Design of Nickel-Based Cation-Disordered Rock-Salt Oxides: The Effect of Transition Metal (M = V, Ti, Zr) Substitution in LiNi_{0.5} $M_{0.5}O_2$ Binary Systems

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Abstract:

Cation-disordered oxides have been ignored as positive electrode material for a long time, due to structurally limited lithium insertion/extraction capabilities. In this work, a case study is carried out on nickel based cation-disordered Fm-3m LiNi_{0.5}M_{0.5}O₂ positive electrode materials. The present investigation targets tailoring the electrochemical properties for nickel based cation-disordered rock-salt by electronic considerations. The compositional space for binary LiM⁺³O₂ with metals active for +3/+4 redox couples are extended to ternary oxides with $LiA_{0.5}B_{0.5}O_2$ with $A=Ni^{+2}$ and $B=Ti^{+4}$, Zr^{+4} and V^{+4} in order to assess the impact of the different transition metal in the isostructural oxides. The direct synthesis of various new unknown ternary nickel based Fm-3m cation-disordered rock-salt positive electrode materials is presented with a particular focus on the LiNi_{0.5}V_{0.5}O₂ system. This positive electrode material for Li ion batteries displays an average voltage of ~ 2.55 V and a high discharge capacity of 264 mAhg⁻¹ corresponding to 0.94 Li. For appropriate cut-off voltages, a long cycle life is achieved. The charge compensation mechanism is probed by XANES, confirming the reversible oxidation and reduction of V^{4+}/V^{5+} . The enhancement in the electrochemical performances within the presented compounds stresses the importance of mixed cationdisordered transition metal oxides with different electronic configuration.

Introduction

Currently, the positive electrode is the limiting component that determines energy density, rate capability as well as the cost of modern lithium-ion batteries. This results in a strong need for the development of low-cost positive electrode materials with good performance. Among various types of positive electrode materials research has been primarily focused on well-ordered transition-metal oxide based cathodes, e.g., layered LiMO2, spinel-like LiM2O4 and Li2M2O4 systems.¹⁻³ These material classes are generally regarded as well-performing positive electrode materials as they provide a stable framework structure. This structures provide specific percolating Li sites which are favorable for topotactic insertion and removal of lithium-ions. They also enable microscopic diffusion pathways throughout the crystal structure.⁴ Having a well-ordered structure with only minor or no cation intermixing that furthermore maintains the structural integrity during operation is considered mandatory to achieve good performance and long cycle life. From the structural point of view, only a few selected systems with distinct chemistries (cobalt containing, except for polyanion-type) have been regarded as suitable candidates, meeting these stringent requirements.⁵⁻⁷

Besides this widely investigated class of "well-ordered"-materials there are also other fields for the discovery of novel storage materials. One of these are cation-disordered oxides with rock-salt structure (DRS). These materials have not received significant attention for a long time because their performance does not match the level of state-of the art materials. Furthermore, they have the tendency of being electrochemically inactive, in particular for micro sized, well-crystalline, particles.^{8,9} Here, the random cation distribution hinders sufficient percolation of the Li sites and impedes microscopic diffusion throughout the crystal structure.¹⁰ Hence, cation-disorder was anticipated as an inevitable penalty. Recently, and

independently from each other, experimental and modelling groups showed that this may not necessarily be the case. Although cation-disorder is anticipated as an inevitable penalty, Ceder¹¹ group recently delivered a theoretical concept with experimental proof based on percolation theory calculations, according to this at least 10% lithium-excess necessary to form percolating Li sites to enable microscopic diffusion and enable high capacities. These works reveal the hidden potential of Li-rich disordered rock-salt structures. According to this concept, at least 10% lithium-excess is necessary to form percolating Li sites in cationdisordered materials to enable microscopic diffusion and high capacities. To the best of our knowledge all shown examples with high capacity are nanoscale material, which stems from the fact that shorter diffusion pathways are beneficial for kinetically limited systems. Cationdisordered rock-salts are becoming increasingly interesting materials as a consequence of these results. 12-18 The general approach for the rational design of new cation-disordered Liexcess rock-salt phases is the introduction of the Li excess through the formation of solid solutions between stoichiometric cation-disordered LiMO2 and high valent Li-excess compounds such as (Li₂MO₃, Li₃MO₄, Li₄MO₅). This approach is, however, limited by the small number of presently known cation-disordered rock-salt oxides.¹⁹ In this work we expand this number by working on LiA_{0.5}B_{0.5}O₂ based ternary oxides. This provides a broad compositional space to rationally design new materials. This study proposes a practical methodology for the identification of stable cation-disordered rock salt compounds and their electrochemical and structural characterization. We demonstrate the feasibility of isovalent cation substitution of Ti⁴⁺ in the well-known cubic compound LiNi_{0.5}Ti_{0.5}O₂²⁰⁻²² with Zr⁺⁴, and V^{+4} . To the best of our knowledge the Zr^{+4} and V^{+4} substituted systems have not been studied as positive electrode material. The effect of the M⁺⁴ substitution on the LiNi_{0.5}M_{0.5}O₂ has been systematically investigated by means of electrochemistry, DFT and XANES with more focus on LiNi_{0.5}V_{0.5}O₂ cathode, which promises a good compromise between highcapacity and voltage as compared to the other $LiNi_{0.5}M_{0.5}O_2$ with $M^{+4} = Zr$ and Ti.

