



## Trichuris and Ascaris Infections

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46 **Competing Interests**

47 All authors declare no competing interests

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**Abstract** Trichuriasis and Ascariasis are neglected tropical diseases caused by the gastrointestinal dwelling nematodes *Trichuris trichiura* and *Ascaris lumbricoides* respectively. In both cases, infection is initiated by ingestion of infective eggs, with eggs hatching in the intestine. Thereafter however the similarity ends: *Trichuris* sp. larvae go through a succession of moults within intestinal epithelial cells, with adult worms subsequently taking up a partially intracellular residency in the large intestine. By contrast, *Ascaris* sp. larvae leave the gut, penetrating the mucosa, and migrate round the body passing through the liver and lungs before finally arriving back in the intestine to become a luminal dwelling small intestinal adult. Both parasites are staggeringly prevalent and are associated with significant morbidity, with type 2 anti-parasite immunity evidenced in both humans and animal models. Whilst diagnosis, screening and prevention strategies for *Trichuris* sp. and *Ascaris* sp. share many commonalities, the effectiveness of drug treatment is strikingly different. Thus, whilst all current drugs recommended by the WHO achieve cure rates for *Ascaris* sp. approaching 100%, *Trichuris* sp. is curiously difficult to treat with cure rates as low as 23% reported. Novel anthelmintic drug discovery therefore needs expediting in conjunction with vaccine development, with advances in the control of both parasites also requiring improved water, hygiene, education, and tools for diagnosis and assessment of parasite control in the field.

## [H1] Introduction

Whipworms are large-intestinal nematode parasites of mammals. The generic name for whipworm is *Trichuris* meaning “hair tail”; a name applied by Johann Georg Roederer in 1761, mistaking the thin front end as the tail. Over 70 species of *Trichuris* are recognised, including the medically important human species *T. trichiura* and the pig whipworm *T. suis*. Whipworms have been associated with man for over eight thousand years, as evidenced by the presence of *Trichuris* eggs in coprolites found in both Old and New World archaeological sites<sup>1-3</sup>. *Ascaris lumbricoides* (first described by Carl Linnaeus in 1758), commonly known as the human roundworm, is also an intestinal nematode and is the causative agent of the disease ascariasis. In contrast to whipworms, roundworms dwell in the small intestine. *Ascaris* also differs from *Trichuris* in that only one other species of *Ascaris* has been described, *Ascaris suum*, a ubiquitous infection of pigs. After considerable debate as to whether these two ascarids are in fact distinct species, current opinion is that the two species are closely related at the phylogenetic level but reproductively isolated<sup>4</sup>. Like *Trichuris*, *Ascaris* has had a long association with its human host with infections detected in embalming material from over 7000 years ago<sup>5</sup> (Figure 1).

85 Both *T. trichiura* and *A. lumbricoides* are highly prevalent infections<sup>6,7</sup>. The infections occur  
86 by ingestion of embryonated eggs through contaminated soil and food. Both parasites  
87 contribute to chronic, long-term nutritional morbidity and less well supported impacts on  
88 cognitive development. Acute complications such as intestinal obstruction and biliary  
89 ascariasis are associated with heavy *Ascaris* infection, whereas for *Trichuris* these include  
90 dysenteric syndrome and rectal prolapse. The main approach to control is large-scale  
91 provision of anthelmintic treatment to children, and girls and women of reproductive age with  
92 accompanying improvements in access to clean water and sanitation with an aim to reduce  
93 worm burden-associated morbidity<sup>8</sup>. Whilst largely effective against *Ascaris*, mass drug  
94 administration programmes have been significantly less impressive against *Trichuris*  
95 particularly in Sub-Saharan Africa<sup>9</sup>.

96

97 This Primer provides a current view of both *Ascaris* and *Trichuris* epidemiology, disease  
98 mechanisms, diagnosis, screening and prevention. We also review current management  
99 strategies and consider key research areas, which, in the future may move us towards  
100 improved control of these two important neglected tropical diseases. Further, we take the  
101 opportunity to compare and contrast *Ascaris* and *Trichuris* infections, which despite sharing  
102 several parasitic traits, differ in important areas, with important consequences for control  
103 strategies.

104

## 105 **[H1] Epidemiology**

106 *T. trichiura* and *A. lumbricoides* infections are highly prevalent worldwide, infecting an  
107 estimated 465 and 819 million humans, respectively<sup>6,10</sup>. Both infections often occur together  
108 in children and are generally overlooked being associated with non-specific gastrointestinal  
109 symptoms. Morbidity is most likely to occur among children with moderate to heavy infection  
110 intensities and is attributed to chronic effects on nutrition and growth. Heavily infected  
111 children with *T. trichiura* may present to health facilities with failure to thrive and diarrhoea,  
112 which may be bloody, and occasionally with rectal prolapse. Adults with heavy infestations  
113 may present with chronic iron-deficiency anaemia and colitis. Heavy infections with  
114 ascariasis are a common cause of surgical emergencies in endemic regions causing  
115 intestinal obstruction in children and biliary and pancreatic disease in adults. There are  
116 limited data to quantify the frequency of these complications but current estimates for deaths  
117 attributable to ascariasis were 3,205 worldwide in 2017 while no deaths were considered  
118 attributable to trichuriasis<sup>11</sup>.

119

120

## 121 **[H2] *Trichuris trichiura***

122

123 *T. trichiura* infects humans most frequently in warm and moist conditions in tropical and sub-  
124 tropical regions. Although zoonotic infections in humans have been reported with other  
125 species of *Trichiura* such as *T. suis* (from pigs) and *T. vulpis* (from dogs) these generally  
126 cause attenuated infections and rarely develop to sexual maturity in humans. The  
127 geographical distribution of *T. trichiura* - estimated using geographical information systems  
128 tools that allow predictions of regions permissive for transmission based on spatial  
129 information for temperature, humidity, and population density - overlaps largely with that of  
130 *A. lumbricoides* with which it shares similar epidemiological characteristics (Figure 2).  
131 Human trichuriasis is a classic infection of poverty, where a lack of education and access to  
132 sanitation and clean water within an ecologically permissive environment, determines  
133 opportunities for transmission. In such environments, community prevalence of infections  
134 can be in excess of 90%, particularly affecting children aged 5 to 15 years among whom  
135 parasite burdens are greatest<sup>12</sup>. Age-prevalence profiles are concave with peak prevalence  
136 occurring at an earlier age in areas of more intense transmission and likely relates to  
137 exposure risk of ingestion of eggs from a faecally-contaminated environment. An age-  
138 dependent decline in prevalence is often seen in older children and adults relating to  
139 reduced exposure and possible age-acquired immunity. Transmission requires embryonation  
140 of *T. trichiura* eggs in the environment, and whilst eggs will survive temperatures below  
141 freezing, they will not embryonate in freezing conditions or where temperatures exceed  
142 37°C<sup>13,14</sup>.

143

144 The risk of *T. trichiura* infection is not uniform within endemic populations: A small proportion  
145 of infected individuals (typically less than 10% in high prevalence populations), generally  
146 small children, harbour most adult worms while the remaining infected children and adults  
147 harbour few adult worms<sup>15</sup>. Such aggregated distributions of adult worms (which may survive  
148 for 1-8 years in the human intestine<sup>16</sup>) within endemic communities are typical of soil-  
149 transmitted helminths (STHs). There is evidence from some but not all epidemiological  
150 studies for an increased susceptibility to *T. trichiura* infection among some groups of  
151 individuals – infected individuals are more likely become re-infected after chemotherapy<sup>17</sup>.  
152 Individual susceptibility may be determined by one or more of behavioural, environmental, or  
153 genetic factors and immunological factors<sup>17</sup>. Further, heavily infected individuals tend to be  
154 those who reacquire the heaviest parasite burdens following treatment<sup>14,17,18</sup>. *T. trichiura* has  
155 been shown to cluster within families in rural China<sup>19</sup> and linkage analysis in Nepal identified  
156 two quantitative trait loci on chromosomes 9 and 18, respectively, associated with  
157 susceptibility to infection<sup>20</sup> although the contributing genes at these loci remain unknown.

158 Further, a recent study in Brazil showed susceptibility to *T. trichiura* infection to be  
159 associated with polymorphisms in the TGF-B1 gene<sup>21</sup>

160  
161 Treatment of school age children is considered a cost-effective strategy for the control of *T.*  
162 *trichiura* in endemic communities by cutting infections in the primary infection reservoir<sup>15</sup>,  
163 thus reducing transmission within communities. Temporal increases in economic and  
164 environmental conditions coupled with increased access to periodic chemotherapy of school-  
165 age children have led to substantial declines in prevalence and intensity of infection in Asia  
166 over the last decade, particularly in China, Korea, and Indonesia<sup>6</sup>. Similar declines have not  
167 been seen in Latin American and Sub-Saharan African regions<sup>6,22</sup>. However, declines in the  
168 numbers of children with moderate to heavy infection intensities, the group most at risk of  
169 severe disease, have been observed in almost all populations where school-children have  
170 received repeated preventive chemotherapy<sup>23</sup>. Overall, prevalence of ascariasis was  
171 estimated to decline by 10% between 2005 and 2015 while trichuriasis declined by only  
172 2%<sup>10</sup>.

173  
174 **Under experimental conditions, humans can become infected with the pig whipworm**  
175 ***T. suis*<sup>13</sup> but these infections appear at least in some cases to only establish**  
176 **temporarily<sup>24</sup>; equally *T. trichiura* can be established in pigs but they do not persist**  
177 **(Beer 1976). Futher, Ghai et al present data indicating that the taxonomic, population**  
178 **and phylogenetic structure of *T. trichiura* is complex<sup>25</sup>. Thus these data suggest that**  
179 ***T. trichiura* is not a single multi-host species but a series of lineages some of which**  
180 **are able to infect multiple host species within the Order primates.**

## 181 182 183 **[H2] *Ascaris lumbricoides***

184 Globally, *A. lumbricoides* is estimated to infect 819 million humans<sup>6,26</sup> following the same  
185 geographical distribution in tropical and subtropical areas as observed in trichuriasis (Figure  
186 2). While the route of infection (oral-fecal transmission) is the same for both parasites, the  
187 geographical distribution of the parasitism does not perfectly overlap although no evidences  
188 were described to explain specific areas for each disease. However, in the endemic areas  
189 with overlap of geographical distribution of both parasites, the coinfection might occur and it  
190 results in exacerbation of morbidity and high intensity infections<sup>27-31</sup>. Ascariasis is also  
191 associated with poverty and hence the lack of proper sanitary infrastructure and poor socio-  
192 economic conditions favours the transmission of the parasite<sup>32,33</sup>. An over-dispersed  
193 frequency distribution<sup>34</sup> is overall observed, with most individuals harbouring a low to  
194 moderate parasite infection and few heavily infected hosts, possibly due to the chronic

195 exposure to the parasite that might lead to protection despite the morbidity, as evidenced in  
196 experimental infection<sup>35</sup> .

197  
198 Predisposition (reinfection with similar or higher worm burdens to those before treatment) is  
199 also an epidemiological phenomenon observed for ascariasis in mice<sup>36</sup> in a similar way  
200 observed for *T. trichiura* infection. While the mechanisms that determine predisposition are  
201 not fully elucidated, exposure to infection and host susceptibility are likely to be important.  
202 Socio-economic circumstances such as poor housing infrastructure<sup>37</sup> and deficiency in  
203 hygiene practices<sup>38</sup> are factors that influence the intensity of infection. The difference of  
204 worm burden in adults (which often present lower intensity of infection than children)<sup>36</sup> might  
205 suggest a behavioral-mediated reduction of exposure or acquired immunity after continuous  
206 exposure to the parasite. While experimental data in mice demonstrate the reduction of  
207 parasite burden after repeated exposure to *Ascaris* sp. infection<sup>35</sup>, the over-dispersed worm  
208 frequency distribution in humans is recorded in all age classes, indicating that neither age  
209 nor immunity are the primary determinants of variability in infection intensity. Environmental  
210 and behavioural features<sup>39</sup>, as well as hosts genetics and immunity<sup>40-44</sup>, are important  
211 determinants of infection status<sup>45</sup>.

212  
213 Experimental and molecular evidence of possible cross-transmission indicated that humans  
214 can be infected by *A. suum*<sup>46-48</sup> and, similarly, swines can harbor *A. lumbricoides*<sup>48</sup> . These  
215 data suggest that pigs might act as a potential reservoir of infection for humans and, more  
216 importantly, might point out a possible role of zoonotic infection by *A. suum* in humans<sup>49</sup>.

