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### *Deep Learning in Mammography and Breast Histology, an Overview and Future Trends*

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# Deep Learning in Mammography and Breast Histology, an Overview and Future Trends

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## Abstract

Recent improvements in biomedical image analysis using deep learning based neural networks could be exploited to enhance the performance of Computer Aided Diagnosis (CAD) systems. Considering the importance of breast cancer worldwide and the promising results reported by deep learning based methods in breast imaging, an overview of the recent state-of-the-art deep learning based CAD systems developed for mammography and breast histopathology images is presented. In this study, the relationship between mammography and histopathology phenotypes is described, which takes biological aspects into account. We propose a computer based breast cancer modelling approach: the Mammography-Histology-Phenotype-Linking-Model, which develops a mapping of features/phenotypes between mammographic abnormalities and their histopathological representation. Challenges are discussed along with the potential contribution of such a system to clinical decision making and treatment management.

*Keywords:* Mammography, Breast Histopathology, Computer Aided  
Diagnosis, Deep Learning

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## 1. Introduction

### 1.1. Breast cancer

Breast cancer is the most frequently diagnosed cancer (National-Health-Service (2016); American-Cancer-Society (2016)) and accounts for 25.2% of the total cancer related deaths among women followed by colorectal (9.2%), lung (8.7%), cervix (7.9%), and stomach cancers (4.8%) according to the International Agency for Research on Cancer, WHO <sup>1</sup> (Stewart & Kleihues (2014)). The assessment process for breast screening follows a triple assessment model: appropriate imaging (i.e. mammography as a primary imaging modality for lesion visualisation and finding early changes in breast tissue) plus clinical assessment and, where indicated, needle biopsy (i.e. H&E <sup>2</sup> stained histology) (Breast-Cancer-Biopsy (2016)). Typical examples of mammographic and H&E histological images of breast tissue, as the two commonly used imaging modalities, are shown in Figure 1 and are the focus of this paper.

Among the women who undergo mammographic screening, about 10% are recalled for additional evaluation. Among these, 8 to 10% will have suspicious abnormal findings which warrant undergoing breast biopsy (Neal et al. (2010)). In the United States, approximately 15-30% referred for biopsy are found to have malignant abnormalities and in European trials, this ranges from 30% to 75% (Kopans (1992)). Although effective, this process is a trade-off between sensitivity (84%) and specificity (91%) which leads to a number of unnecessary biopsies (Elmore et al. (2009)). The impact of unnecessary biopsy and the downstream diagnostic burden includes increased anxiety, morbidity and stress for the women concerned and increased health care costs. Nevertheless, biopsy is currently considered the only way to confirm the presence of cancer (Elmore et al. (2009)). Therefore, there is a clear need to develop a specific discrimination

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<sup>1</sup>World Health Organisation

<sup>2</sup>Hematoxylin and Eosin

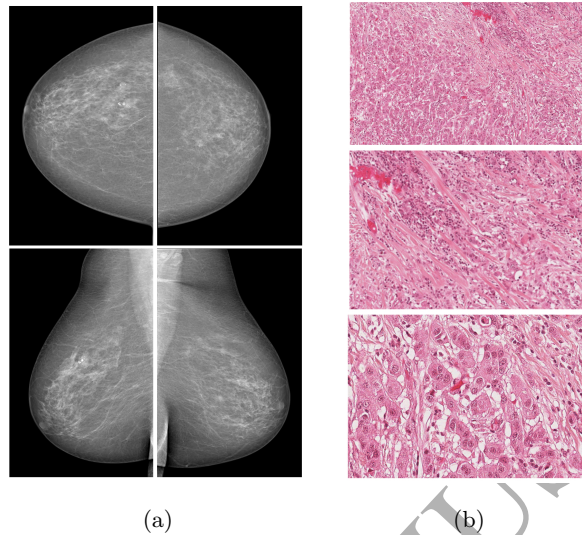


Figure 1: Two breast imaging modalities: (a) mammography images from the INBreast dataset (Moreira et al. (2012)), Craniocaudal (CC) and Mediolateral Oblique (MLO) views (left - right sides) shown in the first and the second row respectively; (b) breast histology images from the MITOS-ATYPIA-14 (2016) dataset, showing from top to bottom: 10 HPF, 20 HPF and 40 HPF (HPF stands for High Power Field which indicates magnified areas).

model or criteria, like the “Stavros Criteria” in ultrasound, which determines whether ultrasound could help accurately distinguish benign solid breast nodules from indeterminate or malignant nodules and whether this distinction could  
 30 be specific enough to reduce the need for biopsy (Stavros et al. (1995)). In mammography, an equivalent model or criteria could indicate benign abnormalities and reduce the need for further biopsies.

### 1.2. Conventional CAD systems

In order to assist radiologists’ interpretation, Computer Aided Diagnosis  
 35 (CAD) systems and quantitative image analysis (QIA) techniques have been developed as an alternative to double reading, improving clinicians’ accuracy and patient outcome. These systems are aimed at improved identification of subtle suspicious masses, calcifications, micro-calcifications and other abnormalities in mammograms (Oliver et al. (2010); He et al. (2015)). Meanwhile,

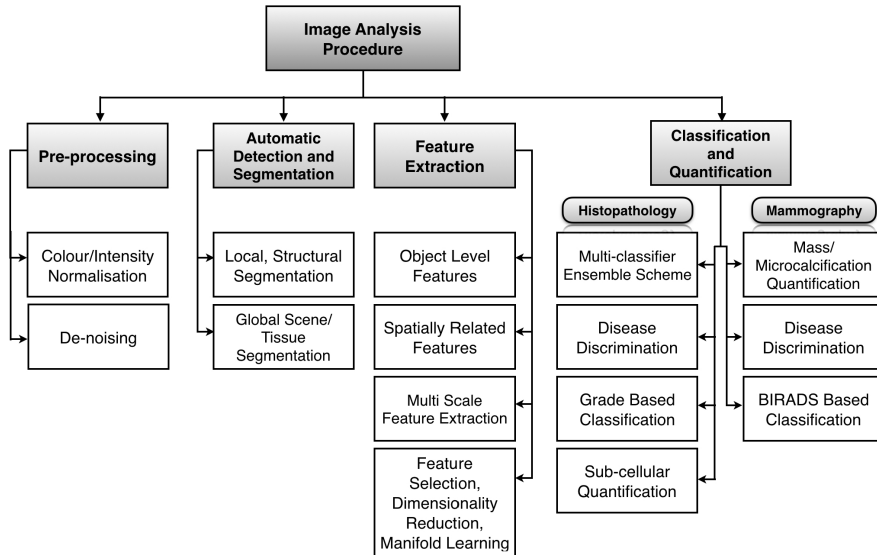


Figure 2: Image analysis procedures for mammography and histopathology image data.

40 histological CAD systems, provide another perspective on developing breast cancer models such as the identification of tumour regions, mitotic activity, nuclear atypia score, the epithelium-stroma and the tubule formation score along with identifying subtypes of breast cancer like IDC<sup>3</sup> or ILC<sup>4</sup> (Veta et al. (2014); Gurcan et al. (2009)). An overview of machine learning based  
 45 image analysis aspects used in histopathology and mammography CAD systems is provided in Figure 2. Using conventional machine learning methods, various hand-designed descriptors (i.e. morphological, topological and textural features) based on prior knowledge and expert guidance have been developed for these CAD systems. Previous publications have described and compared  
 50 such approaches for automatic detection and segmentation of abnormalities in mammographic images (Oliver et al. (2010, 2006); Giger et al. (2013); Boyer et al. (2009)). When dealing with breast histology; inherent complexities are

<sup>3</sup>Invasive Ductal Carcinoma

<sup>4</sup>Invasive Lobular Carcinoma

modelled via different algorithms to achieve specific tasks (Kowal et al. (2013); Irshad et al. (2014); Dundar et al. (2011); Kothari et al. (2013); Veillard et al. (2013)). These models and approaches have been evaluated on different breast  
55 databases including digital/digitised mammography and histology images.

The most significant weakness of conventional machine learning methods is the hand-engineered feature extraction step, which employs a combination of heuristic and mathematical descriptors. Subsequently, the extracted features are  
60 introduced into different classifiers to be categorised into the desired classes as expressed in Figure 2. This feature extraction step makes the learning algorithm more cumbersome since it mostly depends on the features extracted from the data and requires effort and sufficient interpreting knowledge due to the various geometrical and morphological structures. Reproducing results is not always  
65 easily achieved and the generic discrimination ability of the features used needs investigation.

### *1.3. Towards, deep learning based CAD systems*

The benefits of conventional mammographic and histologic CAD systems in clinical practice have not been fully determined. There has been significant  
70 discussion on whether CAD is an effective tool at the current level of performance (Fenton et al. (2011); Giger (2014)). Still, more creative and predictive models need to be designed to improve the performance metrics, including accuracy, sensitivity, specificity, precision and recall rate to improve upon the current state-of-the art. A crucial step towards a new generation of machine  
75 learning approaches is enabling computers to learn the features as data representatives. These are expressed as low-level features such as margin and edge; middle-level features such as edge junctions and high level object parts (Zeiler et al. (2011)). Deep learning approaches - termed one of the significant break-through technologies of recent years by the MIT Technology Review (MIT-  
80 Technology-Review (2017))- has made headlines in producing semantic information due to its nature of adaptive learning from input data. Various deep learning structures have been developed for both supervised approaches (algo-

rithms that infer a function from input data with labelled responses) and unsupervised approaches (algorithms that draw inferences from input data without labelled responses). SAE <sup>5</sup> (Ng (2011)), DBN <sup>6</sup> and RBM <sup>7</sup> (Salakhutdinov & Hinton (2009)) are among popular architectures developed for unsupervised approaches. CNN <sup>8</sup> (LeCun et al. (2010, 1998)) and RNN <sup>9</sup> (Medsker & Jain (1999)) have become the technique of choice for supervised approaches. In recent years, a noticeable shift from conventional machine learning methods to deep learning based methods is seen in a wide variety of real world, especially medical, applications and several review papers have been published (Schmidhuber (2015); LeCun et al. (2015); Litjens et al. (2017)). Several open crowd-sourced algorithmic analysis competitions have been announced to motivate the development of better techniques for cancer prognosis, detection, risk stratification, disease outcome prediction and survival. Recently held breast cancer mammography related competitions have been the Digital-Mammography-DREAM-Challenge (2017)) and UK-Breast-Cancer (2016). Some recent breast histopathology competitions include: ICPR2012 (2017)<sup>10</sup>, AMIDA13 (2017)<sup>11</sup>, MITOS-ATYPIA-14 (2016), CAMELYON16 (2016); CAMELYON17 (2017)<sup>12</sup> and TUPAC16 (2016)<sup>13</sup>. These competitions have influenced the evaluation of different methods to become more transparent and easier to compare. In most of these challenges, deep learning based approaches have shown the most promising performance.

In AI <sup>14</sup> technology, deep learning methods have multiple levels of representation learning which use raw data and discover the essential representations

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<sup>5</sup>Sparse AutoEncoders

<sup>6</sup>Deep Belief Networks

<sup>7</sup>Restricted Boltzmann Machines

<sup>8</sup>Convolutional Neural Networks

<sup>9</sup>Recurrent Neural Networks

<sup>10</sup>International Conference on Pattern Recognition

<sup>11</sup>Assessment of Mitosis Detection Algorithms

<sup>12</sup>Cancer Metastasis Detection in Lymph Node

<sup>13</sup>Tumor Proliferation Assessment Challenge

<sup>14</sup>Artificial Intelligence



for detection or classification (LeCun et al. (2015)). These inherent representations and patterns are obtained through a hierarchical framework which is able to put features extracted from a low level (starting with raw data) and high level abstracts together using a non-linear approach. Such networks are able to improve themselves according to the input content variation and optimise the relationship between inputs and outputs via an iterative training process (Bengio (2009)). At the same time as the deep learning concepts were developed, a step-change in processing power through high performance GPUs<sup>15</sup> and open source frameworks/libraries developed on CUDA<sup>16</sup> (CUDA (2017)) or OpenCL<sup>17</sup> (OpenCL (2017)) platforms have made significant progress for the implementation of deep learning based methods. These open source frameworks and libraries provide the chance for optimised implementation of convolutions and other related functions. In addition, they facilitate the ability to perform a high number of computations at a relatively low costs through their massive parallel architectures.

