1	Antibodies and protection in systemic Salmonella infections: do we still
2	have more questions than answers?
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15 Abstract

- Salmonella causes grave systemic infections in humans and other animals and provides a paradigm for
 other diseases where the bacteria have both intracellular and extracellular lifestyles.
- 18 New generations of vaccines rely on the essential contribution of the antibody responses for their
- 19 protection. The quality, antigen specificity and functions associated with antibody responses to this
- 20 pathogen have been elusive for a long time. Recent approaches that combine studies in humans and
- 21 genetically manipulated experimental models, and exploit awareness of the location and within-host life
- 22 cycle of the pathogen, are shedding light on how humoral immunity to Salmonella operates. However,
- 23 this area of research remains full of controversy and discrepancies.
- 24 The overall scenario indicates that antibodies are essential for resistance against systemic Salmonella
- 25 infections and can express the highest protective function when operating in conjunction with cell-
- 26 mediated immunity. Antigen specificity, isotype profile, Fc-gamma receptor usage and complement
- 27 activation are all intertwined factors that still arcanely influence antibody-mediated protection to
- 28 Salmonella.

29 Introduction

30 Several serovars of Salmonella enterica cause systemic diseases in humans and other animals. The global 31 estimated burden of typhoid fever (serovar Typhi) is over 21M illnesses and 200,000 deaths with sustained 32 high incidence in Southeast Asia and endemic/epidemic occurrence increasingly reported in Africa (1-6). 33 Paratyphoid fever (serovars Paratyphi A, B and C) has an estimated 5.4M illnesses worldwide (3). Invasive 34 non-typhoidal Salmonella (iNTS) serovars (e.g. Typhimuriun and Enteritidis) are a leading cause of lethal 35 sepsis and severe relapsing infections in young children and immune-compromised individuals, especially 36 in countries of the sub-Saharan African region (6-12) with an estimated 3.8M illnesses leading to 680,000 37 deaths annually and very high case-fatality ratios (20%) (7, 11). Antimicrobial resistance (AMR) is an 38 increasing problem in tackling many bacteria including Salmonella (7, 11, 13-15).

39

40 Why is it important to gain a better understanding of antibody-mediated immunity to Salmonella?

There is extensive international consensus on the urgent need for better and affordable vaccines against systemic *Salmonella* infections. Vaccination has the potential for a high economic and health impact in fighting AMR infections (16-19). Several classes of vaccines against systemic *Salmonella* disease have been considered in the past decades (20, 21). These vary in their ability to induce protective cell-mediated and humoral immunity with, broadly speaking, live attenuated vaccines being more efficient than non-living preparations at eliciting Th1 type T-cell immunity known to contribute to host resistance to this bacterium (22, 23).

48 Despite the superior protective activity shown in animal models, live *Salmonella* vaccines can cause lethal 49 infections in immune-compromised hosts (24-27). Mutants of *Salmonella*, including those that have been 50 considered so far as vaccine candidates, retain virulence and can rapidly kill immune-suppressed mice

51 (24-27). Mice that lack T-cell functions (25, 27) and mice co-infected with malaria are very susceptible to 52 infection with live Salmonella including mutants that have been considered as vaccine candidates (28, 53 29). Efforts to identify single gene mutations for the development of live vaccine candidates that would 54 be completely safe (totally unable to grow to high numbers) in severely immune-deficient animals have 55 been unsuccessful (24). This raises some concerns for the use of live vaccines in endemic areas with a higher incidence of immune-suppressive conditions. For example, HIV and malaria are co-morbidities that 56 57 make humans more susceptible to systemic Salmonella infection leading to severe, often fatal disease, 58 and therefore pose dangers to the use of live vaccines (30, 31). These co-morbidities are widespread and 59 epidemiologically co-localize with areas of the developing world where there is a high incidence of epidemic or endemic systemic salmonelloses and therefore where anti-Salmonella vaccines are most 60 61 needed (9, 20, 31, 32).

62 Mainly for safety reasons, non-living vaccines are currently being considered as prime candidates for 63 immunization against Salmonella diseases. The protective ability of these vaccines relies largely on the 64 induction of antibodies. If we are to use non-living vaccines as tools against systemic salmonelloses, it is 65 therefore essential to rationally optimize the antibody responses induced by these preparations. This 66 knowledge would also be immensely useful to understand how those comorbidities that impair antibodymediated functions increase susceptibility to disease and to design vaccine strategies that can at least in 67 68 part reverse these immune suppressing conditions. This will need to be based on a clearer understanding 69 of the qualitative and functional features of the protective antibody response against Salmonella.

