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Published in:

Achieving Carbon Negative Bioenergy Systems from Plant Materials

Publication date:

2020

Citation for published version (APA):

Robson, P., Hastings, A., Clifton-Brown, J., & McCalmont, J. (2020). Sustainable use of Miscanthus for biofuel. In C. Saffron (Ed.), *Achieving Carbon Negative Bioenergy Systems from Plant Materials* (Burleigh Dodds Series in Agricultural Science). Burleigh Dodds Science Publishing.

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CH15 - Sustainable use of Miscanthus for biofuel

Publisher:	<i>Burleigh Dodds Science Publishing</i>
Manuscript ID	BDSP-BK-2018-0219.R1
Manuscript Type:	Book
Date Submitted by the Author:	n/a
Complete List of Authors:	Robson, Paul; Aberystwyth University Hastings, Astley; University of Aberdeen Business School Clifton-Brown, John; Aberystwyth University McAlmont, Jon; University of Exeter College of Life and Environmental Sciences
Keywords:	Miscanthus, bioenergy, biofuel, life cycle assessment, traits

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Sustainable use of *Miscanthus* for biofuel

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Abbreviations: BECCS, Bioenergy with carbon capture and storage; GHG, greenhouse gas; LCA, life cycle assessment; LUC, land-use change

1 Abstract

2 Biomass removes carbon dioxide (CO₂) from the atmosphere during growth and if converted
3 to biofuel has the potential to be carbon negative, especially if combined with carbon
4 capture and storage. To achieve ambitious targets for global reductions in greenhouse gas
5 (GHG) emissions biomass crops should generate high yield from minimal input energy while
6 minimising environmental impacts that could make crop production less sustainable. The
7 biomass crop *Miscanthus* has a number of characteristics that make it particularly well
8 suited to sustainably displace fossil fuels. These include C4 photosynthesis combined with
9 cold tolerance, high energy output/input ratios, efficient nutrient recycling and high yield
10 from a perennial crop that requires minimal agronomic input. Life cycle assessment has
11 shown *Miscanthus* generates beneficial GHG and sustainability impacts compared to fossil
12 fuels and other crop systems. The diversity of *Miscanthus* available suggests domestication
13 of this new crop has great potential for use as a biofuel feedstock.

14 1. Introduction

15 Highly productive terrestrial plants have the potential to deliver significant amounts of
16 biomass for thermal or chemical conversion to displace fossil fuels and deliver sustainable
17 energy for the future. Of the available candidates for use as bioenergy crops, C4 species
18 have some of the highest potential and recorded productivities of all terrestrial plants
19 (Morison *et al.*, 2000); however, the majority of C4 species are of tropical or subtropical
20 origin and are poorly 'adapted' to growing in cool and temperate climates where C3 species
21 tend to dominate. An exception to this is *Miscanthus*, a perennial rhizomatous grass genus
22 that appears to be more suited to growing under cooler conditions (Jones, 2011).
23 *Miscanthus spp.* have a wide native range covering most of SE. Asia from the Kharbarosk
24 Krai in NE Asian Russia to the tropical Philippines, and from the Himalaya to Taiwan, they
25 have adapted to a variety of different climates and therefore contain considerable diverse
26 genetic potential to create hybrids with improved combinations of traits. Despite this
27 diversity, *Miscanthus* is largely undomesticated and the main commercial type grown is a
28 sterile triploid *M. × giganteus* (Greef and Deuter, 1993) thought to arise as a natural hybrid
29 between a diploid *M. sinensis* and a tetraploid *M. sacchariflorus* (Linde-Laursen, 1993). The
30 cultivation of *M. × giganteus* has demonstrated good potential as a sustainable biomass
31 crop because it combines a number of useful attributes, some of which will be explored in
32 more detail later, including high dry matter yields, perennial growth, efficient use of
33 nitrogen and water, and good disease resistance. This combination of characteristics has
34 meant *Miscanthus* is of particular interest for growth in temperate regions of Asia, North
35 America and Europe. A large amount of published research has focussed on crop trials
36 within these regions which will therefore be the focus of this review. However, interest in
37 the crop is increasing in other regions of the world such as Africa and many of the
38 considerations around sustainability discussed here will likely be transferrable.

39 As a perennial crop, *Miscanthus* may be grown continuously from a single cultivation for
40 many years and stands have been harvested for 20 years or more on a yearly cycle. Full
41 harvestable economic yield is usually achieved after 2-3 years. By this time the crop has
42 established sufficient rhizome to produce a dense canopy to maximise seasonal light
43 interception, and consequently yield, with a typical planting density of around 2 plants m⁻²
44 (Atkinson, 2008). The earliest replicated plot yield trials growing *M. × giganteus* in Europe
45 were planted in 1983 in Hornum, Denmark (Jørgensen, 1995) and produced regular autumn
46 yields of 10 to 25 tonnes of dry matter per hectare (Mg DM ha⁻¹) (Lewandowski *et al.*, 2000).
47 A spring harvest allowed improved biomass quality as a solid fuel, particularly lower
48 moisture contents, but at the expense of recoverable biomass with yields up to 30-50% less
49 than autumn yields being reported in European trials (Lewandowski *et al.*, 2000).
50 Commercial interest in *Miscanthus* developed in the USA around 2000 and potential spring
51 harvest yields of 30 t DM ha⁻¹ y⁻¹ demonstrated the huge potential to produce lignocellulosic
52 biomass from *Miscanthus* in the mid-west USA (Heaton *et al.*, 2008). However, yields of *M. ×*
53 *giganteus* showed high inter-annual and inter-site variability, ranging between sites from
54 around 10 to 35 Mg DM ha⁻¹ and were inversely correlated with latitude (Lesur *et al.*, 2013).
55 Yield of *Miscanthus* was the most influential factor in its potential for economic return and
56 soil water availability the most crucial input in determining yield (Wang *et al.*, 2012).

57 In its native Asia *Miscanthus* has a number of uses: the young tips are eaten by humans and
58 the straw is used for thatching, building or animal bedding. *Miscanthus* was used for the
59 conversion of biomass to liquids using gasification and the Fischer-Tropsch process and a
60 pilot plant was operational in Germany from 1998 to 2004 producing bio-diesel (Blades *et*
61 *al.*, 2005). Other uses of *Miscanthus* explored at this time included direct thermal
62 conversion by combustion and use as a raw material for the paper and pulp industries. The
63 majority of *Miscanthus* currently grown in Europe is used for thermal conversion, i.e. burnt,
64 to generate power or combined heat and power (Baxter *et al.*, 2014) but projects are
65 developing its use across a range of different end uses (Ogunsona *et al.*, 2017; Wagner *et*
66 *al.*, 2017).

67 The potential for biomass crop cultivation to compete unfavourably with food production
68 has been discussed widely (Valentine *et al.*, 2012), but one potential benefit of perennial
69 *Miscanthus* is that it may be grown on marginal, poorer quality agricultural land that would
70 otherwise not be economic or suitable for cultivation of food crops. But in considering the
71 potential impact of *Miscanthus* cultivation it is important to know what area may be
72 practically available for its production. Such considerations may be particularly important in
73 smaller countries where it will be important to know if there is sufficient land available to
74 generate a sufficient quantity of biomass crop for sustainable commercial usage. As an
75 example in the UK Lovett *et al.*, (2014) used a 'constraint mapping' approach to calculate
76 the potential land available for biomass crops. This GIS mapping approach identified and
77 excluded areas where biomass crops could or should not be grown such as roads, rivers,
78 slopes >15%, areas of cultural heritage and national parks, woodlands, peat soils and natural

79 habitats; these were combined to produce 'prohibition' areas at a spatial resolution of 1ha.
80 Also excluded was land graded 1 and 2, the highest quality land, which was regarded as
81 essential for the production of food crops. The less productive land grades 3, 4 and 5 make
82 up the vast majority of the UK agricultural area. What remained was an 8.5 Mha area in the
83 UK that could be considered suitable deployment of these modern energy crops. It is
84 important to consider these significant crop changes carefully as conversion from different
85 land management practices to *Miscanthus* plantation may have either a positive or a
86 negative impact on GHG emissions, physical impacts on radiated energy from albedo
87 change, and further consequences for biodiversity and soil hydrology (Jørgensen *et al.*,
88 2014). A sensible approach would likely exclude areas of permanent pasture or established
89 woodland (Pogson *et al.*, 2016; Richards *et al.*, 2017).