#### 1.4. Structure of the paper

This paper presents an overview of different deep learning based approaches used for mammography and breast histology and proposes a bridge between these two fields employing deep learning concepts. We have focused on mammography, since this is the most common modality used in breast screening, and H&E stained histology, since it is considered as the gold standard for final decision making.

The main aims of this paper are:

1. In Section 2, deep learning based models are introduced and their fundamental structures summarised.
2. Recent deep learning based approaches for mammographic and histopathologic image analysis are reviewed (covered in Sections 3 and 4, respec-

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<sup>15</sup>Graphics Processing Units

<sup>16</sup>Compute Unified Device Architecture

<sup>17</sup>Open Computing Language

tively). Details of the models (e.g. datasets, architecture, etc.) are provided in separate tables.

- 135 3. Exploring the link between mammography and histology phenotypes from a biological point of view is reviewed in Section 5.
4. The future of deep learning in constructing a model linking mammographic and histologic features and phenotypes called “Mammography-Histology-Phenotype-Linking-Model” ( $ML_{M \leftrightarrow H}$ ) is covered in Section 6.
- 140 5. Potential challenges to be considered in the development of  $ML_{M \leftrightarrow H}$  are also discussed in Section 6.

#### 1.4.1. Paper selection process

When selecting the papers, popular review papers (Veta et al. (2014); Gurcan et al. (2009); Oliver et al. (2010); Rangayyan et al. (2007); Doi (2007); 145 He et al. (2015); Litjens et al. (2017)) were considered. Other papers citing them and publishing work on mammography or breast histology were also reviewed. Papers published by participants in breast cancer challenges were selected too. Google Scholar was searched using keywords: “*breast cancer, mammography, histopathology, CAD systems, deep learning, Convolutional Neural* 150 *Network (CNN), linking map, phenotype*” and those related to breast cancer and deep learning were included in this review.

## 2. Deep Neural Networks

### 2.1. General architecture of deep neural networks

Various deep architectures have been derived from traditional feed-forward 155 ANN<sup>18</sup>. An ANN consists of a cascade of trainable multi-stage layers inspired by the organisation of the animal visual cortex (LeCun et al. (2010)). There are sets of arrays called feature maps as the input and output of each layer. Each feature map in a specific layer represents particular features extracted at the locations of the associated input.

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<sup>18</sup>Artificial Neural Network

160 Commonly used layers in deep learning based networks are:

*Input layer.* This loads input to feed the convolutional layers. Some transformations such as mean-subtraction, feature-scaling and effective data augmentation can be incorporated (Hamidinekoo et al. (2017)).

*Convolutional layer.* This tends to includes three stages of operational units (Le-  
165 Cun et al. (2010)):

- Convolutional filters: these compute the convolution result of the input feature map with trainable 2D discrete convolution filters and bias parameters. Each filter bank detects a particular feature at each location on the input map (LeCun et al. (2010); Schmidhuber (2015)).
- 170 • Pooling: this performs down-sampling for the spatial dimension of the input. This results in a reduced-resolution output feature map which is robust to small variations in the location of features in the previous layer. Additionally, it merges semantically similar features into one. There are a number of variations for pooling (i.e. maximum, average) (Krizhevsky  
175 & Hinton (2009)).
- Activation or non-linearity function: this is a non-linear element-wise operator that simulates excitability of neurons. Among various activation functions in deep learning, the Rectified Linear Unit (ReLU) has been shown to be efficient for image processing applications (Glorot et al.  
180 (2011); Dahl et al. (2013)).

*Normalisation layer.* This can be implemented at each spatial location across all feature maps of the same layer in order to acquire an improved description of the input. This way, non-uniformity of the scene illumination can be reduced which leads to better convergence by decorrelating the input dimensions (Dahl  
185 et al. (2013)).

*Dropout regularisation layer.* This can reduce over-fitting of the network and result in learning more robust features. The key idea is to randomly drop units along with the respective connections from the neural network during the training process to avoid too much co-adaptation of the units (Srivastava et al. 190 (2014)).

*Inner-product layers or fully connected layers.* These treat their input as a simple vector and produce an output in the form of a single vector. In classification tasks, the last layers are sometimes fully-connected layers that are followed by logarithmic loss to be minimised. The exact merit of fully connected layers is 195 still an open research question, but its effect in improving the performance has been reported (Krizhevsky et al. (2012)).

Constructing the architecture using these elements, a signal is propagated through active neurons from layer to layer. This signal is a linear combination of the input, learned weights and biases treated under a non-linearity function as:

$$signal = F_{nonlinear}(weights^T * input + bias) \quad (1)$$

Accordingly, in the forward direction the loss function (specifically defined for a task) is calculated. Optimisation of the calculated error is obtained using a form of stochastic gradient descent (LeCun et al. (1998)). Hence, coefficients of all filters in distinct layers are calculated and updated simultaneously 200 during the learning process with the back-propagation method (LeCun et al. (2012)). Training is an iterative process involving multiple passes of the input data through the network until the model converges (LeCun et al. (2015); Schmidhuber (2015)).

205 Two of the most important types are Convolutional Neural Networks and AutoEncoders, which are described in Sections 2.2 and 2.3.

## 2.2. Convolutional Neural Networks (CNNs)

CNNs are the most successful type of deep learning model, especially for supervised learning applied to image based classification work. Litjens et al.

210 (2017) have published a comprehensive review on different image processing applications accomplished by CNNs. Like regular ANNs, CNNs are made up of several layers stacked on top of each other. However, unlike a regular Neural Network, the layers of a CNN have width, height and depth so that they are controllable by their depth and breath variations which enables them to share weights (Simonyan & Zisserman (2014)). A CNN can be trained by feeding it a  
 215 suitable input. It is then able to compute parameters layer by layer and produce a final output. The objective of training is to minimise the difference between the predicted output and the actual output of the network. This error then flows backwards through the net by a back-propagation procedure and updates the parameter values. A typical CNN architecture is shown in Figure 3.

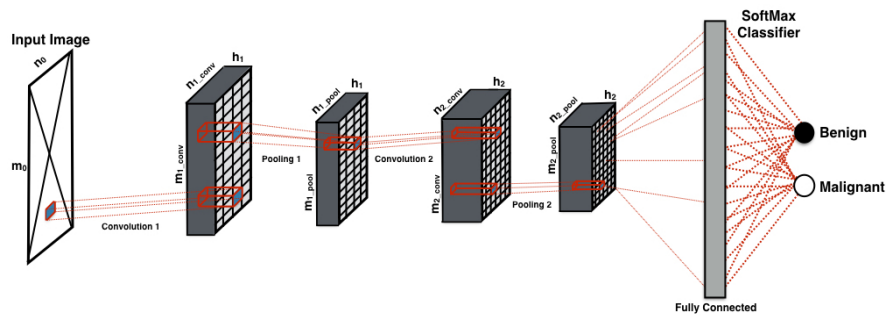


Figure 3: A typical Convolutional Neural Network architecture.

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### 2.3. AutoEncoder

An AutoEncoder (AE) is a form of ANN, developed for unsupervised learning models (Bengio (2009)). An AE is able to learn generative representations from image data, typically with the purpose of reconstructing the input on the output layer by reducing the dimensionality space through the hidden layers. AEs have been widely used for segmentation and detection tasks in breast image analysis while CNNs are mostly used for the task of predicting a target value (i.e. classification). Architecturally, AEs are feed-forward, non-recurrent neural networks that consist of two parts: the encoder and the decoder. A schematic

architecture of an AE is shown in Figure 4. The objective of training is to minimise the reconstruction error which in the simplest form can be expressed as:

$$Loss(I, O) = \|I - O\|^2 = \|I - F_{De}(W_{De}^T * (F_{En}(W_{En}^T * I + B_{En})) + B_{De})\|^2 \quad (2)$$

I: Input image

O: Output image

$F_{En}$ : Encoder element wise activation function

225  $F_{De}$ : Decoder element wise activation function

$W_{En}$ : Weight in Encoder

$W_{De}$ : Weight in Decoder

$B_{En}$ : Bias in Encoder

$B_{De}$ : Bias in Decoder

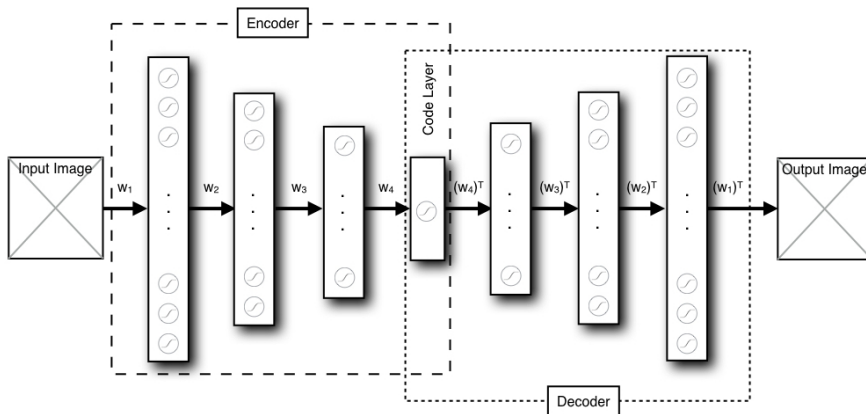


Figure 4: Schematic architecture of an AutoEncoder (AE).

#### 230 2.4. Developed models

CNNs and AEs have several general advantages compared to conventional feed-forward neural networks such as: no dependency on designing hand-crafted features; reduced pre-processing analysis on input data; calculation of fewer connections and parameters; ability to pool similar features at the same location

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and nearby locations due to the use of shared weights; and translation invariance (Donahue et al. (2014)). Moreover, the saturation issue and vanishing or exploding gradient of a layer, which are serious concerns for neural networks (Schmidhuber (2015)), can be addressed with careful choice of activation functions, careful weight initialisation and small learning rates during optimisation. Detailed description of these technical aspects are covered by Nair & Hinton (2010). For more detailed information about the mathematical concept of deep learning based architectures, the reader is suggested to consult LeCun et al. (2010).

The success or failure of a model depends on the aforementioned modifiable compartments of the learning system. Sections 3 and 4 will focus on the CNNs and AEs applied in mammography and histology image processing applications and how the proposed models have improved the state-of-the-art results for CAD systems in these two fields. There are several “standard” deep learning networks throughout this paper which are used in the developed models described in the later sections:

*CifarNet* (Krizhevsky & Hinton (2009)) has three convolution layers, three pooling layers, and one fully-connected layer. This CNN architecture has about 0.15 million free parameters.

*AlexNet* (Krizhevsky et al. (2012)) has five convolution layers, three pooling layers, and two fully-connected layers with approximately 61 million free parameters. It has halved the error rate in object recognition competitions and facilitated the rapid adoption of deep learning.