217 **The zoonotic potential of both *A. suum*, and *T. suis*, has been reviewed Nejsum et al<sup>50</sup>.**

## 218 219 **[H1] Mechanisms/pathophysiology**

220  
221 Studies on immunity to, and the pathology of, human whipworm and roundworm infections  
222 have generated interesting correlates with resistance to reinfection, however it is through the  
223 use of animal models, and particularly the laboratory mouse, that mechanistic insights have  
224 been gained. The information below is divided up into current knowledge for the human  
225 infection, followed by insights from animal models, and includes, where possible, reflections  
226 on how findings in animal models fit with the human disease.

## 227 228 **[H2] *Trichuris* species**

229 Whilst different species of *Trichuris* are very host-specific, they all follow a similar life cycle  
230 pattern (Figure 3). After the ingestion of embryonated eggs on contaminated food or in  
231 water, eggs from the soil hatch in the large intestine (caecum/proximal colon); in the mouse,



232 hatching is triggered by the presence of bacteria and it is likely that similar bacterial cues are  
233 applicable to egg-hatching in other *Trichuris* species<sup>51</sup>. First stage (L1) larvae are released  
234 and these penetrate the epithelial cells at the crypt base, taking up an intracellular niche  
235 within a multicellular epithelial “tunnel” the biology of which is unknown<sup>52</sup>. There they grow  
236 and moult through the L2 to L4 and adult stages with timings of these moults defined in the  
237 mouse model<sup>53</sup>. The pre-patent period, the time from infection to egg production - is defined  
238 in mice at around 33-35 days. However the equivalent timings in humans are unclear. By the  
239 L3 stage the parasite is no longer fully intracellular with its posterior end loose in the gut  
240 lumen whilst its long thin anterior end, containing the stichosome, a modified oesophagus  
241 comprised of multiple cells called stichocytes that duct into the oesophageal lumen, remains  
242 embedded within a syncytial tunnel of modified host epithelial cells, without significantly  
243 compromising gut barrier integrity. Adult male and female stages of *T. muris* emerge around  
244 32 days after infection, with fertilized adult females releasing 2,000 to 8,000 eggs per day<sup>54</sup>.  
245 Eggs of *Trichuris spp* pass out with host faeces in an uninfected state, taking two weeks to  
246 one month, according to environmental conditions to become infective<sup>13</sup> by which time the  
247 L1 larva has developed within the egg and the egg is now described as “embryonated”. The  
248 life cycle of *T. trichiura* is similar to that of *T. muris*, although the timings of moults may differ.  
249 Thus, in humans, patent infections from ingestion of eggs to the development of mature  
250 adult females takes 2-3 months and adults, measuring 3 to 5 cm, may survive for 1-8 years  
251 in the human intestine<sup>16</sup>. Novel imaging tools are beginning to provide unique insights into  
252 both host pathology and parasite behaviour<sup>55,56</sup>. Throughout the life cycle in both the murine,  
253 porcine and human host, whipworms are known to excrete and secrete a variety of parasite  
254 derived molecules that interact with their environment and host. Some are known to be  
255 antigenic and some have been shown to be immunomodulatory<sup>57-59</sup>, but the functions of  
256 most are still to be determined. A better understanding of the host-parasite relationship will  
257 likely support the development to new therapeutics (see Outlook).

258

### 259 **[H3] Human trichuriasis: the evidence for Type 2 acquired immunity to infection**

260 The concept of T helper cell subsets which emerged in the late 1980s from laboratory mouse  
261 models<sup>60</sup>, revolutionised our understanding of resistance and susceptibility to infection.  
262 Whilst the original T helper 1 and T helper 2 framework has been superseded by a much  
263 more complex model embracing other T cell subsets (for example T regulatory cells, Th17  
264 cells) and cell subsets within the innate immune system, the original paradigm remains  
265 sound. Studying immunity to human trichuriasis is fraught with difficulty, with challenges  
266 including genetic heterogeneity, undefined infection history and exposure, and  
267 polyparasitism. Nevertheless, comprehensive cross-sectional serological field studies point  
268 clearly to a positive correlation between anti-Trichuris IgE levels and decreasing infection

269 levels<sup>61</sup>, with IgE representing an antibody isotype controlled by Type 2 responses. Analyses  
270 of Type 1 and Type 2 cytokines in supernatants from re-stimulated peripheral blood  
271 leukocytes from humans infected solely with *T. trichiura* are lacking, given that  
272 polyparasitism is usual in endemic populations. However, important data sets from  
273 polyparasitised populations infected with gastro-intestinal nematodes including *T. trichiura*  
274 strongly support the view that these infections induce Type 2 and regulatory responses<sup>62</sup> and  
275 that acquired immunity requires Type 2 protective immune responses that develop slowly  
276 after years, if not decades, of exposure<sup>63</sup>. More recently single-subject self-infection studies  
277 have contributed to our understanding of how *Trichuris* modulates human immunity: a  
278 longitudinal analysis of T cell subsets in mucosal biopsy samples and peripheral blood  
279 revealed a mixed local T cell response (T helper Type 1, 2, 17 and T regulatory) whilst  
280 circulating mononuclear cells became predominantly Type 2 (Ref<sup>64</sup>). A second such study  
281 revealed an amelioration of the symptoms of colitis following *T. trichuria* infection likely  
282 through improved Th2 and IL-22 mediated barrier function<sup>65</sup>.

283

### 284 **[H3] Insights from animal models**

285 Preclinical models have been able to delve more deeply into both the underlying cellular  
286 regulatory mechanisms that control resistance and susceptibility to infection and the effector  
287 mechanisms that eliminate the parasite. **Although we focus on the *T. muris* mouse model of**  
288 **human trichuriasis in the following section, *T. suis* in pigs has also generated important data**  
289 **which reveal commonalities between mouse, human and pig in Type 2 immunity<sup>66</sup>.**

290

291 *Trichuris muris* is the natural whipworm of mice, and is genetically and antigenically similar  
292 to *T. trichiura*, with *muris* and *trichiura* also showing similar epidemiological patterns in their  
293 respective hosts. The importance of Type 2 immunity in resistance to infection has been  
294 unequivocally demonstrated by many different research laboratories<sup>67-70</sup> and research now  
295 focuses on untangling the contributions of other cellular subsets<sup>68,71,72</sup>. An emerging concept  
296 from these studies is that the relevance of different cell types in promoting Type 2 immunity  
297 is context dependent; thus, essential cellular contributions in one strain of mouse become  
298 redundant in a different strain of mouse or when the cytokine balance is artificially  
299 manipulated<sup>73,74</sup> with important implications for translation to man. One of the burning  
300 questions is how do protective Type 2 responses develop; with answers to this question  
301 likely to inform smart vaccine development in the future. For a summary of our current  
302 knowledge in this context see Box 1.

303

### 304 ***[H3] Type 2 controlled effector mechanism: how are whipworms expelled?***

305 In addition to the wealth of evidence supporting the importance of Type 2 immune responses  
306 in protective immunity to trichuriasis, mouse models continue to provide data addressing  
307 exactly how CD4+ Type 2 cells bring about worm expulsion. Arguably the most persuasive

308 effector mechanism described is the role established for goblet cells and mucus. Through  
309 the use of mucin deficient mouse strains, muc 2 and muc5ac<sup>75,76</sup> have been shown to be  
310 important in resistance to *T. muris*, likely via direct interactions with the parasite in the gut.  
311 The presence of muc 2-degrading enzymes in the *Trichuris* genome also supports an anti-  
312 helminth role<sup>77</sup>. Complementing a mucus-based effector mechanism, Type 2 cytokines have  
313 also been shown to stimulate intestinal muscle contraction in the context of *T. muris*, and this  
314 enhanced contractility is associated with an acceleration of worm clearance<sup>78</sup>. While  
315 increases in mucus production and changes in the contraction of gut muscles may be  
316 common host responses to most gastro-intestinal helminths, regulation of epithelial cell  
317 turnover may be an effector mechanism specific for *Trichuris* through effects on its  
318 intracellular habitat. Here the Type 2 cytokine IL-13 has been shown to increase the rate of  
319 epithelial turnover thus displacing the parasite from its niche<sup>79</sup>. Though likely, whether these  
320 effector mechanisms also apply to human trichuriasis is difficult to establish. Gastro-  
321 intestinal helminth infections of mouse and man drive strong IgE responses, much of which  
322 is non-specific<sup>80</sup>. As mentioned above, human *Trichuris*-specific IgE antibody levels  
323 negatively correlate with worm burden. Thus, the older age cohorts which harbour lower  
324 *Trichuris* infection burdens have significantly higher *Trichuris*-specific IgE. A direct role for  
325 IgE in host protection has been difficult to establish and instead of having a functional role,  
326 parasite-specific IgE levels in man may represent a useful biomarker of a Type 2 immune  
327 response. Animal models have certainly revealed B cells to be important, though not  
328 essential, in resistance to *T. muris* infection.<sup>73,81</sup> However, exactly how the B cell contributes  
329 to the protective immune response is unclear and may not be related to its role in antibody  
330 production. Thus, the B cell can also act as an antigen presenting cell<sup>82</sup> and a cytokine-  
331 producing regulatory cell<sup>83,84</sup>, making it well placed to influence the development of either  
332 Type1 or Type 2 immune responses and thus worm expulsion.

333

334 In chronic trichuriasis, as seen in humans, and mice infected with low numbers of eggs,  
335 regulation of gut pathology in the context of a large burrowing parasitic nematode is critical in  
336 the maintenance of gut barrier function and prevention of sepsis. Regulation of pathology  
337 has been dissected in some detail in the mouse model, and a considerable literature places  
338 IL-10 centre stage as the regulatory cytokine vital in regulating IFN-g mediated intestinal  
339 pathology and host protection<sup>85,86</sup>. Interestingly, in human trichuriasis, one of the QTLs on  
340 chromosome 9, mentioned above, contains genes that can influence IL-10 levels<sup>20</sup>. The  
341 cellular source of IL-10 is still debated with FoxP3+ T regulatory cells and other CD4+ T cell  
342 populations likely contributing<sup>68</sup>.

343

344 **[H3] *Trichuris* and its relationship with the microbiota**

345 The close relationship of whipworms with the microbiota in the intestinal niche, extends  
346 beyond the trigger for egg hatching<sup>51</sup> and provides a fascinating and evolving story. It is clear  
347 that the presence of *Trichuris* infection alters the microbiome in terms of both numbers and  
348 composition, and this has been reported for *T. muris* in the mouse<sup>87,88</sup>, *T. suis* in pigs<sup>89,90</sup> and  
349 in some, but not all, human studies<sup>91,92</sup>. Studies using *T. muris* in the mouse have revealed  
350 that parasite fitness requires that the parasite acquires its own distinct microbiota from the  
351 host. The parasite microbiome of *T. muris* is dominated by Bacteroidetes and Firmicutes,  
352 with a significant rise in the proportion of Proteobacteria that is not seen in the infected host  
353 microbiota<sup>93</sup>. Further, successful infections require the presence of host microbiota, and,  
354 remarkably, the *T. muris*-induced changes in the host microbiota may limit the success of  
355 subsequent infections. In the case of the latter, parasite numbers are controlled, thus  
356 providing a mechanism to limit host pathology and support chronicity of infection<sup>93</sup>.

357

358 Moving forward, further dissection of mechanisms of resistance and pathophysiology in  
359 animal models must embrace more physiologically relevant dosing regimens (low-dose  
360 infection, repeated low-dose (trickle) infections<sup>94</sup>). It is also vital that the sorts of mechanistic  
361 studies that mouse models enable embrace the importance of context in order to better  
362 model human trichuriasis. This should include a consideration of the array of intrinsic and  
363 extrinsic factors such as genetics, age, gender, microbiome (to include viruses, fungi and gut  
364 protozoa), coinfections, nutrition and reproductive state. Complex environmental factors will  
365 combine to impact on immune variation and this can be modelled for example, using wild  
366 mouse populations<sup>95</sup> and semi-wild systems<sup>96</sup> both of which embrace environmental  
367 variation.

