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Site-specific management of miscanthus genotypes for combustion and anaerobic digestion: A comparison of energy yields

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6	comparison of energy	yields						
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32								

33 Abstract

34 In Europe, the perennial C₄ grass miscanthus is currently mainly cultivated for energy generation via combustion. In recent years, anaerobic digestion has been identified as a 35 36 promising alternative utilization pathway. Anaerobic digestion produces a higher-value 37 intermediate (biogas), which can be upgraded to biomethane, stored in the existing natural gas 38 infrastructure and further utilized as a transport fuel or in combined heat and power plants. 39 However, the upgrading of the solid biomass into gaseous fuel leads to conversion-related 40 energy losses, the level of which depends on the cultivation parameters genotype, location and 41 harvest date. Thus, site-specific crop management needs to be adapted to the intended 42 utilization pathway. The objectives of this paper are to quantify i) the impact of genotype, 43 location and harvest date on energy yields of anaerobic digestion and combustion and ii) the 44 conversion losses of upgrading solid biomass into biogas. For this purpose, five miscanthus 45 genotypes (OPM 3, 6, 9, 11, 14), three cultivation locations (Adana, Moscow, Stuttgart), and 46 up to six harvest dates (August to March) were assessed.

47 Anaerobic digestion yielded, on average, 35% less energy than combustion. Genotype, location 48 and harvest date all had significant impacts on the energy yield. For both, this is determined by 49 dry matter yield and ash content and additionally by substrate-specific methane yield for 50 anaerobic digestion and moisture content for combustion. Averaged over all locations and 51 genotypes, an early harvest in August led to 25% and a late harvest to 45% conversion losses. 52 However, each utilization option has its own optimal harvest date, determined by biomass yield, 53 biomass quality and cutting tolerance. By applying an autumn green harvest for anaerobic 54 digestion and a delayed harvest for combustion, the conversion-related energy loss was reduced 55 to an average of 18%. This clearly shows that the delayed harvest required to maintain biomass 56 quality for combustion is accompanied by high energy losses through yield reduction over 57 winter. The pre-winter harvest applied in the biogas utilization pathway avoids these yield 58 losses and largely compensates for the conversion-related energy losses of anaerobic digestion.

59 **1. Introduction**

60 Miscanthus is a resource-use efficient, high-yielding perennial C4 grass species native to East Asia, including China, Korea, Taiwan and Japan (Lewandowski and Schmidt, 2006; Clifton-61 62 Brown et al., 2015). The cultivation of miscanthus is characterized by its perennial nature and low nitrogen-fertilization demand, due to its effective nutrient recycling system (Christian et 63 64 al., 2008; Strullu et al., 2011; Cadoux et al., 2012). This leads to a generally benign 65 environmental profile, often associated with soil carbon sequestration (McCalmont et al., 2015). For these reasons, miscanthus biomass utilization generally shows a low global-warming 66 and resource-depletion potential (Felten et al., 2013; Styles et al., 2015; Meyer et al., 2016). 67 68 Despite these positive aspects, the miscanthus cultivation area is still rather small in Europe, 69 mainly due to its high establishment costs and the current lack of valorisation options.

70 The only cultivar presently commercially available is *Miscanthus x giganteus* (Mxg), a natural, 71 sterile hybrid of Miscanthus sacchariflorus and Miscanthus sinensis, which was introduced into 72 Europe in 1935 (Greef et al., 1997; Clifton-Brown et al., 2015). As Mxg is sterile, only clonal 73 propagation is possible. This is costly and does not allow for crop development by conventional 74 breeding. Therefore, miscanthus breeding for European conditions is mainly focussing on the 75 groups Miscanthus sinensis, Miscanthus sacchariflorus and Miscanthus floridulus, which offer broad genetic variability and the possibility of reducing establishment costs through 76 77 economical, seed-based propagation (van der Weijde et al., 2013; Clifton-Brown et al., 2016). 78 In the EU project OPTIMISC (FP7 No. 289159), early stage crossings from the ongoing 79 miscanthus breeding programmes of Aberystwyth (IBERS) and Wageningen University 80 (WUR) were tested at several locations, under different stress conditions and for various 81 utilization options (Lewandowski et al., 2016).

Combustion is one of the most common utilization options for miscanthus biomass, but production of cellulosic ethanol and anaerobic digestion were identified as promising alternatives (van der Weijde *et al.*, 2013; Mayer *et al.*, 2014; Kiesel and Lewandowski, 2015;

85 Wahid et al., 2015; van der Weijde et al., 2016b). For each utilization option, ideal harvest time 86 is of crucial importance to maintain high quality and yield. For combustion, the harvest time is 87 delayed to reduce the contents of moisture, ash and critical elements (Iqbal and Lewandowski, 88 2014). However, there is a trade-off here between yield and quality, as leaf losses occur over 89 winter and lead to a decrease in biomass yield (Iqbal et al., 2017). For biogas, an early green 90 harvest delivers a higher quality, since the substrate-specific methane yield decreases with 91 ongoing lignification (Kiesel and Lewandowski, 2015). Here again there is a trade-off, as a very 92 early green harvest delivers a lower yield, due to insufficient utilization of the vegetation period, 93 and also impairs the crop growth the next season due to insufficient relocation of carbohydrates 94 (Kiesel and Lewandowski, 2015; Purdy et al., 2015). The latter is referred to as 'cutting 95 tolerance', which has been defined for miscanthus as the ability of the crop to recover from an 96 early green harvest without yield reductions in the following year (Kiesel and Lewandowski, 97 2015). As the ideal harvest time is a compromise between yield, quality and cutting tolerance 98 in both utilization options, the development of the energy yield (which includes biomass yield 99 and quality) needs to be quantified throughout the year. In addition, a comparison of energy 100 yield between combustion and anaerobic digestion is required to establish the loss associated 101 with the generation of the higher-value product. In this case, biomethane – which is upgraded 102 solid biomass – is seen as a higher-value product. As a gaseous fuel, it has a broader range of 103 applications, including transport fuel, and its application in combined heat and power 104 generation is easier, including transport, storage and utilization of biomethane in existing 105 natural gas infrastructure.