*GoogLeNet* proposed by Szegedy et al. (2015), is significantly more complex in structure and depth and introduced an “Inception” module that consisted of six convolution layers and one pooling layer which is responsible for concatenation of filters with different sizes and dimensions into a single new filter. Overall, GoogLeNet has two convolution layers, two pooling layers, and nine Inception layers leading to nearly 5 million free parameters.

*VGGNet* (Simonyan & Zisserman (2014)) showed the effect of the network depth on performance. It described 2 best versions: containing 16 and 19

convolution/fully-connected layers performing on  $3 \times 3$  filter sizes with approximately 138 million free parameters in VGGNet 16.

### 2.5. Common challenges and proposed strategies in deep learning

270 In recent years, deep learning based methods have been considered the preferred approach for many medical imaging applications. However, in order to integrate them into application pipelines, some considerations should be taken into account. Comparison of various algorithmic methods is difficult since each research team has reported their results using their own dataset and evaluation  
275 metrics (Gurcan et al. (2009)). To address the issue of such variation, some data has been made publicly available. For example, in the Whole Slide Imaging Repository website<sup>19</sup>, histopathology images and information for different organs is accessible. Of critical concern for supervised learning is the amount of annotated data available. To address this, some image data has been made  
280 publicly available in terms of competitions but still labelling them specifically is time-consuming, tedious and sometimes costly. The annotations should be done in a structured format to be usable by the larger community. A list of recent large datasets in mammography and breast histopathology are provided in Tables 1 and 2.

285 There are currently three major approaches for successfully employing supervised deep networks, which also address the issue of data availability, (i.e. for image classification via CNNs): i) training a network from scratch, ii) using off-the-shelf pre-trained network features and iii) using unsupervised networks and pre-training with supervised fine-tuning (Shin et al. (2016); Goodfellow  
290 et al. (2016)). Based on the reported results (Tajbakhsh et al. (2016)), CNNs are difficult to train from scratch for most medical images due to the small data sample sizes, variance in abnormality appearances and lack of rare or special cases. Transfer learning and fine-tuning in medical image analysis are two effective methods in which a network (i.e. a CNN model) is pre-trained on a

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<sup>19</sup><https://digitalpathologyassociation.org/whole-slide-imaging-repository>



295 natural image dataset or a different medical domain and then fine-tuned on the  
desired medical images. Thanks to some open source frameworks, like Caffe (Jia  
et al. (2014)), these pre-trained networks can simply be downloaded and directly  
applied to any medical image analysis.

Another solution to collecting a larger number of annotated image data is  
300 crowdsourcing (Albarqouni et al. (2016)). This technique allows for combining  
radiologists' or histopathologists' knowledge with non-experts to enable learning  
inputs from crowds as part of the network learning process. While the unlabelled  
data can never replace labelled data, using unlabelled data is also a supplement  
to the annotated data. Artificial data augmentation is another solution widely  
305 used for increasing the number of training cases (Hamidineko et al. (2017)).  
These issues are further addressed in the models covered in Sections 3 and 4.

### 3. Deep Learning in Mammographic Image Processing

#### 3.1. Problem statement

Mammograms reflect density variations in breast tissue composition due to  
310 different X-ray attenuation in breast tissue. Epithelium and stroma attenuate  
x-rays more than fat and thus appear radiopaque on mammograms while fat  
appears radiolucent (Tabár & Dean (2005)). Several studies have confirmed the  
relationship between breast cancer risk and mammographic parenchymal (tex-  
ture) patterns assessed by percent mammographic density (Gastouniotti et al.  
315 (2016)) (besides age, gender, gene mutations and family history factors). Breast  
cancer can appear in mammograms as: masses, architectural distortion and  
microcalcifications; and separate or combinational CAD systems have been de-  
veloped for these types of abnormalities. The size, distribution, form, shape  
and density of these abnormalities are considered as clues in diagnosing their  
320 potentially cancerous nature (Tabár & Dean (2005)). Example abnormalities  
accompanied by their annotations by expert radiologists are shown in Figure 5.

Table 1: Popular publicly available databases in the field of mammography.

database	number of cases	number of images	image format	resolution (bits /pixel)	image mode	view	abnormality	image categories	BIRADS	annotation	origin of database	year
MIAS (Suckling et al. (2015))	161	322	.PCIM	8	digitised film images	MLO	all kinds mostly masses	benign, malignant, normal	no	centre and radius of a circle around RoI	UK	2015
DDSM (Heath et al. (2001))	2,620	10,480	.LJPEG	12, 16	digitised film images	MLO, CC	all kinds	benign, cancer, normal, benign without callback(bwc)	yes	contour points of the RoI	USA	1999
BancoWeb LAPIMO (Mathews & Schiabel (2011))	320	1,400	.TIFF	12	digitised film images	MLO, CC	all kinds	benign, malignant, normal	yes	RoI available for a few images	Brazil	2010
INBreast (Moreira et al. (2012))	115	410	.DICOM	14	digital images	MLO, CC	masses, calcifications, distortions, asymmetries	benign, malignant, normal	yes	contour points of the RoI	Portugal	2011
BCDR-F0X (Lopez et al. (2012))	1,010	3,703	.TIFF	8	digitised film images	MLO, CC	all kinds	benign, malignant, normal	yes	lesions outlines, anomalies observed by radiologists, pre-computed image-based descriptors	Portugal	2012
BCDR-D0X, BCDR-N01 (Lopez et al. (2012))	724	3,612	.TIFF	14	digital images	MLO, CC	all kinds	benign, malignant, normal	yes	lesions outlines, anomalies observed by radiologists, pre-computed image-based descriptors	Portugal	2012
TCGA (BREAST-DIAGNOSIS, TCGA-BRCA) (Clark et al. (2013))	69	88	.DICOM	-	digital images	MLO, CC	all kinds	-	-	-	USA	2001-2009

Table 2: Popular challenges with provided databases in the field of breast histology.

database	number of cases	image format	magnification	slide scanner	resolution (bits/ pixel)	image mode	abnormality	provided assessment	annotation	origin of data	year
ICPR2012 (2017)	5	.bmp	x40	Aperio ScanScope XT	2084 × 2084	24bit RGB	mitotic nuclei	mitotic locations	centroids of around 300 mitosis and mask in .jpg format	France	2012
				Hamamatsu 2.OHT	2252 × 2250	24bit RGB					
				Multispectral microscope	2767 × 2767	gray level					
AMIDA13 (2017)	23	.TIFF, .JPEG	x40	Aperio ScanScope XT	2000 × 2000	8bit RGB	mitotic nuclei	mitotic locations	centroids of 1187 mitosis and mask in .TIFF format	The Netherlands	2013
				Aperio ScanScope XT	1539 × 1376	RGB	mitosis and nuclear atypia	list of mitosis; list of similar objects to mitosis; nuclear atypia score; mitosis and non-mitosis location; agreement between pathologists	centroids of mitosis and mask in .jpg format; confidence degree in .csv file	France	2014
CAMELYON16 (2016)	400	multi-resolution pyramid structure	x40, x10, x1	Pannoramic 250 Flash II	pixel size: 0.243 $\mu$ m × 0.243 $\mu$ m	RGB	metastasis	cancerous regions	contours of cancer locations in .xml files and WSI masks	The Netherlands	2016
				Hamamatsu XR C12000	pixel size: 0.226 $\mu$ m × 0.226 $\mu$ m	RGB	highest resolution: 50k × 50k	proliferation score; ROC annotation	ROC coordinates with the scores in .csv files	The Netherlands	2016
				Aperio XT	highest resolution: 50k × 50k	RGB	micro and macro metastasis; PN stage label; ROC annotation	contours of cancer locations in .xml files and WSI masks	The Netherlands	2016	
CAMELYON17 (2017)	200	.TIFF	-	-	-	-	metastasis	contours of cancer locations in .xml files and WSI masks	The Netherlands	2016	

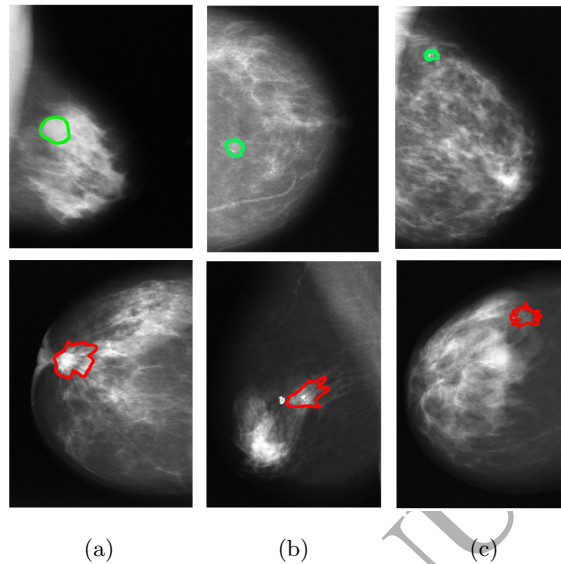


Figure 5: (a): mammograms with the annotated mass abnormalities selected from BCDR-F03 database (masses or lumps are the most common symptom of breast cancer); (b): mammograms with the annotated calcification selected from BCDR-F02 database (small deposits of calcium in the breast tissue, called breast calcifications, are common and often associated with benign cases); (c): mammograms with the annotated microcalcifications selected from BCDR-F02 database (shown as much smaller white dots on a mammogram, called clusters of micro-calcifications and are not quite as common and can be a cause of concern). The green boundary represents benign biopsy proven lesions and the red boundary represents malignant biopsy proven lesions.

Radiologists also use a set of intuitive tissue patterns to characterise the appearance of each mammogram manually and estimate breast cancer risk using specific metrics. The reader is referred to (Wolfe (1976); Tabár & Dean (2005);  
 325 Boyd et al. (2010); D’Orsi (2013); Muhimmah et al. (2006)) for more detailed information about various breast density classification systems. Among these systems, BIRADS<sup>20</sup> has become popular to standardise the mammography report which covers abnormalities and density and more details on the latter are described in Table 3. Each case is assessed to be: *normal* (Assessment

<sup>20</sup>Breast Imaging Reporting and Data System

Table 3: Main breast density categories.

Density percent	BIRADS density class	Tissue appearance
[0%-25%]	I	Predominantly fatty
[25%-50%]	II	Scattered fibro-glandular densities
[50%-75%]	III	Heterogeneously dense
[more than 75%]	IV	Dense

330 Category (A.C.) = 1), *benign or probably benign* (A.C. = 2 or 3), *suspicious abnormality* (A.C. = 4) or *malignant* (A.C. = 5). The building of systems which can effectively provide automatic detection, segmentation and classification of such lesions based on deep learning methods has become one of the challenging areas in mammographic CAD systems.