368

## 369 **[H2] *Ascaris* species**

370 *Ascaris* eggs are very robust due to their outer corticated coat and can survive in the  
371 environment for long periods of time. Estimates include up to 6 years in Germany and 14  
372 years in Russia; although it is likely that the majority of eggs die on shedding<sup>97</sup>. Indeed, in  
373 the context of tropical soils, evidence exists that *Ascaris* eggs, and those of other  
374 geohelminths including *Trichuris*, may be depleted within two months if no further  
375 contamination occurs<sup>98</sup>. The life cycle of *Ascaris* has proved difficult to precisely define.

376 An early and extensive study in pigs<sup>99</sup> described how after egg hatching, larvae within the  
377 sheath of the first molt, are released in the small intestine and such L2 larvae migrate to the  
378 caecum and proximal colon and then penetrate the mucosa. However, more recently  
379 Fagerholme et al<sup>100</sup> reported that both the first and second ecdysis occur in the egg, such  
380 retention of two moults being a feature favourable to parasite development. (Figure 3). The  
381 larvae then undergo what is known as a hepato-tracheal migration, a phenomenon that  
382 distinguishes *Ascaris* from *Trichuris* infection. Larvae migrate via the portal blood vessels to  
383 the liver. In the liver, the L2 cuticle is shed and some larval growth occurs. Subsequently, L3  
384 larvae leave the liver and advance to the lungs, via the bloodstream to the heart and then

385 the pulmonary vasculature<sup>97</sup>, penetrate the alveolar spaces and then migrate up the airway  
386 tree to the pharynx where they are coughed up and swallowed. On their return to the small  
387 intestine, L4 larvae undergo a final moult (L5) and then develop to adulthood and sexually  
388 mature male and female worms, within the small intestine<sup>101</sup>. **Male and female adult worms**  
389 **measure 15 to 25 cm and 20 to 35 cm respectively**. The life expectancy of an adult worm  
390 has been estimated to be 1-2 years<sup>102</sup>. Adult worms produce unembryonated eggs that are  
391 shed in the faeces where they develop to infectivity under appropriate conditions of  
392 temperature and moisture. The speed with which eggs embryonate varies considerably  
393 according to the environmental conditions. For example at 30 degrees centigrade  
394 embryonation takes around 10-14 days; however at 17 degrees centigrade embryonation  
395 can take 45-55 days<sup>103</sup>. Eggs that fail to embryonate are uninfected and cannot lead to  
396 infection. The explanation for this undoubtedly arduous and risky migration is unclear  
397 although some authors have argued that migration confers fitness benefits on the parasite  
398 including enhanced growth<sup>104</sup>. What is undoubtedly clear is that larval migration of *Ascaris*  
399 contributes to both liver and lung-associated pathology<sup>105,106</sup>. Furthermore, the role of the  
400 liver in resistance to ascariasis is important but significantly understudied.

401

### 402 **[H3] Human ascariasis – pathophysiology/immunology**

403 *Ascaris* is an excellent example of a chronic infection that contributes to chronic morbidity,  
404 particularly impacts upon child growth via anorexia, malabsorption of nutrients and jejunal  
405 mucosal abnormalities, and less well established impacts upon cognitive development. The  
406 mechanisms underlying cognitive defects are not well understood but are most likely  
407 nutritionally mediated, although the impact of inflammation should not be disregarded. Due to  
408 its large size, *A. lumbricoides* can also cause acute effects including intestinal and biliary  
409 tract obstruction with related complications.

410

411 The relationship between humoral immune responses and *Ascaris* infection in humans has  
412 been explored in a variety of different contexts<sup>107,108</sup>. Several studies have established a  
413 clear association between parasite-specific IgE and *Ascaris* infection. For example, a study  
414 of Nigerian children predisposed to heavy or light *Ascaris* infection and utilising a defined  
415 protein allergen, *Ascaris*-ABA-1, provided evidence for a significant relationship between  
416 raised levels of parasite-specific IgE to this antigen and putative immunity in children<sup>109</sup>.  
417 Thus, children with higher IgE titres are less predisposed to heavy infection, in keeping with  
418 the association seen in trichuriasis between elevated levels of parasite specific IgE and  
419 reduced worm burdens in adults. Furthermore, higher levels of inflammatory markers such  
420 as C-reactive protein were also detected in the same group of children<sup>109</sup>. By contrast, a  
421 study by King et. al found no relationship between humoral immune responses and current  
422 or re-infection with *Ascaris*<sup>110</sup>. *Ascaris* infection was also found to be associated with a highly  
423 polarised Th2 response with IL-4 and IL-5 responses predominating<sup>111</sup>. Two important  
424 studies in Cameroonian children and adults provided further evidence for the role of Th2

425 cytokines during *Ascaris* infection including IL-5, IL-9, IL-10, IL-13 (Refs<sup>63,112</sup>). However, the  
426 authors did report differential responses with age and speculated that these age and related  
427 differences in host responses might have implications for treatment success<sup>112</sup>. Thus, the  
428 authors suggested that heterogeneity in cytokine responses may operate differently  
429 depending upon the geographical location of the study. This may be due to differences in  
430 transmission patterns or even historical differences in parasite dynamics. Cooper and  
431 colleagues reported enhanced Th2 cytokine production among children who had been  
432 repeatedly treated for *A. lumbricoides* infection providing evidence that long-term treatment  
433 may enhance Th2 anti-parasite immunity<sup>113</sup>.

434

### 435 **[H3] Insights from animal models**

436 The immunology of ascariasis is much less well understood than that of trichuriasis. One  
437 reason for this relates to the fact that there is no rodent model of ascariasis that allows for  
438 the completion of the entire life-cycle<sup>114</sup>. However, mouse models do provide insights into the  
439 factors that influence early infection and larval migration<sup>114</sup>.

440 The rodent model enables an assessment of pathophysiological alterations under different  
441 parasitic burdens<sup>115,116</sup>, genetic backgrounds<sup>116-119</sup>, host ages<sup>120</sup>, egg infectivities<sup>120</sup>, and  
442 repeated parasite exposure<sup>35</sup>. The acute, early stages of infection are well established<sup>114,120</sup>  
443 and demonstrate the physiological changes elicited by larval migration in the host, especially  
444 in the liver and lung tissues. During larval migration in the liver, an intense inflammatory  
445 response is observed, particularly in resistant strains of mice<sup>118</sup>. Of note, proteomic analysis  
446 of hepatic tissues from resistant (CBA/Ca) and susceptible (C57BL/6J) mice strains infected  
447 with *A. suum* demonstrates intrinsic differences between the two strains, suggesting that  
448 resistance might be associated with oxidative phosphorylation pathway and reactive oxygen  
449 species (ROS) production<sup>119</sup> and differential expression of components of the complement  
450 system<sup>116</sup>.

451 In primary infections with *Ascaris* spp, larval migration in the lungs promotes a local Type 2  
452 inflammatory response, marked by early production of IL-5, followed by increased levels of  
453 IL-4, IL-5, IL-6, IL-33, CCL-11 (eotaxin), CCL-2 (MCP-1), CXCL-10 (IP-10), and an  
454 eosinophilia<sup>120-122</sup>. Interestingly, this elevated Type 2 immune response associates with a  
455 marked increase in IL-13 production by both Type 2 and innate lymphoid cell subset, ILC2  
456 and this response was able to bestow protection against the rodent hookworm  
457 *Nippostrongylus brasiliensis*<sup>123</sup>. This robust Type 2 inflammatory response is associated with  
458 lung pathology, characterized by persistent airway hyper-responsiveness resembling an  
459 extreme form of allergic airway disease<sup>121</sup>. The severe impairment in respiratory function is  
460 aggravated during multiple exposures to the parasite despite the significant reduction of

461 parasitic burden<sup>35</sup>, which presents as a reduction in larval migration in the liver and lungs.  
462 The inflammatory influx of cells in both the lung parenchyma and bronchoalveolar fluid is  
463 initially dominated by neutrophils, correlating with IL-6 production in lung tissue<sup>35,120,122</sup>. As  
464 the infection progresses, mononuclear cells accumulate at the inflammatory site, associated  
465 with TNF-alpha production induced by larval migration<sup>35,120</sup>, ultimately differentiating into M2  
466 macrophages in the Type 2 environment<sup>122</sup>. Interestingly, parasite antigens can modulate  
467 macrophage differentiation and dendritic cell maturation<sup>124-126</sup> with further evidence of  
468 parasite-induced immunomodulation observed in experimental models of LPS-induced  
469 inflammation<sup>127</sup>, autoimmune hepatitis<sup>128</sup> and heterologous immune response<sup>129</sup> and viral  
470 coinfection<sup>130</sup>.

471 The protective inflammatory response observed in the rodent model of ascariasis may not be  
472 parasite-specific given that pre-sensitization with unrelated allergens (house dust mite)  
473 induces protection to a subsequent *A. suum* infection<sup>122</sup>. Conversely, pre-sensitization with  
474 *Ascaris* antigens accelerates mite-specific IgE response upon mite antigen inhalation<sup>131</sup>.  
475 These data indicate the possible cross-reactivity between the *Ascaris* and arthropod  
476 antigens.

477 Another important animal model for ascariasis is the *A. suum* pig model. Pigs are costly to  
478 maintain and inbred and knockout porcine strains are currently unavailable. Nevertheless,  
479 given the economic impact of *Ascaris* infection on the food industry and the fact that pigs are  
480 natural hosts for *Ascaris* infection, understanding the pathophysiology of *Ascaris* infection in  
481 the swine model, particularly in the gastrointestinal phase of infection, is highly significant. Of  
482 note, the use of the pig model enabled an understanding of both parasite-host interactions  
483 during establishment, and the mechanisms of intestinal expulsion<sup>132,133</sup>. Although the  
484 mechanisms by which *Ascaris* parasites are expelled from the gut are less well defined than  
485 for *Trichuris*, evidence suggests that **elimination from the gut involves the “weep and sweep”**  
486 **mechanism, embracing an increase in muscle contractility and fluid secretion<sup>133</sup>,**  
487 **mechanisms also likely to contribute to elimination of *Trichuris*. Further, there is** some  
488 evidence in pigs naturally exposed to *A. suum* infection, that continual exposure to infective  
489 larvae emerging from the egg may inhibit larval migration from the intestine<sup>134</sup>. Profound  
490 changes in the gut microbiome during *Ascaris* infection occurs, especially in the proximity of  
491 the initial site of larval infection were demonstrated using the pig model<sup>135</sup>. Thus, *Ascaris*  
492 infection leads to a significant reduction in the gut microbial diversity, which is not related to  
493 worm burden. Moreover, the infection impacts the abundance of specific microbial genera,  
494 particularly in the proximal colon. The relevance of microbial composition alterations due to  
495 *Ascaris* infection remains unknown.

496 The initial phase of *A. suum* infection in pigs is very similar to the parasite migration seen in  
497 humans, and induces both liver and lung pathology<sup>136-138</sup>. As observed in *Ascaris* infections  
498 of humans and mice, production of IL-5, IL-13, eotaxin, and an intense eosinophilia are  
499 observed<sup>133,139</sup>. Blood basophilia and intestinal mastocytosis are also common<sup>139-141</sup> and may  
500 contribute to Type 2 immunity induced by infection.

501 Pathophysiological changes similar to those described to humans, mice and pigs have also  
502 been observed in other animal models including calves<sup>142</sup>, guinea pigs<sup>143</sup>, rabbits<sup>144</sup>,  
503 gerbils<sup>145</sup> and non-human primates<sup>146-148</sup>.

504

## 505 [H1] Diagnosis, screening and prevention

506

### 507 [H2] Clinical presentation

#### 508 [H3] *Trichuriasis*.

509 Clinical disease is caused largely by inflammation of the caecum and large intestine due to  
510 the presence of adult worms inducing a local inflammatory response and blood loss from  
511 bleeding and oozing of 'insertion' sites caused by adults as they forage across the mucosa  
512 (Figure 4a). Clinical disease in *T. trichiura* infection is related to parasite burden. Most  
513 inhabitants (children and adults) of endemic areas are infected with relatively few worms (i.e.  
514 <15 adults worms<sup>149</sup>) and such infections are often free of significant symptoms.

