



This is the peer reviewed version of the following article: Carbó, Anna, Neus Teixidó, Josep Usall, and Rosario Torres. 2019. "Verifying The Biocontrol Activity Of Novel Film-Forming Formulations Of Candida Sake CPA-1: Resilience In Relation To Environmental Factors, Rainfall Episodes, And Control Of Botrytis Cinerea On Different Hosts". *Journal Of The Science Of Food And Agriculture* 99 (11): 4969-4976. doi:10.1002/jsfa.9731, which has been published in final form at <https://doi.org/10.1002/jsfa.9731>. This article may be used for non-commercial purposes in accordance with Wiley Terms and Conditions for Use of Self-Archived Versions <http://www.wileyauthors.com/self-archiving>.

Document downloaded from:



1 **Verifying the biocontrol activity of novel film-forming formulations of *Candida sake* CPA-**
2 **1: resilience in relation to environmental factors, rainfall episodes, and control of *Botrytis***
3 ***cinerea* on different hosts**

4
5 **Short running title** (less than 80 characters): Verifying the potential of novel film-forming
6 formulations of *C. sake* CPA-1

7
8 Anna Carbó, Neus Teixidó, Josep Usall, Rosario Torres*
9 IRTA, XaRTA-Postharvest, Edifici Fruitcentre, Parc Científic i Tecnològic Agroalimentari de
10 Lleida, 25003 Lleida, Catalonia, Spain.

11
12 ***Corresponding author:** Rosario Torres Sanchis

13 **Address:** IRTA, XaRTA-Postharvest, Edifici Fruitcentre, Parc Científic i Tecnològic
14 Agroalimentari de Lleida, 25003 Lleida, Catalonia, Spain

15 **Phone number:** 973003429

16 **e-mail:** rosario.torres@irta.cat

17
18
19
20
21
22
23
24
25
26
27
28

29 **Abstract:**

30 **BACKGROUND:**

31 The efficacy of *C. sake* CPA-1 as a biocontrol agent against several diseases has been studied
32 since it was isolated twenty years ago. However, it was only recently that two suitable and
33 effective film-forming formulations based on potato starch and maltodextrins were developed by
34 the fluidised-bed spray-drying system. The present work aimed to confirm the capability of both
35 novel formulations by testing their resilience on grapes under different temperatures (0 °C, 22 °C
36 and 30 °C), relative humidities (40% and 85%) and simulated rainfall. Another objective was to
37 examine control of *Botrytis cinerea* in different hosts.

38 **RESULTS:**

39 CPA-1 cells from both dried formulations survived better than the liquid formulation on grapes
40 stored at 0 °C and 22 °C regardless of the relative humidity. After simulated rainfall, potato starch
41 formulation achieved significantly higher populations than maltodextrin formulation, although
42 the highest reduction was -1.6 Log N N₀⁻¹. A positive effect of cell establishment prior to the
43 simulated rainfall was shown, and recovered cells from the potato starch formulation were
44 significantly higher after 72 h of cell establishment. Finally, both formulations reduced the
45 incidence and severity of *B. cinerea* on pears, apples and tomatoes.

46 **CONCLUSION:**

47 The potential of these novel film-forming formulations of *C. sake* CPA-1 was verified. The
48 resilience of formulated *C. sake* was better than the commercialised liquid formulation, the
49 adherence of the formulations on the grapes improved after an establishment period prior to rain
50 exposure, and the control of *B. cinerea* was verified in wider range of hosts.

51

52 **Keywords:** temperature, relative humidity, rainfall, *B. cinerea*, efficacy, fluidised-bed spray-
53 drying

54

55

56

57 1. Introduction

58 Twenty years ago, *Candida sake* CPA-1 was isolated from the surface of apples,¹ and since then,
59 it has been thoroughly studied. Growth conditions of CPA-1 were optimised² and several
60 formulations were tested,³⁻⁷ although only a liquid formulation called Candifruit™ was
61 commercialised.

62 The potential of *C. sake* CPA-1 as a biocontrol agent (BCA) is well-known and recent studies,
63 together with technological advances, have allowed for the optimisation of two fluidised-bed
64 spray-dried formulations with biodegradable coatings on their composition.⁸

65 However, the commercialisation of a biocontrol product is the most difficult stage in its
66 development,⁹ and an effort to anticipate any possible obstacles to commercialisation could be
67 important to simplify the process.¹⁰ The maintenance of cell viability⁹ and abiotic stress
68 tolerance¹¹ are crucial attributes for the commercialisation of yeasts used as BCAs, because of
69 this, packaging and storage conditions for both film-forming formulations of CPA-1 have been
70 optimised to maintain cell viability after 21 months (Carbó *et al.*, unpublished data). The spectrum
71 of activity of BCAs is usually criticised¹², and in general, the spectrum might be quite specific for
72 few pathogens and no systematically more generic, or even some BCAs could be efficient against
73 one pathogen but they improved the development of other¹³. In this sense, it could be easier to
74 extend the use of the BCA products to other hosts instead to other pathogens. Abiotic stress
75 tolerance after application and the efficacy of these novel formulations on different hosts are still
76 unknown.

77 The efficacy of fresh *C. sake* CPA-1 cells was demonstrated against the major postharvest fungal
78 pathogens on pome fruits, such as *Penicillium expansum*, *Rhizopus stolonifer* and *Botrytis*
79 *cinerea*.¹ The efficacy of liquid formulations of CPA-1 was also shown against *B. cinerea* on
80 grapes in laboratory assays¹⁴ and under field conditions with different strategies,¹⁴⁻¹⁷ including
81 the addition of biodegradable coatings. Liquid formulations of *C. sake* CPA-1 also significantly
82 reduced sour rot severity under field conditions.¹⁸ The efficacy of both novel film-forming
83 formulations of CPA-1 was demonstrated against *B. cinerea* on grapes in a laboratory-based
84 assay.⁸ In addition, grey mould incidence and severity together with sour rot severity were

85 significantly reduced under field conditions during two growing seasons¹⁹. *B. cinerea* produces
86 significant losses in more than 200 crops worldwide²⁰ and over 1400 plant species as possible
87 hosts²¹; grey mould of grapes, berries, fruits, and tomatoes is one of the most common diseases
88 produced by *B. cinerea*²². Therefore, it would be beneficial if biocontrol products could be
89 effective against *B. cinerea* on different hosts in order to increase their potential for
90 commercialisation.

91 Abiotic stress tolerance of BCAs is usually a weakness of biocontrol products, and ambient
92 temperature is one of the major stresses to be confronted by yeasts.²³ *C. sake* CPA-1 was able to
93 grow slowly at 1 °C on apples,²⁴ and it shows a very wide tolerance from an ecological point of
94 view.²⁵ Consequently, *C. sake* CPA-1 could be effective in pre- and postharvest applications, and
95 this extended range of application is an advantage over other BCAs which can only be applied
96 under controlled conditions.²⁶

97 Rainfall events are probably most responsible for treatment wash-off after preharvest applications
98 of BCAs, but there is a dearth of studies about this subject. Fortunately, the effect of simulated
99 rainfall on *C. sake* CPA-1 blended with a commercial additive called Fungicover[®] was evaluated
100 with positive results after an establishment period.²⁷ Fungicover[®] was also added to the liquid
101 formulation of *C. sake* CPA-1¹⁴⁻¹⁶ and other BCAs as *Bacillus ginsengihum*²⁸ to improve cell
102 survival under field conditions. However, this additive is expensive and mixture before
103 application was inconvenient. Therefore, solid formulations that included biodegradable coatings
104 were optimised⁸ with the aim of achieving high survival and the establishment of CPA-1 cells
105 under field conditions without the further use of any additives.

