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### *Past and present drivers of population structure in a small coastal fish, the European long snouted seahorse *Hippocampus guttulatus**

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1 **Past and present drivers of population structure in a small coastal fish, the European long snouted**  
2 **seahorse *Hippocampus guttulatus***

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13

14 **Abstract**

15 The effective design of species conservation and management programs is reliant on information such as extant  
16 geographic distribution, taxon-specific life-history characteristics, and the relative influence of historic  
17 processes and contemporary environmental parameters in shaping population genetic diversity. Seahorses are  
18 small coastal fish, weak swimmers as adults and with brooded young, limiting their dispersal potential.  
19 Seahorses live in sheltered locations, including estuaries which are physically isolated from each other.  
20 Therefore panmixia across their geographic range is unlikely. *Hippocampus guttulatus*, a seahorse inhabiting  
21 European waters, has a geographic range spanning a number of contemporary oceanographic features that are  
22 proposed barriers to gene flow. Thus this fish is well-placed to test the relative contributions of environment and  
23 life-history factors in shaping contemporary population structuring.

24 This study found that mitochondrial DNA and nuclear DNA (microsatellite) genotype data are concordant in  
25 suggesting that, like many other small fishes in European waters, *H. guttulatus* extant populations expanded  
26 from at least one southern European refugial population. Subsequent population differentiation of four  
27 geographic lineages reflects contemporary oceanographic barriers to gene flow. Demographic analyses suggest a  
28 northward expansion from a southern refugium, and long-term isolation between Black Sea and Mediterranean  
29 Sea populations. Moreover *H. guttulatus* contemporary population distribution and population structure is  
30 predominately explained by historic and oceanographic influences, rather than life-history traits and associated  
31 habitat preference. These findings suggest that conservation of genetic diversity in *H. guttulatus* may be aided  
32 by a network of Marine Protected Areas (MPAs), implemented to conserve coastal species and habitats, but the  
33 species' unusual life history and gamete retaining behaviours should be considered as part of management  
34 decisions including MPA design and fisheries management plans.

35

36 **Keywords:** *Hippocampus guttulatus*, conservation genetics, phylogeography, Europe, seahorse.

37

## 38 **Introduction**

39 Marine conservation can be enhanced when species-specific biological, ecological and genetic data are  
40 considered in conjunction with environmental parameters. For example, estimations of genetic connectivity can  
41 help resolve the relative influence of historical versus current environmental features and processes on  
42 contemporary population structuring. In marine species, many examples have shown the utility of genetic data  
43 in establishing the importance of life-history traits (Galindo et al. 2010), environmental factors (e.g.  
44 hydrodynamics Schunter et al. 2011) and historic processes (Maggs et al. 2008; Patarnello et al. 2007) in driving  
45 contemporary population diversity and structuring. Such studies have been used to inform species management  
46 and conservation strategies (Planes et al. 2009).

47 Marine species have been predicted to have little genetic structure due to propagule dispersing factors such  
48 as long larval phases and dispersal by oceanographic currents (Ward, et al. 1994). Whilst some species show  
49 close fit to expected patterns of high genetic connectivity (e.g. the coral *Astroides calycularis*, Casado-Amezua  
50 et al. 2012), many species that have potential for high gene flow do not exhibit panmictic populations (e.g.  
51 European anchovy, Zarrakonandia et al. 2012; bluefin tuna, Riccioni et al. 2010), and some species predicted to  
52 have substantial population substructure such as the marbled goby, a lagoon dwelling fish, show widespread  
53 genetic homogeneity (Merjri et al. 2011). Thus the population structures of marine species are determined by a  
54 complex interaction of factors, and may or may not be easily predicted.

55 Environmental factors are regularly shown to influence genetic differentiation of marine species. Factors  
56 include coastal topography (Nicastro, et al. 2008), oceanic currents (Quinteiro, et al. 2007), bathymetric profile  
57 (Hoarau, et al. 2002), habitat availability (Astolfi, et al. 2005), and temperature and salinity discontinuities  
58 (Jorgensen, et al. 2005). For example, water temperature changes seasonally in temperate zones, but currents  
59 (e.g. Gulf Stream) or depth (e.g. thermoclines) can also maintain distinct long-term temperature discontinuities.  
60 Historic events such as bottlenecks in population size (Bargelloni, et al. 2005), species range expansion (Wilson  
61 2006) and vicariance (Arnaud-Haond, et al. 2007) occur in response to environmental or anthropogenic factors,  
62 and may also influence contemporary population connectivity, species geographic range, and the distribution of  
63 genetic diversity.

64 Both historic and extant environmental conditions in European waters of the NE Atlantic Ocean,  
65 Mediterranean Sea and Black Sea are known to have influenced their different faunal compositions. Within and  
66 between these waters there are a number of recognised barriers to individual dispersal that define population

67 divergence of marine species, such as the Straits of Sicily and the Almeria-Oran frontal system in the  
68 Mediterranean Sea (Galarza et al. 2009; Patarnello et al. 2007; Schunter et al. 2011).

69 Seahorses have distinct life-history characteristics that have led researchers to hypothesize limited  
70 connectivity among patchily distributed populations, as well as making them more vulnerable to habitat  
71 destruction and overexploitation (reviewed by Vincent et al. 2011). Predictions of low individual dispersal  
72 capacity result from traits such as internal fertilisation and brooding of young, a short planktonic juvenile phase  
73 (Boisseau 1967), and adult site fidelity, small home range (Curtis & Vincent 2006) and weak swimming ability  
74 (Blake 1976). However seahorses are known to move up to 150m daily, within a lagoon system (Caldwell &  
75 Vincent 2013) and have the potential for occasional migration events by rafting (Luzzatto et al. 2013; Perante et  
76 al. 2002; Vandendriessche et al. 2005). In addition, most seahorse species are socially (Foster & Vincent 2004)  
77 and genetically serially monogamous (Woodall et al. 2011a), which could result in a lower effective population  
78 size due to limited parental crossings. Seahorses therefore exhibit characteristics that suggest highly structured  
79 genetic populations.

80 *Hippocampus guttulatus*, Cuvier 1829, is distributed along coasts of the North-East Atlantic from the  
81 English Channel in the north to NW Africa in the south, and throughout the Mediterranean Sea and Black Sea  
82 (Lourie et al. 2004). Like many seahorses, *H. guttulatus* is a shallow coastal and estuarine dweller, often  
83 associated with seagrass beds (Curtis & Vincent 2005), potentially limiting dispersal across deep open water.  
84 Throughout its range these habitats are disjunct (Green & Short 2003) and as such this species exhibits non-  
85 continuous populations, which can reduce the chance of nearby populations mixing. Seahorses such as *H.*  
86 *guttulatus* are thus well placed to elucidate the relative influence of life history traits versus environmental and  
87 historical climatic factors in determining population connectivity.

88 A single recent study has assessed genetic variation in *H. guttulatus* across a small part of the species'  
89 geographic range (NW Iberian Peninsula), and found no significant barriers to gene flow (Lopez et al. 2015),  
90 but studies of three other syngnathid species from areas more representative of the geographic range of *H.*  
91 *guttulatus* suggest more defined population differentiation in such species. Contemporary population structure  
92 of the pipefish *Syngnathus typhle* has been shown to be influenced by Pleistocene glaciations, with post glacial  
93 recolonisation effects evident in movement of the geographic range north and eastwards (Wilson & Eigenmann  
94 Veraguth 2010), whilst another pipefish (*S. abaster*) displays significant post-glacial fragmentation and  
95 differentiation (Sanna et al. 2013). Similarly, both contemporary (i.e. oceanographic barriers) and historic  
96 factors (i.e. Pleistocene glaciation) were identified as shaping the population structure across European waters in

97 *Hippocampus hippocampus*, with no evidence for the limited propagule connectivity expected in this family  
98 (Woodall et al. 2011b).

