


ORIGINAL RESEARCH

Value of lung diffusing capacity for nitric oxide in systemic sclerosis

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Keywords

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Abstract

A decreased lung diffusing capacity for carbon monoxide (DL_{CO}) in systemic sclerosis (SSc) is considered to reflect losses of alveolar membrane diffusive conductance for CO (DM_{CO}), due to interstitial lung disease, and/or pulmonary capillary blood volume (V_C), due to vasculopathy. However, standard DL_{CO} does not allow separate DM_{CO} from V_C . Lung diffusing capacity for nitric oxide (DL_{NO}) is considered to be more sensitive to decrement of alveolar membrane diffusive conductance than DL_{CO} . Standard DL_{CO} and DL_{NO} were compared in 96 SSc subjects with or without lung restriction. Data showed that DL_{NO} was reduced in 22% of subjects with normal lung volumes and DL_{CO} , whereas DL_{CO} was normal in 30% of those with decreased DL_{NO} . In 30 subjects with available computed tomography of the chest, both DL_{CO} and DL_{NO} were negatively correlated with the extent of pulmonary fibrosis. However, DL_{NO} but not DL_{CO} was always reduced in subjects with $\geq 5\%$ fibrosis, and also decreased in some subjects with $< 5\%$ fibrosis. DM_{CO} and V_C partitioning and Doppler ultrasound-determined systolic pulmonary artery pressure could not explain individual differences in DL_{CO} and DL_{NO} . DL_{NO} may be of clinical value in SSc because it is more sensitive to DM_{CO} loss than standard DL_{CO} , even in nonrestricted subjects without fibrosis, whereas DL_{CO} partitioning into its subcomponents does not provide information on whether diffusion limitation is primarily due to vascular or interstitial lung disease in individual subjects. Moreover, decreased DL_{CO} in the absence of lung restriction does not allow to suspect pulmonary arterial hypertension without fibrosis.

Introduction

Systemic sclerosis (SSc) is a generalized fibrotic disease classified among the autoimmune connective-tissue disorders (van den Hoogen et al. 2013). Widespread microangiopathy (Asano and Sato 2015) and interstitial lung disease (ILD) (Baldwin Ede et al. 1949; Schoenfeld and Castellino 2015) are commonly found, whereas pulmonary arterial hypertension (PAH) affects only 5–12% of patients (Khanna et al. 2013). The impairment of lung

function is generally inferred from measurements of forced vital capacity (FVC) and lung diffusing capacity for carbon monoxide (DL_{CO}) (Schneider et al. 1982). However, FVC is not an accurate measure of lung restriction, that is, decreased total lung capacity (TLC) (Aaron et al. 1999; Pellegrino et al. 2005), and decrement of DL_{CO} in SSc may reflect loss of alveolar surface or thickening of blood-gas barrier (Schoenfeld and Castellino 2015), but also changes in pulmonary microvasculature (Asano and Sato 2015).

Pulmonary CO uptake is mostly limited by its reaction rate with blood hemoglobin (Hb), thus DL_{CO} is considered to be more sensitive to changes of pulmonary capillary blood volume (V_C) than alveolar membrane diffusive conductance for CO (DM_{CO}) (Guénard et al. 1987; Borland and Higenbottam 1989). Accordingly, the reduced DL_{CO} in isolation or disproportionately to FVC seen in a minority of SSc subjects has been interpreted as a sign of PAH with limited or no ILD (Wilson et al. 1964; Steen et al. 1992), though the correlation between DL_{CO} and mean pulmonary artery pressure is weak (Mukerjee et al. 2004).

Nitric oxide (NO) has a much greater affinity and faster reaction with free Hb than CO (Gibson and Roughton 1957), which makes the lung diffusing capacity for nitric oxide (DL_{NO}) presumably more sensitive to alveolar membrane diffusive conductance than DL_{CO} (Guénard et al. 1987; Borland and Higenbottam 1989). Thus, the analysis of combined DL_{NO} and DL_{CO} measurement has been proposed as a method to partition DM_{CO} and V_C subcomponents (Guénard et al. 1987) in various parenchymal (Phansalkar et al. 2004; Barisione et al. 2014, 2016) and vascular (Farha et al. 2013) disorders. By using this method in SSc, one study (Sivova et al. 2013) suggested that partitioning of DL_{CO} might be of interest to detect PAH either with or without ILD, whereas two other studies (Guarnieri et al. 2015; Degano et al. 2017) concluded that partitioning of DL_{CO} is of little use in distinguish the patients with only ILD from those with ILD complicated by PAH. Two studies (Overbeek et al. 2008; Pernot et al. 2012) using the classical multistep alveolar O_2 partial pressure (PAO_2) method (Roughton and Forster 1957) to calculate DM_{CO} and V_C also gave contrasting results. Although DL_{NO} has been demonstrated to be more sensitive than DL_{CO} in idiopathic pulmonary fibrosis and this was due to the prevailing DM_{CO} impairment (Barisione et al. 2016), this information in SSc is lacking. Therefore, the added value of DL_{NO} and the partitioning of diffusion subcomponents in the diagnostic workup of SSc is still unclear.

The aim of the present study was to investigate whether DL_{NO} and standard DL_{CO} can provide different information on the lung involvement in individuals with SSc that can be attributed to interstitial or vascular abnormalities by DM_{CO} and V_C partitioning.