Experimental Section:

Synthesis:

LNO-M compounds with a formal stoichiometry of LiNi_{0.5}M_{0.5}O₂ (M= Zr, Ti ,V) were synthesized by high-energy milling of stoichiometric amounts of Li₂O, NiO and MO₂ with M = Zr, V, Ti for 20h using a Fritsch P6 planetary ball mill with 80mL silicon nitride vial and silicon nitride balls, with a ball to powder ratio of 20:1. All synthesis steps were carried out under inert gas atmosphere (Ar). VO₂ has been synthesized by comproportionation of 1:1 V₂O₃ and V₂O₅²³ NiO, Li₂O, TiO₂, ZrO₂ were purchased from Alfa Aesar with a purity \geq 99.5%. Only in the case of LiNi_{0.5}V_{0.5}O₂ is sensitive to air and moisture and needs to be carefully handled. High temperature treatment of LiNi_{0.5}V_{0.5}O₂ results in the formation of an additional spinel phase, which could be due to the charge disproportionation of V⁺⁴, which is not further discussed in this work.

Materials Characterizations:

Synchrotron X-ray powder diffraction (XRPD) experiments were performed at the Swiss-Norwegian Beamline (SNBL), beamline BM01, at the European Synchrotron Radiation Facility (ESRF). The powdered samples were filled in 0.5mm quartz capillaries and sealed with wax under an argon atmosphere. XRPD data were collected using a PILATUS 2M area detector from DECTRIS, a sample-to-detector distance of 142.27mm, a beamsize of 0.2 x 0.2 mm, a wavelength of 0.68202Å, a 20° rotation of the capillary and an exposure time of 20s. The data were converted to conventional one-dimensional powder patterns using the FIT2D software 24 In-house X-ray powder diffraction data were collected under rotation of the capillary on a STOE Stadi P diffractometer with Mo K α 1 (λ = 0.7093Å) using Debye-Scherrer geometry. The powder samples were sealed in quartz capillary (0.5mm in diameter) under an argon atmosphere.

The X-ray absorption spectra at K-edge of Zr were collected at the beamline "Structural Materials Science" using the equipment of Kurchatov Synchrotron Radiation Source (Moscow, Russia). The storage ring with an electron beam energy of 2.5GeV and a current of 80–100mA was used as the source of radiation. All the spectra were collected in the transmission mode using a Si (111) channel-cut monochromator. The Ti and V K-edge X-ray absorption near edge structure (XANES) spectra were recorded using an in-house Rigaku R-XAS spectrometer in transmission mode at room temperature with a crystal monochromator Ge (220) and Ge (311), correspondingly, and at an energy resolution of 0.6eV, at the Southern Federal University, Russia. Pellets were prepared in a glovebox and sealed in an X-ray transparent bag under an inert atmosphere. An argon-filled ionization chamber (300mbar pressure) was used to detect the intensity of x-ray beam before the sample and a scintillation counter was used for the detection of transmitted intensity. The goniometer section of spectrometer was filled with helium buffer gas to avoid the air absorption of X-rays. Ten spectra were acquired and averaged for each sample.