515 Eosinophilia, if present, tends to be mild. *T. trichiura* is an infection of poverty and those  
516 infected are likely to be infected with other enteric parasites and exposed to a range of  
517 environmental hazards. Non-specific symptoms of urticaria, anorexia, abdominal pain, and  
518 other gastrointestinal symptoms are difficult to attribute to any single cause although have  
519 been associated with *T. trichiura*<sup>150</sup>. However, heavy infections with several hundred or even  
520 thousands of worms<sup>151,152</sup> are often associated with significant illness that may present as  
521 chronic iron-deficiency anaemia in adults<sup>151</sup> while children may present with short stature  
522 with or without symptoms of colitis or a severe illness. *Trichuris* dysentery syndrome (TDS),  
523 also known as massive infantile trichuriasis, is a severe illness associated with iron-  
524 deficiency anaemia, chronic mucoid diarrhea, rectal bleeding, rectal prolapse, and finger  
525 clubbing<sup>149,153</sup>. The exact pathogenesis of clubbing, a non-specific manifestation of many  
526 chronic diseases, is unknown but may relate to increased platelet derived growth factor in  
527 the nail beds<sup>154</sup>. The triad of finger clubbing, rectal prolapse, and chronic diarrhoea in  
528 children used to be pathognomic of trichuriasis in endemic areas: 3-5% of children aged 6  
529 months to 6 years were estimated to have recurrent rectal prolapse in a region of the  
530 Carribean<sup>155</sup>. However, with improvements in environmental hygiene and access to



531 anthelmintics, TDS and rectal prolapse, the latter a consequence of increased straining and  
532 or peristalsis, are now seen infrequently. TDS has more recently been recognised as a  
533 problem in adults presenting with severe iron deficiency anaemia<sup>151</sup> and likely reflects poor  
534 clinical recognition of trichuriasis in adults living in conditions of severe poverty and who are  
535 not included in anthelmintic treatment programmes. Heavy infections may be associated with  
536 increases in intestinal permeability and the induction of a chronic inflammatory response,  
537 reflected in elevated circulating levels of the pro-inflammatory cytokine TNF- $\alpha$ <sup>156</sup>  
538 *T. trichiura* may be a chance finding in individuals undergoing colonoscopy for abdominal  
539 pain and altered bowel habits<sup>157,158</sup>. During heavy infections, colonoscopy shows numerous  
540 motile worms tethered in the intestinal mucosa by their anterior ends<sup>151,158</sup>. Histopathology of  
541 the large intestine in patients with trichuriasis often shows only mild changes with increased  
542 inflammatory cells in the lamina propria, particularly in adults<sup>151,159</sup>, while children may show  
543 a range of histological changes from mild inflammation to localized cryptitis at infection sites  
544 to a highly inflamed intestinal mucosa that is oedematous, eroded, and friable<sup>64,152</sup>. In heavy  
545 infections, adult worms may be found from the caecum to the rectum and the mucosa is  
546 studded with bleeding points representing previous mucosal entry points of foraging  
547 adults<sup>151,159</sup>. Blood loss in trichuriasis has been estimated at of 0.005 ml per worm per day<sup>160</sup>.  
548 Risk of anaemia is significant among those with heavy infections (defined as 800 or more  
549 worms<sup>160</sup> or >5,000 eggs per gram of stool<sup>161</sup>) or those co-infected with hookworm<sup>162,163</sup>.  
550 Mucosal bleeding and inflammation occurring over prolonged periods affect the nutritional  
551 state of children, particularly those on marginal diets (i.e. low in iron and other essential  
552 nutrients)<sup>161</sup>. Further, the presence of adult worms may also affect nutrient absorption  
553 through mucosal damage or disruption of intestinal microbiota although evidence for the  
554 latter effect is limited<sup>92,164</sup>. Damaged mucosa may be more susceptible to infections with  
555 other intestinal pathogens with which trichuriasis has been associated such as *Entamoeba*  
556 *histolytica*<sup>165</sup>. Indeed, *T. trichiura* infection has been shown to correlate with both the  
557 presence of *A. lumbricoides* and *Campylobacter* spp. Whether multiple intestinal infections  
558 are simply coincidental or whether they influence each other's pathogenicity in humans is  
559 unclear<sup>166</sup> although exacerbated disease and pathology has been reported in pigs coinfectd  
560 with *T. suis* and *Campylobacter jejuni*<sup>167</sup>. Even mild trichuriasis may be accompanied by  
561 growth retardation in children<sup>14</sup> while TDS may be associated with severe malnutrition and  
562 growth stunting<sup>14,159</sup>. Curative chemotherapy and treatment with iron in children with TDS  
563 can have dramatic effects on linear growth velocities<sup>149</sup>. The benefits of deworming  
564 programmes for children has generated considerable controversy given negative findings of  
565 meta-analyses<sup>168</sup>. However, these studies were done using data that include uninfected  
566 children, thus diluting likely benefits among the sub-group of children with significant parasite

567 burdens. *T. trichiura* infection may impair developmental and cognitive abilities in children,  
568 although the benefits of treatment in reversing such deficits is hotly debated<sup>152,168-170</sup>.

569

570 The potential immune regulatory effects of *Trichuris* on inflammation in the large intestine<sup>65</sup>  
571 has formed the basis of clinical trials using the pig whipworm *T. suis* that causes an infection  
572 that generally does not persist beyond 6 weeks in the human intestine, to treat inflammatory  
573 diseases such as inflammatory bowel disease (IBD). To date, trials in which humans have  
574 ingested orally *T. suis* ova have shown no statistical benefits in IBD patients<sup>171-173</sup>. Therapy  
575 with *T. suis* ova have also been evaluated in clinical trials for a number of other inflammatory  
576 diseases including rheumatoid arthritis, multiple sclerosis, psoriasis and food allergy but  
577 none have shown clear clinical benefit<sup>174,175</sup>.

578

### 579 **[H3] Ascariasis.**

580 In endemic areas, the majority of *Ascaris* sp. infections are asymptomatic or produce mild  
581 symptoms. Clinical disease is restricted to a small percentage of individuals who present  
582 heavy parasite burden as most individuals harbour only a few worms<sup>176,177</sup>, although there  
583 are no up to date figures on the actual percentage of clinical cases. The clinical features of  
584 the disease are directly related to the parasite life cycle (due to larval migration during the  
585 initial phases of infection or establishment of adult parasites in the final habitat) and are  
586 dependent on the infection intensity. During the larvae migration (10-14 days after infection),  
587 classical respiratory alterations including lung infiltration in the chest X ray, intense  
588 eosinophilia, cough and wheeze are observed, reported as the Loeffler's syndrome<sup>178</sup>.

589 Urticaria, cough, dyspnoea, and haemoptysis, and abnormal auscultatory breath sounds are  
590 also non pathognomonic signs associated with larval migration through pulmonary tissue.

591 After the establishment of adult parasites, according to the burden of infection, the presence  
592 of the parasites may lead to gastrointestinal outcomes including upper gastrointestinal  
593 bleeding, small bowel obstruction (Figure 3b and 3c), volvulus, intussusception, peritonitis,  
594 hemorrhagic infarction of the bowel, and perforation<sup>179,180</sup>. Following the dispersion of the  
595 adult worm to extra intestinal sites, hepatobiliary and pancreatic ascariasis may occur and  
596 lead to biliary colic, acute cholecystitis, acute pancreatitis, acute cholangitis, and hepatic  
597 abscess<sup>181</sup>. Peritoneal (patients with fatal peritonitis)<sup>182</sup> and appendicular ascariasis<sup>183</sup> are  
598 clinical diseases observed in severe infections in endemic areas.

599 Asthenia, lack of appetite, abdominal pain, distention, nausea, diarrhoea and weight loss are  
600 common in children with severe intestinal ascariasis in endemic areas<sup>181</sup>. Moderate to heavy  
601 infections in children has been extensively associated to impairment in physical and mental  
602 development<sup>184</sup> and also contribute to the malnutrition<sup>185</sup> and vitamin A and C deficiency<sup>186</sup>.

603

## 604 [H2] Diagnosis of Ascariasis and Trichuriasis

605

606 The laboratory diagnosis of ascariasis and trichuriasis, as for any other soil transmitted  
607 helminths, relies on the examination of a limited sample of stool to determine the presence  
608 and, whenever it is possible, the amount of parasite eggs. Currently, the WHO recommends  
609 the use of the Kato-Katz method<sup>187</sup>, assessing two slides per sample<sup>188</sup>. Other  
610 parasitological methods include direct microscopy, formol-ether concentration, McMaster,  
611 FLOTAC, and Mini-FLOTAC, which present variable sensitivity according to the intensity of  
612 infection<sup>189</sup>. New parasitological methods, such as mobile phone microscopy<sup>190</sup> and  
613 FECPAKG2 (Ref<sup>191</sup>) have been developed but require extensive evaluation.

614 Considering the reduced sensitivity of parasitological methods, molecular assays have been  
615 developed to diagnose ascariasis and trichuriasis, aiming to improve sensitivity and  
616 specificity when compared to microscopic techniques. The development of molecular  
617 diagnosis for helminthic infection is hampered due to the relative higher cost and  
618 requirement for specific equipment, and the lengthy DNA extraction procedure of the stool  
619 samples, both of which may limit the application of molecular diagnostic assays. However,  
620 the reported sensitivities of molecular methods are significant and higher than observed for  
621 conventional microscopy for the diagnosis of both ascariasis<sup>192-195</sup> and trichuriasis<sup>194,195</sup>,  
622 despite the lack of an adequate gold standard<sup>196</sup>. Of note, mostly molecular assays have  
623 been developed as multiplexed<sup>197-199</sup> or multi-parallel assays<sup>193,200,201</sup> for simultaneous  
624 detection of different parasites. A colorimetric isothermal assay, embracing a one-step DNA  
625 amplification method, was also developed for the diagnosis of ascariasis and trichuriasis,  
626 combining high sensitivity and high tolerance to inhibitors present in fecal samples<sup>202</sup>, such  
627 as complex polysaccharides, salts, lipids, urate, among others<sup>203</sup>, which might be a  
628 promising tool for diagnosis in the field.

629 The fecal examination by conventional (microscopy) or molecular methods are important  
630 tools for determination of infection but are only effective after infections have become patent  
631 (i.e. adult females have been fertilized and start producing eggs). Microscopic methods  
632 present very limited sensitivity for of low intensity<sup>189</sup> with intensity of *Ascaris* and *Trichuris*  
633 infection estimated as EPG (eggs per gram of feces) and classified into light (1–4999 EPG  
634 and 1-1000 EPG, respectively), moderate (5000–49,999 EPG and 1001-9999 EPG,  
635 respectively) and heavy ( $\geq 50,000$  EPG and  $\geq 10,000$  EPG, respectively), according to WHO  
636 classification<sup>204</sup>. Under such circumstances, molecular-based assays, although more  
637 expensive and of limited field-applicability offer potential advantages, for example, to detect  
638 low intensity infections where anthelmintic control programmes have reduced prevalence  
639 and intensity to very low levels and where local or regional elimination strategies are being  
640 considered. **Mothers living in endemic communities attribute considerable illness in their**

641 children to the presence of parasites so the demand for clinical diagnosis in poor  
642 communities should not be under-estimated. Further, the demand for community diagnosis  
643 using approaches such as qPCR, which offer greater sensitivity, is growing, particularly  
644 under scenarios where elimination of transmission might be considered (i.e. very low  
645 prevalence levels and the need to detect low-level infections among the few who remain  
646 infected). The use of more sensitive assays such as qPCR at central laboratories might be  
647 justified under such circumstances despite the extra cost and need for sophisticated  
648 equipment and trained personnel. Low cost field applicable assays are presently not  
649 available such as lateral flow assays to detect specific antigen in stool but would enhance  
650 considerably the effectiveness of control programmes where decisions have to be made  
651 about the frequency of anthelmintic treatment and population groups to be targeted for  
652 treatment. The use of more sensitive assays such as qPCR at central laboratories might be  
653 justified under such circumstances despite the extra cost and need for sophisticated  
654 equipment and trained personnel. Low cost field applicable assays are presently not  
655 available such as lateral flow assays to detect specific antigen in stool but would enhance  
656 considerably the effectiveness of control programmes where decisions have to be made  
657 about the frequency of anthelmintic treatment and population groups to be targeted for  
658 treatment. The development of serological tools to improve the detection of pre-patent  
659 infections – such as during earlier phases of infection (for example, hepatic or pulmonary  
660 burden during *Ascaris* sp. larval migration) – could improve the effectiveness of surveillance  
661 during elimination programmes. The high-throughput assessment expected for serological  
662 assays indicates the suitability of these tools in epidemiological surveillance. However the  
663 development of serological assays is largely hampered by the lack of specificity due to  
664 cross-reactivity observed among helminth infections<sup>205-208</sup>, and even with arthropods such as  
665 mosquitoes and ticks<sup>209,210</sup>, and the inability to discriminate between past and current  
666 infections. While serological assays are available for the diagnosis of animal infection<sup>211-214</sup>,  
667 the development of serological assays are still very limited for the detection of human  
668 infection and restricted to detection of *A. suum*<sup>215</sup> in humans. Of note, the development of  
669 anti-*Ascaris suum* IgY antibodies in the immunodiagnosis of human ascariasis allowed the  
670 detection of immune complexes during human infection and showed diagnostic values of  
671 80% sensitivity and 90% specificity<sup>216</sup>. While the cross-reactivity would reduce the  
672 discrimination among helminth infections, the use of cross-reactive or conserved epitopes  
673 among different helminth parasites would be useful for the control of helminth infections,  
674 particularly in the application and assessment of parasite control achieved using mass drug  
675 administration (MDA) (see Outlook).