In addition to harvest time, the genotype also affects biomass quality. For combustion, genotypes with low contents of moisture, ash and critical elements at harvest are optimal, while for anaerobic digestion a low degree of lignification and ease of digestibility is preferred. Iqbal and Lewandowski (2014) found notable genotypic differences in contents of ash and critical elements, which can be partly attributed to genotypic differences in nutrient relocation and

leaching of soluble elements. For biogas and ethanol utilization, van der Weijde *et al.* (2016b)
observed both a higher saccharification potential and substrate-specific methane yield in less
lignified genotypes. Location may also play a crucial role. For example, drought conditions can
increase the saccharification potential of miscanthus biomass (van der Weijde *et al.*, 2016a).

115 The objective of this paper is i) to identify the effect of genotype, environment and harvest time 116 on vield and biomass quality for anaerobic digestion and combustion and ii) to compare the 117 energy yield of both pathways throughout the year. For this purpose, five miscanthus genotypes 118 from the OPTIMISC multi-location field trials were sampled at monthly intervals throughout 119 the end of the vegetation period until final harvest in spring at the locations in Adana (Turkey), 120 Moscow (Russia) and Stuttgart (Germany). Energy yield, biomass yield and a number of quality 121 parameters (including substrate-specific methane yield) were assessed and compared for each 122 sampling date. This allows identification of site-specific optimization potentials for each 123 utilization option. This paper focuses on biomass quality for anaerobic digestion, but also 124 includes some basic quality criteria relevant for the energy yield via combustion, such as 125 moisture and ash content. A detailed combustion quality analysis, including the content of 126 critical elements, and a quantification of the trade-off between yield and biomass quality can 127 be found in Iqbal et al. (2017). Further the net energy yield via anaerobic digestion and 128 combustion, which considers moisture and ash content, was assessed and compared, to allow 129 site-specific identification of the best suited harvest date for each utilization option.

131 **2. Material and Methods**

132 <u>2.1 Field Trial</u>

133 The field trial was established in 2012 as part of the EU-financed project OPTIMISC (FP7 No. 134 289159) to compare 15 miscanthus genotypes at 6 sites across Europe and Russia: at 135 Aberystwyth (UK), Adana (Turkey), Moscow (Russia), Potash (Ukraine), Stuttgart (Germany) 136 and Wageningen (Netherlands). It was set up in a randomized block design with three biological 137 replications at each location. A detailed description of the field trial including genotypes used, 138 soil and climatic conditions can be found in Kalinina et al. (2017) and Lewandowski et al. 139 (2016). For this paper, five genotypes (best yields) and three locations (contrasting climates) 140 were selected, where at least one representative from each miscanthus group (species) was 141 included. The selected genotypes are shown in Table 1 and the chosen locations were Adana, 142 Moscow and Stuttgart.

The genotypes were sampled at intervals of one to two months from the end of vegetation period until the final harvest in spring (Table 2). In Moscow and Stuttgart, the final harvest was performed in March. In Adana, it took place in January, because the plants had already started to regrow. In Moscow, sampling was interrupted after September to the final harvest, because the aboveground parts of the crop were completely killed by a harsh frost a few days before the sampling date in September.

149

Figure 1 depicts rainfall and temperature data for the three locations Adana, Moscow and Stuttgart. In Adana, a seasonal drought period occurred in July and August. There was only little frost in January 2015 (Figure 1a). In Moscow, July was particularly dry and the plants faced a serious drought (Figure 1b). The winter started very abruptly at the end of September with harsh frosts and the crop was frozen most of the time until March. In Stuttgart, June was abnormally dry, but in the following two months the rainfall was higher than usual (Figure 1c). Overall, the winter 2014/2015 was mild, but there was a frost period in January and February2015.

158

159 <u>2.2 Biomass Yield Estimation</u>

160 On each sampling date, eight tillers were collected randomly from each genotype. The samples 161 were taken from the second outer row to avoid damaging the core plot, which was used for final 162 harvest biomass yield estimation. To ensure the samples were taken randomly, a bar with marks 163 every 60 cm was used. The tiller closest to each 60-cm mark was collected. The central four m² 164 of each plot were used for biomass yield estimation at final harvest in January (Adana) or March 165 (Moscow, Stuttgart) and harvested manually using a hedge trimmer or sickle bar mower. Before the final harvest, another eight tillers were collected randomly. All samples were dried to 166 167 constant weight at 60°C in a cabinet dryer and fresh and dry weight was recorded. Dry matter 168 content and reciprocal value moisture content were calculated according to weight loss. Based 169 on the weight of the eight tillers at each sampling date and the biomass yield at final harvest, 170 the dry and fresh matter yield at each sampling date was calculated (Equation 1). The dry matter 171 yield at each sampling date was calculated using a ratio of the stem weights at the sampling 172 date and the final harvest. The details of this calculation are described by Nunn et al. (2017).

173 (1)
$$Yield_n = \frac{Weight \ 8 \ tillers_n}{Weight \ 8 \ tillers_m} * Yield_m$$

where
Yield_n = <u>Biomass ¥y</u>ield at sampling date n
Weight 8 tillers_n = Weight of eight tillers at sampling date n
Weight 8 tillers_m = Weight of eight tillers at final harvest in March (January at Adana)
Yield_m = <u>Biomass ¥y</u>ield at final harvest in March (January at Adana), estimated at central 4 m²

180 <u>2.3 Laboratory analysis</u>

181 All <u>dried</u> samples were <u>send to University of Hohenheim</u>, where all further analysis have been

182 <u>performed. The biomass samples were</u> milled in a cutting mill SM 200 (Retsch, Haan) using a

183 1 mm sieve before further laboratory analysis. The ash content of all samples was assessed by

incineration in a muffle kiln at 550°C for 4 hours according to VDLUFA book III method 8.1
(Naumann and Bassler, 1976/2012).