The scanning electron microscope (SEM) and energy dispersive X-ray spectroscopy (EDS) were carried out using the instrument LEO GEMINI 1550 VP equipped with Silicon Drift Detector (OXFORD Instruments). TEM investigations were performed on Tecnai F20ST transmission electron microscope operated at 200kV. Brunauer-Emmett–Teller (BET) surface area analyses of the samples were performed with a Micromeritics ASAP 2020 MP system.

Electrochemical Measurements:

Electrochemical tests were carried out in Swagelok-type cell versus lithium metal. Electrode slurries were made of 90wt.% composite and 10wt.% polyviniylidene difluoride (PVDF) binder with N-methyl-2-pyrrolidone (NMP) as solvent. The composite consists of active material and Super C65 carbon black in a weight ratio of 80:20. The mixed slurry was coated on an aluminum foil by doctor blade technique and dried at 120°C for 12h under vacuum.

Each working electrode (12mm diameter) contained approximately 3mg of active material and Li foil was used as counter electrode. LP30 from BASF (ethylene carbonate/ dimethyl carbonate, 1:1 volume ratio with 1M LiPF₆) was used as electrolyte. Cyclic voltammetry (CV) experiments for the cells have been carried out from 1.3 to 4.5V at various scan rates 0.05-1mVs⁻¹ using a Bio-Logic VMP-3 potentiostat at the room temperature. Temperature controlled galvanostatic charge-discharge experiments were conducted at 25°C in climate chambers using an Arbin electrochemical workstation.

Theoretical calculations:

The periodic density functional theory (DFT) code VASP was applied for an investigation of stability and electronic structure of different Li2TMNiO4 compounds (with TM=Ti, Zr, V). While the PBE functional was used to account for exchange and correlation, the electron-ion interaction was described by the projector augmented wave (PAW) method. Moreover, to take the localization of d-electrons into account, the GGA+U correction was applied for Ni, V and (Ni 6.0, V 3.1, following the choice of Urban et al.¹⁹ To computationally access the disordered rocksalt structure of these compounds, the special quasi random structure approach was applied for the construction of supercells corresponding to the stoichiometry Li₁₆TM₈Ni₈O₃₂.

These supercells were optimized with respect to cell volume and atomic positions. For this purpose, a 5x5x5 k-point mesh, using the Monkhorst-Pack scheme, was chosen in combination with a cutoff energy of 600eV. In a next step the structures were delithiated and also optimized, using the same settings. From the total energies of the lithiated and delithiated structures as well as bulk Li, the corresponding average voltage was then calculated.

Results and Discussion:

For comparability reasons all cubic cation-disordered LiNi_{0.5}M_{0.5}O₂ (LNO-M) with $M = Ti^{4+}$, Zr^{4+} , V^{4+} were synthesized in the *Fm-3m* space group through a direct one-step mechanochemical approach. Cation-disordered rock-salt-like structures were verified for all compositions by X-ray powder diffraction (XRPD).

Figure 1a shows the XRPD patterns of the LNO-M with M=Ti, Zr, V for the rietveld refinement see in the Supplemental Information (SI) figure S1. None of the diffraction patterns contain the characteristic (003) diffraction peak of layered LiMO₂ phases with the space group R-3m. Thus, no evidence was found for a layered long-range cation ordering. For a single phase fit with a cubic Fm-3m symmetry, the lattice constant values were estimated as LNO-Ti: a = 4.1551(4) Å, LNO-Zr: a = 4.2780(6) Å, LNO-V: a = 4.1504(5) Å. The elemental mapping of the LNO-M with M= V, Ti, Zr samples are presented in Figures S2-S4 respectively. Figure 2a shows transmission electron microscopy (TEM) pictures with a corresponding HAADF STEM mapping for LNO-V. This confirms a homogenous distribution of Ni and V. The morphology of the particles is representative for mechanochemically larger synthesized compounds showing agglomerates with nanocrystalline particles in the range of 20-100 nm. The respective SAED pattern is shown in **Figure 2b** and could be indexed to a cubic *Fm-3m* cation-disordered rock-salt phase.

