

Mesoscale Modelling of a Masonry Building Subjected to Earthquake Loading

Corrado CHISARI¹, Lorenzo MACORINI², Bassam A. IZZUDDIN³

Abstract

Masonry structures constitute an important part of the built environment and architectural heritage in seismic areas. A large number of these old structures showed inadequate performance and suffered substantial damage under past earthquakes. Realistic numerical models are required for accurate response predictions and for addressing the implementation of effective strengthening solutions. A comprehensive mesoscale modelling strategy explicitly allowing for masonry bond is presented in this paper. It is based upon advanced nonlinear material models for interface elements simulating cracks in mortar joints and brick/block units under cyclic loading. Moreover, domain decomposition and mesh tying techniques are used to enhance computational efficiency in detailed nonlinear simulations. The potential of this approach is shown with reference to a case study of a full-scale unreinforced masonry building previously tested in laboratory under pseudo-dynamic loading. The results obtained confirm that the proposed modelling strategy for brick/block-masonry structures leads to accurate representations of the seismic response of 3D building structures, both at the local and global levels. The numerical-experimental comparisons show that this detailed modelling approach

¹Marie Skłodowska-Curie Individual Fellow, Department of Civil and Environmental Engineering, Imperial College London, United Kingdom. Currently, Assistant professor, Department of Architecture and Industrial Design, University of Campania “Luigi Vanvitelli”, corrado.chisari@unicampania.it

²Senior Lecturer, Department of Civil and Environmental Engineering, Imperial College London, United Kingdom, l.macorini@imperial.ac.uk

³Professor of Computational Structural Mechanics, Department of Civil and Environmental Engineering, Imperial College London, United Kingdom, b.izzuddin@imperial.ac.uk

19 enables remarkably accurate predictions of the actual dynamic characteristics, along with the
20 main resisting mechanisms and crack patterns.

21 **Keywords:** Mesoscale masonry modelling; Zero-thickness interface; Nonlinear dynamic analysis; Mesh
22 tying; Hierarchic partitioning.

23

24 **1 Introduction**

25 The seismic behaviour of unreinforced masonry (URM) structures including buildings and
26 bridges is very complex and characterised by material nonlinearities even at low loading levels.
27 This is due to the heterogeneity of masonry in which two components, namely mortar joints
28 and units, are connected giving rise to a meso-structure of non-negligible size compared to the
29 dimensions of typical masonry wall elements. Furthermore, the individual mechanical
30 properties of mortar and units are characterised by low tensile strength and quasi-brittle
31 behaviour as well as non-rigid and potentially weak adhesion between them. With the aim of
32 obtaining accurate predictions of the mechanical response of masonry members and structures,
33 several numerical strategies for nonlinear analysis have been developed over the last two
34 decades mainly in the context of the finite element method (FEM). These include micro- or
35 mesoscale models (Lourenço & Rots, 1997; Gambarotta & Lagomarsino, 1997; Macorini &
36 Izzuddin, 2011) where the individual masonry constituents are modelled separately, and
37 macroscale models (Lourenço, 1996; Berto, et al., 2002; Pantò, et al., 2016) which represent
38 masonry as a homogeneous material. Interest has also been gained by mixed methods based on
39 homogenisation, where the mechanical behaviour at the macroscale is obtained by the solution
40 of a sub-problem at the microscale (Anthoine, 1995; Massart, et al., 2007; Luciano & Sacco,
41 1997; Addessi & Sacco, 2016).

42 In mesoscale masonry models the contributions of both mortar and brick-mortar interfaces are
43 lumped together and explicitly represented using zero-thickness nonlinear interface elements.
44 This enables the analyst to account also for damage-induced anisotropy achieving realistic
45 predictions of crack propagation within any masonry element (Macorini & Izzuddin, 2011).
46 Similar interface elements with different mechanical properties can also be used to simulate
47 failure in bricks (Lourenço & Rots, 1997; Macorini & Izzuddin, 2011). The advantage of such
48 an approach is that individual component properties can be calibrated by means of simple tests

49 on small scale specimens or more advanced inverse analysis techniques considering the
50 response of a representative part of the analysed structure subjected to specific loading
51 conditions (Sarhosis & Sheng, 2014; Chisari, et al., 2015; Chisari, et al., 2018). Accurate
52 predictions of cracking patterns and global responses can be achieved for both in-plane and out-
53 of-plane loading. However, a high computational cost is typically associated with the fine
54 discretisation needed to represent the masonry bond, thus the application of mesoscale
55 modelling approach has been limited to single walls (Macorini & Izzuddin, 2011) or arches
56 (Zhang, et al., 2016), and only recently to masonry bridges (Tubaldi, et al., 2018), framed
57 structures with masonry infill (Macorini & Izzuddin, 2014) and small masonry buildings
58 (D'Altri, et al., 2019).

59 In recent works, time-history seismic analysis of buildings has been generally performed by
60 means of homogenised isotropic representations of masonry (Betti, et al., 2015; Mendes &
61 Lourenço, 2014; Valente & Milani, 2019), even though the preferred approaches still rely on
62 further simplifications regarding the numerical representation, e.g. macro-models
63 (Lagomarsino, et al., 2013; Kim & White, 2004), or the analysis type, e.g. nonlinear static
64 analysis (Milani & Valente, 2015; D'Ayala & Ansal, 2012; Endo, et al., 2017). Very few papers
65 directly compare experimental results with the numerical outcomes for entire URM buildings
66 subjected to seismic loading (Betti, et al., 2014; Mandirola, et al., 2016; Kallioras, et al., 2019),
67 and none of them makes use of detailed mesoscale descriptions. It is important thus to
68 investigate the effectiveness of this latter approach, even with regard to the possibility of
69 calibrating material parameters with standard experimental tests and the computational
70 feasibility of modelling large number of degrees of freedom.

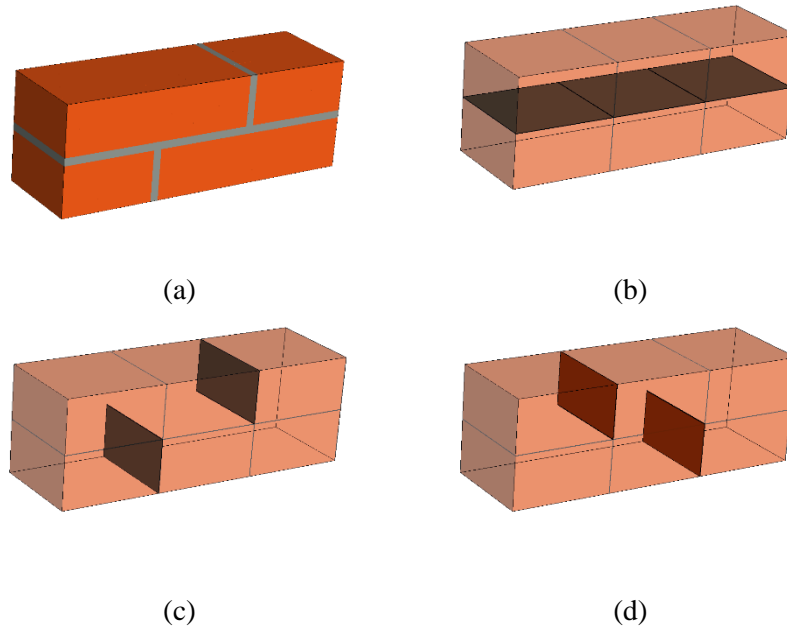
71 In this paper, the mesoscale modelling strategy developed at Imperial College (Macorini &
72 Izzuddin, 2011; Minga, et al., 2018) is used for the first time to investigate the dynamic response
73 of a 3D masonry building structure under earthquake loading. The prediction of the structural

74 response is based on the use of (i) an advanced material model for the cyclic behaviour of the
75 interfaces representing cracks in bricks and in mortar, (ii) parallelisation of nonlinear structural
76 analysis using hierarchic partitioning, and (iii) efficient mesh building and tying technique for
77 non-conforming meshes. The results of a past research project including tests on single
78 components, small assemblage and full-scale buildings under seismic actions are considered.
79 In particular, shear tests on single walls, hammer tests for estimation of modal properties and
80 pseudo-dynamic tests on the whole building prototype are all simulated, providing a strong
81 basis for critical appraisal of the adopted modelling strategy.

82 **2 Mesoscale modelling strategy for URM buildings**

83 The mesoscale modelling approach used in this paper provides an accurate representation of
84 the masonry components allowing for the specific masonry bond. Mortar and unit–mortar
85 interfaces are modelled by 2D 16-noded zero-thickness nonlinear interface elements (Macorini
86 & Izzuddin, 2011). Masonry units are represented by elastic 20-noded solid elements, and
87 possible unit failure in tension and shear is accounted for by means of zero-thickness interface
88 elements placed at the vertical mid-plane of each block (Figure 1). To do so, mortar joints are
89 collapsed into the interfaces, while the solid elements are expanded. The discretisation for the
90 structure, as proposed in (Macorini & Izzuddin, 2011), consists of two solid elements per brick
91 connected by a brick-brick interface.

92



93 Figure 1. Mesoscale modelling of masonry by means of solid elements for units (in transparency) and zero
 94 thickness interfaces: (a) real bond, (b) bed joints, (c) head joints, and (d) brick-brick interfaces.

95 Such requirements for meshing masonry elements lead to three main issues in the modelling of
 96 complex structures:

- 97 – The creation of the mesh for the building, with the accurate representation of the real
 98 masonry bond, may be involved and should ideally be performed in a semi-automatic
 99 way;
- 100 – The computational demand may easily become prohibitive for ordinary computational
 101 resources and hence requires advanced parallelisation of the calculations;
- 102 – Adjacent parts of the structure may entail different discretisation and thus the connection
 103 of them must be addressed. In particular, this is the case of orthogonal wall-wall and
 104 wall-floor connections.

105 In the following subsections, the adopted material model and the modelling strategy developed
 106 to consider these critical issues is described in more detail.

