

## Size Dependence of Lattice Parameter and Electronic Structure in CeO<sub>2</sub> Nanoparticles

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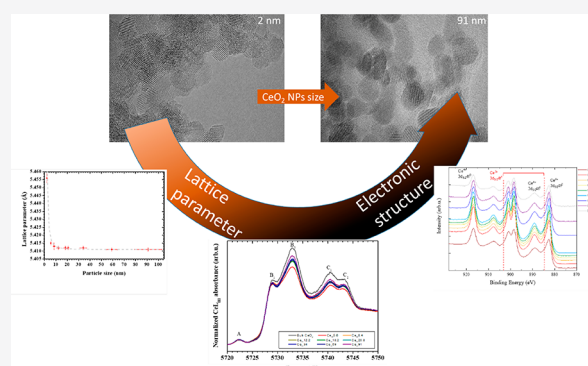
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**ABSTRACT:** Intrinsic properties of a compound (e.g., electronic structure, crystallographic structure, optical and magnetic properties) define notably its chemical and physical behavior. In the case of nanomaterials, these fundamental properties depend on the occurrence of quantum mechanical size effects and on the considerable increase of the surface to bulk ratio. Here, we explore the size dependence of both crystal and electronic properties of CeO<sub>2</sub> nanoparticles (NPs) with different sizes by state-of-the-art spectroscopic techniques. X-ray diffraction, X-ray photoelectron spectroscopy, and high-energy resolution fluorescence-detection hard X-ray absorption near-edge structure (HERFD-XANES) spectroscopy demonstrate that the as-synthesized NPs crystallize in the fluorite structure and they are predominantly composed of Ce<sup>IV</sup> ions. The strong dependence of the lattice parameter with the NPs size was attributed to the presence of adsorbed species at the NPs surface thanks to Fourier transform infrared spectroscopy and thermogravimetric analysis measurements. In addition, the size dependence of the t<sub>2g</sub> states in the Ce L<sub>III</sub> XANES spectra was experimentally observed by HERFD-XANES and confirmed by theoretical calculations.



### INTRODUCTION

CeO<sub>2</sub>-based nanoparticles (NPs) offer unique redox properties that open promising possibilities for applications in catalysis,<sup>1,2</sup> energy storage,<sup>3,4</sup> biomedicine,<sup>5</sup> and nuclear activities.<sup>6</sup> Quantum mechanical size effects, combined with a considerable increase of the surface to bulk ratio, are responsible for the unique properties of nanometer-sized particles, including electronic and geometric structure, and optical and magnetic properties.<sup>7,8</sup> A thorough understanding of the dependence of these properties on particle size is of great importance notably for the design of next generation materials.

In this context, our work focuses on studying the size dependence of both crystallographic and electronic structures of CeO<sub>2</sub> NPs, as these two fundamental properties are of technological importance and theoretical interest and broad prospects.<sup>2</sup> The change of unit cell dimensions with decreasing particle size has been previously reported, but remains a subject of discussion. Different hypotheses have been put forward to explain this phenomenon: surface stress induced by the presence of sorbed species and partial reduction of Ce<sup>IV</sup> to Ce<sup>III</sup>.<sup>9–11</sup> Furthermore, the electronic structure and its size dependence is of fundamental interest as energetic and catalytic properties notably lie on it.<sup>2</sup>

In the present work, the crystal structure of as-synthesized CeO<sub>2</sub> NPs was characterized by using X-ray diffraction (XRD)

and transmission electron microscopy (TEM) giving access to their size and lattice parameter. Fourier transform infrared spectroscopy (FTIR) and thermogravimetric analysis (TGA) was performed to determine species potentially adsorbed at the surface. Furthermore, we probed the electronic structure of CeO<sub>2</sub> NPs using both high-resolution X-ray photoelectron spectroscopy (XPS) and high-energy resolution fluorescence-detection hard X-ray absorption near-edge structure (HERFD-XANES) spectroscopy at the Ce L<sub>III</sub> edge. Thanks to the use of an X-ray emission spectrometer, such inner-shell spectroscopy provides an element-selective probe of the electronic state and allows observing spectral features with significantly enhanced energy resolution compared to usual data limited by Ce L<sub>III</sub> edge core hole lifetime broadening (Supporting Information Figure S1).<sup>12,13</sup>

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## ■ EXPERIMENTAL METHODS

Please note that a careful attention should be made for the characterization of these NPs material to avoid any energy-induced transformations.