Charge-discharge profiles for LNO-M with M= Ti, Zr and V are shown in **Figure 1b**. For a better comparison, the voltage profiles are plotted as a function of lithium content cycled at C/20 rate within the voltage range of 4.5-1.3V. The plots are stacked vertically to highlight the trends of the distinct redox couples. The cyclic voltammogram in **Figure S5** clearly illustrate the oxidation/reduction voltage for all samples. The theoretical capacities calculated

on the base of 1e⁻ transfer are given in **Table S1**. LNO-Zr, Ti, V shows the feasibility to extract 0.47, 0.59, 0.94 Li in the first charge and insert 0.4, 0.60, 0.87 Li during discharge, respectively The observed trend is consistent with the understanding that d⁰-transition metals do not participate in the redox mechanism as confirmed by the XANES Ti-K and Zr-K edge as shown in **Figure S6 a, b**. To gain further understanding of the experimental findings, we have conducted density functional theory (DFT) calculations to assess the electronic structures of the different materials. The calculated average voltages of the different LNO-M compounds (**Table 1**) together with additional details on the computational approach are given in the SI. DFT results qualitatively match to our experiment, see **Figure 1b**. For d⁰ electronic configuration, changing from LNO-Ti to LNO-Zr, the voltage is decreasing. The same trend is observed for d¹ electronic configuration when changing to LNO-V.

For the fully lithiated structures (LiNi_{0.5}M_{0.5}O₂ stoichiometry), the electronic density of states (DOS) was calculated and the corresponding partial DOS for TM d-electrons and oxygen p-electrons was extracted, see **Figure 1c.** Not surprisingly, the DOS of the group 4 transition metals (TM) (Ti and Zr) containing compounds differ only slightly. There is a dominant contribution of the oxygen p-states close to the Fermi level, which points to a rather limited hybridization of oxygen p- with the Ni d-orbitals. This is indicative for a strong anionic activity, which may result in oxygen release under delithiation. Moreover, for both cases the d-states of the additional TM (Ti, Zr) are essentially zero from -2eV to E_F. Only below -2eV an increased contribution of these d-states is evidenced. By switching from M⁴⁺(d⁰) for Zr and Ti to M⁴⁺(d¹) for V the DOS, however, looks clearly different. For the V compound, significant differences are apparent when compared to the results on group 4 TMs. Interestingly, for the case of LiNi_{0.5}V_{0.5}O₂, the Ni pDOS appears quite similar to that of the group 4 TMs. The V spectrum, however, differs strongly from those of Zr or Ti. Indeed, there is a significant contribution of the V d-states close to the Fermi level, so that an oxidation of

V before Ni is likely. Here, however, the oxygen p-states are still dominant close to the Fermi level, again indicating anionic activity and possible oxygen release under delithiation.

Owing to the lighter framework structure of LNO-V and the promising electrochemical properties, a deeper investigation has been performed on this particular compound. Cycling stability tests were carried out for different charge / discharge cut-off voltages within the range of 4.5 - 1.3V as shown in **Figure 3a**. When cycling voltage is limited to $2.0V \le x \le 1.00$ 4.0V, the voltage hysteresis is small as shown in **Figure 4**. Furthermore, we observe high capacity retention when this cycling-window is used, see Figure 3b. However, the small cycling window reduces the reversible capacity, in this case to 160mAhg⁻¹ and 101mAh g⁻¹ for a lower cut-off of x=1.3V and 2.0V, respectively. For extended cycling windows, a clear increase in voltage hysteresis can be observed at low and high potentials. This suggests slow kinetics due to bulk diffusion in this region.²⁷ For the cut-off voltage in the range of 4.5-1.3V a first discharge capacity of 264mAhg⁻¹ corresponding to 0.92 lithium insertion was observed, yet with a relatively lower capacity retention compared to electrodes with narrower cycling range. The charge / discharge voltage profiles and cyclic stability for LNO-V sample at various current rates (10-200mAg⁻¹) in the voltage range 4.5-1.3V is depicted in Figure 3 c, At higher current densities, an increase in polarization and a decrease in accessible capacity are observed. Moreover, at higher current densities, less capacity fading is observed during cycling. Such irreversibility may occur partly because of side reactions with the electrolyte or charged state instability of the material, which was also previously observed for other vanadium based systems synthesized by the same method.^{28,29} Electrode performance can potentially be improved by using electrolyte additives.³⁰

To investigate LNO-V kinetics a cyclic voltammetry (CV) analysis for various scan rates (0.05 - 1mVs⁻¹) has been performed. In **Figure 3e** an increase in the peak current and a

separation in oxidation/reduction peaks is observed for higher scan rates. Oxidation / reduction peak currents (I_p) are proportional to the square root of the scan rate (v) as shown in **Figure 3f**, which indicates the lithium insertion/extraction is controlled by a semi-infinite linear diffusion process (please see the supporting information for detailed study). The apparent lithium-ion diffusion coefficients for LNO-V obtained from CV were $\sim 9.5 \times 10^{-16}$ and 6.8×10^{-16} cm² sec⁻¹ respectively, for the anodic and cathodic reactions.