106 At the end of the optimisation of a biocontrol product, experts should be able to answer many
107 questions about advantages over other products, efficacy, survival under abiotic conditions, or
108 market size.²⁹ In connection therewith, the development process of both film-forming CPA-1
109 formulations was followed stepwise to provide satisfactory answers to these questions^{8,17,19,24,30,31}.

110 The present study aimed to verify the potential of two recently developed *C. sake* CPA-1
111 formulations using fluidised-bed spray-drying system⁸, and the specific objectives were as
112 follows: (i) to examine CPA-1 resilience on wine grape berries under controlled conditions of

113 temperature (0 °C, 22 °C and 30 °C) and relative humidity (RH) (40% and 85%); (ii) to determine
114 the effect of simulated rainfall with different rain intensities and rain volumes on CPA-1
115 formulations applied to wine grapes; (iii) to evaluate the effect of different periods of cell
116 establishment prior to rain wash; and (iv) to test the efficacy of CPA-1 film-forming formulations
117 to control *B. cinerea* on the surface of different hosts, such as apples, pears and tomatoes.

118 **2. Materials and methods**

119 **2.1. The biocontrol agent**

120 The assays conducted in the present study were carried out with the yeast strain CPA-1 of *C. sake*.
121 CPA-1 belongs to the Collection of Postharvest Pathology Group of IRTA (Lleida, Catalonia,
122 Spain) and was obtained originally from University of Lleida-IRTA. CPA-1 was deposited in the
123 Colección Española de Cultivos Tipo (CECT-10817) at the University of Valencia, Burjassot,
124 Spain.

125 *C. sake* CPA-1 stock cultures were stored in Criobilles tubes (Criobilles AEB 400100, AES
126 Laboratory, Comburg, France) at -80 °C for long term storage. When required, CPA-1 cells were
127 sub-cultured on nutrient yeast dextrose agar plates (NYDA: nutrient broth, 8 g L⁻¹; yeast extract,
128 5 g L⁻¹; dextrose, 10 g L⁻¹; and agar, 15 g L⁻¹) at 25 °C for 48 h. After growth, yeast cells were
129 sub-cultured to use or to store at 4 °C on NYDA plates for a short time.

130 Biomass production was conducted as described by Abadias *et al.*². Briefly, cells were produced
131 in a liquid fermentation system with a 5 L working volume (BIOSTAT-A modular fermenter,
132 Braun Biotech International, Germany) at an initial concentration of 10⁶ CFU mL⁻¹ for 40 h.
133 Starter inoculum was prepared by transferring sub-cultured cells to a potassium phosphate buffer
134 (pH 6.5; KH₂PO₄ 0.2 mol L⁻¹, 70 ml; K₂HPO₄ 0.2 mol L⁻¹, 30 ml and deionized water, 300 mL).

135 **2.2. *C. sake* CPA-1 formulations**

136 The experiments were conducted with two solid and film-forming formulations of the biocontrol
137 agent *C. sake* CPA-1. Both of the following formulations were optimised with the fluidised-bed
138 spray-drying system described by Carbó *et al.*⁸: (i) the potato starch formulation (PS) and (ii) the
139 maltodextrin formulation (MAL). When required, (iii) Candifruit™ (CS) was used as a liquid and
140 non-film-forming formulation to compare with both solid and film-forming formulations.

141 Dried formulations were rehydrated with the necessary sterile water depending on the required
142 concentration, and were then shaken for 1 min and allowed to rehydrate for 9 min.

143 **2.3. Influence of abiotic factors on *C. sake* CPA-1 formulations**

144 **2.3.1. Plant material**

145 The influence of abiotic factors on *C. sake* CPA-1 formulations was evaluated on wine grapes.
146 Grapes were washed with tap water to remove possible residues and were left to dry at room
147 temperature. Then, the grapes were cut into clusters leaving the pedicel attached, and five-berry
148 clusters were used to evaluate the resilience of CPA-1 formulations under controlled conditions
149 of temperature and RH, whereas twenty-berry clusters were exposed to simulated rainfall. Four
150 clusters formed one replicate and each treatment consisted of four replicates.

151 **2.3.2. Treatments application**

152 Each treatment (four clusters × four replicates) was placed onto a grid and all CPA-1 treatments
153 were sprayed using a motorised backpack sprayer (model WJR2225; Honda Motor Company Ltd,
154 Frankfurt, Germany) with 1 mm nozzle and 15 bar pressure until run-off. After air drying, the
155 grids were placed into trays and treated grapes were stored under controlled conditions of
156 temperature and RH or exposed to simulated rainfall.

157 **2.3.3. Resilience of *C. sake* CPA-1 formulations on grape berries under controlled** 158 **conditions of temperature and RH**

159 In this assay, wine grapes (cultivar “Tempranillo”) were treated at 2.5×10^7 CFU ml⁻¹ with both
160 fluidised-bed spray-dried formulations and with Candifruit™. Treated grapes were exposed to six
161 different scenarios: (i) 0 °C and 40% RH; (ii) 0 °C and 85% RH; (iii) 22 °C and 40% RH; (iv) 22
162 °C and 85% RH; (v) 30 °C and 40% RH; and (vi) 30 °C and 85% RH. Climatic chambers
163 programmed at 0 °C, 22 °C or 30 °C were used to control the temperature. For each RH value,
164 treated grapes were placed inside a sealed plastic chamber with a dehumidifier (FDC32S, FRAL,
165 Carmignano di BR., PD, Italy) to maintain the RH with ±10% variation. External data loggers
166 (Testo 175H1, Testo Inc., Sparta Township, NJ, USA) were used to monitor temperature and RH
167 during storage. The assay was carried out during 30 days at 0 °C, 15 days at 22 °C and only two
168 days at 30 °C due to the grapes’ increased damage under elevated temperatures.

169 Depending on the scenario, quantification of *C. sake* cells on berry surfaces was undertaken at
170 different times as described by Calvo-Garrido *et al.*²⁷. Briefly, at each timepoint, the grapes of
171 each replicate were placed into Erlenmeyer flasks with 50 ml of buffer phosphate. Flasks were
172 then shaken for 20 min at 150 rpm on a rotatory shaker and sonicated for 10 min in an ultrasonic
173 bath (JP Selecta S.L., Abrera, Spain). The viability of the cells was checked by plating on NYDA
174 with a serial ten-fold dilution of the washings, then colonies were counted after incubating at 25
175 °C for 48 h. Data were expressed as CFU g⁻¹.