676

677 **[H2] Prevention of Ascariasis and Trichuriasis.** The prevention of ascariasis and  
678 trichuriasis, as in any other STH infections, relies on the combination of several conventional  
679 approaches that reduce prevalence. Among them, the WHO guidelines on so called  
680 preventive chemotherapy based on MDA in endemic areas aim to reduce the morbidity in  
681 pre-school-aged and school-aged children by lowering the prevalence of moderate- to  
682 heavy-intensity infections<sup>217</sup>. Preventive chemotherapy has been proved as an important tool  
683 for reduction of prevalence and morbidity of both ascariasis and trichuriasis, with a reduction  
684 of up to 80% in the overall parasite burden and prevalence in endemic areas<sup>218-220</sup>. There is  
685 consensus that the drugs applied in preventive chemotherapy programs are safe and  
686 effective. However, there has been a public debate (“worm wars”) on the impacts on health,  
687 including short-run impacts on weight and long term educational and economic impacts.  
688 While no benefit was identified in randomized clinical trials, contradictory findings were  
689 observed in the clinical literature<sup>221</sup>. For example, a meta-analysis estimated that the  
690 average weight gain per dollar expenditure from twice annual preventive chemotherapy is  
691 more than 35 times than that from school feeding programs<sup>222</sup>. Moreover, males who  
692 received deworming drugs a decade ago in Kenya worked 17% more hours per week and  
693 had higher living standards and girls were one quarter more likely to have attended  
694 secondary school<sup>223</sup>. Based on this debate, in 2017 a WHO Guideline Review Committee  
695 revisited the earlier preventive chemotherapy guidelines providing updated global, evidence-  
696 informed recommendations on preventive chemotherapy<sup>224</sup> in areas endemic for STH but  
697 it represents a short-term strategy for control of helminth infection as reinfection often occurs  
698 in endemic areas in the absence of clean water, sanitation and hygiene<sup>225</sup>. A comprehensive  
699 programme consisting of improved water, sanitation, and hygiene (WASH) includes  
700 improvements in water access (water quality, water quantity, and distance to water),  
701 sanitation access (as access to latrines and their proper maintenance, as well as faecal  
702 sludge management), and finally, the use of hygiene practices and changes in behaviour  
703 related to environment and family hygiene<sup>226,227</sup>. Lower odds of *A. lumbricoides* and *T.*  
704 *trichiura* infection are associated with treated water, access to sanitation and hygiene  
705 procedures (handwashing before eating and after defaecation and use of soap)<sup>228</sup>, however  
706 there is an urgent need to gather stronger evidence to support the role that WASH  
707 programmes play in the control of STHs<sup>229</sup>.

708 An additional measure for control of ascariasis and trichuriasis would be the use of vaccines,  
709 which might reduce the parasite burden and, consequently, the morbidity and transmission  
710 of infection (see Outlook). Evidence from experimental murine models indicated that  
711 continuous exposure to *A. suum* eggs, (three subsequent infections with 2,500 eggs), led to  
712 up to 98% of protection, determined by larval reduction in the host tissues<sup>35,230</sup>. For *T. muris*,  
713 the immunization with adult worm extract or excreted-secreted proteins induced a high

714 degree of protection (up to 100% of larval reduction)<sup>231,232</sup>. Over the past years, the  
715 development of vaccines using defined antigens against ascariasis and trichuriasis has been  
716 pursued, but it is still restricted to experimental models and no vaccines against *Ascaris* sp.  
717 or *T. trichiura* are currently being assessed in clinical trials. The selection of new vaccine  
718 candidates and the understanding of protective mechanisms induced by immunization might  
719 open new perspectives for the control of these infections in endemic areas, as individual or  
720 combined ('pan-helminth') vaccines<sup>233</sup>.

721

## 722 [H1] Management

723 As described above for the prevention of these diseases, the control and treatment of  
724 ascariasis and trichuriasis, like other STHs, can be achieved through a number of strategies  
725 which include environmental sanitation and hygiene, health education and the use of  
726 anthelmintic drugs. Environmental sanitation and hygiene (by provision of safe and adequate  
727 potable water and safe disposal of human excreta) are effective but take very long to bring  
728 about appreciable reduction in prevalence and intensity. Indeed, it is difficult to evidence the  
729 effects of Water, Sanitation and Hygiene Interventions (WASH) in control programmes<sup>229</sup>,  
730 with a better understanding of how we assess levels of environmental exposure to STHs and  
731 how we measure WASH uptake and usage important in understanding the role of WASH as  
732 an adjunct to deworming programmes. The use of effective and safe anthelmintic drugs on  
733 the other hand, has been shown to be more effective and rapid in reducing prevalence,  
734 intensity and morbidity. Treatment of ascariasis and trichuriasis includes management of  
735 diagnosed patients, aiming for cure of patients as well as large-scale administration of  
736 anthelmintic drugs to populations in endemic areas to reduce the burden of disease  
737 (preventive chemotherapy). In contrast to most regimens for individual patient management,  
738 preventive chemotherapy programs, advocated since 2001 by the WHO rely on single dose  
739 treatment (Table 2). Preventive chemotherapy involves periodic administration of a single  
740 dose of oral albendazole or mebendazole to pre-school-aged children, school-aged children,  
741 women of reproductive age (including pregnant women in the second and third trimesters  
742 and lactating mothers) and adult groups particularly exposed to STH infections, such as for  
743 example tea pickers. The recommended treatment schedule of once or twice annual  
744 administration is determined by the initial prevalence of STH infection<sup>234,235</sup>. The goal was to  
745 achieve a minimum coverage of 75% of the most affected groups by 2020. In 2017, over 598  
746 million children were treated in endemic countries corresponding to 69% of all children at  
747 risk<sup>229</sup>. Given the achievement of the 2020 targets, recently new targets and indicators were  
748 set by WHO<sup>236</sup> namely i) to achieve and maintain elimination of STH morbidity in pre-  
749 schoolers and school-aged children by 2030 (defined as prevalence of moderate and heavy  
750 infections below 2%) ii) to reduce the number of tablets needed in PC for STH iii.) to

751 increase domestic financial support to PC for STHs iv) to establish an efficient STH control  
752 programme in adolescent, pregnant and lactating women, v.) to establish an efficient  
753 strongyloidiasis control programme in SAC and vi) to ensure universal access to at least  
754 basic sanitation and hygiene by 2030 in STH-endemic areas.

755 The current drugs recommended by the WHO for the treatment of STH Infections are  
756 albendazole, mebendazole, levamisole and pyrantel pamoate<sup>234,237</sup>. Albendazole and  
757 mebendazole are the two benzimidazoles that have been used most widely for decades  
758 against STHs in the treatment of individual patients and in MDA programmes. For MDA  
759 programmes, millions of tablets are donated each year. Albendazole, a benzimidazole  
760 carbamate, is supplied in tablets and as suspension. It is administered orally to both adults  
761 and children above 2 years of age. Mebendazole, same as albendazole, kills the worms in  
762 the intestine leading to their expulsion within 24 hours of drug administration. Mebendazole  
763 is available in oral tablets and in suspension.

764

## 765 [H2] Treatment of Trichuriasis

766 When used at single oral doses, the treatment schedule compatible with preventive  
767 chemotherapy programmes, none of the recommended monotherapies shows acceptable  
768 efficacy (egg reduction rates above 90% based on the target product profile for drugs used  
769 for STHs)<sup>238</sup> against *T. trichiura* infections (Table 2). The efficacy is higher when the drugs  
770 are used in the recommended dosing schedules. For example, a double-blind clinical study  
771 on Pemba island showed that mebendazole given to school-aged children twice a day for  
772 three days achieved considerable higher cure and egg reduction rates against *T. trichiura*  
773 infections when compared to single dose treatment (cure rate of 6.8% versus 42.9% and egg  
774 reduction rate of 71.7% versus 98.1%<sup>239</sup>. Why *T. trichiura* infections are less affected by the  
775 drugs is not known but the location of the parasite (as discussed in the Outlook section)  
776 might have a role. To date evidence of resistance against benzimidazoles in human  
777 medicine has not yet been established<sup>240</sup>. However, drug selection pressure that led to  
778 widespread anthelmintic resistance in veterinary helminths is now similar for human STHs  
779 given the large scale use of preventive chemotherapy. The reasons for the little knowledge  
780 on human anthelmintic resistance include the variable drug efficacy, lacking validated  
781 phenotypic or genotypic tests for resistance as well as working with difficult samples  
782 matrices (i.e. stool). Efforts are ongoing to develop molecular and genomic screens of  
783 human STH populations for mutations likely to be associated with benzimidazole resistance  
784 based on the understanding on resistance in veterinary helminths. It is important to monitor  
785 the presence of resistance-associated single nucleotide polymorphism (SNPs) in human  
786 soil-transmitted helminthiasis before resistance becomes clinically established.

787 Given the low efficacy of the standard treatments at monotherapy against *T. trichiura*  
788 infections, monodose combination chemotherapy has been widely advocated in the past  
789 years, embracing the advantages of a single administration with drug combination therapy.  
790 Albendazole combined with ivermectin is since 2017 on the essential medicine list of the  
791 WHO for the treatment of soil-transmitted helminthiasis and strongyloidiasis <sup>241</sup>. This drug  
792 combination was classified as high priority combination given that the treatment is already  
793 widely used for lymphatic filariasis <sup>242</sup>. Despite its large scale use the available efficacy data  
794 for soil-transmitted helminthiasis is limited <sup>239</sup> and a multi-country randomized controlled  
795 double-blind trial has therefore been launched to provide strong results on the efficacy and  
796 safety of co-administration of ivermectin and albendazole <sup>243</sup>.

797 Given the recent registration of moxidectin for onchocerciasis at the Food and Drug  
798 Administration (US FDA) <sup>244</sup> albendazole-moxidectin might serve as an alternative drug  
799 combination to albendazole-ivermectin. Moxidectin combined with albendazole, used at the  
800 recommended dosages, was shown safe and effective against *T. trichiura* infections<sup>245</sup>. The  
801 use of higher dosages showed no benefit. Large scale trials to establish the effectiveness  
802 are necessary for moxidectin-albendazole as currently under way for ivermectin-albendazole  
803 <sup>245</sup>.

804 In contrast to the recommended treatments (**Table 2**), ivermectin <sup>246</sup> or moxidectin <sup>245</sup>,  
805 oxantel pamoate has excellent trichuricidal properties <sup>247</sup>. To compensate for its lack of  
806 efficacy against *A. lumbricoides* and hookworm, it was combined with pyrantel pamoate (e.g.  
807 Quantrel®). In the past years, several clinical trials have successfully demonstrated that a  
808 combination of albendazole-oxantel pamoate is safe and efficacious <sup>248</sup>. Moser and  
809 colleagues calculated a cure rate of 88.7% and an egg reduction rate of 96.7% by means of  
810 network meta-analysis for this combination using a single dose <sup>242</sup>. Efforts are ongoing to  
811 determine if any existing data on oxantel pamoate (from veterinary medicine, where the drug  
812 is widely available or the countries where it is registered as human drug, e.g. the Philippines)  
813 can be utilized to support EMA/FDA registration with the ultimate goal that oxantel pamoate  
814 could be used as partner drug in treatment campaigns.