To assess the reaction mechanism, ex-situ XRPD and X-ray absorption spectroscopy (XANES) were applied for LNO-V for different charge / discharged states. The cubic rock-salt structure was maintained during charge/discharge (**Figure S8a**). For an overlay of the pristine and 2nd charge state LNO-V shown in **Figure S8 b, c** intensity loss with an increase in background was observed, indicating a partial amorphization, which can manifest itself in a capacity loss and lower coulombic efficiency due to increased metal dissolution or electrolyte side reactions. Moreover, only a slight peak shift was noticed and the volume change for lithium insertion / extraction is very low, which is in line with observations for other V containing disordered cubic rock-salt materials. ^{18,29,33}

Figure 5a shows the Ni K-edge confirming the oxidation state to be +2 for the pristine state. For the charged state the Ni edge shifts to higher energy and returns back to its starting position after discharge. In the XANES V K-edge spectra of LNO-V (**Figure 5b**), the pristine state is compared to other V-containing disordered rock-salt compounds, including references like Li₂VO₃ and Li₂VO₂F. LNO-V is showing the similar spectra as Li₂VO₃ and Li₂VO₂F with a weak pre-edge located at 5469eV, which can be attributed to the dipole transition due to p-d hybridization on V in the distorted octahedral environment.³⁴ The pre-edge intensity of LNO-V (d¹ with V⁺⁴) is higher compared to Li₂VO₂F (d² with V⁺³). This can be explained by the lower number of d-electrons and the increased deviation from octahedral symmetry,

hinting to a structural distortion of LNO-V. The good agreement between Li₂VO₃ and LiNi_{0.5}V_{0.5}O₂ absorption edges confirms the oxidation state of V to be +4. Upon charging, the V absorption edge shifts to the higher energy values close to the values of V₂O₅ as shown in **figure 5c**. Thus, the V oxidation state in charged LNO-V is +5. Interesting to note is that the pre-edge peak intensity drastically increases with the oxidation of V. Pre-edge peak intensity is typically increasing with increasing distortion of the octahedral symmetry. Moreover it changes as a function of the number of d-electrons and is maximized for d⁰ configuration.³⁴ An even stronger pre-edge peak is observed for an inverse spinel LiNiVO₄³⁵, where V⁵⁺ exclusively occupies tetrahedral sites. The pre-edge features are consistent with the observation of Yabuuchi *et al.*³³, who proposed the partial V⁵⁺ migration into tetrahedral site and presumably could explain the anomalous small volume changes. The process is highly reversible after discharge to 1.5V and the pre-edge peak returns to its original position as shown in **figure 5c** and **Figure S9**.

The performances of the present materials have been compared with the state of the art of stoichiometric and Li-rich cation-disordered rock-salt oxides in terms of energy density as shown in **Figure 6**. More details on the electrochemical performances of the investigated compounds and similar other materials are listed in the SI, see **Table S2** and **S3**. The comparison illustrates that the electrochemical performances of the new rock-salt-type compound LNO-V is very promising. The energy density of 682 Wh/kg for the first discharge is comparable to many disordered rock-salt oxides considered in literature.

Conclusion:

