1	Population genetic structure of the bank vole Myodes glareolus					
2	within its glacial refugium in peninsular Italy					
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4	Running title: Bank vole genetic structure in Italy					
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24 Abstract

It is now well established that Southern European peninsulas have been major glacial refugia 25 for temperate species during Pleistocene climatic oscillations. However, substantial 26 environmental changes occurred also within these peninsulas throughout the Pleistocene, rising 27 questions about the role and interplay of various of micro-evolutionary processes in shaping 28 patterns of intraspecific diversity within these areas. Here, we investigate the patterns of genetic 29 variation in the bank vole *Myodes glareolus* within the Italian peninsula. By using a panel of 30 13 microsatellite loci, we found more intra-specific variation than expected based on previous 31 assessments. Indeed, both Bayesian and ordination-based clustering analyses of variation 32 33 recovered five main geographic/genetic clusters along the peninsula, with three clusters geographically restricted to the southern portion of the peninsula. This clustering is supported 34 by previous evidences of some morphological distinctiveness among these populations. This 35 pattern can be explained by a refugia-within-refugia scenario, with the occurrence of multiple 36 37 sub-refugia for the bank vole within the Italian, likely promoted by the major paleoenvironmental changes which affected forested habitats within this area during the Pleistocene. 38 39 Moreover, our results support a scenario whereby the high levels of intraspecific diversity observed within major Pleistocene refugia are better explained by dynamic micro-evolutionary 40 processes occurred within these areas, rather than by long-term demographic stability of 41 42 refugial population. Finally, the narrow and isolated distribution of some of the identified lineages, suggest the need for future assessments of their conservation and taxonomic status. 43

44 Introduction

Southern European peninsulas have provided an excellent research ground to investigate 45 how past climate changes and topographic features influenced species' evolutionary histories 46 (Hewitt, 2011). Plenty of studies in the last thirty years highlighted the role of these peninsulas 47 as climatic refugia for temperate species during Pleistocene glacials (Bennet & Provan, 2008; 48 Comes & Kadereit, 1998; Feliner, 2011; Hewitt, 1996; 2004; Schmitt, 2007; Weiss & Ferrand, 49 2007; Stewart, Lister, Barnes & Dalén, 2010). Due to the strong topographic complexity of 50 these peninsulas, species underwent extreme population fragmentation within refugia (Gomez 51 & Lunt, 2007; Hofreiter & Stewart, 2009). As a consequences, these areas have been found to 52 53 be particularly rich of intraspecific genetic lineages – sometimes highly divergent from the 54 closest relatives (e.g. Canestrelli, Cimmaruta, Costantini & Nascetti, 2006), often with narrow distribution (e.g. Bisconti et al., 2018) – detecting which is crucial to understanding species 55 56 genetic structure and to defining evolutionary and management units for conservation planning (Avise, 2008; Frankham, 2010; Groves et al., 2017; Palsbøll, Berube & Allendorf, 2007). 57 58 However, despite their disproportionate importance as both cradles and reservoir for Western Palearctic biodiversity, current knowledge of historical biogeographic patterns and processes 59 60 within these areas is still far from satisfactory. For many taxa, the genetic structure is still little known, and the available knowledge if often flawed by limited sampling or limited number of 61 62 genetic markers.