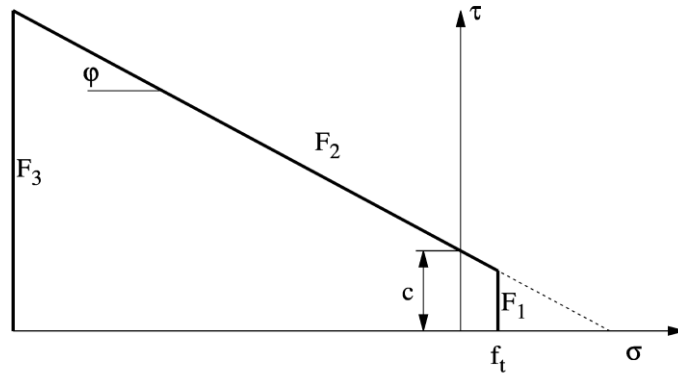
107 **2.1 The material model for interfaces**

108 The material model used for the 16-noded zero-thickness interfaces to simulate the response of
109 both cracks in bricks and mortar joints is based on the coupling of plasticity and damage
110 (Minga, et al., 2018). This approach is capable of simulating all the principal mechanical
111 features of a mortar joint or a dry frictional interface, when mortar is absent, with an efficient
112 formulation that ensures numerical robustness. In particular, it can simulate i) the softening
113 behaviour in tension and shear, ii) the stiffness degradation depending on the level of damage,
114 iii) the recovering of normal stiffness in compression following crack closure and iv) the
115 permanent (plastic) strains at zero stresses when the interface is damaged.

116 In the elastic domain, the stress $\boldsymbol{\sigma}$ and displacement \boldsymbol{u} vectors at the integration points are related
117 by uncoupled stiffnesses:

$$\boldsymbol{\sigma} = \boldsymbol{k}_0 \boldsymbol{u}$$
$$\boldsymbol{\sigma} = \{\tau_x, \tau_y, \sigma\}^T, \quad \boldsymbol{u} = \{u_x, u_y, u_z\}^T, \quad \boldsymbol{k}_0 = \begin{bmatrix} k_V & 0 & 0 \\ 0 & k_V & 0 \\ 0 & 0 & k_N \end{bmatrix} \quad (1)$$

118 The yield criterion is represented in the stress space by a conical surface which simulates the
119 behaviour in shear according to the Coulomb law, corresponding to mode II fracture. This
120 surface, governed by cohesion c and friction angle φ , is capped by two planar surfaces
121 representing failure in tension and compression respectively (Figure 2).



122

123

Figure 2. Multi-surface yield criterion (Minga, et al., 2018).

124

The evolution of the effective stresses is elastic perfectly-plastic, except for the case where the

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plastic surface F_1 , representing failure in tension, is traversed. In this case, a hardening

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behaviour in the effective stress space is utilised. The softening behaviour in tension and

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compression in the nominal stress space is achieved by the introduction of damage. The damage

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of the interfaces is defined by a diagonal damage tensor \mathbf{D} which controls stiffness degradation

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and is governed by the plastic work corresponding to each fracture mode, with three fracture

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energies assumed as material properties. By applying damage to the effective stresses $\tilde{\sigma}$,

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corresponding to the physical stresses developed in the undamaged part of the interface, it is

132

possible to obtain the nominal stresses σ , defined as:

$$\sigma = (\mathbf{I} - \mathbf{D})\tilde{\sigma} = (\mathbf{I} - \mathbf{D})\mathbf{K}(\boldsymbol{\varepsilon} - \boldsymbol{\varepsilon}^p) \quad (2)$$

133

In this way the implicit solution of the plastic problem and the damage evolution are decoupled,

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thus allowing for increased efficiency and robustness at the material level. A parameter μ

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governs the amount of plastic strain upon full unloading in tension, being 0.0 for a full damage

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model (no plastic strain at unloading) and 1.0 for maximum plastic strain consequent to the

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assumption of elastic-perfectly plastic effective stress-strain relationship. Further details about

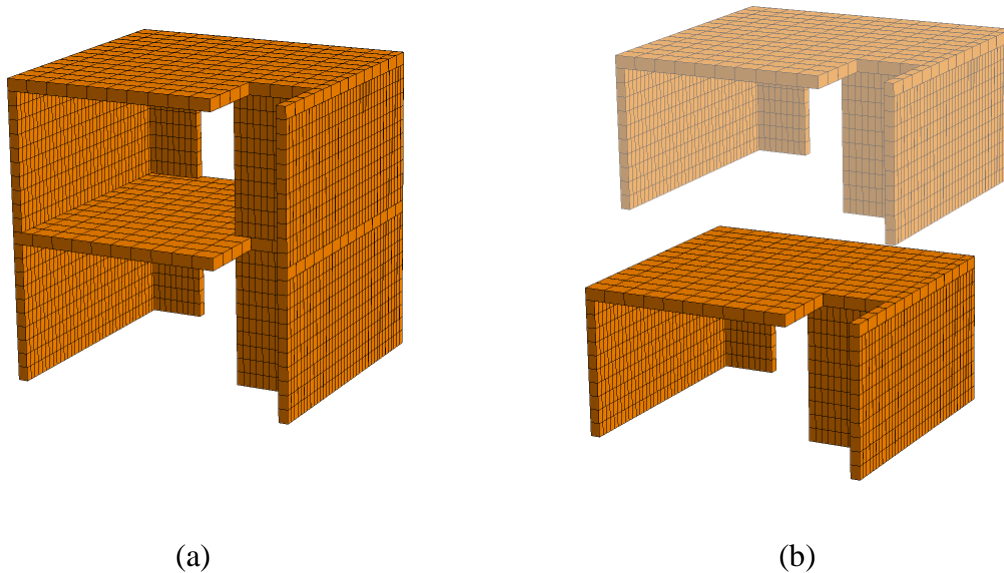
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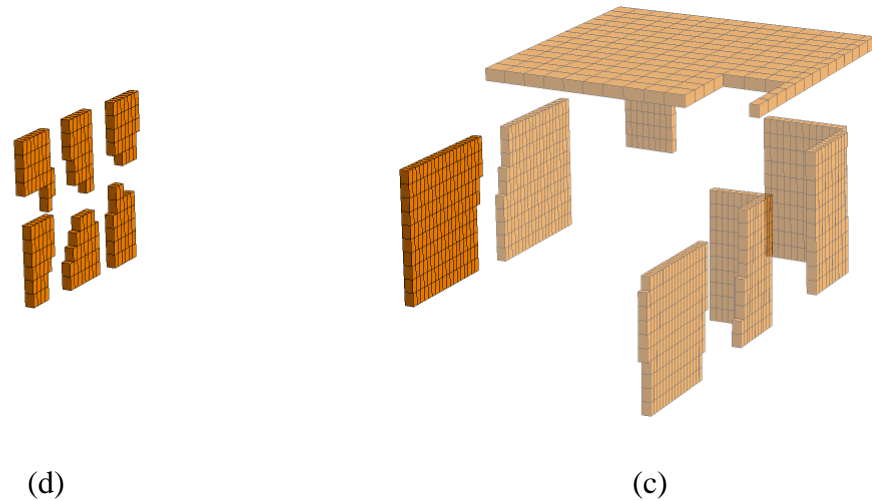
the material model may be found in (Minga, et al., 2018).

139 **2.2 Hierarchic partitioning**

140 The mesoscale approach described above is generally associated with significant computational
141 cost, which may become excessive even for simulations of individual components or small
142 structures (single walls) when using ordinary computational resources. To enable the analysis
143 of large structures (multi-storey/multi-leaf walls or buildings), ADAPTIC (Izzuddin, 1991)
144 utilises a domain decomposition method for nonlinear finite element analysis based on the
145 concept of dual partition super-elements (Jokhio & Izzuddin, 2013; Jokhio & Izzuddin, 2015).
146 In this method, domain decomposition is realised by replacing one or more subdomains in a
147 “parent system” with a placeholder super-element, where the subdomains are processed
148 separately as “child partitions”, each wrapped by a dual super-element along the partition
149 boundary. The analysis of the overall system, including the satisfaction of equilibrium and
150 compatibility at all partition boundaries, is achieved through direct communication between all
151 pairs of placeholder and dual super-elements.

152





153 Figure 3. Hierarchic partitioning of the building described in Section 3.2, in clockwise direction from the top
 154 left: (a) Level 0, (b) level 1, (c) level 2, and (d) level 3.

155 This approach allows for efficient parallelisation of the computational burden. However, it is
 156 easy to recognise that while for a small number of partitions the number of degrees of freedom
 157 (DOFs) of the children is larger than that of the parent, a greater number of child partitions
 158 increases the number of DOFs in the parent partition, which can ultimately represent the
 159 bottleneck of the analysis computing time.

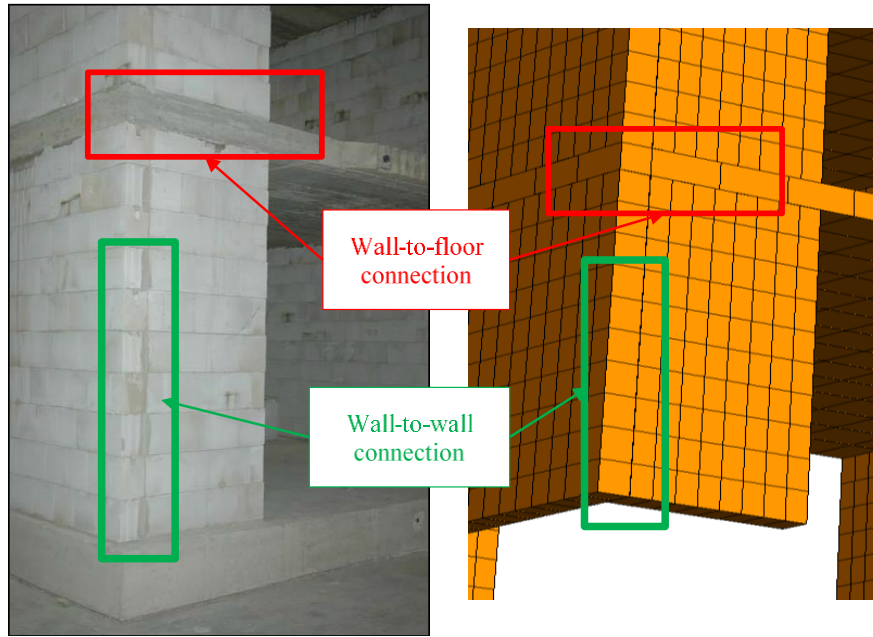
160 This potential drawback of the original flat partitioning approach was discussed in (Macorini
 161 & Izzuddin, 2013) with reference to mesoscale partitioned simulations of large masonry
 162 components. It was confirmed that an excessive number of partitions implies greater computing
 163 demand at the parent structure level and high overheads relating to data communication, and
 164 that the most efficient subdivision with partitions can be achieved using partitions of the same
 165 or similar size (e.g. same number of nodes) and close to the size of the parent structure.