99 In this study mtDNA and nuclear DNA (microsatellite) markers were applied to samples from the entire  
100 geographic range of *H. guttulatus* to: investigate contemporary genetic population structure; identify potential  
101 barriers to gene flow; infer demographic history, including times of population divergence and range expansion;  
102 and propose conservation and management practices in the light of data from this and other European seahorse  
103 species.

## 104 **Materials and Methods**

### 105 Sample acquisition and DNA extraction

106 Specimens were collected from 17 locations across the NE Atlantic Ocean, northern Mediterranean Sea and  
107 Black Sea, covering over 6000 km of coastline and with a range of 60-1200 km between neighbouring sites (Fig  
108 1, Table 1). Seahorses generally live in low densities, are cryptic and are not commercially targeted in Europe,  
109 so they are particularly difficult to sample. As a result at some sites it was necessary to re-sample over  
110 successive days and/or consecutive years (site MSP). Tissue samples were collected from each individual *in situ*  
111 underwater and non-lethally to minimise impacts on individuals and populations (see Woodall et al. 2012).  
112 Genomic DNA was isolated from 3-4 mm<sup>2</sup> of dorsal fin tissue using a standard cetyltrimethyl ammonium  
113 bromide (CTAB) chloroform/isoamyl alcohol DNA extraction method (after Winnepenninckx, et al. 1993).

### 114 Mitochondrial DNA sequencing

115 Fragments of mitochondrial DNA in the hypervariable 5' end of the Control Region (CR) and the  
116 cytochrome b gene (*cytb*) were amplified for a maximum of 29 specimens from each of the 17 range-wide  
117 locations (Table 1). The CR was amplified using seahorse-specific primer HCAL2 (Teske et al. 2003) and *H.*  
118 *guttulatus*-specific primer HIPPCONR (5'AAG CCG AGC GTT CTC TCC 3'). The *cytb* was amplified using  
119 primer SHORSE 5.3L (Casey et al. 2004) and *H. guttulatus*-specific primer GUTTCYTB-R (5' AGG GGG TTC  
120 TAC AGG CAT TAC 3'). Each 50µl PCR reaction contained: 5µl 10X manufacturer provided buffer, 2.5µl  
121 MgCl<sub>2</sub> (50mM - Bioline, UK), 5µl deoxynucleotide triphosphate mix (dNTP) (1.25mM), 1.2 µl of each primer  
122 (10µM), 0.25µl Taq polymerase (5U/µl - Bioline, UK), 14.25µl H<sub>2</sub>O and 20µl template DNA (10-50 ng). The  
123 PCR profile was composed of an initial denaturation step (2 min at 94 °C), followed by 35 cycles of  
124 denaturation (30 s at 94 °C), annealing (30s at 50 °C) and extension (60s at 72 °C), and a final extension step (2  
125 min at 72 °C).

126 Amplified products were purified, using either PCR purification kit (Qiagen) or Exonuclease 1-Shrimp  
127 Alkaline phosphatase protocols, sequenced in both directions by Macrogen (Korea), then deposited in Genbank  
128 (Accession numbers: KM061952 to KM062016).

#### 129 Amplification and screening of microsatellites

130 Twenty-five previously developed seahorse-specific microsatellite primers (Galbusera et al. 2007; Pardo et  
131 al. 2006) were tested for amplification, allelic variation, null alleles and stutter bands. Five polymorphic  
132 microsatellite loci were selected for final screening (Hgu4, Hgu12, Hca $\mu$ 11, Hca $\mu$ 25 & Hca $\mu$ 27); the other loci  
133 either failed to amplify or were monomorphic. In total 313 specimens were genotyped from ten locations for a  
134 minimum of 15 individuals per site (Table 2). Loci were amplified separately in 10  $\mu$ l reactions containing 2  $\mu$ l  
135 template DNA (1–5 ng), 1  $\mu$ l manufacturer-provided buffer, 0.6  $\mu$ l MgCl<sup>2</sup> (50 mM-Bioline), 1  $\mu$ l dNTP mix  
136 (1.25 mM), 0.25  $\mu$ l of each primer (10  $\mu$ M, one being Cy5' labelled), 0.05  $\mu$ l Taq polymerase (5U/ $\mu$ l-Bioline).  
137 The thermocycle profile comprised an initial denaturing step (3 min at 95°C), followed by 35 cycles of  
138 denaturing (30 s at 95°C), annealing (30 s at 50°C (Hgu12), 53°C (Hca $\mu$ 11 and Hca $\mu$ 25) or 55°C (Hgu4 and  
139 Hca $\mu$ 27), and extension (30 s at 72°C), with a final extension step (3 min at 72°C)). PCR products were run on  
140 6% denaturing polyacrylamide gels in an ALFexpressII automated DNA sequencer (Amersham Pharmacia) and  
141 allele sizes scored using Fragment Manager v2.9 (Amersham Pharmacia).

#### 142 Genetic Diversity

143 Sequence chromatographs were manually checked for errors and edited unambiguously in BIOEDIT v7.2.5  
144 (Hall 1999), then consensus sequences were aligned using CLUSTAL X (Thompson et al. 1997). Genetic  
145 diversity indices of haplotype diversity (h) and nucleotide diversity ( $\pi$ ) were calculated in ARLEQUIN v3.5.1.3  
146 (Excoffier & Lischer 2010). Diversity was calculated for all sample locations and regions with a sample size of  
147 15 or larger. Genealogy networks were used to visualise nucleotide sequence divergence and genetic  
148 relationships between haplotypes; implemented in TCS v1.21 (Clement et al. 2000).

149 Microsatellite locus number of alleles, conformity with Hardy Weinberg (HW) expectation and linkage  
150 disequilibrium were computed in GENEPOP v4.0 (Rousset 2008). Observed and expected heterozygosity were  
151 calculated in ARLEQUIN. Due to small samples sizes sites CGR and KGR were pooled to form a single Greek  
152 sample (GRE), after testing for allele frequency conformity between the individual samples.

#### 153 Power Analysis

154 No evidence of null alleles was detected within any microsatellite locus using FreeNA (Chapuis & Estoup  
155 2007), and a <1% genotyping error was established by re-scoring five separate gels of each locus and comparing

156 allele sizes with the original scoring. POWSIM v4.0 (Ryman and Palm 2006) was used to test the power of the  
157 microsatellite data to detect signals of genetic differentiation with current sample sizes, using different levels of  
158 genetic divergence ranging from  $F_{ST} = 0.005$  to 0.200.

#### 159 Genetic differentiation

160 Populations were combined into seven regions (Table 1) for testing for differentiation, based on geographic  
161 distance between sites and biogeographic provinces. Genetic structuring was assessed using AMOVA conducted  
162 in ARLEQUIN using  $\Phi_{ST}$  (mtDNA) and  $F_{ST}$  (microsatellites) to test for significant differences within and  
163 between regions across Europe. To determine which pairwise comparisons contributed to the genetic structure  
164 inferred in AMOVA, two measures of genetic differentiation were used, the fixation index  $F_{ST}$  implemented in  
165 ARLEQUIN for mtDNA and FSTAT v2.9.2.3 (Goudet 2001) for microsatellites. Estimates of gene flow between  
166 regions were made using the maximum likelihood method (ML), implemented in MIGRATE 3.2.1 (Beerli &  
167 Felsenstein 2001). The estimates in MIGRATE were based on MCMC simulations using ten long chains and  
168 five short chains, of 150,000 and 11,250 genealogies respectively, with a burn-in of an additional 10,000, data  
169 recorded every 20 reconstructed genealogies. The mutation model was derived by calculating the gamma  
170 distribution (alpha) in PAUP\* v4.0b10 (Swofford 2003).