63 The bank vole Myodes glareolus (Schreber, 1780) is a small woodland-dwelling rodent, widespread throughout temperate and boreal forests of most of Europe (Amori, Contoli & 64 Nappi, 2008a), which has been a key species in the study of the European fauna response to the 65 Pleistocene climate changes. It has been one of the most convincing examples of a woodland 66 species surviving glaciations within a cryptic northern refugium in Europe, i.e. a refugium 67 located further north of the traditionally recognized refugia in the Southern European 68 peninsulas (Bhagwat & Willis, 2008; Bilton, Mirol, Mascheretti, Fredga, Zima & Searle, 1998; 69 Deffontaine et al., 2005; Filipi, Marková, Searle & Kotlík, 2015; Kotlík, Deffontaine, 70 71 Mascheretti, Zima, Michaux, & Searle, 2006). However, bank vole populations survived 72 Pleistocene glaciations also in southern refugia. There were identified distinct evolutionary 73 lineages in either Balkan, Iberian and Italian peninsulas (Colangelo, Aloise, Franchini, Annesi & Amori, 2012; Deffontaine et al., 2005; Filipi et al., 2015). Within the Italian peninsula, four 74 75 distinct evolutionary lineages have been characterized by mean of mitochondrial DNA 76 variation: one widespread across Alps and northern Italy, one distributed mainly throughout 77 northern and central Apennines, one restricted to the Gargano promontory (Apulia), and one found only in Calabria (Colangelo et al., 2012). This differentiation is supported also by some 78 79 slight morphological distinctiveness (Amori et al., 2008a). Interestingly, the Calabrian clade showed strong and ancient (Early Pleistocene) genetic divergence from all other M. glareolus 80 lineages, resulting as the basal clade of the entire bank vole phylogeny, whereas the Gargano 81 clade does not cluster with any of the other lineages (Colangelo et al., 2012; Filipi et al., 2015). 82 Nevertheless, all phylogenetic and phylogeographic inferences were based only on 83 mitochondrial data, which have several limitations in inferring population genetic structure and 84 85 patterns of gene flow among populations (Ballard & Whitlock, 2004). Indeed, despite Calabrian and Apulian populations resulted genetically differentiated from the other peninsular 86 87 populations, and virtually geographically isolated by the rarefaction/fragmentation of species habitat, the lack of a multi-marker analysis of species genetic structure does not allow 88 89 inferences on genetic isolation.

In this study, we further investigate the genetic structure of the bank vole in the Italian 90 91 peninsula. We employ a set of thirteen microsatellite loci in order to complement previously 92 published mitochondrial data (Colangelo et al., 2012), with the aim of better understanding the 93 geographic structure of genetic variation and to shed more light on the bank vole evolutionary 94 history. Moreover, considering the narrow ranges of the Calabrian and Apulian lineages and the ongoing reduction of the forest habitat (Scarascia-Mugnozza, Oswald, Piussi & Radoglou, 95 2000), a better understanding of the pattern of genetic isolation is mandatory to evaluate the 96 need for conservation actions concerning southern bank vole populations. 97

98

99 Materials and methods

We collected 76 *Myodes glareolous* individuals from 15 localities spanning the Italian peninsula; collecting sites and sample sizes are given in Table 1 and Figure 1; voucher numbers are listed in Appendix I. Tissue samples were obtained from an auricle biopsy on live-trapped animals during field sessions, or from museum specimens (Museum of Comparative Anatomy G.B. Grassi of the University of Rome 'La Sapienza').

DNA extractions were performed by using the standard cetyltrimethylammonium bromide
protocol (Doyle and Doyle, 1987). We analysed genetic variation at thirteen microsatellite loci: *Cg14E1, Cg15F7, Cg17A7, Cg3A8, Cg3F12, Cg4F9, Cg12A7, Cg13C12, Cg17E9, Cg10A11, Cg10H1, Cg13G2, Cg6A1* (Guivier et al., 2011; Rikalainen, Grapputo, Knott, Koskela &
Mappes, 2008) following protocols published in Guivier et al. (2011). We chose a subset of

available loci after excluding those that exhibited reaction inconsistency in over 30% of the
samples analysed. The thirteen loci were assembled in three multiplex as described in Table 2.
Forward primers were fluorescently labelled, and PCR products were electrophoresed by
Macrogen Inc. on an ABI 3730xl genetic analyser (Applied Biosystems) with a 400-HD-size
standard.

The microsatellite data were analysed using GeneMapper[®] 4.1. Micro-Checker 2.2.3 (Van 115 Oosterhout, Hutchinson, Wills & Shipley, 2004) was used to test for null alleles and large-allele 116 dropout influences. Allelic frequencies were computed by using GENETIX 4.05 (Belkhir, 117 118 Borsa, Chikhi, Raufaste & Bonhomme, 1996), while FSTAT (Goudet, 1995) was used to test 119 for deviations from the expected Hardy-Weinberg and linkage equilibria. Estimates of genetic 120 diversity, based on the mean allelic richness and the mean observed and expected heterozygosity were computed by the DivRsity R package (Keenan, McGinnity, Cross, Crozier 121 122 & Prodöhl, 2013), after excluding populations with n < 4; allelic richness was computed using the rarefaction method (Petit, Mousadik & Pons, 1998). 123