**Synthesis Methods. Nanoparticles Obtained by Hydrothermal Treatment.** Ceria NPs samples were synthesized by alkaline precipitation of cerium ammonium sulfate precursor followed by hydrothermal condensation in a pressurized autoclave at different temperatures.<sup>14,15</sup> In detail, a 1 M Ce(IV) solution was prepared by dissolving cerium(IV) ammonium sulfate dehydrate (Alfa Aesar) in deionized water; cerium(IV) hydroxide was directly precipitated by adding an excess of ammonium hydroxide (Sigma-Aldrich, 25% in water) under constant stirring for 3 h. A yellow cerium(IV) hydroxide precipitate was recovered by centrifugation, repeatedly washed with deionized water, and hydrothermally treated in a stainless steel reactor vessel with Teflon insert (total free volume 12 mL). Typically, 200 mg of cerium(IV) hydroxide was suspended in 10 mL of deionized water and heated for 3 h under autogenous pressure at different temperatures. After cooling, the solid residue was recovered, washed with deionized water, dehydrated with ethanol and acetone, and dried overnight in a chemical fume hood. The resulting dry powders were analyzed by XRD with a Rigaku Miniflex 600 diffractometer. The crystallite size of the nanopowders was estimated from the XRD pattern using the Scherrer equation and averaging the results of 8 selected peaks in the  $2\theta$  range between 25 and 80°. Nanoparticle samples obtained by hydrothermal condensation at 150, 180, and 200 °C were labeled as Ce\_2, Ce\_4.2, and Ce\_5.6, giving their respective crystallite size in nanometer.

**Nanoparticles Obtained by Thermal Treatment in Dry Conditions.** In order to obtain samples with larger crystallites size, the dry powder samples obtained by hydrothermal treatment were calcined for 1 h at temperatures ranging from 350 to 950 °C in an open furnace. The so obtained NP samples were analyzed by XRD and labeled on the basis of the respective estimated crystallite size. The nomenclature and the synthesis route of the different NP samples are reported in detail in Table 1.

Considering that the sample Ce\_2 experienced damages due to the exposure to the beam during the HERFD-XANES measurement, this compound has been discarded from this discussion on the oxidation state determination and on the electronic structure. Further details on this phenomenon are provided in the Supporting Information Figure S2.

**Transmission Electron Microscopy.** TEM studies were performed using an aberration (image) corrected FEI Titan 80–300 operated at 300 kV providing a nominal information limit of 0.8 Å in TEM mode and a resolution of 1.4 Å in STEM mode. TEM micrographs have been recorded using a Gatan US1000 slowscan CCD camera, while STEM images have been recorded using a Fischione high-angle annular dark-field (HAADF) detector with a camera length of 195 mm. The samples for analysis have been prepared by dropping coating with a suspension of the NPs in ultrapure water on carbon-coated copper grids.

**Fourier Transform Infrared Spectroscopy.** Dehydrated ceria NPs were analyzed by FTIR in attenuated total reflectance mode with an Alpha Platinum Bruker spectrometer equipped with ZnSe crystal. FTIR spectra were obtained at room temperature in the wavenumber range from 600 to 4000  $\text{cm}^{-1}$  with a resolution of 4  $\text{cm}^{-1}$ .

**Thermogravimetric Analysis.** The thermal behavior of ceria NPs was investigated using a Netzsch STA 449C DTA/TG using an alumina crucible and in air atmosphere. The temperature was controlled by a Pt–PtRh (10%) thermocouple. Measurements were carried out at constant heating and cooling rates of 10 °C/min between 40 and 700 °C.

**Raman.** Raman measurements of nanocrystalline samples were performed at room temperature with a Horiba Jobin-Yvon T64000 spectrometer using a Kr+ laser with excitation wavelength of 647 nm. A 50 $\times$  objective was used to irradiate powder samples and collect the backscattered light. The analyses were performed with an incident laser power in the 4–10 mW; no effect of laser power was observed for the resulting spectra in this range.

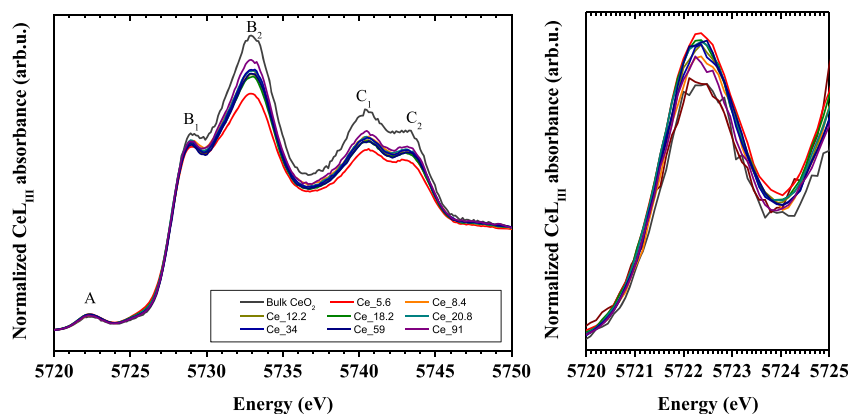
**Table 1. Synthesis Route, Particle Size, and Lattice Parameter<sup>a</sup>**