176 **2.3.4. Adherence of *C. sake* CPA-1 formulations on berry surface under simulated** 177 **rainfall**

178 Two different assays were carried out to evaluate the wash-off caused by simulated rainfall on
179 both fluidised-bed spray-dried formulations of *C. sake* CPA-1 applied at 5×10⁷ CFU ml⁻¹ on
180 grapes. (i) In the first approach, the effect of three rain intensities (60, 100 and 150 mm h⁻¹) and
181 three rain volumes (20, 60 and 120 mm) was evaluated on grapes (cultivar “Monastrell”) treated
182 as previously described. Treated grapes not exposed to simulated rainfall were used as a control.
183 All wash-off resistance results were expressed in relation to the control. (ii) In the second
184 approach, grapes (cultivar “Macabeu”) treated with *C. sake* CPA-1 were incubated at 20 °C and
185 85% RH during periods of 24, 48 and 72 h prior to being exposed to rainfall to evaluate the
186 influence of an establishment period on the wash-off caused by simulated rainfall. Treated and
187 incubated grapes which were not exposed to simulated rainfall were used as a control. The rainfall
188 conditions which produced the highest *C. sake* CPA-1 wash-off in the first approach were used
189 for this trial.

190 Rainfall was simulated as described by Calvo-Garrido *et al.*²⁷. Briefly, a metallic box (100 × 50 ×
191 20 cm) with a drop generator system at the bottom was used as rainfall simulator. Treated grapes
192 were placed 1.5 m above with a moving fan located in front of the water curtain to avoid the
193 continuous impact of drops on the same part of the cluster. Rain intensity was measured before
194 and after each rain event and it was regulated by maintaining a constant water layer in the metallic
195 box.

196 Quantification of *C. sake* cells on berry surfaces was done as described by Carbó *et al.*³¹ with
197 minor modifications. For each replicate, five berries of each of the four twenty-berry clusters were
198 cut leaving the pedicel attached and introduced into sterile plastic filter bags (BagPage 400 mL,
199 Interscience BagSystem, ST Nom la Brètech, France) with 50 ml sterile water supplemented with
200 Tween 80 (one drop per litre). Bags were homogenised in a Stomacher blender (Masticator Basic
201 400 mL, IUL S.A., Torrent de l'Estadella, Barcelona, Spain) for 10 min. The viability of cells
202 was checked as described above.

203 The rate of reduction was calculated as $\text{Log } N N_0^{-1}$, where N_0 represents the total CFU g^{-1} recovered
204 from treated grapes which were not exposed to rainfall, and N was the amount recovered after a
205 simulated rainfall event.

206 **2.4. Efficacy of *C. sake* CPA-1 formulations against *B. cinerea* on apple, tomato and** 207 **pear**

208 The efficacy of both fluidised-bed spray-dried formulations of *C. sake* CPA-1 was evaluated
209 against *B. cinerea* on (i) apples (cultivar “Golden delicious”); (ii) pears (cultivar “Conference”);
210 and (iii) tomatoes (cultivar “Marglobe”) to test the efficacy of these novel formulations on
211 different hosts. The efficacy of freshly made formulations (PS0 and MAL0) and formulations
212 stored for 6 months (PS6 and MAL6) were tested together with Candifruit™ (CS) as a positive
213 control. Deionised water was used as a negative control (CK). Each treatment was replicated four
214 times with five fruits per replicate.

215 All the fruits were washed with tap water and left to dry, then they were wounded with a nail to
216 produce an injury (3 mm in diameter × 3 mm in depth). In each wound, 15 μL of each treatment
217 was applied preventively at 2.5×10^7 CFU mL^{-1} . Once the treatments dried, 15 μL of the pathogen
218 *B. cinerea* at 10^4 conidia mL^{-1} was added in each wound. Then, the fruits were allowed to dry
219 again at room temperature, and after that they were incubated at 20 °C and 85% RH until the
220 determination of incidence and severity, which were measured as the number of infected wounds
221 and the rot lesion diameters, respectively. Apples were incubated for 3 days, whereas pears and
222 tomatoes were incubated for 4 days.

223

224 **2.5. Statistical analysis**

225 To evaluate the resilience of *C. sake* CPA-1 formulations under controlled conditions of
226 temperature and relative humidity and the adherence of the yeast after the rainfall simulation, data
227 were analysed using one-way ANOVA. Differences at $P < 0.05$ were considered to be significant
228 and means separations were obtained by Tukey's test. The efficacy of CPA-1 treatment was
229 analysed using a generalised linear model (GLIM). Incidence response was based on a binomial
230 distribution and logit-link function and severity response was based on a normal distribution and
231 identity link function. For GLIM analysis, means separations were obtained by orthogonal
232 contrasts. Also differences at $P < 0.05$ were considered to be significant. Data analysis was
233 performed using JMP 13 software (SAS Institute Inc., Cary, NC).

234 **3. Results**

235 **3.1. Resilience of *C. sake* CPA-1 formulations on grape berries under controlled**
236 **conditions of temperature and RH**

237 Storage temperature and RH influenced the viability of different formulations of *C. sake* CPA-1
238 applied on grapes (Fig. 1). Viability of CPA-1 from both solid formulations followed a similar
239 trend at 0 °C regardless of the RH. In fact, no treatment showed significant differences due to RH
240 (CS: $F_{1,41} = 0.0891$, $P = 0.7669$; MAL: $F_{1,45} = 0.0106$, $P = 0.9184$; and PS: $F_{1,44} = 0.2789$, $P =$
241 0.6001). Survival of dried *C. sake* cells from grape surfaces was always higher than for the liquid
242 formulation cells without coatings (Fig. 1a, 1b), and both film-forming formulations achieved
243 significantly higher populations after 30 days at 0 °C. Under these conditions, the solid
244 formulations nearly retained the initial viability of CPA-1, whereas the viability of the liquid
245 formulation decreased by 3.2 Log CFU g⁻¹ at 40% RH and 1.4 Log CFU g⁻¹ at 85% RH.

246 Differences in CPA-1 survival between liquid and solid formulations were lower when the treated
247 grapes were stored at 22 °C, although significant differences were observed among treatments at
248 the end of the assay (Fig. 1c, 1d). Regardless of the RH, recovered populations from solid film-
249 forming formulations were always significantly higher after 15 days of storage at 22 °C.
250 Significant differences were also observed between 40% and 85% RH for all the treatments stored
251 at 22 °C (CS: $F_{1,42} = 27.4378$, $P < 0.0001$; MAL: $F_{1,46} = 49.7546$, $P < 0.0001$; and PS: $F_{1,44} =$

252 37.0540, $P < 0.0001$), and the greatest resilience of CPA-1 was obtained when the grapes were
253 stored at 85% RH. Under these favourable conditions all the formulations showed increased CPA-
254 1 concentration on the grape surface of 0.5 Log CFU g⁻¹ (liquid formulation) to 1.3 Log CFU g⁻¹
255 (MAL solid formulation). After 15 days at 22 °C and 40% RH, the viability of solid formulations
256 decreased by approximately 1 Log CFU g⁻¹, although the viability of the liquid formulation
257 decreased by 2.5 Log CFU g⁻¹ (Fig. 1c).
258 The shelf life of detached grapes at high temperatures was very short and despite the CPA-1
259 viability showing almost no decrease, the grapes were greatly damaged after two days of storage
260 at 30 °C (Fig. 1e, 1f). No significant differences were observed among treatments after two days
261 at 30 °C, and differences between RH were only observed for the PS formulation, which showed
262 resilience significantly higher at 85% RH (CS: $F_{1,16} = 3.7172$, $P = 0.0718$; MAL: $F_{1,22} = 0.9014$,
263 $P = 0.3527$; and PS: $F_{1,22} = 13.1412$, $P = 0.0015$).

