing  $e \in \mathcal{E}_h$  iff  $h(e) \le n^{3-\delta}$ .

Good machines. We will call a machine good for a hash function  $h \in \mathcal{H}$  if the effect of h on the edges it stores looks like it will preserve the invariant. We will then show that if all machines are good for a hash function h, the invariant is indeed preserved.

Formally, consider a machine (of either type) *x* that receives  $\mathcal{E}(x) \subseteq \mathcal{E}_{j-1}$  and let  $e_x := |\mathcal{E}(x)|$ . For hash function  $h \in \mathcal{H}$ , we call *x* good if  $e_x n^{-\delta} - n^{0.1\delta} \sqrt{e_x} \le |\mathcal{E}(x) \cap \mathcal{E}_h| \le e_x n^{-\delta} + n^{0.1\delta} \sqrt{e_x}$ .

Our aim is to use the following concentration bound to show that a machine is good with high probability:

LEMMA 3.3 (LEMMA 2.2 OF [11]). Let  $c \ge 4$  be an even integer. Let  $Z_1, \ldots, Z_t$  be c-wise independent random variables taking values in  $[0, 1], Z = Z_1 + \cdots + Z_t$  and  $\mu = \mathbb{E}[Z]$ . Let  $\lambda > 0$ . Then,

$$\Pr\left[|Z - \mu| \ge \lambda\right] \le 2\left(\frac{ct}{\lambda^2}\right)^{c/2}$$

We will take *Z* to be the sum of the indicator variables  $1_{\{e \in \mathcal{E}_h\}}$  for  $e \in \mathcal{E}(x)$  (i.e.,  $Z = |\mathcal{E}(x) \cap \mathcal{E}_h|$ ). These indicator variables  $1_{\{e \in \mathcal{E}_h\}}$  are *c*-wise independent, and each has expectation  $n^{-\delta}$ .

Using that *c* is a sufficiently large constant, we apply Lemma 3.3 and get that

$$\Pr\left[|Z-\mu| \ge n^{0.1\delta}\sqrt{e_x}\right] \le n^{-5}$$

This means that with high probability,  $e_x n^{-\delta} - n^{0.1\delta} \sqrt{e_x} \le |\mathcal{E}(x) \cap \mathcal{E}_h| \le e_x n^{-\delta} + n^{0.1\delta} \sqrt{e_x}$ , and x is good.

By the method of conditional expectations, as described in Section 2.4 using objective function  $q_x(h) = \mathbf{1}_x$  is good for *h*, we can find a function *h* which makes all machines good, in a constant number of rounds. We then set  $\mathcal{E}_i = \mathcal{E}_h$ .

3.2.1 Properties of  $\mathcal{E}_j$ : satisfying the invariant. Having fixed a subsampled graph for the stage, we need to show that since all machines were good, we satisfy our invariant for the stage.

LEMMA 3.4 (INVARIANT (I)). All nodes v satisfy

$$d_{\mathcal{E}_{i}}(v) \leq (1+o(1))n^{-j\delta}d_{\mathcal{E}_{0}}(v) + n^{3\delta}$$

LEMMA 3.5 (INVARIANT (II)). All nodes  $v \in B$  satisfy

 $|X(v) \cap \mathcal{E}_j| \ge (1 - o(1))n^{-\delta j} |X(v)| .$ 

Our invariant is therefore preserved in every stage, and so holds in our final sub-sampled edge set  $\mathcal{E}^* := \mathcal{E}_{i-4}$ .

#### **3.3** Finding a matching $M \subseteq \mathcal{E}^*$

The construction in Section 3.2 ensures that either  $i \le 4$ , in which case  $\mathcal{E}^* = \mathcal{E}_0$ , or  $i \ge 5$  and after i - 4 stages, we now have a set of edges  $\mathcal{E}^* = \mathcal{E}_{i-4}$  with the following properties:

(i) all nodes v have

$$d_{\mathcal{E}^*}(v) \le (1+o(1))n^{(4-i)\delta} d_{\mathcal{E}_0}(v) + n^{3\delta} \le 2n^{4\delta} ,$$

(ii) all nodes  $v \in B$  have

$$|X(v) \cap \mathcal{E}^*| \ge (1 - o(1))n^{(4-i)\delta}|X(v)|$$
.

We can show a property analogous to Lemma 2.1 in  $\mathcal{E}^*:$ 

LEMMA 3.6. Every node  $v \in B$  has  $\sum_{\{u,v\}\in\mathcal{E}^*} \frac{1}{d_{\mathcal{E}^*}(\{u,v\})} \geq \frac{1}{27}$ .

Now we are ready to present our deterministic MPC algorithm that for a given subset of edges  $\mathcal{E}^*$  satisfying the invariant, in O(1)rounds constructs a matching  $M \subseteq \mathcal{E}^*$  such that the removal of Mand all edges adjacent to M removes  $\Omega(\delta|E|)$  edges from the graph.