sample name	synthesis route	crystallite size (nm) <sup>b</sup>	lattice parameter (Å) <sup>b</sup>	strain (%) <sup>b</sup>
Ce_2	hydrothermal treatment of Ce(IV) hydroxide (3h at 150 °C under autogenic pressure)	2.0 ± 0.1	5.456(3)	0.041
Ce_5.6	hydrothermal treatment of Ce(IV) hydroxide (3h at 200 °C under autogenic pressure)	5.6 ± 0.4	5.415(1)	0.015
Ce_8.4	Ce_2 heated 1h at 350 °C in air	8.4 ± 0.9	5.413(2)	0.011
Ce_12.2	Ce_4.2 <sup>c</sup> heated 1h at 500 °C in air	12.2 ± 1.5	5.412(1)	0.008
Ce_18.2	Ce_4.2 <sup>c</sup> heated 1h at 700 °C in air	18.2 ± 1.4	5.412(1)	0.006
Ce_20.8	Ce_4.2 <sup>c</sup> heated 1h at 800 °C in air	20.8 ± 0.7	5.412(1)	0.005
Ce_34	Ce_4.2 <sup>c</sup> heated 1h at 900 °C in air	34 ± 3	5.412(1)	0.004
Ce_59	Ce_4.2 <sup>c</sup> heated 1h at 925 °C in air	59 ± 5	5.411(1)	0.002
Ce_91	Ce_4.2 <sup>c</sup> heated 1h at 950 °C in air	91 ± 12	5.411(1)	0.001

<sup>a</sup>Note that Ce\_2 was measured by HERFD-XANES, but the results are not presented here due to the beam damage. <sup>b</sup>Derived from the refinement of the XRD patterns. <sup>c</sup>Ce\_4.2 nanopowder was synthesized by hydrothermal treatment of Ce(IV) hydroxide (3 h at 180 °C under autogenic pressure). The particle size of Ce\_4.2 was estimated to be 4.2 ± 0.3 nm from the XRD pattern.

**X-ray Photoelectron Spectroscopy.** XPS measurements were performed with a Physical Electronics Quantera Scanning X-ray Microprobe. This system uses a focused monochromatic Al K $\alpha$  X-ray (1486.7 eV) source for excitation and a spherical section analyzer. The instrument has a 32 element multichannel detection system. The X-ray beam is incident normal to the sample, and the photoelectron detector is at 45° off-normal. High-energy resolution spectra were collected using a pass energy of 69.0 eV with a step size of 0.125 eV. For the Ag 3d<sub>5/2</sub> line, these conditions produced a full width at half-maximum (fwhm) of 0.92 eV ± 0.05 eV. The binding energy (BE) scale is calibrated using the Cu 2p<sub>3/2</sub> feature at 932.62 ± 0.05 eV and Au 4f<sub>7/2</sub> at 83.96 ± 0.05 eV. The sample experienced variable degrees of charging. Low-energy electrons at ~1 eV, 20  $\mu$ A and low-energy Ar<sup>+</sup> ions were used to minimize this charging. The binding energy scale was charge corrected referencing the Ce 3d<sub>3/2</sub>4f<sup>0</sup> (u''') line at 916.7 eV.<sup>16,17</sup> The quantification and peak fitting were performed using PHI MultiPak version 9.6.1.7 software, where the experimental results were fitted to 10 peaks, defined as v<sup>0</sup>, v, v', v'', v''', u<sup>0</sup>, u, u', u'', and u'''.<sup>16</sup> In this scheme, v, v', v'', u, u', and u''' were associated with Ce<sup>IV</sup>, while v<sup>0</sup>, v', u<sup>0</sup>, and u' were associated with Ce<sup>III</sup>. The relative areas of the fitted peaks were measured in order to determine the relative concentration in the surface.

**High-Energy Resolution Fluorescence-Detected X-ray Absorption Near Edge Structure.** HERFD-XANES measurements were conducted at the CAT-ACT beamline (ACT station) of the KIT synchrotron light source (Karlsruhe Institute of Technology, Karlsruhe, Germany).<sup>18,19</sup> The incident energy was selected using the (111) reflection of a double Si crystal monochromator. The X-ray beam was focused to 500 × 500  $\mu$ m onto the sample. XANES spectra were measured in HERFD mode using an X-ray emission spectrometer.<sup>12,19</sup> The sample, analyzer crystal, and a single diode VITUS Silicon Drift Detector (KETEK, Germany) were arranged in a vertical Rowland geometry. The Ce HERFD-XAS spectra at the L<sub>III</sub> edge were obtained by recording the maximum intensity of the Ce L $\alpha_1$  emission line (4839 eV) as a function of the incident energy. The emission energy was selected using the <331> reflection of four



**Figure 1.** (Left) Ce  $L_3$  HERFD-XANES spectra of CeO<sub>2</sub> NPs with different sizes compared to bulk CeO<sub>2</sub> (>100 nm). (Right) Pre-edge region of the Ce  $L_3$  HERFD-XANES spectra.

spherically bent Ge crystal analyzer (with a bending radius  $R = 1$  m) aligned at a  $80.7^\circ$  Bragg angle. The experimental energy resolution was 1.15 eV obtained by measuring the fwhm of the elastically scattered incident beam with an energy of 4.8404 keV. During the measurements, a slit with the dimensions  $500 \times 500 \mu\text{m}$  was used in front of the sample, cutting of tails in the profile of the incident beam. This potentially led to a slight improvement of the experimental resolution, that is, 1 eV (it is an estimation). The experimental resolution measured at ID26, ESRF using the same analyzer crystals was 0.9 eV.<sup>20</sup> The sample, crystals, and detector were confined in a box filled with He, and a constant He flow was maintained in order to minimize the loss of intensity due to absorption and scattering of the X-rays. The data were not corrected for self-absorption effects. The sample exposure to the beam was minimized to account for possible beam damage and checked by first collecting short XANES scans ( $\sim 10$  s) to look for irradiation effect.