Materials and Methods

Study subjects

We retrospectively collected data from 96 Caucasian consecutive subjects fulfilling the current diagnostic criteria for SSc with a total score ≥ 9 (van den Hoogen et al.

2013). They had pulmonary function and other diagnostic tests completed between March 2014 and October 2017, when they were in stable clinical conditions. Sixteen of them received long-term intravenous iloprost, with various combinations of oral prednisone ($n = 11$), bosentan ($n = 9$), mofetil mycophenolate ($n = 6$), methotrexate ($n = 4$), and nifedipine ($n = 3$). Thirty-nine healthy subjects, matched for anthropometric and life-style data, were selected among health-care professionals to serve as a control group (Table 1). The study was approved by the Regional Ethics Committee (Registry no.: P.R.170REG/2015) and written informed consent was obtained from each subject to use his/her personal data.

Standard lung function measurements

Spirometry (Miller et al. 2005) and lung volumes (Wanger et al. 2005) were measured with the subject sitting in a whole-body plethysmograph (V62J, SensorMedics-Viasys, CareFusion; Höchberg, Germany). FVC, forced expiratory volume in one second (FEV_1), FEV_1/FVC ratio, and TLC were determined and compared with available predicted values (Quanjer et al. 1993, 2012). Standard DL_{CO} was measured (MasterScreen PFT System, Jaeger-Viasys, CareFusion, Höchberg, Germany) by single-breath technique with 9–11 breath-hold time free of Valsalva or Müller maneuvers (Macintyre et al. 2005). CO back pressure was measured in expired gas prior to the inspiration of test mixture and compensated for analytically (Graham et al. 2002). Measured values were compared with reference values from Stanojevic et al. (2017) and adjusted for effective [Hb] in venous blood (Cotes et al. 1972).

DL_{NO} measurement

At least 5–10 min after standard DL_{CO} , single-breath DL_{NO} - DL_{CO} were simultaneously measured in sitting position (MasterScreen PFT System, Jaeger-Viasys, CareFusion, Höchberg, Germany) from the exponential disappearance rate of NO and CO with respect to helium (He) in exhaled air (Guénard et al. 1987). A gas mixture of 0.28% CO, 9.0% He, and 20.9% O_2 balanced with N_2 was blended with 450 ppm NO in N_2 and inhaled from a plastic bag containing a final concentration of NO of 50.1 ± 4.8 ppm obtained ≤ 2 min before its use. The linearity of the electrochemical cell was checked by factory and the apparatus was calibrated for gas fractions using automated procedures. The subject was in a relaxed sitting position, wearing a nose-clip and breathing quietly through a mouthpiece with filter connected to a screen-type pneumotachograph. After breathing 4–5 stable tidal volumes, he/she was requested to perform a full expiration to RV. Then a valve was opened allowing the subject

Table 1. Subjects' anthropometric characteristics and lung function data

	Controls	SSc-N	SSc-D	SSc-R	P value
Male/Female	5/34	2/49	1/18	5/21	0.24
Age (years)	55 ± 19	64 ± 13*†	63 ± 10	54 ± 16†	0.008
BMI (kg·m ⁻²)	25 ± 5	24 ± 4	24 ± 5	26 ± 5	0.27
Smoking habit (c/f/n)	4/6/29	3/6/42	5/5/9	1/6/19	0.08
[Hb] (g·dL ⁻¹)	13.5 ± 0.66	13.1 ± 0.93	12.7 ± 1.09*	12.9 ± 1.09	0.011
FVC (L)	3.53 ± 0.78	3.00 ± 0.54*	2.83 ± 0.68*	2.38 ± 0.63**‡	<0.001
(% predicted)	112 ± 14	104 ± 14*	96 ± 11**†	66 ± 11**‡	<0.001
(z-score)	0.72 ± 0.84	0.22 ± 0.89*	-0.31 ± 0.73**†	-2.48 ± 0.93**‡	<0.001
FEV ₁ (L)	2.81 ± 0.68	2.31 ± 0.43*	2.17 ± 0.49*	1.97 ± 0.56**†	<0.001
(% predicted)	110 ± 13	102 ± 12*	93 ± 12**†	68 ± 9**‡	<0.001
(z-score)	0.70 ± 0.83	0.10 ± 0.83*	-0.46 ± 0.78**†	-2.23 ± 0.70**‡	<0.001
TLC (L)	5.25 ± 0.90	4.84 ± 0.59*	4.50 ± 0.99*	3.60 ± 0.83**‡	<0.001
(% predicted)	109 ± 10	103 ± 11*	94 ± 10**†	67 ± 11**‡	<0.001
(z-score)	0.66 ± 0.74	0.20 ± 0.86*	-0.40 ± 0.77**†	-2.79 ± 0.94**‡	<0.001
DL _{CO} (mL·min ⁻¹ ·mmHg ⁻¹)	25.5 ± 6.12	18.2 ± 2.90*	12.4 ± 2.28**†	14.5 ± 4.15**†	<0.001
(% predicted)	116 ± 14	96 ± 12*	65 ± 10**†	65 ± 12**†	<0.001
(z-score)	0.87 ± 0.72	-0.30 ± 0.70*	-2.73 ± 1.16**†	-2.74 ± 1.17**†	<0.001
K _{CO} (mL·min ⁻¹ ·mmHg ⁻¹ ·L ⁻¹)	4.60 ± 0.77	3.96 ± 0.56*	3.01 ± 0.53**†	4.17 ± 0.65‡	<0.001
(% predicted)	104 ± 14	92 ± 12*	70 ± 12**†	96 ± 14‡	<0.001
(z-score)	0.24 ± 0.87	-0.52 ± 0.84*	-1.87 ± 1.35**†	-0.31 ± 0.95‡	<0.001
DL _{NO} (mL·min ⁻¹ ·mmHg ⁻¹)	95.6 ± 26.0	71.7 ± 16.0*	52.5 ± 11.4**†	55.9 ± 21.3**†	<0.001
(% predicted)	88 ± 9	74 ± 11*	53 ± 9**†	46 ± 12**†	<0.001
(z-score)	-0.69 ± 0.53	-1.30 ± 0.66*	-2.35 ± 0.63**†	-3.17 ± 0.88**‡	<0.001
K _{NO} (mL·min ⁻¹ ·mmHg ⁻¹ ·L ⁻¹)	18.8 ± 3.18	15.3 ± 2.38*	12.7 ± 2.64**†	15.2 ± 3.40**†	<0.001
(% predicted)	86 ± 9	74 ± 8*	61 ± 12**†	68 ± 12**‡	<0.001
(z-score)	-1.05 ± 0.71	-1.90 ± 0.56*	-2.91 ± 0.97**†	-2.50 ± 0.98*	<0.001