First, each node  $v \in B$  is assigned a machine  $x_v$  which gathers its 2-hop neighborhood in  $\mathcal{E}^*$ . Since for every node u we have  $d_{\mathcal{E}^*}(u) \leq 2n^{4\delta}$  by Invariant (*i*) (or by the definition of B and  $\mathcal{E}_0 = \mathcal{E}^*$ , when  $1 \leq i \leq 4$ ), this requires at most  $2n^{4\delta} \cdot 2n^{4\delta} = O(n^{8\delta})$  space per machine. Altogether, since  $|B| \leq n$ , this is  $O(n^{1+8\delta})$  total space.

We will fix a seed specifying a hash function h from  $\mathcal{H}$ . This hash function h will be used to map each edge e in  $\mathcal{E}^*$  to a value  $z_e \in [n^3]$ . Then, e joins the *candidate matching*  $\mathcal{E}_h$  iff  $z_e < z_{e'}$  for all  $e' \sim e$ . Further, since each node  $v \in B$  is assigned a machine which gathers its 2-hop neighborhood in  $\mathcal{E}^*$ , in a single MPC round, every node  $v \in B$  can determine its degree  $d_{\mathcal{E}_h}(v)$ .

Clearly  $\mathcal{E}_h$  is indeed a matching for every  $h \in \mathcal{H}$ , but we require that removing  $\mathcal{E}_h \cup N(\mathcal{E}_h)$  from the graph reduces the number of edges by a constant fraction. We will show that  $|\mathcal{E}_h \cup N(\mathcal{E}_h)| =$  $\Omega(\delta|E|)$  in expectation, and therefore by the method of conditional expectations (cf. Section 2.4) we will be able to find a seed  $h^* \in \mathcal{H}$ for which  $|\mathcal{E}_{h^*} \cup N(\mathcal{E}_{h^*})| = \Omega(\delta|E|)$ . LEMMA 3.7. For any machine  $x_v$  holding the 2-hop neighborhood of v in  $\mathcal{E}^*$ , the probability that  $d_{\mathcal{E}_h}(v) = 1$ , for a random hash function  $h \in \mathcal{H}$ , is at least  $\frac{1}{218}$ .

We will denote  $N_h := \{v \in B : d_{\mathcal{E}_h}(v) = 1\}$ , i.e., the set of nodes in the matching induced by hash function *h*. We want to study the number of edges incident to  $N_h$ . By Lemma 3.7,

$$\mathbf{E}\left[\sum_{v\in N_h} d(v)\right] \ge \sum_{v\in B} d(v) \cdot \Pr\left[v\in N_h\right] \ge \frac{1}{109} \sum_{v\in B} d(v) \ge \frac{\delta|E|}{218}$$

By the method of conditional expectations (cf. Section 2.4), using objective function  $q_{x_v}(h) = d(v) \mathbf{1}_{v \in N_h}$ , we can select a hash function h with  $\sum_{v \in N_h} d(v) \geq \frac{1}{218} \delta |E|$ . We then add the matching  $M := \mathcal{E}_h$  to our output, and remove matched nodes from the graph. In doing so, we remove at least  $\frac{\delta |E|}{436}$  edges from the graph.

# 3.4 Finding a maximal matching

Now we are ready to complete the proof of Theorem 3.1, that a maximal matching can be found deterministically in the MPC model in  $O(\log n)$  rounds, with  $S = O(n^{\varepsilon})$ , and  $O(m + n^{1+\varepsilon})$  total space.

Our algorithm returns a maximal matching in  $\log_{\frac{1}{1-\delta/536}} |E| = O(\log n)$  iterations, each requiring O(1) MPC rounds. The space required is dominated by storing the input graph G(O(m) total space) and collecting 2-hop neighborhoods when finding an matching  $(O(n^{8\delta})$  space per machine,  $O(n^{1+8\delta})$  total space). Setting  $\delta = \frac{\varepsilon}{8}$  allows us to conclude Theorem 3.1, that for any constant  $\varepsilon > 0$ , maximal matching can be found in the MPC model in  $O(\log n)$  rounds, using  $O(n^{\varepsilon})$  space per machine and  $O(m + n^{1+\varepsilon})$  total space.

# 4 MIS IN $O(\log n)$ ROUNDS

In this section we modify the approach from Section 3 for the maximal independent set problem and prove the following.

THEOREM 4.1. For any constant  $\varepsilon > 0$ , MIS can be found deterministically in MPC in  $O(\log n)$  rounds, using  $O(n^{\varepsilon})$  space per machine and  $O(m + n^{1+\varepsilon})$  total space.

Later, in Section 5, we will extend this algorithm to obtain a round complexity  $O(\log \Delta + \log \log n)$ ; this will improve the bound from Theorem 4.1 when  $\Delta = n^{o(1)}$ .