264 **3.2. Adherence of *C. sake* CPA-1 formulations on berry surface under simulated** 265 **rainfall**

266 **3.2.1. *C. sake* CPA-1 wash-off under different intensity and rain volume**

267 Performance of both fluidised-bed spray-dried formulations under the same conditions of
268 simulated rainfall was significantly different. In general, the potato starch formulation achieved
269 significantly higher populations of CPA-1 cells than the maltodextrin formulation for all the tested
270 intensities and rain volumes with the exception of the most intensive wash-off (120 mm and 150
271 mm h⁻¹) (Fig. 2). However, differences in intensities at the same rain volume were not observed,
272 and significant differences in intensity among rain volumes were only observed for the potato
273 starch formulation (Fig. 2b).

274 Specifically, CPA-1 reductions for the potato starch formulation were from -0.5 Log N N₀⁻¹ (20
275 mm and 60 mm h⁻¹) to -1.4 Log N N₀⁻¹ (120 mm and 150 mm h⁻¹) (Fig. 2b). Wash-off of the potato
276 starch formulation significantly increased with the rain volume: after 20 mm of rain exposure, the
277 mean reduction of three intensities was -0.5 Log N N₀⁻¹; after 60 mm of rain, -0.9 Log N N₀⁻¹; and
278 after 120 mm of rain, -1.2 Log N N₀⁻¹. It is worth mentioning that *C. sake* adherence decreased

279 with the rain intensity after 120 mm of rain exposure, although there were not significant
280 differences among intensities within the same rain volume.

281 For the maltodextrin formulation, CPA-1 reductions due to wash-off caused by simulated rainfall
282 were from $-1.2 \text{ Log N N}_0^{-1}$ (20 mm and 150 mm h⁻¹) to $-1.6 \text{ Log N N}_0^{-1}$ (120 mm and 100 mm h⁻¹),
283 although no significant differences were observed among intensities or rain volumes for this
284 formulation (Fig. 2a).

285 **3.2.2.C. *sake* CPA-1 wash-off after cell establishment**

286 The establishment of CPA-1 cells prior exposure to rainfall (120 mm of rain with an intensity of
287 150 mm h⁻¹) affected the wash-off resistance of *C. sake* from grape surfaces (Fig. 3). In general,
288 population reductions were lower when the CPA-1 cells' establishment time increased.
289 Significant differences were observed for the potato starch formulation, whereas only a trend was
290 observed for the maltodextrin formulation.

291 Population reduction of CPA-1 in the potato starch formulation was significantly lower after 72
292 h of cell establishment. Specifically, after 72 h of establishment the population reduction was only
293 $-0.4 \text{ Log N N}_0^{-1}$, whereas without cell establishment, the cell losses were approximately -0.9 Log
294 N N_0^{-1} . Therefore, an establishment time of 72 h was necessary when the potato starch formulation
295 was applied to grapes.

296 When both formulations were compared, the results showed that the potato starch formulation
297 losses were lower regardless of the establishment time, although the differences were only
298 significant after 72 h of establishment.

299 **3.3. Control of *B. cinerea* on different hosts using *C. sake* CPA-1 formulations**

300 Biocontrol efficacy of *C. sake* CPA-1 against *B. cinerea* was tested in pears, apples and tomatoes
301 and showed good reductions of the disease (Fig. 4). The best results were achieved with pears,
302 where all the treatments significantly reduced the incidence and severity of *B. cinerea*. No
303 significant differences in severity and incidence were observed among treatments in relation to
304 the control. The liquid formulation (CS) resulted in a 100% reduction in disease incidence, and
305 the solid formulations showed disease incidence reductions of 81% (MA0) to 94% (PS6), and
306 severity reductions of 85% (MA0) to 93% (PS6) (Fig. 4a).

307 Regarding apples, the liquid formulation (CS) and the potato starch formulation after 6 months of
308 storage (PS6) also achieved a 100% reduction in disease incidence (Fig. 4b). The other tested
309 CPA-1 treatments reduced *B. cinerea* incidence by 43% (MA0) to 79% (PS0). However, in spite
310 of the high reduction, MA0 reduction was not significant ($P = 0.0545$) compared to the control.
311 All the *C. sake* CPA-1 treatments significantly reduced *B. cinerea* severity on apples compared
312 to the control, specifically, severity reductions ranged from 62% (MA0) to 100% (CS/PS6).
313 All the treatments also reduced *B. cinerea* incidence and severity on tomatoes (Fig. 4c). Incidence
314 reductions ranged from 44% (MA6/PS6) to 81% (PS0) although no significant differences were
315 obtained when MA6 ($P = 0.0506$) and PS6 ($P = 0.0577$) were compared to the control. The same
316 occurred with severity reductions and despite the finding that all the treatments reduced *B. cinerea*
317 severity, MA6 and PS6 reductions were not considered significant compared to the control.

318 **4. Discussion**

319 The present study provides relevant information on two recently optimised fluidised-bed spray-
320 dried formulations of *C. sake* CPA-1. It focuses on the resilience of CPA-1 dried cells applied to
321 wine grapes under different abiotic factors and on the matter of the spectrum of application of
322 these novel formulations.

323 Both solid formulations (PS and MAL) maintained or even increased their CPA-1 populations on
324 wine grapes incubated at 0 °C or 22 °C after 30 days and 15 days, respectively. The liquid
325 formulation populations were always significantly lower at the end of the assays; therefore, the
326 drying process did not affect the resilience of cells after rehydration. Unfortunately, wine grapes
327 showed high damage at 30 °C, and CPA-1 survival could only be evaluated up to 72 h. Regarding
328 RH, no differences between 40% and 85% RH were observed at 0 °C for any treatment, whereas
329 all the treatments showed better resilience at 85% RH when they were stored at 22°C. *C. sake*
330 CPA-1 cells kept metabolic activity low under cold storage conditions and the effect of RH was
331 insignificant. However, at 22 °C cells were active and the effect of RH gained importance. In
332 previous studies, different coating-forming dispersions also improved the viability of *C. sake*
333 CPA-1 cells applied on grapes and incubated at 20 °C for 24 h and 7 days.³² Both solid
334 formulations were applied previously on table grapes incubated at 35 °C to evaluate the impact of

335 climate change on *C. sake* CPA-1 resilience, and in that case, table grapes could be incubated up
336 to 96 h, although CPA-1 had a lower resilience at 35 °C with the existing CO₂ concentration.³¹
337 Calvo-Garrido *et al.*¹⁵ also tested the viability of CPA-1 under limiting conditions of temperature
338 and RH (40 °C and 30% RH) and yeast populations decreased approximately 3 Log CFU g⁻¹ after
339 72 h, whereas after the same time, the viability of cells remained stable at 21 °C and 100% RH.
340 Despite the low resilience of *C. sake* CPA-1 at temperatures higher than 30 °C, the efficacy of
341 fluidised-bed spray-dried formulations was demonstrated under field conditions on grapes, where
342 maximum temperatures were higher than 35 °C.¹⁹ In contrast, *C. sake* CPA-1 was isolated from
343 apples after several months in a storage atmosphere¹ and the present study showed that the PS
344 and MAL formulations maintained their viability after 30 days at 0 °C regardless of the RH.
345 Therefore, it may be possible to consider both pre- and postharvest applications as registered uses
346 for the products.