815 Emodepside, a veterinary anthelmintic licensed under the name of Profender® and Procox®  
816 is the only advanced drug in the depleted drug development pipeline. Emodepside is a  
817 cyclooctadepsipeptide, targeting the evolutionary conserved calcium-activated potassium  
818 channel slowpoke 1 (SLO-1) and the latrophilin receptors LAT-1/LAT-2 (Ref<sup>249</sup>) targeting  
819 nematode neuromuscular function. The drug is currently undergoing clinical testing against  
820 onchocerciasis. In laboratory models of soil-transmitted helminthiasis emodepside showed  
821 a broad spectrum of activity against the major soil-transmitted helminths <sup>250</sup>. Emodepside  
822 should therefore also be considered for the development of soil-transmitted helminth  
823 infections. Its disadvantage is its high production costs since it is a semi-synthetic compound



824 whose precursor is a metabolite of the fungus *Mycelia sterilia*. Testing of SLO-1 inhibitors is  
825 therefore currently ongoing.

826

## 827 **[H2] Treatment of Ascariasis**

828 Clinical disease resulting from ascariasis in children and adults includes intestinal  
829 obstruction, a common occurrence in children in endemic areas; peritoneal ascariasis due to  
830 the migration of *Ascaris* larvae into the peritoneum and appendicular ascariasis due to  
831 worms entering the appendix lumen. Other complications due to ascariasis include  
832 hepatobiliary and pancreatic ascariasis (HPA) which commonly occurs in adults.

833 A number of anthelmintics have been developed to effectively manage ascariasis including  
834 albendazole, mebendazole, levamisole, pyrantel pamoate and ivermectin, although their  
835 long term effectiveness remains a concern and new approaches such as crystal toxins from.

836 *Bacillus thuringiensis* are being explored ((Hu et

837 al., <https://www.ncbi.nlm.nih.gov/pubmed/29772478> ). In HPA, endotherapy is recommended

838 to remove worms from the ductal systems if the worms fail to move out of the ductal lumen

839 by 3 weeks post anthelmintic treatment. Conservative treatment is the mainstay of treating

840 hepatobiliary and pancreatic ascariasis. This involves appropriate treatment for clinical

841 syndromes such as bowel rest, intravenous fluids, analgesic-antispasmodics and antibiotics

842 followed by mebendazole once acute symptoms subside<sup>251</sup>. However, if this treatment option

843 fails, Endoscopic Retrograde Cholangio-Pancreatograph (ERCP), involving endoscopic

844 examination of bile and pancreatic ducts and the extraction of worms without sphincterotomy

845 (enlargement of the bile duct opening) or surgery are used<sup>252</sup>. Intestinal obstruction, which

846 rarely occurs in children, is treated through surgery. However, when perforation of the

847 intestine occurs, the type of surgery depends on the findings during laparotomy and is

848 tailored to individual needs<sup>253</sup>.

849 Albendazole, mebendazole, levamisole and pyrantel pamoate have high efficacy against *A.*

850 *lumbricoides* both in terms of cure rates and egg reduction rates (Table 2) following a single

851 dose<sup>254</sup>. Several other marketed anthelmintics, such as ivermectin (Table 2), moxidectin or

852 tribendimidine have also been shown to be highly effective against *A. lumbricoides*<sup>254</sup>.

853

## 854 **[H1] Quality of life**

### 855 **[H2] Trichuriasis**

856 Estimates of the effects of trichuriasis on quality of life in populations where the parasite is

857 endemic is complicated by unsure estimates of prevalence and parasite burdens and

858 imprecision in estimates of impact on quality of life indices. Quality of life is most likely to be

859 affected during chronic and/or high-burden infections. Death is thought to be an unusual

860 outcome of infection although no reliable estimates of mortality exist<sup>255</sup>. Measures used to  
861 determine quality-of-life effects include those of economic, educational, social, health,  
862 environmental and other aspects of the well-being of individuals. Trichuriasis likely has direct  
863 effects on a number of these domains such as economic productivity, educational  
864 performance, and ill-health although there are limited data measuring such effects.  
865 Trichuriasis has been shown to affect cognition<sup>256</sup>, school performance<sup>257</sup>, and school  
866 absenteeism rates<sup>258</sup> and thus likely has direct effects on educational achievement and  
867 economic potential of individuals. Health effects such as those associated with anaemia and  
868 poor growth will likely affect physical fitness<sup>259</sup> and economic productivity<sup>260</sup>, as well as  
869 having effects on the quality of social interactions and well-being. Anaemia can be severe in  
870 vulnerable groups such as pregnant women whose iron reserves are most depleted,  
871 although not as pronounced as for hookworm<sup>151,163</sup>. The various health consequences of  
872 infection can be summarized crudely using a widely-used metric, disability-adjusted life  
873 years (DALYs), that estimates the number of years of 'healthy life' lost attributable to a  
874 specific infection using both morbidity and mortality data. For trichuriasis, estimated DALYs  
875 are highly variable between studies but were estimated at 0.213 million in 2017 (Ref<sup>261</sup>) with  
876 the greatest burden in the populous countries of Asia (~60% of DALYs). This represents a  
877 decline of 23% since 2007 largely due to reductions in poverty and improved access to  
878 anthelmintic drugs among high risk groups. These estimates were based on disability  
879 weights for 'symptomatic infection', 'wasting, and 'mild abdominopelvic problems' with no  
880 attributed mortality. Recently, girls and women of reproductive age have been included as a  
881 high-risk group for anthelmintic treatment programmes, based partly on the epidemiological  
882 links between *T. trichiura* infection and risk of anaemia in this group<sup>262</sup>. *T. trichiura* is an  
883 infection of poverty, most common among those living in tropical regions in conditions of  
884 extreme poverty (i.e. on less than US\$1.90/day). Many of the factors that feed extreme  
885 poverty are linked to risk of *T. trichiura* infection (i.e. poor sanitation, education, etc.) which  
886 itself contributes to the underlying causes of poverty. The effective control of *T. trichiura*  
887 would be expected to reduce poverty through the improvements in health, educational  
888 achievement, and economic productivity.

889

## 890 **[H2] Ascariasis**

891 In keeping with trichuriasis, the burden of ascariasis is associated with the chronic and  
892 insidious impact this disease has on the health and quality of life of infected individuals.  
893 *Ascaris*, like *Trichuris*, has been shown to have a significant role in childhood protein energy  
894 malnutrition and reduced food intake leading to growth retardation, poor cognitive  
895 development, school-absenteeism and poor academic performance. Collectively these

896 impacts combine to affect an individual's productivity thus limiting the economic prospects of  
897 countries where *Ascaris* is endemic<sup>263-265</sup>.

898 The unique hepatic migration of *Ascaris* can contribute to liver inflammation. An  
899 extensive prospective study of Indian hospital patients revealed that 14.5% of patients with  
900 liver abscess had biliary *Ascaris* as the cause and eleven patients had intact *Ascaris* larvae  
901 within the liver abscess<sup>105</sup>. In the early stages of *Ascaris* infection, individuals may suffer  
902 cough and high fever<sup>266</sup>. Loeffler<sup>267</sup> described a transient or seasonal syndrome of  
903 pulmonary infiltrates, mild to marked respiratory symptoms and peripheral eosinophilia that  
904 he subsequently attributed to *Ascaris* in the lungs and termed "Loeffler's syndrome"<sup>178</sup>. Later  
905 in infection, and in contrast to trichuriasis, high adult worm burdens can be life-threatening  
906 for both adults and children where intestinal obstruction and biliary complication  
907 predominate<sup>268</sup>. In children, intestinal obstruction due to *Ascaris lumbricoides* infection  
908 accounted for 1.8% of the 902 cases of acute abdominal surgery, as reviewed at the  
909 University of Benin Teaching Hospital, Nigeria over a five-year period<sup>269</sup> and may be caused  
910 by heavy worm burden in the range of 60 or more parasites<sup>270</sup>. Airway obstruction, a  
911 potential life-threatening event arising from *Ascaris* infection has also been reported<sup>271, 272, 273</sup>  
912 however, this condition rarely occurs and there is no available data regarding its prevalence.  
913 The global disability-adjusted life year estimates for ascariasis are 0.861 million in 2017  
914 (Ref<sup>261</sup>). In comparison to 2007, ascariasis presented the largest decrease in DALYs among  
915 all intestinal nematode infections, possibly due to deworming and socioeconomic  
916 development, although it could also be accounted for by follow-up studies in areas where  
917 control programmes have been previously conducted<sup>7</sup>. Further, a recent co-morbidity study  
918 has indicated that patients with chronic pancreatitis with concomitant ascariasis have a  
919 significantly lower level of quality of life score than individuals with chronic pancreatitis not  
920 associated with ascariasis<sup>274</sup>. Ascariasis can also cause allergy and immunopathology in  
921 infected people, and non-infected people who have inhaled antigens from *Ascaris* life cycle  
922 stages. Such allergic immune responses can present as cough, bronchial asthma,  
923 eosinophilia, gastrointestinal disorders and urticaria<sup>275</sup>.

924

925 **Nutritional and cognitive impacts of soil-transmitted helminth infections.** Cross-  
926 sectional and prospective observational studies from 20 or more years ago have indicated  
927 significant long-term impacts of soil-transmitted helminth infections on a number of nutritional  
928 induces such as stunting and also on childhood cognitive development<sup>276</sup>. Randomized  
929 controlled trials have been more equivocal in showing effects of STH infections on nutritional  
930 and cognitive indices and more recent systematic reviews of intervention studies have been

931 able to demonstrate only negligible effects on growth and nutritional parameters, cognition,  
932 and mortality<sup>168,277,278</sup>. A meta-analysis of observational and randomized treatment studies  
933 showed no overall effect on cognitive parameters in children in treatment trials but infection-  
934 related deficits in some parameters for observational studies, although the latter effects were  
935 considered to be highly vulnerable to bias<sup>170</sup>. A systematic review of nutritional  
936 supplementation (e.g. Iron) as a benefit in addition to anthelmintic treatment, highlighted the  
937 fact that the evidence base was so weak that no recommendation nutritional  
938 supplementation could be recommended<sup>279</sup>. Criticisms of systematic reviews have focused  
939 largely around the dilutional effects on impact measures by including uninfected children or  
940 children with low parasite burdens, the fact that study populations may be infected with a  
941 variety of different helminth species making it impossible to attribute species-specific effects,  
942 and that school absenteeism related to the most affected children could bias results towards  
943 no effect. A recent critical appraisal noted the need for new studies designed and powered to  
944 overcome these limitations in order to measure morbid effects of STH<sup>276</sup>. Certainly,  
945 observational studies of heavily infected children have shown dramatic effects of treatment  
946 on catch-up growth post-treatment, particularly for severe trichuriasis<sup>151,280,281</sup>, but the  
947 frequencies of children at risk has declined markedly in line with worldwide reductions in  
948 poverty rates<sup>282,283</sup>.

949

950

## 951 **[H1] Outlook**

### 952 ***[H2] The development of new drugs***

953 The long-term effectiveness of the drugs currently available to treat *Ascaris* and *Trichuris*  
954 (levamisole, pyrantel pamoate, albendazole and mebendazole) is a major concern and  
955 underpins the need for novel drug discovery. . Encouragingly however, new mechanism of  
956 action drugs are being discovered, for example, the pore-forming protein Cry5B produced by  
957 the soil bacterium *Bacillus thuringiensis* (Bt) is effective against hookworm in preclinical  
958 models<sup>284</sup>. Further, access to the genomes of these<sup>77,285</sup> and many other parasites<sup>286</sup> offers  
959 the prospect of enhanced target-based screening for new anthelmintics. A chemo-genomics  
960 approach (which takes the most promising of druggable targets in parasite genomes and

961 exploring their drug repurposing prospects using the ChEMBL database) is underway  
962 searching for compounds targeting the most druggable of whipworm candidate targets. For  
963 whipworm, 40 priority targets were associated with 720 drug-like compounds (181 of which  
964 reached phase III/IV clinical trials<sup>286</sup>). For *Ascaris*, new targets with their variety of inhibitors  
965 may also offer new routes to drug discovery<sup>285</sup>.