This article will briefly outline the factors that influence the protective efficacy of the antibody response to systemic *Salmonella* infections and will embed antibody functions in the context of the location and spread of the bacteria during the infection process. The mini-review will also highlight the interactions

- and dual requirement of T and B-cell mediated immunity both in the engenderment of antibody and T cell responses and in the expression of *in vivo* resistance to the pathogen.
- 75

76 How can antibodies protect against a pathogen that has an intracellular lifestyle?

The relative importance of antibodies and cellular immunity in host resistance to systemic *Salmonella*infections has been a matter of debate for a long time.

79 The controversy initially originated from uncertainties in the location of the bacteria within the tissues of an infected host. It is undisputable that in many animal species, including humans, Salmonella resides 80 inside phagocytes and grows within these cells using an armoury of genes and effectors to foster its 81 82 replication and evade intracellular killing (24, 33-40). Evidence from the mouse model on the role of the 83 phagocyte innate resistance gene Ity (now known as Scla11a1) in the control of bacterial division in vitro 84 and in vivo as well as studies where the bacteria could be seen to grow within cultured phagocytic cells 85 corroborated these views (41-44). However, evidence for extracellular growth and lack of intracellular replication had also been produced, albeit based on electron microscopy studies where infections were 86 87 allowed to progress to very high, pre-mortem, bacterial numbers in the tissues. In these studies 88 intracellular bacteria were seen to undergo various stages of degradation inside macrophages and 89 granulocytes and therefore it was inferred that Salmonella was more likely to be an extracellular pathogen 90 and its virulence directly related to its antiphagocytic property (45).

The debate was further fuelled by immunological studies in both experimental animals and humans. On the one hand evidence was provided for the protective role of antibodies being limited to the very early stages of parenteral experimental infections, when the bacteria have not yet reached an intracellular location, with no detectable effect on their later growth in the tissues (46, 47); on the other hand the

95 protective effect of whole-cell non-living typhoid vaccines, that induce mainly humoral immunity, and Vi 96 vaccines that do not directly contain *Salmonella*-specific T-cell antigens was undeniable (48-53). However, 97 protection by passive transfer of antibodies or T-cells alone and by non-living vaccines was seen only in 98 host-pathogen interactions where the infection is naturally mild and sublethal, such as challenging 99 resistant mice with either virulent or weakly virulent strains or susceptible mice with weakly virulent 90 strains (46, 50).

101 These discrepant views on the relative importance of humoral and cellular immunity to Salmonella were 102 largely reconciled by studies showing that passive transfer of both antibodies and T-cells, but neither 103 alone, could protect recipient innately susceptible animals against fatal systemic Salmonella disease (54). 104 More recently the essential role of both antibodies and T-cells in host resistance to Salmonella has been corroborated by additional evidence obtained from human studies. For example, clinical observations 105 106 indicate that lack of antibodies at young age correlates with the incidence of iNTS in African children (55, 107 56), despite these children acquire Salmonella-specific CD4 Th1 cell immunity very early in life; only when 108 antibodies are developed in addition to T-cell immunity full protection is achieved (57). This resistance is 109 then abrogated by comorbidities that can affect either cellular or antibody-mediated functions (29, 58-110 62). For example, HIV that has a profound effect of CD4⁺ T-cell immunity and malaria that impairs 111 phagocyte functions increase susceptibility to systemic Salmonella infections such as iNTS. A similar 112 increase in susceptibility is seen in patients with deficiencies in cytokines such as IFNy and IL12 and in 113 their receptors leading to lack of activation of phagocytes and likely also T-cell immunity (9, 27, 63-67).

114

Salmonella: a bacterium with a complex intra and extracellular pathogenesis. Where do antibodies target Salmonella?