186 Content of neutral detergent fiber (NDF), acid detergent fiber (ADF) and acid detergent lignin 187 (ADL) was estimated by near infrared spectroscopy (NIRS). Calibration and validation samples were analysed using an ANKOM²⁰⁰⁰ Fiber Analyzer and Daisy II Incubator (ANKOM 188 189 Technology, Macedon, USA) according to VDLUFA book III method 6.5.1 (NDF), 6.5.2 190 (ADF) and 6.5.3 (ADL) (Naumann and Bassler, 1976/2012). The standard error of the NIRS 191 calibration (SEC) and prediction (SEP) and the R^2 of the NIRS calibration and validation are 192 shown in Table 3. The ADL content is considered lignin. Cellulose content was calculated by 193 subtracting ADL from ADF, and hemicellulose by subtracting ADF from NDF.

194 The specific methane yield (SMY) was measured in a biogas batch test at 39°C according to 195 VDI guideline 4630. The biogas batch method was certified by the KTBL and VDLUFA inter-196 laboratory comparison test in 2014 and 2015 and is described in detail in Kiesel and 197 Lewandowski (2015). The SMY was analysed by using 200 mg oDM of the dried and milled 198 biomass samples and 30 g of inoculum, which contained various macro- and micronutrients 199 according to (Angelidaki et al., (2009). The fermentation was performed for 35 days in gastight 200 fermentation flasks and the biogas production was measured by the pressure increase using a 201 HND-P pressure meter (Kobold Messring GmbH, Hofheim). The methane content of the biogas 202 was measured by using a GC 2014 gas chromatograph (Shimadzu, Kyoto). However, for 203 capacity reasons it was not possible to analyse all samples. Therefore, a minimum of one field 204 replication of each genotype from each sampling date and each location was selected randomly 205 to be analysed. All samples were analysed in one run of the biogas batch test to assure statistical 206 soundness. A randomized block design with four technical replicates was applied. For capacity 207 reasons, the batch test had to be split into two water baths. Replicates 1 and 2 were analysed in 208 one and replicates 3 and 4 in the other.

The methane yield per hectare was calculated based on estimated dry matter yield (DMY), ash content and SMY. As the SMY was mostly analysed for only one of the three field replications, this value (or the average of all field replications analysed) was assumed for all three field replications.

The net energy yield of anaerobic digestion was calculated by multiplying the methane yield per hectare by the calorific value of methane (35.883 MJ m⁻³) as shown in Equation (2). The net energy yield of combustion was calculated according to Equation (23), in which an average calorific value of 18 MJ kg⁻¹ for dry miscanthus biomass (Kołodziej et al., 2016) and 2.443 MJ kg⁻¹ enthalpy of water vaporization was assumed. The net energy yield is considering not only ash and moisture content of the biomass, but also the energy required to evaporate the incorporated water.

220

221 (2) Net Energy Yield_{Anaerobic digestion} = $CV_{Methane} * SMY * DMY * (1 - AC)$

(3) Net Energy Yield_{Combustion}Net Energy Yield = $CV_{Miscanthus} * DMY * (1 - AC) - CV_{Miscanthus} * DMY * (1 - AC) - CV_{Miscanthus} + CV_{Miscanthus} * DMY * (1 - AC) - CV_{Miscanthus} * CV_{Miscanthus}$

 $223 \qquad EE_{Water} * FMY * MC$

224	where		
225		<u>CV</u> _{Methane}	= calorific value of methane $(35.883 \text{ MJ m}^{-3})$
226		SMY	= substrate-specific methane yield
227		DMY	= dry matter yield of miscanthus
228		AC	= ash content of the miscanthus biomass
229		CV _{Miscanthus}	= calorific value of dry miscanthus biomass (18 MJ kg ⁻¹)
230			
231		AC	= ash content of the miscanthus biomass
232		EE _{Water}	= evaporation enthalpy water (2.443 MJ kg ⁻¹)
233		——————————————————————————————————————	= fresh matter yield of miscanthus
234		——МС	= moisture content of the miscanthus biomass
235			

236 <u>2.4 Statistical analysis</u>

237 Statistical analysis was performed using the software SAS version 9.4 (SAS Institute Inc., Cary,

North Carolina). The program 'Procmixed' was used and a mixed model applied (Equation <u>34</u>).

239	A test on homoge	neity of variance and normal probability of residues was performed. The					
240	effects were tested at a level of probability of $\alpha = 0.05$.						
241							
242	$(4) y = \mu + L$	oc + Geno + Loc * Geno + HD(Loc) + Geno * HD(Loc) + e					
243	where						
244	μ	= general mean effect					
245	Loc	= effect of location (Adana, Moscow, Stuttgart)					
246	Geno	= effect of genotype (OPM 3, 6, 9, 11, 14)					
247	Loc*Geno	= effect of interaction of location and genotype					
248	HD(Loc)	= effect of location specific sampling date					
249	Geno * HD(I	loc) = effect of interaction of genotype and location specific sampling date					
250	e	= residual error					
251							
252							

provisional

3. Results

In the following chapter, the results of each genotype at each harvest date and location are shown in figures, but for clarity reasons letters are displayed only for the sampling dates per location (HD(Loc)). Tables with means for genotype and location at each harvest date and the respective letter displays are given in the supplementary material.

258

259 <u>3.1 Fixed effects</u>

260 Location (Loc) and sampling date per location (HD(Loc)) showed highly significant impacts 261 on all traits analysed (Table 4). Genotype (Geno) and interaction of location and genotype 262 (Loc*Geno) had a highly significant impact on quality parameters and a still significant impact 263 on yield-related parameters, such as methane yield per hectare and net energy yield of biogas 264 and combustion (Table 4). This may be influenced by the high variance in yield, caused by the 265 fairly rough yield estimation using eight tillers. The interaction of genotype and sampling date 266 per location (Geno*HD(Loc)) showed a significant impact only on dry matter, hemicellulose 267 and lignin content. Again, the variance due to the small sampling size of eight tillers may have 268 been too high. However, larger sampling size was not feasible to avoid impact on the field trial.

269

270 <u>3.2 Biomass Yield and dry matter content</u>

There was a large difference in <u>biomass</u> yield development throughout the year between the Adana location (the warmest in this study) and the other two locations (Figure 2).