171 Subpopulation assignment tests were performed on population level microsatellite data in STRUCTURE v2.3.4  
172 (Pritchard et al. 2000) using both admixture and no admixture models with a burn-in of  $5 \times 10^5$  and  $1 \times 10^6$  MCMC  
173 chains. Both models were tested as some regions contained near-by unsampled populations, and some  
174 populations were close whereas some were isolated (SPORT and BISCAY), and these are more likely to have  
175 admixture than geographically distant/isolated ones (i.e. WMED and BLACK). All possible numbers of  
176 populations (K) were tested (1-9), using 20 replicates, and the most parsimonious were assessed according to  
177  $\Delta K$  (Evanno et al. 2005) using STRUCTURE HARVESTER web v0.6.92 (Earl & vonHoldt 2012).

178 The mantel test, which tests the correlation between genetic and geographic distance, was implemented in  
179 IBDWS v 3.23 (Jensen et al. 2005) using 30,000 randomisations on concatenated sequences and microsatellite  
180 genotypes separately. Distances between sampled sites were calculated using minimum sea distances (Table S1).

#### 181 Historical Processes

182 To infer the probability of demographic parameters we used an approximate Bayesian computation (ABC)  
183 approach in the program DIYABC v2.03 (Cornuet et al. 2010; Cornuet et al. 2008), wherein molecular data are  
184 condensed into summary statistics and then compared to simulated data using a coalescent population model.  
185 For our model, we simulated four major regions of *H. guttulatus* distribution: 1) UK+BISCAY, 2) SPORT+MSP,



186 3) WMED+EMED, and 4) BLACK. This regional grouping was selected based on concordance of population  
187 differentiation estimates from both mtDNA and microsatellite analyses (see previous methods and Table 3 as  
188 well as Fig. 3 and 4) and inferred oceanographic regions. The posterior distributions of parameters were  
189 calculated based on 1 million simulations using a total of 48 summary statistics. The fit of summary statistics to  
190 the model and chosen prior distributions were evaluated by locating the observed value and each summary  
191 statistic within a principal component analysis of 5000 simulated data sets. Microsatellite summary statistics  
192 included Mean size variance, Two-sample  $F_{ST}$ , and  $(du)^2$ . Mitochondrial summary statistics included Mean  
193 pairwise differences, Variance of pairwise differences, Tajima's D, Private segregating sites, and Mean numbers  
194 of rarest segregating sites. Between-population statistics included Mean of pairwise differences and  $F_{ST}$  (Hudson  
195 et al. 1992). Simulations were based on a complete dataset of 214 individuals. Mutation rates for mtDNA and  
196 microsatellites were uniformly distributed with an upper and lower bound of 8.00E-9 to 1.3E-8 and 1.00E-005  
197 to 1.00E-004 (in units of per site / per generation / per lineage) respectively. Uniform priors for effective  
198 population size ranged from  $(N_e)$  of  $10 \times 10^2$  to  $15 \times 10^6$ , and divergence time  $10 \times 10^2$  to  $10 \times 10^5$  scaled to a  
199 generation time of 1 year. Euclidean distances between the observed and simulated data set were computed  
200 using a local linear regression, and 5,000 of the closest simulated to the observed datasets were retained to  
201 estimate posterior distributions of 18 parameters, which included divergence times, effective populations sizes,  
202 and timing and magnitude of size change within each region (Table 4) (Beaumont et al. 2002; Cornuet et al.  
203 2008).

## 204 **Results**

### 205 Population description

206 A total of 236 individuals were genotyped for both CR and *cytb* and concatenated to give a sequence of  
207 991bp. The concatenated sequences revealed 70 haplotypes, with the most common haplotype seen in 28% of  
208 individuals across all regions. Total haplotype diversity was high ( $h=0.91$ ) and nucleotide diversity was low  
209 ( $\pi=0.003$ ) (Table 1). High haplotype diversity was found across all locations and regions, with the exception of  
210 the UK (PUK) and southern France (SFR). Nucleotide diversity was low across all populations and regions. The  
211 maximum parsimony network of concatenated sequences resembles a shallow star-like pattern (Fig. 2). Little  
212 geographic structuring can be seen in the network; the most common haplotype is represented in all regions;  
213 almost all other common haplotypes are found in multiple regions, except for the Black Sea; all regions display  
214 multiple private haplotypes. However the percentage of private haplotypes present differed considerably  
215 between regions; the UK has none and the Black Sea 81%, whereas the other regions have between 40-55%.

216 All 313 individuals sampled were genotyped at five microsatellite loci, with all loci displaying no significant  
217 overall departures from Hardy Weinberg expectations of genotype frequencies or linkage disequilibrium.  
218 Moderate to low levels of genetic variability were observed at all loci (Table 2), but private alleles were present  
219 at each locus and all sampled locations. Observed and expected heterozygosity (Table 2) did not display  
220 geographic patterns, and no indication of inbreeding ( $F_{IS}$ ) were significant following Bonferroni correction.  
221 Power analysis based on sample size and screened microsatellite loci suggested that genetic divergence can be  
222 detected with >93% confidence for  $F_{ST}$  of 0.005, 98% confidence for  $F_{ST}$  of 0.007 and > 99.9% confidence for  
223  $F_{ST} \geq 0.010$ . An expected  $F_{ST}$  of zero estimates  $\alpha$  to be 0.060–0.078, indicating expected levels of type I error.  
224 These results suggest that the five loci have the power to detect low levels of genetic differentiation (down to  
225  $F_{ST}$  of 0.005).

#### 226 Genetic differentiation

227 The global  $F_{ST}$  ( $\Phi_{ST}$  0.089  $p < 0.0001$  mtDNA;  $F_{ST} = 0.087$ ,  $p < 0.0001$  microsatellites) indicated that there was  
228 significant population genetic differentiation across the sampled range. The AMOVA indicated that the greatest  
229 proportion of variation at both mtDNA and nDNA loci is among individuals within sample sites, although both  
230 marker types detected significant variation among regions (mtDNA: 10.4%,  $\Phi_{SC}$  0.104,  $p < 0.0001$ ) (nDNA;  
231 7.28%,  $F_{SC}$  0.157,  $p < 0.005$ ), with marginally significant variation between locations within regions for the  
232 microsatellite data (Table S2).

233 When samples were grouped and tested by geographical region widespread significant genetic structuring  
234 was shown in both mtDNA and microsatellite data across the range of *H. guttulatus* (Table 3). The majority of  
235 pairwise  $F_{ST}$  tests were significant even after sequential Bonferroni correction, the two exceptions being UK v  
236 BISCAY and MSP v SPORT. Gene flow estimates calculated in Migrate reveal a complex population structure  
237 (Fig. 3) that shares aspects of the pattern revealed in pairwise differentiation tests (Table 3), with high values  
238 within UK-BISCAY and SPORT-MSP but much lower values elsewhere. The UK-BISCAY estimates are  
239 bidirectional but unequal, with substantially more gene flow southwards, whereas the SPORT-MSP estimates  
240 are bidirectional and symmetrical. The Black Sea displays zero gene flow between it and all other regions.  
241 However the EMED populations do have genetic exchange with populations from WMED, MSP and SPORT.  
242 The STRUCTURE analysis with both admixture and non-admixture models indicated highest support for three  
243 genetic clusters among the sampled locations, which are UK-BISCAY, SPORT-MSP-WMED-EMED and  
244 BLACK (Fig. 4). Subsequent analysis of just the SPORT-MSP-WMED-EMED cluster shows clear support  
245 for divergent clustering of SPORT-MSP and WMED-EMED (Fig. 4) resulting in four overall clusters. As a

246 precautionary analysis to comply with the conservation management aims of the study, four metapopulations  
247 were chosen for demographic coalescent model analysis (UK+BISCAY, SPORT+MSP, WMED+EMED, and  
248 BLACK). Henceforth these metapopulations are referred to as N. ATLANTIC (comprising PUK, BFR, CFR,  
249 RFR, SSP samples), SW. IBERIA (TPO, PPO, RPO, MSP), MED (ASP, SFR, NITRIT, KGR, CGR) and  
250 BLACK (VBU).