118 Salmonella infections are normally acquired by the oral route and reach the blood after invading the 119 gastrointestinal tract (68). In the blood, the bacteria can be found either as extracellular bacteria or 120 associated with CD18⁺ cells (69) (Figure 1, A). Extracellular Salmonella in the blood can be targeted by 121 antibodies that enhance their uptake and killing by resident phagocytes of the spleen and liver (46, 70, 122 71) and can potentially lyse them via activation of the complement classical pathway (56). From the blood, 123 Salmonella reach an intracellular location within phagocytes of the liver, spleen and bone marrow (70, 72, 73) (Figure 1, B). Early in infection Salmonella reaches mainly splenic red pulp (F4/80⁺, MSR-A^{low}) and 124 125 marginal zone macrophages (MSR- A^+) (74). In the liver, Salmonella localizes preferentially in the resident Kupffer cells. During the infection bacteria can also be found in dendritic cells or B-cells (36, 74-77). As the 126 127 infection progresses and bacteria reside and possibly grow intracellularly (Figure 1, C), inflammatory cells 128 infiltrate the initial unicellular foci of infection, to form multicellular pathological lesions surrounded by 129 normal tissue (Figure 1, D). These lesions contain polymorphonuclear phagocytes (PMNs) during the first 130 few days of infection, later replaced by inflammatory macrophages (36, 78).

Once *Salmonella* have homed inside host cells, their intracellular location would render the bacteria inaccessible to antibodies, making it difficult to envisage a role of humoral immunity in protection. Detailed analysis of intracellular bacterial densities over the course of experimental infections in mice have shed light on how and where the bacteria can become vulnerable to antibodies. In fact, microscopic observation of immune-labelled and/or fluorochome-expressing intracellular bacteria in the spleen and liver revealed that the majority of infected phagocytes contain very low intracellular numbers at any time of the infection, irrespective of overall the net growth of the bacteria in the organs (77). The infection process is underpinned both by intracellular growth/survival and by an increase in the number of infected cells and multicellular pathological lesions and not by increases in the number of visible intracellular bacterial per phagocytes (24, 77, 79) (Figure 1, D). This is due to the continuous re-distribution of the bacteria from infected host cells to uninfected ones, and in the spread of individual microorganisms to new infection foci (Figure 1, E), mediated by the *Salmonella* Type three secretion system (T3SS) encoded by the *Salmonella* Pathogenicity Island 2 (SPI-2) (80, 81). *Salmonella* infections are therefore dispersive processes where bacteria have an intracellular phase of growth and an extracellular one of spread.

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Is the dispersiveness of the infection a plausible explanation for the role of antibodies in protection against *Salmonella*? It would be reasonable to postulate that in a dispersive infection process, cellmediated immunity enhances the antimicrobial functions of phagocytes, therefore affecting the fate of the intracellular bacteria (82-86); antibodies would opsonise the extracellular bacteria in transit between cells and target them to activating cellular receptors, thus increasing the antimicrobial activity of otherwise naive phagocytes at new infection foci (87, 88). Antibodies would therefore be expected to have a major impact on the process.

However, we know from a large body of data that antibodies alone, in the absence of cell-mediated immunity, are unable to modify the net growth rate of *Salmonella* in the spleen and liver of an infected experimental animal (21, 46, 50). For example, adoptive transfer of *Salmonella* specific immunoglobulins or immunisation with non-living vaccines surprisingly does not affect the growth curve of the bacteria *in vivo*. An effect of antibodies is visible only in the first few hours of the infection where the initial kill of the inoculum is enhanced (46). This early protective effect of antibodies is more evident when experimental animals are challenged *via* the intraperitoneal route, where antibodies most likely

160 accelerate capture of the bacteria by peritoneal phagocytes and therefore abort extracellular growth in 161 the peritoneal cavity. The use of molecularly tagged and therefore individually traceable, Salmonella 162 populations, combined with mathematical modelling and statistical analysis of data has further confirmed 163 the inability of antibody to significantly affect the growth and spread of bacteria in the body. This research 164 approach has confirmed that, in the early stages of the infection, bacteria spread from cell to cell within 165 each organ, later followed by systemic spread of bacterial populations between distant body sites 166 coinciding with the appearance of bacteria in the blood (89). Using this system, it became clear that only 167 live vaccines (which induce both humoral and cell-mediate immunity) would be able to control 168 bacteraemia and restrain growth and spread of the bacteria in the body. Conversely, mice where 169 antibodies were the only vaccine-mediated effector mechanisms (i.e. mice immunised with killed vaccines 170 or depleted of T-cells after immunisation) would not be able to control the spread of the bacteria or abort 171 bacteraemia (71).