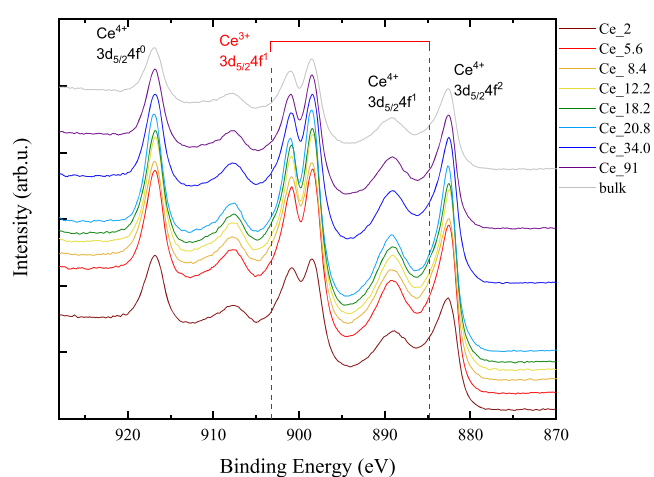
**Theoretical Calculations.** The spectra of bulk CeO<sub>2</sub> and CeO<sub>2</sub> in 2 nm were performed in a manner described in refs 21–24 using the FEFF 9.6. code. Similar to the work of Li et al. and Plakhova et al., we show here only part of the absorption spectra, which corresponds to the 2p–5d transitions, and omit multielectron excitations from the experimental data, which appear at higher incident energy.

## RESULTS AND DISCUSSION

**Ce Valence in the As-Synthesized CeO<sub>2</sub> NPs.** Our XRD and TEM data (Supporting Information Figures S3 and S4) show that the as-synthesized CeO<sub>2</sub> NPs crystallize in the  $Fm\bar{3}m$  fluorite structure (space group 225). Depending on the experimental conditions (and particularly the annealing temperature), the average crystallite diameters vary from  $2.0 \pm 0.1$  to  $91 \pm 12$  nm. These XRD-refined parameters are gathered in the Table 1 and will be more thoroughly discussed in a following section.

The oxidation state and electronic structure of Ce was assessed using Ce  $L_{III}$  edge HERFD-XANES and XPS, which corresponding spectra are respectively given in Figures 1 and 2.

The HERFD-XANES spectra of all the investigated CeO<sub>2</sub> NPs exhibit a single pre-edge peak aligned with that of the bulk-CeO<sub>2</sub> reference spectrum. This pre-edge peak (noted A in Figure 1) originates from the 2p transition to a mixed 5d–4f valence state<sup>22,25</sup> and is characteristic of the Ce valence of the probed sample. Indeed, a single peak is observed in the pre-edge region of a pure Ce<sup>IV</sup> compound, since the photoabsorption process excites an electron to the 4f level, formally empty in the initial state. However, in the case of a Ce<sup>III</sup> ion, the interaction between the 4f electron in the initial state and the second electron excited by the photon leads to a splitting of

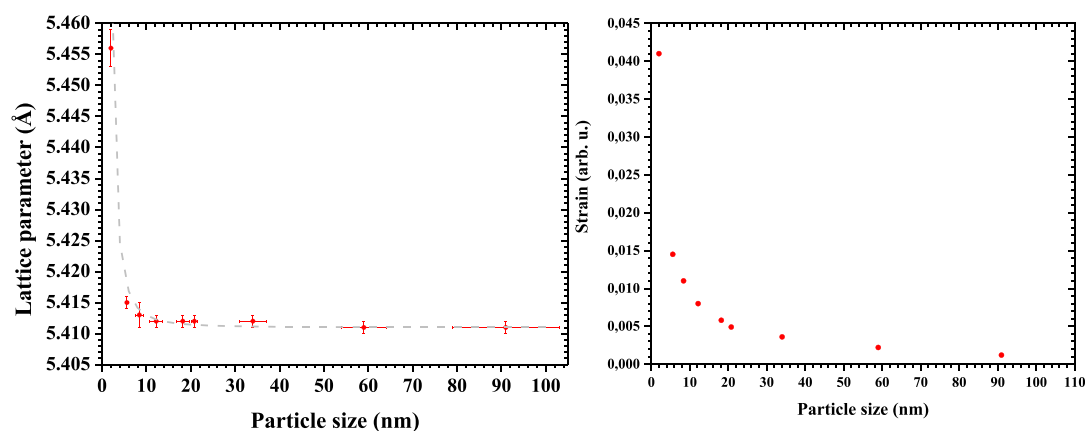


**Figure 2.** High-energy resolution photoemission spectra of the Ce 3d spectral region. The spectra confirm the presence of the dominating Ce<sup>IV</sup>.