In Adana, the <u>biomass</u> yield was significantly highest in August and then declined steadily until
final harvest in March (Figure 2a). The highest <u>biomass</u> yields at each sampling date were found
for OPM 9, which declined from 22.6 t DM ha⁻¹ in August to 13.0 t DM ha⁻¹ in March.
Significantly lower <u>biomass</u> yields were found in OPM 3. The <u>biomass</u> yields of all the other
genotypes showed no significant differences.

In Moscow, significantly higher <u>biomass</u> yields were found in September (Figure 2b) and OPM 3 (11.2 t DM ha⁻¹) was the highest-yielding genotype in this month (Figure 2b). At final harvest in March, OPM 6 and 9 had the highest DM yields (10.3 and 7.7 t DM ha⁻¹). These had stayed quite stable over winter, while the yield of OPM 3 had declined severely to 4.7 t DM ha⁻¹.

282 In Stuttgart, the biomass yield behaviour was similar to that in Moscow. Significantly higher 283 biomass yields were found in September and October and all genotypes showed significant yield losses over winter (Figure 2c). The highest DM yields were found for OPM 6, which 284 increased to 25.0 t DM ha⁻¹ in September and then decreased to 16.2 t DM ha⁻¹ in March. 285 286 However the biomass yields of OPM 6 were only significantly different from OPM 14. 287 Interestingly, OPM 9 (Mxg) showed comparatively low biomass yields in the course of the year 288 but an increase from January to March (10.2 to 13.4 t DM ha⁻¹). Yield measurement in OPM 9 289 was difficult due to the shape of the crop (centre of the plot was considerably higher than the 290 border rows), which may have led to an underestimation of yield, especially in January. 291 However, the final harvest in March was performed at the centre of the plot and therefore 292 delivered reasonable biomass yields.

293 The dry matter content (DMC) increased steadily at all locations throughout the year and the 294 significantly highest DMC was recorded at final harvest in March/January (Figure 2). In Adana, 295 OPM 6 showed the highest DMC throughout the year and at final harvest in January (Figure 296 2a). It was also the only genotype in Adana that achieved a DMC of above 80% FM at final 297 harvest, which is crucial for safe storage of the biomass. In Moscow, no significant differences 298 in DMC were detected between the genotypes, but OPM 9 was the only genotype with a DMC 299 of below 80% FM at final harvest (Figure 2b). In Stuttgart, OPM 6 showed the highest DMC 300 from August to November, but further drying was hindered by lodging of the crop (Figure 2c). 301 In January, OPM 11 and 14 showed the highest DMC. However, the differences in DMC at 302 final harvest in March were very small, due to good weather conditions (frost in winter, dry 303 before harvest).

305 <u>3.3 Methane yield and SMY</u>

In Moscow, the substrate-specific methane yield (SMY) did not change significantly throughout the year (Figure 3b). In Adana and Stuttgart, it decreased significantly from August to final harvest in March (Figure 3a and c). However, the impact of the SMY on methane yield was only slight compared to that of <u>biomass</u> yield. It can be clearly seen that MY follows the same trend as dry matter yield and is therefore not described separately here.

The SMY of OPM 9 was the significantly lowest of all assessed genotypes at all locations. That of OPM 14 was very similar at all three locations, while that of OPM 9 and 11 was significantly higher in Stuttgart than in Adana and Moscow. The SMY of OPM 3 and OPM 6 was significantly lower in Adana than in Stuttgart, but there was no significant difference between Stuttgart and Moscow.

316

317 <u>3.4 Fibre and ash contents</u>

318 Ash content was strongly influenced by location and Adana showed the significantly highest 319 ash contents at each sampling date (Figure 4). In Adana, the ash content only decreased 320 significantly from November to January. In Stuttgart, a significant decrease was also observed 321 from November to January and the biomass sampled in January and March had the significantly 322 lowest ash content. In contrast, the ash content in Moscow increased slightly, but significantly, 323 from August to March. Genotype OPM 11 showed the significantly highest ash content at 324 Adana and OPM 14 at Stuttgart. In Moscow, no significant genotypic differences were 325 recorded.

The cellulose content increased steadily at Adana and Stuttgart, where the significantly highest contents were recorded for sampling dates January and March (Figure 5). All genotypes showed the significantly highest cellulose contents at Stuttgart, but those at Adana and Moscow were mostly not significantly different. Here, OPM 9 showed the significantly highest cellulose content of all genotypes (not significantly higher than OPM 11 in Adana). In Stuttgart, the
significantly highest cellulose contents were found with OPM 6 and OPM 9. In Moscow, both
cellulose and hemicellulose contents did not significantly change over the year; only a slight,
but significant decrease in lignin was recorded.

In Adana, the hemicellulose content increased slightly with later sampling dates and the significantly highest hemicellulose content was found in January, but it was not significantly different from November and October (Figure 5a). In Stuttgart, the hemicellulose content increased slightly until November (significantly highest) and then decreased at the same rate (Figure 5c). At all locations, OPM 9 had the significantly lowest hemicellulose content, except OPM 3 at Stuttgart. The hemicellulose content of all genotypes was highest (mostly significantly) at the Moscow location.

The lignin content increased steadily with later sampling dates at the Adana and Stuttgart locations, where the significantly highest lignin contents were recorded in January and March (Figure 5). At all locations, OPM 9 showed the significantly highest lignin content, however it was not significantly higher than that of OPM 3 at Stuttgart.

345

346 <u>3.5 Net energy yields</u>

347 The net energy yield of anaerobic digestion is influenced by dry matter yield, SMY and ash 348 content, whereas the net energy yield of combustion is influenced by dry matter yield, moisture 349 content and ash content. For both, dry matter yield has the largest impact. As the development 350 of both net energy yields clearly follows that of dry matter yield, it is not described separately 351 here (Figure 6). In Adana, the highest net energy yield of combustion and anaerobic digestion was recorded for OPM 9 in August at 344 and 203 GJ ha⁻¹, respectively. At this location, the 352 353 net energy yield of both combustion and anaerobic digestion decreased steadily, by 37% and 354 49% respectively, until final harvest in January. In Moscow, the genotypes with the highest net 355 energy yield of combustion and anaerobic digestion in September were OPM 3 at 168 and 113

GJ ha⁻¹ and OPM 6 at 143 and 92 GJ ha⁻¹, respectively. While the net energy yield of OPM 3 decreased noticeably (-53% for combustion and -60% for anaerobic digestion), OPM 6 showed a net energy yield of combustion and anaerobic digestion of172 and 99 GJ ha⁻¹, respectively. In Stuttgart, the highest net energy yield of combustion was observed in October and of anaerobic digestion in September for OPM 6 at 370 and 259 GJ ha⁻¹, respectively. Here, at final harvest in March, the energy yield of combustion and anaerobic digestion of OPM 6 was 275 and 154 GJ ha⁻¹, respectively.