172

173 Antigen specificity and protection. Salmonella infections induce antibody responses against a large array 174 of surface, periplasmic and cytoplasmic antigens in humans and other infected animals. Immuno-reactive 175 antigens have been detected using ELISA, immunoblotting and protein microarrays (90-94). These include 176 lipopolysaccharide (LPS) antigens, porins, lipoproteins, fimbriae, flagella, heat shock proteins and in some 177 serovars, the Vi surface polysaccharide antigen (95, 96). Several antigens have been identified as targets 178 of the protective antibody response. The O-antigen, consisting of sugar repeats exposed on the bacterial 179 surface, is a prime target of protective antibodies with some epitopes being more protective than others. 180 Immunodominant serovar-specific O-antigens (e.g. O:4, O:9) determine to a large extent the specificity of 181 protection between serovars and induce antibodies that are more protective than the ones directed against O-antigens shared among different *Salmonella* serogroups (e.g. O:12) (97-100). The Vi antigen is immunogenic and was shown to confer protective immunity in human volunteers and in field trials, both as a native polysaccharide and as a protein conjugate (49, 51, 53, 101-103).

185 The functional role of the antibody response to porins is still not fully clarified. Antibody responses against 186 some porins, but not others, are protective in animal models (104, 105). For example, antibodies to the 187 trimeric OmpD porin, but not the monomeric and abundant OmpA protein, protect mice against 188 parenteral challenge. Furthermore, OmpD is a candidate antigen for iNTS vaccines (20, 105, 106). The 189 reasons for the different protective ability of OmpA and OmpD have been elegantly postulated to be due 190 to different accessibility of antibodies to OmpD at the bacterial surface. Both OmpA and OmpD are located 191 in the outer membrane of Salmonella in a position potentially shielded by the LPS-O side chain. However, 192 OmpD creates a larger footprint than OmpA in the O-antigen layer, sufficient for a single IgG can gain 193 access to the most-exposed surface loop epitopes of OmpD (105). It remains unclear how antibodies to 194 OmpD mediate SBA given that at least two Ig need to come together for activation of the classical pathway 195 of the complement. The conclusion that OmpA is poorly accessible by IgG also clashed with data showing 196 its binding by human recombinant IgG specific for a mimotope inserted in OmpA (107).

197 Antibodies to flagellin are detectable in infected individuals and targeting this antigen can mediate 198 opsono-phagocytosis (87, 93).

199

200 Which is the main protective mechanism of anti-Salmonella antibodies?

Following their binding to the bacterial surface, antibodies can exert antibacterial efficacy mainly in two ways. Either by increasing phagocytosis of bacteria *via* targeting the bacteria to specific receptors on the surface of immune cells; or by direct bactericidal activity mediated by the activation of the classical

204 complement pathway (serum bactericidal activity, SBA). Both these mechanisms are likely to operate in 205 Salmonella infections. Early studies showed that clearance of Salmonella from the blood of mice is 206 dramatically accelerated by immune serum suggesting a role for antibodies in the enhancement of 207 phagocytosis (70). More recently, evidence for an enhancement of opsono-phagocytosis by Salmonella 208 antibodies has been corroborated by in vitro systems. Sera from humans vaccinated with live attenuated 209 S. Typhi enhance opsono-phagocytosis in vitro with IgG playing a major role(108). Engagement of 210 opsonised bacteria with the activating Fc-gamma receptors, on the surface of murine or human 211 phagocytes results in increased uptake of the bacteria, increased production of reactive oxygen 212 intermediates (ROI) and enhanced antibacterial functions of the infected cells (87, 88, 107). Opsonization 213 drives increases in both the number of phagocytes that ingest bacteria and in the efficiency of each 214 individual cell to ingest Salmonella, as indicated by the higher intracellular numbers per phagocyte of 215 opsonized versus non-opsonized bacteria (88, 107). Antibodies have also shown to be essential for optimal 216 phagocytosis of iNTS strains by peripheral blood cells from Africans (55).

217 Antibody-dependent, complement-mediated SBA correlates with susceptibility to iNTS in African children 218 (56) and therefore SBA has been often taken as an indication of the functional activity of antibodies when 219 testing new vaccines against iNTS (109). However, the role of SBA as a true mechanism of antibody-220 mediated protection must be evaluated with caution. Studies that used human blood and sera to compare 221 the kinetics of SBA and phagocytosis of iNTS clinical isolates, show that phagocytosis allows bacteria to 222 escape the blood and establish intracellular infection before they are killed by the complement membrane 223 attack complex (110). This would indicate that opsono-phagocytosis is likely to be the prevailing 224 antimicrobial mechanism mediated by anti-Salmonella antibodies. Furthermore, no correlation was found

between protection afforded by live vaccination and SBA in a controlled human typhoid challengemodel(111).