Data are absolute numbers or mean ± SD.

SSc-N, subjects with all standard lung function data > 5th percentile (LLN₅); SSc-D, subjects with DL_{CO} < LLN₅ but TLC > LLN₅; SSc-R, subjects with TLC < LLN₅ with (n = 21) or without (n = 5) DL_{CO} < LLN₅; c/f/n, current/former/never; FVC, forced vital capacity; FEV₁, forced expiratory volume in one second; TLC, total lung capacity; DL_{CO}, standard single-breath (9-11 s breath-hold time) lung diffusing capacity for carbon monoxide; K_{CO}, DL_{CO}/alveolar volume; DL_{NO}, lung diffusing capacity for nitric oxide; K_{NO}, DL_{NO}/V_A.

*Significantly different from control.

†Significantly different from SSc-N.

‡Significantly different from SSc-D.

to forcefully inhale the gas mixture achieving an inspired volume ≥ 90% of inspiratory vital capacity in < 2.5 sec. A breath-hold time of 4–6 sec duration, at near atmospheric intrapulmonary pressure and free of Valsalva and Müller maneuvers, was then requested followed by a rapid and smooth expiration to RV (Zavorsky et al. 2017). The total breath-hold time was calculated from the beginning of inspiration, minus 30% of inspiratory time, to the middle of expiratory gas sampling (Jones and Meade 1961). The first 750 mL of expired gas was discarded and the following 750 mL was sampled from the bag to be analyzed for NO, CO, and He fractional concentration. When the subject's vital capacity was < 2.0 L, sample and washout volumes were reduced to 500 mL (Macintyre et al. 2005). Between successive tests, an interval of ≥ 4–5 min was allowed to ensure complete elimination of prior test gases

from the lungs. DL_{NO}-DL_{CO} values were accepted if two successive measurements were within 17.0 and 3.2 mL·min⁻¹·mmHg⁻¹, respectively, and the mean of two properly performed maneuvers was retained for analysis (Zavorsky and Murias 2006).

Derivation of diffusion subcomponents

DM_{CO} and V_C were derived by assuming a fixed value of blood conductance for NO (θ_{NO}) of 4.5 mL (NO)·min⁻¹·mmHg⁻¹·mL (blood)⁻¹ (Carlsen and Comroe 1958) which is largely independent of pulmonary blood [Hb] (van der Lee et al. 2005) and mean (expired) PAO₂ $\bar{P}A_{O_2}$ (Borland and Cox 1991). By contrast, the value of blood conductance for CO (θ_{CO}) is variable and strictly dependent on [Hb] (Cotes et al. 1972) and $\bar{P}A_{O_2}$,

due to the competitive binding of CO and O₂ for Hb-accessible sites (Crapo et al. 1988). This makes the inspired O₂ fractional concentration (F_IO₂) critical to DL_{CO} measurement (Crapo et al. 1988) and, in turn, the DM_{CO} and V_C partitioning (Roughton and Forster 1957; Forster 1987). Thus, θ_{CO} values were calculated according to Guénard et al.(2016) in vivo equation:

$$\frac{1}{\theta_{CO}} = 1.16 + 0.0062 \cdot \bar{P}bO_2 \cdot \left(\frac{[Hb_{stand}]}{[Hb_{meas}]} \right) \quad (1)$$

where capillary O₂ partial pressure ($\bar{P}bO_2$) is equal to [PAO₂ - ($\dot{V}O_2$ /DL_{O₂)] (Bohr 1909), with $\dot{V}O_2$ /DL_{O₂ being the ratio of O₂ uptake to lung diffusing capacity for O₂ which was assumed as \approx DL_{CO}·1.61 (Hsia et al. 2008). Moreover, [Hb] standard values ([Hb_{stand}]) were set at 14.6 and 13.4 g·dL⁻¹ for males and females, respectively (Cotes et al. 1972). For the simultaneous DL_{NO}-DL_{CO} measurement, due to an automated flushing procedure with 100% O₂ preceding each test and specific to this apparatus (Munkholm et al. 2018), the actual mean F_IO₂ ($\bar{F}_I O_2$) in the inspiratory bag was 0.228 ± 0.01, thus resulting in a $\bar{P}bO_2$ of 125.4 ± 8.5 mmHg instead of the expected 99.1 ± 3.3 mmHg. To account for this potential bias, the corresponding values of θ_{CO} were calculated from Equation 1 to be 0.50 ± 0.04 and 0.55 ± 0.04 mL (CO)·min⁻¹·mmHg⁻¹·mL (blood)⁻¹, with $\bar{P}bO_2$ of 125.4 ± 8.5 and 99.1 ± 3.3 mmHg, respectively. These values were used to derive the diffusion subcomponents in mild hyperoxia and normoxia from simultaneous DL_{NO}-DL_{CO} and separate standard DL_{CO} and DL_{NO} measurements, respectively, as follows (Zavorsky et al. 2017):}}