251 Mantel tests to assess correlation of genetic and geographic distance gave a positive and significant  
252 relationship among all Atlantic and Mediterranean samples (mtDNA:  $r=0.6910$ ,  $p<0.01$ ; microsatellites:  
253  $r=0.5267$ ,  $p<0.05$ ). Subdivision of the sample sets indicated that the significant relationship was maintained  
254 across the samples from the Atlantic Ocean to Malaga site (MSP) ( $r=0.5352$   $p<0.001$ ), but that no correlation  
255 existed across the Mediterranean samples ( $r=0.3033$ ,  $p>0.05$ ).

256 Historical processes

257 The DIYABC coalescent analysis indicated large values for contemporary effective population size in all  
258 four regional metapopulations ( $N_E$  of  $\sim 730K$  to  $1130K$  – Table 4). Estimates of divergence times between the  
259 four populations were all relatively recent, ranging from 18Kya between N.ATLANTIC and SW.IBERIA up to  
260 66 Kya between SW.IBERIA and MED (Table 4). Estimates of time since population expansion are even more  
261 recent, ranging from 2.4 Kya to 9.5 Kya (Table 4). However, because our models do not include divergence with  
262 gene flow, divergence times should be considered as approximations, allowing for the possibility of lineage  
263 divergence with gene flow taking place over a longer period of time.

## 264 **Discussion**

265 Genetic variability

266 Levels of genetic diversity within species are important to consideration of conservation and management  
267 plans, where maintenance of genetic diversity is recommended (Kenchington et al. 2003). The high haplotype  
268 number combined with low nucleotide diversity observed in *H. guttulatus* is indicative of recent population  
269 expansion (Grant and Bowen 1998) across the species range. There are two exceptions to this range-wide  
270 pattern: the most northern population and a population located in the Thau lagoon, a water mass with extremely  
271 limited water flow to the Mediterranean Sea, have lower haplotype diversity. Lower diversity in the UK can be  
272 explain by Hewitt's (2000) model of colonisation of geographically peripheral range edge sites, whereas the  
273 Thau lagoon population is more likely to be a result of inbreeding in a small isolated population (Frankham  
274 2005). Such patterns are common in marine species (Astolfi et al. 2005; Gysels et al. 2004; Teske et al. 2003)  
275 both at the extreme limits of the species' range and in isolated sites. Such differences in diversity, however, were

276 not observed in *H. hippocampus* (Woodall et al. 2011b), which may result from differences in habitat preference  
277 between these two seahorse species, with *H. hippocampus* more often found along open coasts whereas *H.*  
278 *guttulatus* is more frequently found in discontinuous habitats such as estuaries and lagoons (Woodall 2009).  
279 The range-wide ubiquity of a common mtDNA haplotype combined with many closely related haplotypes, and  
280 regional population groups with differing proportions of private haplotypes, in *H. guttulatus* is congruent with  
281 the distribution of microsatellite genotype variation, and a pattern common to other seahorses and is thought to  
282 reflect post-bottleneck expansions from a single refugium with ongoing contemporary gene flow (Saarman et al.  
283 2010; Teske et al. 2003; Woodall et al. 2011b). Other syngnathid species, however display different distributions  
284 of genetic diversity, so species-specific characteristics need to be discerned and taken into account in  
285 management. The Mediterranean lagoon-dwelling pipefish *Syngnathus abaster* has a more complex haplotype  
286 network but no shared haplotypes between populations, and similar nucleotide diversity to seahorses (Sanna et  
287 al. 2013), suggesting that the fragmented habitat and life history characteristics of the species have resulted in  
288 population isolation and breakdown of gene flow following the initial post-glacial expansion. On a larger  
289 geographical scale the seahorse *H. erectus* also demonstrates regionality and genomic divergence, with little  
290 connectivity between northern and southern populations occupying waters with very different environmental  
291 conditions (Boehm et al. 2015).

#### 292 Population structuring

293 Genetic analysis revealed a complex pattern of subpopulations and connectivity with the initial regional  
294 assignments (Table 1), with four geographically defined lineages indicated: UK to northern Spain; Portugal to  
295 Malaga on the Mediterranean coast of Spain; the rest of the Mediterranean; and the Black Sea. There was some  
296 evidence for divergence between western and eastern regions of the Mediterranean Sea, but this was to an extent  
297 much less than the other divisions and not supported by all analyses (see below). Subpopulation genetic  
298 divergence revealed in *H. guttulatus* appears to partially reflect that found in the congeneric and co-distributed  
299 short snouted seahorse *H. hippocampus* (Woodall et al. 2011b). It may be expected that both species would have  
300 a similar pattern of population differentiation as they can co-occur and have very similar life-history characters.  
301 However they show differences in micro-habitat preference (Curtis et al. 2007) and macro-habitat distribution  
302 (Woodall 2009). *Hippocampus hippocampus* also has a greater southern latitudinal range and is thought to have  
303 undergone more recent range expansion than *H. guttulatus* (Boehm et al. 2013; Teske et al. 2007). This apparent  
304 greater structuring of *H. guttulatus* suggests that a different combination of historical and contemporary  
305 processes may have contributed to these species' population structure.

306 Impact of life-history on genetic diversity

307 In contrast to expectations of very limited dispersal and gene flow predicted from species biology and life  
308 history, the pattern of genetic similarity observed within and among geographical regions across the species  
309 range suggests that *H. guttulatus* dispersal, although limited in places, is sufficient to maintain long-term gene  
310 flow across relatively large distances. The apparent isolation of the Black Sea population, signified by  
311 significant inbreeding and genetic differentiation, and breakdown of gene flow across several regions (NW  
312 Iberia and Mediterranean coast of southern Spain) illustrates the potential for this species to form segregated  
313 populations. The overall genetic similarity across large areas suggests that unsampled stepping-stone  
314 populations could be the conduit for genetic exchange between sampled populations, as supported by isolation-  
315 by-distance effects across large parts of the range (Palumbi 2003).

316 Contemporary barriers to gene flow in *H. guttulatus*

317 *Cape Finisterre*. A major barrier to gene flow between the northern Spanish and southeast Portuguese sites was  
318 supported in *H. hippocampus* (Woodall et al. 2011b), and a similar pattern is consistent with our analysis of *H.*  
319 *guttulatus*. Other studies have observed Cape Finisterre in northwest Spain as being associated with genetic  
320 differentiation of marine populations (Neiva et al. 2012; Piñeira et al. 2008; Quesada et al. 1998), although a  
321 small-scale study of *H. guttulatus* across this area did not find population differentiation to either side of the  
322 cape (Lopez et al. 2015). A more southerly barrier to gene flow, between Rio Mondego and Rio Sado in central  
323 Portugal, has been suggested for other marine species (Diekmann et al. 2005; Pascoal et al. 2009). Further  
324 small-scale research will be required to elucidate exactly where gene flow breaks between *H. guttulatus*  
325 population around the northwestern Iberian Peninsula occur. However the interaction of current and upwelling  
326 systems along with fragmented habitat are likely to define the location of the barrier, which could be understood  
327 by biophysical oceanographic modelling (Nolasco et al. 2013).

328 *Gibraltar Straits or Almeria/Oran front?* Genetic data indicate that the population MSP, in the Alboran Sea, east  
329 of the Straits of Gibraltar but west of the Almeria-Oran front (AOF), is part of the SW IBERIA metapopulation  
330 (Atlantic coasts). Thus the AOF correlates with *H. guttulatus* population structure and is the likely barrier to  
331 genetic exchange between Atlantic Ocean and Mediterranean Sea populations. The Atlantic–Mediterranean  
332 biogeographic boundary has been analysed in over 70 studies of many different marine organisms, and both fish  
333 (Charrier et al. 2006; Domingues et al. 2007) and invertebrates (Baus et al. 2005; Perez-Losada et al. 2002)  
334 show genetic differentiation correlating with the AOF. A number of studies, reviewed in Patarnello et al. (2007),  
335 suggest that the AOF is a significant physical barrier to individual dispersal and gene flow.