90 The 2007 Biomass Strategy (DEFRA, 2007) set a target of 0.35 Mha i.e., less than 5% of the
91 potentially available land identified in Lovett *et al.*, (2014). Modelling studies (Lovett *et al.*,
92 2009; Hastings *et al.*, 2014) show that the mean yield of *Miscanthus* on grade 3b, 4, and 5
93 land outside the excluded areas would be around 10 Mg DM ha⁻¹ yr⁻¹, and 0.35 Mha could
94 produce up to 70 PJ energy, equivalent to 1.67 Mt of oil or 1.17% of total UK energy. In
95 comparison across the larger areas available in the US with *Miscanthus* yielding 30 Mg ha⁻¹,
96 12 million ha, or 9.3% of current US cropland, would be sufficient to provide the equivalent
97 of around one-fifth of the current US gasoline use (Heaton *et al.*, 2008). The available areas
98 vary by country, for example, grassland in Ireland accounts for 60% of the agricultural land.
99 This is currently used largely for livestock production but it is possible that future shifts
100 towards diets with less meat and milk or sustainable intensification of extensively managed
101 grasslands, could release significant pasture land for energy crops.

102 As a source of energy for biofuels, biomass should of course contain more potential energy
103 than is required for growth, harvesting and processing of the crop (i.e. provide an energy
104 output/input ratio significantly greater than 1 (Felten *et al.*, 2013)). Improved sustainability
105 is derived from a number of factors including energy balance, carbon mitigation and
106 environmental impacts. The growth of any crop and perturbation of any living system by
107 removal of biomass will have an effect on factors that impact sustainability. In a study of the
108 cradle to farm gate GHG budget of *Miscanthus* plantations in the UK, Robertson *et al.*,
109 (2017) showed that the main variable was CO₂ rather than other significant GHGs such as
110 methane (CH₄) and nitrous oxide (N₂O). Despite reporting relatively low yields at the study
111 site, these results showed that *Miscanthus* can make a considerable contribution to GHG
112 mitigation in energy production from steam turbines compared with coal and natural gas.
113 However, use in a combined heat and power facility would improve the efficiency of fuel
114 chemical energy conversion to useful energy from 35% to nearer 80%. The importance of
115 specific components of a sustainability assessment are likely to vary between sites because
116 of complex soil/plant/atmosphere interactions and crop management and transport
117 requirements that impact positive and negative effectors of GHG balance. For example,
118 higher local air temperature may achieve a combination of positive and negative impacts.

119 Positive impacts may occur from higher yield and therefore greater above ground fixed
120 carbon or a drier crop and therefore an increased lower heating value. Negative impacts
121 may include higher soil respiration due to increased soil temperatures and subsequent loss
122 of fixed carbon. The complexity of such interactions necessitates the use of systems models
123 that integrate spatially-explicit climate and soil data together with plant growth and
124 biomass utilisation models to make sustainability predictions at the macro scale. When
125 applied across large areas of land such as Europe, systems models reveal that the
126 sustainability of *Miscanthus* cultivation can be expected to vary dramatically, driven by a
127 complex pattern of changes in yield, soil organic content and carbon intensity from
128 *Miscanthus* cultivation (Figure 1). Such complexities make definitive conclusions difficult,
129 but there are a number of characteristics that can be used to improve the sustainability of
130 *Miscanthus* some of which are discussed below, starting with the energy balance.

131

132 **2. The energy balance**

133 The balance of energy output to energy input is clearly an important consideration for
134 biomass crops that are used for bioenergy. *Miscanthus* is a low input, fast growing perennial
135 energy grass and as such is an attractive biomass crop (Lewandowski *et al.*, 2003b; Harvey,
136 2007; Heaton *et al.*, 2008, 2010; Zhuang *et al.*, 2013) with energy output/input ratios around
137 ten times that of annual energy crops (Felten *et al.*, 2013). The energy balances for oil seed
138 rape (OSR), maize and *Miscanthus* crops were compared and output/input ratios of $4.7 \pm$
139 0.2 , 5.5 ± 0.2 and 47.3 ± 2.2 calculated respectively with only the low input *Miscanthus*
140 found to be effectively a CO₂ sink (Felten *et al.*, 2013).

141 Land is a valuable resource and land cultivated for bioenergy should be utilised efficiently. In
142 terms of energy production intensity, *Miscanthus* biomass produces more net energy per
143 hectare than many other bioenergy crops at around 200-250 GJ ha⁻¹ yr⁻¹ (Hastings *et al.*,
144 2012; Felten *et al.*, 2013). These values compare particularly favourably to arable crops, e.g.
145 maize for biogas (98 GJ ha⁻¹ yr⁻¹), oil seed rape for biodiesel (25 GJ ha⁻¹ yr⁻¹) and wheat and
146 sugar-beet ethanol (7 to 15 GJ ha⁻¹ yr⁻¹) (Hastings *et al.*, 2012). Energy production intensity
147 calculated for woody perennials can vary significantly between different areas (Bauen *et al.*,
148 2010). Tallis *et al.*, (2013) showed that in the right circumstances even old varieties of short-
149 rotation coppice (SRC) willow can exceed 150 GJ ha⁻¹ yr⁻¹. A sensible approach is likely to
150 include the strategic planting of combinations of crops across different areas to deliver
151 efficient overall energy production, but adequate consideration should be given to the
152 energy inputs required to achieve different yields and the resulting carbon mitigation. Soil,
153 location and climatic environment will favour specific crops; in a European context this will
154 typically be a choice between woody SRC/short rotation forest (SRF) or *Miscanthus*
155 (Hastings *et al.*, 2014). In addition the equipment required for thermal and chemical
156 processes have to be available to use specific feedstocks of a particular composition.

157 A high energy ratio and biomass energy density will be important factors in mitigating
158 carbon emissions, and *Miscanthus* has significant potential to reduce fossil fuel CO₂
159 emission (Clifton-Brown *et al.*, 2004, 2007; Hillier *et al.*, 2009; Hastings *et al.*, 2009). The
160 mitigation of carbon is usually expressed as carbon dioxide equivalent (CO₂-eq.) to allow
161 comparisons across different fuel types and to include the impact of fertilizer use. Carbon
162 mitigation is the sum of fossil fuel carbon emissions displaced minus the carbon cost of
163 growing an energy crop and producing a useable fuel plus any soil carbon sequestration or
164 loss. Depending on soil type, climate and previous land use, soil carbon changes can either
165 add or detract from the overall carbon mitigation. When such factors were modelled across
166 available land in the UK, most of the land could produce *Miscanthus* biomass with a carbon
167 index of 1.12 g CO₂-C equivalent per MJ energy in the furnace. The carbon index value for
168 *Miscanthus* production was substantially lower than coal (33), oil (22), liquefied natural gas
169 (21), Russian gas (20), and North Sea gas (16) (McCalmont *et al.*, 2017). These values were
170 calculated for use of different feedstocks for thermal conversion to electricity but other
171 potential uses of *Miscanthus* are considered in a later section including recent life cycle
172 assessment (LCA) studies.

173

174 **3. Nutrient use efficiency**

175 Nutrient use efficiency is related to the particular use of the crop. It is important that the
176 crop has the nutrients that are needed to grow efficiently but for biomass crops the harvest
177 is of fixed carbon and therefore many of the nutrients utilised in a growing crop are not
178 required (or are even undesirable) in the harvested product. The composition of the
179 harvested crop such as the carbon content, the form of the carbon and the presence of
180 other compounds determines the ease of conversion. The presence of potential high value
181 bi-products may contribute to the economic sustainability of the conversion process.
182 Thermal conversion of biomass for heat or energy ideally utilises dry biomass with a low
183 water content and a high carbon content. *Miscanthus* has a high C:N ratio (average of 142.6)
184 at spring harvest (Heaton *et al.*, 2009). After senescence when most of the N has been
185 repartitioned to the rhizome and stored for the next growing season and the majority of leaf
186 biomass has fallen to the ground, the remaining long woody stems can be an ideal source of
187 not only carbon for fuel production/burning but also bioplastics and other products. Low N
188 content at harvest time means reduced NO_x emissions during burning while the high C
189 content, low levels of easily metabolised sugars and proteins, make the harvested crop a
190 fairly poor food source which contributes to low levels of herbivory and biotic stress.