# 4.1 Outline

The approach to find an MIS in  $O(\log n)$  MPC rounds is similar to the algorithm for maximal matching. The main difference is that for MIS, instead of the edges, as for the matching, we have to collect the nodes, which happen to require some changes in our analysis and makes some of its part slightly more complex.

Let  $\mathcal{A}$  be the set of all nodes v such that  $\sum_{u \sim v} \frac{1}{d(u)} \geq \frac{1}{3}$ . Our analysis again relies on a corollary to the analysis of Luby's algorithm (cf. Lemma 2.1) that follows from the fact that  $X \subseteq \mathcal{A}$ .

Corollary 4.2.  $\sum_{v \in \mathcal{A}} d(v) \ge \frac{1}{2} |E|$ .

PROOF. Nodes *v* in *X* (cf. Lemma 2.1) satisfy  $\sum_{u \sim v} \frac{1}{d(u)} \ge \frac{1}{3}$ .  $\Box$ 

We will again partition nodes into classes of similar degree. Let  $\delta$  be an arbitrarily small constant and assume  $1/\delta \in \mathbb{N}$ . As in Section

3, partition nodes into sets  $C^i$ ,  $1 \le i \le 1/\delta$ , with  $C^i = \{v : n^{(i-1)\delta} \le d(v) < n^{i\delta}\}$ . For any  $1 \le i \le 1/\delta$ , let  $\mathcal{B}_i$  be the set of all nodes v satisfying  $\sum_{u \in C^i: u \sim v} \frac{1}{d(u)} \ge \frac{\delta}{3}$ . We can easily prove the following.

COROLLARY 4.3. There is  $i \leq 1/\delta$ , such that  $\sum_{v \in \mathcal{B}_i} d(v) \geq \frac{\delta}{2} |E|$ .

PROOF. Each element  $v \in \mathcal{A}$  must be a member of at least one of the sets  $\mathcal{B}_i$ , since

$$\sum_{\leq i \leq 1/\delta} \sum_{u \in C^{i} \sim v} \frac{1}{d(u)} = \sum_{u \sim v} \frac{1}{d(u)} \ge \frac{1}{3}$$

1

Therefore, there is at least one set  $\mathcal{B}_i$  that contributes at least a  $\delta$ -fraction of the sum  $\sum_{v \in \mathcal{A}} d(v)$ , i.e.,  $\sum_{v \in \mathcal{B}_i} d(v) \ge \frac{\delta}{2} |E|$ .  $\Box$ 

Henceforth we will fix *i* to be a value satisfying Corollary 4.3, and let  $\mathcal{B} := \mathcal{B}_i$  and  $Q_0 := C^i$ . With this notation, we are now ready to present the outline of our algorithm:

Algorithm 3 MIS algorithm outline
while $ E(G)  > 0$ do
Add all isolated nodes to MIS; remove them from $G$
Compute <i>i</i> , $\mathcal{B}$ and $Q_0$
Select a set $Q' \subseteq Q_0$ that induces a low degree subgraph
Find indep. set $\mathcal{I} \subseteq \mathcal{Q}'$ with $\sum_{v \in \mathcal{N}(\mathcal{I})} d(v) = \Omega( E(G) )$
Add $I$ to MIS; remove $I$ and $N(I)$ from $G$
end while

Notice that since in each round we find an independent set  $\mathcal{I}$  with  $\sum_{v \in N(\mathcal{I})} d(v) = \Omega(|E(G)|)$ , it is easy to see that Algorithm 3 finds an MIS in  $O(\log n)$  rounds. Hence our goal is to find an independent set  $\mathcal{I}$  with  $\sum_{v \in N(\mathcal{I})} d(v) = \Omega(|E(G)|)$  in O(1) MPC rounds.

As one can see, Algorithm 3 is very similar to Algorithm 2, and the major difference is that in Algorithm 3 we sub-sample nodes instead of edges, since we cannot afford to have removed any edges between nodes we are considering for our independent set I.

# 4.2 Deterministically selecting $Q' \subseteq Q_0$

We will show now how to deterministically, in O(1) stages, find a subset Q' of  $Q_0$  that induces a low degree subgraph, as required in our MPC algorithm for MIS. For that, our main goal is to ensure that every node has degree  $O(n^{4\delta})$  in Q' (to guarantee that its 2-hop neighborhood fits a single MPC machine with  $S = O(n^{8\delta})$ ), and that one can then locally find an independent  $\mathcal{I} \subseteq Q'$  that covers a linear number of edges.