363 A comparison of the two energy yields shows that, on average over all locations, genotypes and 364 sampling dates, anaerobic digestion delivers 65% of the energy yield of combustion. However, 365 there are noteworthy differences between location, genotypes and harvest dates. Early sampling 366 in August improves the net energy yield of anaerobic digestion through an increase in SMY, 367 but impairs the net energy yield of combustion through a higher moisture content. In August, 368 the average net energy yield of anaerobic digestion for all locations and genotypes was 75% 369 that of combustion; in Stuttgart and Moscow even 79% and 83%, respectively. Late harvest in 370 January or March leads to a decrease in SMY and improved quality for combustion (lower 371 moisture content). At final harvest, the net energy yield of anaerobic digestion, averaged over 372 all locations and genotypes, was 55% of that of combustion; for OPM 9 even as low as 52%.

374 **Discussion**

375 The energy yields (used here synonymously with 'net energy yield') per hectare of combustion 376 and anaerobic digestion are mainly influenced by the harvestable biomass yield per hectare, but 377 are differentially sensitive to content of organic and inorganic compounds in the biomass. The 378 different biomass fractions, e.g. moisture, ash and lignin content, interact to produce a thermal 379 calorific value (combustion) or substrate-specific methane yield (anaerobic digestion). In 380 combustion, inorganics such as ash mainly reduce the combustible proportion of the yield, 381 whereas vaporization of water consumes additional energy and reduces the calorific value. For 382 this reason, moisture content has the strongest quality-related impact on the energy yield of 383 combustion. Biomass quality for anaerobic digestion is mainly related to the organic 384 composition, in particular the lignin content. Here the energy yield is directly measured by the 385 substrate-specific methane yield (SMY) in a biogas batch test, which is therefore the sole 386 determining quality factor. Other biomass quality characteristics, such as lignin content, are 387 only used to explain differences in SMY. The moisture content is not relevant for the energy 388 yield of anaerobic digestion, since it is already considered during estimation of dry matter yield. 389 In both conversion pathways, ash content reduces the amount of combustible and digestible 390 biomass to the same extent (SMY is also calculated on the basis of organic dry matter), therefore 391 it is not discussed in the following section.

All these yield and quality traits are influenced by genotype, location, harvest date and interaction of genotype and location. The following sections first discuss the impacts of the above determinants on energy yields of combustion and anaerobic digestion and then the energy yields are compared.

396

397 Factors influencing energy yield

In both utilization pathways, harvestable yield (standardised by calculating dry matter at thedifferent harvest times) had the largest impact on energy yield. Since location, genotype and

400 harvest date all have an influence on harvestable dry matter yield, these also had a considerable 401 impact on energy yield. In Adana, the maximum biomass yield was recorded before the first 402 sampling date of this investigation (Nunn et al., 2017), after which the yield declined steadily 403 because drought in July and August ended the growth season. Interestingly, the standard 404 genotype Mxg (OPM 9) performed best in terms of energy yield under the water-limited 405 conditions in 2014 in Adana. The low irrigation levels applied to ensure survival of the crop 406 will have influenced the performance of the genotypes. Indeed, Mxg is well known for 407 sensitivity to drought (Clifton-Brown et al., 2002). However, from these observations, we 408 conclude that while none of the genotypes tested here are optimally adapted to the climatic 409 conditions of the Mediterranean area, *M. sinensis* coped better than the others.

410 In Moscow, the yield was comparatively low due to the short growing season determined by 411 the more extreme continental climate (Figure 1b). This clearly shows that cold-tolerant 412 genotypes, which start growing at lower temperatures, are required for such locations in order 413 to make best use of the available vegetation period. However, Fonteyne et al. (2016) found that, 414 for a C4 plant, miscanthus shows a comparatively high chilling tolerance. In Stuttgart, the mild 415 continental climate with high water availability (Figure 1c) supported active growth for a longer 416 period, resulting in higher autumn yields than in Moscow and Adana. Considerable genotypic 417 differences were observed in Stuttgart, where the novel genotype OPM 6 performed best. This 418 was mainly influenced by its high shoot density (Kalinina et al., 2017). The effect of plant 419 morphology on biomass yield demonstrates the opportunities of breeding high-yielding hybrids. 420 Earlier studies have found that moisture content is not only influenced by harvest date, but also 421 determined by complex interactions between genotype and growth location environment (Iqbal 422 and Lewandowski, 2014). Obviously, moisture content impacts the energy yield of combustion, 423 since it directly reduces the heating value. However, the moisture content at final harvest is not

424 only crucial for combustion quality, but also for safe storage of the biomass.