124 In order to investigate the extent of ordination in microsatellite data attributable to population genetic structure, without using previous information on the origin of each individual, a 125 126 Discriminant Analysis of Principal Components (DAPC, Jombart et al., 2010) was performed using Adegenet R package (Jombart et al., 2008). DAPC optimizes variation between clusters 127 and minimizes variation within them, and it is free of assumptions such as Hardy-Weinberg and 128 linkage equilibria (Jombart, Devillard & Balloux, 2010). At first data are transformed using a 129 Principal Component Analysis (PCA), and then clusters are identified using discriminant 130 analysis. The number of clusters (K) was identified by the *find.clusters* function using the "K-131 means" algorithm, and the Bayesian information criterion (BIC) was used to choose the most 132 relevant K values for population structure. The discriminant analysis was then performed using 133 the optimal number of principal components identified by a spline interpolation of the a-scores 134 (i.e. the difference between the proportion of successful reassignment of the analysis and the 135 values obtained using random groups). 136

The population genetic structure across the study area was also investigated using the Bayesian clustering algorithm implemented in TESS 2.3.1 and the geographical location of individuals as prior information (Chen, Durand, Forbes & François, 2007; Francois & Durand 2010). The analysis was performed by modelling admixture using a conditional autoregressive model (CAR). Preliminary analyses were carried out to assess model performance, with 20 000 steps (the first 5 000 were discarded as burn-in) and 10 replicates for each K value (i.e. the number of clusters) between 2 and 10. The final analysis contained 100 replicates for each K value, with K = 2-10; each run consisted of 80 000 steps, with the first 30 000 discarded as burn-in. The spatial interaction parameter was initially kept at the default value (0.6), and the updating option was activated. The model that best fitted the data was selected using the deviance information criterion (DIC). DIC values were averaged over the 100 replicates for each K value, and the most probable K value was selected as the one at which the average DIC reached a plateau. For the selected K value, the estimated admixture proportions of the 10 runs with the lowest DIC were averaged using CLUMPP 1.1.2 (Jakobsson & Rosemberg, 2007).

151

152 **Results**

153 The final dataset consisted of a multi-locus genotype for 76 individuals at thirteen 154 microsatellite loci, with 9.8% of missing data. Micro-Checker detected the possible occurrence of null alleles at locus Cg14E1 in population 13 and at locus Cg15F7 in population 11. Except 155 156 for these two populations, no significant deviation from the Hardy-Weinberg and linkage 157 equilibria was found after the Bonferroni correction was applied. Allelic richness and mean 158 expected heterozygosity estimates for each population are shown in Table 1. Population 13 (Sila Massif, central Calabria) and population 4 (Foresta della Lama, Tuscan-Emilian 159 160 Apennines) showed the highest values of genetic diversity, whereas the lowest values of heterozygosity and allelic richness were observed in population 12 (Gargano, N Apulia). 161

162 DAPC identified K = 5 as the best clustering option, being the one with the lowest BIC value. 163 The optimization of the spline interpolation of the a-scores suggests to use only the first 11 principal components (accounting for 54,4% of the total variance) as the more informative ones 164 for the discriminant analysis. The inspection of the scatterplot resulting by the DAPC analysis 165 (Fig. 2) clearly identified five main genetic clusters, including individuals from: i) the Western 166 Alps (pops. 1-2), ii) the Northern and Central Apennines (pops. 3-11), iii) the Gargano 167 Promontory (pop. 12), iv) the Sila Plateau and Catena Costiera massif (central Calabria, pops. 168 169 13-14), and v) the Aspromonte Massif (southern Calabria, pop. 15).