### 335 3.2. Mass Analysis

The first implementation of deep learning networks in mammographic mass detection/classification was done by Sahiner et al. (1996). The CNN's input images were obtained from manually extracted RoIs <sup>21</sup> by radiologists. With these RoIs, the training data was prepared using two techniques: (i) employing 340 averaging and sub-sampling, (ii) employing texture feature extraction applied to small subregions inside the RoI. They studied the effects of CNN architecture and texture feature parameters on classification of different mammograms and indicated that the input images are more critical than the CNN architecture. However, this was before the use of GPUs and improvement of ANNs and so 345 implementing such CNN was described as computationally intensive and tedious with poor adaptability and limited results. However, later on, inspired by the layer aspect of deep networks along with parallelisable algorithms and properties of GPUs, exploring CNN applications in mammography became more realistic. Petersen et al. (2012) presented a generic multi-scale DAE <sup>22</sup> using a sparsifying

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<sup>21</sup>Regions of Interest

<sup>22</sup>Denosing AutoEncoder

350 activation function for breast density segmentation. They evaluated their results  
 by comparing it to manual BIRADS and Cumulus-like density scoring (Byng  
 et al. (1994)). They showed that multiple scales are effective for learning rich  
 feature representations in the segmentation task. Following Petersen's work,  
 Kallenberg et al. (2016) proposed a CSAE<sup>23</sup> network with sparsity regulari-  
 355 sation (both lifetime and population). This architecture expanded the idea of  
 Ranzato et al. (2006) to pixel-wise labelling of large scale images which was able  
 to preserve the spatial layout of the image while avoiding feature overcomplete-  
 ness. They implied that sparse overcomplete representations are cost-efficient  
 and robust to noise. In a different approach, Jamieson et al. (2012) explored the  
 360 use of ADNs<sup>24</sup> proposed by Zeiler et al. (2011). ADNs are unsupervised and hi-  
 erarchical models that use convolution sparse coding and max pooling for image  
 decomposition. They combined the SPM<sup>25</sup> kernel (Lazebnik et al. (2006)) on  
 the inferred feature maps and a linear SVM<sup>26</sup> classifier. They visualised image  
 relationships according to the learned feature information utilising the Elastic  
 365 Embedding dimension reduction technique. Various depth CNN networks were  
 also tested by Arevalo et al. (2015, 2016). They compared their best obtained  
 results with two baseline descriptors: HOG<sup>27</sup> and HGD<sup>28</sup> and an approach us-  
 ing 17 hand-crafted features. Finally, they reported performance improvement  
 with the combination of both learned and hand-crafted representations. Fon-  
 370 seca et al. (2015) evaluated the performance of the developed HT-L3 CNN, an  
 architecture search procedure technique (Pinto et al. (2009)), on mammograms.  
 The network search space with the proposed options had 729 candidates and it  
 took about 72 hours to screen them in order to find the top 3 performing archi-  
 tectures. By obtaining the best architecture, they performed automatic feature  
 375 extraction and trained an SVM classifier. Dhungel et al. (2015) presented a

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<sup>23</sup>Convolutional Sparse AutoEncoder

<sup>24</sup>Adaptive Deconvolutional Network

<sup>25</sup>Spatial Pyramid Matching

<sup>26</sup>Support Vector Machine

<sup>27</sup>Histogram of Oriented Gradients

<sup>28</sup>Histogram of Gradient Divergence

multi-scale 4-DBN that was combined with a GMM <sup>29</sup> classifier for mass candidate generation. These candidates were fed to a CNN to extract textural and morphological features for the linear SVM classifier (this combination is known as R-CNN). A cascade of two RF <sup>30</sup> classifiers was then applied to the feature set for the inference processes. Performing post processing, regions based on a high overlap ratio were merged as the overall results. Subsequently, Carneiro et al. (2015) fine tuned a CNN pre-trained with ImageNet (Krizhevsky et al. (2012)) using unregistered mammograms and segmented microcalcification and masses. They estimated the patient's risk of developing breast cancer based on BIRADS classification. They concluded that the pre-trained multi-view model is superior to the randomly initialised model in terms of classification since over-fitting of the training data is likely to be caused by a random initialised model. In the recent paper, inspired by their previous work, Dhungel et al. (2016) concluded that the CNN model with pre-training and RF on features from the CNN with pre-training are better than the RF on hand-crafted features and CNN without pre-training.

As a solution to acquiring sufficient data to train a CNN, Sun et al. (2016) hypothesised combining a small amount of labelled data with abundant resources of unlabelled data. The scheme consisted of three modules: i) data weighing (using exponential, Gaussian and Laplacian functions), ii) feature selection (using PCA <sup>31</sup>, LDA <sup>32</sup> and MDS <sup>33</sup>) and iii) using their proposed co-training graph based data labelling. With computed weights, the unlabelled data was gradually labelled with a graph based semi-supervised learning method. They implied that their scheme was less sensitive to initial labelled data compared to schemes using the labelled data only, since the additional information for the training was provided by the unlabelled data. Similarly, Kooi et al. (2016) and

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<sup>29</sup>Gaussian Mixture Model

<sup>30</sup>Random Forest

<sup>31</sup>Principal Component Analysis

<sup>32</sup>Linear Discriminant Analysis

<sup>33</sup>Multidimensional Scaling

Huynh et al. (2016) took advantage of transfer learning to extract tumour information from medical images via CNNs that were originally pre-trained with non-medical data. Their two-stage classification procedure included detecting candidates for further scrutiny by applying RF and generating likelihood images. These images were then used as seed points for both the reference system and the CNN. They showed that the addition of location, context information and several manually designed features to the network improved the performance. In a similar way, Jiao et al. (2016) proposed a scheme in which a CNN was trained on LSVRC<sup>34</sup> (Deng et al. (2009)) images and fine-tuned on a subset of breast mass images. Then, features of masses were extracted from different hierarchical levels of this model, with the help of which two linear SVM classifiers were trained for the decision procedure. Eventually, in the decision mechanism, the outcomes from different classifiers were fused to complete the classification. Unlike other studies, Samala et al. (2016a) pre-trained CNN on mammography samples to identify specific patterns and transferred this to detect masses in tomosynthesis (an advanced 3D version of mammography). They reported statistically significant performance improvement of deep learning based CADs compared to the feature-based ones.

Classification can be used directly for detection and segmentation. Dubrovina et al. (2016) performed tissue classification with application to the segmentation of pectoral muscle, fibroglandular tissue, nipple and the general breast tissue, which includes fatty tissue and skin. They changed classical fully connected layers in a regular CNN into convolutional layers. In conclusion, they reported significantly faster computation, while preserving the classification accuracy. Fotin et al. (2016) detected soft tissue densities from digital breast tomosynthesis. They compared conventional and deep learning approaches, reporting better CNN performance. Similarly, Kooi et al. (2017) compared a mammography CAD system relying on manually designed features and CNN designed features. They concluded that: i) the CNN based CAD systems out-

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<sup>34</sup>Large Scale Visual Recognition Competition



performed the traditional CAD system; ii) there was no significant difference between the model and the radiologists (AUC: 0.85 vs. 0.91); iii) adding manually designed features to the CNN could give very small improvements. In other work, Lévy & Jain (2016) classified pre-segmented masses using different  
435 networks from shallow to deep CNNs along with a transfer learning method. They investigated the effect of data augmentation and data context in their work, concluding that double the bounding box of the abnormality is effective in binary classification of masses.

### 3.3. Microcalcification Analysis

440 Alongside the CAD models covered already, additional research with regard to microcalcifications, as another major abnormality in mammograms, has been produced. CAD systems are better at detecting and classifying microcalcification than other mammographic abnormalities (Cheng et al. (2003)) as the density of calcium makes detection possible using thresholding. This is not use-  
445 ful for most masses and asymmetries where the density is similar to glandular breast tissue.

The first application of CNN to the detection of microcalcification clusters was performed by Chan et al. (1995). Clusters of micro-calcifications were detected in three main steps: finding SNR-enhanced image by applying en-  
450 hancement and suppression filters, histogram determination, obtaining signal characteristics and excluding potential signals by thresholding. Subsequently, they trained and investigated the effectiveness of a CNN in detecting and discriminating false signals from true microcalcifications. However, the number of cases they used was limited but they were able to significantly reduce the  
455 number of false positive detections. Recently, Wang et al. (2016b), employed a stacked denoising AE to retrospectively analyse microcalcifications with or without masses on mammograms. Microcalcification and mass data were extracted by image segmentation using 41 statistical and textural measurements following the classification. In their work, features were fed into the comparative  
460 classifiers rather than the raw images. Its performance and accuracy in clas-

sifying and discriminating breast lesions were compared with SVM, K-nearest neighbour and linear decomposition analysis methods. They reported that the learning power can be enhanced by a combinatorial approach and deep learning based methods are superior to standard methods for the discrimination of microcalcifications. Samala et al. (2016b) used a grid search method to select an optimal CNN architecture for differentiating microcalcification candidates detected during the pre-screening stage. Various filters, filter kernel sizes and gradient computation parameters in the convolution layers were tested to gain the parameter space of 216 combinations. They reported significant improvement on their designed CNN architectures for detection of microcalcifications. Classification of clustered breast microcalcifications into benign and malignant categories was performed by Bekker et al. (2016) which was based on two mammography view-level decisions, allocating separate neural networks for each view. These two view-level soft decisions were then non-linearly combined into a global decision by a single-neuron layer.

#### 3.4. Summary

In summary, introducing deep learning strategies into mammographic analysis has expanded ideas to modify the training process for a wide range of mammographic applications. Detailed information about the implementation of deep learning based methods, covered in this section, is provided in Table 4. Most of these models have tested different network depths and input sizes to address various issues and the majority of models reported improvements over existing state-of-the-art results. An overview of general issues related to deep learning methods in biomedical image analysis is provided by Greenspan et al. (2016); Litjens et al. (2017). For specific case of mammographic analysis, good results are directly related to the correctness of the training data, but the annotations provided by the radiologists are prone to subjectivity. Annotation agreement/disagreement has not yet been included in the currently available datasets which would be helpful for managing errors. In addition, the developed methods are not able to identify the most suitable training exemplars that

contain rich information for a specific task. The developed methods are sensitive to the size of the abnormalities. Nevertheless, to account for morphological variations, abnormalities are first resized to a predefined size to become suitable for the network. Based on the literature review, a combination of deep  
495 learning based features and hand-crafted features perform best, but more intelligent combinations are required to be able to respond to the breadth of various mammographic applications.

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Table 4: Detailed information for deep learning based methods used in mammography;  
 \*conv: convolution, \*fc: fully connected; \*FFDM: full field digital mammography; \*DBT: digital breast tomosynthesis.

Reference	Task	Data	Number of data	Input size	DL Architecture	Train time	Evaluation
Sahiner et al. (1996)	mass and normal tissue classification	168 mammograms from Department of Radiology, University of Michigan, USA	train: 84 mammograms; test: 84 mammograms	16x16 and 32x32	CNN: 2 conv + 1 fc	-	AUC: 0.87
Petersen et al. (2012)	breast density scoring	85 mammograms from a placebo-controlled trial	train: 60,000 patches	28x28	denoising AE; 2 hidden layers with 1,000 neurons each	-	AUC: 0.68
Jamieson et al. (2012)	mass classification	739 image BoDs from University of Chicago Medical Center, USA	-	140x140	4-layer ADN + code book + dictionary histogram image + linear-SVM classifier	-	AUC: 0.71
Fonseca et al. (2015)	density classification	digital images (CC view) from 1,157 subjects at medical centres in Lima, Peru	-	200x200	CNN: 3 conv + SYM classifier	-	AUC: 0.73
Dhungel et al. (2015)	mass classification	410 images from Inbreast 316 images from DDSM-BCRP	trainings: 60%; validation: 20%; test: 20% of images	40x40	candidate selection: 4-DBN + GMM classifier; feature learning; cascade of two R-CNNs and two RF classifiers	-	0.96 TPR at 1.2 FPI 0.75 TPR at 4.8FPI
Arevalo et al. (2016)	mass classification	736 film images from BCDR-F03	train: 368; validation: 73; test: 295	150x150	2 conv + 1 fc + softmax classifier	about 1.4h (Tesla K40 GPGPU card)	AUC: 0.86
Carneiro et al. (2015)	mass classification	410 images from Inbreast 680 images from DDSM	-	264x264	4 conv + 2 fc + softmax classifier	on GPU GeForce GT650M; no extra training samples: 1 hour; 20 additional training samples: 7.5 hours	AUC: 0.91 AUC: 0.97
Kallenberg et al. (2016)	density scoring texture scoring	493 mammograms from Dutch breast cancer screening program 668 mammograms from MMHS cohort	train: 48k patches; test: 1,576 cancer/healthy controls	24x24	3 conv + softmax classifier	-	AUC: 0.59 AUC: 0.57