347 The potato starch formulation showed higher resistance to rain wash than the maltodextrin
348 formulation after a simulated rainfall event of 20 or 60 mm, regardless of the intensity.
349 Differences between the formulations' adherence on grapes decreased when the rain volume was
350 increased to 120 mm and became no significant after the most intensive rain wash-off (120 mm
351 and 150 mm h⁻¹). The physical properties of the formulation compounds could be the responsible
352 for the wash-off differences. Solubility analysis of both fluidised-bed spray-dried CPA-1
353 formulations was carried out in previous studies and the maltodextrin formulation exhibited the
354 fastest solubilisation.³³ In fact, maltodextrin is mainly used due to its high solubility in water,³⁴
355 whereas the solubilities of potato starch and pregelatinised potato starch are lower.³⁵ Therefore,
356 the higher adherence of potato starch formulation coincides with its lower solubility. In this way,
357 better results were obtained with a formulated product of *Bacillus amyloliquefaciens* CPA-8 based
358 on potato starch than with another composed of maltodextrin substances, both of which were
359 applied to nectarines and peaches.³⁶ Moreover, after 20 mm of rain exposure, the mean population
360 reduction in the three rain intensities for the PS formulation was approximately 0.3 Log higher
361 than that obtained in previous studies for the liquid formulations of *C. sake* CPA-1 blended with

362 Fungicover®.²⁷ In fact, it was reported that additives such as starch could provide shelter from UV
363 rays and reduce wash-off during rainfalls.³⁷

364 The establishment of CPA-1 cells before exposure to simulated rainfall reduced losses due to rain
365 wash-off. The reduction of CPA-1 cells in the PS formulation was significantly lower after 72 h
366 of establishment. The benefits of an establishment period before exposure to limiting conditions¹⁵
367 or simulated rainfall²⁷ were also demonstrated for the liquid formulation of CPA-1 blended with
368 Fungicover®. Promoting an effective establishment of BCAs could be crucial, and several studies
369 also tested some protectants to enhance the viability of cells after application and to protect BCAs
370 against abiotic factors.³⁸⁻⁴⁰

371 These results highlight the importance of the abiotic factors when BCAs are applied under field
372 conditions. Despite the fact that *C. sake* CPA-1 develops in a wide range of conditions, rainfall
373 events after application could determine its biocontrol efficacy. Therefore, the timing of
374 treatments might be determined by rainfall episodes as suggested Calvo-Garrido *et al.*²⁷. For
375 fungicides such as Mancozeb, which is applied to grapes, it is also recommended to repeat the
376 treatment when it rains the day after the application.⁴¹

377 Although formulations were developed and optimised against grey mould on grapes, the
378 possibility to control *B. cinerea* also on other hosts could increase the market size and the
379 company's interest. The formulated CPA-1 products reduced grey mould incidence and severity
380 on pears, apples and tomatoes. The most significant results were obtained on pears, with a
381 reduction of disease incidence of at least 81%. On apples and tomatoes, the reduction of disease
382 incidence was at least 43% and 44%, respectively. Severity reductions were also high in pears
383 and apples, by 62% to 100%, whereas severity decreased by 18% to 75% in tomatoes. The
384 reduction of *B. cinerea* on tomatoes was relevant because it is an economically important crop
385 which is especially affected by grey mould.²¹ The efficacy of fresh cells or different formulations
386 of *C. sake* CPA-1 was previously tested against *Penicillium expansum*,^{1,4,6,7} *B. cinerea*¹ and
387 *Rhizopus stolonifer*¹ on apples with good results. Previous studies also demonstrated the efficacy
388 of Candifruit (liquid formulation) against grey mould on tomatoes under semi-controlled

389 greenhouse conditions.⁴² For future studies, it could be interesting to test the efficacy of the PS
390 and MAL formulations against other pathogens.

391 **5. Conclusions**

392 The present study tested the resistance to rain wash of formulated CPA-1 cells applied on wine
393 grapes, confirmed the possibility of both pre- and postharvest application of these novel
394 formulations and increased their market size to control *B. cinerea* on a wider range of hosts.
395 Therefore, these fluidised-bed spray-dried formulations could be potential biocontrol products for
396 commercialisation. That said, it is still necessary to overcome extensive regulations to register
397 these products.

398 **Acknowledgements**

399 This research was supported by the INIA (Instituto Nacional de Investigación y Tecnología
400 Agraria y Alimentaria) and FEDER (Fondo Europeo de Desarrollo Regional) through national
401 projects RTA2012-00067-C02-01 and RTA2015-00037-C02-01. The research was also
402 supported by the INIA and FSE (Fondo Social Europeo) for the PhD grant awarded to A. Carbó.
403 The authors are also grateful to the CERCA Programme/Generalitat de Catalunya and the
404 technical support provided by Cristina Solsona, Celia Sánchez and Andrea Berge.

405 **Conflict of interest**

406 The authors declare that they have no conflict of interest.

407 **References**

- 408 1 Viñas, I., Usall, J., Teixidó, N. and Sanchis, V., Biological control of major postharvest
409 pathogens on apple with *Candida sake*. *International Journal of Food Microbiology* **40**:
410 9–16 (1998).
- 411 2 Abadias, M., Teixidó, N., Usall, J. and Viñas, I., Optimization of growth conditions of
412 the postharvest biocontrol agent *Candida sake* CPA-1 in a lab-scale fermenter. *Journal*
413 *of Applied Microbiology* **95**: 301–309 (2003).
- 414 3 Abadias, M., Teixidó, N., Usall, J., Solsona, C. and Viñas, I., Survival of the postharvest
415 biocontrol yeast *Candida sake* CPA-1 after dehydration by spray-drying. *Biocontrol*

- 416 *Science and Technology* **15**: 835–846 (2005).
- 417 4 Carbó, A., Torres, R., Usall, J., Fons, E. and Teixidó, N., Dry formulations of the
418 biocontrol agent *Candida sake* CPA-1 using fluidised bed drying to control the main
419 postharvest diseases on fruits. *Journal of the Science of Food and Agriculture* **97**: 3691–
420 3698 (2017).
- 421 5 Abadias, M., Usall, J., Teixidó, N. and Viñas, I., Liquid formulation of the postharvest
422 biocontrol agent *Candida sake* CPA-1 in isotonic solutions. *Phytopathology* **93**: 436–
423 442 (2003).
- 424 6 Torres, R., Usall, J., Teixidó, N., Abadias, M. and Viñas, I., Liquid formulation of the
425 biocontrol agent *Candida sake* by modifying water activity or adding protectants.
426 *Journal of Applied Microbiology* **94**: 330–339 (2003).
- 427 7 Abadias, M., Teixidó, N., Usall, J., Benabarre, A. and Viñas, I., Viability, efficacy, and
428 storage stability of freeze-dried biocontrol agent *Candida sake* using different protective
429 and rehydration media. *Journal of Food Protection* **64**: 856–861 (2001).
- 430 8 Carbó, A., Torres, R., Usall, J., Solsona, C. and Teixidó, N., Fluidised-bed spray-drying
431 formulations of *Candida sake* CPA-1 by adding biodegradable coatings to enhance their
432 survival under stress conditions. *Applied Microbiology and Biotechnology* **101**: 7865–
433 7876 (2017).
- 434 9 Droby, S., Wisniewski, M., Teixidó, N., Spadaro, D. and Jijakli, M. H., The science,
435 development, and commercialization of postharvest biocontrol products. *Postharvest
436 Biology and Technology* **122**: 4–11 (2016).
- 437 10 Droby, S., Wisniewski, M., Macarasin, D. and Wilson, C., Twenty years of postharvest
438 biocontrol research: Is it time for a new paradigm? *Postharvest Biology and Technology*
439 **52**: 137–145 (2009).
- 440 11 Liu, J., Sui, Y., Wisniewski, M., Droby, S. and Liu, Y., Utilization of antagonistic yeasts
441 to manage postharvest fungal diseases of fruit. *International Journal of Food
442 Microbiology* **167**: 153–160 (2013).
- 443 12 Droby, S., Wisniewski, M., El-Ghaouth, A. and Wilson, C., Biological control of