The Bayesian clustering analyses carried out with TESS revealed a clear geographic structuring of genetic variation, consistent with results of the DAPC analysis. The plots of DIC values versus K values reached a plateau at K = 5 and only a minor decrease in the DIC values was observed at higher K values. The spatial distribution of the five clusters had a clear geographical structure: one is widespread in the Alps and, a lower frequency, in the Northern Apennines; one is found from Northern to Central Apennines; one is restricted to the Gargano Promontory region; one ranges from the Sila Plateau to the Catena Costiera, and one from the 177 Catena Costiera to the Aspromonte Massif. Bar-plots showing the individual admixture 178 proportions and pie-charts showing the average proportion of each cluster within each sampled 179 population are given in Figure 3. Large genetic admixture is observed in individuals from 180 Catena Costiera (pop. 14), as well as in those from northern (pop. 3-5) and central Apennines 181 (pop. 6-11).

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183 Discussion

The geographic structure of microsatellite variation supports the existence of five main 184 genetic clusters within *Myodes glareolus* in the Italian peninsula, in contrast to the four lineages 185 identified by the mitochondrial DNA. Indeed, previous studies identified a genetic lineage from 186 Europe widespread in northern Italy, a distinct genetic lineage in central Italy, a slightly 187 differentiated lineage restricted to the Apulian region, and a highly differentiated lineage 188 restricted to the Calabrian region (Colangelo et al., 2012; Filipi et al., 2015). Our data support 189 190 for the independent evolution of these lineages, and identified further sub-structuring within the 191 Calabrian region. We found evidence for genetic differentiation between populations from 192 Aspromonte and Sila, and for admixture between these two groups within the Catena Costiera. 193 Genetic differentiation is also corroborated by some morphological distinctiveness between these populations, which has led to the description of distinct subspecies: Myodes glareolus 194 195 curcio (von Lehmann, 1961) for the Sila Massif and Myodes glareolus hallucalis (Thomas, 1906) for the Aspromonte Massif (Amori et al., 2008a; Viro & Niethammer, 1982). Moreover, 196 197 our results suggest strong genetic isolation between southern populations and the other Apennine populations and claim for considering the Calabrian and Gargano lineages as 198 199 independent evolutionary and conservation units, deserving special attention in conservation planning. 200

Strong genetic differentiation and high intra-specific variation of Calabrian populations is a 201 fairly common pattern in both animal and plant species (Bisconti et al., 2018; Canestrelli et al., 202 2006; Canestrelli, Cimmaruta & Nascetti, 2008; Canestrelli, Aloise, Cecchetti & Nascetti 2010; 203 Canestrelli, Sacco & Nascetti, 2012; Chiocchio, Bisconti, Zampiglia, Nascetti & Canestrelli, 204 2017; Hewitt, 2011; Vega, Amori, Aloise, Cellini, Loy & Searle, 2010). The Calabrian region 205 206 is a well-known glacial refugium for temperate species in peninsular Italy, and provides one of 207 the best examples of highly sub-structured refugia, a scenario known as refugia-within-refugia (Gomez & Lunt, 2007). Indeed, for most of the temperate species studied to date in this area, 208 the Calabrian region provided suitable albeit fragmented habitats through most of Pleistocene, 209

allowing long-term survival of relict populations (Bisconti et al., 2018; Senczuk, Colangelo, De 210 Simone, Aloise & Castiglia, 2017). Accordingly, the Early-Middle Pleistocene origin of 211 Calabrian bank vole populations was suggested by both molecular dating and fossil evidence 212 (Colangelo et al., 2012; Sala & Masini 2007). Moreover, palynological data support the 213 expansion of Alpine forests in Calabria during the Early-Middle Pleistocene transition, as a 214 consequence of particularly humid glacial cycles (Capraro et al., 2005; Palombo, Raia & 215 Giovinazzo, 2005). The southward expansion of forest habitats promoted southward 216 colonization of several forest and woodland-dwelling species, and might have promoted the 217 218 establishment of the bank vole populations in Calabria, which probably remained trapped after 219 the following shrinking of woodlands. The almost complete absence of admixture between the 220 Calabrian cluster and those located more to the north, strongly suggests an ancient isolation of 221 Calabrian populations.