Continuation of Table 4

Reference	Task	Data	Number of data	Input size	DL Architecture	Train time	Evaluation
Kooi et al. (2017)	detection of mammographic masses and lesions.	nearly 45,000 images from a large scale screening program in The Netherlands	train: 44,090 images; test: 18,182 images	250x250	5 conv + 2 fc + classifier	-	ACC: 0.85
Sun et al. (2016)	mass classification	1,874 pairs in-house full-field digital mammography (FFDM) image database totally 3,158 RoIs	train: 2,400 RoIs; test: 758 RoIs	52x52	3 conv + SVM classifier	-	AUC: 0.88
Kooi et al. (2016)	classification of masses and architectural distortions	397 images from large-scale screening program in The Netherlands	train: 334,752 patches	250x250	5 conv + 2 fc + classifier	-	AUC: 0.87
Huyh et al. (2016)	mass classification	large scale screening program in The Netherlands	train: 1,311,272 patches; test: 18,182 patches	250x250	5 conv + 2 fc + classifier	-	AUC: 0.94
Lévy & Jain (2016)	mass classification	1,820 images of 997 patients from DDSM	train: 80%; validation: 10%; test 10% of cases	224x224	Baseline AlexNet GoLeNet	-	ACC: 0.604 ACC: 0.89 ACC: 0.929
Jiao et al. (2016)	mass classification	600 images from DDSM dataset	train: 2,689 mass patches; test: 183 mass patches as true positive	227x227	5 conv + 2 fc + SVM classifier	-	ACC: 0.967
Samala et al. (2016a)	mass detection	2,282 digitised film and digital mammograms and 324 DBT volumes from University of Michigan and University of South Florida	train: 2,689 mass patches; test: 183 mass patches as true positive	128x128	4 conv + 3 fc	8 days on NVIDIA Tesla K20 GPU	AUC: 0.80
Wang et al. (2016b)	breast lesions classification	-	train: 1,000 images; test: 204 images	-	two layer stacked denoising auto-encoder	-	AUC: 0.87 (on microcalcification features) AUC: 0.61 (on mass features)
Chan et al. (1995)	microcalcifications classification	52 mammograms from University of Michigan	train: nearly 1,700 patches; test: nearly 220 patches	16x16 20x20	2 hidden layers	-	AUC: 0.90 (on combinational features) AUC: 0.9

Continuation of Table 4

Reference	Task	Data	Number of data	Input size	DL Architecture	Train time	Evaluation
Samala et al. (2016b)	microcalcifications classification	64 digital breast tomosynthesis from University of Michigan	train: 4,808 patches; test: 2,220 patches	16x16	2 conv + 2 locally-connected layers + 1 fc	-	AUC: 0.93
Dubrovina et al. (2016)	breast tissue segmentation	40 digital mammograms of mediolateral oblique (MLO) view A leave-one-subject-out cross validation procedure	-	61x61	3 conv + 3 fc	-	Dice coefficient (DC): 0.71
Fotin et al. (2016)	density detection from digital breast tomosynthesis	train: 1864 suspicious mammograms and 339 lesions from DBT	-	256x256	AlexNet	-	ACC: 0.86

#### 4. Deep Learning in Breast Histology Image Processing

##### 4.1. Problem statement

500 In breast histological imaging, when the biopsied sample is prepared (Veta et al. (2014)), different tissue components are visualised by being stained. The standard staining protocol for breast tissue is H&E which selectively stains nucleic structures blue and cytoplasm pink. After cover-slipping of glass slides, the samples can be digitised with a WSI <sup>35</sup> scanners at a specific magnification.

505 Because of its large size, it is common practice to identify areas of interest in a patch-wise manner to be analysed in CAD systems to decrease computational cost. Figure 6 shows a mammary gland histology slide selected from the University of British Columbia histology repository<sup>36</sup>. This is shown by RoIs at x10, x20 and x40 magnification.

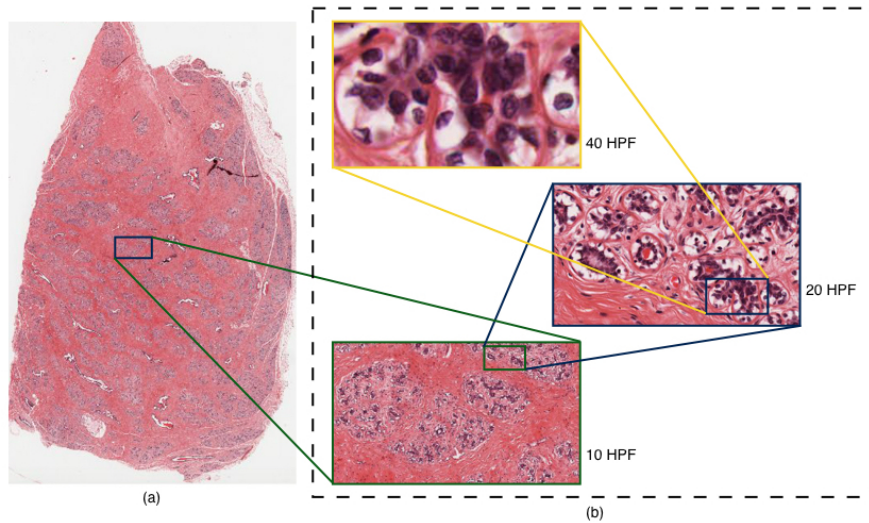


Figure 6: (a): Mammary gland slide scanned with the pixel resolution of  $49,440 \times 77,227$ ; (b): Extracted boxes represent different HPFs from the WSI (x10, x20 and x40 magnification).

<sup>35</sup>Whole Slide Imaging

<sup>36</sup>publicly provided in <http://histo.anat.ubc.ca>

510 For analysis of breast histopathological images, the Nottingham Grading System (NGS) (Bloom & Richardson (1957)) is recommended by the World Health Organisation. This system is used to predict patient prognosis and provides treatment recommendations. It is derived from the assessment of three morphological features: tubule formation, nuclear pleomorphism and mitotic  
 515 count (Elston & Ellis (1991)). A numerical scoring system (1-3) is used for the combination of the three grades of tumour differentiation. These features, with the respective annotations <sup>37</sup>, are shown in Figure 7. General quantitative

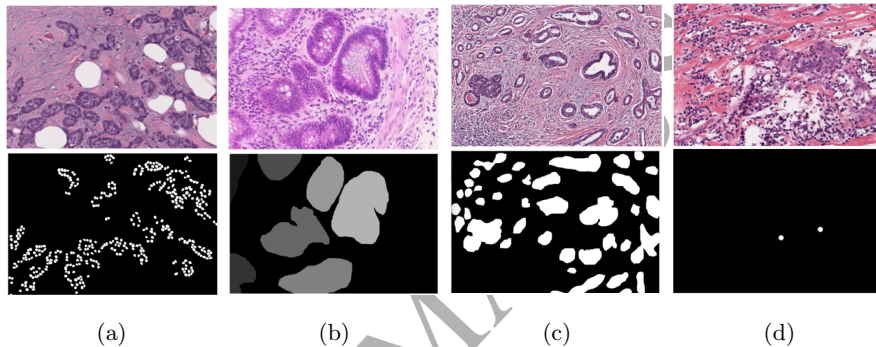


Figure 7: Top row: different patches extracted from different WSI scans; bottom row: annotations for a specific purpose. It should be noted that inter/intra observer variation in manual annotations can be high. (a) Nuclei detection/segmentation in order to perform pleomorphism grading; (b) Tubule detection/segmentation to assess the degree of structural differentiation in the tissue; (c): Epithelial and Stromal region detection/segmentation that have different significance for prognosis; (d) Mitotic figure detection for grading tumour proliferation i.e. number of mitoses and mitotic activity in tumours correlates with grade and poorer diagnosis.

analysis of breast tissue components on WSI scans includes: nuclei, tubules, epithelium and stroma and mitotic detection. The introduction of deep learning  
 520 concepts in image processing has provided big datasets along with annotations for specific tasks and some of them are publicly available. Some of these are listed in Table 2. In this review, methods proposed by various deep learning based algorithms for analysing histological components to grade breast cancer

<sup>37</sup>publicly provided at <http://www.andrewjanowczyk.com>



on histology data are covered.

#### 525 4.2. Nuclei analysis

Breast epithelial nuclei usually look different in shape, size, texture and mitotic count according to nuclei life cycle and malignancy level of the disease. Nucleic pleomorphism has important diagnostic value for predicting the existence of disease and its severity. Inspired by Cireşan et al. (2013), Xu et al. (2014) developed an unsupervised two-layer SSAE<sup>38</sup> framework for nuclei classification. An SAE was trained to capture primary feature activations on raw input patches. Then, these primary features were fed to another SAE to learn secondary features for each of the primary features. Subsequently, being analysed by a classifier, the secondary features were mapped to the respective labels. They compared “SSAE + softmax”, “PCA + softmax” and “a single layer SAE + softmax” frameworks for the task of patch-wise classification. Their results showed the “SSAE + softmax” out-performed the other methods on their own dataset. They extended this framework to automatically detect multiple nuclei by computing locally maximal confidence scores across the entire image (Xu et al. (2016b)). They further compared their model with several nuclei detection methods and concluded that this framework can provide accurate seed points for developing cell-by-cell graph features. Characterising cellular topology features on tumour histology was reported to be a promising advantage of this framework. Janowczyk & Madabhushi (2016) performed a comprehensive study of deep learning approaches for 5 different breast tissue tasks in histology image processing. They provided additional online material and implementations<sup>39</sup> and tried to decrease computational cost caused by interrogating all the image pixels (Janowczyk et al. (2016)). To this end, a resolution adaptive deep hierarchical learning scheme was suggested in which higher levels of magnification were used when needed. As a result, they were able to reduce the

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<sup>38</sup>Stacked Sparse AutoEncoder

<sup>39</sup><http://www.andrewjanowczyk.com>

computation time by about 85% on ER+<sup>40</sup> breast cancer images. Xing et al. (2016) performed nucleus segmentation while preserving the shape by generating probability maps using CNN models and applying selection-based sparse shape and local repulsive deformable models. They showed that this approach is applicable to different H&E stained histopathology images, evaluating on three  
555 histopathology image datasets from different tissues (including breast tissue) and stain preparations. Veta et al. (2016b) computed statistics of individual nuclei and surrounding regions by training a deep-CNN<sup>41</sup> model on tumour region images with known nuclei locations. They were able to do so directly from  
560 the image data without the need for nuclei segmentation. Xie et al. (2015) proposed a modified CNN model for cell detection by using a structured regression layer instead of a classifier. This way, they aimed to encode topological information which was ignored in the conventional CNN because of the coherency in labelled regions. Handling inhomogeneous background noise and size and shape  
565 variations were the significant strength of their method.

#### 4.3. Tubules analysis

Identifying tubule nuclei from WSIs in order to calculate the ratio of tubule nuclei to the overall number of nuclei (tubule formation indicator) was studied by Romo-Bucheli et al. (2016). They used a customised CNN to quantify tubule  
570 score in ER+ breast cancer WSIs. Patches of nuclei candidates, that were extracted by the customised CNN, were manually labelled as containing a tubule or not. Subsequently, a deep learning based network was trained to detect and classify tubule nuclei. They concluded that the tubule formation indicator correlated with the likelihood of cancer recurrence.