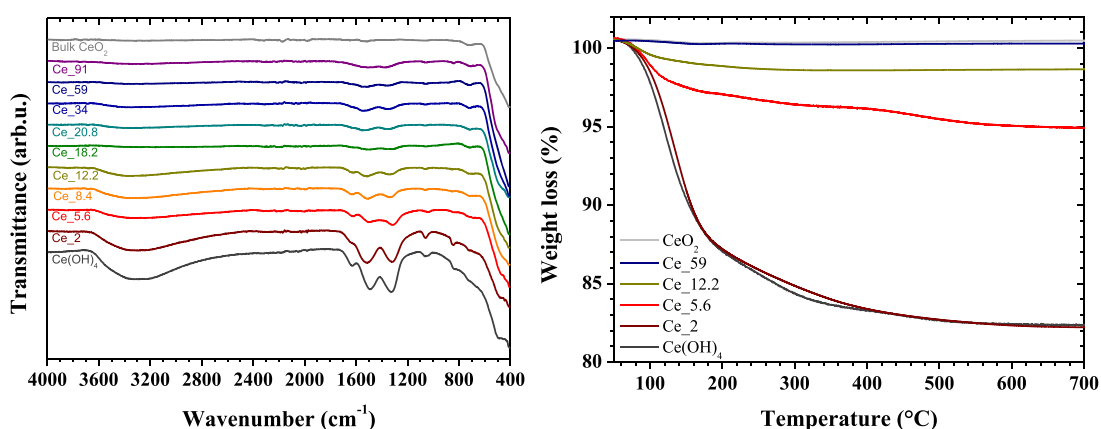
the pre-edge feature into two groups of transition, whose energy position is related to the electron–electron interactions in the 4f level.<sup>20,26,27</sup> Consequently, the pre-edge structure of the NPs reveals solely the presence of Ce in the IV oxidation state. Additionally, since XANES is extremely sensitive to the local structure, those similarities corroborate our XRD findings that CeO<sub>2</sub> NPs all crystallize in the same space group.<sup>8</sup>

Regarding our XPS results, Figure 2 provides the Ce 3d<sub>3/2,5/2</sub> XPS spectra collected for the CeO<sub>2</sub> NPs. The spectra of CeO<sub>2</sub> are composed of 6 peaks corresponding 3 doublets belonging to 3d<sub>3/2</sub> and 3d<sub>5/2</sub> core holes spin–orbit splitting. The highest energy peaks, u''' at 916.7 eV and v''' at 898.2 eV, are the doublet from the ejection with the Ce 3d<sub>3/2</sub>4f<sup>0</sup> final state. The next doublet, u'' at 907.4 eV and v'' at 888.8 eV, are the result of Ce 3d<sub>5/2</sub>4f<sup>1</sup>, followed by u at 900.8 eV and v at 882.4 eV, corresponding to the result of a Ce 3d<sub>5/2</sub>4f<sup>2</sup> final state. All of these multiplets are associated with Ce<sup>IV</sup>, which are consistent with previously published CeO<sub>2</sub> reference XPS data. On the other hand, the Ce 3d XPS spectrum of Ce<sup>III</sup>PO<sub>4</sub> ref 28 has two distinct sets of doublets: v<sup>0</sup> at 880.6 eV and u<sup>0</sup> at 897.9 eV as a result of Ce 3d<sub>5/2</sub>4f<sup>2</sup> ejection, and v' at 884.5 eV and u' at 900.8 eV as a result of the Ce 3d<sub>5/2</sub>4f<sup>1</sup> final state, neither of which register a meaningful peak area in this data. Furthermore, from the peak fitting performed (Supporting Information Figure S5), no characteristic band feature of Ce<sup>III</sup>





**Figure 3.** Decrease of both lattice parameter (left) and strain (right) with the particle size. The lattice parameter, the strain, and the particle size have been calculated from the Rietveld refinement of the corresponding XRD patterns. All the values are provided in Table 1 of the Supporting Information. The gray dotted line corresponds to the power-law relation proposed by Baranchikov et al.<sup>31</sup> The goodness of fit was 0.963.



**Figure 4.** Determination of the adsorbed surface species. (Left) FTIR spectra of CeO<sub>2</sub> NPs compared with Ce(OH)<sub>4</sub> and bulk-CeO<sub>2</sub> references. (Right) TGA data of CeO<sub>2</sub> NPs of different sizes compared with Ce(OH)<sub>4</sub> and bulk-CeO<sub>2</sub>.