In summary, this study proposes a practical methodology for the design of new cationdisordered rock-salt by a mechanochemical approach. We illustrate the feasibility of the complete Ti⁴⁺ substitution for the Fm-3m LiNi_{0.5}Ti_{0.5}O₂ with Zr, V. The effect of the electronic structure for the different transition metals have been studied by DFT. Transitioning from LiNi_{0.5}M_{0.5}O₂ with M having d⁰-configuration for Ti⁴⁺, Zr⁴⁺ to LiNi_{0.5}M_{0.5}O₂ with M d¹-configuration with V⁴+ shows considerable improvement in reversible lithium insertion / extraction properties. Among the investigated compounds LiNi_{0.5}V_{0.5}O₂ displays promising electrochemical properties, delivering a capacity of 264mAhg⁻¹ corresponding to 0.92 Li insertion per formula unit. Ex-situ XRPD and XANES of the LiNi_{0.5}V_{0.5}O₂ reveal a highly reversible V⁴⁺/V⁵⁺ redox process with a slight amorphization upon charge/discharge. This observation is in line with other V-containing cation-disordered rock-salts. This can be associated with the structural distortion during charging indicated by the pre-edge features. The possible origin for these observations could be the partial V⁺⁵ migration from octahedral to face-shared tetrahedral sites during the charge process. Cation-disordered rock-salt show interesting electrochemical properties, however there are remaining challenges and barriers, which have to be addressed in future works. Despite the promise of high capacities still cycling stability with less fading and extended cycling yet has not been demonstrated. Furthermore, undesirable practical drawbacks, such as voltage hysteresis and poor rate capability still need to be overcome. The approach used in this work can likely be extended to other ternary compositions and enhance the compositional space for more cation-disordered rock-salt oxides.

Supporting Information

Supporting Information Available: XRPD and Rietveld refinement for the compounds, SEM and EDX mapping, Cyclic voltammetry curves, XAS Ti and Zr k-edge, Kinetic analysis, adsorption/desorption, Ex-Situ XRPDS for different SOC, XAS V K-edge spectra, Table with theoretical values, table for state of the art cation-disordered rock-salt oxides

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Tables:

 Table 1: Average voltages and computed theoretical voltages for LNO-M

Formal stoichiometry	Abbreviation	Average Voltage [V] a)	Theoretical voltage GGA [V]	Theoretical voltage GGA+U [V]
LiNi _{0.5} V _{0.5} O ₂	LNO-V	2.55	2.78	3.44
LiNi _{0.5} Ti _{0.5} O ₂	LNO-Ti	2.65	3.22	3.90
LiNi _{0.5} Zr _{0.5} O ₂	LNO-Zr	2.42	3.08	3.70

 $^{^{\}rm a)}$ Average voltage against Li/Li $^{\scriptscriptstyle +}$ in the cycling range of 4.5-1.3V

Figures:

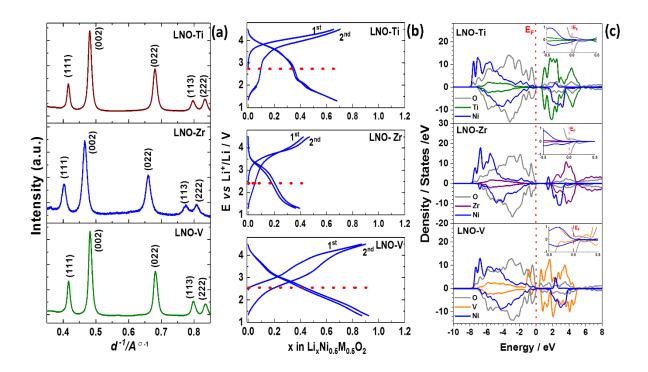


Figure 1: a) XRPD for LNO-M with M=Ti, Zr, V. b) Charge-discharge voltage profiles for LNO-M in the voltage range of 4.5-1.3V at C/20 current rate. c) Partial density of states (oxygen p- and metal d-states) for LNO-M as obtained from DFT.

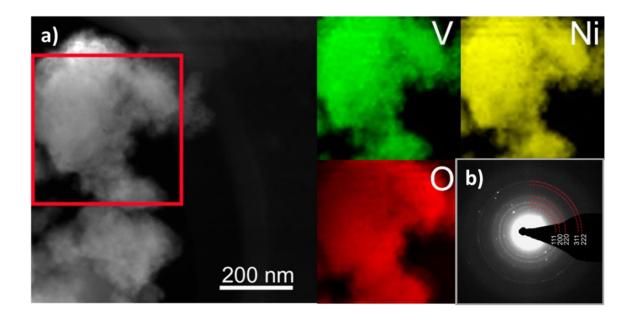


Figure 2: a) HAADF STEM micrograph and mapping of Ni, V and O demonstrating the homogeneity of the elemental distribution for LNO-V. b) SAED patterns of the LNO-V sample. Indexing of the diffraction ring shows rock salt *Fm-3m* structure of LNO-V sample.