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<sup>40</sup>Estrogen-Receptor-Positive

<sup>41</sup>DCNN

575 *4.4. Epithelial and Stromal region analysis*

For this task, Xu et al. (2016a) presented a patch based DCNN<sup>42</sup> approach for distinguishing epithelial and stromal components in H&E stained tissue images. The images were over-segmented into small regions using two different superpixel algorithms (the Ncut<sup>43</sup> algorithm and the SLIC<sup>44</sup> algorithm). Evaluating the comparative strategies, the combination of DCNN with the Ncut-based algorithm and a SVM classifier led to the best results. Bejnordi et al. (2017) trained two deep CNNs inspired by VGGNet. The only modification was that they replaced the two fully connected layers with convolutions to allow arbitrary input sizes to be fed to the network. In their work, the first CNN model was trained to classify the WSI into epithelium, stroma, and fat. The second CNN model was trained on the resulting stromal areas to classify the stromal regions as normal or cancerous.

*4.5. Mitotic activity analysis*

To quantify the locality and proliferative activity of breast tumours, mitotic count is estimated as the number of mitoses in an area of  $2mm^2$  (usually using microscope magnification of  $\times 40$ ) and reported as the MAI<sup>45</sup> (Van Diest et al. (2004)). This gives an evaluation of the aggressiveness of the tumour. Mitosis detection is challenging due to the small size with a large variety of shape configurations of mitoses. In H&E stained breast cancer sections, mitoses are hyperchromatic objects lacking a clear nuclear membrane with their own specific shape properties. Inspired by the outstanding results for using patch-driven CNN in image classification and segmentation (Cireşan et al. (2012a,b)), Cireşan et al. (2013) used the deep max-pooling CNN architecture operated directly on raw RGB pixels. They tried to reduce the deep neural network's variance and bias by averaging the outputs of multiple classifiers with different architectures

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<sup>42</sup>Deep CNN

<sup>43</sup>Normalised Cut

<sup>44</sup>Simple Linear Iterative Clustering

<sup>45</sup>Mitotic Activity Index

along with using rotational invariance. Their method won the ICPR12 competition with the highest F-score and precision. With the same approach plus employing a Multi-column CNN, the same team won the AMIDA13 competition in which three CNNs were trained on nearly 20 million samples (Veta et al. (2015)). The output probabilities of the CNNs were averaged and used as the final result. Wang et al. (2014a,b) fused a lightweight CNN with hand-crafted features (morphological, statistical and textural sets) for each candidate region defined by thresholding. Extracting these features independently, a cascade of two random forest classifiers was combined and trained. They showed that the integrated approach resulted in superior detection accuracy compared to individual deep learning or hand-crafted feature based approaches. In the same way, Malon & Cosatto (2013) combined manually segmentation-based nuclear features (colour, texture, and shape) with the features extracted by a LeNet-5 architecture (LeCun et al. (2010)). Reported advantages were: handling the appearance varieties in mitotic figures, decreasing sensitivity to the manually crafted features and thresholds. Chen et al. (2016a) suggested a deep cascade neural network with two phases. In the first phase, a 3-layer CNN was utilised to retrieve probable mitosis candidates and in the second phase, three CaffeNet-based CNNs (Jia et al. (2014)) were used to detect mitotic cells in all positive samples determined by the first CNN. In other work, Chen et al. (2016b) implemented a deep regression network along with transferred knowledge for this task and showed the efficiency of their proposed approach in dealing with automatic mitosis detection.

To overcome the bottleneck of access to a large number of annotated training samples for mitosis detection with deep CNNs which is more critical compared to the other tasks, Albarqouni et al. (2016) presented a new concept for learning from crowds and generating ground-truth labelling from non-expert crowd sourced annotations. In their proposed data aggregation framework, they trained a multi-scale CNN model using gold-standard annotations. Then, in the second step, using the incoming unlabelled image, aggregation schemes were integrated into CNN layers via an additional crowdsourcing layer (AggNet).

AggNet could produce a response map, refine the CNN model by filtering out weak responses and simultaneously generate a ground-truth by majority crowd sourced votes. They analysed the behaviour of CNN with and without aggregation and confirmed that aggregation and deep learning from crowd annotations was robust to noisy labels (multiple different labels for the same sample). They claimed that not only could deep CNNs be trained with data collected from crowdsourcing, but also it positively influenced the CNN performance. Such results could be valuable in giving insight into the functionality of deep CNN learning from crowd sourced annotations. Veta et al. (2016a) presented an analysis of the object-level inter-observer agreement on mitosis counting. They compared the performance of their deep learning based mitosis detection which was trained on the AMIDA13 database with the performance of expert observers on an external dataset. They described disagreement among pathologists which in some cases was significant. They concluded that automatic mitosis detection performed in an unbiased way and provided substantial agreement with human experts.

#### *4.6. Other tasks in breast digital histopathology image processing*

Detection of invasive ductal carcinoma <sup>46</sup> in WSI for the estimation of tumour grading and the prediction of patient outcome was done by Cruz-Roa et al. (2014). Using a three-layer CNN, they evaluated their network over a WSI dataset from 162 patients diagnosed with IDC. Comparing their results with the outcome from hand-crafted image features (colour, texture and edges, nuclear textural and architecture) with a random forest classifier, they reported their best quantitative results for automatic detection of IDC regions in WSI.

Wang et al. (2016a) investigated the applicability of various CNNs (AlexNet, GoogLeNet, VGG16 and FaceNet) in breast cancer metastases detection in resected sentinel lymph nodes (first lymph node to which cancer cells are most likely to spread to). They won the Camelyon16 competition for WSIs clas-

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<sup>46</sup>IDC

sification and tumour localisation. In their results, the two deeper networks (GoogLeNet and VGG16) achieved the best patch-based classification performance with x40 magnification. Their results also demonstrated that the combination of deep learning methods with pathologist's interpretation could reduce the error rate by 85% which is a significant improvement in diagnostic accuracy. Similarly, Litjens et al. (2016) identified slides that did not contain micro/macro-metastases. Accordingly, a CNN was trained to obtain per-pixel cancer likelihood maps and segmentations in whole-slide images rather than a patch-by-patch classification. Janowczyk et al. (2017) attempted to evaluate Stain Normalisation via Sparse AutoEncoders under different circumstances: i) in different concentrations of H&E in the same tissue section; ii) with the same slides being scanned multiple times on different platforms. In addition, they compared their proposed approach with other colour normalisation methods and reported outperforming the alternative approaches. Their approach standardised colour distributions of a test image to a single template image and increased robustness to different sources of variance like specimen thickness, stain concentration and scanner.

#### 4.7. Summary

Deep learning algorithms try to emulate the way histopathologists examine whole tissue slides. Several studies have compared the performance of deep learning methods to the performance and interobserver agreement of expert pathologists (Giusti et al. (2014)). Histopathologists analyse the image at low magnifications and then perform more sophisticated analysis on some specific areas requiring more detailed information under higher magnification. Selecting appropriate magnifications in deep learning methods remains a challenge. The identification of the best training set containing richly informative exemplars is another concern. However, the lack of readily available annotated data for digital histopathology analysis is not as critical as for mammography since one WSI typically contains trillions of pixels from which hundreds of targeted examples can be extracted. Moreover, some competition challenges (see Subsection 1.3)

690 have provided access to publicly available data which are systematically anno-  
tated. From the literature, it can be concluded that, deep learning approaches  
have proven capability in discriminating between the targeted classes by com-  
bining both feature discovery and implementation. The strategy of combining  
both deep learning based and hand-crafted features has enabled the possibility  
695 of achieving state-of-the-art performance when using AI for the interpretation  
of x-ray and histology images of breast cancer. Although these deep learning  
based approaches have demonstrated promising results, there is still progress  
to be made to reach clinically acceptable results. Detailed information about  
the implementation of deep learning based methods, covered in this section, is  
700 provided in Table 5.

Table 5: Detailed information for deep learning based methods used in breast histopathology;  
 \*conv: convolution, \*fc: fully connected; \*FFDM: full field digital mammography; \*HPF: high power field.

Reference	Task	Data	Number of data	Input size	DL Architecture	Train time	Evaluation
Xu et al. (2014)	nuclei classification	17 patient cases containing 37 H&E images at Case Western Reserve University	train: 14421 nuclei and 28032 non-nuclei patches; test: 2000 nuclei and 2000 non-nuclei patches	34x34	SSAE with 2 hidden layers (500 and 100 neurons respectively) + classifier	-	F-score: 0.82
Xu et al. (2016b)	nuclei detection	537 H&E images corresponding to 49 lymph node-negative and estrogen receptor-positive breast cancer (LN-, ER+BC) patients at Case Western Reserve University	train: 37 images; test: 500 images	34x34	SSAE with 2 hidden layers (400 and 225 neurons respectively) + classifier	2.15 hours	F-score: 0.8449
King et al. (2016)	nucleus segmentation	anonymous	train: 35 images; test: 35 images	45x45	CNN: 2 conv + 3 fc + classifier	-	F-score: 0.78
Xie et al. (2015)	cell detection	32 images from The Cancer Genome Atlas (TCGA) dataset	train: 16 images; test: 16 images	49x49	CNN: 2 conv + 3 fc	-	F-score: 0.913
Janowczyk et al. (2016)	nuclear segmentation	anonymous	141 ER+ breast cancer images	82x82	AlexNet	-	F-score: 0.84
Veta et al. (2016b)	computing nuclear area statistics	39 slides from patients with invasive breast cancer from University Medical Center Utrecht, The Netherlands	train: 14 cases; validation: 7 cases; test: 18 cases	96x96	CNN: 8 conv + 2 fc + classifier	-	coefficient of determination: 0.77
Xu et al. (2016a)	Epithelial-Stromal segmentation	106 H&E images from Netherlands Cancer Institute (NKI) 51 H&E images from Vancouver General Hospital (VGH)	train: 69 images; test: 37 images train: 36 images; test: 15 images	50x50	2 conv + 2 fc + Softmax classifier	-	F-score: 0.8521 F-score: 0.891
Romo-Bucheli et al. (2016)	tubule detection and classification	174 ER+ breast cancer images	train: 163 patient WSI; test: 11 patient WSI	64x64	CNN: 3 conv + 3 fc + classifier	-	F-score: 0.59



Continuation of Table 5

Reference	Task	Data	Number of data	Input size	DL Architecture	Training time	Evaluation
Bejnordi et al. (2017)	classification of tissue into: epithelium, stroma, and fat. stromal regions classification breast cancer classification	646 H&E sections (444 cases) in the Breast Radiology Evaluation and Study of Tissues (BREAST) Stamp Project	training: 270 WSIs; validation: 80 WSIs; test: 296 WSIs	224x224	CNN1: VGG-net with 11 layers	-	ACC: 0.95
					CNN2: VGG-net with 16 layers		ACC: 0.921
					CNN1 + CNN2 + random forest classifier		ROC: 0.92
Ciregan et al. (2013)	mitosis detection	ICPR12 mitosis dataset	train: 35 HPPFs; test: 15 HPPFs	101x101	DNN1: 5 conv + 2 fc + softmax classifier; DNN2: 4 conv + 2 fc + softmax classifier	-	F-score: 0.782
Malon & Cosatto (2013)	mitosis detection	ICPR12 dataset	train: 35 HPPFs; test: 15 HPPFs	72x72	CNN (2 conv + 2 fc + SVM classifier) + hand-crafted features	-	F-score (on colour scanners) = 0.659
							F-score (on multispectral scanner) = 0.589
Wang et al. (2014a)	mitosis detection	ICPR12 dataset	train: 35 HPPFs; test: 15 HPPFs	80x80	cascade of CNN (2 conv + 1 fc + RF classifier)	about 18 hours with GPU	F-score: 0.7345
		AMIDA13 dataset	train: 12 HPPFs; test: 11 HPPFs		and hand-crafted features	-	F-score: 0.319
Albarquni et al. (2016)	mitosis detection	AMIDA13 dataset	train: 311 HPPFs; validate: 60 HPPFs; test: 295 HPPFs	33x33	3 conv + 1 fc	-	AUC: 0.8695
Chen et al. (2016b)	mitosis detection	ICPR12 mitosis dataset	train: 35 HPPFs; test: 15 HPPFs	480x480	CNN: 5 conv + 3 fc + classifier	-	F-score: 0.79
Wang et al. (2016a)	breast cancer metastasis detection and localisation	Camelyon16	train: 270 WSI; test: 130 WSI	256x256	GoogLeNet	-	ACC: 0.984
					AlexNet		ACC: 0.921
					VGG16		ACC: 0.979
					FaceNet		ACC: 0.968