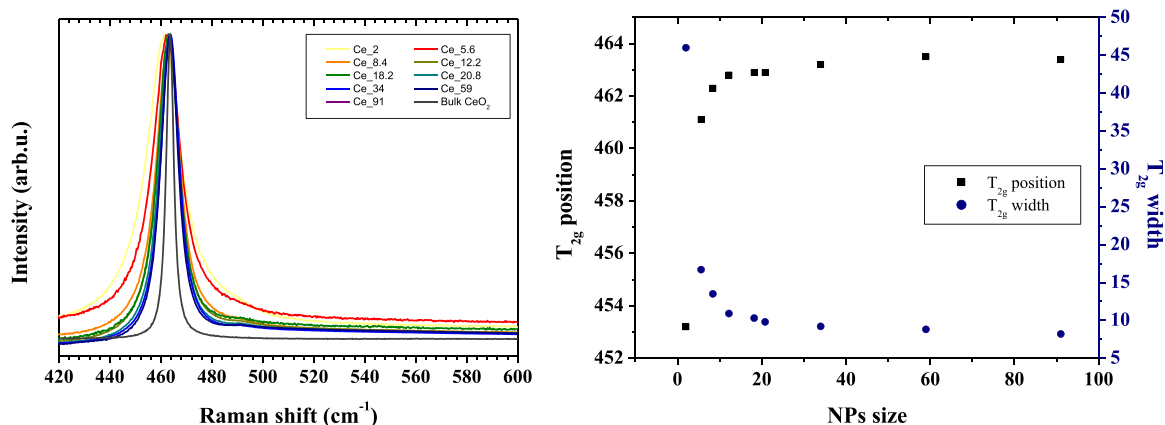
was found for samples across all crystallite sizes, corroborating the XANES findings that only tetravalent cerium is present.

**Size Dependence of the Lattice Parameter.** The unit cell parameter variation as a function of the NPs' size has been reported for several oxide NPs, including ThO<sub>2</sub>, CeO<sub>2</sub>, MgO, Co<sub>3</sub>O<sub>4</sub>, Fe<sub>3</sub>O<sub>4</sub>, TiO<sub>2</sub>, etc., but remains a subject of discussion.<sup>2,29–35</sup>

Figure 3 presents the size dependence of the lattice parameters of the as-synthesized CeO<sub>2</sub> NPs. When the particle size changes from 2.0 to 91 nm, the unit cell value varies from 5.456 (3) to 5.411 (1) Å. Note that the latter value corresponds to bulk CeO<sub>2</sub>. In our work and as already reported for CeO<sub>2</sub>-based NPs,<sup>32,36,2,31</sup> noticeable deviation of the lattice parameter can be observed for particles which sizes are smaller than ca. 5 nm. The collected data can be adequately fitted using a power-law relation proposed by Baranchikov et al.<sup>31</sup>

According to the paper of Diehm et al.,<sup>37</sup> which gathers a large body of experimental data and theoretical calculation, two main models have been proposed to explain this lattice parameter variation. Tsunekawa et al. argued that the unit cell is affected by the formation of oxygen vacancies in the smaller NPs, which leads to a change in the oxidation state of the constituting cation. The second model, which is most commonly admitted, attributes the variation to the surface stress resulting from the difference of coordination between

atoms on the surface and in the bulk.<sup>9,10</sup> This effect becomes more pronounced as the particle size reduces, that is, as the contribution of the surface atoms to the structural characteristics increases. From our HERFD-XANES and XPS findings, the presence of Ce<sup>III</sup> and hence of the oxygen vacancy has been discarded, which means that the observed lattice expansion might then be only due to surface stress. To corroborate this assumption, the formation of species present at the NPs surface has been studied by FTIR and TGA measurements. Each FTIR spectrum (Figure 4) shows an absorption band at ca. 450 cm<sup>-1</sup> characteristic of the CeO<sub>2</sub> stretching vibration. Some bands are also visible in the 1300–1700 cm<sup>-1</sup> region and may be assigned to C–H bending and stretching of C–O bond. The latter may be caused by adsorbed CO<sub>2</sub> or contamination with ethanol during the synthesis procedure. The signal at 1630 cm<sup>-1</sup> is associated with the bending frequency of molecular H<sub>2</sub>O (H–O–H). The broad adsorption band at ca. 3400 cm<sup>-1</sup> corresponds to hydrated and physically adsorbed water in the sample.<sup>9,38,39</sup> Recently, TGA coupled with mass spectrometry measurement, performed on ThO<sub>2</sub> NPs, has shown that H<sub>2</sub>O and CO<sub>2</sub> were adsorbed on the NPs surfaces. The corresponding molar fractions of H<sub>2</sub>O and CO<sub>2</sub> were inversely proportional to the NPs size.<sup>40</sup> Similar observation was obtained from our TGA data presented in the Figure 4. Ce<sub>2</sub> and Ce<sub>59</sub> have similar thermal decompositions as Ce(OH)<sub>4</sub> and CeO<sub>2</sub>, respectively,



**Figure 5.** (Left) Raman spectra. (Right)  $T_{2g}$  position and width as a function of the particle size.

while Ce\_5.6 and Ce\_12.2 exhibit intermediates weight losses. The thermal decompositions of these later are clearly composed of three-well-defined steps. The first decomposition step (step I) is observed at 25–180 °C. The second weight loss (step II) occurs at 180–350 °C. The third decomposition step (step III) occurs at 350–700 °C. Our TGA data shows that the weight loss is proportional to the surface to volume ratio, suggesting a higher concentration of adsorbed species on the smaller NPs.