$$DM_{NO} = \left(\frac{\theta_{NO} - 2 \cdot \theta_{CO}}{\frac{\theta_{NO}}{DL_{NO}} - \frac{\theta_{CO}}{DL_{CO}}} \right) \text{ and } V_c \left(\frac{1}{\frac{\theta_{CO}}{DL_{CO}} - \frac{\theta_{CO}}{DM_{CO}}} \right) \quad (2)$$

where DM_{CO} = DM_{NO}/1.97 according to NO/CO physical diffusivity ratio (Wilhelm et al. 1977). Predicted values for DL_{NO} and diffusion subcomponents were from Zavorsky et al.(2017).

Chest CT scanning

In 30 subjects, a thin-section CT scan obtained within 3 months before or after pulmonary function measurements was available. Scans of the entire chest had been routinely obtained in a supine position, during breath-hold at full inspiration by a multidetector row-spiral scanner (SOMATOM Emotion 6, Siemens AG Medical, Forchheim, Germany). Images were acquired by 110 kVp tube voltage at 1.25 mm slice thickness and reconstructed at 1-mm increments by using smooth (B41s) and sharp (B70s) convolution kernels. All CT scans were independently analyzed quantitatively as well as semi-

quantitatively by two experienced readers (G.B. and A.G.), with the latter being blind to clinical diagnosis and lung function data. For both analyses, the following six axial levels were considered: (1) aortic arch, (2) tracheal carina, (3) right pulmonary venous confluence, (4) mid-point between level three and level five, (5) 1 cm above the dome of the right hemi-diaphragm, and (6) 2 cm below the dome of the right hemi-diaphragm (Colombi et al. 2015). Mean lung density (g·mL⁻¹) was calculated by adding CT numbers (HU) and parenchymal volume (Gattinoni et al. 2005) measured in 2D at the six axial levels. In 13 subjects, mean lung density could also be obtained by automatic quantitative 3D analysis of the entire lungs (VIDA's Lung Volume Analysis, Coralville, IA, US). At each axial level, ground glass opacities and fibrotic changes, that is, subpleural honeycombing, reticular opacities, and traction bronchiectasis, were visually bounded and their respective volumes computed by a three-dimensional active contour segmentation software (ITK-Snap 3.6.0, Philadelphia, PA, USA) (Yushkevich et al. 2006). In each patient, the total percentages of lung volume with ground glass attenuation and/or fibrotic changes were calculated in relation to the total parenchymal volume of the six axial levels (Barisione et al. 2016).

Doppler echocardiography

In all subjects, a transthoracic Doppler echocardiography (Vivid E90, GE Healthcare, Little Chalfont, UK) was routinely obtained within 3 months before or after pulmonary function testing. In subjects with measurable tricuspid regurgitation velocity (TRV), systolic pulmonary artery pressure (sPap) was calculated as sPap = (4·TRV² + RAP), where RAP is right atrial pressure, estimated from the diameter and breath-induced variability in the inferior vena cava (Hatle et al. 1981). Values of sPap ≥ 36 mmHg were considered as suggestive of likely PAH (Greiner et al. 2014).

Statistical analysis

Lung function data were expressed as z-score, which indicates how many standard deviations a given measure differs from predicted, with a value -1.645, corresponding to the 5th percentile of the reference population, assumed as the lower limit of normal (LLN₅). Categorical variables were compared by z-test with Yates correction and continuous variables by ANOVA with Holm-Sidak post hoc test for multiple comparisons. Associations between variables were determined by Spearman's rank correlation. Data are presented as mean ± SD or median with 25–75% interquartile range (IQR_{25–75%}). In all analyses, the acceptable type I error was set at P < 0.05.

Results

Based on the standard lung function data, SSc subjects as the whole ($n = 96$) were divided into three groups, one with both TLC and DL_{CO} above the LLN_5 (SSc-N) ($n = 51$), one with DL_{CO} below the LLN_5 but TLC above the LLN_5 (SSc-D) ($n = 19$), and one with TLC below the LLN_5 with or without DL_{CO} below the LLN_5 (SSc-R) ($n = 26$). Two SSc-D subjects showed mild-to-moderate airflow obstruction, but no CT evidence of emphysema. Eighteen out of the 39 subjects with measurable TRV had CT scan analysis and nine of them showed sPap values > 36 mmHg. Although, by definition all subjects of SSc-N group had standard lung function parameters above the LLN_5 , the group mean values were significantly ($P < 0.001$) lower than in the control group.