We again proceed in i - 4 stages (if  $i \le 4$ , then similarly to Algorithm 2, we will use  $Q' = Q_0$ ), starting with  $Q_0$  and sampling a new set  $Q_j$  ( $Q_j \subseteq Q_{j-1}$ ) in each stage j = 1, 2, ..., i - 4. The *invariant* we will maintain is that, after every stage  $j, 0 \le j \le i - 4$ :

(i) all nodes 
$$v \in Q_j$$
 have  $d_{Q_j}(v) \le (1 + o(1))n^{-j\delta}d(v)$ , and

(ii) all nodes  $v \in \mathcal{B}$  have  $\sum_{u \in Q_j \sim v} \frac{1}{d(u)} \geq \frac{1}{3n^{\delta j}}$ .

It is easy to see that the invariant holds for j = 0 trivially, by definition of  $Q_0$  and  $\mathcal{B}$ . In what follows, we will show how, for a given set  $Q_{j-1}$  satisfying the invariant, to construct in O(1) rounds a new set  $Q_j \subseteq Q_{j-1}$  that satisfies the invariant too.

Distributing edges and nodes among the machines. In order to implement our scheme in the MPC model, we first allocate the nodes and the edges of the graph among the machines.

- Each node v in  $Q_{j-1}$  distributes its adjacent edges to nodes in  $Q_{j-1}$  across a group of machines (*type Q machines*), with at most one machine having fewer than  $n^{4\delta}$  edges and all other machines having exactly  $n^{4\delta}$  edges.
- Each node v in B distributes its adjacent edges to nodes in *Q*<sub>j-1</sub> across a group of machines (*type* B machines), with at most one machine having fewer than n<sup>4δ</sup> edges and all other machines having exactly n<sup>4δ</sup> edges.

Note that nodes may be in both  $Q_{j-1}$  and  $\mathcal{B}$  and need only one group of machines, but for the ease of analysis we treat the groups of machines separately. Similarly to Section 3.2, type Q machines will ensure Invariant (i) and type  $\mathcal{B}$  machines will ensure Invariant (ii).

In order to select  $Q_{j-1} \subseteq Q_j$ , we will first fix a seed specifying a hash function from a  $\mathcal{H}$ . Each hash function h induces a candidate set  $Q_h$  into which node in  $Q_{j-1}$  is "sampled with probability  $n^{-\delta}$ ", by placing v into  $Q_h$  iff  $h(v) \le n^{3-\delta}$ .

*Type Q machines.* Consider a type *Q* machine *x* that gets allocated edges  $V(x) \subseteq Q_{j-1}$  and let  $v_x := |V(x)|$ . For hash function  $h \in \mathcal{H}$ , we say *x* is good if  $|V(x) \cap Q_h| \le v_x n^{-\delta} + n^{0.1\delta} \sqrt{v_x}$ .

Each of the indicator random variables  $\mathbf{1}_{\{v \in Q_h\}}$  is *c*-wise independent, and has expectation  $n^{-\delta}$ . Therefore we can apply to these random variable Lemma 3.3: taking *Z* to be the sum of the indicator variables for V(x) (i.e.,  $Z = |V(x) \cap Q_h|$ ), and choosing a sufficiently large constant *c*, Lemma 3.3 implies that  $\Pr\left[|Z - \mu| \ge n^{0.1\delta}\sqrt{v_x}\right] \le n^{-5}$ . This means that with high probability,  $|V(x) \cap Q_h| \le v_x n^{-\delta} + n^{0.1\delta}\sqrt{v_x}$ , and *x* is good.

*Type*  $\mathcal{B}$  *machines.* Consider a type  $\mathcal{B}$  machine x that gets allocated edges  $V(x) \subseteq Q_{j-1}$ ; let  $v_x := |V(x)|$ . For  $h \in \mathcal{H}$ , we call x good if  $\sum_{v \in V(x) \cap Q_h} \frac{1}{d(v)} \ge n^{-\delta} \sum_{v \in V(x)} \frac{1}{d(v)} - n^{(0.9-i)\delta} \sqrt{v_x}$ .

As before, we will apply Lemma 3.3, setting  $Z_v = \frac{n^{(i-1)\delta}}{d(v)} \mathbf{1}_{\{v \in Q_h\}}$ and  $Z = \sum_{v \in V(x)} Z_v$ . Since  $V(x) \subseteq Q$ , each d(v) is at least  $n^{(i-1)\delta}$ , and so the variables  $Z_v$  take values in [0, 1]. They have expectation  $\mathbf{E}[Z_v] = \frac{n^{(i-2)\delta}}{d(v)}$ , and as before, they are *c*-wise independent. Hence, we can apply Lemma 3.3 with sufficiently large *c* to find that  $\Pr\left[|Z - \mu| \ge n^{0.1\delta}\sqrt{v_x}\right] \le n^{-5}$ . Hence, with high probability,

$$n^{(i-1)\delta} \sum_{v \in V(x) \cap Q_h} \frac{1}{d(v)} \ge n^{(i-2)\delta} \sum_{v \in V(x)} \frac{1}{d(v)} - n^{0.1\delta} \sqrt{v_x} ,$$

and therefore  $\sum_{v \in V(x) \cap Q_h} \frac{1}{d(v)} \ge n^{-\delta} \sum_{v \in V(x)} \frac{1}{d(v)} - n^{(0.9-i)\delta} \sqrt{v_x}$ , so x is good.