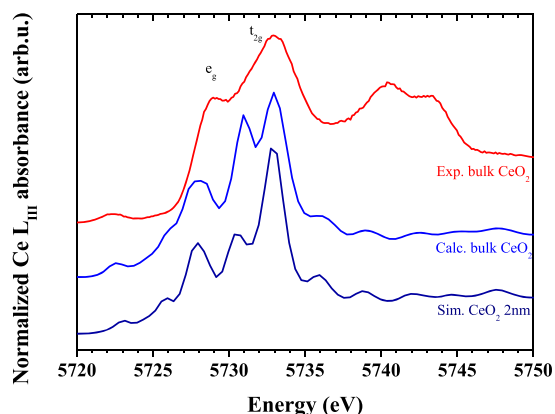
The Raman spectrum of bulk  $\text{CeO}_2$  shows one Raman active fundamental mode at  $464\text{ cm}^{-1}$  (Figure 5). This band corresponds to the triply degenerate  $T_{2g}$  Raman active mode of the  $O_h$  point group and is due to the stretching of the O–O bond. Comparison with the  $\text{CeO}_2$  NPs reveals a red-shift and an asymmetrical broadening if the  $T_{2g}$  mode with decreasing NPs size. Both  $T_{2g}$  position and width were fitted and plotted in Figure 5. It can be seen that an increase of the NPs size induces an increase of the  $T_{2g}$  position and a decrease of the  $T_{2g}$  width. Similar observations have already been reported for  $\text{CeO}_2$  and other nanocrystals.<sup>41–43</sup> Several factors can contribute to the changes in the Raman peak position and line width of the  $T_{2g}$  peak with NP size. These include phonon confinement, strain, broadening associated with the size distribution, defects, and surface effect.<sup>41–46</sup> In most studies, the red shift is majorly attributed to the lattice expansion and associated strain that occurs when oxygen vacancies are created, which leads to the reduction of  $\text{Ce}^{\text{IV}}$  (ionic radius  $0.970\text{ \AA}$ )<sup>47</sup> in  $\text{Ce}^{\text{III}}$  (ionic radius  $1.143\text{ \AA}$ ).<sup>47</sup> However, the creation of O vacancies and the reduction of  $\text{Ce}^{\text{IV}}$  in  $\text{Ce}^{\text{III}}$  induce a local symmetry distortion, and new Raman bands located around  $550$  and  $595\text{ cm}^{-1}$  are observed.<sup>48</sup> The absence of both new Raman bands and detection of  $\text{Ce}^{\text{III}}$  from our HERFD-XANES measurements allows us to discard this hypothesis for the  $T_{2g}$  red-shift. One possible explanation could be that the stress generated by the presence of the adsorbed species at the NPs surface would enhance the downward shift and broadening of the  $T_{2g}$ .

**Size Dependence of the Electronic Structure.** The HERFD-XANES spectrum of bulk  $\text{CeO}_2$  exhibits three characteristic features A, B, and C with a doublet structure for B and C leading to a total of five bands indicated by A,  $B_1$ ,  $B_2$ ,  $C_1$ , and  $C_2$  in Figure 1. The pre-edge peak (noted A) originates from the dipole-forbidden  $2p$  transition to a mixed  $5d$ – $4f$  valence state, while the B and C arises from transitions from  $2p_{3/2} \rightarrow 5d_{5/2}$  states. The splitting of  $B_1$  and  $C_1$  into a doublet structure is due to the crystal field splitting of  $5d$

states.<sup>49</sup> These edge features have been assigned to screened ( $B_1$  and  $B_2$ ) and unscreened ( $C_1$  and  $C_2$ ) excited states.<sup>22,50</sup>  $B_1$  and  $B_2$  features described the  $2p \rightarrow 5d$  transition with  $4f^1L$  configuration, while  $C_1$  and  $C_2$  are representatives of the  $2p \rightarrow 5d$  transition from  $4f^0L$  configuration, where L corresponds to the orbital angular momentum.<sup>23,51</sup> In the  $\text{CeO}_2$  fluorite structure, each Ce atom is surrounded by 8 oxygen atoms located at the corners of a cube creating a cubic crystal field belonging to the  $O_h$  point symmetry group. Due to this cubic crystal field, the  $\text{Ce}^{\text{IV}} 5d^0$  configuration is split into the  $e_g$  and  $t_{2g}$  bands corresponding to  $B_1$ ,  $C_2$  and  $B_2$ ,  $C_1$ , respectively.<sup>23,52</sup> The  $\text{Ce}^{\text{IV}}$  valence corresponds to a  $5d^0$  configuration, implying that in the HERFD-XANES process,  $t_{2g}$  is first filled with electrons, while the  $e_g$  is empty as the transferred energy is not sufficient. Here the experimental crystal-field energy splitting of Ce  $5d$  in bulk  $\text{CeO}_2$  is ca.  $4\text{ eV}$ . This value of energy gap between  $e_g$  and  $t_{2g}$  is in good agreement with previously published values.<sup>53–55</sup>