- 444 postharvest diseases of fruits and vegetables: Current achievements and future
445 challenges. in *Acta Horticulturae* (ed. Prange, R. K.) **628**: 703–713 (2003).
- 446 13 Haidar, R., Deschamps, A., Roudet, J., Calvo-Garrido, C., Bruez, E., Rey, P. and
447 Fermaud, M., Multi-organ screening of efficient bacterial control agents against two
448 major pathogens of grapevine. *Biological Control* **92**: 55–65 (2016).
- 449 14 Cañamás, T. P., Viñas, I., Torres, R., Usall, J., Solsona, C. and Teixidó, N., Field
450 applications of improved formulations of *Candida sake* CPA-1 for control of *Botrytis*
451 *cinerea* in grapes. *Biological Control* **56**: 150–158 (2011).
- 452 15 Calvo-Garrido, C., Teixidó, N., Roudet, J., Viñas, I., Usall, J. and Fermaud, M.,
453 Biological control of *Botrytis* bunch rot in Atlantic climate vineyards with *Candida sake*
454 CPA-1 and its survival under limiting conditions of temperature and humidity.
455 *Biological Control* **79**: 24–35 (2014).
- 456 16 Calvo-Garrido, C., Elmer, P., Viñas, I., Usall, J., Bartra, E. and Teixidó, N., Biological
457 control of botrytis bunch rot in organic wine grapes with the yeast antagonist *Candida*
458 *sake* CPA-1. *Plant Pathology* **62**: 510–519 (2013).
- 459 17 Calvo-Garrido, C., Usall, J., Torres, R. and Teixidó, N., Effective control of Botrytis
460 bunch rot in commercial vineyards by large-scale application of *Candida sake* CPA-1.
461 *BioControl* **62**: 161–173 (2017).
- 462 18 Calvo-Garrido, C., Viñas, I., Elmer, P., Usall, J. and Teixidó, N., *Candida sake* CPA-1
463 and other biologically based products as potential control strategies to reduce sour rot of
464 grapes. *Letters in Applied Microbiology* **57**: 356–361 (2013).
- 465 19 Carbó, A., Torres, R., Usall, J., Marín, A., Chiralt, A. and Teixidó, N., Novel film-
466 forming formulations of the biocontrol agent *Candida sake* CPA-1: biocontrol efficacy
467 and performance at field conditions in organic wine grapes. *Pest Management Science*
468 (2018). doi:10.1002/ps.5200
- 469 20 Williamson, B., Tudzynski, B., Tudzynski, P. and Van Kan, J. A. L., *Botrytis cinerea*:
470 The cause of grey mould disease. *Molecular Plant Pathology* **8**: 561–580 (2007).
- 471 21 Fillinger, S. and Walker, A. S., in *Botrytis – the Fungus, the Pathogen and its*

- 472 *Management in Agricultural Systems* (eds. Fillinger, S. & Elad, Y.) 189–216 (Springer,
473 2016).
- 474 22 Carisse, O., in *Botrytis – the Fungus, the Pathogen and its Management in Agricultural*
475 *Systems* (eds. Fillinger, S. & Elad, Y.) 127–148 (Springer International Publishing,
476 2016).
- 477 23 Sui, Y., Wisniewski, M., Droby, S. and Liu, J., Responses of yeast biocontrol agents to
478 environmental stress. *Applied and Environmental Microbiology* **81**: 2968–2975 (2015).
- 479 24 Usall, J., Control biologic de *Penicillium expansum* en postcollita de fruita de llavor.
480 (Universitat de Lleida (Spain), 1995).
- 481 25 Teixidó, N., Viñas, I., Usall, J., Sanchis, V. and Magan, N., Ecophysiological responses
482 of the biocontrol yeast *Candida sake* to water, temperature and pH stress. *Journal of*
483 *Applied Microbiology* **84**: 192–200 (1998).
- 484 26 Ippolito, A. and Nigro, F., Impact of preharvest application of biological control agents
485 on postharvest diseases of fresh fruits and vegetables. *Crop Protection* **19**: 715–723
486 (2000).
- 487 27 Calvo-Garrido, C., Viñas, I., Usall, J., Rodríguez-Romera, M., Ramos, M. C. and
488 Teixidó, N., Survival of the biological control agent *Candida sake* CPA-1 on grapes
489 under the influence of abiotic factors. *Journal of Applied Microbiology* **117**: 800–811
490 (2014).
- 491 28 Calvo-Garrido, C., Roudet, J., Aveline, N., Davidou, L., Dupin, S. and Fermaud, M.,
492 Microbial antagonism toward *Botrytis* bunch rot of grapes in multiple field tests using
493 one *Bacillus ginsengihumi* strain and formulated biological control products. *Frontiers*
494 *in Plant Science* **10**: 1–18 (2019).
- 495 29 Köhl, J., Postma, J., Nicot, P., Ruocco, M. and Blum, B., Stepwise screening of
496 microorganisms for commercial use in biological control of plant-pathogenic fungi and
497 bacteria. *Biological Control* **57**: 1–12 (2011).
- 498 30 Carbó, A., Torres, R., Teixidó, N., Usall, J., Magan, N. and Medina, A., Predicted
499 ecological niches and environmental resilience of different formulations of the

- 500 biocontrol yeast *Candida sake* CPA-1 using Bioscreen C. *BioControl* 855–866 (2018).
501 doi:10.1007/s10526-018-09910-4
- 502 31 Carbó, A., Torres, R., Teixidó, N., Usall, J., Medina, A. and Magan, N., Impact of
503 climate change environmental conditions on the resilience of different formulations of
504 the biocontrol agent *Candida sake* CPA-1 on grapes. *Letters in Applied Microbiology*
505 **67**: 2–8 (2018).
- 506 32 Marín, A., Cháfer, M., Atarés, L., Chiralt, A., Torres, R., Usall, J. and Teixidó, N., Effect
507 of different coating-forming agents on the efficacy of the biocontrol agent *Candida sake*
508 CPA-1 for control of *Botrytis cinerea* on grapes. *Biological Control* **96**: 108–119 (2016).
- 509 33 Marín, A., Atarés, L., Cháfer, M. and Chiralt, A., Stability of biocontrol products
510 carrying *Candida sake* CPA-1 in starch derivatives as a function of water activity.
511 *Biocontrol Science and Technology* **27**: 268–287 (2017).
- 512 34 Cano-Chauca, M., Stringheta, P. C., Ramos, A. M. and Cal-Vidal, J., Effect of the
513 carriers on the microstructure of mango powder obtained by spray drying and its
514 functional characterization. *Innovative Food Science and Emerging Technologies* **6**:
515 420–428 (2005).
- 516 35 Eliasson, A. C., in *Carbohydrates in Food* (ed. Eliasson, A.-C.) 479–578 (Taylor &
517 Francis Group, 2017).
- 518 36 Gotor-Vila, A., Usall, J., Torres, R., Ramos, M. C. and Teixidó, N., Environmental stress
519 responses of the *Bacillus amyloliquefaciens* CPA-8-formulated products on nectarines
520 and peaches. *Scientia Horticulturae* **225**: 359–365 (2017).
- 521 37 Slininger, P. J., Behle, R. W., Jackson, M. A. and Schisler, D. A., Discovery and
522 development of biological agents to control crop pests. *Neotropical Entomology* **32**:
523 183–195 (2003).
- 524 38 Jijakli, M. H. and Lahlali, R., Ecological fitness of yeasts to control postharvest diseases
525 of fruits and its impact on formulation and practical application. *Acta Horticulturae*
526 **1144**: 57–62 (2016).
- 527 39 Lahlali, R., Brostaux, Y. and Jijakli, M. H., Control of apple blue mold by the