227

228 Complement or Fc-gamma receptors?

The complement system and Fc-gamma receptors (FcyRs) can potentially play a crucial role in antibody mediated immunity against *Salmonella* diseases. However, their relative importance is unclear.

231 Complement-mediated SBA can result in bacterial killing, but, as discussed above, it is unclear whether 232 this mechanism is relevant for immunity to *Salmonella*. Complement appears to be essential for antibody 233 dependent-opsonophagocytosis of iNTS strains by human blood phagocytes and for the production of 234 reactive oxygen intermediates and bacterial killing (55). However, binding of antibody-opsonized 235 *Salmonella* to FcyR on human and murine cells in the absence of complement also enhances phagocytosis 236 and ROI-mediated bacterial killing, with the activating FcyRI playing a major role (88, 107).

237 In vivo studies also provide evidence for a role for both complement and FcyR, their relevance depending 238 on the experimental model used. When mice lacking FcyRI, II, III and IV (FcyR KO), or mice lacking the 239 complement C3 component (C3 KO), or all four FcyR and C3 (FcyR/C3 KO), were passively immunized with 240 anti-LPS O4 IgG2a monoclonal antibodies and subsequently infected parenterally with Salmonella 241 Typhimurium, only FcyR KO animals showed a significant reduction in the bacterial loads in the liver, 242 spleen and mesenteric lymph nodes (112), at a level similar to the ones observed in wild-type control 243 animals. In this model therefore, the role of complement prevails on that of FcyRs. However, when mice 244 lacking FcyRI, II, III and mice lacking C3 were immunised with a live attenuated vaccine and later 245 challenged orally with virulent Salmonella, only the FcyR-deficient mice succumbed to the infection (113) 246 indicating a prevailing role of FcyR.

247 In summary, evidence for a role of both FcyR and complement has been provided, but firm conclusions 248 on their relative importance are difficult to draw due to discrepancies between experimental conditions, 249 models and host species.

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Does quality of the antibody response matter?

252 The qualitative traits of the antibody response have a great effect on its function and potency. The isotype 253 profile has effects on the binding of antibodies to $Fc\gamma R$ receptors and on efficiency of complement 254 activation. This in turn has effects on SBA, opsono-phagocytosis and on the enhancement of the 255 intracellular antibacterial mechanisms of phagocytes.

256 Virtually all classes of Ig are produced in response to infection with Salmonella. As expected, IgM appear 257 early after infection and usually followed by IgG and IgA (92, 95, 114-117). Different isotype profiles are 258 seen following natural infection or vaccination with live or non-living vaccines (22, 118). Some non-living 259 vaccines can induce isotype-switched responses to Salmonella polysaccharide antigens indicating that the 260 T-cell responses induced by these preparations may not be able to mediate the suppression of bacterial 261 growth in the tissues, but are sufficient to support isotype switching of the lg response. For example, lgG 262 responses are detected following vaccination with Vi-conjugate vaccines (51, 119, 120); interestingly 263 outer membrane vesicle vaccines (OMV) are capable of inducing highly effective IgG2a and IgG2b in mice (109); live vaccines induce high titers of all IgG subclasses including higher levels of IgG2a (22). 264

265 The isotype profile of the antibody response impacts on its protective function. The efficacy of individual 266 subclasses has been studied in murine and human systems and this area of research is not devoid of 267 controversy. In murine studies, polyclonal and monoclonal IgM as well as IgA to Salmonella polysaccharide 268 antigens, were found to be highly protective and in some cases more protective than IgG (100, 121, 122).

269 Conversely, opsonization of *Salmonella* with O4-specific IgA, IgG1, IgG2a, or IgG2b, but not IgM 270 monoclonal antibodies, resulted in cell-dependent bacterial killing *in vitro*. In *in vivo* passive immunization 271 studies, IgG2a and IgG2b O4-specific monoclonal antibodies provided higher functional activity than IgA, 272 IgM and IgG1 by decreasing the bacterial load in the blood and tissues (123).

A role for IgA in protection against *Salmonella* is shown by the increased susceptibility to infection of polymeric immunoglobulin receptor (pIgR^{-/-}) knock-out mice, which are unable to bind and actively transport dimeric IgA to the mucosae (124) and by the protective ability of IgA monoclonal antibodies *in vivo* (125).

The relative potency of individual isotypes can be dependent on the antigen that is targeted. In fact, differently for what seen in the case of antibodies against the LPS-O antigen, it has been shown that mice lacking IgG1, but not lacking IgG2a are substantially less protected after immunization with the OmpD porin than wild-type controls. Immunization with OmpD was maintained in t-bet deficient mice that do not produce IgG2a. This is consistent with IgG1 having an important role for protection after immunization with OmpD, but IgG2a being less important (126).