Since there are at most  $\frac{2n^2}{S} + 2n \le n^2$  machines, by a union bound the probability that a particular hash function  $h \in \mathcal{H}$  makes all machines good is at least  $1 - n^{-3}$ . The expected number of machines which are not good for a random choice of function is therefore less than 1. So, by the method of conditional expectations (cf. Section 2.4), using objective  $q_x(h) = \mathbf{1}_{x \text{ is good for } h}$ , in a constant number of MPC rounds we can find a hash function  $h \in \mathcal{H}$  which makes all machines good. We then use such hash function h to set  $Q_j = Q_h$ . 4.2.1 Properties of  $Q_j$ : satisfying the invariants. Having fixed a subsampled set of nodes  $Q_j$  for the stage, we need to show that since all machines were good, we satisfy our invariants for the stage.

LEMMA 4.4 (INVARIANT (I)). All nodes  $v \in Q_i$  satisfy

$$d_{Q_j}(v) \le (1+o(1))n^{-j\delta}d(v)$$

LEMMA 4.5 (INVARIANT (II)). All nodes  $v \in \mathcal{B}$  satisfy

$$\sum_{u \in Q_j \sim v} \frac{1}{d(u)} \ge \frac{\delta - o(1)}{3n^{\delta j}}$$

Since our invariants are preserved in every stage, they hold in our final sub-sampled node set  $Q' := Q_{i-4}$ .

# 4.3 Finding an independent set I

After i - 4 stages, we now have a node set  $Q' := Q_{i-4}$  with the following properties (cf. Lemmas 4.4 and 4.5):

(i) all nodes  $v \in Q'$  have  $d_{Q'}(v) \le (1+o(1))n^{(4-i)\delta}d(v) \le 2n^{4\delta}$ ; (ii) all nodes  $v \in \mathcal{B}$  have  $\sum_{u \in Q' \sim v} \frac{1}{d(u)} \ge \frac{\delta - o(1)}{3n^{(i-4)\delta}}$ .

(If  $i \leq 4$ , we instead have that for  $v \in Q'$ ,  $d_{Q'}(v) \leq n^{i\delta}$  and for  $v \in B$ ,  $\sum_{u \in Q' \sim v} \frac{1}{d(u)} \geq \frac{\delta}{3}$  from setting  $Q' = Q_0$ ).

We now show a property analogous to Lemma 3.6 (and hence Lemma 2.1) for the node set Q'.

LEMMA 4.6. For each node  $v \in \mathcal{B}$ , either v has a neighbor  $u \in Q'$ with  $d_{Q'}(u) = 0$  or v satisfies  $\sum_{u \in Q' \sim v} \frac{1}{d_{Q'}(u)} \ge 0.1\delta$ .

Now we are ready to present our deterministic MPC algorithm that for a given subset of nodes Q' satisfying the invariant, in O(1) rounds constructs an independent set  $\mathcal{I} \subseteq Q'$  such that the removal of  $\mathcal{I} \cup N(\mathcal{I})$  removes  $\Omega(\delta|E|)$  edges from the graph.

Each node  $v \in \mathcal{B}$  is assigned a machine  $x_v$  which gathers a set  $N_v$ of up to  $n^{4\delta}$  of v's neighbors in Q' (if v has more than  $n^{4\delta}$  neighbors in Q', then take an arbitrary subset of  $n^{4\delta}$  of them), along with all of their neighborhoods in Q' (i.e.,  $N_{Q'}(N_v)$ ). By Invariant (i), this requires at most  $n^{4\delta} \cdot 2n^{4\delta} = O(n^{8\delta})$  space per machine. Since  $|\mathcal{B}| \leq n$ , this is  $O(n^{1+8\delta})$  total space. We prove that these sets  $N_v$ preserve the desired property:

LEMMA 4.7. Each node  $v \in \mathcal{B}$  either has a neighbor  $u \in Q'$  with  $d_{Q'}(u) = 0$  or satisfies  $\sum_{u \in N_v} \frac{1}{d_{Q'}(u)} \ge 0.1\delta$ .

We now do one further derandomization step to find an independent set. We will fix a seed specifying a hash function from  $\mathcal{H}$ . This hash function h will be used to map each node v in Q' to a value  $z_v \in [n^3]$ . Then, v joins the *candidate independent set*  $I_h$  iff  $z_v < z_u$  for all  $u \sim v$ .

Clearly  $I_h$  is indeed an independent set, but we want to show that removing  $I_h \cup N(I_h)$  from the graph reduces the number of edges by a constant fraction. We will show that in expectation (over a random choice of  $h \in \mathcal{H}$ ) this is indeed the case, and then we can apply the method of conditional expectations (cf. Section 2.4) to conclude the construction.