Considering individual data, DL_{NO} was below the LLN_5 in all restricted subjects, all but two SSc-D, eight out of nine with sPap > 36 mmHg, and also 11 out of 51 SSc-N subjects (Fig. 1). DL_{CO} was below the LLN_5 in 21 out of 26 SSc-R subjects and six out of nine with sPap > 36 mmHg. DM_{CO} was below the LLN_5 in the majority but not all SSc-R subjects and V_C was below the LLN_5 in the majority but not all SSc-D subjects (Fig. 2). Overall, using LLN_5 as cut-off value, neither DL_{NO} nor DL_{CO} provided falsely positive results (100% specificity) but their sensitivities to the presence of SSc were 56% and 42%, respectively.

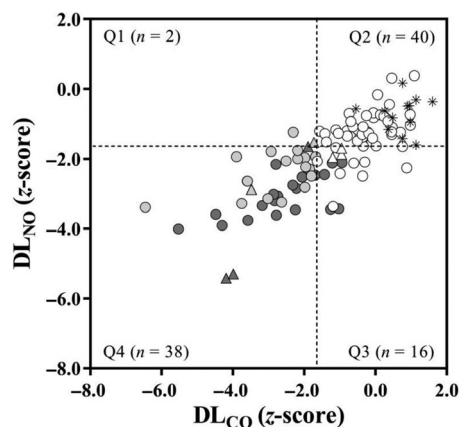


Figure 1. Relationships between the z-scores of lung diffusing capacity for nitric oxide (DL_{NO}) (y-axis) and standard (9–11 sec breath-hold time) lung diffusing capacity for carbon monoxide (DL_{CO}) (x-axis) in healthy controls (asterisks) and SSc subjects. Symbols indicate subjects with spirometry and total lung capacity (TLC) $> LLN_5$ (white), $DL_{CO} < LLN_5$ (light grey), and $TLC < LLN_5$ (dark grey). Triangles indicate subjects with systolic pulmonary artery pressure (sPap) > 36 mmHg. The dashed lines indicate the LLN_5 (z-score < -1.645). The absolute values indicate the number of the total 96 test results for DL_{NO} that fall into each quadrant (Q1–Q4).

In 30 subjects with analyzable CT scans, the median extent of fibrosis was 15% (IQR_{25–75%}, 2 to 26%), while ground glass attenuation was 1% (IQR_{25–75%}, 0 to 9%). As the between-observer agreement of CT scans was very good for both fibrosis (weighted K, 0.92; 95% confidence interval, 0.84 to 0.99) and ground glass (weighted K, 0.82; 95% confidence interval, 0.70 to 0.94), the mean of two readings was retained for analysis. Both FVC and TLC z-scores correlated significantly with mean lung density and fibrosis extent (Fig. 3A and B) but were above the LLN_5 not only in subjects with $< 5\%$ fibrosis, but also in a consistent number (11 and 8, respectively) of those with $\geq 5\%$ fibrosis. DL_{CO} and DL_{NO} also correlated significantly with mean lung density and fibrosis extent (Fig. 4A and B). However, DL_{NO} but not DL_{CO} was below the LLN_5 in all subjects with $\geq 5\%$ fibrosis. Moreover, DL_{NO} was below the LLN_5 in four out of nine subjects with $\leq 5\%$ fibrosis. There were no significant correlations between ground glass extent and any measure of lung function.

Discussion

The main findings of the present study can be summarized as follows: (1) DL_{NO} was reduced in 56% of subjects with SSc and in 22% of those with normal lung volumes and standard DL_{CO} , (2) standard DL_{CO} was normal in 30% of subjects with reduced DL_{NO} , (3) DM_{CO} and V_C partitioning did not provide consistent explanations for individual differences in DL_{NO} and DL_{CO} , and (4) both DL_{NO} and DL_{CO} were significantly correlated with CT measurements of ILD but only the former was consistently reduced in all subjects with fibrosis extent $\geq 5\%$.

Comments on methodology

To account for the possible bias due to an increased $F_{I}O_2$ (Munkholm et al. 2018) by an automatic flushing procedure with 100% O_2 before each test in the commercially available system used in the present study, we determined DM_{CO} and V_C using DL_{CO} measured either in combination with DL_{NO} or separately by standard technique (Figs. 5 and 6). These required different breath-hold times, that is, 4–6 and 9–11 sec, respectively, but this seems to have a negligible effect on final DL_{CO} values (Graham et al. 1985).

In contrast to previous studies (Overbeek et al. 2008; Pernot et al. 2012; Sivova et al. 2013; Guarnieri et al. 2015), lung function data were expressed as z-scores, instead of absolute or percentage values, to avoid age-, sex-, and height-biases. Although the 2.5th percentile (z-score of -1.96) has been suggested as a lower limit of normal for DL_{NO} measurement (Zavorsky et al. 2017), we