283 The relative potency of human IgG was studied in an *in vitro* system where OmpA and flagella were tagged 284 with a foreign CD52 mimotope (TSSPSAD). The bacteria were opsonized with a panel of humanized 285 recombinant CD52 antibodies that share the same antigen-binding V-region, but have constant regions of 286 different subclasses. This work revealed that, although opsonization with all the IgG subclasses increase 287 Salmonella uptake by human phagocytes, differences in potency can easily be revealed. IgG3 resulted in 288 the highest level of bacterial uptake and the highest average bacterial load per infected cell, which was 289 closely followed by IgG1, then IgG4 and lastly IgG2. Phagocytosis mediated by IgG1, IgG3 and IgG4 had a 290 higher dependency on FcyRI than FcyRIIA, whereas IgG2-mediated phagocytosis required FcyRIIA more

than FcγRI (87, 107). Therefore, both the subclass of human IgG and the type of FcγR that is available for
antibody binding affects the function of anti-*Salmonella* antibodies.

Some IgG subclasses can be detrimental to the antimicrobial function of the antibody response. In fact, the inability of blood from HIV patients to kill *Salmonella* is due to an inherent inhibitory effect of anti-LPS antibodies. This inhibition is dependent on high concentrations of antibodies and strongly associated with IgA and IgG2 anti-LPS antibodies, possibly related to the poor ability of IgA and IgG2 to activate complement, and deposition of complement at sites where it cannot insert in the bacterial membrane (127).

299

300 Crosstalk between B-cells and T-cells and quality traits of the antibody response.

301 T-cell responses can be detected in animals and humans following infection with live Salmonella(128-302 130). Isotype switching and production of anti-protein antibodies requires the presence of T-cells (27, 303 118); for example, T-cell deficient athymic mice produce mainly IgG3 and IgM antibodies to lipopolysaccharide, whereas euthymic mice can produce IgM, IgG1, IgG2a, IgG2b, and IgG3 anti-LPS and 304 305 anti-Salmonella protein antibodies (27). Similarly, some classes of non-living vaccines only elicit a 306 restricted isotype repertoire (22). The reciprocal interaction between T- and B-cells is essential for the 307 development of both humoral and cell-mediated immunity to Salmonella (Figure 2). In the absence of 308 functional B-cells, the onset of T-cell immunity is impaired, albeit not abrogated in mice (131-134). 309 Cytokine production and antigen presentation via MHC Class II molecules from B-cells are essential for 310 activation of Th1 and Th17 responses to Salmonella, as shown by studies in bone marrow chimera mice 311 where only B-cells are deficient in selected immunological functions (135). Interestingly B-cells can 312 engender T-cell responses via engagement of innate immune receptors early in infection and via specific activation of the antigen-specific B-cell receptor later in the disease. These functions instigate a loop
where T-cell activation in turn contributes to the isotype profile of the response. In fact, antigen-specific
IgG2c primary response are dependent on MyD88 signaling to B cells, while other Ig classes are not (IgG1
and IgG3) or much less so (IgG2b, IgA). Lack of MyD88 signaling in B-cells of chimeric mice results in
impairment of development of IFNγ effector T cells, a likely contributory factor in the lack of IgG2c (136).

318

319 Key considerations for the development of *Salmonella* Vaccines.

320 Antibodies are an essential component of the protective immune response to Salmonella. An ideal vaccine 321 would therefore be the one able to induce both antibody responses and protective cellular immunity. 322 The induction of some level of T-cell immunity is essential whichever the choice of vaccine. T-cell 323 immunity induced by natural infection or live vaccines is sufficient to support the isotype switching and 324 affinity maturation of the antibody response, but also to mediate the suppression of the growth of virulent 325 bacteria when either resistant or susceptible animals are re-infected. On the contrary, T-cell immunity 326 induced by some non-living vaccines is sufficient to support isotype switching and anti-protein responses 327 (109, 118), but not protective cellular responses. Why this level or type of T-cell immunity is unable to 328 activate phagocytes and curtail bacterial net growth in the tissues, still remains one of the main 329 unanswered questions in bacterial vaccinology.