191 Lewandowski & Schmidt (2006) compared triticale and reed canary grass to *Miscanthus* and
192 showed far higher N use efficiency in *Miscanthus*. Maximum yields were observed with no
193 fertiliser but with existing soil N at 50 kg N ha⁻¹; higher applications of N fertilisation (above
194 114 kg N ha⁻¹ yr⁻¹) were detrimental to crop performance, particularly where soil water was
195 in short supply. This very low demand for added fertiliser was investigated by (Christian *et*

196 *al.*, 2006) who used ^{15}N isotope enriched nitrogen fertiliser applied at 60 kg N ha^{-1} to study
197 uptake during the establishment phase following planting. Only around 20% of the N taken
198 up by the developing crop had come from the fertiliser, 80% had come from mineralisation
199 of soil organic matter of the former grassland or atmospheric deposition. There is growing
200 evidence that high nutrient efficiency may in part derive from bacterial nitrogen fixation
201 associated with *Miscanthus* (Davis *et al.*, 2010; Dohleman *et al.*, 2012). Nitrogenase activity
202 has been found in both rhizomes and surrounding soil bacteria (Eckert *et al.*, 2001;
203 Miyamoto *et al.*, 2004) with isotope analysis revealing high levels of biologically fixed
204 nitrogen in *Miscanthus* biomass, particularly in the first year of establishment (Keymer and
205 Kent, 2014). One trade-off to this low nitrogen requirement is that emissions and leaching
206 can initially arise following planting into highly fertilised land or grassland killed in
207 preparation for conversion (Christian and Riche, 1998; Behnke *et al.*, 2012; Holder *et al.*,
208 2018b) as *Miscanthus* is unlikely to utilise all the available nutrients in the first year.

209 The potential for high nitrogen use efficiency means that the need for regular agronomic
210 amendments that would otherwise reduce a favourable energy balance and detract from
211 other sustainability criteria can be largely avoided. Cadoux *et al.*, (2012) reviewed nutrient
212 offtake in mature *Miscanthus* harvests in 27 studies over 10 countries and found a median
213 content of $4.9 \text{ g N (kg DM)}^{-1}$ when harvested in the early spring. Given a typical UK offtake of
214 $10 \text{ to } 15 \text{ Mg DM ha}^{-1} \text{ yr}^{-1}$ the annual export of organic nitrogen from a site in harvest
215 material would range between $49 \text{ to } 73.5 \text{ kg N ha}^{-1}$. Accounting for an atmospheric N
216 deposition rate of $35\text{-}50 \text{ kg N ha}^{-1} \text{ yr}^{-1}$ (Goulding *et al.* 1998) suggests that *Miscanthus* is
217 unlikely to benefit greatly from inputs of N unless it was being established in very low
218 fertility soils. For example, an optimum application of 100 kg N ha^{-1} was seen to give
219 significant yield benefits on a low fertility sandy loam soil in S. England (Shield *et al.*, 2014).
220 Lewandowski *et al.*, (2000) reviewed 19 *Miscanthus* field trials across Europe and reported
221 that there was little response to N fertiliser after the second or third year, though there was
222 some suggestion that early rhizome development may benefit from a low level of
223 application where soils may be low in available N to begin with. Christian *et al.*, (2008)
224 followed a *Miscanthus* crop for 14 years treated with zero, 60 and $120 \text{ kg N ha}^{-1} \text{ yr}^{-1}$ and
225 concluded that there was no yield response from the application of N fertiliser though
226 monitoring of soil fertility and offtake did suggest, in these soils at least, a benefit from
227 additions of phosphate ($7 \text{ kg P ha}^{-1} \text{ yr}^{-1}$) and potassium ($100 \text{ kg K ha}^{-1} \text{ yr}^{-1}$). A large number of
228 studies have been aggregated into a database to demonstrate yield and sustainability across
229 different crops and ecosystems (LeBauer *et al.*, 2018). To avoid negative impacts on
230 sustainability, any increase in yield from fertilizer application would need to be sufficient to
231 offset any associated increased GHG emissions. A life cycle assessment of a mature
232 *Miscanthus* trial showed that yield improvements and the associated increased
233 displacement of fossil fuels associated with different fertilizer application rates were
234 unlikely to be sufficient to offset resulting increased soil N_2O emissions (Roth *et al.*, 2015).

235 The entire above ground biomass of *Miscanthus* is harvested and therefore the cropped
236 biomass reflects the perennial growth cycle of the plant. This cycle begins with
237 remobilisation of nutrients from below ground rhizome in late spring which are used for
238 early growth, the crop develops a mature canopy and the rhizome is replenished through
239 summer before finally the canopy senesces, typically followed by leaf loss throughout the
240 winter. The latter two processes contribute to the recycling of nutrients so that they are
241 available to the next growth cycle but also negatively impact harvested biomass yield which
242 may decline by up to 30% (Lewandowski and Heinz, 2003). This creates a strong correlation
243 toward the end of the growth year between yield and crop quality with yield declining as
244 some aspects of quality such as moisture and C:N ratio improve. The interaction between
245 yield and quality is of varying importance depending on how the harvested biomass is
246 utilised. A spring harvest of *Miscanthus* greatly improves the suitability of biomass for
247 combustion because the material is usually fully senesced, reducing concentrations of
248 moisture, ash, and alkali metals at the expense of dry matter yield (Lewandowski *et al.*,
249 2003a). An ideal biomass composition for thermal conversion comprises low moisture
250 content, strongly bonded complex molecules such as lignified cell walls and low amounts of
251 N to limit NO_x emissions. In addition the presence of compounds that may contribute to the
252 increased ash slagging in boilers, such as silica and potassium, should be low; such
253 compounds lower the slagging temperature and hence reduce thermal efficiency. Heating
254 values of *Miscanthus* biomass have been found to vary due to senescence and/or some
255 other process occurring during the winter period which were not identified but might
256 include nutrient leaching and leaf loss (Lewandowski and Kicherer, 1997). Mos *et al.*,
257 (2013) concluded the change in heating value of *Miscanthus* is likely due to variation in
258 lignin content of crops harvested at different times of the year. The harvest time and
259 associated variation in senescence also impacted the quality and stability of bio-oil made by
260 fast pyrolysis processing of *Miscanthus* biomass with summer harvests producing lower
261 yields of bio-oil. Other harvest dates in September and February produced similar quality
262 bio-oil despite the crop being less-senesced in September than February (Mos *et al.*, 2013).
263 Crop yield declined from September to February suggesting an earlier harvest may be
264 preferable, but harvesting *Miscanthus* early may impact on recycling of nutrients to the
265 rhizome and soil during senescence and leaf-drop that occurs over winter. The resulting
266 depletion of nutrients may in turn affect long-term yields unless these nutrients were
267 replaced, for example using post-processing residue; however, such additional applications
268 would inevitably increase the overall carbon footprint of the crop.

269 *Miscanthus* is also used to produce liquid fuels, such as ethanol, which have a different ideal
270 biomass composition requirement. In a study looking at the environmental sustainability of
271 ethanol production from a range of second generation feedstocks, Falano *et al.*, (2014)
272 concluded that the global warming potential of ethanol from *Miscanthus* biomass is more
273 than 80% lower than petrol/ gasoline from fossil sources. A modelling study on biofuel
274 production demonstrated that cellulosic ethanol from *Miscanthus* will have a considerably

275 lower environmental impact than current first generation biofuels (Gabrielle *et al.*, 2014).
276 Conversion of biomass to liquid fuels ideally utilises biomass rich in simple sugars that are
277 easily accessed and energetically favourable for enzymatic or catalytic conversion. Le Ngoc
278 Huyen *et al.*, (2010) showed that harvesting *Miscanthus* at an earlier stage improved
279 saccharification efficiency with alkali pre-treated biomass. Harvesting before full senescence
280 results in more nutrients being harvested which are therefore likely to be above the levels
281 that are replaced by natural annual cycling; however, in a similar approach to that discussed
282 in the preceding paragraph, the digestate can be used as a soil amendment and fertilizer to
283 create a circular nutrient cycle though any resulting impacts on soil trace gas production,
284 such as N₂O, would need to be considered.