425 Genotypes with active senescence could help maintain sufficiently low moisture content at final 426 harvest (Nunn et al., 2017). This is especially relevant for locations with mild winters, as frost 427 kills the aboveground biomass, thus accelerating senescence, initiating ripening and drying the 428 biomass (Robson et al., 2012). The largest genotypic differences in moisture content at final 429 harvest were recorded in Adana, where almost no frost occurred over winter. At the other 430 locations, only small differences in moisture content between genotypes were recorded, because 431 there were sufficiently harsh frosts (below -3°C daily mean temperature). In Adana, only OPM 432 6, a Miscanthus sinensis x Miscanthus sacchariflorus hybrid, showed a sufficiently low 433 moisture content of below 20% FM, while OPM 3, a pure Miscanthus sacchariflorus genotype, 434 showed a particularly high moisture content. Genotypes with active senescence could also be 435 useful at the Stuttgart location, because sufficient frosts to dry the crop below a moisture content 436 of 20% do not occur every year. Igbal and Lewandowski (2014) reported high differences in 437 moisture content between single years at this location. Here, OPM 11 and 14 showed favourable 438 development of moisture content until January, but after the February frost period, all genotypes 439 had the same low moisture content at final harvest in March. In Adana, OPM 6 showed a gradual 440 reduction in moisture content from autumn to spring. In Stuttgart, a similar decrease in moisture 441 content from August until November was observed, but lodging hindered further drying. 442 Genotypes with active senescence not only offer the potential to ensure sufficient drying even 443 at locations with mild winters, but additionally allow optimization of harvest time for 444 combustion (Iqbal et al., 2017).

Moisture contents of above 60% have a greater impact on energy yield (Equation 23). Such high moisture contents were only recorded in August at Moscow and in August and September at Stuttgart. Drying over winter positively influenced the energy yield of combustion, but the improved biomass quality did not compensate for the yield losses e.g. due to leaf fall. This 'trade-off' between biomass yield and quality is well known (Lewandowski *et al.*, 2003; 450 Cadoux et al., 2012) but has rarely been quantified due to the lack of serial harvests through the 451 winter months. This paper quantifies the energy yield losses of delayed harvest in late winter 452 compared to harvest at peak yield for the first time. Average energy yield losses were found to 453 be 43% in Adana, 20% in Stuttgart and only 11% in Moscow. Some genotypes showed high 454 energy yield losses over winter, such as OPM 3 in Adana (56%) and Moscow (53%), and OPM 455 11 in Stuttgart (36%). Genotype OPM 9 showed comparatively low losses at all locations (37%) 456 in Adana, 6% in Stuttgart and 4% in Moscow). However, as mentioned earlier, the biomass 457 vield measurement of OPM 9 in Stuttgart was subject to technical variation, which could have 458 negatively influenced these results from August to January. Other genotypes also showed 459 contrasting results at the three locations, e.g. OPM 11 had high losses in Stuttgart (36%), but 460 low losses in Moscow (4%) and Adana (36%). The yield losses could be associated with the 461 leaf shares and OPM 9 showed the lowest leaf-to-stem ratio (Iqbal et al., 2017). From an energy 462 point of view, an earlier harvest would be theoretically advantageous for combustion, but is in conflict with biomass quality (see also Iqbal et al., 2017). 463

The energy yield of anaerobic digestion is influenced more by DM yield than SMY, because 464 465 SMY variations in the serial harvests were lower than initially expected. Similar findings have 466 recently also been reported from other experiments (Kiesel and Lewandowski, 2015; Wahid et 467 al., 2015). The biomass analysed in the present study was milled (1 mm), which can affect the 468 SMY. Frydendal-Nielsen et al. (2016) used a larger particle size than in our study and measured 469 a lower SMY for miscanthus. In their study, pre-treatment increased the SMY of miscanthus 470 significantly due to size reduction of the biomass particles. The SMY values in our paper show 471 more the technical potential than the biogas yield, which would be obtained in full-scale biogas 472 plants using chopped biomass. The current standard chip format for anaerobic digestion was 473 developed for maize. Thus, presumably a pre-treatment would be required for miscanthus to 474 achieve a similar SMY in full-scale biogas plants to that measured in our study. Various pretreatment methods, including physical (e.g. milling, ultrasonic, steam-explosion), chemical
(acid or alkaline) and biological methods (white and brown rot fungi, enzymes), to improve
digestibility and methane yield of difficult and lignocellulosic substrates in anaerobic digestion
are described in literature (Patinvoh et al., 2017). In recent years, suitable pre-treatment
technology has become more available and is increasingly utilized in practice.

480 At the Adana and Stuttgart locations, the SMY decreased significantly with later harvest dates 481 as the lignin content increased. Under anaerobic conditions, lignin is generally not digested and 482 also inhibits the digestibility of other compounds (den Camp et al., 1988). Of all genotypes, 483 OPM 9 had significantly lower SMY's, which correlates with the highest lignin content across 484 all locations. Again, it is worth mentioning that the biomass was milled (1 mm) prior to the 485 biogas batch test. This milling can be considered pre-treatment, which is known to increase 486 digestibility of lignocellulosic biomass (Menardo et al., 2013; Frydendal-Nielsen et al., 2016). 487 The SMY could have been positively affected by milling, especially for later harvest dates and 488 genotypes with a higher degree of lignification. The effect of location on SMY is not clear. In 489 the present study, Adana often had a significantly lower SMY, but also the lowest lignin 490 content. Generally, drought conditions are expected to increase the lignin content (Le Gall et 491 al., 2015). However, van der Weijde et al. (2016a) reported that drought conditions decreased 492 lignin contents of miscanthus and increased the proportion of cellulose converted to ethanol. In 493 our study, the drought conditions in Adana seemed to decrease the lignin content, but no 494 positive effect on the SMY was observed.

Since biomass yield is more relevant than SMY for the energy yield of anaerobic digestion, the priority should be placed on harvesting at <u>biomass</u> peak yield. However, sufficient green-cutting tolerance is a prerequisite for this (Kiesel and Lewandowski, 2015). Green-cutting tolerance is assumed to be determined by relocation of carbohydrates from the aboveground biomass to the rhizome in late summer and early autumn (Purdy *et al.*, 2015). By contrast, an increased 500 nitrogen fertilizer application had almost no impact on the regrowth the following year of a 501 five-year-old Mxg crop in Stuttgart (Kiesel and Lewandowski, 2015). Green cuts also result in 502 larger nutrient offtakes (Kiesel and Lewandowski, 2015), which need to be replaced, e.g. by 503 digestate, to maintain long-term productivity of the crop.