Antibodies alone can have an impact on the very early stages of the infection, when they enhance bacterial killing before *Salmonella* have reached an intracellular location within phagocytes (46, 71); however they have no effect on the net growth of bacteria in the tissues and surprisingly on the control of bacteraemia (9, 46, 60, 71, 127, 137). Therefore, it is likely that in individuals who do not have specific Th1 memory, vaccine-induced antibody responses protect by preventing the establishment of the

335 infection following transmission of the pathogen. The situation would be different in populations where 336 background cellular immunity is present. For example, those vaccines that induce mainly antibody 337 responses would be more effective in disease-endemic areas, where a background of cellular immunity is already present in the population, likely due to low grade pre-exposure to the pathogen and/or cross-338 339 reactive antigens of other micro-organisms. Interestingly, young African children develop Th1 immunity 340 to Salmonella very early in life, but remain susceptible to iNTS until they acquire Salmonella-specific 341 antibodies (57); the aim of an effective iNTS vaccine for children would therefore the be induction of 342 antibodies early in life. A lower protective efficacy of Vi vaccines against typhoid fever has been detected 343 in volunteers in a controlled human challenge study compared to field studies, further suggesting the 344 possibility that a different immunological exposure background due to the geographical area may affect 345 vaccine efficacy(102, 103, 138).

The development of both safer live attenuated vaccines and non-living vaccines would be desirable; the former would be more suitable for travelers where elicitation *de novo* of both T- and B-cell immunity is required; the latter vaccines would be suitable for use in endemic areas where, as discussed earlier, increased safety is a prerequisite and induction of antibodies would suffice.

Optimization of the immune response is important especially for non-living vaccines whose efficacy is likely to be entirely based on antibodies. The importance of the isotype profile in relation to antigen specificity and function has been touched upon above with some representative examples.

353 Greater efforts are needed to optimize antibody responses induced by vaccines to be used in areas where 354 immune suppressive co-morbidities co-localize geographically with the target disease. An example is 355 provided by malaria. This disease has a dual effect on humoral immunity. Firstly, malaria can suppress the 356 acquisition of anti-*Salmonella* antibodies (59, 139). Secondly, malaria can impair complement levels (61) and suppress the antimicrobial functions of phagocytes (28, 58, 140), therefore potentially not allowing the expression of antibody-mediated resistance (55, 88). More research is needed to identify vaccine solutions that can overcome these problems. In fact, iNTS vaccines for Africa must induce immune responses optimized to confer resistance in the presence of multiple and varying underlying comorbidities.

362 The optimal choice of antigens is still debatable and several single-antigen vaccines are being developed 363 and/or are in use. For example, the vaccines based on the Vi polysaccharide are immunogenic and induce 364 protective serum responses. However, these vaccines include a single antigen that is not essential for the 365 virulence of the bacterium (Vi negative variants of S. Typhi are capable of causing disease (141)) and is 366 down-regulated within the tissues soon after infection (142). Multi-antigen vaccines would probably be a wiser choice than single-antigen ones and would also offer the possibility to induce antibody responses 367 368 that can potentially protect against a large number of Salmonella serovars. For example, low-reactogenic 369 outer membrane vesicle-based vaccines that contain multiple structural antigens are very immunogenic 370 and elicit highly functional isotype-switched antibody responses against a variety of polysaccharide and 371 protein determinants (109, 143).

372

373 Conclusions.

The overall scenario that emerges from decades of research in experimental animals and in humans indicates that antibodies are certainly essential for resistance against systemic *Salmonella* infections and can express the highest level of protective functions when operating in conjunction with T-cell mediated immunity. Antigen specificity, isotype profile, FcγR receptor usage and complement activation (Figure 3) are intertwined factors that have great influence on antibody-mediated protection to *Salmonella*.

379 There is still a large deal of discrepancy between findings and conclusions from different studies over 380 several decades and this makes rational vaccine design very difficult.

To improve current vaccines and design new ones in the future it will be necessary to shed light on the mechanisms that underpin both the development of antibody responses and their effector functions. It will also be necessary to learn how to tailor antibody responses to situations where other components of

the immune system might be impaired, as often seen in endemic areas where immune-suppressive co-

- 385 morbidities geographically coincide with systemic *Salmonella* infections. Different vaccines and antibody
- 386 responses may be needed for travellers and residents in endemic areas.
- 387
- 388 Figures