285 Genotypic variation in senescence and therefore composition such as moisture content has
286 been identified across a broad genotypic panel of *Miscanthus* (Robson *et al.*, 2011). Cell wall
287 composition varied between *Miscanthus* growing at different sites and genotypic variation
288 in cell wall composition has been linked to yields of pyrolysis products (Hodgson *et al.*, 2010,
289 2011). Such studies suggest there is potential to breed for improvements in composition
290 targeted toward conversion efficiency of *Miscanthus* for each end use, but such genotypic
291 improvements may have different impacts across different climatic regions or require
292 specific agronomies.

293

294 **4. Water use/water use efficiency.**

295 Water is a limiting factor for yield in *Miscanthus*, as in all vegetation, and one major factor
296 that affects the sustainability of bioenergy crops is the use of fresh water for growth. Plants
297 in general use roughly one litre of water to produce between 2-6 grams of biomass,
298 therefore the water use attributed to plant growth for bioenergy may seem very large.
299 However, any land used to grow bioenergy feed-stocks would usually support other plant
300 growth, such as pasture, forest, scrub-land, or other crops if it was not used for bioenergy.
301 So the real question is the difference between the water consumption of the bioenergy
302 feedstock ecosystem and the one it replaces. Evapotranspiration and water use efficiency
303 (WUE), the amount of biomass harvested per unit of water consumed, varied significantly
304 depending on crop and ecosystem (Rockstrom *et al.*, 1999) and therefore the extent of the
305 impact and issues of sustainability will be location specific. As such it is more realistic to
306 focus on the relative performance within a particular environment and how best to increase
307 WUE to obtain “more crop per drop” within sustainable limits of a particular location.

308 Plant growth is governed by the rate of photosynthesis, a process that consumes a small
309 proportion of the total amount of water that is transpired and produces carbohydrates as
310 one of the products. The efficiency with which water is utilised varies among the three
311 photosynthesis processes used by plants, of which two, known as C3 and C4 are the most
312 common in higher plants. In general, C3 plants, which encompass many grasses, flowering

313 plants and woody trees, use more water per unit biomass than C4 plants. In suitable
314 climates C4 species should exhibit higher efficiencies of radiation, nutrient and water use
315 than C3 species, and hence attain higher productivity. However, C4 photosynthesis is more
316 typical of tropical and subtropical species. In cooler temperate climates most C4 species, for
317 example maize (*Zea mays*), fail to achieve high productivity due to high thermal
318 requirements for growth and impaired photosynthesis at low temperatures (Miedema *et al.*,
319 1987; Sage *et al.*, 2010). *Miscanthus* is one of a seemingly small number of C4 species that
320 appear to be well adapted to temperate environments. In a comparison with another
321 temperate C4 grass (*Spartina cynosuroides*), *Miscanthus* achieved higher WUE, up to 9.1 g
322 kg⁻¹, and retained the theoretical high water use efficiency of C4 species, when grown under
323 temperate conditions (Beale *et al.*, 1999).

324 Despite highly efficient WUE, producing more biomass may have a significant impact on soil
325 hydrology cycles. *Miscanthus* used more water across the season (954mm) than comparable
326 plots of maize (611mm) and switchgrass (*Panicum virgatum*) (764mm) at the same location.
327 This resulted from increased latent heat flux (λ ET) transferring water to the atmosphere and
328 a longer growing season (Hickman *et al.*, 2010), though *Miscanthus* produced more biomass
329 per unit of water used. WUE was very similar between *Miscanthus* at ca. 19 kg ha⁻¹ mm⁻¹
330 and maize at ca. 18.6 kg ha⁻¹ mm⁻¹ and WUE in switchgrass was about half that of maize and
331 *Miscanthus* (Hickman *et al.*, 2010) illustrating the considerable variation that exists even
332 among C4 grass species. Changes in water use may impact ecological functions of land areas
333 and if production of utilisable biomass is an aim then options for high and low biomass crops
334 to limit impacts in hydrologically sensitive areas may be required. This may include a long
335 season, slow growing *Miscanthus* that combines high WUE, provides soil cover to reduce
336 evaporative loss and competition from hydrologically inefficient C3 species. It should be
337 noted that high water use may be a benefit in some regions prone to flooding. A long season
338 canopy may have additional benefits related to excess rainfall. A recent study measured
339 evapotranspiration by eddy covariance in a *Miscanthus* plantation and found it was higher
340 than expected during winter months. The canopy interception of water by *Miscanthus* was
341 similar to that of mixed deciduous forest in the latter months of the year, suggesting the
342 potential for flooding may be reduced by lessening soil water recharge by rainfall (Holder *et*
343 *al.*, 2018a).

344 Whatever the relative merits of high and low biomass crops, it is likely that a requirement
345 will be for the biomass to be produced with high water use efficiency. Malinowska *et al.*,
346 (2017) examined water use efficiency across a broad genotypic range of *Miscanthus* growing
347 in different water treatments and identified that bigger and faster growing plants tended to
348 have lower WUE suggesting the general trend is in opposition to the more desirable
349 interaction. However, against this trend there were individuals that produced high biomass
350 under control treatments and high WUE under the different water treatments, although the
351 absolute values achieved would need to be confirmed by larger scale field trials. The same
352 study also identified the climatic regions associated with high WUE in *Miscanthus* suggesting

353 where favourable phenotypes might be found and further accessions could be collected
354 with the unusual but highly desirable link between high biomass production and high WUE
355 (Malinowska *et al.*, 2017).

356 In addition to the water used by the plant to grow, as in any energy system the water used
357 in the conversion of the feedstock into a suitable fuel and then into energy must also be
358 considered. If coal is considered as comparison to biomass fuel, for example, the coal must
359 be mined and washed before use. This uses water and also produces a lot of acidic waste
360 water containing iron and other heavy metals which requires processing before disposal or
361 it will damage water courses and aquifers. Similarly, oil and gas production also produces
362 large quantities of associated subsurface brines which require disposal. Unconventional oil
363 and gas production requires the use of hydrologic fracking, which not only consumes a large
364 quantity of fresh water, but also produces saline and chemical laden brine in the flow-back
365 process which needs careful processing and disposal (Hastings, 2018). Detailed comparisons
366 of water use via different conversion routes have not been produced for *Miscanthus* but
367 such comparisons are likely to be similar among different feedstocks. Water efficiency in the
368 use of the fuel also needs consideration as many thermal power stations do not recover the
369 54-65% of heat that is not converted into electricity but lose it to the atmosphere, mostly by
370 evaporative cooling in cooling towers. To improve efficiency further any thermal production
371 of electricity should also provide the waste heat for either space heating or industrial
372 processes in a process known as combined heat and power (CHP).