222 On the other hand, the sub-structuring of the bank vole populations within the Calabrian region appears of more recent origin and it is likely related to the high palaeogeographic 223 224 instability of this region. According to palaeogeographic reconstructions, the repeated glacio-225 eusthatic sea level oscillations of the Pleistocene caused repeated marine floods in the lowlands, 226 turning the main mountain massifs into paleo-islands (Bonfiglio et al., 2002; Caloi, Malatesta 227 & Palombo, 1989; Cucci, 2004; Ghisetti, 1979, 1981; Tansi, Muto, Critelli & Iovine, 2007; Tortorici, Monaco, Tansi & Cocina, 1995). The repeated insularization of Sila and Aspromonte 228 massifs heavily affected population structure in most of the terrestrial fauna inhabiting these 229 areas (Canestrelli et al., 2006, 2008, 2010, 2012). This historical process could also have 230 triggered genetic (and morphological) differentiation in the bank vole populations, although 231 caution should be adopted in the present case, due to the lack of molecular dating analyses. 232

The relatively high levels of genetic diversity and genetic admixture observed in these populations could be explained by a more recent secondary contact between the two gene pools. Under this scenario, the high level of genetic variation observed within the Calabrian Pleistocene refugia would be better explained by dynamic microevolutionary processes, which involve cycles of allopatric divergence and secondary contact, rather than by a prolonged demographic stability (Canestrelli et al., 2010).

Conversely, the population from the Gargano Promontory - described as a distinct subspecies
 Myodes glareolus garganicus (Hagen, 1958; see Amori et al., 2008a) - showed strong genetic
 divergence and low genetic diversity, probably as a consequence of a historical isolation and
 small population size, which favoured genetic erosion by drift. However, a role for the strong

anthropogenic impact on the bank vole's habitats, which affected this region during the last
decades (Parise & Pascali, 2003; Ladisa, Todorovic, & Liuzzi, 2010), cannot be excluded.

Populations from Alps and from north-central Apennine belong to two different genetic 245 clusters. According to previous studies (Deffontaine at al., 2005, Colangelo et al., 2012, Filipi 246 et al., 2015), the genetic cluster widespread in the Alps originated through a post-glacial 247 expansion from a western European refugium. On the contrary, the north-central Apennine 248 cluster is likely derived from an ancient Mediterranean lineage, originally widespread from 249 Balkans up to the Italian and Iberian peninsulas, and more recently isolated in an Apennine 250 251 refugium. The substantial levels of admixture between these two genetic clusters is consistent with that showed by mtDNA data (Colangelo et al., 2012) and suggests good habitat 252 253 connectivity and high levels of gene flow throughout Central Apennine and Alps, at least after 254 the last glacial phase. Therefore, the genetic structure of bank vole populations in the Italian 255 peninsula, as inferred by both microsatellites and mitochondrial DNA markers, is consistent with a scenario of independent evolution of multiple genetic lineages within distinct glacial 256 257 refugia. Interestingly, the postglacial range expansion of the lineages from Northern and Central 258 Italy was more extensive than that showed by the southern lineages, which appear still confined 259 to their refugial areas. However, we suggest caution in considering the geographic and genetic 260 isolation of Calabrian and Apulian populations. The paucity of observations from geographically intermediate populations, as well as the lack of morphological and genetic data 261 does not allow to trace neither geographic nor genetic boundaries among these lineages. Further 262 research should be focused on the intermediate areas, in order to ascertain the presence of bank 263 264 vole populations and to estimate their genetic structure.

Our results have implications for the management of the bank vole populations in Southern 265 Italy. Due to its widespread distribution throughout most of Europe, Myodes glareolus is 266 currently categorized as *Least Concern* by the IUCN red list of threatened species, both at the 267 global (Amori et al., 2008b) and national (Rondinini, Battistoni, Peronace, & Teofli, 2013) 268 level. Nevertheless, we identified at least three unique evolutionarily significant units (Moritz, 269 270 1994) in Southern Italy, with narrow and endemic ranges. Assessments of their demographic consistence, as well as of the current threats to their populations have to be planned in the near 271 272 future, in order to better integrate these endemic bank vole lineages into the regional strategy 273 of biodiversity conservation.