166 A further enhancement of the adopted domain decomposition strategy was developed in
 167 (Jokhio, 2012) introducing hierarchic partitioning, where several levels of partitioning are
 168 allowed. It was shown in (Macorini & Izzuddin, 2013) that for a masonry mesoscale model
 169 made of hundreds of thousand DOFs, such enhanced partitioning strategy leads to a speed-up
 170 up to ten times greater than flat partitioning while using the same number of processors. This

171 approach is employed herein choosing “basic” child partitions for the structure, created from
172 the global model by applying Metis partitioner (Karypis, 2015) implemented in the pre-
173 processor Gmsh (Geuzaine & Remacle, 2009). These are then connected to a number of parent
174 structures, such that each does not exceed the number of DOFs of the child partitions.
175 Eventually, the parent structures can become child partitions for a subsequent level of
176 partitioning. The process goes on until the Level-0 parent structure has a number of DOFs
177 comparable with that of any subdomains. The partitioning strategy is illustrated in Figure 3.

178 **2.3 Mesh tying method**

179 The mesh tying method allows for the connection of two structural components modelled
180 independently with non-conforming meshes. Whereas this is a problem of large practical
181 interest in mesoscale modelling of masonry structures (for instance when modelling the
182 interface between backfill and masonry elements in bridges (Tubaldi, et al., 2018)), in this work
183 it was deemed necessary for the connection of orthogonal walls and walls to floor, where an
184 actual discontinuity was present for construction reasons (Figure 4).



185

186

Figure 4. Non-conforming mesh connection.

187 The mesh-tying implemented in the nonlinear finite element analysis program ADAPTIC
188 (Izzuddin, 1991) is based on a two-field formulation and the principle of mortar method
189 constraint discretisation (Minga, et al., 2018). From a practical point of view, the two surfaces
190 in contact are respectively defined as master and slave, where generally the master surface is
191 characterised by larger size mesh and can be externally constrained, for instance by means of
192 kinematic restraints or partition boundary enforcement. Given the master-slave surface
193 definition, in a pre-processing phase a set of master elements is associated to each slave
194 element, where such association is made if their areas overlap. To avoid over-constraining, only
195 nodes belonging to the master surface can be externally constrained.

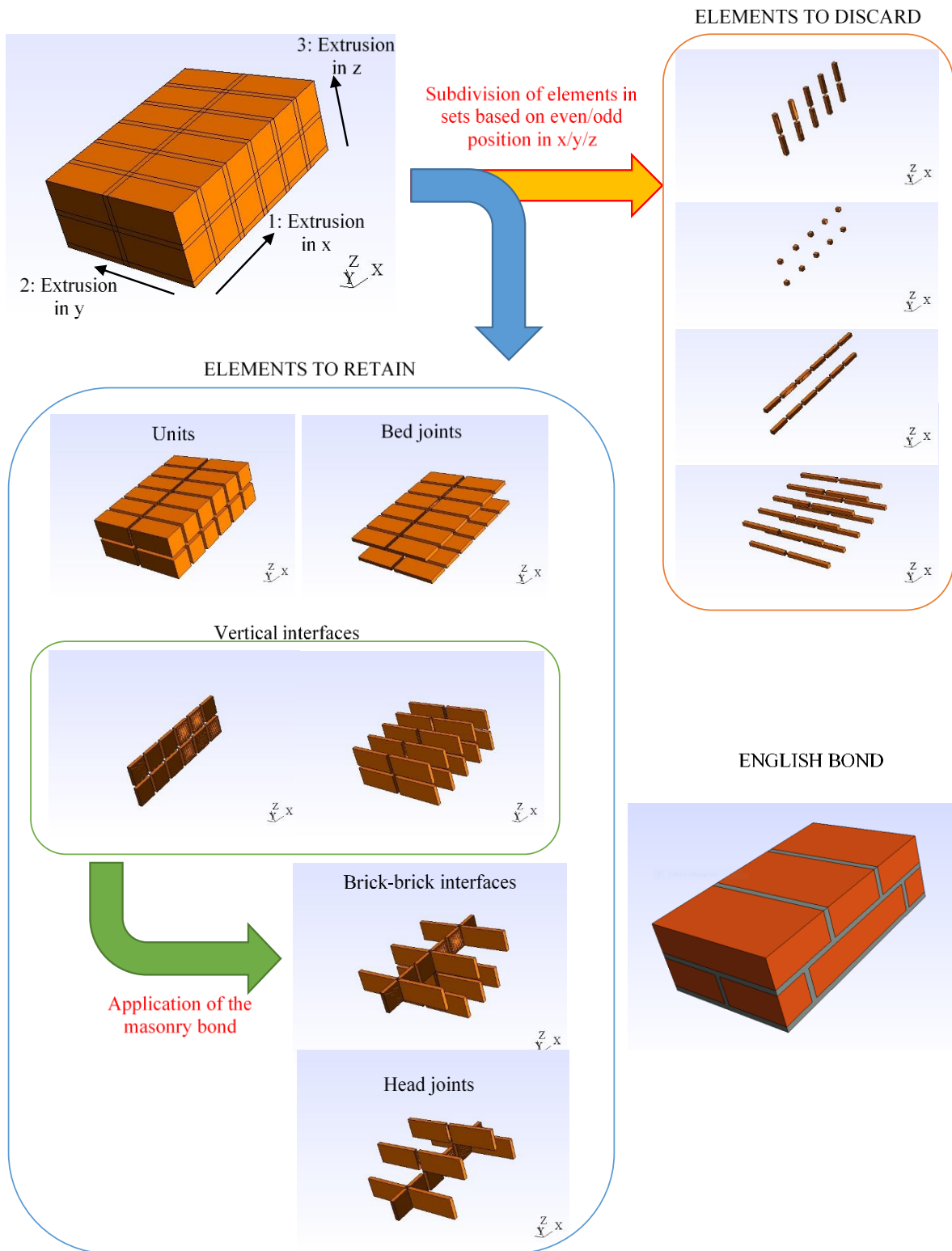
196 In order to consider possible failure for the connected surface, nonlinear interfaces, referred
197 later to as wall-wall and wall-floor interfaces, were introduced in series with mesh tying.

198 **2.4 Mesh creation**

199 Considering all the features of the approach described above, the developed procedure for mesh
200 creation aimed at the analysis of a realistic URM structure (i) enables the definition of the
201 masonry bond and creation of the corresponding model in a semi-automatic way; (ii) includes
202 the possibility of defining partitions, possibly hierarchically; and (iii) allows the connection of
203 substructures with non-conforming meshes by means of mesh-tying.

204 Gmsh (Geuzaine & Remacle, 2009) was used as pre-processor for the creation of the basic
205 mesh, thanks to its scripting capabilities and partitioning features. The process to create a
206 masonry wall is illustrated in Figure 5 with reference to a typical English bond. Every planar
207 masonry element is generated by means of triple extrusion (in x- y- and z direction), according
208 to the patterns defined by the unit and joint dimensions. The elements generated in this way can
209 be grouped according to their occurrence in the extrusion: odd/even in x direction, odd/even in
210 y direction, odd/even in z direction, with a total eight groups. Some of the groups are to be
211 discarded because, as intersection of extrusion directions, they must not be represented in the
212 mesoscale approach (Figure 5). The retained elements will represent either units, bed joints or
213 vertical interfaces. The application of the relevant masonry bond will consist of further
214 subdividing this latter group in head joints and brick-brick interfaces.

215 This concept allows for the easy creation of walls with arbitrary dimensions and any kind of
216 masonry bond within Gmsh. By re-writing the routine related to the triple extrusion, curved
217 shapes can also be built (arches, vaults).



218

219

Figure 5. Automatic creation of mesoscale mesh for URM: application to an English bond.

220

Several partitioning algorithms, within Metis (Karypis & Kumar, 1998), or Chaco

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(Hendrickson & Leland, 1995) partitioners, are included in Gmsh, allowing for automatic

222 subdivision of the domain in smaller partitions. An ad-hoc converter has been created to link
223 the created mesh to ADAPTIC, performing the following operations:

- 224 – Including several mesh files (related for instance to different walls or floors) into a
225 single model, by either detecting coincident nodes or applying correctly mesh-tying
226 constraints as described in Section 2.3. The creation of independent walls or floors
227 entails a simple and scalable generation of complex buildings;
- 228 – Collapsing the solid elements corresponding to bed joints and vertical interfaces (Figure
229 5) into zero-thickness interface elements, not present in Gmsh;
- 230 – Creating hierarchical partitioning graph from the basic partitions. This is shown in
231 Figure 3;
- 232 – Writing the files in the correct format for ADAPTIC.

233 This process has been applied for the simulations described in the following sections.

234 **3 Experimental tests**

235 The experimental tests considered in this work were previously carried out within the FP6
236 European project “ESECMaSE - *Enhanced Safety and Efficient Construction of Masonry*
237 *Structures in Europe*”. The main aim of the ESECMaSE project was to develop a better
238 understanding of the stress states in typical masonry structures by means of extensive testing
239 activities. This has allowed the creation of a remarkable database of consistent results that has
240 been considered in the present work to evaluate the ability of mesoscale modelling approach to
241 accurately predict structural response at different scales. Herein, only masonry made of calcium
242 silicate units is considered. The tests performed on this type of masonry were:

- 243 - Material tests on single constituents;
- 244 - Static shear tests on walls with different size ratios, boundary conditions and
245 compression levels;

- 246 - Hammer tests on a building prototype for the estimation of the fundamental frequencies;
- 247 - Pseudo-dynamic tests on the building prototype.