966  
967 Phenotypic screening, using live, *ex vivo* nematodes, has resulted in the discovery of most  
968 currently available anthelmintics<sup>287</sup> and this is likely to remain an important approach in the  
969 future. New platforms encompassing automated phenotyping that are suited to high-  
970 throughput chemical screening for motility and growth impairment in *C. elegans* and parasitic  
971 nematodes are available<sup>288</sup>. Such platforms facilitate putative drugs to be tested across  
972 different parasite species with the aspiration of discovering moieties with activity against  
973 trematodes and nematodes. Access to the wealth of behavioural data on mutants of *C.*  
974 *elegans* is also a resource in the search for new candidate drug targets<sup>289</sup>. Chemistries  
975 active on parasites and *C. elegans* will facilitate genetic approaches to target identification.  
976 By this means new classes of chemistry with anthelmintic properties are emerging<sup>290</sup>  
977 including some with activity against both adult and egg stages, which may enable a break in  
978 the life cycle<sup>291</sup>. This could be important as both whipworm and *Ascaris* eggs can remain  
979 viable in soil for extended periods<sup>292</sup>.

980  
981 The use of advanced imaging technologies may enhance our understanding of parasite-host  
982 biology and facilitate the development of novel drugs against soil transmitted helminths in  
983 general (Figure 5). One such example is X-ray computer tomography, which provides re-  
984 constructed 3D images of parasites in situ and over time<sup>55</sup>. This can highlight in detail  
985 parasite interactions with host tissue. For example, the attachment site of *Trichuris*, the  
986 epithelial tunnel, remains poorly understood. To date the tunnel has only been viewed by  
987 scanning electron microscopy<sup>293</sup>, looking down on to the surface from the gut lumen, and by  
988 conventional histology, which provides a 2D view<sup>294</sup>. 3D imaging offers the potential to view  
989 the attachment site in a more holistic way and has already begun to show the complexity of  
990 whipworm interactions with intestinal cells, which may present particular challenges for worm  
991 clearance<sup>55</sup>. Further, acknowledging and addressing important differences in the biology of  
992 *Ascaris* and *Trichuris* will facilitate the development of bespoke strategies to reduce  
993 prevalence and control morbidity. Anthelmintic drug resistance mechanisms can involve  
994 pharmacokinetics, detoxification and target-site modifications, which can shorten the life of  
995 valuable chemistry, so discovering ways to circumvent this will be important in the future.  
996 Arguably the few compounds currently in use may increase the chances of resistance  
997 developing<sup>295</sup>. Enhancing the pipeline of new chemistry will be important, as will rotating or

998 combining drug treatments. Resistance may be under-reported if we only score known  
999 resistance-associated polymorphisms. Improved molecular markers<sup>296</sup> are needed to better  
1000 understand resistance, especially when planning large-scale deworming programmes  
1001 worldwide.

1002

### 1003 **[H2] Targeting liver immunity**

1004 Stimulating host immunity may offer a therapeutic avenue. There is emerging evidence for  
1005 the role of the liver in immunity to ascariasis<sup>114,297</sup>. A mouse model of ascariasis has been  
1006 used to explore the liver proteome in two inbred mouse strains, susceptible and resistant to  
1007 *Ascaris* infection<sup>117</sup>. Higher levels of mitochondrial proteins involved in oxidative  
1008 phosphorylation were observed in the resistant strain (both intrinsically and under infection),  
1009 when compared to the susceptible strain. Thus an intrinsic difference in reactive oxygen  
1010 species (ROS) in the liver could give the resistant strain an advantage in contending with the  
1011 parasite<sup>119</sup>. In another study, a lower larval burden of *Ascaris* was observed in the lungs of  
1012 reinfected mice, and lesions caused by hepatocyte necrosis and infiltration of eosinophils  
1013 and neutrophils were more pronounced in the reinfected group. The more pronounced  
1014 hepatic immune response in the reinfected group results in a lower lung larval burden<sup>35</sup>.  
1015 Novel therapies targeting the liver could conceivably stop larval migration in its tracks,  
1016 reducing tissue damage and impairing development of adult worms.

1017

### 1018 **[H2] Drug treatment and parasitological monitoring**

1019 Significant challenges remain if soil-transmitted helminths such as *Ascaris* and *Trichuris* are  
1020 to be eliminated. These challenges are complex and multifaceted and include the  
1021 sustainability of preventative chemotherapy, the choice of at risk groups (for example at  
1022 present adult males are currently excluded from MDA), the possible emergence of  
1023 anthelmintic resistance and the fact that a pan STH vaccine<sup>233</sup> is an ambitious endeavour.  
1024 Furthermore, the data emerging on the impact of WASH<sup>229</sup> suggests that while STH infection  
1025 remains high, MDA will still be required and the impact of WASH will be longer term.  
1026 Certainly the funding of such initiatives as the deWorm3 project<sup>298</sup> represents a welcome  
1027 endeavor that will test the feasibility of interrupting STH transmission using biannual mass  
1028 drug administration targeting all age groups coupled with large scale application of PCR for  
1029 monitoring drug- treatment. We urgently require well designed, long-term quantitative  
1030 epidemiological data in order to plan the future for elimination including the provision of data  
1031 for appropriate mathematical modelling. In this context, parasitological  
1032 monitoring<sup>301</sup> is a key component required to enhance our understanding of the efficacy of  
1033 control strategies, in tandem with the development of appropriate mathematical modelling  
1034 approaches. This paper suggests that methodology needs to be developed to enable the

1035 measurement of prevalence of soil-transmitted helminth infection in Preschool children  
1036 (PSAC), school-age children (SAC) and women of reproductive age (WRA) and other risk  
1037 groups, providing a more complete picture of the burden of soil-transmitted helminthiasis in  
1038 the entire community. In this context, the most urgent need is for better estimates of key  
1039 parameters **can be fitted to mathematical models in order to assess the impact of treatment**  
1040 **to key at risk groups** such as density dependence in fecundity, observed as a reduction in  
1041 egg production with increasing worm burdens, parasite life expectancy, egg survival and  
1042 age-specific force of infection, which describes the per capita rate at which susceptible  
1043 individuals acquire infection <sup>302</sup>.

1044

1045 Part of the WHO strategy to control soil-transmitted helminths is the periodic administration  
1046 of benzimidazoles such as Albendazole and Mebendazole. However, such extensive use  
1047 could foster the emergence of anthelmintic resistance. Presently, large scale monitoring for  
1048 resistance is absent and detection has relied on microscopic methods such as the  
1049 insensitive egg reduction rate<sup>303</sup>. In a recent viewpoint<sup>304</sup>, the authors highlighted a number  
1050 of initiatives including the STOP, deWorm3 and the Starworms projects that are focusing on  
1051 the assessment of drug efficacy and the development of molecular methods for the detection  
1052 of anthelmintic resistance.

1053

1054 One argument that is gaining momentum is the need to move away from an emphasis on the  
1055 treatment of school-age children only to a community-wide approach especially in the  
1056 context of high transmission areas<sup>299</sup>. A recent, large-scale randomised trial in Kenya that  
1057 compared 3 treatment strategies (including the current focus on children aged 2-14 years)  
1058 concluded that annual or bi-annual community treatment was more effective against the  
1059 prevalence and intensity of hookworm than school-based treatment of children only but also  
1060 raised the argument that this approach needed to be explored in the context of *Ascaris* and  
1061 *Trichuris*<sup>300</sup>. A recent study in Myanmar identified adult males (who are not the focus of the  
1062 current WHO strategy) with significant burdens of both hookworm and *Trichuris*<sup>305</sup>.

1063

## 1064 **[H2] The development of vaccines**

1065 However, concern remains that MDA alone will not be sufficient to eliminate soil-transmitted  
1066 helminths such as *Ascaris* and *Trichuris*. Explanations include rapid-reinfection in  
1067 environments where long-lived and resistant eggs survive, the lack of drug efficacy  
1068 particularly for *Trichuris*, the possibility of drug resistance and a lack of access to clean water  
1069 and adequate sanitation. Thus, vaccination will be a continued focus for the future. However,  
1070 in contrast to the efforts made to develop an anti-hookworm vaccine, progress with respect

1071 to *Ascaris* and *Trichuris* has been slow. Pigs, exposed to UV-irradiated eggs of *A. suum*,  
1072 demonstrated reduced numbers of migrating larvae and adult worms in the intestine<sup>306</sup> in  
1073 response to both humoral and cellular acquired immunity<sup>139</sup>. However, crude antigen  
1074 sources carry a risk of inducing allergic responses due to their allergenic properties. Several  
1075 chemically defined antigens have been expressed and 6 antigens have been targeted for  
1076 further investigation<sup>233</sup> including As14, an antigen found in both larval and adult *Ascaris*  
1077 worms that has a 64% level of protective immunity in mice<sup>307</sup> and As16 (Ref<sup>308</sup>). In contrast  
1078 to *A. suum*, *T. muris* has not been studied as extensively with respect to the development of  
1079 recombinant antigens<sup>233</sup>. Antigens derived from the stichosome have induced significant  
1080 reductions in worm burdens in a mouse model<sup>232</sup>. More recently, however, the *T. muris* whey  
1081 acidic protein (rTm-WAP49), secreted from the parasite's stichosome and tentatively  
1082 ascribed pore-forming activity, has been proposed as a promising vaccine candidate<sup>309</sup>,  
1083 suggesting that the evaluation of *T. muris* recombinant proteins as immunogenic entities is  
1084 gathering pace. Thus rTm-WAP49 achieved a 48% reduction in worm burden in mice and  
1085 showed high sequence conservation with the *T. trichiura* WAP proteins<sup>309</sup>.

1086

## 1087 **[H2] Final words**

1088 Soil transmitted helminths are complex pathogens and their control presents complex  
1089 challenges. Further, these challenges differ according to context making it impossible to be  
1090 prescriptive. Never the less, it is clear that a holistic approach embracing MDA, education  
1091 and sanitation is critical, working hand in hand with basic biological research. Enabling  
1092 countries to take ownership of control programmes, thus moving towards self-sustainability  
1093 in both drug administration and drug procurement is a key goal. In this context, exciting new  
1094 targets and indicators have been set by WHO<sup>236</sup>. For example, countries deworming by  
1095 domestic funds is scheduled to increase from 5 in 2023 to 25 in 2030 and improved  
1096 sanitation is a major goal with targets to decrease to open defaecation to 0 by 2030. Just as  
1097 enabling countries to take ownership of control programmes is important, so is building  
1098 critical mass in basic biological research in countries where helminth infections are endemic.  
1099 Multiple unmet needs exist in the area of basic biology of infection, including the need to  
1100 develop affordable, sensitive tools to monitor parasite prevalence, and innovation in vaccine  
1101 research. Despite these unmet needs, the current pace of technological advances in  
1102 biological research combined with the growth of multi-disciplinary approaches gives  
1103 optimism that living with helminth infections will one day not be the norm.

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Table 1: Diagnostic methods for whipworm and roundworm infections.

Test	Procedure	Output	Sensitivity		Specificity		Advantages	Limitations
			Ascariasis	Trichuriasis	Ascariasis	Trichuriasis		
Microscopy based techniques (Kato-Katz, Direct microscopy, formol-ether concentration, FLOTAC, Mini-FLOTAC, McMaster) <sup>189,310,311</sup>	Identification of parasite eggs in fecal samples by microscopy	Egg detection or egg quantification	56.9-79.7	62.8-91.0	99.6	97.5	Relative low cost. Possible to determine burden of infection.	Overall low sensitivity (especially at low infection intensities). Need of qualified microscopist.
Molecular diagnostic techniques (qPCR, LAMP assay, conventional PCR) <sup>312, 196</sup>	Amplification and identification of specific parasite sequences	Identification or quantification of DNA from roundworm or whipworm	85.7-100	100	100	100	Possible to detect multiple infections by multiplexed assays. High specificity.	Risk of low sensitivity due the presence of inhibitors in the fecal sample. Decreased sensitivity if formalin fixation of samples. Requires specialized equipment and has restricted used in the field.