Concluding, this study highlights the importance of investigating species genetic structure with a multi-marker approach, in order to find hidden diversity and fine-scale genetic structuring also in supposedly well-known species. The analysis of bank vole genetic structure

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in the Italian peninsula revealed more biological diversity than expected (see Colangelo et al.,
2012), suggesting the need for thorough research also in other apparently well-known taxa.
Finally, the evolutionary history of *Myodes glareolus* provides further evidence supporting the
hypothesis that Pleistocene refugia were not so stable as previously thought, and that dynamic
micro-evolutionary processes, triggered by the paleoclimatic and palaeogeographic instability
of these areas, better explain the high levels of intraspecific diversity they harbour.

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487 **Figure legends**

Figure 1 Geographical localization of the 15 populations of *Myodes glareolus* sampled and
analysed. Localities are numbered as in Table 1; dashed lines delimits the main geographic
regions named in the text. The map was drawn using the software Canvas 11 (ACD Systems
of America, Inc.). Photo: *Myodes glareolus* (from Rudmer Zwerver via Photodune.net).

Figure 2 Discriminant Analysis of Principal Components (DAPC). (a) Scatterplot resulting from the DAPC performed on *Myodes glareolus* genotypes from the Italian peninsula, using K=5 as clustering option; axes represent the first two principle components; the box shows the relative contribution of the eigenvalues to the discriminant analysis; clusters are named following their geographic distribution. (b) Optimal number of informative principal components, suggested by the optimization of the spline interpolation of the a-scores. (c) line chart showing the BIC values versus the number of genetic clusters (K) ranging from 1 to 15.

501 Figure 3 Genetic structure of Italian populations of *Myodes glareolus* at 13 microsatellite loci estimated using TESS. (a) The bar plot shows the admixture proportions of each 502 503 individual for the five genetic clusters recovered. (b) The pie diagrams on the maps show the frequency distributions of each cluster among the populations; populations with only one 504 505 individual were grouped with the nearest population as follow: (1,2), (3,4,5), (6,7), (8,9,10,11); the line chart shows the mean values of the DIC statistics (averaged over 100 506 507 runs) for the number of genetic clusters (K) ranging from 2 to 10. The map was drawn using 508 the software Canvas 11 (ACD Systems of America, Inc.). 509

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Tables

Table 1 Sample number, collecting locality, sample size (analyzed specimens), geographic
 coordinates, allelic richness (Ar), and expected heterozigosity (He).

Sample	Locality	Ν	Latitude	Longitude	Ar	He
1	Gressoney	1	45.76902	7.82552	-	-
2	Aosta	11	45.73644	7.31298	2.302	0.594
3	Cantagallo	1	44.03176	11.05226		-
4	Foresta della Lama	10	43.81710	11.81270	2.869	0.718
5	Passignano sul Trasimeno	1	43.21675	12.13462	-	-
6	Tolfa	1	42.14959	11.93806	-	-
7	Settebagni	1	42.13705	12.53497	-	-
8	Civitella del Tronto	1	42.79336	13.67409	-	-
9	Montereale	3	42.49670	13.19747	2.564	0.564
10	L'Aquila	4	42.35686	13.38911	2.435	0.555
11	Majella Massif	6	42.14480	14.07530	2.584	0.605
12	Gargano Promontory	11	41.75540	16.01180	1.757	0.417
13	Sila Plateau	14	39.37211	16.57618	2.687	0.724
14	Catena Costiera	3	39.27578	16.09198	2.687	0.584
15	Aspromonte Massif	8	38.15910	15.92060	2.357	0.599
		76				

 Table 2 Marker name, repeat motif, and colour dye of the thirteen microsatellite loci

517 assembled in three multiplex.

Multiplex	Marker	Repeat	Dye	
А	Cg4F9	(CA) ₂₀	FAM	
	Cg14E1	(CT) ₂₀	FAM	
	Cg17A7	(ATGT)9	HEX	
	Cg15F7	(CT) ₂₀	HEX	
	Cg3F12	(GT) ₁₆	TAMRA	
	Cg3A8	(GT) ₂₁	TAMRA	
В	Cg12A7	(GA) ₂₁	FAM	
	Cg17E9	(GTAT) ₉	FAM	
	Cg13C12	(CT) ₂₁	HEX	
С	Cg13G2	(GT) ₁₄	FAM	
	Cg10H1	(GACA)7	FAM	
	Cg10A11	(GT) ₁₅	HEX	
	Cg6A1	(CAT) ₁₈	HEX	