248 The key results are briefly reported in the following subsections.

249 **3.1 Materials**

250 All walls were made of 250mm×175mm×250mm calcium silicate units of type 6DF optimised
 251 for the project. The units were assembled with thin mortar bed joints, while the head joints
 252 remained unfilled, with the out-of-plane connection being ensured by the matching vertical
 253 grooves. Compression and tensile tests on units and small assemblage were performed,
 254 providing the mechanical properties listed in Table 1.

255 Table 1. Mechanical properties of units and joints.

Material	Property	Value
Unit	Young's modulus	13620 MPa
	Poisson's ratio	0.253
	Compressive strength	23.6 MPa
	Tensile strength	1.49 MPa
Joint	Young's modulus	2849 MPa
	Poisson's ratio	0.028
	Tangent of friction angle	0.55
	Cohesion	0.28 MPa



256

257 **3.1.1 Static tests on walls**

258 In-plane cyclic testing on masonry piers was performed at the University of Pavia (Magenes, et
 259 al., 2008). An appropriate experimental setup was designed to simulate double fixed or
 260 cantilever boundary conditions while applying constant vertical loads and displacement-
 261 controlled horizontal cyclic loads with increasing displacement amplitude at the top of the
 262 walls. Four representative tests have been considered for the numerical simulations and their
 263 characteristics are reported in Table 2.

Label	Dimensions [mm ³]	Vertical load [MPa]	Boundary conditions	Maximum horizontal load [kN]
CS02	1250×175×2500	1.0	Double fixed	87.56
CS03	1250×175×2500	0.5	Double fixed	49.25
CS06	1250×175×2500	1.0	Cantilever	43.57
CS07	2500×175×2500	1.0	Double fixed	226.08

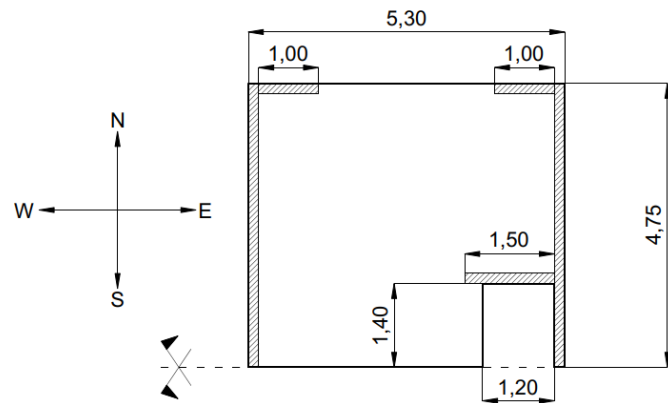
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266 3.2 Tests on the building prototype

267 With the aim of verifying the earthquake performance of a 2-storey terraced house with a rigid
 268 base and two RC floor slabs, seismic testing of a full-scale prototype was performed at the
 269 ELSA Reaction-wall Laboratory of the JRC, using pseudo-dynamic testing techniques
 270 (Anthoine & Capéran, 2008). The specimen, with global dimensions of 5.30m×4.75m and a
 271 height of 5.40m, represented one symmetric half of a house with a width of 5.30 m (Figure 6).
 272 The concrete slabs were poured directly on the units at the levels of the two floors without any
 273 mortar joint. Each shear wall was connected to the perpendicular long walls through a
 274 continuous vertical mortar joint with masonry connectors (i.e. metal strips) inserted in the
 275 mortar bed joints.



(a)



(b)

276 Figure 6. Pseudo-dynamic test: (a) view of the structure (courtesy of Dr Armelle Anthoine), and (b) plan view.

277 The pseudo-dynamic tests were carried out under the vertical loading conditions used in the
 278 seismic design, that is, according to Eurocode 8, under the dead loads and 30% of the live loads.
 279 A distribution of water tanks on each floor was designed to account for the required dead and
 280 live loads and the specific testing set-up. The tanks were distributed so that the gravity loads on
 281 the masonry walls were the closest to the values expected in the original terraced house.
 282 Globally, the added masses summed up to 4521kg at the first floor and 7391kg at the second
 283 floor.
 284 Before performing the pseudo-dynamic tests, a preliminary hammer test was carried out to
 285 identify modes, frequencies and damping. In this case, not all the masses used later for the
 286 pseudo-dynamic tests were in place, but only the additional masses inherent to the testing set-
 287 up, which consisted of 1603kg at the first floor and 1303kg at the second floor. The modal
 288 characteristics determined in the test are reported in Table 3. Later, the fundamental frequency
 289 in the E-W direction was further estimated as 6.3Hz by using measurements obtained during
 290 the first pseudo-dynamic test, thus still in undamaged conditions (Michel, et al., 2011).

291 Table 3. Modal characteristics of the structure.

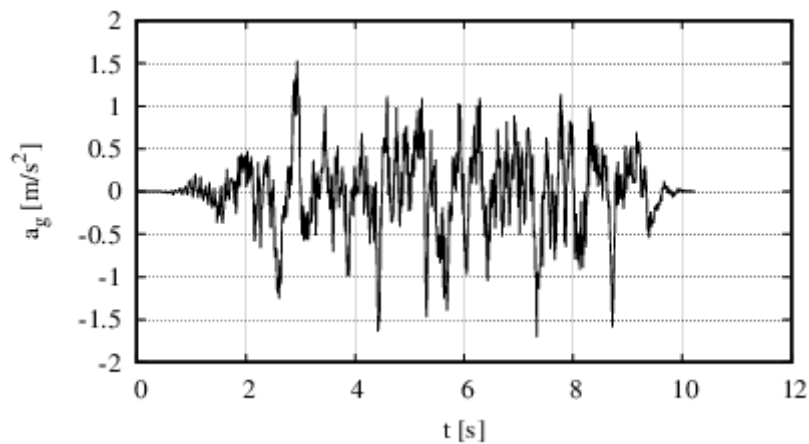
Vibration mode	Frequency [Hz]	Damping [%]
E-W translation	8.03	0.85
N-S translation	16.63	1.35
Bending of the 2nd floor	19.02	1.00
Bending of the 1st floor	21.33	1.32
Torsion	21.46	1.36
Bending of the free wall	24.11	0.96

292
 293 The pseudo-dynamic tests were unidirectional in the E-W direction (Figure 6b, in the following
 294 also referred to as longitudinal direction) and thus, in the pseudo-dynamic algorithm, the tested
 295 structure had two degrees of freedom only, one translation at each floor level. The movement
 296 of each floor slab was controlled by a pair of hydraulic actuators fixed on both sides and
 297 imposing the same horizontal displacement to prevent any rotation around a vertical axis. The
 298 test specimen being not symmetric, the forces required to reach a given displacement at a floor

299 level differed in the two actuators but only their sum was necessary for the pseudo-dynamic
300 algorithm. The mass matrix for the pseudo-dynamic test was selected as a 2×2 diagonal matrix
301 with $m_1=29t$ for the first floor and $m_2=26.2t$ for the second floor. No viscous damping was
302 introduced in the pseudo-dynamic algorithm.

303 The reference accelerogram was a 10.23s long artificial time history generated to match the
304 EUROCODE 8 (EN 1998-1-1, 2005) design spectrum with elastic response spectrum type I,
305 peak ground acceleration $PGA=0.04g$ and soil type B. A series of scaled ground motions with
306 increasing intensity (0.02g, 0.04g, 0.06g, etc. until 0.20g) was applied to the specimen. The first
307 significant damages were reported to appear during the 0.12g test, and thus this has been used
308 as reference for the simulations described in this work. The accelerogram of this test is shown
309 in Figure 7.

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311

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Figure 7. 0.12g scaled accelerogram for the pseudo-dynamic test.

313 Detailed description of the experimental setup and outcomes may be found in (Anthoine &
314 Capéran, 2008). The main observations during the 0.12g test will be described in Section 4
315 along with the numerical results to enable a comparison between them.

316 **4 Simulations and results**

317 **4.1 Material properties**

318 The material properties for the simulations were defined based on the outcomes of ESECMaSE
319 project and are listed in Table 4. Brick Young's modulus and Poisson's ratio were directly
320 obtained by tests on single bricks. Normal elastic stiffness of bed joint interfaces was evaluated
321 considering the deformability of masonry as provided by the ESECMaSE experimental reports
322 and assuming that units and mortar worked as springs in series:

$$k_N = \left[h_b \left(\frac{1}{E_m} - \frac{1}{E_b} \right) \right]^{-1} \quad (3)$$

323 where h_b is the brick height, E_m and E_b the measured Young's moduli of masonry and bricks
324 respectively. Shear stiffness was estimated based on approximate formula (rigorously only
325 valid for Young's modulus of solids) $k_V = k_N/[2(1 + \nu_j)]$ with ν_j Poisson's ratio of joints.

326 Bed joint tensile strength, cohesion, friction angle and brick tensile strength were determined
327 by material tests performed during ESECMaSE project. The compressive strength of masonry,
328 which is associated with a complex response characterised by triaxial stress states in masonry
329 units and mortar joints, cannot be explicitly predicted by the proposed mesoscale
330 representations using standard interface elements which do not allow for Poisson's effects. Thus
331 it was considered here from a phenomenological point of view as compressive strength of all
332 the interfaces (Macorini & Izzuddin, 2011). Lacking any experimental data, the damage
333 parameter, brick-brick cohesion and friction angle, and all fracture energies were assigned
334 values taken from the literature (Minga, et al., 2018; Chisari, et al., 2018). In particular, values
335 for mortar joint fracture energy are consistent with experimental findings (CUR, 1994). It must
336 be pointed out that fracture energy in shear is strongly dependent on the type of masonry and
337 compression level (Chaimoon & Attard, 2005; Ravula & Subramaniam, 2019; Pluijm, et al.,
338 2000). The value 0.2 N/mm adopted here is within the bounds highlighted by those authors.

339 Compressive fracture energy (for both brick and bed joint interfaces) was estimated as
 340 dependent from the compressive strength according to the relationship $G_{f,c} = 15 + 0.43f_c -$
 341 $0.0036f_c^2$ as suggested in (Lourenço, 2009). In addition, unfilled head joints were considered
 342 having pure friction contact behaviour with same friction coefficient as bed joints, while the
 343 wall-wall and the wall-floor connections (for the tests on the building) had the same properties
 344 as brick-brick and bed joint interfaces, respectively. The compression strength of the brick-
 345 brick interface represents failure of units, while the compression strength of the bed joint
 346 interface represents failure of masonry for loading in the vertical direction.