Each machine  $x_v$  is good for a hash function  $h \in \mathcal{H}$  if it holds a node  $u \in N_v \cap \mathcal{I}_h$ . Since  $x_v$  holds the neighborhoods in Q' of nodes in  $N_v$ , it can determine whether they are members of  $\mathcal{I}_h$ . We show that with constant probability,  $x_v$  is good (for random h).

LEMMA 4.8. For any machine  $x_v$  holding a set  $N_v$  and its neighborhood in Q', with probability at least  $0.01\delta$  (over a choice of a random hash function  $h \in \mathcal{H}$ ) it holds that  $|N_v \cap I_h| \ge 1$ .

For a hash function  $h \in \mathcal{H}$ , we denote  $N_h := \{v \in \mathcal{B} : N_v \cap I_h \neq \emptyset\}$ , i.e., the set of nodes to be removed if the independent set induced by *h* is chosen. By Lemma 4.8 and by the definition of  $\mathcal{B}$  (which ensures  $\sum_{v \in \mathcal{B}} d(v) \ge \frac{\delta}{2} |E|$ ),

$$\mathbf{E}\left[\sum_{v\in N_h} d(v)\right] \ge \sum_{v\in\mathcal{B}} d(v) \cdot \Pr\left[v\in N_h\right] \ge 0.01\delta \sum_{v\in\mathcal{B}} d(v) \ge \frac{\delta^2|E|}{200}$$

By the method of conditional expectations (cf. Section 2.4), using  $q_{x_v}(h) = d(v) \mathbf{1}_{x_v}$  is good for h, we can select a hash function h with  $\sum_{v \in N_h} d(v) \geq \frac{\delta^2}{200} \cdot |E|$ . We then add the independent set  $I := I_h$  to our output, and remove I and N(I) from the graph. In doing so, we remove at least  $\frac{1}{2} \sum_{v \in N_h} d(v) \geq \frac{\delta^2 |E|}{400}$  edges from the graph.

# 4.4 Completing MIS

Now we are ready to complete the proof of Theorem 4.1. Our algorithm returns an MIS in at most  $\log_{\frac{1}{1-\delta^2/400}} |E| = O(\log n)$  stages, each stage of the algorithm, as described above, taking a constant number of rounds in MPC. The space required is dominated by storing the input graph *G* (*O*(*m*) total space) and collecting node neighborhoods when finding an independent set (*O*( $n^{8\delta}$ ) space per machine, *O*( $n^{1+8\delta}$ ) total space). Setting  $\delta = \frac{e}{8}$  allows us to conclude Theorem 4.1 by obtaining that for any constant  $\varepsilon > 0$ , MIS can be found deterministically in MPC in *O*(log *n*) rounds, using *O*( $n^{\varepsilon}$ ) space per machine and *O*( $m + n^{1+\varepsilon}$ ) total space.

# 5 MIS AND MAXIMAL MATCHING IN $O(\log \Delta + \log \log n)$ MPC ROUNDS

Our efforts in Sections 3–4 were on achieving deterministic MIS and maximal matching algorithms running in  $O(\log n)$  MPC rounds; with some additional work we can improve this round complexity for graphs with low maximum degree  $\Delta$ , obtaining deterministic  $O(\log \Delta + \log \log n)$ -round MPC algorithms. In the following, we will present our algorithm for MIS, and then a reduction to apply the result also to maximal matching.

Let us first observe that it is sufficient to consider the case where  $\Delta \leq n^{\delta}$ , as otherwise we can use the  $O(\log n)$  algorithm from Theorem 4.1 to achieve an  $O(\log \Delta)$ -round MPC algorithm. Therefore we no longer need to perform graph sparsification, since we can already fit 2-hop neighborhoods (and, indeed,  $O(\frac{\log n}{\log \Delta})$ -hop neighborhoods) single machines.

We use this observation to perform *round compression* on Luby's algorithm: since we can collect  $O(\frac{\log n}{\log \Delta})$ -hop neighborhoods, machines have all the information they need to perform  $O(\frac{\log n}{\log \Delta})$  steps of Luby's algorithm *at once*, and therefore the  $O(\log n)$  total necessary steps can be performed in only  $O(\log \Delta)$  MPC rounds, with  $O(\log \log n)$  rounds of pre-processing needed to collect the neighborhoods (by graph exponentiation).

<b>Algorithm 4</b> $O(\log \Delta + \log \log n)$ -round MIS algo	thm for $\Delta \leq n^{\delta}$
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Compute a $\Delta^4$ -coloring of $G^2$
Collect $\frac{2\delta \log n}{\log \Lambda}$ -hop neighborhoods in G
<b>for</b> $O(\log \Delta)$ iterations: <b>do</b>
Derandomize $\frac{\delta \log n}{\log \Delta}$ steps of Luby's algorithm
Update $\frac{2\delta \log n}{\log \Delta}$ -hop neighborhoods with removed nodes
end for

Algorithm 4 presents an overview of the procedure; we now go into more detail on its constituent parts.