Now, looking at the experimental HERFD-XANES spectra of  $\text{CeO}_2$  NPs, one can observe that all the A,  $B_1$ ,  $B_2$ ,  $C_1$ , and  $C_2$  features are presented at the same energy position as in bulk  $\text{CeO}_2$ . A value of ca.  $4\text{ eV}$  is found for the experimental crystal-field energy splitting of Ce  $5d$  in bulk  $\text{CeO}_2$  NPs, indicating that this energy gap is not affected by the particle size. However, one can note that the  $e_g$  feature intensity remains constant for both NPs and bulk  $\text{CeO}_2$ , while the  $t_{2g}$  intensity is proportional to the particle size. This experimental observation is corroborated by our theoretical calculations, showing that the simulated HERFD-XANES spectra of  $2\text{ nm CeO}_2$  exhibit a  $t_{2g}$  intensity smaller than that of bulk  $\text{CeO}_2$ . The stability of the  $e_g$  feature is also well reproduced. One possible explanation lies in the presence of adsorbed species at the NPs surfaces. In the case of bulk  $\text{CeO}_2$ , the corresponding HERFD-XANES spectra are a direct measurement of the electronic structure of bulk Ce atoms. However, in the case of our NPs, the measured spectra correspond to the average electronic structure of both Ce atoms in the bulk and at the surface. This implies that the observed  $t_{2g}$  variation originates from the electronic structure of surface Ce atoms. We showed in the previous section that Ce remains in the IV oxidation state and that the fraction of adsorbed species is inversely proportional to the particle size. In other words, their content is increasing with the surface Ce atoms to bulk Ce atoms ratio. Considering that the  $e_g$  level is empty in  $\text{Ce } 5d^0$ , the bonding between the surface Ce atoms and the adsorbed species requires the delocalization of the  $t_{2g}$  electrons, hence explaining the observed decrease of the  $t_{2g}$

intensity on the Ce  $L_{III}$  HERFD-XANES spectra. One can also assume that this bonding affects the crystal field by creating new d levels. This larger degeneracy of the  $t_{2g}$  level is clearly observable for the sample Ce\_5.6 which exhibits a broader  $t_{2g}$  feature (Figure 6).



**Figure 6.** Comparison of experimental and calculated Ce  $L_{III}$  HERFD-XANES spectra of both 2 nm  $CeO_2$  and bulk  $CeO_2$ . Calculated data shown here are reproduced from Plakhova et al.<sup>21</sup> Theoretical calculations predict a lower  $t_{2g}$  intensity for the 2 nm  $CeO_2$ .

The effect of the NPs size and the presence of adsorbed surface groups on the shape of the Ce  $L_3$  HERFD-XANES spectrum has been previously discussed by Plakhova et al.<sup>21</sup> The  $CeO_2$  NPs investigated here were made by hydrothermal treatment at a temperature above 500 °C, which limits the availability of the adsorbed species at the surface and allows showing the effect of the particle size on the crystal field splitting of the Ce 5d states, which, to our knowledge, is shown here for the first time.

## CONCLUSION

In this work, we have synthesized fluorite  $Ce^{IV}O_2$  NPs and studied the effect of the NP size on both local and electronic structure. By analogy with other metal oxides, we have shown that the lattice parameter expands with decreasing particle size. The presence of mainly  $Ce^{IV}$ , demonstrated by XPS and HERFD-XANES, indicates that the unit cell size dependence is not linked to the Ce valence, but to surface stress. Indeed, our TGA and FTIR data confirm the presence of surface hydroxyl and carbonate groups that have a tensile effect on the crystalline lattice. Additionally, the size dependence of the electronic structure, and especially of the  $t_{2g}$  feature in the Ce  $L_{III}$  XANES spectrum, has been experimentally evidenced and confirmed with theoretical calculations.

## ASSOCIATED CONTENT

### Supporting Information

The Supporting Information is available free of charge at <https://pubs.acs.org/doi/10.1021/acs.inorgchem.0c00506>.

Additional information includes sample characterizations by HEFRD-XANES, XRD, radiation damage study and TEM (PDF)

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### Author Contributions

K.P. and O.W. carried out the NPs synthesis work. W.B., K.P., and O.W. performed characterizations of the samples using in-house laboratory equipment. D.P. and P.M. performed synchrotrons measurements and associated data treatment. A.B. and T.V. set up the spectrometer for U M4 measurements. K.W.K., M.H.E., X.G., R.E., and T.G. did the XPS measurements. K.O.K. did the theoretical simulation of the experimental spectra. D.P., W.B., K.P., and P.M. cowrote the paper. D.P., W.B., K.P., P.M., O.W., and A.C.S. were involved



in planning and supervision of the work. All authors discussed the results and contributed to the final manuscript.

## Notes

The authors declare no competing financial interest.

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