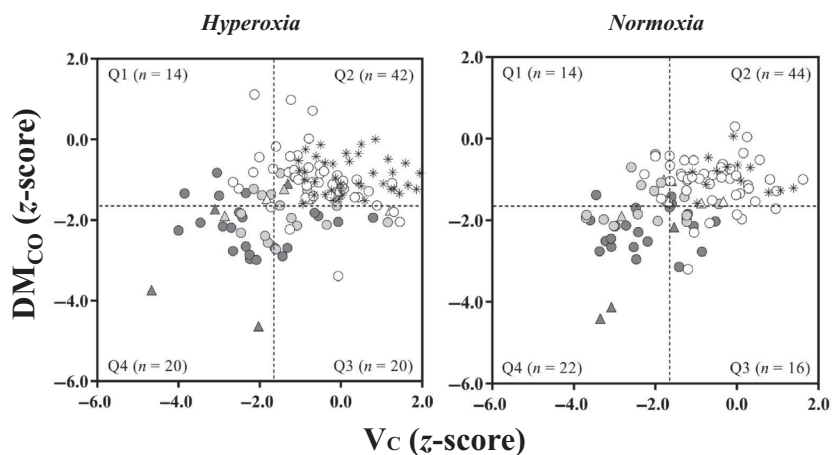


Figure 2. Relationships between the z-scores of alveolar membrane diffusive conductance for CO (DM_{CO}) (y-axis) and pulmonary capillary blood volume (V_C) (x-axis) in mild hyperoxia (left panel) and normoxia (right panel) in healthy controls (asterisks) and SSc subjects. Symbols indicate subjects with spirometry and total lung capacity (TLC) > LLN_5 (white), $DL_{CO} < LLN_5$ (light grey), and $TLC < LLN_5$ (dark grey). Triangles indicate subjects with systolic pulmonary artery pressure (sPap) > 36 mmHg. The dashed lines indicate the LLN_5 (z-score < -1.645). The absolute values indicate the number of the total 96 test results for DM_{CO} that fall into each quadrant (Q1–Q4).

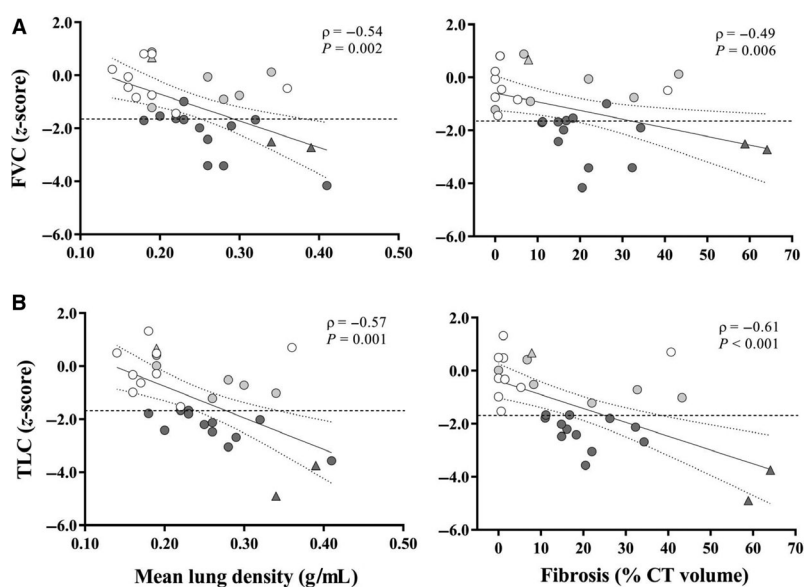


Figure 3. Correlations between mean lung density ($g \cdot mL^{-1}$) or fibrosis extent (% CT volume) and z-scores of (A) forced vital capacity (FVC) and (B) TLC. Symbols indicate SSc subjects with spirometry and TLC > LLN_5 (white), $DL_{CO} < LLN_5$ (light grey), and $TLC < LLN_5$ (dark grey) with ($n = 11$) or without ($n = 3$) $DL_{CO} < LLN_5$. Triangles indicate subjects with sPap > 36 mmHg. $CI_{95\%}$ of the best-fit regression line is marked by dotted lines whereas horizontal dashed line indicates the 5th percentile of reference values (-1.645 z-score).

used the 5th percentile (z-score -1.645) in order to make the results comparable with standard DL_{CO} and avoid false negatives in subjects with established clinical diagnosis (Quanjer et al. 1993). On the other hand, no subject of the control group had DL_{NO} , DM_{CO} , or V_C z-scores below -1.645, thus making the possibility of false positives unlikely.

Study limitations

First, the whole group of our control subjects showed a DL_{NO} mean z-score of -0.69 ± 0.53 . However, we used the reference equation (Zavorsky et al. 2017) that provided the lowest SD (0.58) of z-scores of our local dataset of healthy subjects. Moreover, all individual z-scores were