504 Based on recent cutting trials with Mxg, a harvest in late October does not affect biomass yield 505 the following year in Stuttgart, but earlier harvest can reduce DM yields by 40 to 60% (Kiesel 506 and Lewandowski, 2015). Due to the harsh frost just before the sampling date in September in 507 Moscow, it can be assumed that green harvest in late September or early October is feasible. In 508 Adana, the season end was not defined by frost, but by drought in July and August. For this 509 reason, it is questionable which harvest date would be tolerated by the crop here. Due to the 510 favourable growing conditions before the drought period, the plants flowered very early, which 511 may have induced senescence and carbohydrate relocation (Jensen et al., 2016). However, 512 Purdy et al. (2015) observed no influence of flowering on carbohydrate relocation, but the 513 growing conditions at their locations in UK were completely different from Adana. The steady 514 biomass yield decrease in Adana shows there was no biomass growth after the drought period. 515 This can be seen as an indication that an August green harvest could be tolerated by the crop 516 here. Should this be the case, biomass yield losses and the necessary irrigation for crop survival 517 during the drought period could be avoided. Cutting tolerance presumably also depends on 518 genotype and location but this needs to be assessed for further genotypes and locations. A more 519 detailed assessment of possible harvest dates in autumn (from September to late October) would 520 be required to identify the feasibility of a harvest at biomass peak yield. For this reason, multi-521 location cutting tolerance studies should be performed for new leading genotypes such as OPM-522 6.

524 Combustion vs anaerobic digestion

525 Combustion has many advantages over anaerobic digestion. In this paper, the energy yield of 526 anaerobic digestion, averaged over all harvest dates, was 35% lower than that of combustion. 527 In addition, dry-harvested biomass can be stored easily for combustion, if the moisture is below 528 20%. Green-harvest could still be problematic for combustion due to content of critical elements 529 and low ash melting temperature (Iqbal et al., 2017). The identification of optimum harvest date 530 requires a number of factors to be considered, including combustion technology applied, 531 biomass yield, moisture content and various biomass quality aspects (Iqbal et al., 2017). 532 Therefore, it may not always be possible to harvest miscanthus at biomass peak yield for 533 combustion and the state-of-the-art for most combustion applications is to delay harvest until 534 March to improve biomass quality and moisture content. For this reason, it is perhaps less useful 535 to compare energy yields for anaerobic digestion and combustion on the same harvest dates. If 536 it is assumed that the crop tolerates green harvest in late August in Adana, anaerobic digestion 537 delivers, on average, a 14% higher energy yield than combustion at final harvest in January. 538 Harvest in late September for anaerobic digestion in Moscow and Stuttgart supplies only a 19% 539 and 7% lower energy yield, respectively, than harvest for combustion in March. Even with 540 delaying the harvest in Adana (September) and Stuttgart (October) to improve the cutting 541 tolerance, the energy yield of anaerobic digestion is, on average, only 18% lower than that of 542 combustion at final harvest.

543 <u>Recommendations for site-specific genotype choice</u>

For both utilization options, genotypes with a high dry matter yield are required. Whereas for anaerobic digestion the autumn <u>biomass</u> yield (often equal to peak yield) is crucial, for combustion a high <u>biomass</u> yield in late winter or spring is necessary. For this reason, genotypes such as OPM 9 with lower losses over winter (e.g. due to lower leaf share) are better suited for combustion. However, senescence of OPM 9 can be insufficient when winters are too mild, 549 which leads to higher moisture content of the biomass accompanied by difficulties for harvest, 550 storage and combustion. At such locations, high-yielding *Miscanthus sinensis* (e.g. OPM 11) 551 or Miscanthus sinensis x Miscanthus sacchariflorus hybrids (such as OPM 6) could help ensure 552 low moisture content at spring harvest. Since lodging occurred in OPM 6, this genotype cannot 553 be recommended for combustion, because lodging makes the harvest more difficult and hinders 554 drying of the biomass over winter. For anaerobic digestion, the impact of lodging is less critical, 555 but still renders the harvest more difficult. Although OPM 6 lodged in Stuttgart, its utilization 556 for anaerobic digestion still seems promising, because this genotype had a combination of high 557 yield potential in autumn, high SMY and low lignin content. In Adana, OPM 11 appears 558 promising due to its high yield in late summer and high SMY, but the cutting tolerance remains 559 to be assessed. In Moscow, the Miscanthus sacchariflorus genotype OPM 3 performed best for 560 anaerobic digestion, but cannot be recommended due to its creeping rhizome. For this reason, 561 the second best-performing genotype OPM 6 is recommended for anaerobic digestion at this location. 562

Anaerobic digestion is a promising utilization option for miscanthus biomass, as the energy 563 564 losses from conversion into gaseous fuel can be largely compensated for by avoiding biomass 565 losses over winter. The storage of green miscanthus biomass via ensiling also appears feasible 566 and can be further improved through the use of additives (Whittaker et al., 2016). To optimize 567 the harvest date for anaerobic digestion, the cutting tolerance should be assessed at several 568 locations and for multiple genotypes. Further, biogas plant technology needs to be adapted to 569 process lignocellulosic miscanthus biomass or extended by suitable pre-treatment facilities. 570 Encouraging practical experience has been gained using a MeWa Bio-QZ (ANDRITZ MeWa 571 GmbH, Gechingen) at the full-scale research biogas plant of the University of Hohenheim. 572 Anaerobic digestion of miscanthus has the potential to produce biogas more cheaply than other

- 573 feedstocks and offers the co-benefit of easier nutrient recycling via digestate than via ash from
- 574 combustion.

Short Summary of the main outcomes:

- Anaerobic digestion is a promising novel utilization pathway for miscanthus biomass, which provides both a higher value product and a high productivity per hectare
- Higher biomass yields due to harvest in autumn/at peak yield compensates largely for the conversion losses of anaerobic digestion. However, cutting tolerance of such novel genotypes needs to be assessed for a broad spectrum of locations.
- Biomass and energy losses due to delayed harvest for combustion, are the costs of quality improvements to meet the quality and storage requirements. Pre-winter harvest could increase energy yield of combustion, because higher moisture content is overcompensated by higher biomass yields. However, adapted and suitable technology for storage and combustion of wet biomass are required.
- Environmental impacts (soil organic carbon, biodiversity) of pre-winter harvest needs to be assessed, since mulch layer is likely to decrease due to reduced leaf fall and reduced winter-<u>cover.</u>
- Combustion and anaerobic digestion both require genotypes with a high biomass production. However, for combustion low yield losses over winter and a high stability of the crop (no lodging) are of importance, while for anaerobic digestion cutting tolerance and easier digestibility (low lignin content) are important.