347 Table 4. Material properties used in the simulations. Source label is: E=experimental, L=literature.

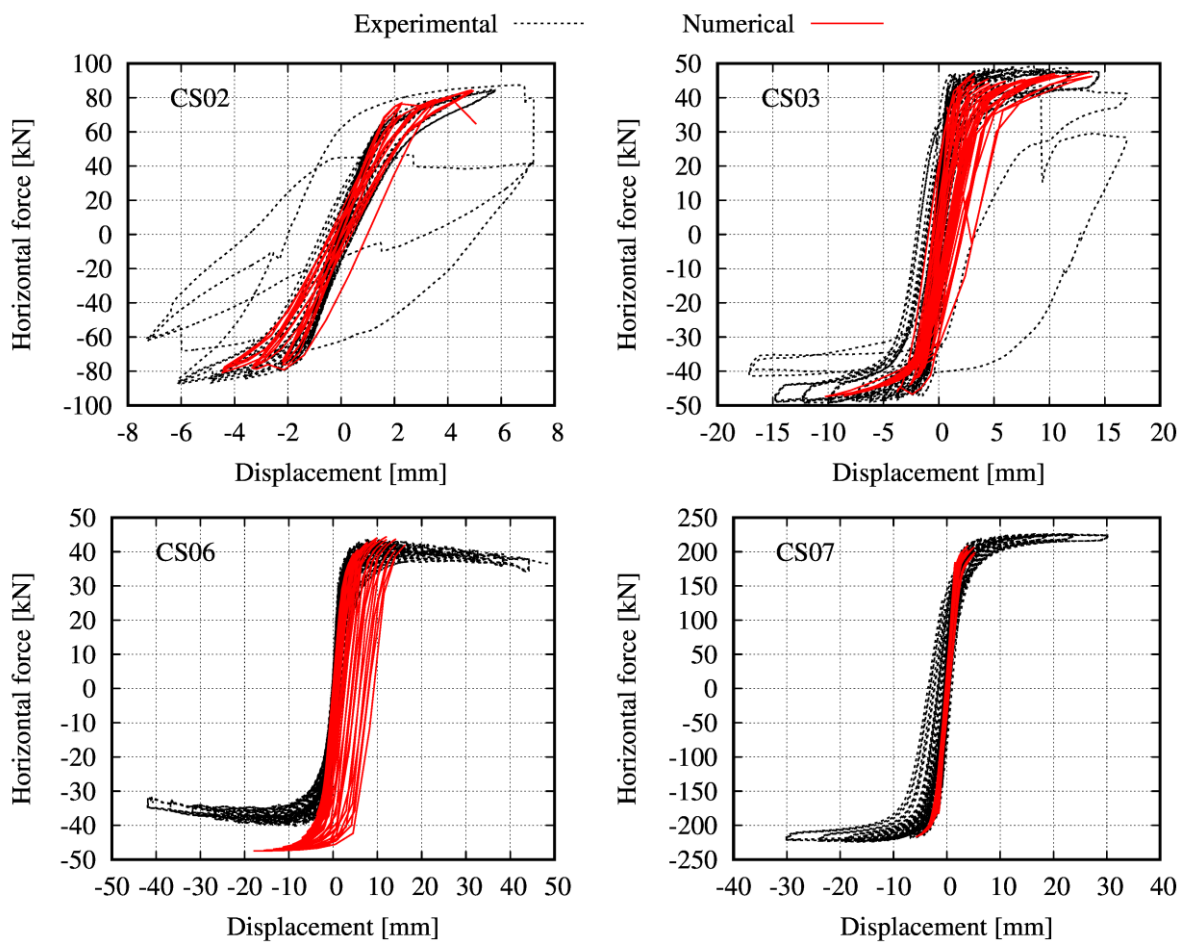
Parameter	Value	Source	Parameter	Value	Source
Brick Young's modulus	13620 MPa	E			
Brick Poisson's ratio	0.253	E			
Concrete Young modulus	30000 MPa	L			
Concrete Poisson's ratio	0.15	L			
Bed joint axial stiffness	68.0	E	Brick-brick axial stiffness	10^4	L
	N/mm ³			N/mm ³	
Bed joint shear stiffness	33.1	E	Brick-brick shear stiffness	10^4	L
	N/mm ³			N/mm ³	
Bed joint tensile strength	0.35 MPa	E	Brick-brick tensile strength	1.49 MPa	E
Bed joint cohesion	0.28 MPa	E	Brick-brick cohesion	2.235 MPa	E
Bed joint friction angle	atan(0.55)	E	Brick-brick friction angle	atan(1.0)	L
Bed joint fracture energy (mode I)	0.01 N/mm	L	Brick-brick fracture energy (mode I)	0.1 N/mm	L
Bed joint fracture energy (mode II)	0.2 N/mm	L	Brick-brick fracture energy (mode II)	0.5 N/mm	L
Bed joint fracture energy (compression)	23.1 N/mm	L	Brick-brick fracture energy (compression)	23.9 N/mm	L
Bed joint damage parameter	0.1	L	Brick-brick damage parameter	0.1	L
Bed joint compressive strength	23.6 MPa	E	Brick-brick compressive strength	26.5 MPa	E

348

349 4.2 Tests on walls

350 The four specimens described in Table 2 were modelled according to the mesoscale strategy
 351 described above. A stiff element was also applied on the top of the walls to transfer the vertical

352 load. The element was constrained to remain horizontal for specimens CS02, CS03, CS07 in
 353 order to simulate the double-fixed experimental setup. Dynamic analyses were performed to
 354 simulate the quasi-static cyclic tests because the addition of inertia forces (corresponding to the
 355 actual masses of the walls) in the simulation facilitates the attainment of convergence. A
 356 comparison between experimental and computed force-displacement plots at the top of the
 357 walls is shown in Figure 8.



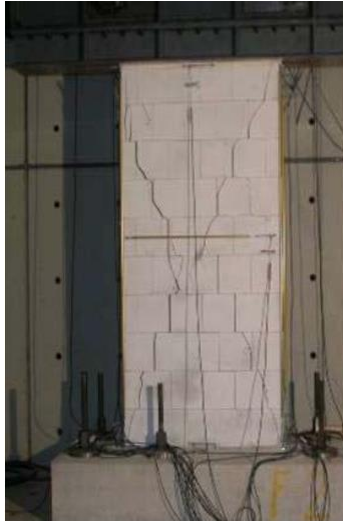
358

359

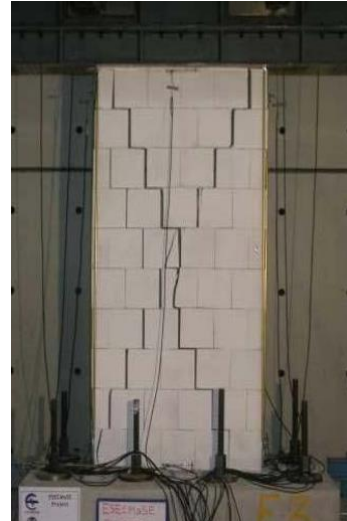
Figure 8. Experimental-computed force-displacement plots for the shear tests on walls.

360 Taking CS02 as reference, it is possible to see that halving the vertical load (CS03) or removing
 361 the constraint on the top (CS06) have similar effect of halving the maximum horizontal strength
 362 of the specimen. Doubling the width of the panel (CS07) entails a 158% increase in strength.

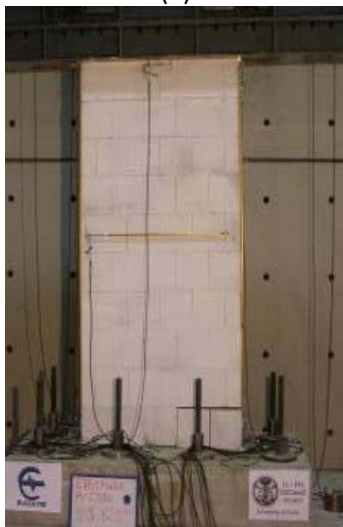
363 Concerning the hysteretic energy dissipation capacity, all specimens were characterised by
364 symmetric S shape of the plot, no strength degradation and low levels of dissipation. These are
365 indications of rocking behaviour of the specimens. Generally, the computed response is close
366 to the experimental tests, in terms of stiffness, strength and hysteretic behaviour. In the case of
367 specimen CS06, the numerical model suffered from a loss of symmetry and started moving
368 towards one side as an effect of cumulated shear plastic strain. This affected the symmetry of
369 the envelope shown in Figure 8. The simulation of tests CS06 and CS07 could not reach the
370 maximum displacements due to convergence problems. The final crack patterns for
371 experimental and numerical tests are shown in Figure 9 and Figure 10 respectively.