373

374 **5. Carbon flux**

375 Land disturbance resulting from cultivation for any crop will lead to impacts on the main
376 drivers of soil GHG emissions, i.e. soil oxygen status, microbial diversity, carbon to nitrogen
377 input ratios and hydrological status. Changes of crop type will further perturb the system by
378 changing nutrient availability and mineralisation rates. All these impacts will have a direct
379 bearing on GHG emissions, particularly CO₂ and CH₄ from the decomposition of organic
380 matter and N₂O from nitrification/denitrification processes in the soil. For perennial energy
381 crops primarily aimed at mitigating climate change, such as *Miscanthus*, quantifying these
382 impacts is essential in determining if the crop is a source or sink of GHG, and if it provides
383 useful gains over fossil fuel use. There have been many studies looking at soil carbon fluxes
384 during transitions from conventional crops to *Miscanthus*, many taking advantage of the
385 fact that, being a C4 species as opposed to the C3 crops that are being replaced, soil carbon
386 derived from *Miscanthus* will carry a distinctive isotopic signal (e.g. Clifton-Brown *et al.*,
387 2007). Among others, Zimmermann *et al.* (2012) found that in the short term land
388 disturbance resulted in relatively rapid losses of existing C3 soil carbon to the atmosphere.
389 However, the large turnover of root and leaf biomass in *Miscanthus* lead to carbon
390 sequestration rates of 0.6 Mg ha⁻¹ yr⁻¹ (following arable crops) and 0.9 Mg ha⁻¹ yr⁻¹ (following
391 grassland), thus, the lost soil carbon loss was replaced within 4 to 5 years. Early work by

392 (Hansen *et al.*, 2004) found that after a typical *Miscanthus* crop lifetime of around 16 years,
393 31% of the carbon in the upper soil layers was derived from the *Miscanthus* inputs. This
394 evidence for rapid turnover and replacement of C3 derived soil carbon with C4 has been
395 reported in several other studies, for examples see (Clifton-Brown *et al.*, 2007; Dondini *et*
396 *al.*, 2009; Zimmermann *et al.*, 2013; Zatta *et al.*, 2014). Previous land-use, and the soil
397 carbon levels associated with it, appear to be key determinants of the levels of carbon
398 sequestration that might be expected following cultivation to *Miscanthus*. Changes from
399 annual crop species to perennial *Miscanthus* production are likely to see increases in soil
400 carbon due the removal of annual soil disturbance and the build-up of large inputs through
401 overwinter leaf drop of around 30% and large inputs from roots (Lewandowski *et al.*, 2000;
402 Zhu *et al.*, 2018). Changes from perennial grassland systems are not so clear cut, with
403 studies more likely to show maintenance of soil carbon stocks at similar levels to starting
404 status (Anderson-Teixeira *et al.*, 2009; Zatta *et al.*, 2014). Recent literature syntheses (Qin *et*
405 *al.*, 2016; Zang *et al.*, 2018) have confirmed these overall trends with changes to perennial
406 *Miscanthus* from annual cropping showing net sequestration while cultivations into previous
407 grasslands showed no significant change.

408 Less well studied or reported are the other important greenhouse gases in agriculture, CH₄
409 and N₂O. While CH₄ is not considered to be an important GHG in temperate cropland, as
410 opposed to during animal production (Snyder *et al.*, 2009), N₂O is a far more significant
411 concern with agriculture being the largest global contributor to atmospheric concentrations.
412 A recent review paper around the sustainability of energy crop production (Whitaker *et al.*,
413 2018) called for more work on soil N₂O emissions under energy crops and a literature is now
414 beginning to develop, particularly around the critical impact periods during the cropping
415 cycle: cultivations at the beginning and end of crop life and during fertilisation events.
416 Recent work has reported soil N₂O flux at the beginning of *Miscanthus* establishment into
417 grassland (Holder *et al.* 2018) and at the end of the cropping cycle and reversion back to
418 grassland (McCalmont *et al.*, 2018). Both studies revealed significant, short term spikes in
419 soil N₂O emissions driven by soil disturbance associated with crop cultivations; they showed
420 that integrations of these spikes into annual sum estimates added significantly to overall life
421 cycle assessments (LCA) of the GHG costs of crop production. For the land-use conversion
422 period, Holder *et al.* (2018) suggested that directly attributable N₂O costs would add 4.13 Mg
423 ha⁻¹ CO₂-eq to a previously calculated LCA carbon cost of the biomass production (Hastings
424 *et al.*, 2017) of 9.49 Mg h⁻¹ CO₂-eq (calculated over ten years' production), an increase of
425 44% over the original estimate which had not considered N₂O emission in its calculation.
426 Similarly, (McCalmont *et al.*, 2018) found that the reversion N₂O costs added around 50% to
427 the overall global warming potential cost of biomass energy production. However, while
428 these figures are significant and need to be considered in GHG accounting for these
429 systems, it should be noted that total CO₂-eq. costs associated with biomass crop
430 production still remain far lower than the equivalent energy produced through more
431 conventional fossil fuels such as coal. McCalmont *et al.*, (2018) calculated that, even

432 including the N₂O cost of reverting back to grassland at the end of crop life, the CO₂ eq. cost
433 of energy produced through *Miscanthus* would be 18 times lower than the equivalent
434 produced through coal. Of course, one key question regarding sustainability of energy crop
435 production and the impact of land-use change remains, and it is the perennial problem of
436 attribution of costs and benefits in LCA studies. If the GHG cost of establishing an energy
437 crop is attributed to the biomass produced then should the GHG cost of its reversion to a
438 more conventional crop also be attributed, or would this be better apportioned to the
439 following crop?

440 In a modelling exercise for the UK, the relative emissions of a suite of bioenergy crops
441 potentially grown in the UK were analysed for their impact on soil GHG emissions,
442 considering three initial land-use change (LUC) scenarios: from forestry, grassland and
443 arable. These crops included wheat, sugar beet, oil seed rape, *Miscanthus*, short rotation
444 coppice (SRC) willow and poplar and short rotation forestry (SRF) for many species. This
445 analysis showed that where *Miscanthus* yields were the highest, it produced the least GHG
446 emissions. For all conversion from arable emissions were negative, grassland conversions
447 slightly positive and replacing forestry with all crops resulted in significant GHG emissions
448 (Pogson *et al.*, 2016, Richards *et al.*, 2017).

449

450 **6. Life cycle assessment for different end uses**

451 *Miscanthus* can be used in many pathways for the production of energy. It can be used as a
452 direct fuel for thermal conversion or as a feedstock for conversion to liquid fuels or biogas.
453 *Miscanthus* can also be used as a reinforcing fibre for plastic or cement-based components
454 for either structural engineering use or insulation. The latter structural uses retain the
455 carbon in longer term storage/utilisation, than when biomass is used as a fuel, and
456 therefore have great potential for reducing atmospheric GHG levels. Inherent in energy use
457 is the emission of carbon, with the possible exception of Bioenergy with Carbon Capture and
458 Storage (BECCS) discussed below, and therefore careful consideration must be given to the
459 balance of carbon and environmental impact in comparison to other energy sources across
460 the cycle of use. Such considerations invariably will also include a particular context of
461 economic and/or political requirements. Here we will only consider energy production,
462 although structural and insulation materials can be used to improve energy efficiency. As
463 the two main policy drivers for using bioenergy are to reduce GHG emissions and enhance
464 energy security, each production pathway should be compared using metrics that quantify
465 the potential to achieve these policy objectives and provide a comparison to other energy
466 systems, be they fossil-based or intermittent renewables such as solar, wind, tidal and wave
467 generation.

468 LCA is commonly used to evaluate the environmental impact of an energy production
469 pathway. This approach defines the boundaries of a process and calculates all of the inputs

470 and outputs to the system, including energy use and waste products, and estimates their
471 impact or cost to the environment. LCAs for various uses of *Miscanthus* have been
472 calculated, from a biomass fuel to a feedstock for producing biogas, biodiesel, methanol and
473 ethanol, to assess if it does indeed reduce emissions compared to alternative fuels within
474 these specific utilisation pathways (Styles and Jones, 2007; Hastings *et al.*, 2012). Of the
475 variables that have been incorporated into a LCA model, the establishment rate of the
476 *Miscanthus* stand and canopy longevity are crucial inputs. The length of time over which
477 energy and equivalent carbon costs accrued at planting are amortised has a significant
478 impact on the overall carbon (and economic) balance. Many models assume a 15 year cycle
479 with 3 years to achieve maximum yield, but *Miscanthus* plantations may be productive for
480 much longer and establish faster and thereby further improve carbon and energy returns.