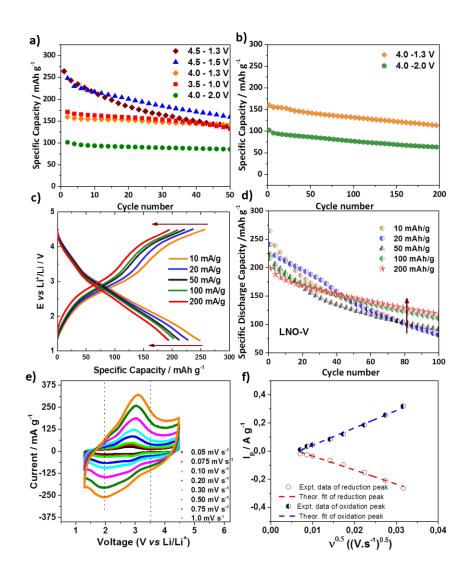


Figure 3: a) Cycling stability of LNO-V for different cut-off voltages at a current density of 10mAg⁻¹, b) Long-term cycling stability of LNO-V for different cut-off voltages at a current density of 10mAg⁻¹ c) Charge-discharge voltage profile for LNO-V for different current densities in the range of 4.5-1.3V, d) Cycling stability for LNO-V at different current densities in the voltage range of 4.5-1.3V, e) Cyclic voltammograms for LNO-V for various voltage scan rates, f) LNO-V sample with normalized peak current *vs* square root of the scan rate

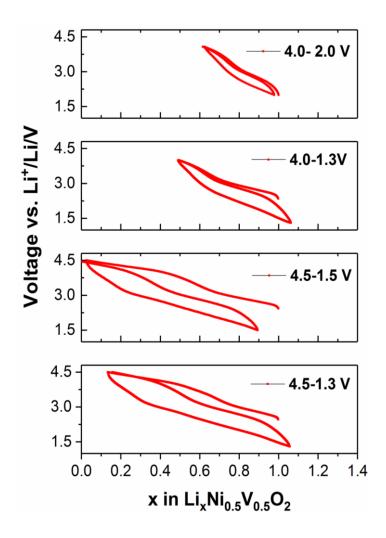


Figure 4: LNO-V charge-discharge profiles for different cut-off voltages at a current density of 10 mAg⁻¹.

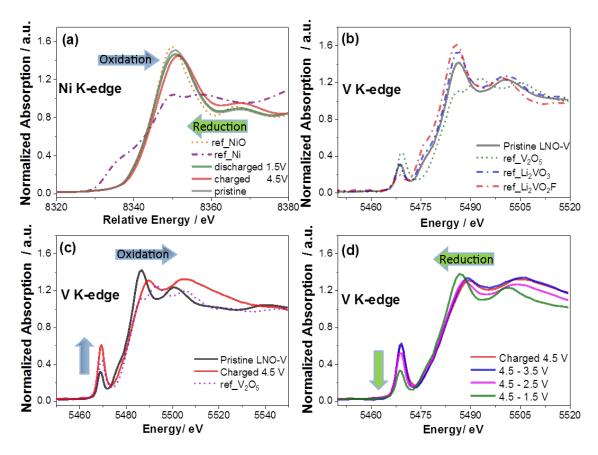


Figure 5: a) LNO-V change of Ni K-edge during charge-discharge, b) Comparison of pristine LNO-V with different V containing oxides c) LNO-V change of V K-edge during charge, d) LNO-V change of V K-edge during discharge.

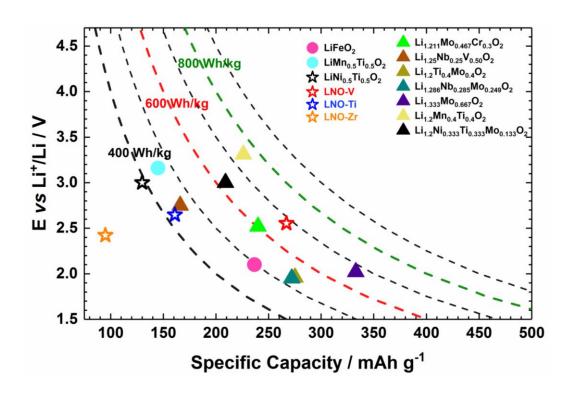


Figure 6: Comparison of various stoichiometric and Li-rich cation-disordered rock-salt oxides with *Fm-3m* space group. The --- (dashed lines) are isoenergetic lines for specific energie densities (Wh/kg) for different chemistries. Values are taken from the listed compounds in the Supplementary Table S2, S3.

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