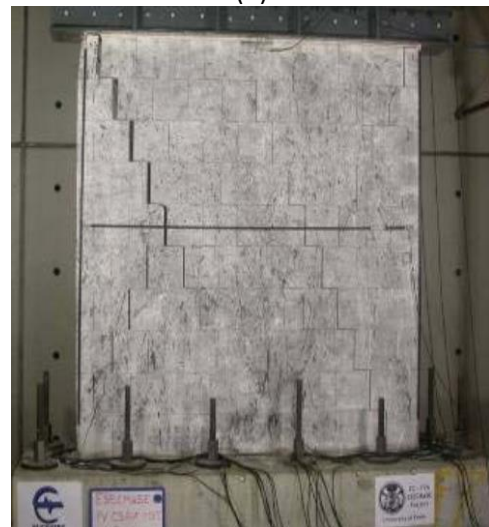
(a)



(b)



(c)



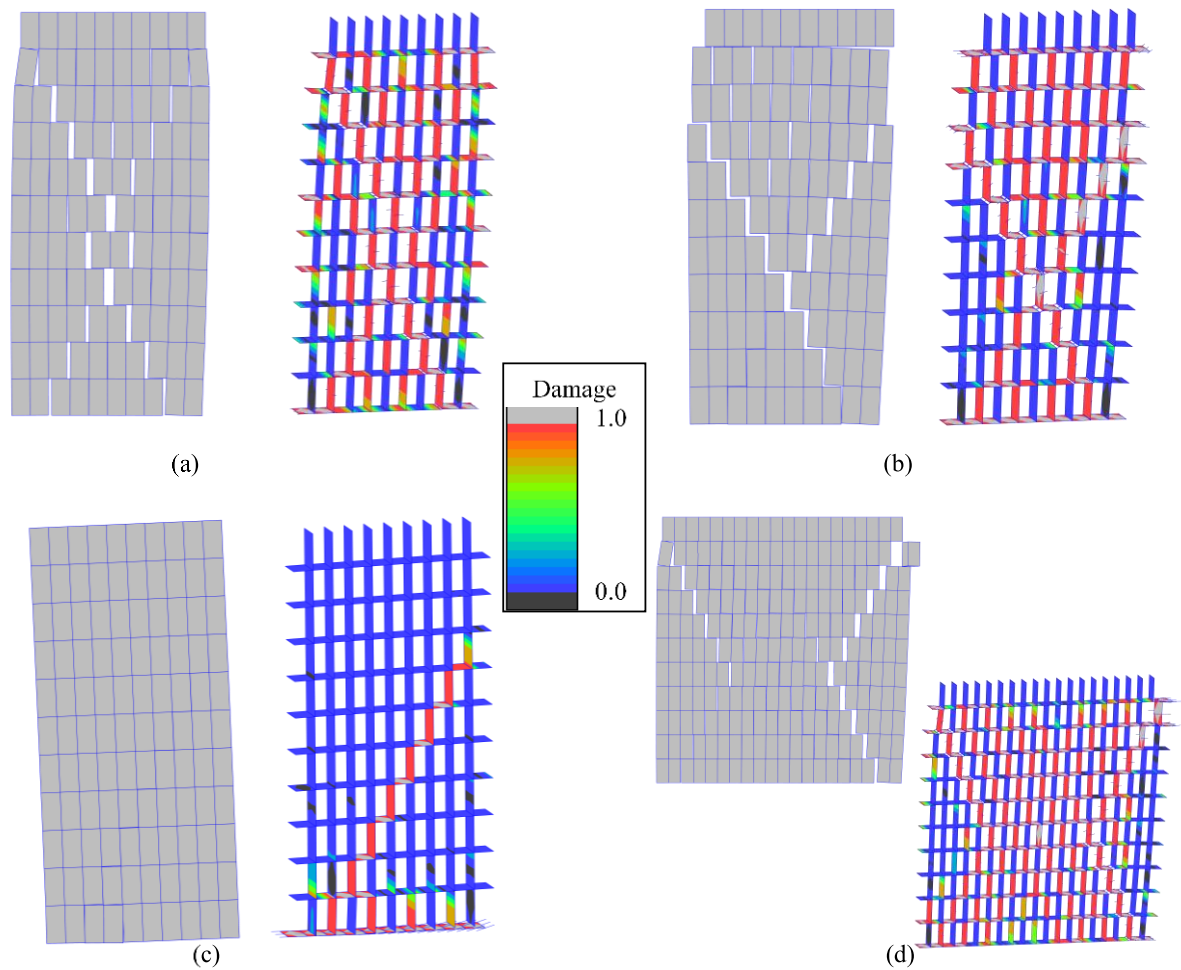
(d)

372

Figure 9. Experimental crack patterns for the walls subjected to shear test: (a) CS02, (b) CS03, (c) CS06, (d)

373

CS07 (from (Magenes, et al., 2008)).



374

375 Figure 10. Numerical crack patterns and damage contours in the interfaces for the walls subjected to shear test:

376

(a) CS02, (b) CS03, (c) CS06, (d) CS07.

377 The results show generally good agreement in terms of cracking pattern for all the specimens.

378 In the case of the CS03 wall, the diagonal crack starts from below the second brick row from

379 top, while experimentally the major crack was observed starting from the top. Clearly, there the

380 response can be strongly influenced by the local conditions of the interface between loading

381 beam and the wall, which in the simulation has been modelled with the same interface type as

382 the ordinary bed joints. In the numerical simulation, specimen CS06, whose boundary

383 conditions were those of cantilever beam, experienced complete loss of bond at the bottom

384 interface due to tensile failure. This led to accumulation of shear plastic strain at the bottom

385 which forced the specimen to move spuriously on one side. This spurious asymmetry reflected

386 to the final damage pattern in the interfaces (Figure 10c) where an asymmetrical diagonal crack
 387 developed. Given that this behaviour occurred when the cantilever panel had completely lost
 388 strength to horizontal actions, this should not be a concern in general cases where such state is
 389 unlikely to emerge.

390 **4.3 Parametric analysis**

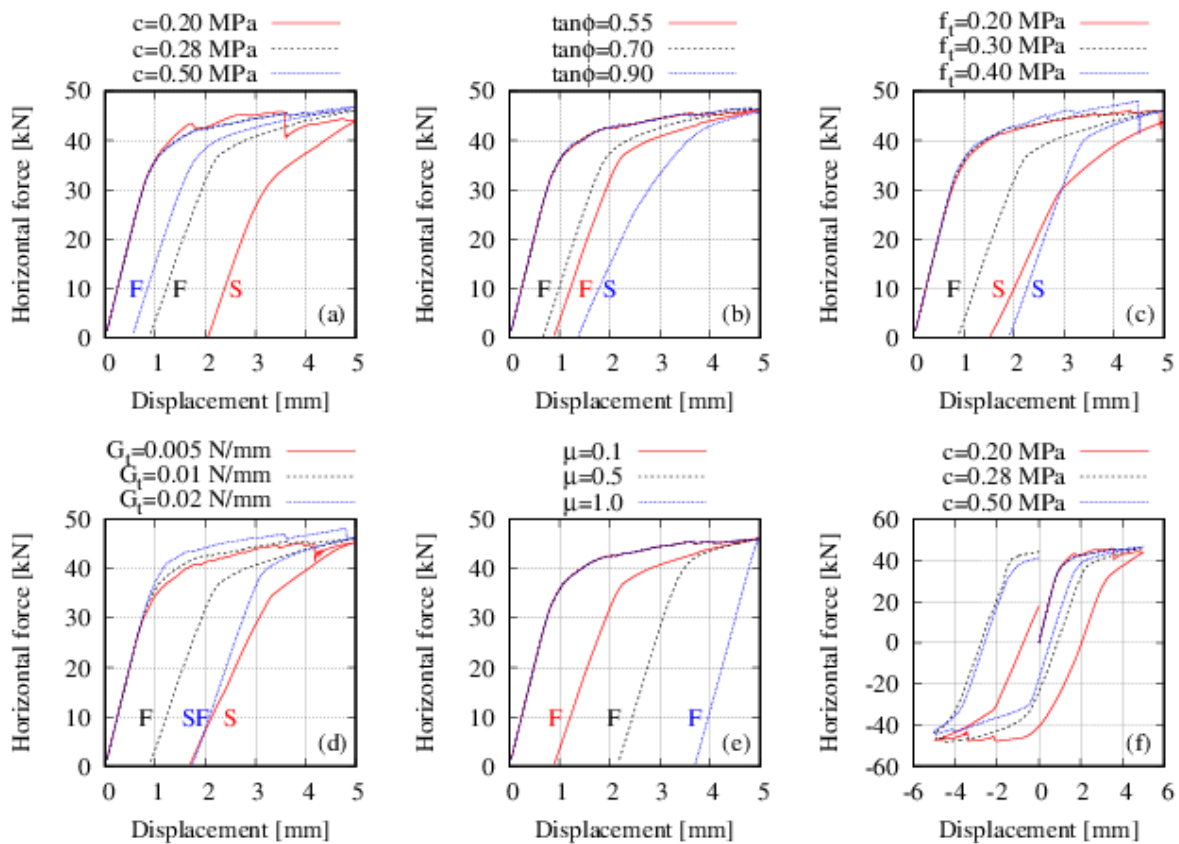
391 With the aim of exploring the effects of the most relevant material parameters, a parametric
 392 analysis was performed on specimen CS02. A simplified loading history characterised by a
 393 single half-cycle loading with maximum displacement equal to 6mm was applied to the
 394 specimen. Keeping the model used for the simulation of the experimental tests as reference, the
 395 most relevant nonlinear material parameters were varied within a realistic range (Table 5).

396 Table 5. Variation ranges used for the parametric analysis.

Parameter	Symbol	Range
Bed joint cohesion	c	0.2-0.5 MPa
Bed joint friction coefficient	$\tan\phi$	0.55-0.9
Bed joint tensile strength	f_t	0.2-0.4 MPa
Bed joint fracture energy (mode I)	G_t	0.005-0.02 N/mm
Bed joint damage parameter	μ	0.1-1.0

397
 398 The results in terms of force-displacement curves are displayed in Figure 11a-e. From the plots,
 399 it is clear that the variation of the parameters only slightly affects the monotonic behaviour;
 400 conversely the unloading path, and thus energy dissipation, unloading stiffness and residual
 401 displacement at unloading, are strongly dependent upon them. Three main failure modes were
 402 observed for the structure: flexural (F), with opening of horizontal cracks at the top and bottom
 403 interfaces; shear (S), with opening of diagonal cracks following the mortar joints; and a mixed
 404 mode (SF). The actual failure mode is indicated in the plots with the corresponding label. Shear
 405 failure modes are generally characterised by higher energy dissipation, while flexural modes
 406 show a reduced dissipative behaviour which is characteristic of rocking motions. The only
 407 notable exception is the variation of μ (plot e), governing the amount of residual plastic strain

408 in the material model, which allows for increased energy dissipation by maintaining a flexural
 409 failure mode. It is possible to appreciate that, except for the variation due to c (plot a) and to μ
 410 (plot e), there is no monotonic trend in the dissipation characteristics (energy and residual
 411 displacement). This can be explained by the observation that in all cases, except for plot (e), a
 412 variation in the parameter value also leads to a variation in failure mode, losing monotonicity
 413 in the response.



414
 415 Figure 11. Force-displacement plots of the parametric analysis: (a) variation with c , (b) variation with $\tan\phi$, (c)
 416 variation with f_t , (d) variation with G_i , (e) variation with μ , (f) full cycle for models in (a). The labels indicate the
 417 failure mode: F (flexural), S (shear), SF (mixed).