Continuation of Table 5

Reference	Task	Data	Number of data	Input size	DL Architecture	Training time	Evaluation
Litjens et al. (2016)	breast cancer metastasis detection in sentinel lymph nodes	digitised H&E-stained slides from 271 patients at 3D Histech, Budapest, Hungary	train: 98 slides; validation: 33 slides; test: 42 slides	128x128	4 conv + 2 fc	per epoch: 200minutes using GeForce GTX970	AUC: 0.88
Cruz-Roa et al. (2014)	invasive ductal carcinoma (IDC) detection	169 cases from the Hospital of the University of Pennsylvania and The Cancer Institute of New Jersey	train: 82,883 patches; validation: 31,352 patches; test: 50,963 patches	100x100	2 conv + 2 fc + logsoftmax classifier	-	F-score: 0.718
Janowczyk et al. (2017)	stain normalization	anonymous	train: 200 images; test: 25 images	32x32	AE: 2 layer, first layer with 1,000 hidden neurons, second with 10 hidden neurons	5 hours using a Nvidia M2090 GPU with 512 cores at 1.3 GHz	error: 0.047
Janowczyk & Madabhushi (2016)	nuclei segmentation	anonymous	train:100; test:28	32x32	AlexNet	on Tesla M2090 GPU + CUDA-5, without cudNN;	F-score: 0.83
	epithelium segmentation		train:34; test: 8				F-score: 0.84
	tubule segmentation		train:21; test:5				F-score: 0.83
	mitosis detection		-				F-score: 0.53
	invasive ductal carcinoma detection	-	-			4 hours	F-score: 0.76

## 5. Biological Mammography Histology Association

From a biological point of view, it has been long recognised that in the breast the underlying differences in cellular architecture and nuclear morphological alterations lead to tissue changes and the formation of masses, microcalcifications or other abnormalities (Boyd et al. (1992)). Tumour morphology in histology images can reflect some of all possible molecular pathways occurring in tumour cells. In other words, these biological pathways and cellular alterations contribute to the structural and functional attributes in radiographic images (Madabhushi & Lee (2016)), which is represented by both mammography and histology.

There are a number of publications which have provided evidence for the association between radiological and histological risk factors (Ghosh et al. (2012); Pang et al. (2015); Holland & Hendriks (1994); Britt et al. (2014); Lamb et al. (2000); Beck et al. (2011); Sun et al. (2014); Dos Santos et al. (2016)). Britt et al. (2014) defined the association between histopathological characteristics and mammographic density based on the changes in epithelial cells, stromal cells, the extracellular matrix, immune infiltrating and the roles of each cell type in breast cancer initiation and progression. In a case study, Holland & Hendriks (1994) investigated the link between mammographic and histologic appearances in different types of DCIS<sup>47</sup>. They found that linear, branching, granular and coarse microcalcifications corresponding to the amorphous type calcifications in histology were associated with high grade DCIS. While multiple clusters of fine granular microcalcifications corresponding to the clusters of laminated, crystalline calcifications in histology were associated with well-differentiated DCIS. Lamb et al. (2000) reported that larger tumour sizes on mammography resulted in higher grades in histology. However, spiculated margins on a mammogram, associated with acoustic shadowing on ultrasound, were documented as low-grade tumours while most high-grade tumours had a poorly

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<sup>47</sup>Ductal Carcinoma In-Situ

defined margin. Malignant-type microcalcifications were mostly seen in mam-  
730 mograms associated with high-grade tumours. Ghosh et al. (2012) also reported  
that dense areas of the breast in mammograms are different from non-dense ar-  
eas from a histological point of view so that investigation of both epithelial and  
stromal components were important in understanding the association between  
mammographic density and breast cancer risk. Identification of histologic image  
735 features that can be predictive of breast cancer survival were studied by Beck  
et al. (2011). Sun et al. (2014) investigated the relationship between breast tis-  
sue composition and age, body mass index, and tumour grade. They concluded  
that morphological features of breast tissue could influence breast cancer etiolo-  
gy. Dos Santos et al. (2016) investigated biological aspects of immunohisto-  
740 chemical and histological composition of dense and non-dense breast tissue in 18  
women. Based on their reported findings, the number of TDLU<sup>48</sup> was higher in  
dense tissue. They concluded that both stroma fibrosis and epithelial prolifera-  
tion were responsible for higher mammographic density, so that no proliferative  
lesions with atypia were found in non-dense tissue, while epithelial atypia was  
745 observed in some dense areas. In addition, proliferative lesions without atypia  
and non-proliferative lesions were found in both tissues, but more frequently  
in dense tissue. Extensive or moderate fibrosis in dense tissue was the other  
differentiation with non-dense tissue histological characterisation.

Tot & Tabár (2011) investigated correlation of the radiologic and histopatho-  
750 logic findings. They assessed the clinical relevance of several parameters, that  
are often verified by pathologists and documented in large-format histologi-  
cal sections, such as: size of the cancer, the extent of the disease, the distri-  
bution of lesions and tumour heterogeneity. They concluded that a compre-  
hensive radiological-pathological correlation was the most informative way of  
755 early breast cancer diagnosis so that diagnostic failure was due to insufficient  
radiological-pathological correlation.

Despite biological interpretations, the internal information, generated in

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<sup>48</sup>Terminal Ductal Lobular Units

deep networks used in CAD systems, has the potential to add to our knowledge about the existing association between histological compositions and mammo-  
760 graphic phenotypes. This is discussed in more details in the next section.

## 6. Conclusions and Future Trends

### 6.1. Conclusions

As explained in Sections 3 and 4, information for estimating breast cancer stage and risk can be obtained using different imaging modalities. Methods fo-  
765 cused on in this review include histological appearance of the breast nuclei and epithelium detected in biopsy specimens, radiological appearance of abnormality and parenchymal patterns in densities revealed by mammograms. These imag-  
ing modalities that manifest across multiple different length scales (micro and macro imaging scales) offer a wide range of information and clinicians combine  
770 these heterogeneous sources of data for better disease diagnosis and treatment planning. However, as described in Section 2, many cases with suspicious abnormal findings in mammography who went for further biopsy, eventually were found to have unnecessary biopsies. Motivated by the biological association between mammography and histology (covered in Section 5) and considering the  
775 capabilities of deep learning based models in learning from raw data suggests a methodology to potentially reduce biopsies. It is assumed that the appearance of mammographic abnormalities can be linked to specific histological information and can predict how the micro-biological changes are reflected in macro-images.

### 6.2. Mammography-Histology-Phenotype-Linking-Model

780 Finding radiological-histopathological correlation/association has been investigated from a biological point of view as described in Section 5. Most of these epidemiological studies are based on empirical observations and statistical risk analysis. However, to the best of our knowledge, a computer based model of such correlation/association is not yet developed. In this paper, we have  
785 tried to cover this research question and propose a general framework for fully automatic linking of mammographic and histologic phenotypes.

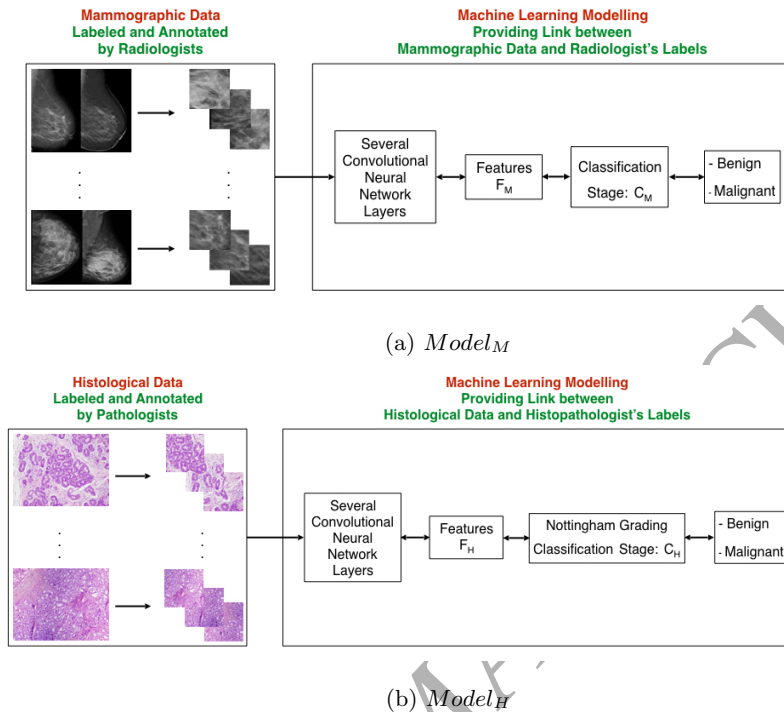


Figure 8: Separate CAD models for (a) mammography ( $Model_M$ ) and (b) breast histology ( $Model_H$ ).

Figure 8, shows the development of automatic CAD systems for the mam-  
 mography and histology data analysis (covered in Sections 3 and 4, respectively),  
 which are expected to use modern machine learning techniques (e.g. deep  
 learning, convolutional neural networks, autoencoders, etc.) to determine a set  
 of mammographic ( $F_M$ ) and histological ( $F_H$ ) phenotypes/features/abstracts,  
 which are discriminative in various image processing tasks such as detection,  
 segmentation and classification. It should be noted that the modelling will be  
 an optimisation process and for the training data the labels are used to estimate  
 the model parameters and generate appropriate features.

Once the mammographic and histological models are estimated, they can be  
 used to generate patient matched mammographic and histological feature/phenotype  
 weighting and their relationship can be estimated by developing a model link-

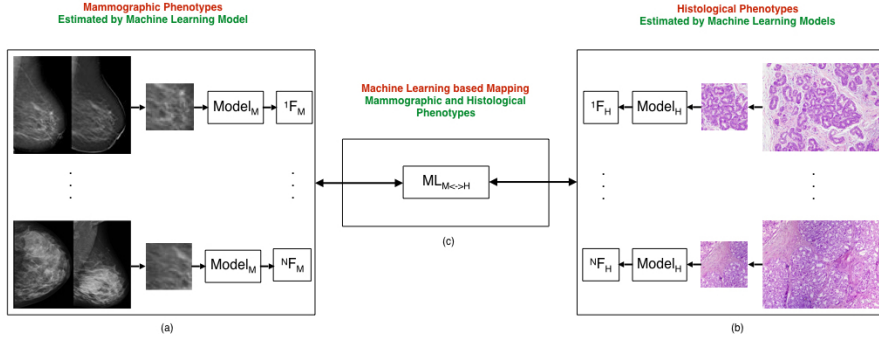


Figure 9: General framework for developing the Mammography-Histology-Phenotype-Linking-Model. (a)  $Model_M$ : mammographic machine learning based model creating mammographic features ( $F_M$ ); (b)  $Model_H$ : histological machine learning based model creating histological features ( $F_H$ ); (c) the  $ML_{M \leftrightarrow H}$  model for providing associations between mammographic and histologic features.