336 *The Siculo-Tunisian strait.* Although to a much lesser extent than other gene flow boundaries identified in the  
337 present study, there is some evidence for genetic differentiation between populations of the western and eastern  
338 basins of the Mediterranean. The shallow sill of the Siculo-Tunisian straits disrupts local hydrodynamics and  
339 current flows, and so hinders genetic exchange between the two basins in a number of marine species (Merjri et  
340 al. 2009; Serrra et al. 2009) and is thought to be a biogeographic boundary (Bianchi and Morri 2000). The  
341 absence of isolation-by-distance effects in *H. guttulatus* across the Mediterranean suggests that the  
342 differentiation across the Siculo-Tunisian Strait is worthy of further investigation and decision as to its  
343 importance to management of this species.

344 *The Bosphorus Straits and the Black Sea.* The Black Sea is geographically isolated with only a narrow connection  
345 to the Mediterranean Sea through the Bosphorus Straits. The historic isolation of the Black Sea and its distinct  
346 present environmental parameters (Sorokin 2002) suggest that the observed seahorse population structure could  
347 be a result of both historic and contemporary conditions. Our coalescent analysis suggests that historically the  
348 Black Sea population diverged from that in the Mediterranean roughly 50 Kya, just prior to the last glacial  
349 maximum (LGM), followed by a more recent population expansion after the LGM. The present low but  
350 significant genetic differentiation of the Black Sea *H. guttulatus* population (Table 3) indicates that it has not yet  
351 achieved migration-drift equilibrium with the Mediterranean population since the LGM. Genetic differentiation  
352 of Black Sea from eastern Mediterranean fish populations has been reported previously (Debes et al. 2008;  
353 Durand et al. 2013; and see Patarnello et al. 2007), but by contrast so has genetic homogeneity in other fish  
354 species (Magoulas et al. 2006), including the confamilial pipefish (Wilson & Eigenmann Veraguth 2010). It is  
355 likely that *H. guttulatus* has experienced episodic colonisation, isolation and gene flow in the Black Sea during  
356 multiple glacial cycles, in common with other fish species such as shad (Faria et al. 2012), but at present the  
357 Black Sea appears to harbour a distinct subpopulation of this seahorse.

358 Historic demographic effects on diversity and distribution

359 The *H. guttulatus* mtDNA haplotype network is consistent with a past demographic process of population  
360 expansion following a bottleneck across the species range. Such a demographic signature of population  
361 bottleneck plus expansion of is found in many other marine fishes across the same geographic range (e.g.  
362 Domingues et al. 2008), including other Syngnathids (Saarman et al. 2010; Wilson & Eigenmann Verguth 2010;  
363 Woodall et al. 2011b). The DIYABC analyses suggest that isolation and divergence of populations of *H.*  
364 *guttulatus* across Europe occurred during the last glacial maximum (66-18 Kya), and that population expansions  
365 occurred in all sub-populations after the LGM to the present (<10 Kya). Similar demographic signatures of past

366 glacial periods are commonly seen in European marine species, and in some populations of Syngnathidae  
367 (Maggs et al. 2008; Wilson & Eigenmann Veraguth 2010; Woodall et al. 2011b). In common with other  
368 temperate marine species, and in accord with Hewitt's (2000) model, the presence of a common haplotype  
369 across the range and higher genetic diversity of the more southern populations (SW IBERIA and Mediterranean  
370 in the present study) indicates that these areas harboured larger or refugial populations during previous glacial  
371 periods of the Pleistocene, and that the more northern populations of Biscay that exhibit the most extreme  
372 signals of expansion (Table 1) may have been extirpated and subsequently recolonized (at least during the more  
373 extreme glacial maxima before the LGM).

#### 374 **Conservation Conclusions**

375 Our data indicate substantial genetic diversity and connectivity across the European range of *H. guttulatus*,  
376 but also the effects of two substantial barriers to gene flow (and consequent genetic differentiation), at Cape  
377 Finisterre and the Bosphorus Straits, and further differentiation across the Almeria-Oran front and between the  
378 eastern and western Mediterranean. These patterns reveal that both contemporary processes (life-history and  
379 oceanographic features) and historic (paleoclimatic) events influence present population structure of *H.*  
380 *guttulatus*. We suggest that following the initial speciation in the Miocene (Teske et al. 2007), contraction of the  
381 species range during Pleistocene glacial maxima to at least one southern European refugial population followed  
382 by recurrent expansion and re-colonisation from these sites has been mediated by the isolating mechanism of  
383 oceanographic features combined with the low dispersal potential of *H. guttulatus*.

384 Current genetic structuring and diversity suggests four main *H. guttulatus* metapopulations, with potential  
385 subdivision of the east and west Mediterranean, which should be recognised as management units (MU)  
386 (Palsboll et al. 2006). In future, further details of genetic differentiation across smaller geographic ranges  
387 (additional sub-structuring) and of specific genetic barriers could be used to determine if particular priority  
388 should be given to specific populations (Volkman et al. 2014). However current data suggest that the MU  
389 designation is robust and should be considered as the basis of a management strategy for this species, which  
390 would mean combining range-wide coastal habitat conservation and transboundary planning for protected areas.

391 Connectivity around the coastline is reliant on suitable habitat for *H. guttulatus*, which should be considered  
392 carefully in conservation plans. The population structure observed, suggests that the sedentary nature of this fish  
393 is most likely partially offset by the dispersal of juveniles as zooplankton, occasional migration events by adults,  
394 and/or dispersal by rafting (Luzzatto et al. 2013).

395 Coastal ecosystems have many wildly varying environmental parameters, suggesting seahorses often  
396 experience non-ideal conditions, which in turn may cause demographic fluctuations (Caldwell & Vincent 2012;  
397 Curtis & Vincent 2006; Woodall 2009, 2012). These demographic decreases may be the drivers for the observed  
398 genetic differentiation. Additionally, reduced genetic diversity as a result of these localised bottleneck events is  
399 thought to be an indicator of extinction risk in threatened species (Frankham 2005). Care should therefore be  
400 taken not just to conserve *H. guttulatus* metapopulations but also to protect potential habitat. Indeed this  
401 ecosystem management approach is now popular (Pérez-Ruzafa et al. 2008), and an identified international  
402 fisheries policy goal (Veitch et al. 2012). *Hippocampus guttulatus* is currently listed as Data Deficient on the  
403 IUCN Red List (Woodall 2012) with a suggestion that more information on population demographic changes is  
404 required before it can be categorized. Therefore long-term monitoring of known populations is required to  
405 determine population trends. In addition, further genetic studies are required, focusing on population  
406 connectivity along the coast at the 50-100 Km scale, to determine possible stepping-stone populations and to  
407 establish if contemporary gene flow within metapopulations is deemed large enough to ensure long term  
408 survival. There is no known targeted fishery for this species, but seahorses are threatened by anthropogenic  
409 activities in coastal ecosystems, such as habitat disturbance from aggregate dredging, coastal development,  
410 pollution and fishing activity (Vincent et al. 2011). As reported for *H. hippocampus* (Woodall et al. 2011b) there  
411 are no Europe-wide conservation measures in place for seahorses, but it is important for management agencies  
412 to work internationally due to the transboundary nature of the *H. guttulatus*' range and proposed MUs. In  
413 addition, seahorses are globally considered a charismatic flagship species, and because they share habitat with  
414 numerous taxa the protection of their populations and habitat can extend to whole ecosystems being protected  
415 from harmful activities.