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703 Table 1 Miscanthus 'genotypes' used in this investigation (Lewandowski et al., 2016)

Genotype ID	Provider	Species
OPM 3	IBERS	Miscanthus sacchariflorus
OPM 6	IBERS	Miscanthus sinensis x Miscanthus
		sacchariflorus hybrid
OPM 9	IBERS	Miscanthus x giganteus
OPM 11	IBERS	Miscanthus sinensis 'Goliath'
OPM 14*	WUR	Miscanthus sinensis

704 705 * strictly speaking, OPM 14 is a 'within species' hybrid rather than a true genotype, but for convenience is referred to throughout as a 'genotype'.

706

707 Table 2 Sampling dates and location characteristics. na = not applicable/ no sampling performed.

	Latitude Longitude Altitude (m)	Sampling date						
Location		1 August (A)	2 September (S)	3 October (O)	4 November (N)	5 January (J)	6 March (M)	
Adana	37.00 35.00 27	20.8.14	20.9.14	20.10.14	20.11.14	20.01.15	na	
Moscow	55.50 37.33 140	20.8.14	20.9.14	na	na	na	13.03.15	
Stuttgart	48.74 8.93 463	28.8.14	25.9.14	23.10.14	27.11.14	22.01.15	18.03.15	

708

709 Table 3 NIRS calibration and validation statistics

		Calibration		Validation				
	Number of samples	Standard error of calibration	R ²	Number of samples	Standard error of prediction	R ²		
NDF	160	1.2672	0.953	20	2.345	0.858		
ADF	160	1.3331	0.959	20	2.699	0.834		
ADL	160	0.6492	0.888	20	0.773	0.706		

710

711 Table 4 P-values of fixed effects

	Yield	Dry matter content	Ash content	Cellulose content	Hemicellul ose content	Lignin content	SMY	Methane yield per hectare	Net energy yield biogas	Net energy yield combustion
Loc	< 0.001	< 0.001	< 0.001	< 0.001	< 0.001	< 0.001	< 0.001	< 0.001	< 0.001	< 0.001
Geno	0.010	< 0.001	< 0.001	< 0.001	< 0.001	< 0.001	< 0.001	0.037	0.039	0.006
Loc*Geno	0.006	0.001	< 0.001	< 0.001	< 0.001	< 0.001	0.015	0.029	0.030	0.036
HD(Loc)	< 0.001	< 0.001	< 0.001	< 0.001	0.007	< 0.001	< 0.001	< 0.001	< 0.001	< 0.001
Geno* HD(Loc)	ns	< 0.001	ns	ns	0.001	0.037	ns	ns	ns	ns



Figure 1 Temperature and rainfall at the three locations for 2014 and first 3 months of 2015



Figure 2 Biomass dry matter yield (Yield) and dry matter content (DMC) of each genotype (OPM 3, 6, 9, 11, 14) for each sampling date (1 = August (A), 2 = September (S), 3 = October (O), 4 = November (N), 5 = January (J), 6 = March (M)) at the locations a) Adana, b) Moscow and c) Stuttgart. Tables include the letter display for the sampling date per location (HD(Loc)) for the traits yield and DMC. Different lower-(Yield) and upper-case (DMC) letters indicate significant differences at a probability level of $\alpha = 0.05$ for sampling dates at a specific location.



Figure 3 Methane yield (MY) and substrate-specific methane yield (SMY) for each genotype (OPM 3, 6, 9, 11, 14) and sampling date (1 = August (A), 2 = September (S), 3 = October (O), 4 = November (N), 5 = January (J), 6 = March (M)) at the locations a) Adana, b) Moscow and c) Stuttgart. Tables include the letter display for the sampling date per location (HD(Loc)) for the traits methane yield (MY) and substrate-specific methane yield (SMY). Different lower-(MY) and upper-case (SMY) letters indicate significant differences at a probability level of $\alpha = 0.05$ for sampling dates at a specific location.

Figure 4 Ash content for each genotype (OPM 3, 6, 9, 11, 14) and sampling date (1 = August (A), 2 = September (S), 3 = October (O), 4 = November (N), 5 = January (J), 6 = March (M)) at the three locations Adana, Moscow and Stuttgart. Tables include the letter display for the sampling date per location (HD(Loc)) for the traits ash content. Different lower-(Adana) and 733 upper-case (Moscow) and italic (Stuttgart) letters indicate significant differences at a probability level of $\alpha = 0.05$ for sampling 734 dates at a specific location.

Figure 5 Cellulose (Cel), hemicellulose (Hemi) and lignin content of each genotype (OPM 3, 6, 9, 11, 14) and sampling date (1 = August (A), 2 = September (S), 3 = October (O), 4 = November (N), 5 = January (J), 6 = March (M)) at the three locations a) Adana, b) Moscow and c) Stuttgart. Tables include the letter display for the sampling date per location (HD(Loc)) for the traits cellulose, hemicellulose and lignin content. Different lower-(Cel) and upper-case (Hemi) and italic (Lignin) letters indicate significant differences at a probability level of $\alpha = 0.05$ for sampling dates at a specific location.

Figure 6 Net energy yield of anaerobic digestion (Biogas) and combustion (Comb) of each genotype (OPM 3, 6, 9, 11, 14) and each sampling date (1 = August (A), 2 = September (S), 3 = October (O), 4 = November (N), 5 = January (J), 6 = March (M)) at the three locations a) Adana, b) Moscow and c) Stuttgart. Tables include the letter display for the sampling date per location (HD(Loc)) for the net energy yield of anaerobic digestion (Biogas) and combustion (Comb). Different lower-<u>(Biogas)</u> and upper-case <u>(Comb)</u> letters indicate significant differences at a probability level of $\alpha = 0.05$ for sampling dates at a specific location.

Figure 03.TIF

Figure 04.TIF