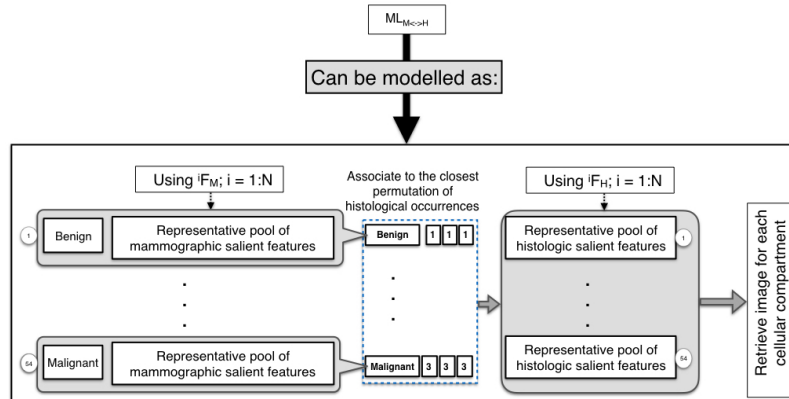


Figure 10: Proposed methodology of developing the Mammography-Histology-Phenotype-Linking-Model using deep learning based approaches.  $Model_M$ : mammographic deep learning based model,  $Model_H$ : histological deep learning based model,  $F_M$ : mammographic high level deep learning based features,  $F_H$ : histological high level deep learning based features,  $ML_{M \leftrightarrow H}$ : relationships between the mammographic and histologic phenotypes. This can be achieved by: (1) creating different clusters based on permutation of 3 histological score occurrences; (2) associating created pools of deep learning based features to the proper cluster based on the available annotations and making discriminative clusters; (3) matching representative pools of mammographic and histologic features; (4) by using high level histologic abstracts and performing deconvolution/decoding of  $Model_H$ , morphological approximations can be estimated.

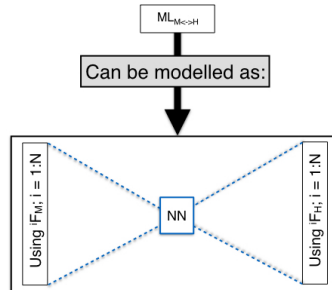


Figure 11: Proposed methodology of developing the Mammography-Histology-Phenotype-Linking-Model using deep learning based approaches.  $Model_M$  : mammographic deep learning based model,  $Model_H$  : histological deep learning based model,  $F_M$  : mammographic high level deep learning based features,  $F_H$  : histological high level deep learning based features,  $ML_{M<->H}$ : relationships between the mammographic and histologic phenotypes. This can be achieved by using matched  $F_M$  and  $F_H$  features as input and output of a Neural Network (e.g. an autoencoder)

ing the two based on machine learning techniques (see Figure 9). The automatic extraction of morphological/appearance features from mammographic and histological images and building a map between these based on a large dataset form essential parts in developing such a model. One possible solution for developing such a “*Mammography-Histology-Phenotype-Linking-Model*” or in short “ $ML_{M<->H}$ ” is shown in Figure 10. In this approach, a mammographic model ( $Model_M$ ) can be trained, which is based on minimising the difference between NHS/BIRADS labels provided by expert radiologists and those predicted/estimated by the model. Subsequently, salient deep and high level features are generated ( $F_M$ ) and a pool of deep learning based features is created for each individual image. Similarly, a histological model ( $Model_H$ ), which is based on minimising the difference between NHSBSP histopathology reports and those predicted/estimated by the model can be trained. Using the Nottingham Grading System (NGS), this model is able to predict scores of 1-3 for three cellular components important in breast histology diagnosis (i.e. nuclei, tubules and mitoses). At the same time, this model is capable of creating a pool of high level and deep learning based features for each component. Permu-



tation of the 3 scores for each histological component with 2 possible outcomes (benign and malignant) will result in 54 possible occurrences ( $3^3 \times 2$ ). Therefore, 54 clusters can be formed, although it should be noted that some might only be sparsely populated. To develop the  $ML_{M \leftrightarrow H}$  model, the starting point is to generate a set of matched mammographic and histologic features/abstracts created by  $Model_M$  and  $Model_H$ , respectively. To achieve this, the created mammographic features are associated with their respective NGS cluster and a pool of representative mammographic features for a specific cluster is formed. Meanwhile, each cluster in the permuted set contains a pool of previously generated histologic features. A mapping between the two feature spaces will be provided considering that mammographic and histologic data are provided for individual cases. Eventually, machine learning techniques are exploited to retrieve different morphological appearances for each cluster, resulting in the final  $ML_{M \leftrightarrow H}$  model.

An alternative approach to develop the  $ML_{M \leftrightarrow H}$  model (see Figure 11) avoids the need for clustering and basically uses the matched  $F_M$  and  $F_H$  features, as respectively input and output to build a simple autoencoder model which maps the two domains through a reduced set of features. The downside of such a model is the lack of clinical reference of the reduced feature set, whilst the advantage is the simplicity of the resulting  $ML_{M \leftrightarrow H}$  model.

The final stage of development is to use unseen mammographic cases to predict the histological classification based on the Nottingham Grading Scheme. An overall predictive model is shown in Figure 12. An unseen mammographic case can be processed in a number of ways, which all require initial processing towards a mammographic phenotype/feature ( $F_M$ ) representation. The mammographic classification stage ( $C_M$ ) leads to mammographic NHS/BIRADS classification. Using appropriate similarity measures in the  $ML_{M \leftrightarrow H}$  model, predicted feature sets are associated to the closest cluster which results in NHSBSP Histopathology Reporting Form classification (or the NN model) and the set of matched abstract features ( $F_H$ ), which with  $Model_H$  leads to the estimation of histological appearance/ phenotypes.

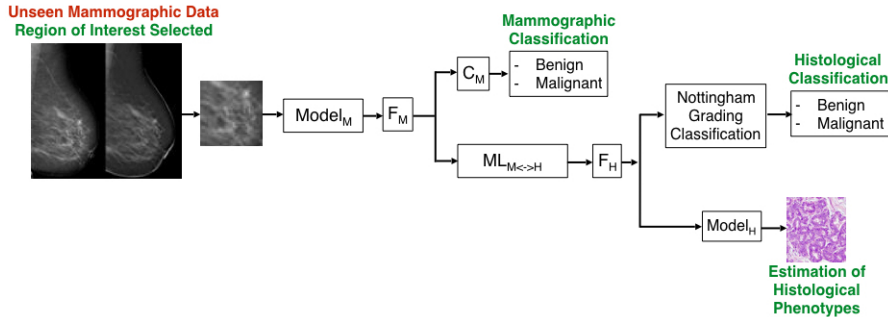


Figure 12: Using the Mammography-Histology-Phenotype-Linking-Model ( $ML_{M \leftrightarrow H}$ ) for unseen cases.  $Model_M$  : Mammographic machine learning based model,  $Model_H$  : histological machine learning based model,  $F_M$  : mammographic phenotypes,  $F_H$  : histological phenotypes,  $C_M$  : mammographic classification,  $ML_{M \leftrightarrow H}$ : relationships between mammographic and histologic phenotypes.

### 6.3. Possible challenges

Despite the promising results obtained by deep learning approaches, there are remaining challenges for the development of the  $ML_{M \leftrightarrow H}$  model, which include:

1. *Data availability*: The first and most basic challenge is the availability of a large number of training samples specifically for this application since the mammograms and histological images should be matched for individual women. The number of samples should be large enough for deep network training purposes. However, existing datasets can be used in the pre-training stage to compensate for the lack of annotated mammography/histopathology data. Appropriate data might be available on existing PACS (Picture Archiving and Communication Systems). As explained in Section 1.1, women are sent for mammography imaging prior to biopsy. Therefore, for the existing histological data, the respective mammograms and the corresponding diagnostic reports exist in digital structured archives, but ethical and research governance agreement and approval will be necessary.
2. *Combinational ground truth*: Appropriate ground truth for the validation

865 part of each individual, mammography and histology image processing,  
task should be defined systematically. For example the annotations re-  
quired for breast tissue segmentation in mammograms (characterisation)  
is different from the annotation required for cancer and non-cancer clas-  
sification of the tissues. The annotation required for the mitotic count  
870 (characterisation) in histopathology is very different from the annotation  
required for cancer and non-cancer classification of the regions (classifi-  
cation). For associating abnormal phenotypes in a mammogram to char-  
acteristics of the tumour in histology, some specific annotations (location  
and type of abnormality along with locations of nuclei, mitosis count and  
875 tubules morphology) are of interest.

3. *Subjectivity of annotations*: If possible, annotations should be provided  
by different radiologists and histopathologists to accommodate subjective  
variations. This inter/intra expert variation then needs to be taken into  
account (Irshad et al. (2014)).

880 4. *Robustness to data acquisition methods*: The issue of robustness to various  
clinical/technical conditions should be addressed so that gradually more  
datasets can be added. These variations include: different scanners used  
for image acquisition; different lighting conditions; various size and views  
in both mammography and histology; different staining appearance char-  
acteristics and magnification factors in histology. The developed method  
885 should be robust with respect to such variabilities and appropriate nor-  
malisation techniques could facilitate this.

890 5. *Interpretability of model layer information*: Unlike hand-crafted features  
that provide transparent information, which are more intuitive and inter-  
pretable to clinicians and researchers, deep learning driven features rely  
on filter responses solicited from a large amount of training data which  
suffer from a lack of direct human interpretability. Therefore, approaches  
to blend domain inspired features with deep learning based features can be  
taken into consideration in order to take advantage of domain knowledge  
895 while enabling the classifier to discover additional features.

6. *Association making algorithms*: New algorithms for combining mammographic and histologic measurements should be designed, which is a more detailed version of the high level descriptions provided in Section 6.2. By finding and visualising a logical association between outcome features introduced by deep networks and the salient diagnostic features incorporated in conventional machine learning based CAD systems, a subset of clinically salient features can be determined. Such association making algorithms, as the novel part of mammographic-histologic linking map introduced in this paper, is an open challenge for future research. One alternative approach to tackle this challenge is by combining image data with text reports as addressed by Shin et al. (2015) while expanding this to the field of radiology and histology in order to mine the semantic interactions between radiology and histology images and the corresponding reports.

7. *Clinical feedback*: More evidence and feedback regarding the results of clinical applications using the developed models will need to be provided by clinicians. Close cooperation between radiologists, pathologists and computer scientists will be necessary for the optimum management of data, analysing the performance of developed methods in a clinical setting with feedback from the radiologists and histopathologists throughout the research process.

#### 6.4. *Clinical relevance*

The described linking map is expected to reduce the need for further biopsy when the mammographic abnormality is deemed benign as it is reported from a biological point of view (Tot & Tabár (2011)). This association map could contribute to clinical decision making, diagnosis and treatment management. This may also improve the capabilities of computer aided prognosis systems to find patients susceptible to specific breast cancer types at an early stage and as such decrease time before diagnosis, expense and stress. This exploratory research work could be further extended to finding the link between mammography phe-

notypes, histological signatures and protein/gene expression and so be useful for predicting recurrence of and survival after breast cancer. Other imaging modalities for breast imaging, such as MRI and Ultrasound could be exploited in the development of a linking map. This could also cover various ethnic populations and links to breast cancer pathways. It could identify sub-cellular patterns of involved proteins and their locations for cancerous and non-cancerous tissues by avoiding the need for invasive biopsy sampling. Identification of the factors responsible for high-risk histological changes can potentially lead to modelling of disease appearance, better prediction of disease aggressiveness and finally patient outcome.

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