416 The signatures of the complex history of climate shifts are evident in *H. guttulatus* population structure. This  
417 suggests that this species has previously coped with environmental conditions that have caused localised  
418 population extinctions. Many extant populations are seen to inhabit regions with large temperature fluctuations  
419 (Woodall 2009). However, contemporary climate change will result in changes to the population structure  
420 through habitat and hydrodynamic changes, and thus to the location and possibly the composition of the MUs  
421 suggested here. Therefore the implications of climate change on *H. guttulatus* would have to be carefully  
422 considered and add further justification to the importance of monitoring populations of this fish. In summary,  
423 the design of any proposed international management strategies should be informed by the meta-populations



424 elucidated in this study, but further monitoring of population structure and demography is recommended to  
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665 **Figure Legends**

666 **Figure 1.** Map of *Hippocampus guttulatus* sample sites and potential oceanographic barriers along the European  
667 coastline including the regional groupings assigned to populations (in brackets). Proposed oceanographic  
668 barriers to effective dispersal / gene flow in *H. guttulatus*: 1 Brittany; 2 Cape Finisterre; 3 Gibraltar straits; 4  
669 Almeria-Oran front; 5 Siculo-Tunisian front; 6 Bosphorus straits.

670 **Figure 2.** *Hippocampus guttulatus* mtDNA haplotype network based on concatenated partial Control Region  
671 and cytochrome b sequences. Haplotypes are shown with size proportional to observed frequency, and segments  
672 represent the four proposed regional metapopulations. Lines indicate single mutations and black squares  
673 unobserved intermediate haplotypes.

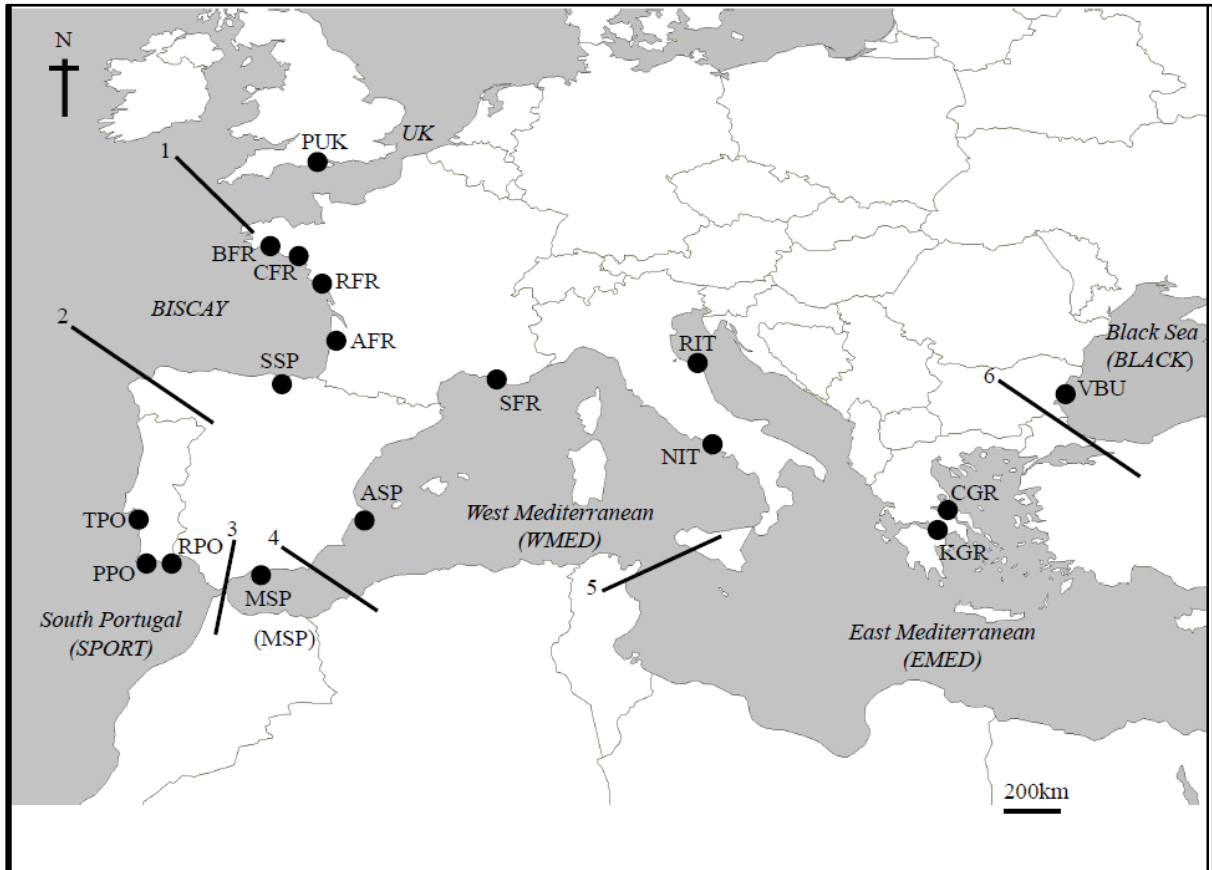
674 **Figure 3.** Map of *Hippocampus guttulatus* migration rates estimated using MIGRATE. The thicker the line the  
675 larger the migration rate and the dashed line shows no migrate exchange is suggested in any direction.

676 **Figure 4.** *Hippocampus guttulatus* population structure inferred by STRUCTURE analysis; for the whole  
677 geographic region (a) and for the central regions (SW. IBERIA and MED) (b).