418 Furthermore, it must be pointed out that what is observed in a half-cycle may not be
 419 representative of the overall behaviour of the structure under full cycling loading. As an
 420 example, the larger dissipation observed in Figure 11a for low values of cohesion is not evident
 421 anymore if we consider a full cycle (Figure 11e). This is explained by a switch in failure mode

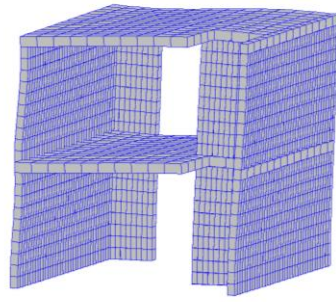
422 (from flexural to shear) due to coupling between tensile and shear damage in the material model
423 for the interface: in other words, after being damaged in tension due to the opening of the
424 flexural crack, the top interface loses cohesion upon loading reversal and the failure mode is
425 turned into sliding between the loading application beam and the wall. This is a further
426 demonstration of the complexity of the mechanical behaviour of masonry and the possibility
427 offered by the adopted mesoscale model in terms of its representation.

428 **4.4 Seismic analysis of the building**

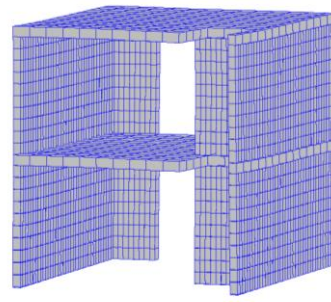
429 The finite element model of the building, developed as described in Section 2, consists of 73
430 basic partitions, each with ~2200 DOFs on average. The overall model consisted thus of
431 161,748 DOFs. Higher rank partitions were created up to three levels (see Figure 3) broadly
432 keeping the same number of DOFs per partition, creating an overall model made of 87 partitions
433 including the parent structure. The calculations were then parallelised on 4 nodes of the High-
434 Performance Computing facilities at Imperial College London, made of 24 processors each.

435 A preliminary modal analysis was performed to compare the dynamic characteristics of the
436 model with those estimated in the laboratory by means of the hammer test. Lanczos algorithm
437 was utilised for the solution of the eigenvalue problem. The mass setup described in Section
438 3.2 was represented in the model as density distribution within the solid elements of each floor,
439 while the wall units were assigned their density equal to $2 \cdot 10^{-6} \text{ kg/mm}^3$.

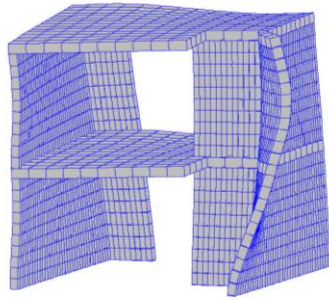
440 Globally, the modal shapes obtained by the eigenvalue analysis correspond to those estimated
441 by means of the hammer test (Figure 12), even though some mode switch occurred (the global
442 torsional mode had the fifth smallest frequency in the test, against the third in the numerical
443 simulations). The numerical frequencies are slightly smaller than the experimental counterparts,
444 but this is acceptable as, given the low amplitude of the induced vibrations, the hammer tests
445 are expected to give frequency upper bounds (Anthoine & Tirelli, 2008).



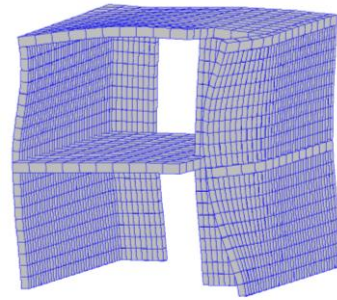
$f_1=6.34$ Hz (global, longitudinal)



$f_2=13.36$ Hz (global, transversal)



$f_3=16.81$ Hz (global, torsional)

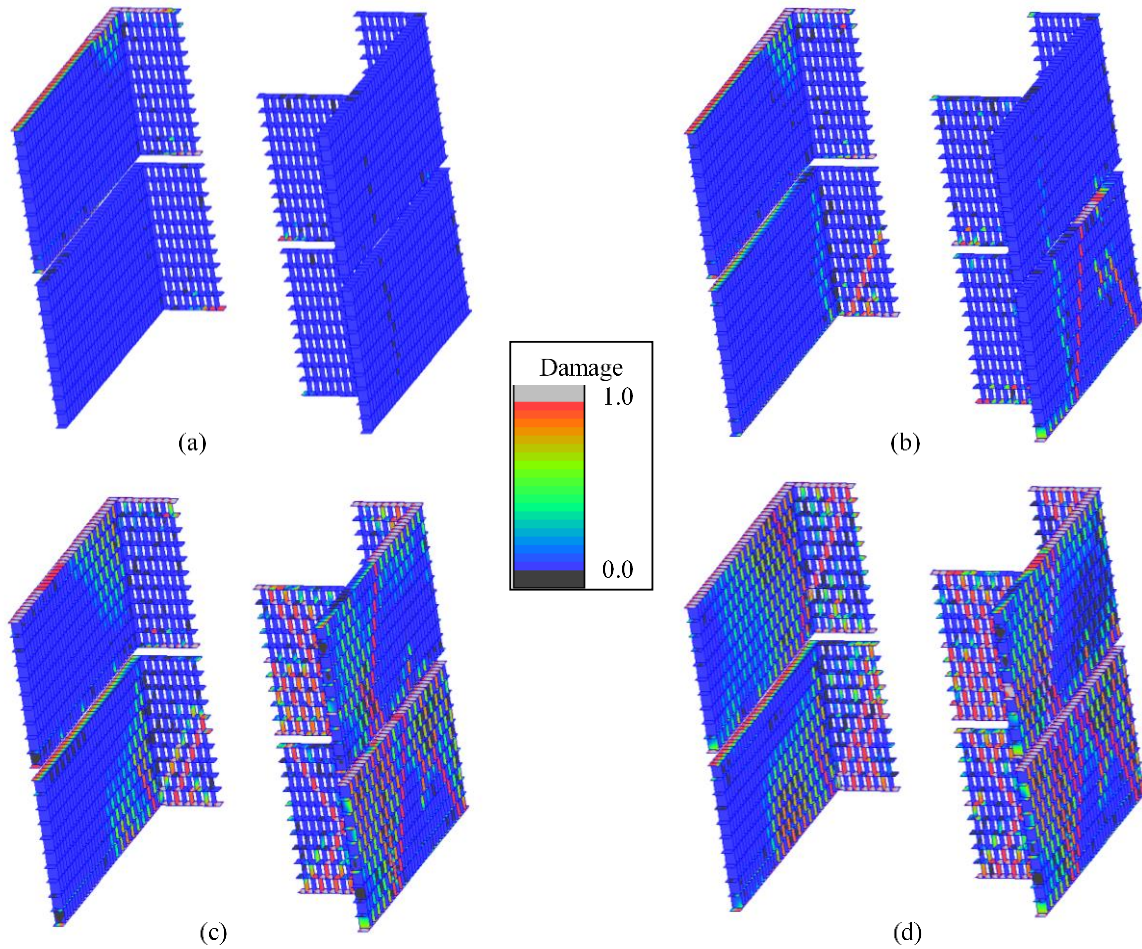


$f_4=19.04$ Hz (local, bending of the second floor)

446

Figure 12. Numerical modes of vibration of the structure.

447 The pseudo-dynamic experimental test was simulated by means of a dynamic analysis in which
 448 the acceleration history displayed in Figure 7 (and thus scaled to $PGA=0.12g$) was applied to
 449 the ground nodes in the E-W direction. This level of ground motion acceleration was selected
 450 as it was experimentally observed that for this analysis the first significant damage appeared on
 451 the structure. Self-weight and additional weight were implemented as initial loads to the
 452 structure, and the corresponding masses present on the real specimen were simulated by either
 453 density of solid elements or lumped mass elements. No damping was considered, in line with
 454 the pseudo-dynamic test assumptions (Anthoine & Capéran, 2008). The Hilber-Hughes-Taylor
 455 integration scheme with $\alpha=-0.33$, $\beta=0.25(1-\alpha)^2$, $\gamma=0.5-\alpha$ was employed for the solution of the
 456 dynamic problem. The initial time increment was 0.005s, i.e. half the accelerogram time step,
 457 but up to 3 levels of sub stepping with factor 0.1 when convergence is not attained are allowed
 458 by ADAPTIC.



459

460 Figure 13. Evolution of damage in tension in the interfaces: (a) after 2.0s, (b) after 3.0s, (c) after 5.0s, and (d) at

461

the end of the analysis.

462 Figure 13 shows the evolution of the damage in the structure with time. Damage variables are

463 a measure of the ratio of the plastic work performed at the integration point by internal stresses

464 and the relevant fracture energy. Already in the first phases of the analysis, some damage due

465 to flexural cracks appears at the interface between floors and walls in the transversal west long

466 wall, eventually propagating to the upper corner part of the wall. At 3.0s, after the first