# 5.1 Coloring and neighborhood collection

For purposes of efficient derandomization, we require hash functions with domain which is  $poly(\Delta)$ , rather than poly(n), in order to have only  $O(\log \Delta)$  seed length. We can therefore no longer use nodes' identifiers as the hash function domain. However, it is well known that Luby's algorithm only requires independence between nodes that are at most 2-hops apart. This allows us to reduce the seed length from  $O(\log n)$  to  $O(\log \Delta)$  by assigning every node a new name with only  $O(\log \Delta)$  bits, such that every pair of nodes with distance two has distinct names; this task is equivalent to vertex coloring in the graph  $G^2$ . For every graph G with maximum degree  $\Delta$ , Linial [36] showed an  $O(\Delta^2)$ -coloring using  $O(\log^* n)$ rounds in the LOCAL model, and Kuhn [32] extended this algorithm and showed that it can implemented also in the CONGEST model within the same number of rounds.

In our context, since we wish to color the graph  $G^2$ , we need to compute a  $O(\Delta^4)$ -coloring  $\chi$  on  $G^2$ . We can do so by dedicating a machine to each node of *G*, collecting that node's 2-hop neighborhood onto the machine, and then simulating Kuhn's CONGEST algorithm on  $G^2$  in a straightforward round-by-round fashion (in MPC, machines can communicate directly with those representing nodes in the 2-hop neighborhood, and the CONGEST communication restrictions imply adherence to the MPC space bounds).

Graph exponentiation for neighborhood collection. In order to collect  $\frac{2\delta \log n}{\log \Delta}$ -hop neighborhoods in  $O(\log \log n)$  rounds, we apply the standard technique of graph exponentiation: each node is assigned a machine, and we iteratively expand the size of the neighborhood of that node collected onto its machine. In round *i*, if all machines contain a  $r_i$ -hop neighborhood for their nodes, then each send this neighborhood knowledge to the machines for all nodes in the neighborhood. So, machines receive the  $r_i$ -hop neighborhood for all nodes within the  $r_i$  hops of their node; i.e., they now know a  $2r_i$  -hop neighborhood. In this way we double the radius of the neighborhood in each MPC round, and so we collect  $\frac{2\delta \log n}{\log \Delta}$ -hop neighborhoods in  $O(\log \log n)$  rounds. Of course, this process is subject to memory constraints, but since maximum degree is  $\Delta$ , the size of the  $\frac{2\delta \log n}{\log \Delta}$ -hop neighborhoods is at most  $\Delta \frac{2\delta \log n}{\log \Delta} = n^{2\delta}$ , and since we choose  $\delta$  to be a constant sufficiently smaller than  $\varepsilon$ , this fits in the space of a machine.

#### **Round-compressed derandomization** 5.2

We can now proceed to the main part of the algorithm: derandomization of  $\frac{\delta \log n}{\log \Delta}$  steps of Luby's algorithm in O(1) MPC rounds. Without loss of generality, we focus on the first group of steps; subsequent groups behave identically.

We will use hash functions from a pairwise independent family  $\mathcal{H}^*$  of functions  $h: [\Delta^4] \to [c\Delta^4]$ , for sufficiently large constant *c* (as before we can assume that we round up domain and range to a power of 2). For a single *step*, each node v will use a hash function h from  $\mathcal{H}^*$  to map its color in the  $O(\Delta^4)$ -coloring  $\gamma$  to a value  $z_v \in [\Delta^4]$ . It then joins the independent set I if its value  $z_v$  is lower than any of its neighbours'. By the same argument as Lemma 4.8 (and the classic derandomization of Luby [38]), we find that under a uniformly random choice of *h*, node *v* joins the MIS with probability  $\Omega(\frac{1}{d(v)})$  (note that the error term incurred by the rounding of hash function range is less than  $\frac{1}{c\Lambda^2}$ , and therefore negligible by choice of sufficiently large *c*).

Then, by Lemma 2.1, the critical property of the analysis of Luby's algorithm, deleting  $I \cup N(I)$  removes a constant fraction of the edges from the graph in expectation.

*Expected value of a sequence of hash functions.* We need to analyze  $\frac{\delta \log n}{\log \Delta}$  steps, i.e., the effect of using sequences of  $\frac{\delta \log n}{\log \Delta}$  independent uniformly random hash functions from  $\mathcal{H}^*$ . Let  $E_i$  denote the number of edges in the graph at the beginning of step *i*. We know from the above that  $\mathbf{E}[E_{i+1}|E_i] \leq pE_i$ , for some p < 1. By independence, therefore,  $\mathbf{E}\left[E_{\frac{\delta \log n}{\log \Delta}}\right] \leq p^{\frac{\delta \log n}{\log \Delta}}E_1$ .