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680 Figure 1

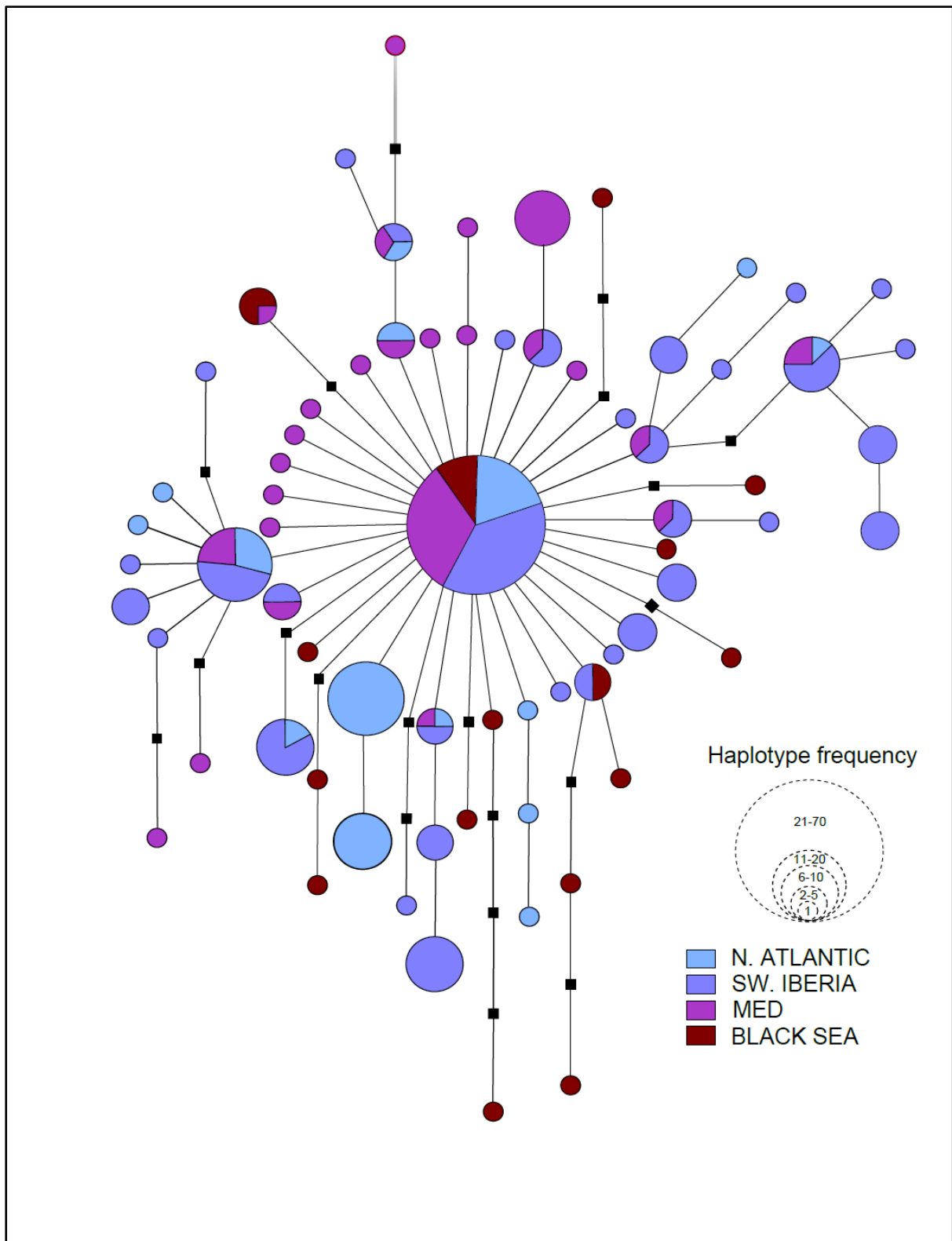


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683 Figure 2

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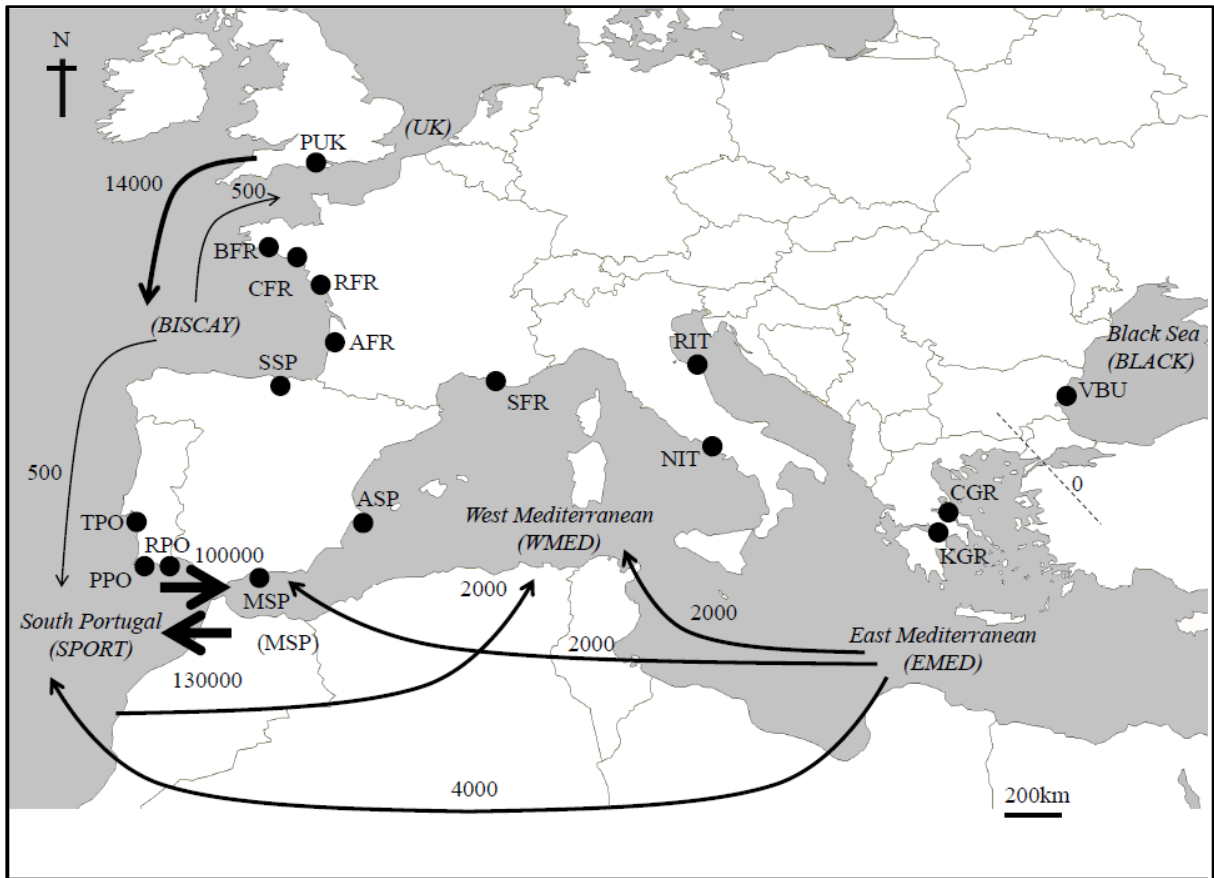


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687 Figure 3

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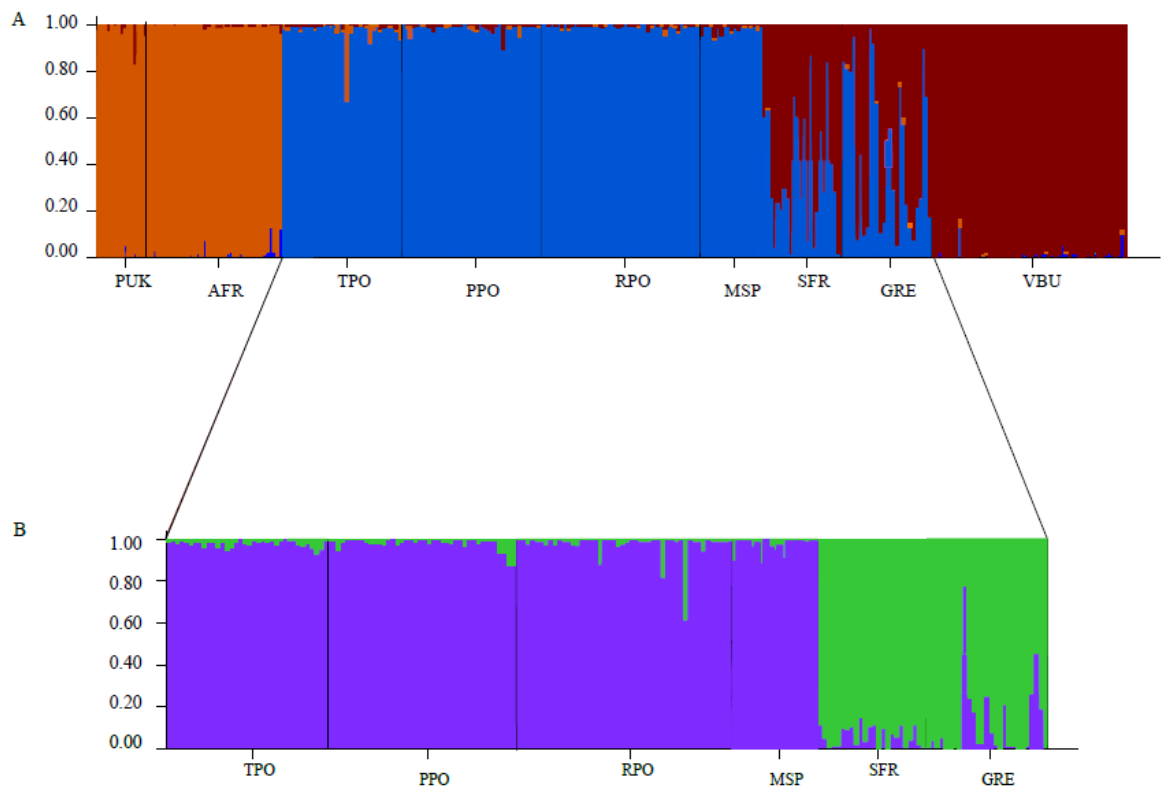


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Figure 4



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694 **Tables**695 **Table 1** *Hippocampus guttulatus*: Sample information- sample location, sample code and biogeographic region.