481 An early LCA examined the impact of replacing 30% of peat and 10% coal for electricity
482 production in Ireland by co-firing with *Miscanthus*. The reduction in CO₂ emitted was
483 estimated at 1.9 Mt CO₂ eq. yr⁻¹ and represented 2.8% of Ireland's 2004 GHG emissions, but
484 was calculated to require just 1.7% of agricultural land area using a conservative estimate of
485 yield (Styles and Jones, 2007). The reduction in CO₂ emissions from incorporating
486 *Miscanthus* into peat or coal electricity generation was so significant that even very low
487 yield estimations continued to generate significant GHG savings, suggesting models have
488 considerable flexibility in the assumptions of benefit. Felten *et al.*, (2013) compared
489 different biomass systems using rapeseed, maize and *Miscanthus*. Compared to equivalent
490 fossil fuel-related energy supply, the potential reduction in CO₂-equivalents ranged between
491 30-76% for electrical energy from maize biomass, 29-82% for biodiesel from rapeseed, and
492 96-117% for *Miscanthus* chips. Interestingly the authors concluded that, in their study, CO₂-
493 neutrality was only reached by the *Miscanthus* cropping system and was related to an
494 additional credit from carbon sequestration in soil during the cultivation period; thus, this
495 cropping system acted as a CO₂-sink (Felten *et al.*, 2013). A later paper (Robertson *et al.*,
496 2017) further concluded that *Miscanthus* cropping could be carbon neutral (incorporating
497 soil N₂O emissions as well as CO₂) even without net carbon sequestration to the soil.

498 The consideration of GHG emissions should not focus solely on comparisons with fossil fuel
499 alternatives that are displaced by the use of biomass crops but should also include
500 consideration of the impacts of the cropping systems that are displaced. Dondini *et al.*,
501 (2009) compared soil carbon across a soil depth profile in an arable to *Miscanthus*
502 conversion and showed the total amount of soil organic carbon (SOC) was higher under
503 *Miscanthus* than under the arable crop and that this difference was largely due to the input
504 of new carbon. The *Miscanthus* system gained 25.4 Mg C ha⁻¹ across the soil profile and this
505 increase in carbon storage within soil appeared to be largely due to the decrease in soil
506 tillage (Dondini *et al.*, 2009), an important advantage in utilising perennial crops. An
507 estimate of yearly carbon mitigation by *Miscanthus* over 15 years ranged from 5.2 to 7.2 Mg
508 C ha⁻¹ yr⁻¹ depending on time of harvest (Clifton-Brown *et al.*, 2007). Zatta *et al.*, (2014)
509 studied a grassland-to-*Miscanthus* conversion and showed that after an initial decline in SOC

510 due to soil disturbance resulting from cultivation at planting, SOC levels rapidly recovered
511 due to input of new carbon from *Miscanthus*. In this instance the input of more carbon from
512 *Miscanthus* did not produce a significant increase in SOC and the authors suggested the
513 additional carbon may have been utilised through increased microbial respiration, so called
514 soil priming, thus illustrating the potential for complex interactions and the need for
515 empirical data to test assumptions used in models.

516 The GHG emissions including those associated with land use change were calculated
517 comparing bioenergy feedstock and a rotational food production system it displaced (Styles
518 *et al.*, 2015). Only *Miscanthus* and rotational maize offered GHG savings when indirect land-
519 use change (iLUC) impacts were considered and the percentage of displaced production that
520 was directly replaced had a substantial impact on the estimated global warming potential.
521 However, the GHG benefits for rotational maize were offset by impacts on ecosystem
522 services, and of the six bioenergy crop systems investigated, *Miscanthus* was shown to offer
523 the greatest benefits in the provision of ecosystem services (Styles *et al.*, 2015). Tonini *et al.*,
524 (2012) used sensitivity analysis to show that uncertainties around land use change could
525 have a significant impact on LCA results. They compared four conversion pathways
526 (anaerobic digestion, gasification, small-scale CHP, and large-scale co-firing with coal) for
527 ryegrass (*Lolium perenne*), willow (*Salix spp*), and *Miscanthus* and found that only large-
528 scale co-firing of *Miscanthus* and willow offered real GHG savings compared to fossil fuel
529 alternatives. The impacts of land use change and any positive effects could be localized and
530 consideration should be given to where production might be displaced to and the impacts of
531 any further consequential land-use changes thereby incurred. The consequences of land use
532 change and indirect land use change are complex, particularly the latter, and the impacts
533 and values assigned to such change the subject of recent debate and discussion (Muñoz *et al.*,
534 2014; Jepson and Caldas, 2017).

535 The production of biogas from biomass has received much attention recently and serves as
536 a good example of the considerations in assessing the potential impacts and possible
537 benefits of deploying *Miscanthus* as a source of biomass for biofuels. *Miscanthus* has been
538 researched as a potential substrate for the production of biogas, particularly in Germany
539 where biogas production has increased significantly in recent years (Kiesel and
540 Lewandowski, 2017; Kiesel *et al.*, 2017a). The cultivation of biomass to provide substrate for
541 anaerobic digestion makes up a significant proportion of the environmental impact and GHG
542 cost of biogas (Hijazi *et al.*, 2016). In the mono-digestion of maize (AD with maize alone) it
543 was the cultivation that had the largest environmental impact due to diesel fuel use in
544 agriculture and emissions linked to fertiliser use (Lijó *et al.*, 2014). *Miscanthus* has been
545 researched as a more environmentally sustainable alternative to the use of maize in biogas
546 production. LCA demonstrated biogas production using *Miscanthus*, as compared with
547 Maize, resulted in a reduction across 5 impact categories such as climate change, terrestrial
548 acidification and eutrophication of water (Kiesel *et al.*, 2017b). One of the benefits of
549 dedicated perennial *Miscanthus* crops is the ability to be grown on marginal lands where

550 conventional annual crops would not provide an economically useful return. Marginal lands
551 are usually defined as such due to limitations in productivity which may occur for a number
552 of reasons; these can include abiotic stresses such as low water availability or salinity.
553 *Miscanthus* grown on marginal land was tested for biogas production and showed a cost
554 advantage over similar conversions to biogas using maize (Wagner *et al.*, 2019). Overall
555 yields of *Miscanthus* were critical in the competitiveness of gas production per hectare.
556 Potential reductions to yield of growing *Miscanthus* on marginal land may be offset by the
557 fact that otherwise unutilised uneconomical land is being brought back in to production.
558 Enhancing *Miscanthus*' capacity to tolerate marginal soil conditions through breeding or
559 imaginative valorisation of other potential benefits such as remediating denuded or
560 contaminated soils and incorporating this into long term rotations with food crops may
561 improve economic viability. Cultivation on overworked and nutrient denuded soils may even
562 increase overall productivity of associated food crops as soils improved by using *Miscanthus*
563 as a long term break crop are brought back into conventional food production.

564

565 **7. Traits and/or Agronomy for improved sustainability**

566 Yield is an important trait for improvement in *Miscanthus*, and biomass crops in general,
567 and provided increased yield is not achieved through energy intensive means then higher
568 yield improves the energy balance and the economics of the crop. Yield is limited by abiotic
569 stress and water availability in particular. Areas of high solar radiation needed to fuel high
570 yields are often associated with low precipitation. Growing *Miscanthus* on marginal land
571 subject to individual or combinations of stress that limit conventional agriculture, as
572 discussed above, generates a highly desirable mix of sustainability criteria, reduces
573 competition with food crops and possibly regenerates land. Therefore, a key requirement
574 for future development of sustainable *Miscanthus* through breeding is to conserve and
575 enhance this tolerance to abiotic stress and increase yields accordingly. Work is beginning to
576 understand and reduce the impact of abiotic stress on *Miscanthus* including the screening of
577 germplasm under single or combinations of different stresses (Ezaki *et al.*, 2008; Jones *et*
578 *al.*, 2015; Kalinina *et al.*, 2017; Malinowska *et al.*, 2017; Stavridou *et al.*, 2017; van der
579 Weijde *et al.*, 2017; Fonteyne *et al.*, 2018).