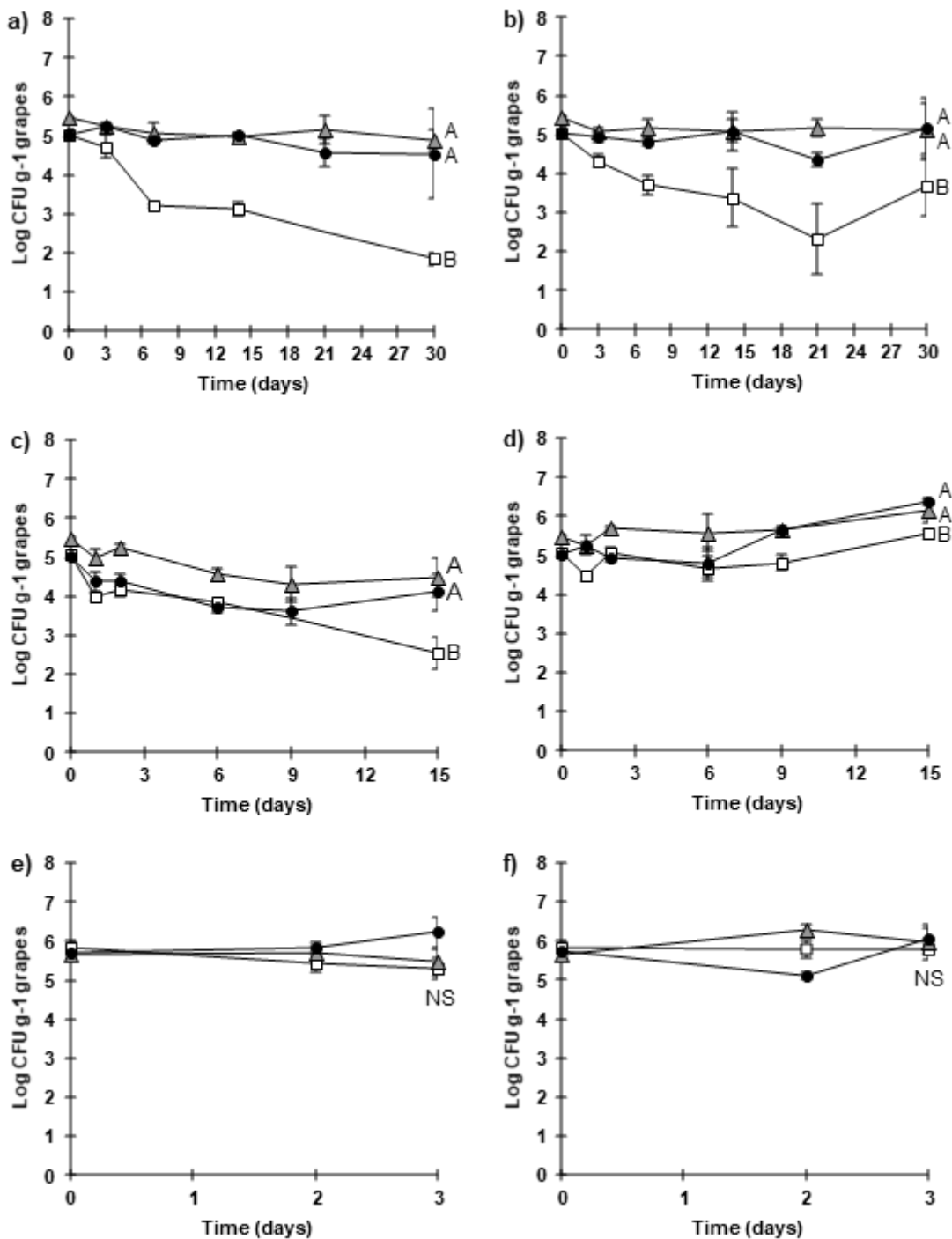
528 antagonistic yeast *Pichia anomala* strain K: screening of UV protectants for preharvest
529 application. *Plant Disease* **95**: 311–316 (2011).

530 40 Marín, A., Atarés, L. and Chiralt, A., Improving function of biocontrol agents
531 incorporated in antifungal fruit coatings: a review. *Biocontrol Science and Technology*
532 **27**: 1220–1241 (2017).

533 41 Cabras, P., Angioni, A., Garau, V. L., Melis, M., Pirisi, F. M., Cabitza, F. and Pala, M.,
534 The effect of simulated rain on folpet and mancozeb residues on grapes and on vine
535 leaves. *Journal of the Environmental Science and Health, Part B: Pesticides, Food*
536 *Contaminants, and Agricultural Wastes* **36**: 609–618 (2001).

537 42 Rodríguez, A., Acosta, A. and Rodríguez, C., Fungicide resistance of *Botrytis cinerea* in
538 tomato greenhouses in the Canary Islands and effectiveness of non-chemical treatments
539 against gray mold. *World Journal of Microbiology and Biotechnology* **30**: 2397–2406
540 (2014).

541
542
543
544
545
546
547
548
549
550
551
552
553
554
555



556

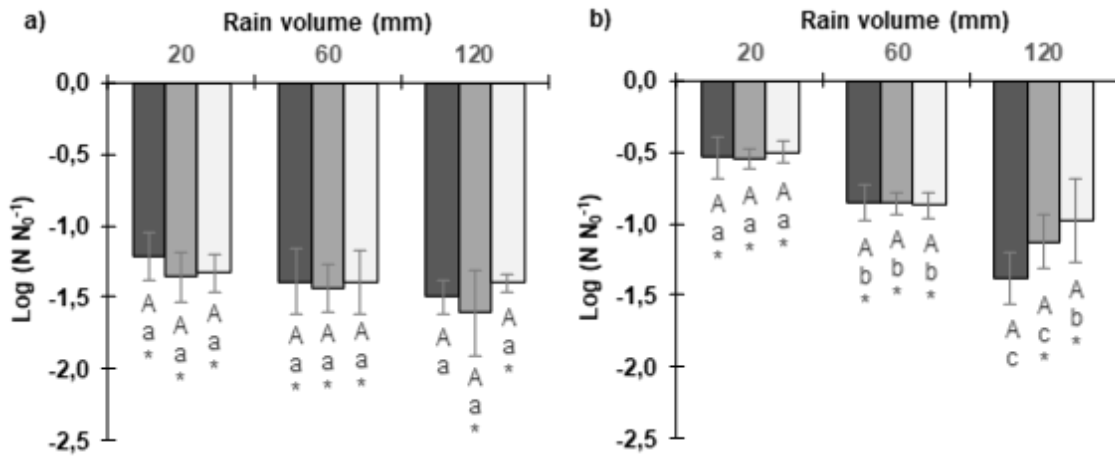
557 **Figure 1.** Resilience of *C. sake* CPA-1 formulations on grape berries under controlled conditions
 558 of temperature and RH: (a) 0 °C and 40% RH; (b) 0 °C and 85% RH; (c) 22 °C and 40% RH; (d)
 559 22 °C and 85% RH; (e) 30 °C and 40% RH; and (f) 30 °C and 85% RH. Candifruit (□), potato
 560 starch formulation (△) and maltodextrin formulation (●) are shown. Values are the mean of four
 561 replicates and vertical bars indicate standard deviation of the means. Where bars are not shown,
 562 they were smaller than the symbol size. Different letters indicate significant differences ($P < 0.05$)
 563 between treatments at the end of the assay. Mean separations were obtained by Tukey's test.