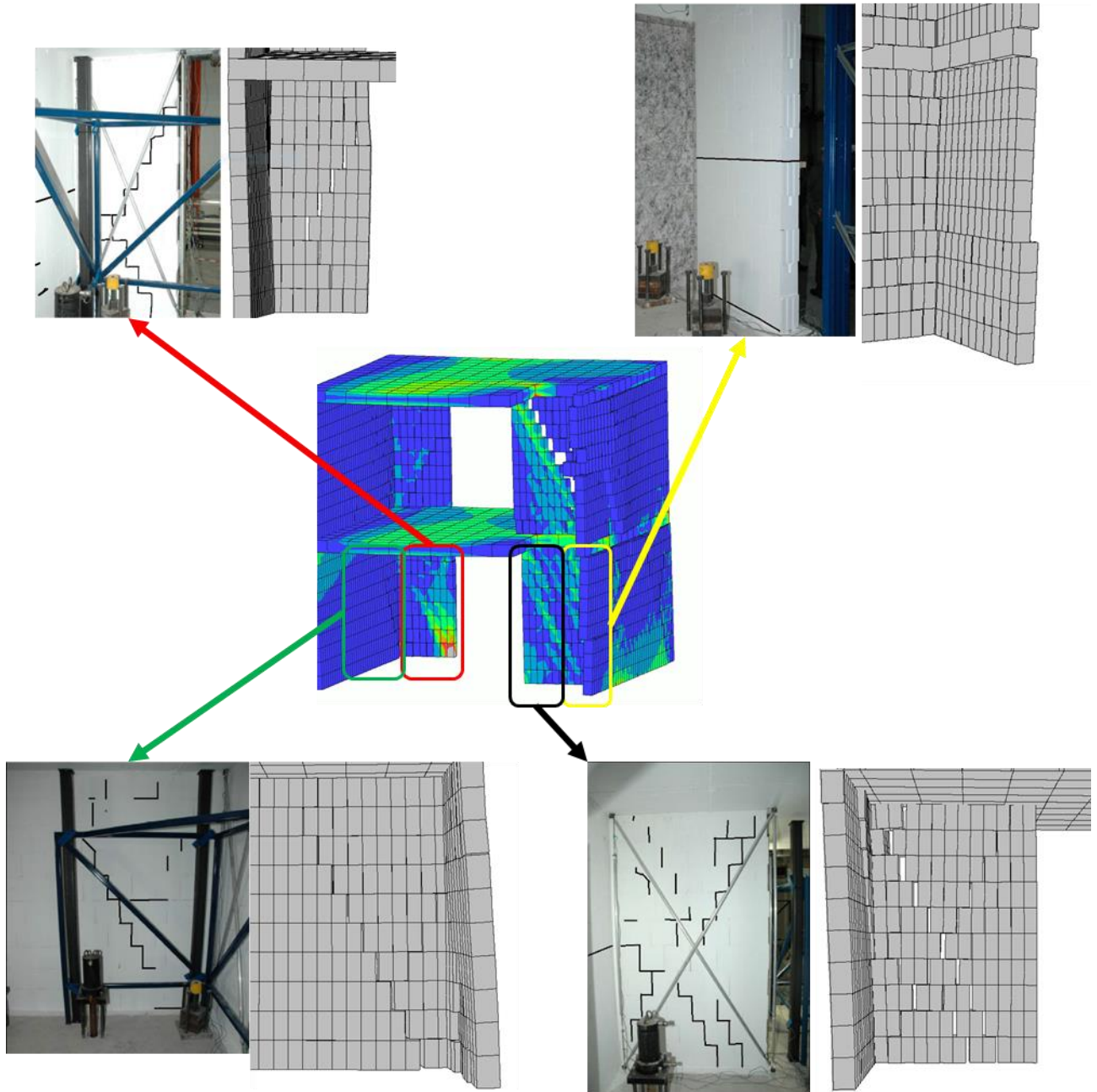
467 acceleration peak, diagonal damage appears in the longitudinal short walls and in the east long

468 wall. Detachment between floor and wall is also observed there. At 5.0s, after the second

469 acceleration peak, the building results extensively damaged in all its parts: shear resisting

470 mechanisms are induced in the short walls, while these interact with the long walls provoking

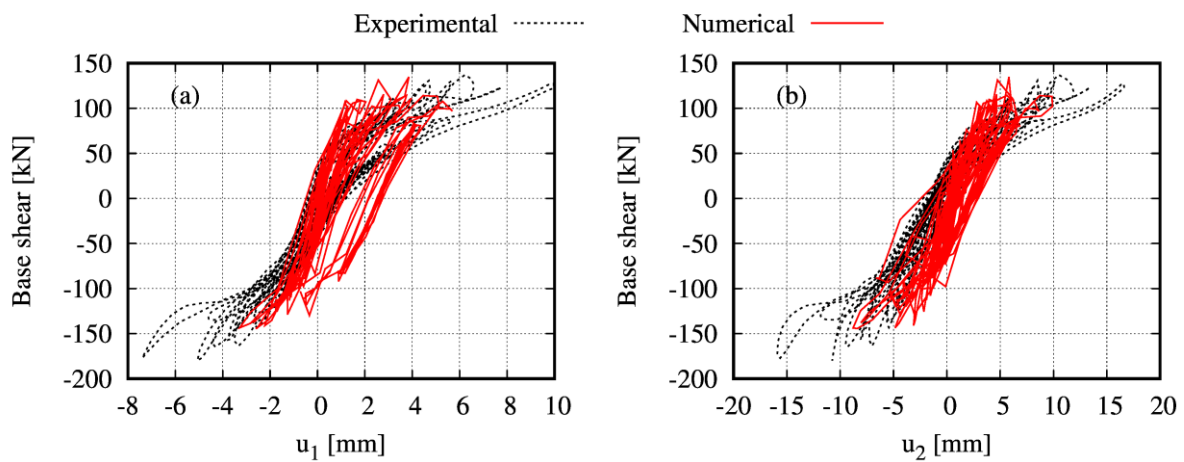
471 large damage near the connections. This typology of damage remains until the end of the
472 analysis, eventually spreading into the walls (Figure 13d).



473
474 Figure 14. Comparison between experimental observations and numerical simulation of cracks at the end of the
475 test. Displacements are magnified 20 times.

476 In Figure 14, a visual comparison between cracks observed experimentally and the numerical
477 simulation is shown. In the experimental tests, it is reported that in both long transversal walls,

478 a horizontal crack opened at the mid-height of the first level (Anthoine & Capéran, 2008). This
 479 is also observed in the numerical model at the end of the analysis (Figure 14 top-right). Large
 480 stepwise diagonal cracks were observed in the shear walls at the ground floor, and generally
 481 well reproduced by the numerical model.
 482 Finally, a comparison between experimental and numerical base shear-floor displacement plots
 483 is shown in Figure 15.



484
 485 Figure 15. Experimental-numerical base shear-floor displacements: (a) first floor displacement, and (b) second
 486 floor displacement.

487 The results show that remarkable agreement with the experimental results is obtained in the
 488 simulation as far as the maximum base shear and overall stiffness is concerned. The top
 489 displacement reached 10mm, which is slightly less than the experimental 16.6mm. This is
 490 believed to be due to three main causes. The first one is that the actual test was a pseudo-
 491 dynamic test, while the simulation applied the ground motion at the base of the structure. This
 492 implies that some approximations are present, e.g. in masonry structures wall mass is
 493 significant, but in the pseudo-dynamic algorithm the mass was assumed concentrated at the
 494 floors. Secondly, an increasing level of damage was experimentally observed in the tests
 495 preceding the 0.12g test, as the initial frequency value estimated from the identification results

496 dropped from 6.3Hz of the first test at 0.02g to 5.6Hz for the test at 0.12g (Michel, et al., 2011).
497 This 11% frequency reduction is due to some accumulated damage that the structure underwent
498 during the previous tests but which was not simulated, as in the analysis the building was
499 subjected to the 0.12g ground motion in undamaged conditions, due to lack of detailed
500 information on initial damage. Finally, it is noted that some experimental values for the material
501 properties were not available and are based on literature assumptions. In particular, fracture
502 energy properties may significantly affect the local post peak behaviour of interfaces and thus
503 can have a role in the stress redistribution following the elastic branch, and ultimately on the
504 response of the structure in terms of ductility.

505 **5 Conclusions**

506 In this paper, some tests performed within a previous European project have been simulated by
507 means of an advanced mesoscale strategy entailing a damage-plastic material model for
508 interfaces representing possible cracks, hierarchic partitioning of the FE model and tying of
509 non-conforming meshes. The strategy includes a methodology aimed at accurate analysis of the
510 seismic behaviour of masonry buildings which has been developed to easily create the finite
511 element building model considering any masonry bond and connection between walls.

512 Calibration of material properties has been carried out considering the material tests available
513 and some literature assumptions. No further adjustments to fit the experimental response was
514 then performed, with the aim of assessing the strategy including the difficulties of calibrating
515 the large number of material parameters involved.

516 The application of cyclic tests on single walls subjected to different loading and constraint
517 conditions shows a remarkable agreement between experimental and numerical results, either
518 in terms of crack pattern, stiffness, maximum strength and hysteretic behaviour. In particular,
519 the typical rocking behaviour of the specimens under damaged conditions, highlighted by the

520 S-shaped force-displacement plot and absence of strength degradation is well represented by
521 the model. It is underlined here that such rocking behaviour appears in case of either flexural
522 or shear cracking patterns.

523 A parametric analysis on the influence of the main material parameters has been performed
524 considering one of the wall previously simulated. The results show the complexity of the
525 response of masonry under cyclic loading, where failure mode switch can occur due to coupling
526 between different types of damage.

527 The strategy is then applied for reproducing the seismic behaviour of a full-scale building
528 subjected to ground motion acceleration. Modal properties are generally shown to comply with
529 the experimental estimations obtained in hammer tests, even though some mode switching
530 occurred, and the frequencies were found slightly smaller than the experimental counterparts.
531 However, this was expected based on recommendations from other authors, given the low level
532 of energy induced to the structure by the hammer test.

533 The dynamic analysis simulating the pseudo-dynamic test allows for a study of the damage
534 evolution in the structure. Different damage mechanisms at macro-scale level can be recognised
535 and simulated during the earthquake time history, from tensile failure at the wall-floor
536 connection to the diagonal shear mechanisms of the walls resisting to the inertia forces. The
537 experimental cracking pattern and the deformed shape of the numerical model show remarkable
538 agreement, while it is suggested that more accurate calibration of the material model parameters
539 and careful consideration of previous loading histories could lead to better predictions regarding
540 the displacement history at the two storeys.

541 The results reported in this paper show that mesoscale strategy can be very effective in
542 reproducing the seismic behaviour of masonry at wall and building scale. The drawback is
543 represented by the computing demand, which has been mitigated in the present work through
544 the use of High Performance Computing resources at Imperial College London, which are

545 usually not available in the professional practice. To solve this issue, the results of this work
546 are being further exploited in ongoing research in which less expensive macroscale modelling
547 approaches will be connected to the described mesoscale methodology to create a multi-level
548 procedure.

549 **6 Data Availability Statement**

550 Some or all data, models, or code used during the study were provided by a third party:

551 – Experimental data

552 Direct requests for these materials may be made to the provider as indicated in the
553 Acknowledgements.

554 Some or all data, models, or code generated or used during the study are proprietary or
555 confidential in nature and may only be provided with restrictions:

556 – ADAPTIC code (limited access may be provided upon request to the last author);

557 – Numerical models (they can be provided by contacting the first author).

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567

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