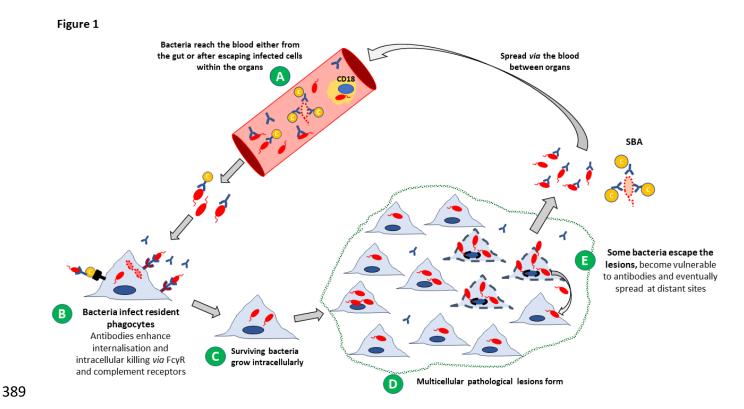
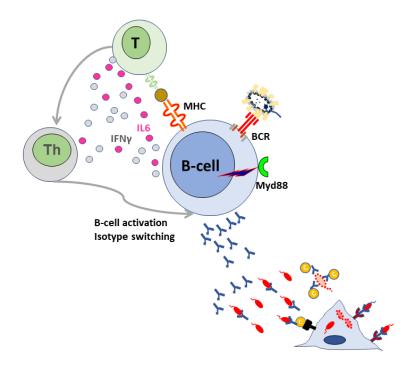


Figure 1. The complex pathogenesis of *Salmonella*: an intracellular antibody-refractive growth phase
 and an extracellular antibody-susceptible phase of spread. A) After invading the gut, *Salmonella* reach

392 the blood where can be targeted by antibodies. Some bacteria in the blood are lysed by antibody- and 393 complement-dependent serum bactericidal activity (SBA). B) Bacteria opsonised by antibodies are 394 engulfed and killed more efficiently by resident phagocytes as soon as they reach the tissues (e.g. spleen, 395 liver, bone marrow). C) Those bacteria that resist killing establish unicellular initial infection foci (infected 396 phagocytes). Surviving bacteria grow mainly in an intracellular location. D) The initial single-cell infection 397 foci become spatially separated multicellular pathological lesions due to the infiltration of 398 polymorphonuclear phagocytes (PMNs) and later mononuclear cells. In this intracellular location bacteria 399 are inaccessible to antibodies. Bacteria grow intracellularly within the multicellular infection foci, but their 400 numbers within each phagocyte remain low due to the spread of the infection to infection of new host 401 cells. E) Some bacteria escape the lesions, become vulnerable to antibodies and eventually spread at distant sites. When the bacteria are released from infected cells, they might undergo three different fates: 402 403 I) be targeted by antibodies, and killed via complement-mediated SBA or opsonophagocytos; II) rapidly 404 infect neighbouring cells within the same lesion; III) travel to distant sites in the body to establish new 405 unicellular infection foci.

Figure 2



406

Figure 2. B-cells and T-cells crosstalk impact on quality of the antibody response. MyD88 signalling and
recognition of bacterial antigens *via* B-cell Receptor (BcR) leads to B-cell cell activation and antigen
presentation to naïve T-cells *via* MHC Class II molecules. IL6 and IFNγ from B-cells mediate the
development of Th immunity. Th immunity in turn triggers activation and maturation of B-cells and induce
isotype switching of the antibody response.

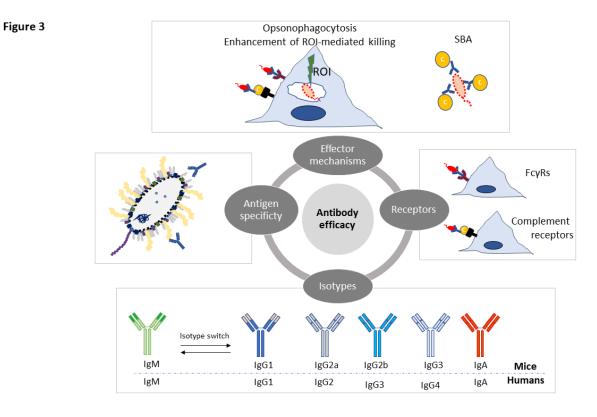




Figure 3. Antibody-mediated protection to Salmonella is underpinned by a complex interplay between
qualitative traits and effector mechanisms. Antigen specificity, isotype profile, FcγR receptor usage,
complement activation, and different effector mechanisms (opsonophagocytosis, killing *via* reactive
oxygen intermediates (ROI) and serum bactericidal activity (SBA)) are intertwined factors that influence
antibody-mediated protection to Salmonella.

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