564

565

566

567



568

569 **Figure 2.** Adherence of *C. sake* CPA-1 fluidised-bed spray-dried formulations on berry surfaces
 570 after simulated rainfall at different rain volumes (mm) and intensities (mm h⁻¹). Three intensities
 571 are represented as follows: 150 mm h⁻¹ (■); 100 mm h⁻¹ (■); and 60 mm h⁻¹ (□) for both
 572 formulations (a) maltodextrin formulation, and (b) potato starch formulation. Values are the mean
 573 of four replicates and vertical bars indicate standard deviation of the means. Uppercase letters
 574 indicate significant differences in intensities within rain volume; different lowercase letters
 575 indicate significant differences in rain volumes within intensities. Asterisks indicate significant
 576 differences between formulations. Means separations were obtained by Tukey's test and
 577 considered significant at $P < 0.05$.

578

579

580

581

582

583

584

585

586

587

588

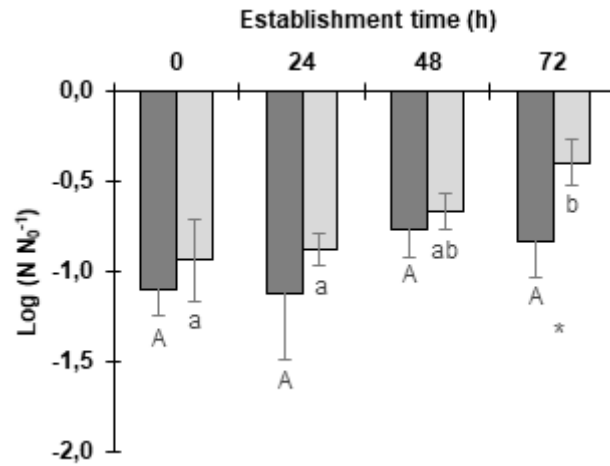
589

590

591

592

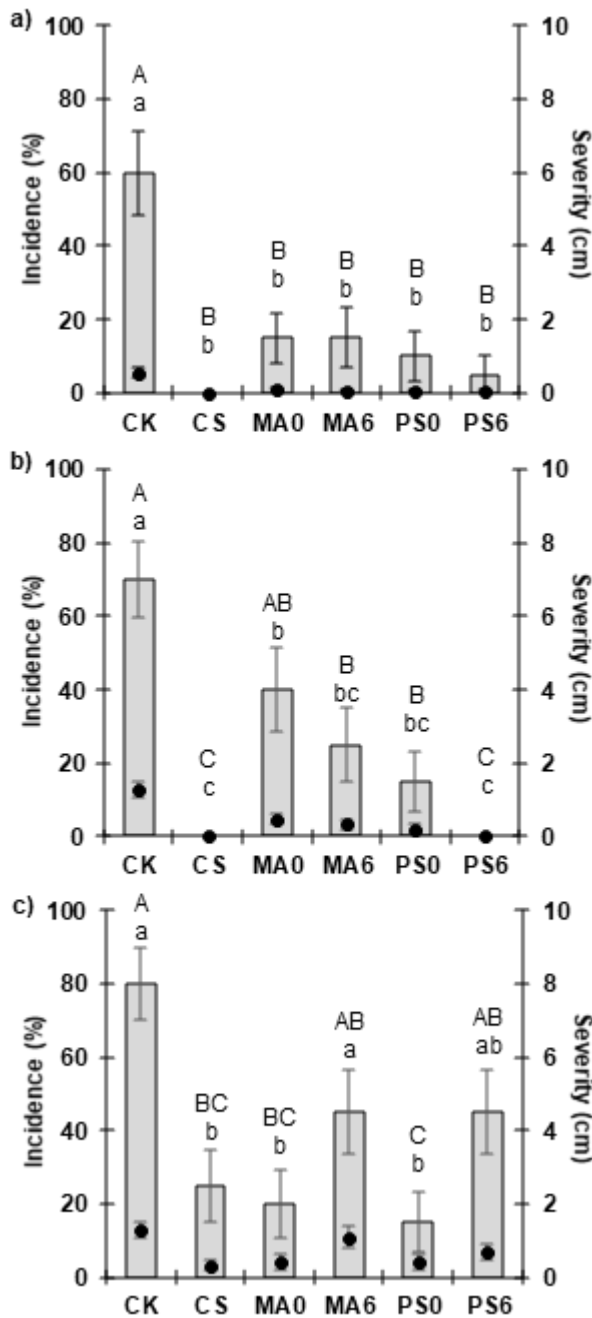
593
594
595
596



597

598 **Figure 3.** Adherence of *C. sake* CPA-1 fluidised-bed spray-dried formulations on berry surfaces
599 after simulated rainfall at 150 mm h⁻¹ and 120 mm of rain volume. *C. sake* was applied on grape
600 clusters at 5·10⁷ CFU ml⁻¹ and then clusters were incubated for 0, 24, 48 and 72 h at 20 °C and
601 85% RH, prior to exposure to simulated rainfall. Maltodextrin formulation (■) and potato starch
602 formulation (□) are represented. Values are the mean of four replicates and vertical bars indicate
603 standard deviation of the means. Columns with different letters indicate significant differences
604 according Tukey's test (*P* < 0.05). Establishment times marked with an asterisk indicate
605 significant differences between formulations.

606
607
608
609
610
611
612
613
614
615
616
617



619

620 **Figure 4.** Efficacy of different formulations of *C. sake* CPA-1 against *B. cinerea* on (a)
 621 Conference pears; (b) Golden Delicious apples; and (c) Marglobe tomatoes. Incidence (columns)
 622 and severity (points) were evaluated after 3 days on pears and after 4 days on apples and tomatoes.
 623 *C. sake* formulations were: Candifruit (CS), maltodextrin formulation freshly made (MA0),
 624 maltodextrin formulation stored at 4 °C for 6 months (MA6), potato starch formulation freshly
 625 made (PS0), and potato starch formulation stored at 4 °C for 6 months (PS6). All the treatments
 626 were compared according to orthogonal contrasts analysis. Uppercase letters indicate significant
 627 differences ($P < 0.05$) in treatment incidence; different lowercase letters indicate significant
 628 differences ($P < 0.05$) in treatment severity. The values are means of 5 fruits \times 4 replicates and
 629 error bars represent the standard errors of the means.

630