580 *Miscanthus* is a versatile biomass feedstock and is utilised in a number of ways across many
581 regions of the world. As discussed above, GHG savings need to be calculated against existing
582 technologies and alternative utilisations and the greatest savings and optimum conversion
583 pathways will vary from region to region. This potential complexity was illustrated by a
584 study across five European countries. At all five sites the highest energy savings were
585 achieved by combined heat and power generation via combustion (Meyer *et al.*, 2017). The
586 GHG savings were more complex: the highest savings were achieved by heat and power
587 production in Portugal (42.7 t CO₂-eq ha⁻¹ yr⁻¹); however, at other European locations
588 (Sweden, Denmark, Germany, England), bioethanol production gave the highest GHG

589 savings. However, there was some uncertainty over the ability to generate the GHG savings
590 attributed to the use of fermentation residues for heat in bioethanol production which had
591 a significant contribution to the GHG savings in the scenario discussed. The study concluded
592 that the improved GHG savings were primarily associated with increased yield and that
593 composition was of comparatively lower importance but would impact other sustainability
594 characteristics such as emissions (Meyer *et al.*, 2017).

595 The economic viability, and competitiveness with less sustainable crops, of growing
596 *Miscanthus* on marginal land for biogas-based electricity production has been shown to be
597 limited by yield (Wagner *et al.*, 2019) so improvements in this area will be an important
598 focus for ongoing research. However, there is also potential for manipulating *Miscanthus*
599 cell wall structure and composition to optimise biomass for particular end uses (Slavov *et al.*
600 *et al.*, 2013). Lignin in particular is regarded as one of the main factors impeding
601 saccharification by enzymatic hydrolysis as it prevents enzymes accessing the hemicellulose
602 and cellulose in plant cell walls (Zeng *et al.*, 2014). Biomass quality parameters depend on
603 the intended technology for conversion; for example, a low lignin content may improve
604 enzymatic conversion whereas the high energy contained within lignin bonds means that a
605 high lignin content is favourable for thermochemical conversion (Welker *et al.*, 2015). In
606 addition to yield and composition improvements, process optimisation has the potential to
607 increase sustainability and economic viability by reducing the energy required across post-
608 harvesting treatments. The development of such improvements is at an early stage because
609 novel *Miscanthus* cultivars and their usage are still relatively new; however, improvements
610 are already being demonstrated. For example, in the production of biogas there was a
611 considerable cost of pre-treatment of biomass for anaerobic digestion but ensiling
612 *Miscanthus* biomass greatly reduced the need for pre-treatment (Mangold *et al.*, 2019). A
613 study of 50 diverse *Miscanthus* genotypes demonstrated that drought tolerance and cell
614 wall composition were only weakly correlated, suggesting that the potential exists for both
615 traits to be improved independently (van der Weijde *et al.*, 2017). Some traits may be highly
616 aliased and act in opposition but, where possible, future domestication and breeding efforts
617 are likely to target the combined improvement of important traits such as biomass yield, cell
618 wall composition and abiotic stress resilience.

619 A particular challenge is perhaps one of identifying how to domesticate *Miscanthus* quickly
620 enough to maximise the potential global benefits across a short enough time scale to
621 contribute significantly to the fight against climate change. Breeding programmes are well
622 established across several biomass crops and experiments have demonstrated the potential
623 of next generation sequencing to generate markers and speed up breeding cycles using
624 genome wide association studies (Slavov *et al.*, 2014; Davey *et al.*, 2017) and optimised
625 selection indices to test strategies for efficient improvement of multiple traits (Slavov *et al.*,
626 2019).

627

628 8. Summary and future research priorities

629 *Miscanthus* often compares favourably in terms of GHG and energy efficiency with not just
630 the fossil fuel alternatives but also other potential cropping systems that may be used for
631 biomass/bioenergy. Towards the aim of achieving carbon negative biofuel, of particular note
632 is the sequestration of carbon into soils associated with these more stable perennial,
633 rhizomatous systems in many studies. The composition of *Miscanthus* biomass may be
634 optimised for different end uses though the environmental and economic sustainability of
635 such end uses varies across different growing regions. Broadly, it may be assumed that
636 because dry biomass with high C:N ratios, and thus excellent conservation of nutrients
637 within the plant/soil system, is better suited to thermal conversion it is these routes that will
638 achieve the highest savings in GHG (Figure 2). This may be further enhanced by the
639 implementation of bioenergy with carbon capture and storage (BECCS) (Kemper, 2015), a
640 technology that has not yet been thoroughly explored in the *Miscanthus* literature but has
641 great potential for achieving carbon negative biofuel. Implementing BECCS from bioenergy
642 crops at large scale faces a number of challenges such as transport of CO₂ and the
643 colocation of marginal lands and carbon storage basins (Turner *et al.*, 2018). Modelling the
644 potential for BECCS highlights the contributions of biomass residues and dedicated biomass
645 crop growth on marginal land and improving yield. Notwithstanding these contributions
646 other sustainability characteristics discussed previously plus the importance of good
647 governance and the need for effective incentives are also significant factors in modelling the
648 potential of this new technology (Vaughan *et al.*, 2018). The implementation of BECCS
649 would see *Miscanthus* capture carbon from the atmosphere as part of its annual cycle of
650 growth, a portion of that carbon would be sequestered in the soil through the perennial flux
651 of photosynthate from the crop and, as part of the thermal conversion process, CO₂ that
652 would be otherwise re-emitted to the atmosphere would be captured and sequestered in
653 long term storage under sea or ground. This GHG removal technology is a key component in
654 possible future emission scenario pathways to limit global warming to 1.5 °C (IPCC, 2018).
655 The technology readiness to implement BECCS is largely at the demonstration phase but the
656 capture, transport and potential storage capacity suggest that this will be an important part
657 of reducing atmospheric CO₂ levels in the future (Royal Society, 2018) and a key contributor
658 to making biomass crop use even more sustainable.

659 The growth and harvesting of biomass is of particular significance to overall energy balance
660 and there are a number of crop characteristics that contribute to an improved energy ratio.
661 Many of these are exemplified in the current commercial production of *Miscanthus* but the
662 tremendous geographical range over which *Miscanthus* species are present and the ability
663 to generate wide hybrids between diverse species presents a significant opportunity to
664 improve characteristics and deliver high levels of sustainability along with improved yield
665 and composition. There is further potential to enhance tolerance for growth under marginal
666 conditions to reduce competition with food crops and potentially remediate soils. The

667 unusual cold tolerance of *Miscanthus* provides an additional bonus in allowing the potential
668 benefits of water use efficiency associated with C4 crops to be utilised in temperate regions.

669 At present the cultivation of bioenergy crops is often seen as separate to food crops in
670 potential competition for land. Perhaps more emphasis should be given to the potential to
671 incorporate *Miscanthus* within land-use rotations with food crops. Improvements in
672 genetics and agronomy are attempting to produce *Miscanthus* plantations that produce
673 economic returns in the second growth year making shorter term plantations more
674 economically attractive and a negative GHG balance achievable in fewer perennial cycles.
675 The perennial rhizomatous nature of *Miscanthus*, and associated reductions in soil
676 disturbance over time, gives it the potential to improve degraded soils by increasing soil
677 carbon, organic matter and earthworm diversity (Kahle *et al.*, 2001; Hansen *et al.*, 2004;
678 Felten and Emmerling, 2011). Perhaps in the future *Miscanthus* will be incorporated into
679 land use rotations as a longer term, low intensity break crop providing a range of alternative
680 bio-products, increasing regional fuel security and socio/economic benefits while enhancing
681 ecosystem services.

682 The focus of this chapter has been to highlight the research that demonstrates *Miscanthus*
683 embodies a range of attributes that make it an ideal sustainable biomass crop for biofuels.
684 Added to this the diversity identified within global collections of *Miscanthus* species
685 represent a wealth of potential for future improvements. Thus far the impact and potential
686 of *Miscanthus* has mostly been demonstrated using a small number of high yielding clones
687 and experiments with diverse genotypes. These experiments have identified improvements
688 in yield, resilience against stress and improved yield quality. Fewer studies report the
689 tremendous potential of hybridisations between diverse *Miscanthus* genotypes. In the space
690 of a few years the focus of research using *Miscanthus* has been on delivering sustainable
691 solutions, the development of underpinning biological knowledge, agronomy,
692 environmental modelling, LCA and on demonstrating the potential of new genotypes. The
693 main question now is can the investment be made to deliver on the potential within
694 *Miscanthus* fast enough to produce the necessary impact.