Derandomizing via the method of conditional expectations. We now perform the method of conditional expectations, as defined previously, to allow all machines to agree on a good sequence of hash functions to use for the  $\frac{\delta \log n}{\log \Delta}$  steps. Since we are now using *c*-wise independent hash functions with  $[O(\Delta^4)]$  domain and range, by Lemma 2.4, we need an  $O(\log \Delta)$ -bit seed to specify each. Therefore the *total* seed length for all  $\frac{\delta \log n}{\log \Delta}$  steps is  $O(\log n)$ , and so we can perform the method of conditional expectations in O(1) rounds. We do so to choose a sequence of hash functions such that  $E_{\frac{\delta \log n}{\delta}} \leq \delta \log \frac{1}{\delta}$ 

 $p^{\frac{\delta \log n}{\log \Delta}} E_1$ , i.e. we reduce the number of edges by an  $n^{\Omega(\frac{1}{\log \Delta})}$  factor. Since, for each node v, we have collected the  $\frac{2\delta \log n}{\log \Delta}$ -hop neighborhood of v onto a machine, that machine has all necessary information to immediately determine how many of v's adjacent edges remain after applying any hash function sequence. We therefore have the necessary property that the quality of a hash function sequence (number of edges remaining in the graph after application) is the sum of functions computable locally by machines.

# 5.3 Updating neighborhoods

Once we have performed the method of conditional expectations to simulate  $\frac{\delta \log \hat{n}}{\log \Delta}$  steps of Luby's algorithm and reduce the number of edges in the graph by an  $n^{\Omega(\frac{1}{\log \Delta})}$  factor, the final task is to update the  $\frac{2\delta \log n}{\log \Delta}$ -hop neighborhood held by each machine to reflect the new reduced graph. This can be done simply by having

each node v's machine directly inform those of all nodes in its  $\frac{2\delta \log n}{\log \Delta}$ -hop neighborhood whether *v* remains in the reduced graph. Thereby all machines are updated of their node's  $new \frac{2\delta \log n}{\log \Delta}$ -hop neighborhood (which is a subset of its old one), in one MPC round.

#### Completing MIS and extending to maximal 5.4 matching

We have shown that Algorithm 4, for the case  $\Delta \leq n^{\delta}$ , has the following properties:

- With O(log log n) rounds of precomputation we compute a Δ<sup>4</sup>-coloring of G<sup>2</sup>, and gather <sup>2δ log n</sup>/<sub>log Δ</sub>-hop neighborhoods.
   We then perform iterations which require O(1) rounds each,
- and reduce the number of edges by an  $n^{\Omega(\frac{1}{\log \Delta})}$  factor.

After  $O(\log \Delta)$  iterations, therefore, we reduce the number of edges in the graph to 0, and find a maximal independent set. Ensuring that  $\delta$  is sufficiently smaller than  $\varepsilon$ , we have used  $O(n^{\varepsilon})$  space per machine. We required O(n) machines, so total space is  $O(n^{1+\varepsilon})$ . Combining this algorithm with algorithm 3 for the case  $\Delta > n^{\delta}$ completes the MIS part of Theorem 1.1.

Reducing maximal matching to MIS.. To obtain the same round complexity for maximal matching, we note that in the  $\Delta \leq n^{\delta}$  case we can apply the the standard reduction of performing MIS on the line graph of the input graph. Since we are collecting  $\frac{2\delta \log n}{\log \Delta}$ hop neighborhoods already, we have the necessary information to simulate running on the line graph.

We again use  $n^{O(\delta)}$  space per machine; global space is now  $m \cdot n^{O(\delta)} \le n^{1+\delta} \cdot n^{O(\delta)}$ , which, setting  $\delta$  sufficiently smaller than  $\varepsilon$ , is again  $O(n^{\varepsilon})$  and  $O(n^{1+\varepsilon})$  local and global space respectively. Combining with the bounds of Algorithm 2 completes the maximal matching part of Theorem 1.1.

#### CONCLUSIONS 6

In this paper we study the power of deterministic algorithms in the Massively Parallel Computation (MPC) model, focusing on two fundamental graph problems: maximal matching and maximal independent set. We develop a new deterministic method for graph sparsification and apply it to design the first  $O(\log \Delta + \log \log n)$ -round fully scalable deterministic MPC algorithms for maximal matching and MIS (Theorem 1.1). In combination with previous results, this also gives the first deterministic  $O(\log \Delta)$ -round CONGESTED CLIQUE algorithms for maximal matching and MIS. We expect our method of derandomizing the sampling of a low-degree graph while maintaining good properties will prove useful for derandomizing many more problems in low space or limited bandwidth models (e.g., the CONGEST model).

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