696 Sample size sequenced (S), number of haplotypes (H), number of private haplotypes (P), haplotype diversity (h)

697 and nucleotide diversity ( $\pi$ ). Haplotype and nucleotide diversity are only given when sample size  $\geq 15$  and for

698 all regions.

699

Location	Code	Region	S	H	P	h	$\pi$
Poole, UK	PUK	UK	15	4	0	0.73	0.001
Brest, France	BFR	BISCAY	2	2	0		
Le Croisic, France	CFR	BISCAY	3	2	0		
La Rochelle, France	RFR	BISCAY	5	4	1		
Arcachon, France	AFR	BISCAY	26	12	4	0.89	0.002
San Sebastian, Spain	SSP	BISCAY	3	2	1		
		BISCAY	39	15	6	0.89	0.002
Troia, Portugal	TPO	SPORT	24	13	3	0.88	0.002
Portimao, Portugal	PPO	SPORT	26	15	5	0.90	0.003
Ria Formosa, Portugal	RPO	SPORT	29	19	6	0.95	0.003
		SPORT	79	30	15	0.91	0.003
Malaga, Spain	MSP	MSP	19	12	1	0.94	0.003
Alicante, Spain	ASP	WMED	4	3	1		
Sete, France	SFR	WMED	26	7	4	0.66	0.001
Napoli, Italy	NIT	WMED	1	1	0		
		WMED	31	9	5	0.66	0.001
Riccione, Italy	RIT	EMED	2	2	0		
Kalamaki, Greece	KGR	EMED	14	9	4	0.88	0.002
Chalkida, Greece	CGR	EMED	13	9	5	0.92	0.002
		EMED	29	17	9	0.91	0.002
Varna, Bulgaria	VBU	BLACK	24	16	13	0.91	0.003

700 **Table 2** *Hippocampus guttulatus* summary statistics for genetic variation across five microsatellite loci and the  
 701 nine samples where  $n > 14$ . Sample size (n), haplotype diversity (h), number of alleles ( $N_a$ ), expected and  
 702 observed heterozygosity ( $H_E$  and  $H_o$ ),  $F_{IS}$  = inbreeding coefficient. Significance \*= $p < 0.05$  and  
 703 \*\*= $p < 0.01$ . All regions are represented by a single site, apart from SPORT (denoted by <sup>a</sup>).

704

Locus	Populations								
	PUK	AFR	TPO <sup>a</sup>	PPO <sup>a</sup>	RPO <sup>a</sup>	MSP	SFR	GRE	VBU
n	15	41	36	42	50	19	24	27	59
h	0.44	0.45	0.38	0.37	0.39	0.37	0.35	0.44	0.34
Hgu4									
$N_a$	3	4	4	5	8	4	5	2	5
$H_o$	0.13	0.24	0.25	0.43	0.34	0.37	0.38	0.30	0.22
$H_E$	0.13	0.23	0.22	0.36	0.36	0.37	0.51	0.26	0.25
$F_{IS}$	-0.02	-0.09	-0.13	-0.16	-0.11	-0.01	0.27*	-0.16	0.11*
Hgu12									
$N_a$	4	3	3	3	3	3	2	3	1
$H_o$	0.20	0.10	0.17	0.21	0.26	0.21	0.21	0.15	0.00
$H_E$	0.19	0.09	0.16	0.21	0.24	0.20	0.19	0.14	0.00
$F_{IS}$	-0.04	-0.03	-0.05	0.00	0.02	-0.06	-0.10	-0.04	NA
Hcaμ11									
$N_a$	8	14	13	15	15	9	7	11	11
$H_o$	1.00	0.80	0.69	0.88	0.82	0.74	0.62	0.67	0.63
$H_E$	0.80	0.81	0.74	0.81	0.87	0.84	0.54	0.74	0.72
$F_{IS}$	-0.27	0.00	0.06	-0.08	-0.02*	0.13**	-0.15	0.10	0.14**
Hcaμ25									
$N_a$	3	5	5	4	5	4	3	5	3
$H_o$	0.53	0.54	0.36	0.33	0.24	0.32	0.08	0.15	0.07
$H_E$	0.52	0.53	0.47	0.35	0.27	0.33	0.08	0.27	0.07
$F_{IS}$	-0.02	0.01	0.24**	0.05	0.21	0.04	-0.01	0.46*	-0.02
Hcaμ27									
$N_a$	4	6	6	4	7	3	6	12	10
$H_o$	0.53	0.71	0.36	0.09	0.18	0.11	0.33	0.74	0.54
$H_E$	0.56	0.61	0.32	0.09	0.19	0.10	0.43	0.78	0.63
$F_{IS}$	0.06	-0.18	-0.12	-0.02	0.38	-0.01	0.23	0.06	0.15**
All									
$N_a$	4.4	6.4	5.8	6.2	7.6	4.6	4.6	6.6	6.0
$H_o$	0.48	0.48	0.37	0.39	0.36	0.35	0.33	0.4	0.29
$H_E$	0.44	0.45	0.38	0.36	0.39	0.37	0.35	0.44	0.33
$F_{IS}$	-0.09	-0.06	0.04	-0.06	0.04	0.06	0.08	0.09	0.13**

705



706 **Table 3** *Hippocampus guttulatus* genetic differentiation among regional populations (see text and Table 1 for  
 707 definition)  $F_{ST}$  values for mtDNA are below the diagonal and nDNA microsatellites above diagonal, significance  
 708 levels: ; \*\* =  $p < 0.01$ ; \*\*\* =  $p < 0.001$  all remain significant following Bonferroni correction.

709 a)

	UK	BISCAY	SPORT	MSP	WMED	EMED	BLACK
UK		0.012	0.177***	0.182***	0.220***	0.118***	0.155***
BISCAY	0.016		0.103***	0.098***	0.169***	0.094***	0.125***
SPORT	0.119***	0.119***		0.000	0.050***	0.062***	0.065***
MSP	0.196***	0.178***	0.212		0.065***	0.072***	0.079***
WMED	0.202***	0.170***	0.083***	0.158***		0.052***	0.057***
EMED	0.122***	0.098***	0.182***	0.089**	0.064***		0.026***
BLACK	0.124***	0.138***	0.081***	0.112***	0.080***	0.036**	

710 **Table 4** DIYABC estimates of A) contemporary effective population size ( $N_e$ ) and population expansion, and B) time since divergence for regional populations of

711 *Hippocampus guttulatus*

712 A

Parameters for Regional Populations	Modern $N_e$ (individuals)	Quartiles 2.5-9.75%	Time of size change (years)	Quartiles 2.5-97.5%	Pre size change $N_e$	Quartiles 2.5-9.75%
BISCAY	736,000	234,000 - 1,450,000	3,730	614-47,500	15,200	6,910-1,270,000
SW.IBERIA	771,000	289,000 - 1,450,000	8,950	522-45,400	269,000	55,100-1,440,000
MED	1,130,000	474,000 - 1,480,000	9,520	1,210-70,300	214,000	54,700 - 1,460,000
BLACK	765,000	218,000 - 1,460,000	2,460	1,050-67,700	170,000	30,400-1,380,000

713

714 B

Parameters for Regional Populations	Divergence times (years)	Quartiles 5-95%
T1 S.IBERIA and BISCAY	18,300	7,400-70,400
T2 MED and BLACK	47,100	19,100-87,800
T3 S.IBERIA and MED	66,000	32,300-116,000

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716