695

696 **9. Where to look for further information**

697 There is a video, FAQs and literature available at the *Miscanthus* Breeding site
698 <http://www.miscanthusbreeding.org/>.

699 The context and challenges of climate change and sustainable global decarbonisation
700 including the potential contributions of carbon negative biomass crops are discussed in
701 many reviews including two recently published by IPCC (2018) and the Royal Society (2018).
702 There are a number of reviews that discuss the current status of developing bioenergy crops
703 (Clifton-Brown *et al.*, 2019) and *Miscanthus* at commercial scale (Clifton-Brown *et al.*, 2017)

704 plus reviews of the relative costs and benefits of *Miscanthus* cultivation and a general
 705 consideration of land availability, land use, land conversion sustainability and competition
 706 for land with food crops (Hastings *et al.*, 2009; Fazio and Monti, 2011; Valentine *et al.*, 2012;
 707 Holland *et al.*, 2015; McCalmont *et al.*, 2017).

10. Acknowledgements

The authors thank the many colleagues who contributed the information and papers upon which this chapter is based and the authors acknowledge the support of CERES Inc. and Terravesta Ltd. through the GIANTLINK project (LK0863). This chapter was funded by several projects the UK Biotechnology and Biological Sciences Research Council (grant numbers BBS/E/W/10963A01A and BB/CSP1730/1) and the Natural Environment Research Council (grant numbers NERC - NE/M019691/10 and NERC - NE/P019951/1) and UKERC/FFR2/3.

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For Peer Review

Figure legends

Figure 1. Output from the MiscanFor Model (Hastings *et al.*, 2009) using the Harmonized World Soil Data (IIASA) and Climate Research Unit 4.2 climate data of the world as input for period 2000-2010. Top panel:- Map of mean harvest yield for the period 2000-2010 in Mg ha⁻¹ y⁻¹ with a histogram of yields for EU27 + Switzerland. Scale red=0 to green=30. Black area is non-cropland and high organic soils. Middle panel:- Map of Soil Organic Carbon change of *Miscanthus* planted on arable land, green =sequestration, yellow=no change, red=loss, Histogram show SOC change in EU27 in Mg ha⁻¹ y⁻¹ Black area is non cropland and high organic soils. Bottom Panel:- Map of carbon intensity of *Miscanthus* fuel for combustion in furnace, grown on arable land, scale green= sequester C, turquoise= less than gas(16), yellow=less than oil(22), red=less than coal (33), Black area is non cropland and high organic soils and more than coal (33), histogram shows carbon intensity of crop grown in EU27 on arable land in g CO₂ eq.C MJ⁻¹.

Figure 2. Carbon flow (below) and approximate greenhouse gas balance (above) achievable from different fuel use scenarios including fossil fuel, green or senesced biomass with and without carbon capture and storage (CCS); adapted from Kemper (2015).

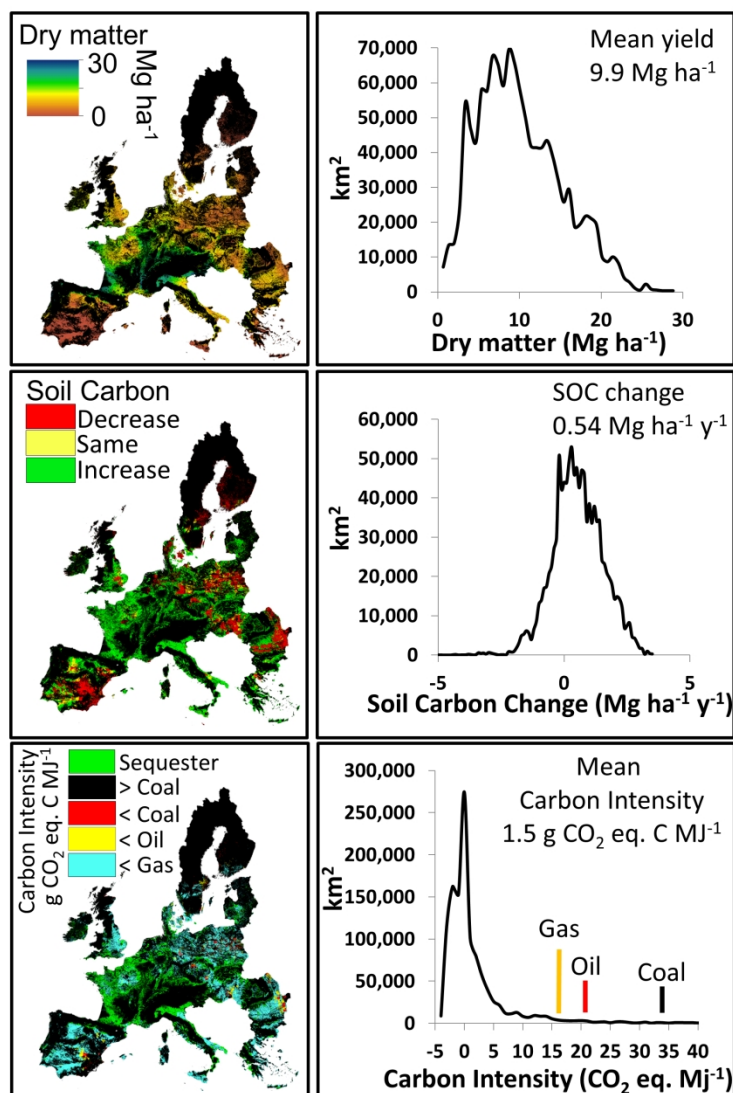


Figure 1. Output from the MiscanFor Model (Hastings et al., 2009) using the Harmonized World Soil Data (IIASA) and Climate Research Unit 4.2 climate data of the world as input for period 2000-2010. Top panel:- Map of mean harvest yield for the period 2000-2010 in $\text{Mg ha}^{-1} \text{ y}^{-1}$ with a histogram of yields for EU27 + Switzerland. Scale red=0 to green=30. Black area is non-cropland and high organic soils. Middle panel:- Map of Soil Organic Carbon change of Miscanthus planted on arable land, green =sequestration, yellow=no change, red= loss, Histogram show SOC change in EU27 in $\text{Mg ha}^{-1} \text{ y}^{-1}$ Black area is non cropland and high organic soils. Bottom Panel:- Map of carbon intensity of Miscanthus fuel for combustion in furnace, grown on arable land, scale green= sequester C, turquoise= less than gas(16), yellow=less than oil(22), red=less than coal (33), Black area is non cropland and high organic soils and more than coal (33), histogram shows carbon intensity of crop grown in EU27 on arable land in $\text{g CO}_2 \text{ eq. C MJ}^{-1}$.

190x274mm (284 x 284 DPI)

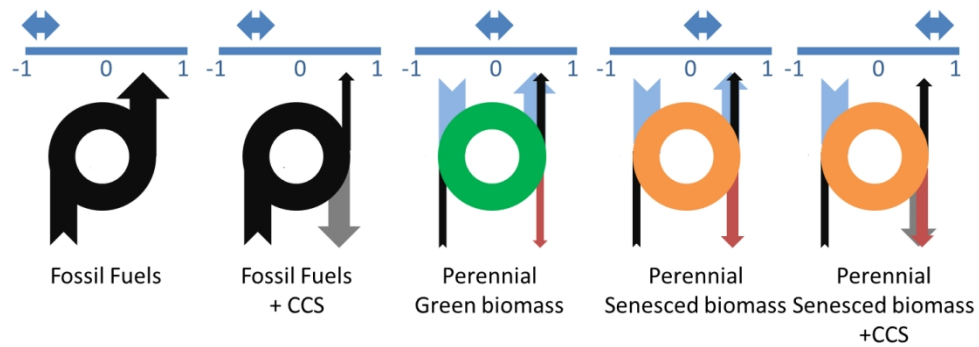


Figure 2. Carbon flow (below) and approximate greenhouse gas balance (above) achievable from different fuel use scenarios including fossil fuel, green or senesced biomass with and without carbon capture and storage (CCS); adapted from Kemper (2015).

259x93mm (150 x 150 DPI)