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1114

**Table 2: recommended treatment regimens and efficacy of anti-helminth drugs**

1115

Recommended treatment	Mechanism of action	<i>T. trichiuria</i> infections				<i>A. lumbricoides</i> infection			
		Individual patient management <sup>a</sup>	Preventive chemotherapy <sup>b</sup>	Cure rate <sup>d</sup>	Egg reduction rate <sup>d</sup>	Individual patient management <sup>a</sup>	Preventive chemotherapy <sup>b</sup>	Cure rate <sup>d</sup>	Egg reduction rate <sup>d</sup>
Albendazole	B-tubulin binding	once a day for three days	once	32.1	64.3	once	once	96.5	99.7
Mebendazole	B-tubulin binding	twice daily for three days	once	44.4	80.7	twice daily for three days or once (depending on the strength of the available formulation)	once	96.8	99.5
Levamisole	L-subtype nAChR agonist	NA	Once	23.4	41.8	NA	Once	93.0	97.0
Pyrantel pamoate	L-subtype nAChR agonist	NA	Once	28.5	62.3	Once	Once	97.5	91.7
Ivermectin	GABA-gated chloride and potassium channel agonist	Once daily for three days	(once)	32.1	78.9	once	once	97.3	>99.9
Albendazole-ivermectin	NA	NA	once	60.0	95.5	NA	once	96.7	99.9

1116 Based on References a<sup>313</sup> and b<sup>237</sup>. Treatments in brackets indicate drugs that are not  
 1117 recommended for treatment but that have a (suboptimal) effect against the disease

1118 NA not applicable, c: available for this indication in several countries (e.g. Cobantril®) but  
 1119 not listed in Reference a; d: after single dose administration, based on Reference<sup>242</sup>.

1120

1121

1122 FIGURE LEGENDS

1123

1124 **Figure 1. Soil-transmitted helminth infections**

1125 Panel A shows the two major Phyla, the Nematoda and the Platyhelminthes within which the  
1126 human multicellular endoparasites fall. A third Phyla also exists, the Acanthocephala  
1127 however humans are very rarely infected, serving only, on rare occasions, as accidental  
1128 hosts. The Trematoda and Cestoda are Classes of Platyhelminth, with the term Helminth an  
1129 umbrella term covering the Nematoda and the Platyhelminths. Examples of genera found  
1130 within each Phylum are included. The so-called Soil Transmitted Helminths are found within  
1131 the Nematoda.

1132 Panel B summarizes the main similarities and differences of *Trichuris* and *Ascaris* parasites

1133 **Figure 2: Prevalence of *Trichuris trichiura* and *Ascaris lumbricoides* infections in**

1134 **2010. (A) *Trichuris trichiura* infection and (B) *Ascaris lumbricoides* infection;** based on  
1135 geostatistical models for sub-Saharan Africa and available empirical information for all other  
1136 regions. *T. trichiura* infections may also occur in populations in high-income countries living  
1137 in conditions of poverty such as in aboriginal populations in Australia<sup>314</sup> or among migrants<sup>7</sup>.  
1138 In the case of the latter, most infections are acquired elsewhere given the limited  
1139 opportunities for transmission because of adequate hygiene and sanitation in most high  
1140 income country settings. Adapted with permission from Pullan et al Parasit Vectors. 2014: 7,  
1141 37

1142

1143 **Figure 3: Life cycles of *Ascaris* and *Trichuris* species.**

1144 *Trichuris*: infection with *Trichuris* is initiated by the oral ingestion of infective embryonated  
1145 eggs. Eggs hatch in the large intestine after receiving signals to do so from bacteria. The first  
1146 stage L1 larvae burrow in to epithelial cells lining the crypts and in this intracellular niche  
1147 grow and moult through to the adult stage. Thus unlike *Ascaris*, *Trichuris* is an entirely  
1148 enteric parasites and does not undergo any migratory phase. From the L3 onwards not all of  
1149 the nematode body is found inside the gut epithelial cells, with the posterior end protruding in  
1150 to the gut lumen. Sexually mature adult parasites are found in the large intestine, contrasting  
1151 with *Ascaris*, and here they mate, and the females release unembryonated eggs which pass  
1152 out with the faeces, becoming embryonated and thus infective after a period of time in the  
1153 external environment.

1154 *Ascaris*: after ingestion of embryonated eggs, eggs hatch and release L3 larvae, covered by  
1155 the L2 cuticle. Although the site of egg hatching has been a topic of some discussion, the  
1156 current evidence points to the larvae hatching in the large intestine. L3 larvae penetrate the  
1157 caecal and proximal colon mucosa and undergo what is known as a hepato-trachael  
1158 migration, a phenomenon that sets *Ascaris* apart from the other soil-transmitted helminths,  
1159 including *Trichuris*. Larvae migrate via the portal blood vessels to the liver. In the liver, the L2  
1160 cuticle is shed and some larval growth occurs. Subsequently, larvae advance to the lungs,  
1161 penetrate the alveolar spaces, move to the pharynx where they are coughed up and  
1162 swallowed. On their return to the small intestine, the now L4 larvae undergo a final moult  
1163 (L5) and develop to adulthood with sexually mature male and female worms within the lumen

1164 of the small intestine. Adult worms produce unembryonated eggs that are shed in the faeces  
1165 where they develop to infectivity under appropriate conditions of temperature and moisture.

1166 Images of *Trichuris* eggs and adult stage parasite courtesy of Ruth Forman; images of  
1167 *Ascaris* larvae and larvae in lung courtesy of Celia Holland; *Ascaris* larva in liver reproduced  
1168 with permission from PLOS Neglected Diseases when this paper was published - Deslyper,  
1169 G., Colgan, T., Cooper, A., Holland, C.V. and Carolan, J. (2016). A proteomic investigation  
1170 of hepatic resistance to *Ascaris* in a murine model. PLOS Neglected Diseases 10(8):  
1171 e0004837. and at <http://www.bpod.mrc.ac.uk/archive/2016/9/13> Image by Dr Christina Dold  
1172 and Professor Celia Holland; *Ascaris* egg courtesy of Gwendoline Deslyper

1173

#### 1174 **Figure 4: The anti-parasite effector mechanisms operating in the protective immune** 1175 **response to *Ascaris* and *Trichuris***

1176 a) In mice resistant to *Ascaris* **elimination of parasites from the gut involves the “weep**  
1177 **and sweep” mechanism, embracing an increase in muscle contractility and fluid**  
1178 **secretion<sup>133</sup>. Lung stage immunity lack mechanistic clarity, but likely involve Type 2**  
1179 **controlled effector mechanisms. Both neutrophils and eosinophils feature in the lung**  
1180 **infiltrating cells. Even less is understood about liver stage immunity although reactive**  
1181 **oxygen species have been implicated in the mechanism of resistance.**

1182 b) In strains of mice resistant to *T. muris*, the Type 2 cytokine IL-13 has been shown to  
1183 increase the rate of epithelial turnover thus displacing the parasite from its niche<sup>79</sup>.  
1184 Resistance to infection also correlates with and expansion of goblet cells. Through  
1185 the use of mucin deficient mouse strains, muc 2 and muc5ac<sup>75,76</sup> have been shown to  
1186 be important in resistance to *T. muris*, likely via direct interactions with the parasite in  
1187 the gut. Changes to gut physiology, increased muscle contractility and fluid secretion  
1188 are also thought to contribute to parasite expulsion

1189 Although likely, it is not known if similar effector mechanisms also operate in man.

1190

#### 1191 **Figure 5: Clinical complications of trichuriasis and ascariasis**

1192

1193 a) Colonoscopic image of *Trichuris* dysentery syndrome (TDS). Note petechial lesions  
1194 and mucosal haemorrhages (taken from Khuroo et al (2010) Gastrointestinal endoscopy, 71  
1195 (1), 200-204)

1196 b) Abdominal X-ray demonstrating “tramline” appearance caused by a heavy intestinal  
1197 infestation by *Ascaris lumbricoides*. The duodenum is packed with worms, presenting as a  
1198 tangled mass of black within the white of the contrast medium (reproduced from  
1199 [https://en.wikipedia.org/wiki/Ascariasis#/media/File:Ascaris\\_infection\\_in\\_X-ray\\_image-](https://en.wikipedia.org/wiki/Ascariasis#/media/File:Ascaris_infection_in_X-ray_image-Duedenal_worms_in_the_first_portion_of_the_bowel_after_the_stomach_(South_Africa)_(_16238958958).jpg)  
1200 [Duedenal\\_worms\\_in\\_the\\_first\\_portion\\_of\\_the\\_bowel\\_after\\_the\\_stomach\\_\(South\\_Africa\)\\_ \(\\_](https://en.wikipedia.org/wiki/Ascariasis#/media/File:Ascaris_infection_in_X-ray_image-Duedenal_worms_in_the_first_portion_of_the_bowel_after_the_stomach_(South_Africa)_(_16238958958).jpg)  
1201 [16238958958\).jpg](https://en.wikipedia.org/wiki/Ascariasis#/media/File:Ascaris_infection_in_X-ray_image-Duedenal_worms_in_the_first_portion_of_the_bowel_after_the_stomach_(South_Africa)_(_16238958958).jpg))

1202 c) Small bowel obstruction by *Ascaris lumbricoides*. The image shows a piece of  
1203 intestine, blocked by *Ascaris lumbricoides* which has been surgically removed from a 3-year-  
1204 old boy in South Africa. Reproduced from SuSanA Secretariat  
1205 <https://www.flickr.com/photos/gtzecosan/16424898321/>, CC BY 2.0,  
1206 <https://commons.wikimedia.org/w/index.php?curid=38219947>

1207

#### 1208 **Figure 6: Outlook for development of novel drugs for STH infections.**

1209 **(a)** Chemogenomics approaches will help identify new candidate anthelmintic drugs  
1210 targeting *Ascaris* spp. and *Trichuris* spp. Targets common to all soil transmitted helminths  
1211 will be of particular interest. A greater understanding of the worm life cycle, host-parasite  
1212 interactions and host immunity to infection **(b)** may assist in adding context to omics-based  
1213 discoveries, and this too may highlight additional candidate targets as well as challenges in  
1214 developing new therapies. **(c)** Advanced, automated phenotypic screening platforms will  
1215 emerge. Images courtesy of James O'Sullivan and Hannah Smith.

1216

1217

1218

1219 **Box 1: How do Type 2 immune responses develop?**

1220 Although several cell types (e.g. Innate Lymphoid Cells, B cells, macrophages) possess  
1221 MHC II and so can present antigen to CD4+ T cells, their *in vivo* contribution in the context of  
1222 murine trichuriasis is not fully defined. In contrast, the dendritic cell represents a potent  
1223 antigen presenting cell known to play a key role in *Trichuris* infections in the mouse. Different  
1224 subsets of dendritic cells (DCs) exist with the IRF4+ CD11c+ CD11b+ DC being the potent  
1225 driver of Type 2 immunity post *Trichuris* infection and IRF8+ CD103+ DC associated with  
1226 Type 1 immunity and thus chronic infection. Exactly how these subsets have  
1227 compartmentalised roles is unclear but mechanisms are likely to embrace both cell intrinsic  
1228 factors and external signals. For example, if the cellular phosphatase SHIP-1 is deleted  
1229 specifically from DCs, *T.muris* expulsion is impaired. Further, different DC subsets may  
1230 express different levels of cytokine receptors and so be educated differently towards a Type  
1231 2 promoting phenotype by the family of alarmin cytokines (IL-25, IL-33, TSLP). Indeed  
1232 raising IL-25 or IL-33 levels in normally susceptible mice promotes resistance to *Trichuris*<sup>315</sup>  
1233 and blocking TSLP signalling in normally resistant mice delays worm expulsion<sup>316</sup>. Other  
1234 evidence implicating the dendritic cell as a key player in the development of Type 2 immunity  
1235 comes from circadian studies. Here, the effect of time-of-day on the outcome of *Trichuris*  
1236 infection was shown to be, at least in part, dependent upon the dendritic cell clock<sup>317</sup>. Thus,  
1237 mice infected in the morning are more resistant to infection than mice infected at night.  
1238 Transgenic mice created such that dendritic cells lack a core clock gene lose this time-of –  
1239 day dependency in resistance to infection, with the mechanism hypothesised to be due to  
1240 circadian regulation of levels of Type 1 promoting cytokines.

1241

## 1242 Box 2. An Economic Perspective

1243 Potential cost-effectiveness of treating soil-transmitted helminths has been reviewed but relatively  
1244 few studies have provided data for individual STH parasites<sup>318</sup>. In the case of ascariasis, such studies  
1245 have indicated that with school-targeted control of high prevalence communities, that a DALY can be  
1246 averted at a cost of US\$8<sup>319</sup>, that enhancing coverage is more cost-effective than increasing the  
1247 frequency of treatments<sup>320</sup>, and that MDA is more cost-effective in high-transmission areas with  
1248 longer rather than shorter intervals between treatments<sup>321</sup>. Studies estimating productivity loss of  
1249 working adults measured significant losses among STH-infected compared to uninfected agricultural  
1250 workers, generally attributed to the effects of anaemia, although attribution to specific STH  
1251 parasites is problematic<sup>260</sup>.

1252

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