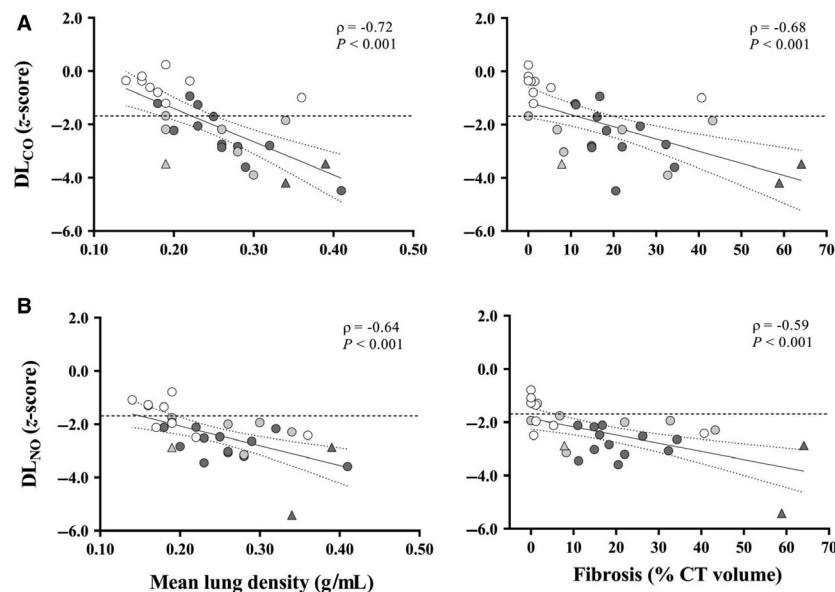


Figure 4. Correlations between mean lung density ($\text{g}\cdot\text{mL}^{-1}$) or fibrosis extent (% CT volume) and z-scores of (A) standard DL_{CO} and (B) DL_{NO} . Symbols indicate SSc subjects with spirometry and $\text{TLC} > \text{LLN}_5$ (white), $\text{DL}_{\text{CO}} < \text{LLN}_5$ (light grey), and $\text{TLC} < \text{LLN}_5$ (dark grey) with ($n = 11$) or without ($n = 3$) $\text{DL}_{\text{CO}} < \text{LLN}_5$. Triangles indicate subjects with $\text{sPap} > 36$ mmHg. $\text{CI}_{95\%}$ of the best-fit regression line is marked by dotted lines whereas horizontal dashed line indicates the 5th percentile of reference values (-1.645 z-score).

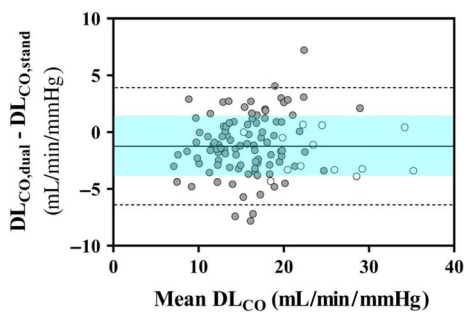


Figure 5. Bland-Altman plot of the difference between absolute values of lung diffusing capacity for CO measured in mild hyperoxia ($\text{DL}_{\text{CO,dual}}$) and normoxia ($\text{DL}_{\text{CO,stand}}$) (y -axis) vs. mean DL_{CO} value (x -axis) in healthy controls (white circles) and SSc subjects (grey circles). The standard deviation (SD) of mean difference is bounded by the shaded area included between the horizontal dashed lines indicating 95% confidence interval ($\text{CI}_{95\%}$). It is noteworthy the scattered fluctuations of data around the mean value and the limits of agreement exceeding $\text{CI}_{95\%}$ in four cases.

definitely above the quoted LLN_5 (-1.645) for DL_{NO} . *Second*, only 30 CT scans taken within 3 months from lung function studies were considered to reduce temporal variability and were analyzed at six axial levels. The CT images of 13 subjects were also suited for advanced quantitative analysis using all CT slices, and mean lung density was strongly correlated with that of the six axial levels ($r = 0.99$; $P < 0.001$). Thus, it seems reasonable to

assume that the latter was representative of the whole lungs. *Third*, sPap was derived from TRV, which may not be detectable by transthoracic Doppler echocardiography in about half of subjects with invasively determined PAH (O'Leary et al. 2018). Although an estimated $\text{sPap} > 50$ mmHg has been arbitrarily recommended by previous guidelines to make PAH likely (Galiè et al. 2009), in a large recent study values ≥ 36 mmHg have been shown to provide a $> 90\%$ positive predictive value (Greiner et al. 2014). Nevertheless, PAH cannot be ruled out in subjects with undetected TRV. *Fourth*, while lung function tests were obtained in a sitting position, CT scans were acquired in supine posture, which might have altered V_C (Cotton et al. 1990) and possibly mean lung density. It seems, however, unlikely that changes in body position might have altered the extent of fibrotic changes. *Finally*, 40 ppm of NO in the inspired gas could decrease hypoxic pulmonary vasoconstriction (Asadi et al. 2015), but this was found for PAO_2 values < 60 mmHg (Glenny and Robertson 2011), thus well below the values of 125.4 ± 8.5 and 99.1 ± 3.3 mmHg calculated for the hyperoxic and normoxic conditions of this study, respectively.

Comments on results

The value of DL_{CO} partitioning into DM_{CO} and V_C sub-components was investigated in five previous studies, two

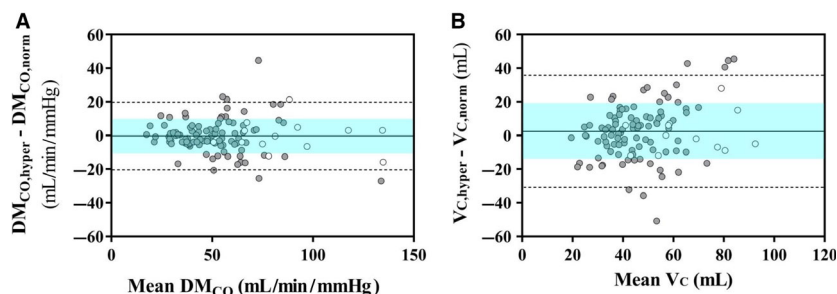


Figure 6. Bland-Altman plots of the difference between absolute values of alveolar membrane diffusive conductance for CO (DM_{CO}) (left panel) and pulmonary capillary blood volume (V_C) (right panel) both derived in mild hyperoxia and normoxia versus their respective mean value (x-axis) in healthy controls (white circles) and SSc subjects (grey circles). The SD of mean differences is bounded by the shaded areas included between the horizontal dashed lines indicating $CI_{95\%}$. It is noteworthy the scattered fluctuations of data around the mean value of the relevant parameter and the limits of agreement exceeding $CI_{95\%}$ in six and seven cases for DM_{CO} and V_C , respectively.

using the multistep PAO_2 method (Overbeek et al. 2008; Pernot et al. 2012) and three using the DL_{NO} - DL_{CO} method (Sivova et al. 2013; Guarnieri et al. 2015; Degano et al. 2017). Altogether, these studies showed that DM_{CO} could be reduced in subjects with ILD, irrespective of the presence of PAH (Overbeek et al. 2008; Pernot et al. 2012; Sivova et al. 2013; Guarnieri et al. 2015; Degano et al. 2017), but also in subjects with isolated PAH without ILD (Guarnieri et al. 2015). By contrast, V_C was reduced in subjects with PAH without ILD (Guarnieri et al. 2015; Degano et al. 2017) but highly variable in subjects with ILD, whether associated with PAH (Overbeek et al. 2008; Pernot et al. 2012; Sivova et al. 2013; Guarnieri et al. 2015; Degano et al. 2017), or not (Overbeek et al. 2008; Pernot et al. 2012; Guarnieri et al. 2015; Degano et al. 2017). Although it has been suggested that DL_{NO} may be more sensitive than standard DL_{CO} in subjects without ILD or PAH (Guarnieri et al. 2015), none of the above studies reported direct comparisons between these two measures in individual subjects.

Consistent with previous investigations (Abramson et al. 1991; Steen et al. 1992; Jacobsen et al. 1997; Guarnieri et al. 2015; Degano et al. 2017), a substantial number of SSc subjects of the present study had standard lung function measurements, including DL_{CO} , within their respective normal ranges, that is, the 90% confidence interval. New findings of this study are that DL_{NO} was reduced not only in all subjects with restriction, but also in 95% of those with reduced DL_{CO} but no restriction, and about 22% of those with otherwise normal lung function. Consistent with these findings is the reduction in DL_{NO} also observed in subjects with minimal or no fibrosis on CT scan. By converse, DL_{CO} was normal in 30% of subjects with reduced DL_{NO} , including individuals with lung restriction or likely PAH. In keeping with this finding is the normal DL_{CO} also observed in some subjects with 10–40% fibrosis on CT scan. As 70–80% of the

total resistance to CO uptake is deemed to be in the blood red cells (Guénard et al. 1987; Borland and Higgenbottam 1989), a reduction in DL_{CO} without lung restriction has been considered as a sign of PAH (Wilson et al. 1964; Steen et al. 1992). Moreover, as the red cell resistance to NO uptake is only 40% of total (Borland et al. 2014), DL_{CO} should be expected to be reduced in subjects without restriction or ILD more than DL_{NO} and this difference be associated with V_C rather than DM_{CO} decrement. Neither of these predictions is supported by the present findings.

A possible reason for DL_{NO} being more sensitive than DL_{CO} even in the absence of restriction or fibrosis may be that DM_{CO} is not simply related to membrane thickness and alveolar surface but to total gas exchange area, including vessel and red cell surfaces, whereas V_C is related to pulmonary blood volume only (Kang and Sapoval 2016). Disproportionate reductions in gas exchange area may be the consequence of geometric heterogeneity of vascular bed, due to capillary remodeling or obliteration with blood volume being redistributed to unaffected lung regions, thus reducing DM_{CO} but not total V_C (Pande et al. 1975; Oppenheimer et al. 2006). DM_{CO} impairment might be also the consequence of uneven blood red cell distribution within the alveolar capillaries with enhanced erythrocyte clustering and deformation (Hsia et al. 1997) due to increased plasma viscosity (Spengler et al. 2004), thus reducing their surface but not volume (Oppenheimer et al. 2006). These mechanisms are in keeping with previous data from Farha et al. (2013) showing that decreased gas transfer in idiopathic PAH may be due to loss of either DM_{CO} or V_C and may explain the variability in results of the present study in subjects with sPap > 36 mmHg. Another reason for decreased DM_{CO} without V_C changes could be a thickening of alveolar-to-capillary membrane by the presence of interstitial edema. However, there was a strict

correlation between mean lung density and % fibrosis extent ($r = 0.80$; $P < 0.001$, data not shown) and there was no significant ground glass attenuation in most of subjects, which makes this mechanism unlikely. On the other hand, owing to the strict dependence of DL_{CO} on specific blood θ_{CO} (Roughton and Forster 1957), a preserved V_C may attenuate the effects of alveolar-to-capillary membrane thickening by expanding the surface area available for gas exchange, which may explain the preserved DL_{CO} in subjects with lung restriction or fibrosis.

Conclusions

Collectively, the results of the present study suggest that the measurement of DL_{NO} may be of clinical value in the diagnostic workup of SSc, because it is more sensitive than DL_{CO} , either in the presence or absence of lung restriction or fibrosis. However, DM_{CO} and V_C partitioning does not seem to be useful to tell whether different results of DL_{NO} and DL_{CO} are primarily due to vascular or interstitial lung disease in individual subjects. Finally, decreased DL_{CO} in the absence of lung restriction does not allow to suspect PAH without ILD.

Conflict of Interest

G.B., A.G., M.B. and M.P. have no financial/nonfinancial interests to disclose; M.O. received personal fees from Imbio LLC for consultancies and a grant for Ph.D. course from Menarini Foundation; V.B. received personal fees and nonfinancial support for consultancy, given lecture, and travel reimbursement from ndd Medizintechnik.

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